## More Lighting (Theory)

CS 385 - Class 17 29 March 2022

## Review

## Phong Lighting Equation

- · Illumination (lighting) at a point is the sum of three terms:
  - ambient
  - diffuse
  - specular

$$ec{I} = ec{I}_{ambient} + ec{I}_{diffuse} + ec{I}_{specular}$$

$$ec{I}_{ambient} 
ightarrow 
ightarrow ec{I}_{a} \ ec{I}_{diffuse} 
ightarrow 
ightarrow ec{I}_{d} \ ec{I}_{specular} 
ightarrow 
ightarrow ec{I}_{s}$$

above notation used on following slides

#### Ambient Reflections

- Color of an object when not directly illuminated
  - · light source not directly determinable
- Think about walking into a room with the curtains closed and the lights off

$$ec{I}_a = ec{g}_a + \sum_i^n ec{l}_{a_i} ec{m}_a$$

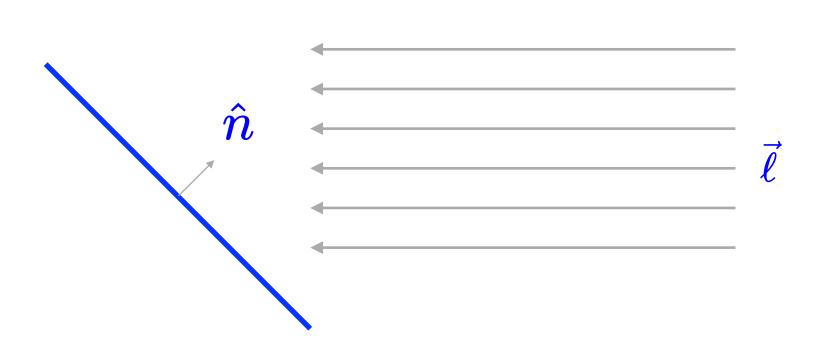
 $\vec{g}_a$  global ambient color

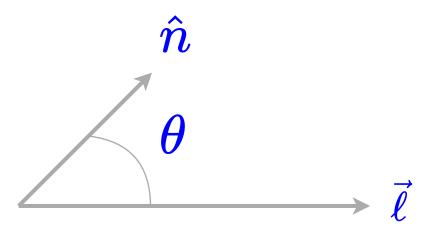
 $ec{l}_{a_i}$  light i's ambient color

 $ec{m}_a$  ambient material color

#### Diffuse Reflections

- Color of an object when directly illuminated
  - often referred to as the base color



