

Transaction & Concurrency Control

Just like Process of O.S.

Transaction is set of logically related op's to perform unit of work.

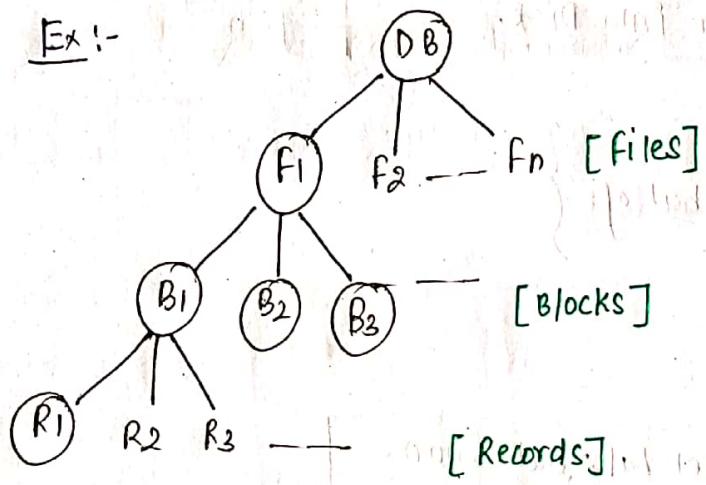
O.S. → Process

DBMS → Transaction.

Data Item :- [shared Resource]

It is a DB element which required to access by many transactions.

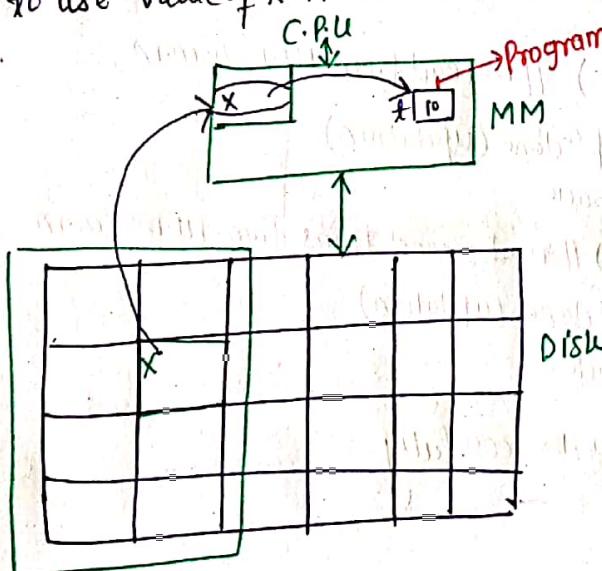
Ex :-



Main op's of Transaction :-

Read(x) : x: data item

Access data item x from DB file (Disk) to MM (Programmed Variable) to use value of x in transaction logic.



Read(x) :-

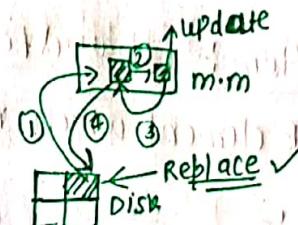
- 1) find block from DB file which consist data item x.
- 2) Transfer block from Disk to MM
- 3) find Address of x in MM block & copy Val of x in programmed Variable.

Write(x):-

Updation of data item x in DB file (Disk)

Write(x)

- Atomic
- 1) find block from DB file (Disk) which consist data item x .
 - 2) Transfer block to m.m.
 - 3) Find Address of x in m.m & update x .
 - 4) Replace updated block into Disk.



Ex

Account (Cid, bal)

Transfer 5000 from bal of.

Cid: 101 to Cid : 102

begin trans

Update Account & set bal = bal - 5000

Where Cid = 101;

Update Account & set bal = bal + 5000

Where Cid = 102;

end trans;

begin Trans T1

Read(bal) of Cid : 101 // read from HDD to m.m.

bal = bal - 5000; // opⁿ done (updation)

write(bal) // writeback

Read(bal) of Cid : 102 // read second Trans from HDD to m.m.

bal = bal + 5000 // opⁿ done (updation)

write(bal) // write back.

Commit // Trans executed successfully

end Trans T1

• Account (Cid bal)

Set bal of Cid 101, Cid

102 into 5000

begin

update Account set bal=5000 where cid=101;

update Account set bal=5000 where cid=102;

end

begin Trans T₂

- write(bal: 5000, Cid=101)
- write(bal: 5000, Cid=102)

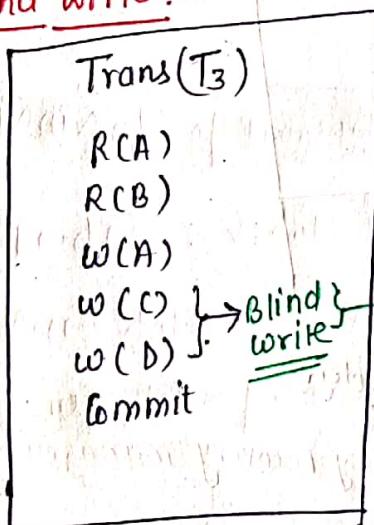
Commit

end Trans

Read & write opⁿ of Transaction
are atomic in Nature.

* * writing without reading → K/a blind write.

Blind write:



write without read ✓

ACID Properties: —

To preserve Integrity (correctness) transactions must

satisfy ACID Rules.

DBMS ↑ A - Atomicity } Maintained by Recovery mgmt
 D - Durability } Component of DBMS S/w.

* I - Isolation } maintained by (concurrency control) component DBMS S/w.

