

# Advanced Algorithms and Complexity :

## Lecture 1

### The Complexity Class $P$

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**Alphabets** are finite sets of symbols. Examples: the binary alphabet  $\{0, 1\}$   
**Strings** are concatenation of zero or more symbols from alphabet. Examples are :  $\epsilon$  (string of length 0), 0, 10, 00, 000, 101, 100, 110, .... Length of a string  $w$  is denoted by  $|w|$ . For example  $|\epsilon| = 0$  and  $|110| = 3$ .

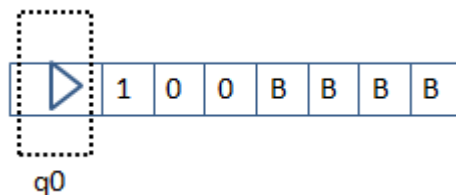
**Languages** are sets of strings. For example, we can define a language  $L_e$  as the set of all binary strings ending in 0:  $L_e = \{0, 10, 00, 000, 010, 100, 110, \dots\}$

**Turing Machines** are a formal model of computation.

**Example of a TM:** We will design a TM for accepting the language  $L_e$  as follows: TM's have a tape which is divided into cells. For simplicity we are considering a single-tape TM. We can also have multi-tape TMs having more than one tape. Again, for simplicity we are assuming that the tape is semi-infinite tape which starts from a cell having a special start symbol which we denote by  $\triangleright$ . We can also have 2-way infinite tape, and also 2-dimensional tapes. TM has a head that scans a single cell at a time. Initially it scans the start symbol. In any move of the TM, the head can either move Left (L), Right (R) or Stay (S) at the current cell. TMs can be in one of possible (finite) states. Initially, the TM is in the start state which we denote by  $q_s$ . With each move TMs can change the state. There is a state called halting state which we denote by  $q_h$ . When TM is in  $q_h$  state, then there is no further move possible and we say that the TM has accepted its input. If the TM halts in any other state other than  $q_h$ , then we say that the TM has rejected

its input. TMs can be thought of as an algorithm that takes some input on its tape and gives some output (yes if it accepts, no if it rejects). The ‘code’ of a TM is the transition function  $\delta$  which specifies how the TM changes its state, moves its head, and modifies the current cell on a particular state and the current cell content. For the example language  $L_e$  we have:

$L_e = \{0, 00, 10, 000, 010, 100, 110, \dots\}$  Initially the TM on input 100 will be:



We can easily design the transition function  $\delta$  for this TM as follows:

$$\delta(q_0, \triangleright) = (q_1, \triangleright, R)$$

$$\delta(q_1, 0) = (q_1, 0, R)$$

$$\delta(q_1, 1) = (q_1, 1, R)$$

$$\delta(q_1, B) = (q_2, 0, L)$$

$$\delta(q_2, 0) = (q_n, 0, S)$$

We can run this TM with input 100 and see that it halts in state  $q_h$ . Therefore the TM accepts the input 100. On input 101, it will halt in state  $q_2$ . Therefore the TM accepts the language  $L_e$ .

The example TM that we have considered is a deterministic TM (DTM) because each transition function specifies exactly one possible move. We can also have a Non-Deterministic TM (NTM) in which the transition function specifies more than one possible moves.

Formally we can define a DTM (one-tape) as a 3-tuple  $(\Gamma, Q, \delta)$  where  $\Gamma$  is the finite set of possible tape symbols (including the input alphabet and

the symbols  $\triangleright$  and  $B$ ).  $Q$  is the finite set of states (including  $q_s$  and  $q_h$ ).  $\delta : Q \times \Gamma \rightarrow (Q, \Gamma, \{L, R, S\})$  is the transition function specifying the moves of the DTM. We say that the DTM accepts a language  $L$  if for any  $x$ ,  $x \in L \implies$  DTM halts in state  $q_h$  when started with input  $x$  with initial state  $q_s$  and head scanning the  $\triangleright$  symbol.  $x \notin L \implies$  DTM halts in state  $q \in Q - q_h$  when started with input  $x$  with initial state  $q_s$  and the head scanning the  $\triangleright$  symbol.

