



# Computational Structures in Data Science



## Lecture 5: Recursion

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# Administrative Issues

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- **Where is Lecture 4? See Last slides.**
- **Labs are to help you learn the materials, so please make full use of them**
- **Materials for midterm go through March 4th Lecture.**



# Computational Concepts Toolbox

- **Data type: values, literals, operations,**
  - e.g., int, float, string
- **Expressions, Call expression**
- **Variables**
- **Assignment Statement**
- **Sequences: tuple, list**
  - indexing
- **Data structures**
- **Tuple assignment**
- **Call Expressions**
- **Iteration:**
  - data-driven (list comprehension)
  - control-driven (for statement)
  - while statement
- **Higher Order Functions**
  - Functions as Values
  - Functions with functions as argument
  - Assignment of function values
- **Higher order function patterns**
  - Map, Filter, Reduce
- **Function factories – create and return functions**

**Function Definition Statement**

**Conditional Statement**





# Today: Recursion

## re·cur·sion

/ri'kərZHən/

*noun* MATHEMATICS LINGUISTICS

the repeated application of a recursive procedure or definition.

- a recursive definition.  
plural noun: **recursions**

## re·cur·sive

/ri'kərsiv/

*adjective*

characterized by recurrence or repetition, in particular.

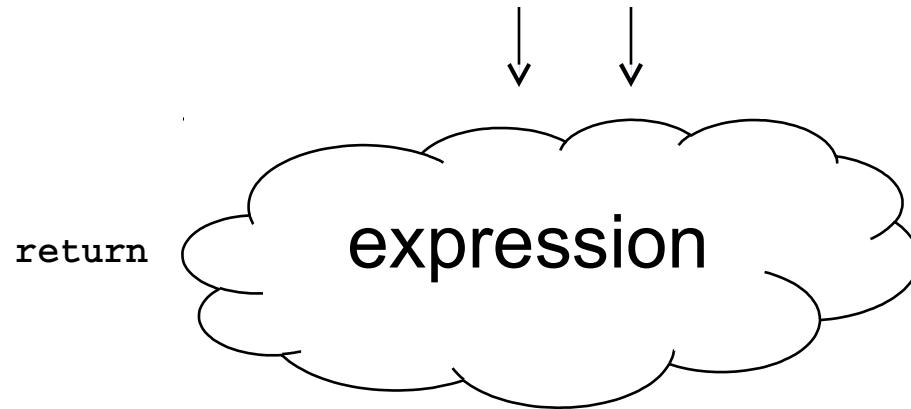
- MATHEMATICS LINGUISTICS  
relating to or involving the repeated application of a rule, definition, or procedure to successive results.
- COMPUTING  
relating to or involving a program or routine of which a part requires the application of the whole, so that its explicit interpretation requires in general many successive executions.

- **Recursive function calls itself, directly or indirectly**



# Review: Functions

`def <function name> (<argument list>) :`



```
def concat(str1, str2):  
    return str1+str2;  
  
concat("Hello", "World")
```

- **Generalizes an expression or set of statements to apply to lots of instances of the problem**
- **A function should *do one thing well***



# Review: Higher Order Functions

- Functions that operate on functions
- A function

```
def odd(x):  
    return x%2  
  
>>> odd(3)  
1
```

Why is this  
not 'odd' ?

- A function that takes a function arg

```
def filter(fun, s):  
    return [x for x in s if fun(x)]  
  
>>> filter(odd, [0,1,2,3,4,5,6,7])  
[1, 3, 5, 7]
```

# Review Higher Order Functions (cont)



- A function that returns (makes) a function

```
def leq_maker(c):  
    def leq(val):  
        return val <= c  
    return leq
```

```
>>> leq_maker(3)  
<function leq_maker.<locals>.leq at 0x1019d8c80>
```

```
>>> leq_maker(3)(4)  
False
```

```
>>> filter(leq_maker(3), [0,1,2,3,4,5,6,7])  
[0, 1, 2, 3]  
>>>
```



# Review: One more example

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- What does this function do?

```
def split_fun(p, s):  
    """ Returns <you fill this in>."""  
    return [i for i in s if p(i)], [i for i in s if not p(i)]
```

```
>>> split_fun(leq_maker(3), [0,1,2,3,4,5,6])  
([0, 1, 2, 3], [4, 5, 6])
```





# Function Review

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- A function cannot...
  - A) have a function as argument
  - B) define a function within itself
  - C) return a function
  - D) call itself
  - E) None of the above.



