

## ALGORITHMS - COMPLEXITY

### Complexity Classes

- Time Complexity Classes vs. Space Complexity Classes
- Tractability: Polynomial Time vs. Exponential Time

# COMPLEXITY CLASSES - DEFINITION

- A *complexity class* is a class of problems
  - each of which is solvable by at least one algorithm of
    - certain time complexity and/or space complexity under a specific machine model
- Usually we restrict ourselves to (complexity) classes of *decision problems* unless otherwise specified.

# COMPLEXITY CLASSES - MACHINE MODEL

- Typically the machine model is the deterministic Turing Machine (DTM) model OR the non-deterministic Turing Machine (NDTM) model
  - The DTM model may often be substituted by the RAM model or equivalently a general purpose programming language
  - The word “deterministic” refers to the nature of the **computation**: *from a given state, on a given input, the machine will go to one specific state*

# COMPLEXITY FUNCTIONS

- Any **complexity function**  $f$  referred – in the definition of a complexity class – must be a proper complexity function, i.e.
  - $f: \mathbf{N} \rightarrow \mathbf{N}$
  - $f$  is monotonic i.e.  $f(n+1) \geq f(n)$  for all  $n$
  - $f(n)$  itself can be computed in  $O(n+f(n))$  time using  $O(f(n))$  space.
- Question:
  - Which of the following are proper complexity functions?
    - $\sin(x)$
    - $f(N) = 1$  if  $N$  is even,  $\sqrt{N}$  if  $N$  is odd.
    - $f(N) = p$  the largest prime factor of  $N$

## COMPLEXITY CLASSES – GENERIC DEFINITIONS - TIME

- Define **TIME(f(n))** as the complexity class of problems that
  - can be solved by (RAM) algorithms of time complexity **O(f(n))** in the worst case, where n is the size of the input

**TIME(f(n)) =**

**{  $\pi$  |  $\exists$  algorithm A: A solves  $\pi$  in O(f(n)) time }**

## COMPLEXITY CLASSES – GENERIC DEFINITIONS - SPACE

- Similarly, define **SPACE(f(n))** as the complexity class of problems that
  - can be solved by (RAM) algorithms of space complexity **O(f(n))** in the worst case, where n is the size of the input:  
**SPACE(f(n)) =**  
**{  $\pi$  |  $\exists$  algorithm A: A solves  $\pi$  in O(f(n)) space}**
- Question:
  - Given a function f(n), is there a relation between TIME(f(n)) and SPACE(f(n))?

## COMPLEXITY CLASSES – EXAMPLES

- An algorithm is a polynomial time algorithm if
  - the time taken by the algorithm is  $O(n^k)$  for some positive integer constant  $k$ , where  $n$  is the input size.
- Define  $P = \{ \pi \mid \pi \text{ is a decision problem that can be solved by a polynomial time algorithm} \}$ 
  - i.e.  $P = \bigcup_{k \in \mathbb{N}} \text{TIME}(n^k)$

## COMPLEXITY CLASSES - EXAMPLES

[2]

- Define  $\text{EXP} = \{ \pi \mid \pi \text{ is a decision problem that can be solved by an exponential time algorithm} \}$ 
  - i.e.  $\text{EXP} = \bigcup_{k \in \mathbb{N}} \text{TIME}(2^{\text{poly}(n)})$  where  $\text{poly}(n)$  is a polynomial function of  $n$ .
- $P \subseteq \text{EXP}$ 

By definition.

  - Is  $P \subset \text{EXP}$  ?



# COMPLEXITY CLASSES - HIERARCHY

- Define a function  $H_f$  such that
  - $H_f(A, x) = 1$  if  $A(x)$  halts after  $f(|x|)$  steps and returns 1  
= 0 otherwise
- Lemma 3:
  - $H_f$  can be solved in  $O((f(n))^3)$  time i.e.  $H_f \in \text{TIME}((f(n))^3)$
  - Proof:
    - By construction.
- Lemma 4:
  - $H_f \notin \text{TIME}(f(\lfloor n/2 \rfloor))$
  - Proof:
    - By diagonalization.
- The Time Hierarchy Theorem:
  - $\text{TIME}(f(n)) \subset \text{TIME}((f(2n+1))^3)$  for any  $f(n) \geq n$

# COMPLEXITY CLASSES - HIERARCHY

[2]

- The Time Hierarchy Theorem:

- $\text{TIME}(f(n)) \subset \text{TIME}((f(2n+1))^3)$  for any  $f(n) \geq n$

- Corollary:

- $\mathbf{P} \subset \mathbf{EXP}$

- Proof:

- $\mathbf{P} \subseteq \text{TIME}(2^n)$  by definition of polynomial functions and  $\mathbf{P}$
- $\text{TIME}(2^n) \subset \text{TIME}((2^{2n+1})^3)$  by the time hierarchy theorem
- $\text{TIME}((2^{2n+1})^3) = \text{TIME}(2^{6n+3}) \subseteq \mathbf{EXP}$  by definition of  $\mathbf{EXP}$

# COMPLEXITY CLASSES - TRACTABILITY

- $P \subset EXP$

- Example:

- Several games are in  $EXP - P$

- We say that

- a problem  $\pi$  is *tractable* if  $\pi \in P$  and
- it is *intractable* otherwise

# (SPACE) COMPLEXITY CLASSES -

## ○ Define

- $PSPACE = SPACE(f(n))$ 
  - where  $f(n)$  is  $n^k$  for some constant  $k \geq 0$
- e.g.  $3\text{-SAT} \in PSPACE$
- e.g.  $QSAT \in PSPACE$

## ○ QSAT is defined as follows:

- Given a quantified propositional logic formula of the form
  - $\forall x_1 \exists x_2 \forall x_3 \exists x_4 \dots \forall x_{2n-1} \exists x_{2n} \phi$
- find whether it evaluates to TRUE.

## ○ Compare this with SAT.

# (SPACE) COMPLEXITY CLASSES -

## ○ Define

- $PSPACE = SPACE(f(n))$ 
  - where  $f(n)$  is  $n^k$  for some constant  $k \geq 0$
- e.g.  $QSAT \in PSPACE$

## ○ Define

- $L = SPACE(f(n))$ 
  - where  $f(n)$  is  $\log(n)$