User ↓ C - Consistency } maintained by user DBA / DB developer / DB user

Rmc } Basic Recovery manager component ✓

CCC } **

concurrency control component ✓

Atomicity:-

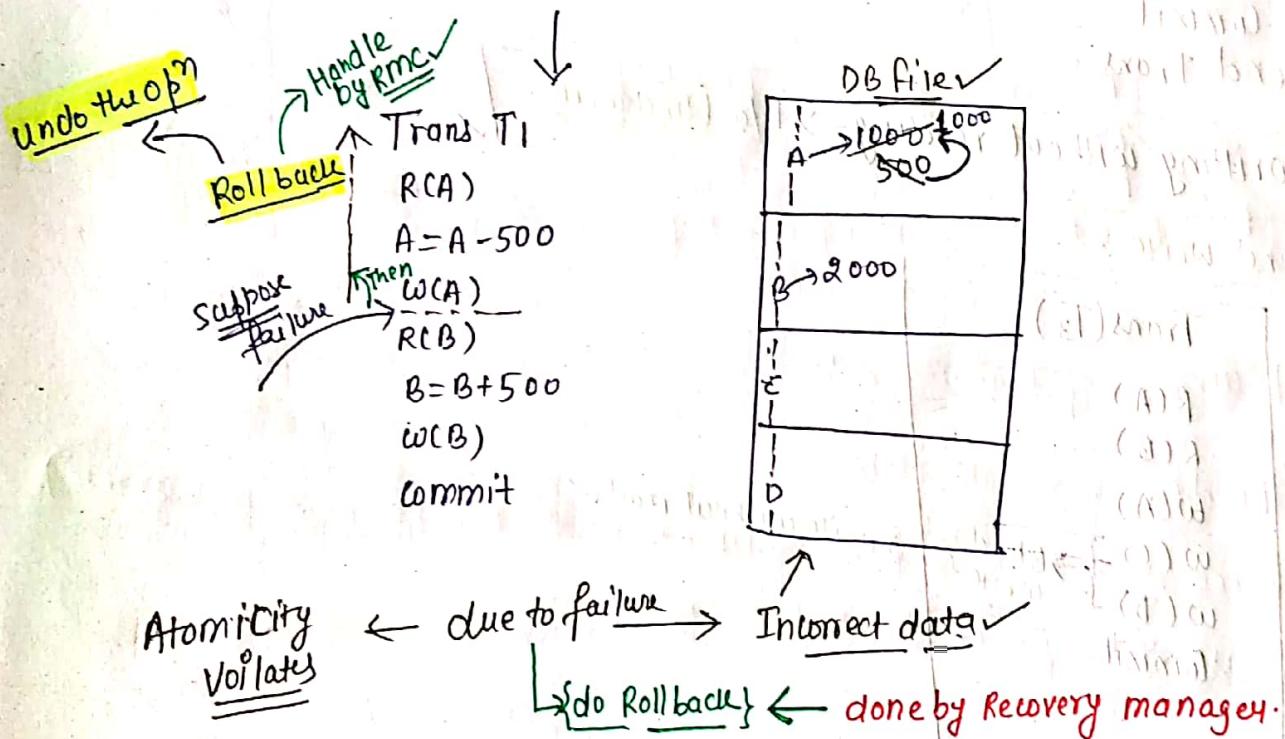
Execute all of the op^m of Transaction including Commit or // Commit ✓

Execute None of the op^m of Transaction // Roll back ✓

Before transaction Termination

Ex:-

Trans (T₁): Transfer 500 }
from A to B.



Recovery management Component:-

It handle Rollback transaction if Transaction failed anywhere before commit.

Roll back (Abort)

Undo modifications of DBfile which are done due to failure transactions.

Transaction log :-

*  } → Record all the activity ✓

File maintained by Recovery manager component in Disk to record all activities of transaction.

(Trans log)

- 1) T_1 begins
- 2) $T_1 R, A, 1000$
- 3) $T_1 W, A, 1000, 500$
- 4) $T_2 R, B, 2000$
- 5) $T_2 W, B, 2000, 2500$
- 6) T_1 Rollback;

→ It is the Transaction Log & It Record all the activity.

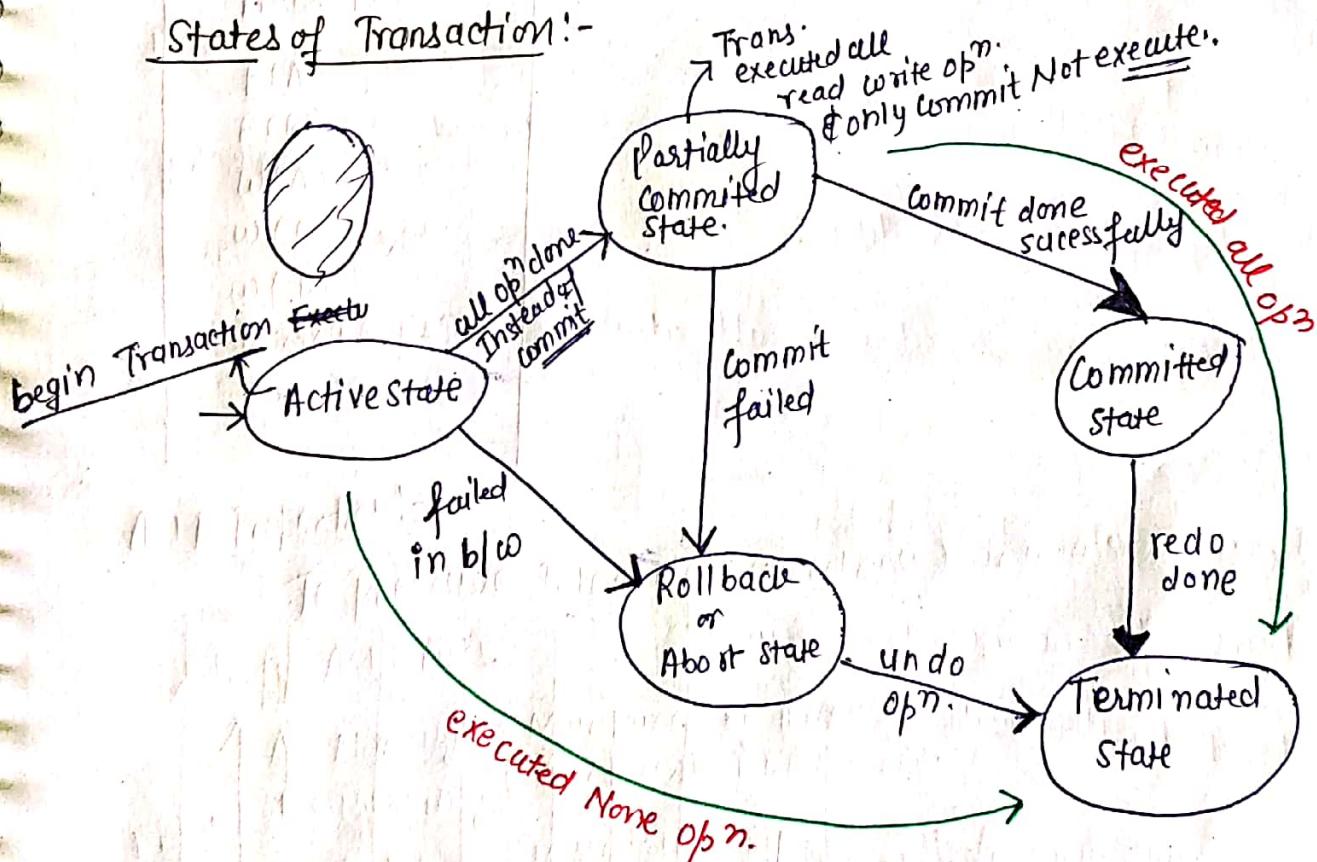
Dirty bit :- Block which is updated by uncommitted transaction.