**Solution:**

**E) A, B, C, D are all possible!**



# Function Review

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- A Python function can...
  - A) not return a value
  - B) return different values for the same input
  - C) halt the entire program
  - D) change global variables
  - E) All of the above.



**Solution:**

**E) A, B, C, D are all possible!**



# Recall: Iteration

1. Initialize the “base” case of no iterations

```
def sum_of_squares(n):  
    accum = 0  
    for i in range(1, n+1):  
        accum = accum + i*i  
    return accum
```

2. Starting value

3. Ending value

4. New loop variable value



# Recursion Key concepts – by example

1. Test for simple “base” case

2. Solution in simple “base” case

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```

3. Assume recursive solution to simpler problem

4. Transform soln of simpler problem into full soln



# In words

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- The sum of no numbers is zero
- The sum of  $1^2$  through  $n^2$  is the
  - sum of  $1^2$  through  $(n-1)^2$
  - plus  $n^2$

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```



# Why does it work

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```
sum_of_squares(3)
```

```
# sum_of_squares(3) => sum_of_squares(2) + 3**2
```

```
#           => sum_of_squares(1) + 2**2 + 3**2
```

```
#           => sum_of_squares(0) + 1**2 + 2**2 + 3**2
```

```
#           => 0 + 1**2 + 2**2 + 3**2 = 14
```



# How does it work?

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- **Each recursive call gets its own local variables**
  - Just like any other function call
- **Computes its result (possibly using additional calls)**
  - Just like any other function call
- **Returns its result and returns control to its caller**
  - Just like any other function call
- **The function that is called happens to be itself**
  - Called on a simpler problem
  - Eventually bottoms out on the simple base case
- **Reason about correctness “by induction”**
  - Solve a base case
  - Assuming a solution to a smaller problem, extend it



# Questions

- In what order do we sum the squares ?
- How does this compare to iterative approach ?

```
def sum_of_squares(n):  
    accum = 0  
    for i in range(1,n+1):  
        accum = accum + i*i  
    return accum
```

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return sum_of_squares(n-1) + n**2
```

```
def sum_of_squares(n):  
    if n < 1:  
        return 0  
    else:  
        return n**2 + sum_of_squares(n-1)
```





# Tail Recursion

- All the work happens on the way down the recursion
- On the way back up, just return

```
def sum_up_squares(i, n, accum):  
    """Sum the squares from i to n in incr. order"""  
    if i > n:  
        Base Case  
    else:  
        Tail Recursive Case  
  
>>> sum_up_squares(1, 3, 0)  
14
```



# Local variables

```
def sum_of_squares(n):  
    n_squared = n**2  
    if n < 1:  
        return 0  
    else:  
        return n_squared + sum_of_squares(n-1)
```

- Each call has its own “frame” of local variables
- What about globals?
- Let’s see the environment diagrams (next lecture)

<https://goo.gl/CiFaUJ>



# Iteration vs Recursion

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## For loop:

```
def sum(n) :  
    s=0  
    for i in range(0,n+1) :  
        s=s+i  
    return s
```



# Iteration vs Recursion

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## While loop:

```
def sum(n) :  
    s=0  
    i=0  
    while i<n:  
        i=i+1  
        s=s+i  
    return s
```