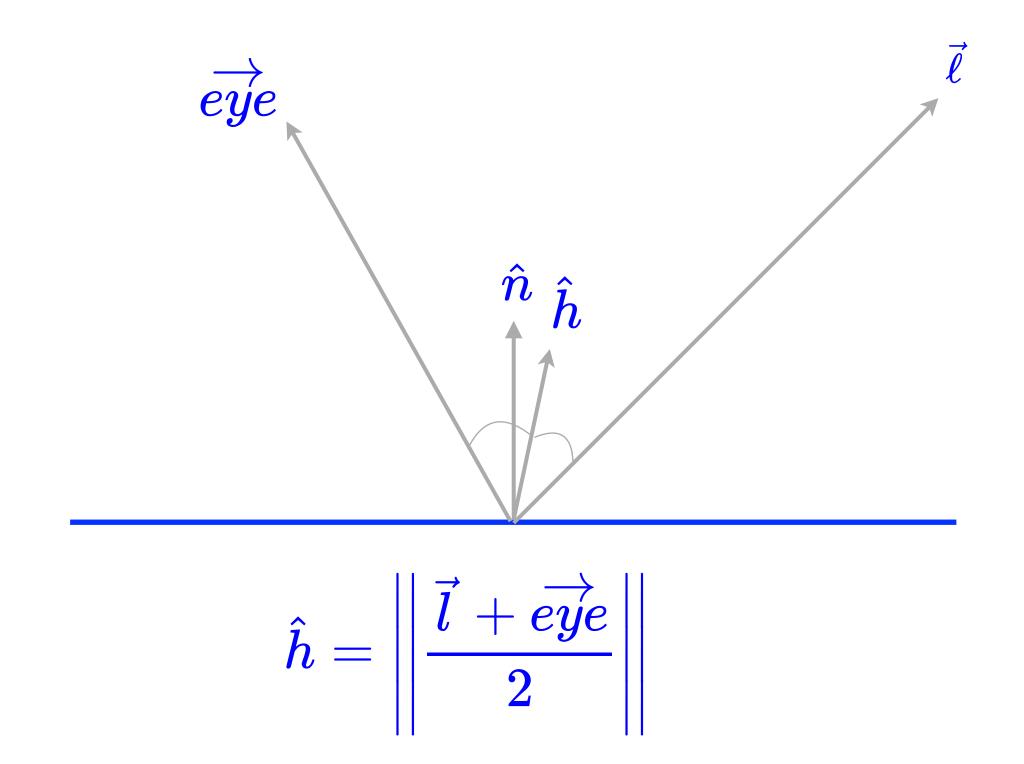


$$ec{I}_d = \sum_i^n \left( \hat{l}_i \cdot \hat{n} 
ight) ec{l}_{d_i} ec{m}_d$$

- $\hat{l}_{i}$  normalized light direction
- $\hat{n}$  surface normal
- $ec{l}_{d_i}$  ligth i's diffuse color
- $ec{m}_d$  diffuse material color

