

## ALGORITHM DESIGN TECHNIQUES - GREEDY

### Matroids – A Theoretical Framework for Greedy Algorithms

- Properties of Matroids
  - Maximal Independent Subsets
- Weighted Matroids
  - Definition
  - Optimal Subsets
    - Example: Minimum Spanning Tree problem
- Greedy Algorithm for Weighted Matroid
  - Template Algorithm
  - Correctness and Efficiency

# MATROIDS : EXTENSIONS TO SUBSETS

## ○ Definition (**Extension**):

- Given a matroid  $G = \langle S, I \rangle$ , an element  $x$  not in  $A$  is said to be an extension of  $A$  is in  $I$  if  $(A \cup \{x\})$  is in  $I$ :
  - i.e. *if addition of  $x$  to  $A$  preserves independence.*

## ○ Example:

- Consider a graphic matroid  $M_G$  :
  - Let  $A$  be independent set of edges.
  - Then edge  $e$  is an extension of  $A$  iff
    - i.  $e$  is not in  $A$  and
    - ii. adding  $e$  does not induce a cycle

# MATROIDS : MAXIMAL INDEPENDENT SUBSET

## ○ Definition (**MIS**):

- Given a matroid  $G = \langle S, I \rangle$ , an independent subset  $A$  is in  $I$  is said to be maximal if it has no extensions:
  - i.e. *if  $A$  is not a subset of any  $B$  in  $I$ .*

## ○ Example:

- Consider a graphic matroid  $M_G$  :
  - What would be an MIS for  $M_G$  ?

# MATROIDS : PROPERTY OF MISs

## ○ Theorem (Size of **MISs**):

- All maximal independent subsets of a matroid are of equal size.

## ○ Proof (by contradiction):

- Let  $A$  be an MIS of a given matroid  $M$ .
- Suppose there is another independent subset  $B$  of  $M$  that is maximal and  $|B| > |A|$ 
  - Then by the exchange property
    - there exists an  $x$  in  $B - A$  such that  $(A \cup \{x\})$  is in  $I$ 
      - i.e.  $A$  is not maximal.

QED

# WEIGHTED MATROIDS

## ○ Definition (**Weighted Matroids**):

- A matroid  $M = \langle S, I \rangle$  is weighted if there is a weight function  $w: S \rightarrow \mathbb{Z}^+$

## ○ Definition (Weight of independent sets):

- The weight function  $w$  can be extended to the members of  $I$ :

○ For any  $A$  is in  $I$ ,  $w(A) = \sum_{x \in A} w(x)$

# WEIGHTED MATROIDS – OPTIMAL SUBSETS

- Given a weighted matroid  $M = \langle S, I, w \rangle$ , an independent subset  $A$  with maximum possible weight is said to be *optimal*.

- Claim:

*Since the weight function is positive on elements of  $S$ , an optimal subset is always a maximal independent subset.*

- Terminology:

- To avoid confusion, we may refer to **optimal subsets** as **maximum weight subset**.

# WEIGHTED MATROIDS – OPTIMAL SUBSETS

## Recall:

Given, an undirected graph  $G=(V,E)$ , define  $M_G = \langle S_G, I_G \rangle$  as:

- $S_G = E$
- If  $A \subseteq S$ , then  $A$  is in  $I_G$  iff  $A$  is acyclic
- Then an MIS of  $M_G$  is a spanning tree of  $G$
- Consider the *minimum spanning tree* problem:
  - Given a weighed graph  $G = (V,E,w)$  extend  $M_G$  above by the weight function  $w'$  :
    - $w'(e) = w_m - w(e)$  where  $w_m \geq \max_{e \in E} (w(e))$
  - Then an optimal subset of the weighted matroid is a minimum spanning tree:
    - $w'(A) = \sum_{e \in A} w'(e) = (|V|-1)*w_0 - \sum_{e \in A} w(e)$   
 $= (|V|-1)*w_0 - w(A)$  for any MIS.