# Iteration vs Recursion

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## **Recursion:**

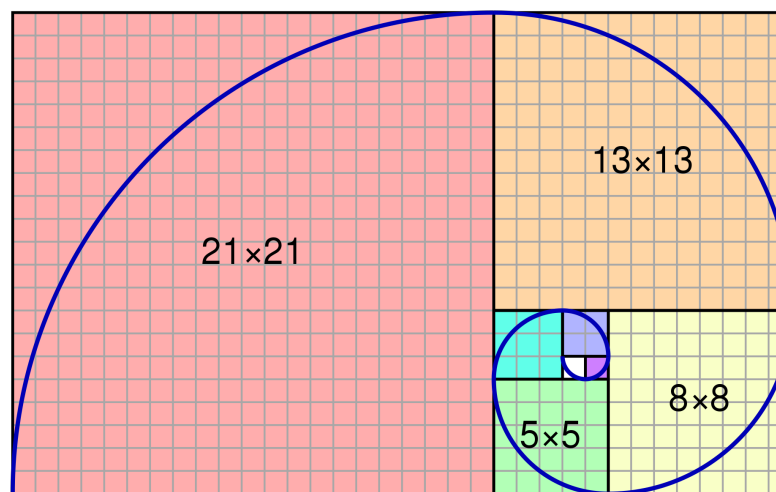
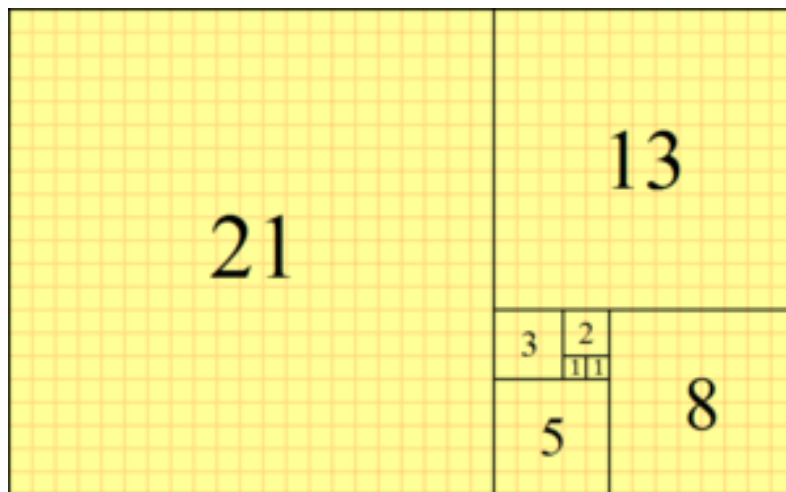
```
def sum(n) :  
    if n==0:  
        return 0  
    return n+sum(n-1)
```



# For Homework

`fibonacci(n) = fibonacci(n-1) + fibonacci(n-2)`

where `fibonacci(1) == fibonacci(0) == 1`





# Another Example

indexing an element of a sequence

```
def first(s):  
    """Return the first element in a sequence."""  
    return s[0]  
  
def rest(s):  
    """Return all elements in a sequence after the first"""  
    return s[1:]
```

Slicing a sequence of elements

```
def min_r(s):  
    """Return minimum value in a sequence."""  
    if 

Base Case

  
    else:  


Recursive Case


```

- Recursion over sequence length, rather than number magnitude



# Visualize its behavior (print)

```
In [104]: def min_r(s):  
           print('min_r:', s)  
           if len(s) == 1:  
               return first(s)  
           else:  
               result = min(first(s), min_r(rest(s)))  
               print('min_r:', s, " => ", result)  
               return result
```

```
In [105]: min_r([3,4,2,5,11])  
  
min_r: [3, 4, 2, 5, 11]  
min_r: [4, 2, 5, 11]  
min_r: [2, 5, 11]  
min_r: [5, 11]  
min_r: [11]  
min_r: [5, 11] => 5  
min_r: [2, 5, 11] => 2  
min_r: [4, 2, 5, 11] => 2  
min_r: [3, 4, 2, 5, 11] => 2
```

- What about sum?
- Don't confuse print with return value





# Trust ...

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- The recursive “leap of faith” works as long as we hit the base case eventually

What happens if we don't?