→ transaction Commit hone ke baad dirtybit ka stamp hata do.

Redo opn :- All modifications of DB file because of Transaction Commit.

Undo opn :- All modifications of DB file because of transaction Roll back. ↑

States of Transaction :-



Active state :-

Transaction begins Executions.

Partially Committed state

Transaction Executed all R/w opⁿ & only commit not done.

(1) A

(2) B

(3) C

(1) A

(2) B

(3) C

(4) D

if any transaction in db fails then it is rolled back and transaction is committed

if all transaction succeed then all transaction is committed

if one transaction fails then only that transaction is rolled back and others are committed

if one transaction fails then only that transaction is rolled back and others are committed

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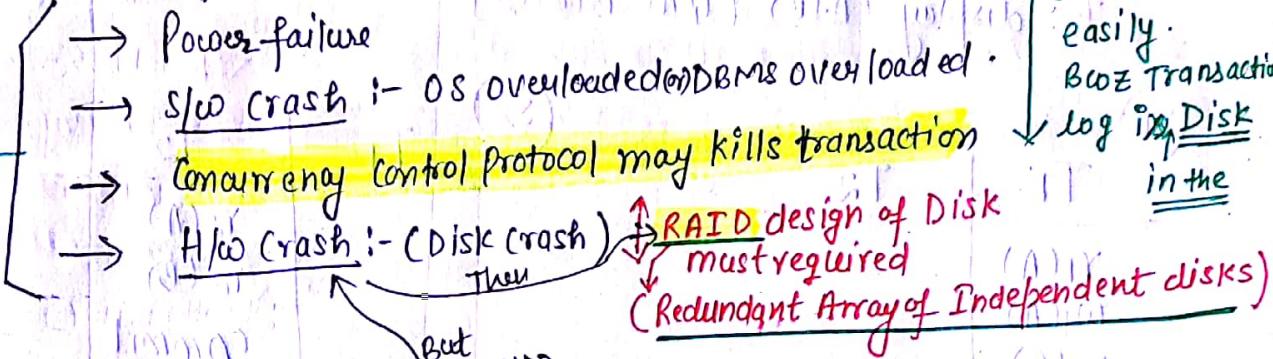
Lecture - 21 :-

Durability :-

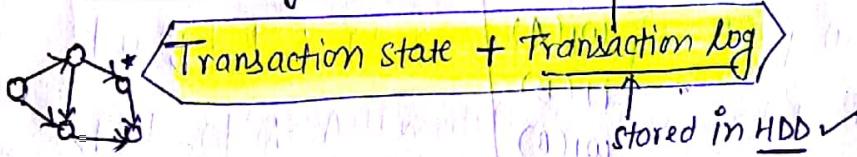
Transaction must be able to recover under any case of failure.

Transaction failure due to :-

must be
recover
so, it will
be durable



For recovery we need :-



Consistency :- [maintained by user].

User requested operations to perform transaction must be

logically correct. { If ^{one} transactions are from x to y then consistency says that sum of accounts x & y should remain constant at any point of time known as consistency } ✓

Isolation :-

Concurrent execution of two or more transactions result must be equal to result of any serial execution of transaction.

Schedule :- Time Order execution sequence of two or more transaction.

S:	T ₁	T ₂	T ₃
1		R(A)	
2	R(A)		
3			R(B)
4		w(A)	
5			w(B)
6	w(A)		

$$\Rightarrow S! r_2(A) r_1(A) r_3(B) w_2(A) w_3(B) w_1(A)$$

Serial Schedule :-

Transaction must execute one after other.

T₁: Transfer 500 from A to B. [r₁(A) w₁(A) \rightarrow r₁(B) w₁(B) c₁]

T₂: display (A+B) [r₂(A) r₂(B) c₂]

(S₁)

T ₁	T ₂
r ₁ (A)	
w ₁ (A)	
r ₁ (B)	
w ₁ (B)	
commit	

(S₂)

T ₁	T ₂
	r ₂ (A)
	r ₂ (B)
	Commit
r ₁ (A)	
w ₁ (A)	
r ₁ (B)	
w ₁ (B)	
Commit	

(T₁ \rightarrow T₂) serial

Correct Result

(T₂ \rightarrow T₁) serial

Correct Result

Advantage of Serial Schedule :-

Every serial schedule result always preserve Integrity

(Correctness)

Disadv :-

① Throughput is less (System)

② Degree of Concurrency is very less

③ Resource utilization is not good.

