

## Agenda

- ANALYSIS OF ALGORITHMS:**
  - ONLINE PROBLEMS AND AMORTIZED ANALYSIS**
  - ADAPTIVE DATA STRUCTURES:**
    - DICTIONARY DATA STRUCTURE**
    - SELF-ORGANIZING LISTS**
    - COMPETITIVE PERFORMANCE**

# Dictionary Data Structure

- The way the list can be best arranged will depend on the input distribution:
  - i.e. an offline problem scenario in which the designer knows the input sequence ahead of time
    - (s)he can decide a data structure that is best for the sequence.
  - e.g. a BST where frequently accessed items are near the root
    - Recall the exercise on Dynamic Programming algorithm for Optimal BST

# Dictionary Data Structure

- But when operations are online, one needs adaptive data structures:
  - these are referred to as self-organizing lists:
    - e.g. can you rearrange the BST if you know the frequency of one or more input items?

# Self-Organizing Lists: Abstract Model

- Assume the following dictionary model:
  - A dictionary stores its elements as an unsorted list
    - find scans the list sequentially, i.e. to locate the  $i^{\text{th}}$  item, the cost is  $i$ .
    - Similarly, insert would cost  $i+1$  for the  $i^{\text{th}}$  item.
- Suppose accesses are independent of each other and suppose the probability of accessing item  $i$  is given, say,  $p_i$ 
  - An optimum algorithm will arrange items in non-increasing order by probability :
    - let us refer to this algorithm as DP (for decreasing probability).

# Self-Organizing Lists

- Self-Organizing Strategies:
  - **Move-to-Front (MF):**
    - On access/insertion, move the item to the front, without changing the relative order of other items.
  - **Transpose (T):**
    - On access/insertion, exchange it with the preceding item
  - **Frequency Count (FC):**
    - Maintain the list in non-increasing order by frequency count. Increase count on access/insertion.

# S-0 Lists: Performance

- Suppose
  - the list size is fixed,
  - accesses are independent of each other, and
  - the probability of accessing item  $i$  is given, say,  $p_i$ 
    - [Note: The last assumption is relevant only for DP.]
- What would be the competitive performance of the online algorithms?

# S-O Lists: Performance of FC

- How competitive is FC w.r.t. DP ?
  - $E_{FC} / E_{DP} \cong 1$
  - Intuitive argument (based on the Law of Large Numbers):
    - Consider a long sequence of operations i.e.
      - #operations  $\gg$  size of list
    - FC would have put the most frequent items in the beginning of the list
      - *according to the frequency (at this point)*
    - Now if you run DP on this sequence of operations on this list, how would they (FC and DP) compare?

## S-O Lists: Performance of MF and T - Results

- Under assumptions similar to those of the last slide:
  - $E_{MF}(p) / E_{DP}(p) \leq 2$ .
  - $E_T(p) \leq E_{MF}(p)$ 
    - But MF performs much better in practice:
      - because it soon converges to its asymptotic behavior given a random initial list
      - and it behaves close to a static decreasing frequency algorithm.
    - When tested on real data:
      - MF beats T consistently
      - MF is competitive with FC and sometimes better
        - *because MF is tuned for data with high locality*