# Recursion

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- Recursion is...
  - A) Less powerful than a for loop
  - B) As powerful as a for loop
  - C) As powerful as a while loop
  - D) More powerful than a while loop
  - E) Just different all together



**Solution:**

**C) Any recursion can be formulated as a while loop and any while loop can be formulated as a recursion (with a global variable).**



# Why Recursion?

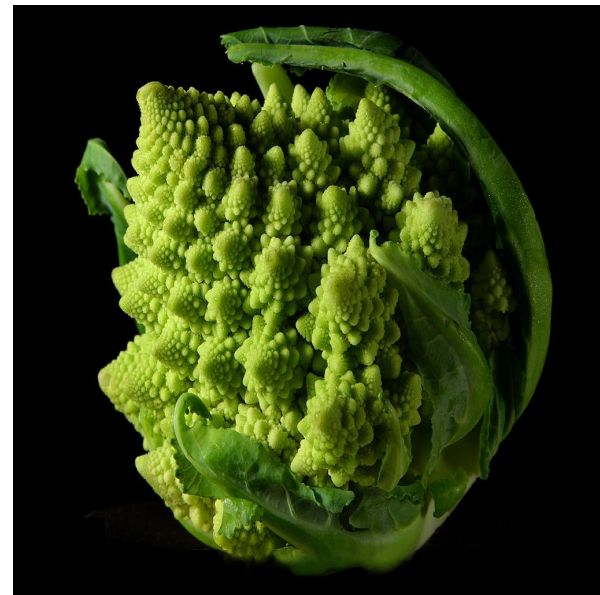
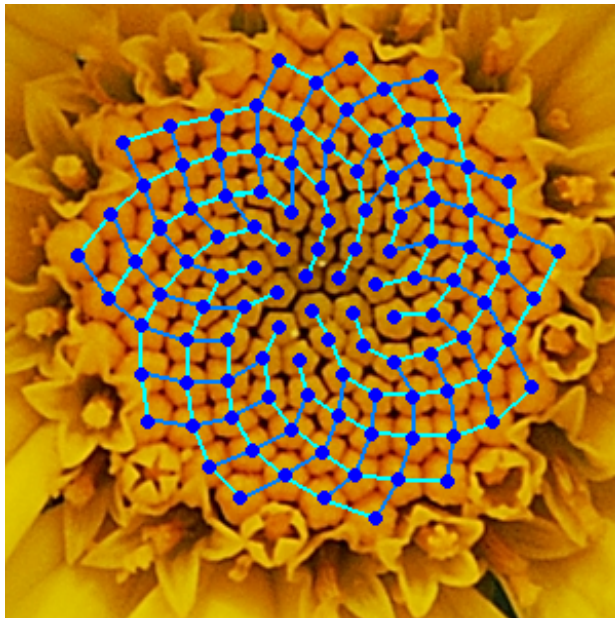
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- **“After Abstraction, Recursion is probably the 2<sup>nd</sup> biggest idea in this course”**
- **“It’s tremendously useful when the problem is self-similar”**
- **“It’s no more powerful than iteration, but often leads to more concise & better code”**
- **“It’s more ‘mathematical’”**
- **“It embodies the beauty and joy of computing”**
- **...**

# Why Recursion? More Reasons

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- Recursive structures exist (sometimes hidden) in nature and therefore in data!
- It's mentally and sometimes computationally more efficient to process recursive structures using recursion.





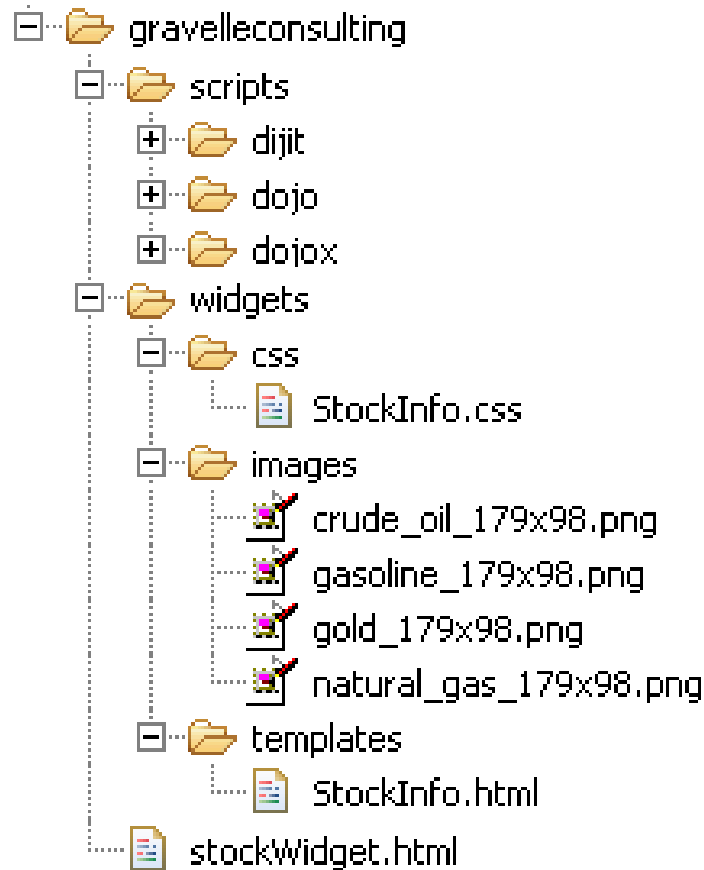
# Recursion (unwanted)