## Specular Reflections

- Highlight color of an object
- · Shininess exponent used to shape highlight



$$ec{I}_s = \sum_i^n \left(\hat{h}\cdot\hat{n}
ight)^s ec{l}_{s_i}ec{m}_s$$

 $\hat{n}$  surface normal

 $\hat{h}$  half-angle vector

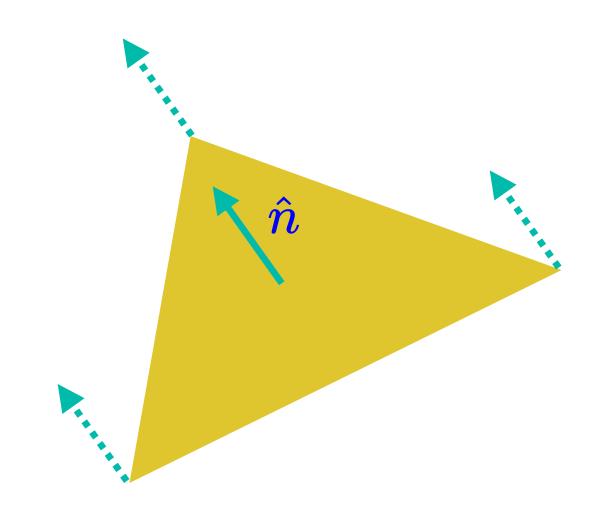
 $()^s$  shininess exponent

 $\widetilde{l}_{s_i}$  light i's specular color

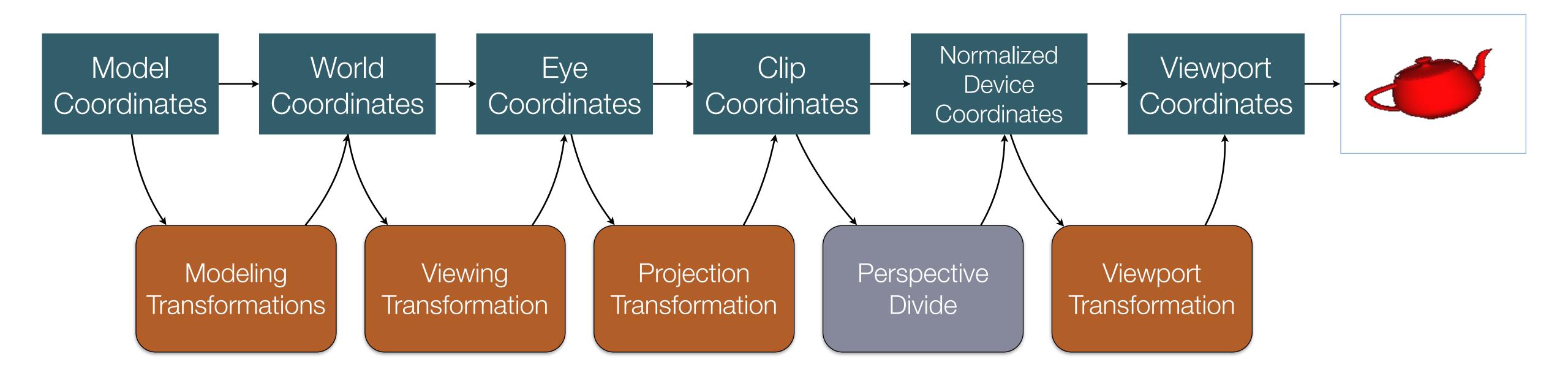
 $ec{m}_s$  specular material color

## Lighting Normals

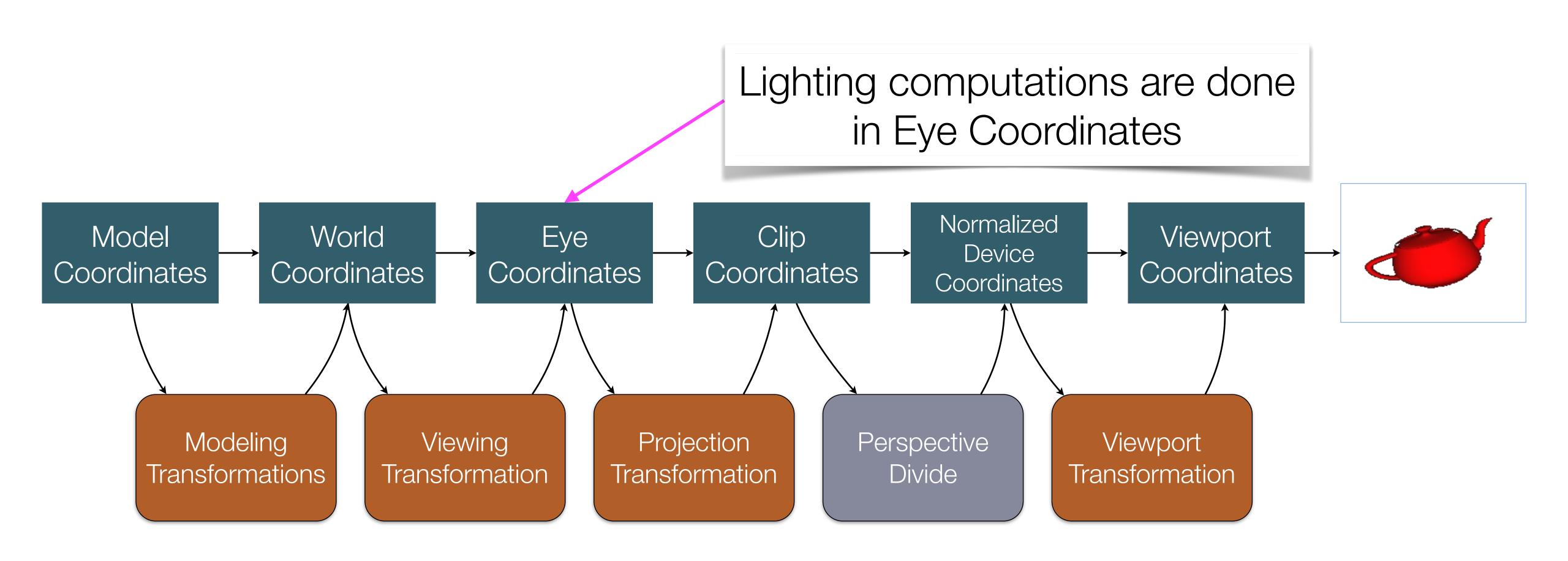
- Recall that we use a vector, called a normal to aid in lighting computations
  - as compared to a point, vectors only have three components: (x, y, z)
  - they only specify a direction



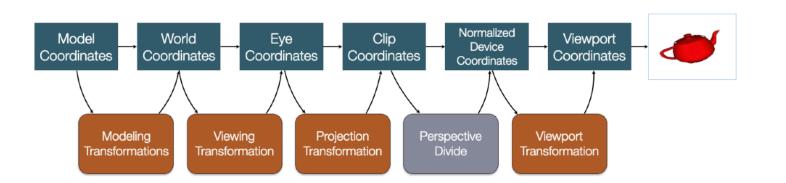
## Coordinate Systems in Computer Graphics



## Coordinate Systems in Computer Graphics



#### Transformation Redux



- Recall that we transform vertex positions using matrices
  - each transform moves the position from one coordinate system to the next

$$gl_Position = P * MV * aPosition;$$

Transform	Operation	Change the Shape of Space	Normal Affected?
Translate	Move origin from one position to another	No	No
Rotate	Update the <i>orientation</i> of space	No	Yes
Scale	Stretch/Shrink space	Yes	Yes
Projection	Transform 3D world to 2D plane	Yes, but	N/A

The Normal Matrix

## Transforming Normals

- We need to transform normals when we transform positions
- But it's not the same operation!
- Transform into eye coordinates
  - don't include the projection transformation
- However, normals only have three components
  - MV is a 4 x 4 matrix
  - the important parts are in the upper left  $3 \times 3$  matrix

# Introducing the Normal Matrix, N

- It's the inverse-transpose of the upperleft 3 x 3 of the model-view matrix
- Right!

$$M = \begin{pmatrix} a & b & c & x \\ d & e & f & y \\ g & h & i & z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$N = (M^{-1})^T$$

```
JavaScrip<sup>†</sup>
function render() {
  // set up matrix stack
  var MV = ms.current();
  var M = mat3(vec3(MV[0]), vec3(MV[1]), vec3(MV[2]));
  var N = inverse(transpose(M));
  // update normal matrix uniform variable
```