To Increase degree of concurrency

Use Concurrent schedule :-

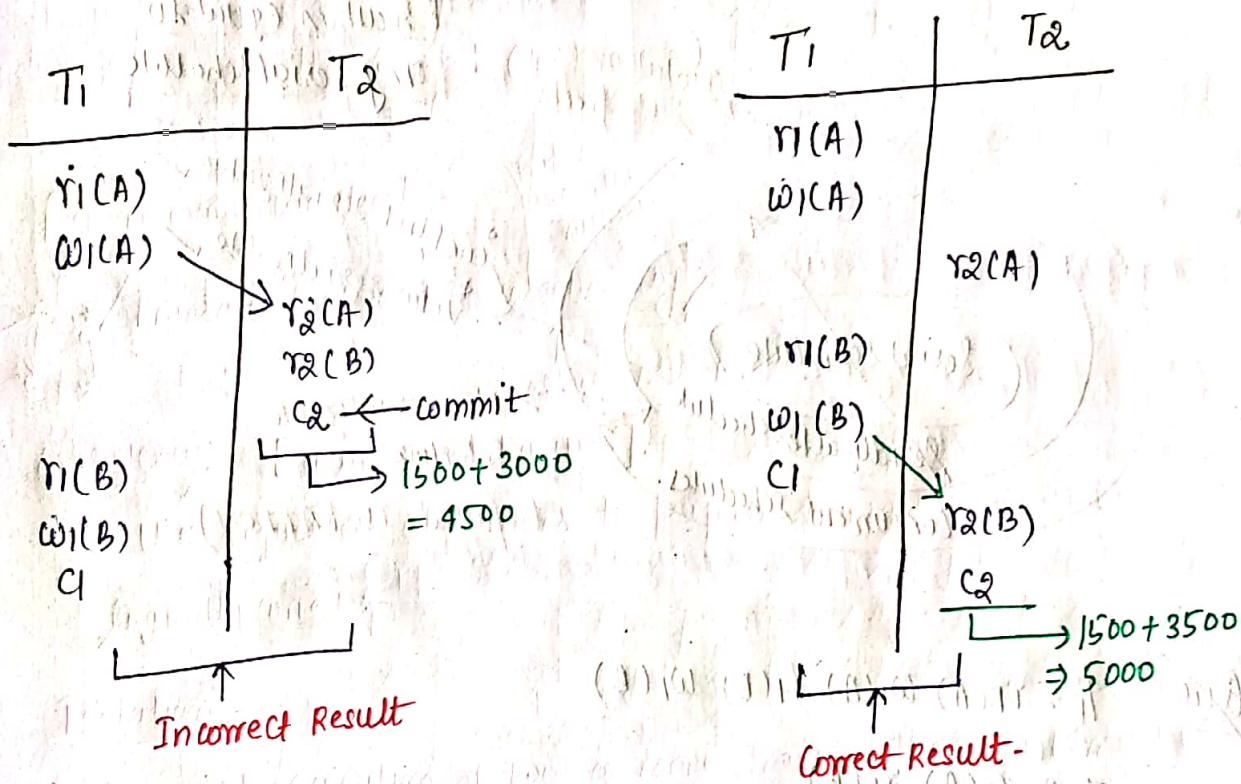
Simultaneous / Interleaved / concurrent execution of two or more transaction.

A: ~~2000 1500~~

B: ~~3000 0~~

A: ~~2000 1500~~

B: ~~3000 3500~~

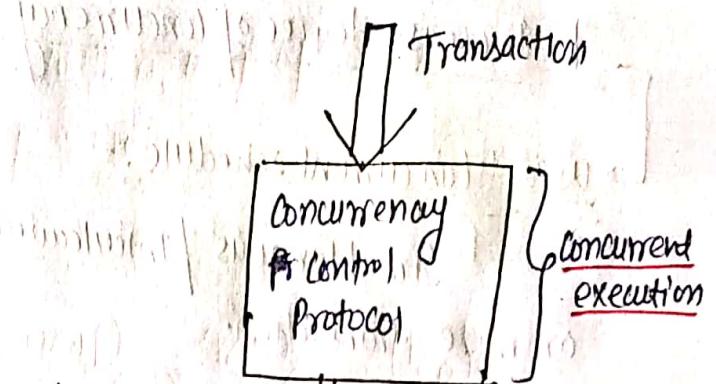


So, Concurrent Result may be correct or may be Incorrect

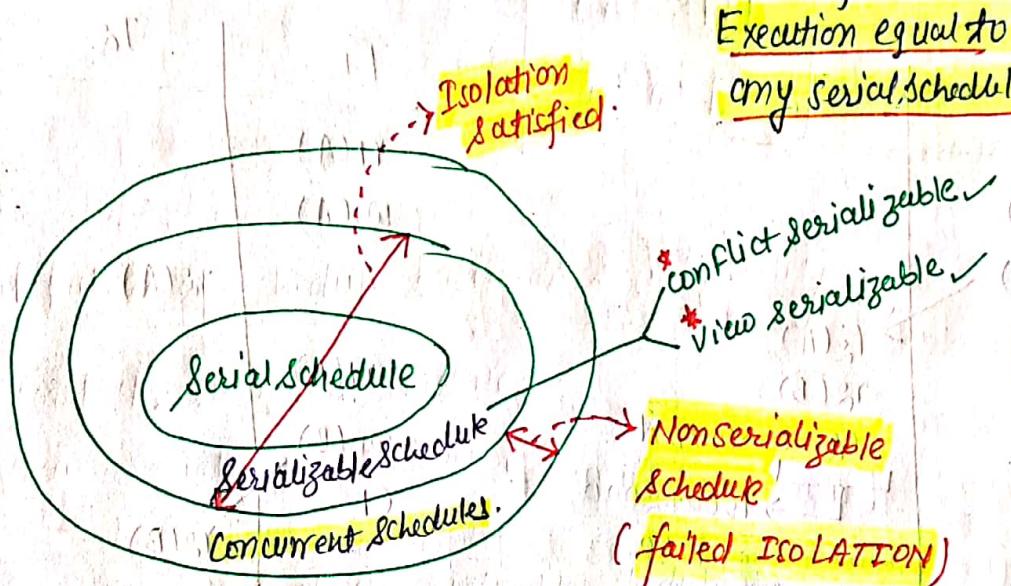
But when we found Concurrent Result is correct it means that concurrent programming somewhere follow serial schedule.

