

## CS F364: Design & Analysis of Algorithm

# 09

## Matroids Application Minimum Spanning Tree



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## Matroids

Theory for some situations in which the greedy yields optimal solutions

- **Matroids:** ordered pair  $M = (S, I)$  satisfying the following
  1.  $S$  is a finite set
  2. **Hereditary property:**  $I$  is a nonempty family of subsets of  $S$ , called the **independent** subsets of  $S$ , such that  $B \in I$  and  $A \subseteq B$ , then  $A \in I$ . (Question: is  $\phi$  a member of  $I$ ? Y)
  3. **Exchange property:** If  $A \in I$ ,  $B \in I$ , and  $|A| < |B|$  then **there exists** some element  $x \in B - A$  such that  $A \cup \{x\} \in I$ .

### Graphic Matroid $M_G = (S_G, I_G)$

Defined in terms of a given undirected graph  $G = (V, E)$

1.  $S = E$
2. If  $A$  is a subset of  $E$ , then  $A \in I_G$  if and only if  $A$  is acyclic.  
So  $(V, A)$  is a forest

For  $G = (V, E)$  a undirected graph  $M_G = (S_G, I_G)$  is a matroid

**Proof:**

- $S_G$  is finite
- Hereditary property: subset of a forest is a forest
- Exchange property:  
Number of trees in a forest  $(V, E_f)$  is  $|V| - |E_f|$   
For  $A, B \in I$  if  $|A| < |B|$  then  $B$  has fewer trees  
→ Consider and edge  $x \in B$  that links two trees in  $A$

**Extention:**  $x$  extends  $A$

**Maximal independent set:** set that can not be extended

### All maximal independent set have same size

Suppose to the contrary that  $A$  is a maximal independent subset of  $M$  and there exists another larger maximal independent subset  $B$  of  $M$

- Then due to exchange property  $\exists x \in B - A$  so that  $A$  could be extended
- so  $A$  is not maximal independent set
- **Contradiction.**

### Weighted matroid

- $M = (S, I)$  is weighted if it is associated with weight function  $w(x)$  for all  $x \in S$
- $w(A)$  is defined as

$$w(A) = \sum_{x \in A} w(x)$$

- One example of  $w$  be the weight of the edge
- $w'(e) = w_0 - w(e)$

$$\begin{aligned} w'(A) &= \sum_{e \in A} w'(e) \\ &= \sum_{e \in A} (w_0 - w(e)) \\ &= (|V| - 1)w_0 - \sum_{e \in A} w(e) \\ &= (|V| - 1)w_0 - w(A) \end{aligned}$$

See a minimization problem as maximization one

### Minimum Spanning Tree Problem

- Subset of the edges that connects all of the vertices together and has minimum total length
- It is like finding maximal independent set in  $M_G$

#### Algorithm 1: Greedy( $M, w$ )

```
1  $A = \phi$ 
2 sort  $M.S$  in decreasing order of weight  $w$ 
3 for  $x \in M.S$  take in order do
4   if  $A \cup \{x\} \in M.I$  then
5      $A = A \cup \{x\}$ 
6 return  $A$ 
```

Complexity  $O(n \log n + nf(n))$

## Matroids exhibit the greedy-choice property

Consider  $M = (S, I)$  with weight function  $w$ . Let  $S$  sorted in decreasing order. Consider  $x$ , the the **first** element of  $S$  such that  $\{x\}$  is independent. **if  $\exists x$  then there exists an optimal subset  $A$  containing  $x$**

- Let  $B$  be any nonempty optimal subset with  $x \notin B$
- No element of  $B$  has weight greater than  $w(x)$
- Construct  $A$  by taking  $x$  and then items from  $B$
- $A$  and  $B$  are of same size differing on only one item  $y \in B$

$$\begin{aligned} w(A) &= w(B) - w(y) + w(x) \\ &\geq w(B) \end{aligned}$$

- Contradiction. As  $B$  was optimal

## Matroids exhibit the optimal-substructure property

Let  $x$  be the first element of  $S$  chosen by GREEDY for the weighted matroid  $M = (S, I)$ . We can reduce the problem to  $M' = (S', I')$ .

- $S' = \{y \in S : \{x, y\} \in I\}$
- $I' = \{B \subseteq S - \{x\} : B \cup \{x\} \in I\}$

This is because  $A' = A - \{x\}$  is an independent subset of  $M'$ .

Thank You!

Thank you very much for your attention! (Reference<sup>1</sup>)

Queries ?

## Matroids exhibit the greedy-choice property

Let  $M = (S, I)$  be any matroid. If  $x$  is an element of  $S$  that is an extension of some independent subset  $A$  of  $S$ , then  $x$  is also an extension of  $\phi$

- Since  $x$  is an extension of  $A$ , we have that  $A \cup \{x\}$  is independent. Since  $I$  is hereditary,  $\{x\}$  must be independent. Thus,  $x$  is an extension of  $\phi$ .

Let  $M = (S, I)$  be any matroid. If  $x$  is an element of  $S$  such that  $x$  is not an extension of  $\phi$ , then  $x$  is not an extension of any independent subset  $A$  of  $S$   
**contrapositive** of above

Any elements that GREEDY passes over initially because they are not extensions of  $\phi$  can be forgotten about, since they can never be useful.

## Correctness of the greedy algorithm on matroids

If  $M = (S, I)$  is a weighted matroid with weight function  $w$ , then GREEDY( $M, w$ ) returns an optimal subset

- Any elements that GREEDY passes over initially because they are not extensions of  $\phi$  can be forgotten about, since they can never be useful.
- Once GREEDY selects the first element  $x$ , the algorithm does not err by adding  $x$  to  $A$ , since there exists an optimal subset containing  $x$ .
- Finally, the remaining problem is one of finding an optimal subset in the matroid  $M'$  that is the contraction of  $M$  by  $x$ .

<sup>1</sup>[1] Book - Introduction to Algorithm, By THOMAS H. CORMEN, CHARLES E. LEISERSON, RONALD L. RIVEST, CLIFFORD STEIN