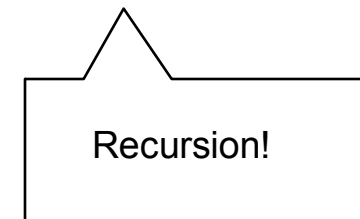


# Example I

## List all items on your hard disk



- **Files**
- **Folders contain**
  - **Files**
  - **Folders**





# List Files in Python

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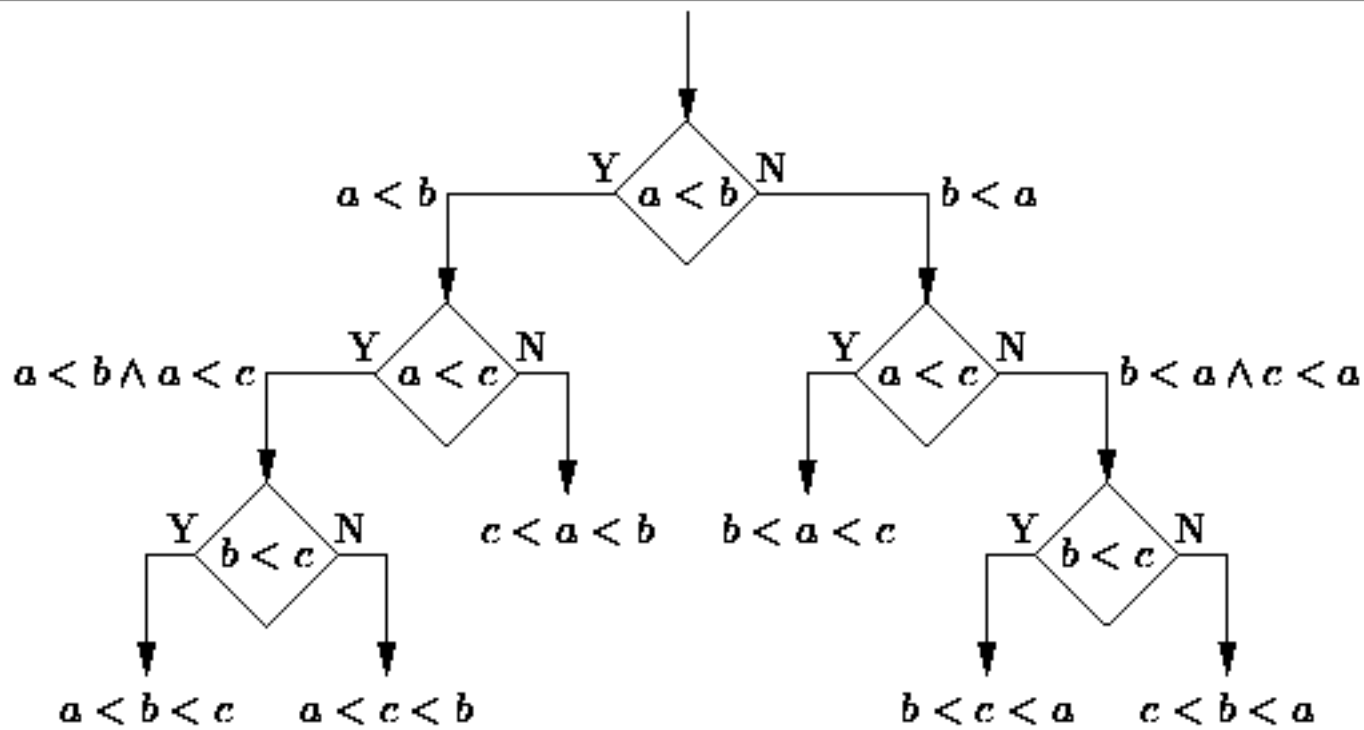
```
def listfiles(directory):  
    content = [os.path.join(directory, x) for x in os.listdir(directory)]  
  
    dirs = sorted([x for x in content if os.path.isdir(x)])  
    files = sorted([x for x in content if os.path.isfile(x)])  
  
    for d in dirs:  
        print d  
        listfiles(d)  
  
    for f in files:  
        print f
```