Isolation (Serializable Schedule)

Concurrent schedule Result must be equal to result of any serial schedule.



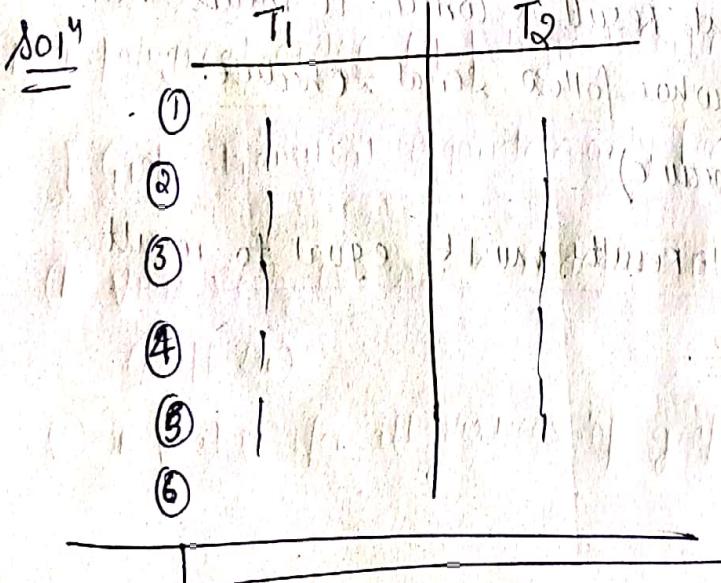
* Every serial schedule is also concurrent schedule but Vice versa is Not true.



~~Ques~~ $T_1 : r_1(A) w_1(A) r_1(B) w_1(B)$

$T_2 : r_2(A) r_2(B)$

How many concurrent schedules possible?



Total 6 No. of Processes
in which for T_1 4 Processes

so # combination

$${}^6C_4 * {}^2C_2 = 15$$

For T_1 concurrent schedule
For T_2 concurrent schedule

No. of serial schedule

If there are n No. of Processes.

$T_1, T_2, T_3, T_4, \dots, T_n$

Then How many serial schedules are possible.

sol¹ for three process

- | | | |
|-------|-------|-------|
| T_1 | T_2 | T_3 |
| T_1 | T_2 | T_3 |
| T_2 | T_3 | T_2 |
| T_2 | T_1 | T_3 |
| T_2 | T_3 | T_1 |
| T_3 | T_1 | T_2 |
| T_3 | T_2 | T_1 |

6 serial schedules are possible ✓

so, for n processes $\rightarrow n!$ serial schedules are possible

No. of concurrent schedule

T_1 & T_2 are Transaction with n & m opⁿ each.

How many concurrent schedule possible?

so $T_1 \quad | \quad T_2$

$(n+m)$	n	m
n	$n!$	$m!$
m	n^m	m^n

\Rightarrow $\frac{(n+m)!}{n! m!} \times n^m \times m^n$ → # concurrent schedules

\Rightarrow $\frac{(n+m)!}{n! m!}$ → concurrent schedule

⇒ For three transaction $T_1, T_2 \& T_3$. With n, m, p opⁿ each
How many concurrent schedule are possible?

T_1	T_2	T_3
$\underbrace{(n+m+p)}_{=}$	0	0
0	0	0

⇒

$$(n+m+p) C_n \times (m+p) C_m \times (p C_p)$$

$$\frac{(n+m+p)!}{n! m! p!}$$

↓
No. of concurrent schedule

** → Most of due comes from here

Classification of schedule based on serializability :-

- ① Conflict serializable schedule ✓
- ② View serializable schedule ✓

÷ Conflict serializable schedule :-

SubTopics

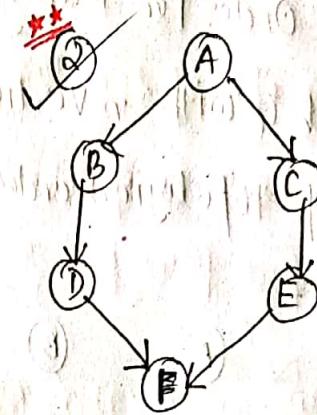
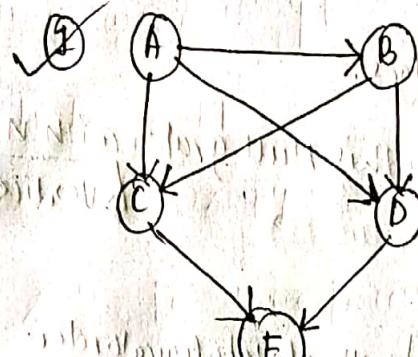
- ① Topological order
- ② Conflict Pairs
- ③ Precedence graph
- ④ conflict & equal schedule.

① Topological order (Topological sorting)

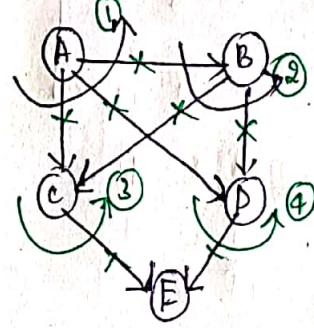
[Graph Traversal Algorithm only for Directed Acyclic graph]

- ① Visit Vector (V) whose indegree "0" and delete V from Graph (G)
- ② Repeat ① for all Vertices of graph.

~~Ques~~ find Topological order of.



