## **Network Intrusion Detection in an Adversarial Setting**

**Problem Statement:** To break classifiers trained for Network Intrusion Detection by supplying them with Adversarial examples.

#### **Dataset**

We use the <u>NSL-KDD</u> dataset. The dataset is based on the original KDD cup 99 dataset, but improved to remove some statistical issues with the dataset. The problems with the KDD cup 99 dataset are as follows -

- 1. There is a huge number of redundant records for about 78% and 75% are duplicated in the train and test set, respectively.
- 2. This makes the machine learning quite based.

The NSL-KDD dataset has been used in many Network Intrusion Detection research papers. It provides a good analysis on various machine learning techniques for intrusion detection. The advantages of using this dataset are -

- 1. No redundant records in the train set, so the classifier will not produce any biased result
- 2. No duplicate record in the test set which have better reduction rates.
- 3. The number of selected records from each difficult level group is inversely proportional to the percentage of records in the original KDD data set.

Although, the data set still suffers from some of the problems in the KDD cup 99 dataset and may not be a perfect representative of existing real networks, but because of the lack of public data sets for network-based IDSs, this dataset can still be applied as an effective benchmark data set to help researchers (and us) compare different intrusion detection methods.

## **Dataset Description**

Each record in the NSL-KDD dataset has 41 features. The features belong to three major families -

- Basic features The ones that are related to connection information such as hosts, ports, services used and protocols.
- Traffic features The ones that are calculated as an aggregate using a window interval.
- Content features The ones extracted from the packet data or payload and they are related to the content of specific applications or the protocol used.

No.	Feature	Type	Description
	Basic features of	individual	TCP connections
1	Duration	Numeric	Duration of the connec- tion
2	Protocol_type	Nominal	Type of the protocol
3	Service	Nominal	Network service on the destination
4	Flag	Nominal	Normal or error status of the connection
5	Src_bytes	Numeric	# of bytes transferred from source to destination
6	Dst_bytes	Numeric	# of bytes transferred from destination to source
7	Land	Binary	1 if connection is from/to the same host/port; 0 oth- erwise
8	Wrong_fragment	Numeric	# of "wrong" fragments
9	Urgent	Numeric	# of urgent packets (with the urgent bit set)
	Content feati	ares within	a connection
		by domain	knowledge
10	Hot	Numeric	# of "hot" indicators
11	Num_failed_lo- gins	Numeric	# of failed login attempts
12	Logged_in	Binary	1 if successfully logged in; 0 otherwise
13	Num_compro- mised	Numeric	# of "compromised" con- ditions
14	Root_shell	Binary	1 if root shell is obtained: 0 otherwise
15	Su_attempted	Binary	1 if "su root" command attempted; 0 otherwise
16	Num_root	Numeric	# of "root" accesses
17	Num_file_cre- ations	Numeric	# of file creation opera-
18	Num_shells	Numeric	# of shell prompts
19	Num_access files	Numeric	# of operations on access control files
20	Num_outbound cmds	Numeric	# of outbound commands in an ftp session
21	Is_hot_login	Binary	1 if the login belongs to the "hot" list; 0 otherwise
22	Is_guest_login	Binary	1 if the login is a "guest"

No.	Feature	Type	Description
	Traffic features com		a two-second time window
23	Count	Numeric	# of connections to the same host as the current connection (Note: The following features refer to these same-host connections.)
24	Serror_rate	Numeric	# of connections that have "SYN" errors
25	Rerror_rate	Numeric	% of connections that have "REJ" errors
26	Same_srv_rate	Numeric	% of connections to the same ser- vice
27	Diff_srv_rate	Numeric	% of connections to different ser- vices
28	Srv_count	Numeric	% of connections to the same ser- vice as the current connection in the past two seconds (Note: The following features refer to these same-service connections.)
29	Srv_serror_rate	Numeric	% of connections that have "SYN" errors
30	Srv_rerror_rate	Numeric	% of connections that have "REJ" errors
31	Srv_diff_host_rate	Numeric	% of connections to different hosts
1	Host based traffic features	computed t	asing a two-second time window
32	Dst_host_count	Numeric	# of connections having the same destination host
33	Dst_host_srv_count	Numeric	# of connections using the same service
34	Dst_host_same_srv rate	Numeric	% of connections using the same service
35	Dst_host_srv_diff host_rate	Numeric	% of different services on the cur- rent host
36	Dst_host_same_src port_rate	Numeric	% of connections to the curren host having the same src port
37	Dst_host_srv_diff host_rate	Numeric	% of connections to the same ser- vice coming from different hosts
38	Dst_host_serror_rate	Numeric	% of connections to the curren host that have an S0 error
39	Dst_host_srv_serror rate		host that and specified service that have an S0 error
40	Dst_host_rerror_rate	Numeric	% of connections to the curren host that have an RST error
41	Dst_host_srv_rerror rate	Numeric	% of connections to the curren host and specified service that have an RST error

Each record in the NSL-KDD dataset is labeled with either normal or a particular class of attack. The training data contains 23 traffic classes that include 22 classes of attack and one normal class. The test data contains 38 traffic classes that include 21 attacks classes from the training data, 16 novel attacks, and one normal class.