**Iterative version about twice as much code and much harder to think about.**



## Example II

Sort the numbers in a list.



Hidden recursive structure: Decision tree!





# Computational Concepts Toolbox

- **Data type: values, literals, operations,**
  - e.g., int, float, string
- **Expressions, Call expression**
- **Variables**
- **Assignment Statement**
- **Sequences: tuple, list**
  - indexing
- **Data structures**
- **Tuple assignment**
- **Call Expressions**
- **Iteration:**
  - data-driven (list comprehension)
  - control-driven (for statement)
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- **Higher Order Functions**
  - Functions as Values
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  - Assignment of function values
- **Recursion**

**Function Definition  
Statement**

**Conditional Statement**



# Answers for the Wandering Mind (Holiday Edition)

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- How many answers can be maximally responded to by 20 questions (how much data do I need on my game device)?

Assume a number of answer possibilities  $b$ . This gives  $b^{20}$  possible answer paths.

In below device:  $b=4$  ("unknown", "no", "yes", "sometimes") and  
 $4^{20}=1,099,511,627,776$ .

Even if each questions and each answer was only 1 byte long, the device would have to have **peta bytes** of memory.



# Answers for the Wandering Mind (Holiday Edition)



- **How can a 20-questions game get away with less?**

Different answers lead to the same path (redundancy). For example, making  $b$  effectively 2 (*instead of 4*) results in only  $2^{20} = 1,048,576$  concepts. The 20-volume Oxford English Dictionary only describes 171,476 ( $<4^9$ ) words. Typically, in our every-day life we deal with about 2000-4000 concepts ( $<4^6$ ).

- **How can you make a 20 questions game fail?**

Pick a new concept “data science” or chose a random(!) one from the dictionary!

Q30. I am guessing that it is math?

**Right, Wrong, Close**

29. I guessed that it was trigonometry (study of triangles)? **Close**
28. Do you use it with a computer? **Yes.**
27. Is it used in a sport? **No.**
26. Do you use it at night? **No.**
25. Is it healthy? **No.**
24. I guessed that it was a philosophy? **Close.**
23. Was it invented? **Yes.**
22. I guessed that it was science? **Close.**
21. Do you love it? **Yes.**
20. I guessed that it was witchcraft? **Wrong.**
19. Is it spontaneous? **No.**
18. Do you look at it? **No.**
17. I guessed that it was physics? **Close.**
16. Would you use it daily? **Yes.**
15. Does it contain words? **Yes.**
14. Does it have cash value? **No.**
13. Can it change size? **No.**
12. Does it require specific knowledge to use it? **Yes.**
11. Do you know any songs about it? **No.**
10. Is it a feeling? **No.**
9. Is it an emotion? **No.**
8. Is it used for communications? **Yes.**
7. Is it round? **No.**
6. Is it a nocturnal animal? **No.**
5. Could you send it in the mail? **No.**
4. Would you find it in an office? **No.**
3. Does it make noise? **No.**
2. Does it get wet? **No.**
1. It is classified as **Concept.**

# Thoughts for the Wandering Mind

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The computer chooses a random element  $x$  of the list generated by `range(0,n)`. What is the smallest amount of iteration/recursion steps the best ever algorithms needs to guess  $x$ ?

How would the algorithm look like?