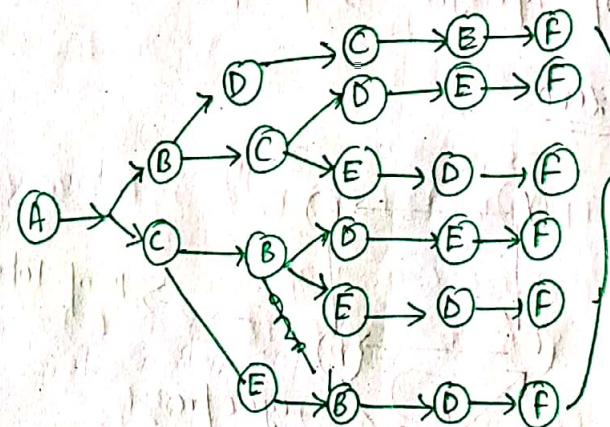
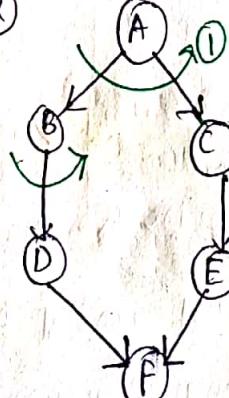
~~Ans~~ ①



$A \rightarrow B \rightarrow C \rightarrow D \rightarrow E$
(or)
 $A \rightarrow B \rightarrow D \rightarrow C \rightarrow E$

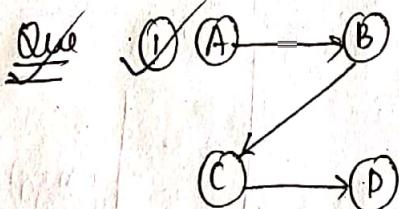
Topological order.

②

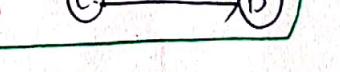
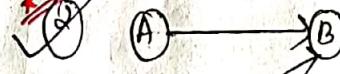


6 Topological order

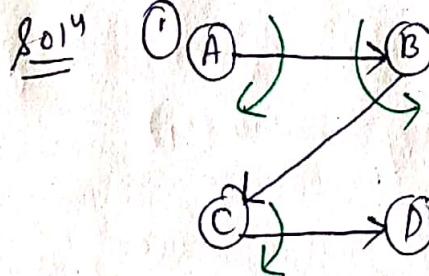
~~Ques~~



find No. of Topological orders.



~~Ans~~



2 Topological order

5 Topological orders

Ques Topological Order for Null graph.

Graph G with n vertices, 0 edges.

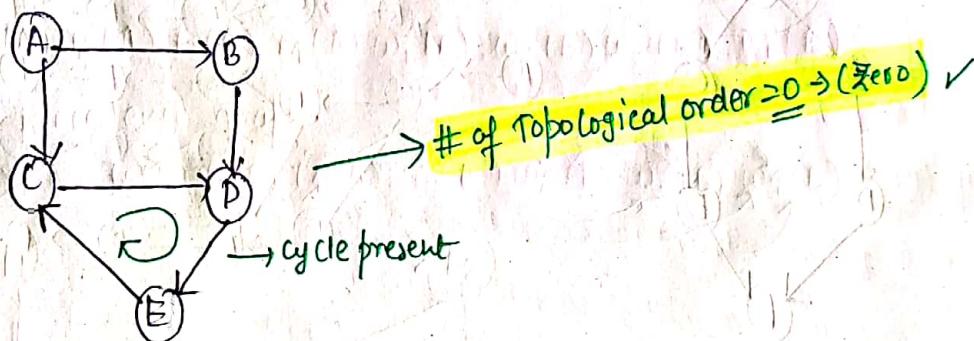
No. of Topological order for Ulreich's Null graph with n vertices.

A01 for $n=4$ (A) (B) (C) (D) } $\rightarrow [4!]$ \rightarrow # of Topological order

for $n=n \rightarrow [n!]$

Ques # of Topological graph for Cyclic graph.

Ans (0) zero



Lecture "22"

Conflict Pair :-

Pair of operations from schedule (S) such that:-

- 1] At least one write opn
- 2] Over same data item
- 3] from different transaction.

$S_0: \dots \rightarrow r_i^o(x) \rightarrow w_j(x) \rightarrow \dots \quad \{$

$S_0: \dots \rightarrow w_i(x) \rightarrow r_j(x) \rightarrow \dots \quad \{$ Conflict Pair ✓

$S_0: \dots \rightarrow w_i(x) \rightarrow w_j(x) \rightarrow \dots \quad \}$

$S_0: \dots \rightarrow r_i^o(x) \rightarrow r_j(x) \rightarrow \dots \quad \} \quad \text{Non Conflict pair}$

$S_0: \dots \rightarrow r_i^o(x) / w_i(x) \rightarrow r_j(Y) / w_j(Y) \rightarrow \dots \quad \}$

S_0	T_1	T_2
	$r_1(A)$	
	$r_1(B)$	
	$w_1(B)$	

Conflict Pair ✓

\downarrow

$r_1(A) \rightarrow w_2(A) \rightarrow \dots \quad \} \rightarrow \text{Conflict pair}$

$r_1(B) \rightarrow w_2(A) \rightarrow \dots \quad \} \rightarrow \text{Non-Conflict pair}$

$w_1(B) \rightarrow w_2(A) \rightarrow \dots \quad \} \rightarrow \text{Pair}$

* Operations from same Transaction
are Neither Conflict Pair nor Non-Conflict Pair.