Matrix Machinations: Inverses and Transposes

#### Matrix Inverses

• For the value a, its inverse,  $a^{-1}$ , is the value that satisfies the identity relationship:  $a \ a^{-1} = I$ 

Type	Inverse	Identity Value	Name
Real Number (including rationals and integers)	$\frac{1}{a}$	1	one
Matrix	<i>M</i> <sup>-1</sup>	$egin{pmatrix} 1 & 0 & 0 \ 0 & 1 & 0 \ 0 & 0 & 1 \ \end{pmatrix}$	Identity Matrix

## Matrix Transpose

The matrix specified by exchanging the rows and columns of a matrix

$$\begin{pmatrix} 1 & 0 & 0 & x \\ 0 & 1 & 0 & y \\ 0 & 0 & 1 & z \\ 0 & 0 & 0 & 1 \end{pmatrix}^{T} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ x & y & z & 1 \end{pmatrix}$$

- · Consider a translation generated by translate(x, y, z)
- What's its inverse?

$$\begin{pmatrix}
1 & 0 & 0 & x \\
0 & 1 & 0 & y \\
0 & 0 & 1 & z \\
0 & 0 & 0 & 1
\end{pmatrix}$$

- · Consider a translation generated by translate(x, y, z)
- What's its inverse?
  - that is, what matrix multiplying the translation would generate an identity matrix?

Good News! In graphics, these are pretty simple to figure out

 Consider: if you move, say, three feet to the left, how do you get back to your original location?

• A translation of (-x, -y, -z) undoes a translation of (x, y, z)

$$\begin{pmatrix} 1 & 0 & 0 & x \\ 0 & 1 & 0 & y \\ 0 & 0 & 1 & z \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & -x \\ 0 & 1 & 0 & -y \\ 0 & 0 & 1 & -z \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
$$M \qquad M^{-1} = I$$

- Transpose? Who cares!
  - · well, we do, but not for this exercise

## Example: Scale Matrix Inverse

• A scale of  $\left(\frac{1}{x}, \frac{1}{y}, \frac{1}{z}\right)$  undoes a scale of (x, y, z)

$$\begin{pmatrix} x & 0 & 0 & 0 \\ 0 & y & 0 & 0 \\ 0 & 0 & z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1/x & 0 & 0 & 0 \\ 0 & 1/y & 0 & 0 \\ 0 & 0 & 1/z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$M \qquad M^{-1} \qquad = \qquad I$$

- Notice that  $S^T = S$ 
  - · S is a diagonal matrix; its transpose is itself
  - we'll use this fact later

## Example: Rotation Matrix Inverse

· A rotation of angle  $-\theta$  undoes a rotation of  $\theta$  around the same axis

$$R(\theta, \overrightarrow{a})^{-1} = R(-\theta, \overrightarrow{a})$$

 But, rotation matrices have another interesting inverse property: their transpose is also their inverse

$$R(\theta, \overrightarrow{a})^T = R(\theta, \overrightarrow{a})^{-1} \qquad \Longrightarrow \qquad R(\theta, \overrightarrow{a}) = \left(R(\theta, \overrightarrow{a})^{-1}\right)^T$$

## Mhy, oh why?

- Why is this important?
- Lighting is computed in eye coordinates, and we need to transform both the positions and normals into that coordinate system
- The big issue with normals is if they're scaled
  - we need to account for that in their transform
  - but scale operations may be combined with a lot of other modeling transformations

## Deriving the Normal Matrix

- Transforms without translations can be represented by a 3 x 3 matrix
- We need to "undo" all scale operations in our MV matrix
- Conveniently, any 3 x 3 transform can be a represented as two rotations and a scale:
- To "undo" something is to use its inverse

$$M = R_1 S R_2$$

$$M^{-1} = (R_1 S R_2)^{-1}$$

$$= R_1^{-1} S^{-1} R_2^{-1}$$

$$(M^{-1})^T = (R_1^{-1} S^{-1} R_2^{-1})^T$$
  
=  $(R_1^{-1})^T (S^{-1})^T (R_2^{-1})^T$  but  $(R^{-1})^T = R$   
=  $R_1 S^{-1} R_2$ 

$$N = \left(M^{-1}\right)^T$$

Midterm Review

#### Midterm

- · Distributed on this coming Thursday (31 March @ 5 PM) on Canvas
  - due the following Thursday (7 April)
- Questions all drawn from class lecture materials and programming assignments
- Topics
  - Coordinate systems
  - Object geometric representation
  - Shaders and rendering
  - · Vectors: their uses and related math