The attack types are grouped into four categories -

- 1. DoS (Denial of Service) Attacks that target availability or prevent legitimate users from accessing information or services.
- 2. Probe Attacks that aim at gathering information by scanning or probing the network.
- 3. U2R (User to Root) Attacks that attempt to access normal user account and exploit vulnerabilities in the system for privilege escalation.
- 4. R2L (Remote to Local) Attacks that attempt to gain unauthorized remote access to a local machine.

## **Data Preprocessing**

## **One-Hot Encoding**

The features in the NSL KDD dataset have three data types: nominal, binary and numeric. We use one hot encoding to convert the nominal features, "protocol\_type", "service" and "flag". Since, "protocol\_type" has three types of values - "tcp", "udp" and "icmp". So, we convert the column "protocol\_type" to "protocol\_type\_tcp", "protocol\_type\_udp" and "protocol\_type\_icmp". This helps to convert the nominal values to binary values.

Using one-hot encoding, the feature "service" is transformed to 70 new features, and the feature "flag" to 11 new features. In this way, the 41-feature dataset is mapped to a 122-feature dataset.

Attack Label	Attack Type
Denial of Service (DOS)	Back, Land, Neptune, Pod, Smurf, Teardrop, Apache2, Udpstorm, Processtable, Worm, Mailbomb
Probe	Buffer_overflow, Loadmodule, Rootkit, Perl, Sqlattack, Xterm
Remote to Local (R2L)	Guess_Password, Ftp_write, Imap, Phf, Multihop, Warezmaster, Warezclient, Spy, Xlock, Xsnoop, Snmpguess Snmpgetattack, ProbHttptunnel, Sendmail, Named
User To Root (U2R)	Buffer_overflow, Loadmodule, Rootkit, Perl, Sqlattack, Xterm, Ps

Attack Types

#### Normalisation

Min-max scaling is used to normalise all the numerical values to values between 0 and 1. This helps to prevent imbalanced results by some classifiers.

$$x_{norm} = \frac{x - x_{min}}{x_{max} - x_{min}}$$

## **Final Summary of Dataset**

After one-hot encoding, normalization, and classification of attack types, the problem was transformed to a 5-class classification problem where the 5 labels are "Normal", "DoS", "Probe", "R2L", and "U2R", and the 122 numeric features fall into the range between 0 and 1. The number of samples in the training set is 125,973 and in the test set 22,544.

### **Adversarial Attacks**

# <u>How Adversarial Attacks Work</u> (YCombinator blog By Emil Mikhailov and Roman Trusov)

Studies by Google Brain show that all Machine Learning classifiers can be tricked to give incorrect predictions. For Adversarial Attacks, we generate input in a specific way to get the wrong results from a model.

Types of Adversarial attacks -

- Non-targeted Adversarial attack The most general type of attack in which the
  objective is simply to make the classifier give some output other than the intended
  output.
- 2. Targeted Adversarial attack Here the aim is to fool the classifier into predicting a specific class for a given data point (obviously different from the intended class). These are harder to carry out.

## **Algorithms**

• **FGSM (Fast Gradient Sign Method)** - The idea is to add some weak noise on every step of optimisation, to drift towards the required class (targeted attack) or away from the actual class (non-targeted attack). This is like an optimisation problem, we optimise the noise to **maximise** the error.

Good tutorial -

https://towardsdatascience.com/adversarial-examples-in-deep-learning-be0b08a94953 We take the derivative of the loss function w.r.t x, since the parameters of the model are already computed and y is also fixed.

$$\nabla_{\mathsf{x}} \mathsf{L}(\theta,\mathsf{x},\mathsf{y})$$

We take a very small value, epsilon ( $\epsilon$ ) and multiply it with the gradient. This is the perturbation we introduce.

$$\eta = \varepsilon \operatorname{sign}(\nabla_{\mathsf{x}} L(\theta, \mathsf{x}, \mathsf{y}))$$

So this perturbation is added to the original data to generate adversarial examples.

$$x_{adv} = x + \eta$$

The family of attack where you are able to use compute gradients using the target model are called **white-box attacks**.