Ex: $r_1(A) \ r_1(B) \quad \} \quad \text{Neither conflict nor non conflict}$

$r_1(A) \ w_1(B) \quad \} \quad \text{Conflict}$

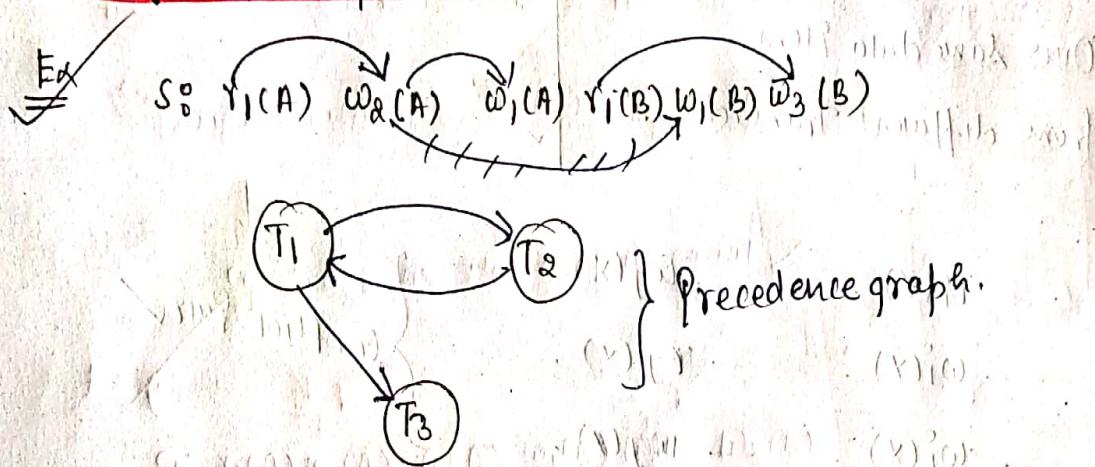
$w_1(B) \ r_1(B) \quad \} \quad \text{Non Conflict}$

Precedence Graph:

Precedence graph of schedule (s).

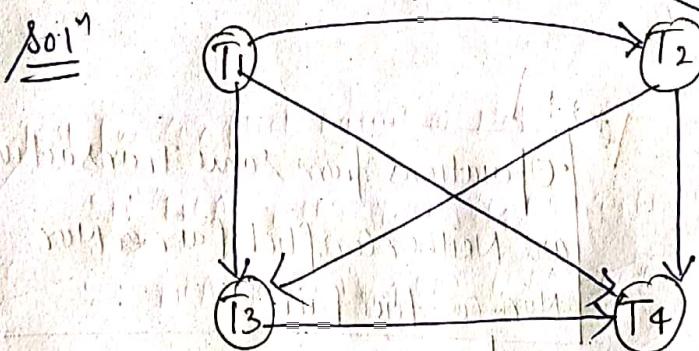
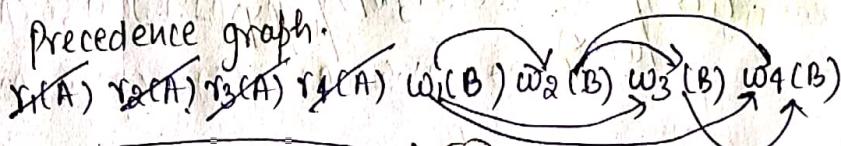
Vertices (V): Transactions of schedule (s)

Edges (E): Conflict pairs precedences of schedule (s)



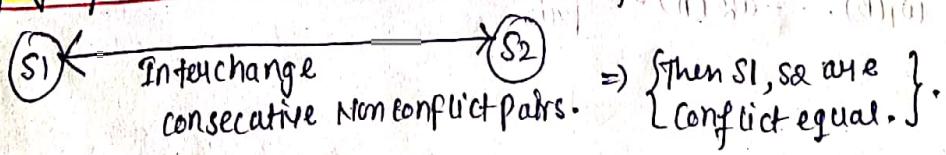
~~Ex:~~ $s: r_1(A) r_2(A) r_3(A) r_4(A) w_1(B) w_2(B) w_3(B) w_4(B)$

find precedence graph.



Conflict Equal Schedule:-

s_1, s_2 scheduled are conflict equal iff scheduled (s_2) derived by interchanging of consecutive non-conflict pairs of schedule (s_1)



Ex(i) Test given s_1, s_2 conflict equal.

$s_1: r_1(A) r_2(A) r_3(A) w_1(B) w_2(B) w_3(B)$

$s_2: r_1(A) w_1(B) r_3(A) r_2(A) w_2(B) w_3(B)$

Sol^u

$s_1: r_1(A) r_2(A) r_3(A) w_1(B) w_2(B) w_3(B)$

$r_1(A) \cancel{w_1(B)} r_2(A) r_3(A) w_2(B) w_3(B)$

$r_1(A) w_1(B) \cancel{r_3(A)} r_2(A) w_2(B) w_3(B)$

$s_2: r_1(A) w_1(B) r_3(A) r_2(A) w_2(B) w_3(B)$

→ after 3 consecutive
Non conflict Pair swapping
we get s_2

↓

$s_1 \neq s_2$ are conflict equal

~~Ex(2)~~

$s_1: r_1(A) w_1(A) r_2(A) r_2(B) r_1(B) w_1(B)$

$s_2: r_1(A) w_1(A) r_1(B) w_1(B) r_2(A) r_2(B)$

Test given s_1, s_2 for conflict equal.

Sol^u

$s_1: r_1(A) w_1(A) r_2(A) r_2(B) r_1(B) w_1(B)$

$r_1(A) w_1(A) r_1(B) \cancel{r_2(A)} \cancel{r_2(B)} w_1(B)$

Conflict Pair
so, Not allowed
to swap

$s_2: r_1(A) w_1(A) r_1(B) w_1(B) r_2(A) r_2(B)$

↓

s_1, s_2 are not conflict equal.

~~***~~

Conclusion:- (method 2 to check conflict equal)

s_1

Interchange consecutive Non conflict pairs.

$\{s_1, s_2\}$
conflict
equal

s_1, s_2 conflict equal iff -

① each transaction of s_1 must be exactly same transaction in s_2 .