**Time Complexity of DTMs:** For the example DTM, we observe that it makes  $\Theta(n)$  moves on input of size  $n$ . We say that its time-complexity is  $\Theta(n)$ . Time-complexity of a DTM is independent of the input (whether it belongs to the language or not). It only depends on the input size  $n$ .

**The time complexity class DTime:** Let  $T : \mathbb{N} \rightarrow \mathbb{N}$  be some function. A Language  $L \in \text{Dtime}(T(n)) \iff \exists$  DTM that runs in time  $O(T(n))$  and accepts  $L$ .

**The time complexity class  $P$ :**  $P = \cup_{c \geq 1} \text{DTime}(n^c)$   
The time complexity class  $P$  is defined for languages (decision problems with Yes/No as output).

**Some examples of problems in  $P$ :** Given two integers  $x$  and  $y$  of  $n$  bits each, we can multiply them in  $O(n^2)$  time on a RAM TM (TM with RAM: Random Access Memory). A  $T(n)$ -time RAM TM can be simulated in  $T(n^2)$  time by a multitape DTM. This will not change the time complexity class  $P$  whether we define it by using a multitape DTM or by using a RAM TM. So for proving that a given language belongs to the class  $P$ , it is sufficient to give a polynomial time algorithm (RAM TM) for the language.

The multiplication problem is: given  $x$  and  $y$  (integers) find an integer  $z$  such that  $z = xy$ . This is not a decision problem. We create a language  $L_{\text{mult}}$  as follows:  $L_{\text{mult}} = \{(x, y, z) \mid x, y, z \text{ are binary integers such that } z = xy\}$ .

For example  $(10, 11, 110) \in L_{\text{mult}}$  and

$(10, 11, 111) \notin L_{\text{mult}}$

because  $(10)_2 \times (11)_2 = (110)_2$  and

$(10)_2 \times (11)_2 \neq (111)_2$

A RAMTM for accepting  $L_{\text{mult}}$ : calculate  $z' = xy$  in time  $O(n^2)$  and check

whether  $z' = z$  in time  $O(n)$  with total time complexity as  $O(n^2)$   
 $\implies L_{\text{mult}} \in P$ .

**$P$  is closed under complementation ( $P = Co - P$ ):**  $Co - P$  is defined as a time complexity class as follows:

We say that a language  $L \in Co - P$  if  $\bar{L} \in P$ ,  $Co - P$  is not the complement of the class  $P$  ( $\bar{P}$ ).

For example:  $L_{\text{mult}} \in P \implies \overline{L_{\text{mult}}} = \{(x, y, z) \mid x, y, z \text{ are integers such that } z \neq xy\} \in Co - P \iff \bar{L} \in P$ .  $L \in P \implies \exists$  DTM  $T$  accepting  $L$  in polynomial time. We modify  $T$  as follows: convert  $q_h$  into a new non-halting state  $q'$ . When  $T$  halts on an input in a non-halting state (original states), then add a move so that  $T$  halts in  $q_h$  state. Now the new DTM  $T'$  has the property that it has the same time-complexity as  $T$  and also that whenever  $T$  accepts an input,  $T'$  rejects it, and whenever  $T$  rejects an input,  $T'$  accepts it

$\implies T'$  accepts  $\bar{L}$  in polynomial time  $\implies \bar{L} \in P$ .

**$Co - P \subseteq P$ :** Let  $L \in Co - P$ . We will prove that  $L \in P$  as follows:  $L \in Co - P \iff \bar{L} \in P \implies \exists$  DTM  $T$  accepting  $\bar{L}$  in polynomial time. Now we modify  $T$  to get a DTM  $T'$  as before that accepts  $L$  in polynomial time  $\implies L \in P$ .

Example RAM TM for  $\overline{L_{\text{mult}}}$ : On input  $(x, y, z)$ , calculate  $z' = xy$  in time  $O(n^2)$ . Compare  $z$  with  $z'$  in time  $O(n)$  and accept the input if  $z \neq z'$ , otherwise reject the input. The total time complexity of the RAM TM is  $O(n^2) \implies \overline{L_{\text{mult}}} \in P$ .