# WEIGHTED MATROIDS – GREEDY ALGORITHM

- GreedyWM(M) // M is a weighted matroid:  $\langle S, I, w \rangle$ 
  1.  $A = \{\}$  // A is the optimal subset being constructed
  2. let  $N = |M.S|$
  3. sort elements of M.S in decreasing order by weight w
  4. for  $i = 1$  to N
    - if  $A \cup \{ M.S[i] \}$  in M.I then  $A = A \cup \{ M.S[i] \}$
  5. return A
- Theorem:
  - Given a weighted matroid M, GreedyWM(M) returns an optimal subset.
- Complexity:
  - Time taken = Time for sorting + Time for N iterations  
 $= O(N \cdot \log N + N \cdot f(N))$   
where  $f(N)$  is time taken for testing whether a subset is independent.



# CORRECTNESS OF GREEDYWM: GREEDY CHOICE

## ○ Lemma (MAT\_CHOICE):

- Let  $M = \langle S, I, w \rangle$  be a weighted matroid with  $S$  sorted in decreasing order by weight.
- Let  $x$  be the first element of  $S$  such that  $\{x\}$  is in  $I$ , if it exists:
  - then there is an optimal subset  $A$  of  $S$  such that  $x$  is in  $A$ .

## ○ Proof (by cases):

- If no such  $x$  exists then we are done.
- else, let  $B$  be a nonempty optimal subset.
  - If  $x$  is in  $B$ , then let  $A = B$ ; we are done.
  - else then for any element  $y$  of  $B$ ,  $w(y) \leq w(x)$ . Why?
    - Let  $A = \{x\}$
    - Using the exchange property find a  $y$  in  $B$  and add it to  $A$  until  $|A| = |B|$  i.e.  $A = B - \{y\} \cup \{x\}$  for some  $y$  in  $B$ .
      - $w(A) \geq w(B)$ .
    - But  $B$  is optimal i.e.  $A$  must also be optimal.

# CORRECTNESS OF GREEDYWM: ORDER OF CHOICE

## ○ Lemma (CHOICE\_ORDER):

- Let  $M = \langle S, I \rangle$  be a matroid.
- If  $x$  is in  $S$  and  $x$  is an extension of some  $A$  in  $I$ ,
  - then  $x$  is also an extension of  $\{\}$

## ○ Proof:

- Since  $x$  is an extension of  $A$ ,  $A \cup \{x\}$  is in  $I$ .
- Since  $I$  is hereditary,  $\{x\}$  must be in  $I$ .

## ○ Corollary:

- Let  $M = \langle S, I \rangle$  be a matroid.
- If  $x$  is in  $S$  and  $x$  is not an extension of  $\{\}$ ,
  - then  $x$  is not an extension of any  $A$  in  $I$ .

## CORRECTNESS OF GREEDYWM: OPTIMAL SUBSTRUCTURE

- Given a weighted matroid  $M = \langle S, I, w \rangle$ , and an element  $x$  in  $S$  such that  $\{x\}$  is in  $I$ , define a contraction of  $M$  by  $x$  as the weighted matroid  $M' = \langle S', I', w' \rangle$ :
  - $S' = \{y \text{ in } S \mid \{x, y\} \text{ is in } I\}$
  - $I' = \{B \text{ subset of } S - \{x\} \mid B \cup \{x\} \text{ is in } I\}$
  - $w'$  is  $w$  restricted to  $S'$ .
- Theorem (OPTIMAL\_SUBSTRUCTURE):
  - Let  $x$  be the first element of  $S$  chosen by GreedyWM for the weighted matroid  $M = \langle S, I, w \rangle$ .
  - The remaining problem of finding a maximum-weight independent subset containing  $x$  reduces to
    - finding the maximum-weight independent subset of  $M'$ , the contraction of  $M$  by  $x$ .
- Proof: (omitted).