It will be
my
method

Ex

$r_1(A)$ $r_1(A)$
 $r_1(B)$ $r_1(B)$
 $w_1(B)$ $w_1(B)$

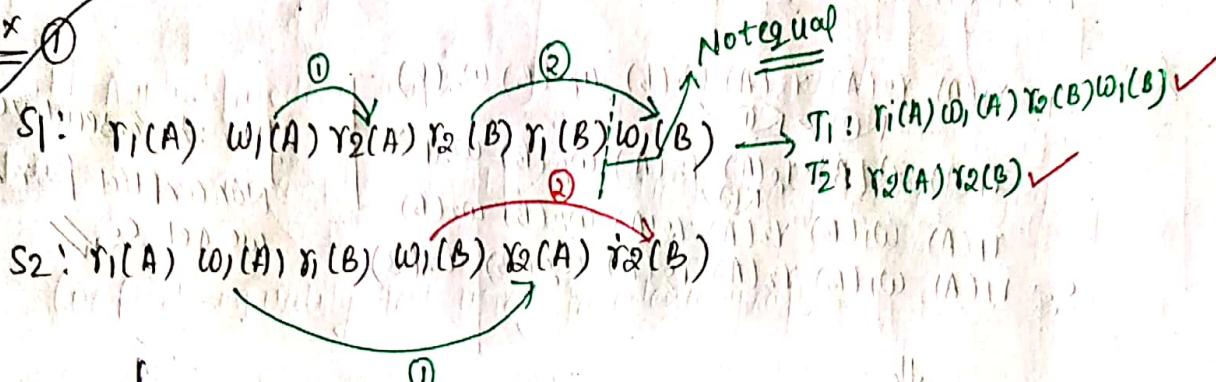
$s_1 \rightarrow T_1 \equiv s_2 \rightarrow T_2$

$s_1 \rightarrow T_2 \equiv s_2 \rightarrow T_1$

same order

Q Every conflict pair precedence of s_1 must be exactly same precedence in s_2 .

Ex 1



So, s_1 & s_2 are Not conflict equal.

Ex 2

$$s_1: r_1(A) r_2(A) r_3(A) w_1(B) w_2(B) w_3(B)$$

$$s_2: r_1(A) \cancel{w_1(B)} r_3(A) r_2(A) w_2(B) w_3(B)$$

soln

$$s_1: r_1(A) r_2(A) r_3(A) w_1(B) \cancel{w_2(B)} \cancel{w_3(B)}$$

$$s_2: r_1(A) \cancel{w_1(B)} r_3(A) r_2(A) w_2(B) w_3(B)$$

$\hookrightarrow s_1$ & s_2 are conflict Equal.

One Test for conflict equal or Not.

$$s_1: r_1(A) r_2(A) r_3(A) r_4(A) w_1(B) w_2(B) w_3(B) w_4(B)$$

$$s_2: r_1(A) w_1(B) r_4(A) r_2(A) \cancel{r_3(A)} w_2(B) w_3(B) w_4(B)$$

soln

$$s_1: r_1(A) r_2(A) r_3(A) r_4(A) w_1(B) w_2(B) w_3(B) w_4(B)$$

$$s_2: r_1(A) w_1(B) r_4(A) r_2(A) r_3(A) w_2(B) w_3(B) w_4(B)$$

$$T_1: r_1(A) w_1(B)$$

$$T_2: r_2(A) w_2(B)$$

$$T_3: r_3(A) w_3(B)$$

$$T_4: r_4(A) w_4(B)$$

\downarrow
 $(s_1 = s_2) \rightarrow$ So, s_1 & s_2 are conflict equal.

- ⇒ if s_1, s_2 conflict equal then s_1, s_2 Precedence graph must be same.
- ⇒ if s_1, s_2 schedules Precedence graph not equal then s_1, s_2 schedules not conflict equal.

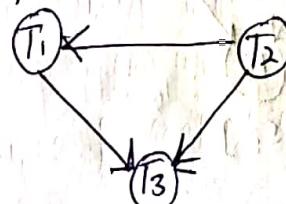
Ex $s_1: r_1(A) w_1(B) w_2(B) w_3(B)$

$s_2: r_1(A) w_2(B) w_1(B) w_3(B)$

Precedence graph



Precedence graph



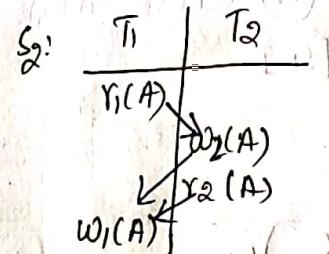
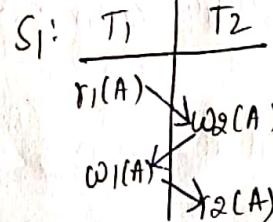
s_1 & s_2 are not conflict equal

V. imp
But:-

if s_1, s_2 schedule with same precedence graph Then

Schedule may/may not conflict equal. (When cycle present in graph)

Ex



Hence, we can use precedence graph to check that schedule are not equal.

for schedule equality we can use general method.

If s_1, s_2 schedules with —

a) same precedence graph
or

b) Acyclic Precedence graph.

Conflict equality Test using
Precedence graph ✓

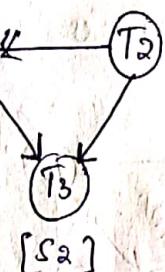
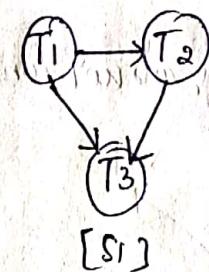
Then

s_1, s_2 are conflict equal

Acyclic & same precedence graph.

Conflict equality Test using Precedence graph

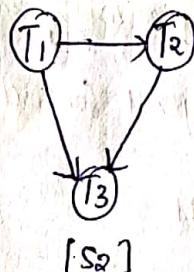
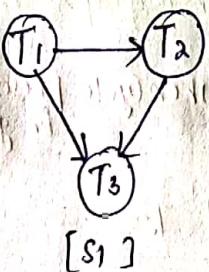
Case-I



s_1, s_2 not conflict

equal

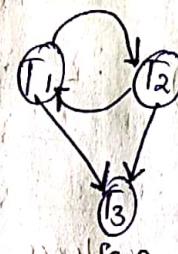
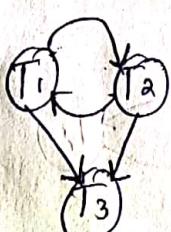
Case-II



s_1, s_2 conflict

equal

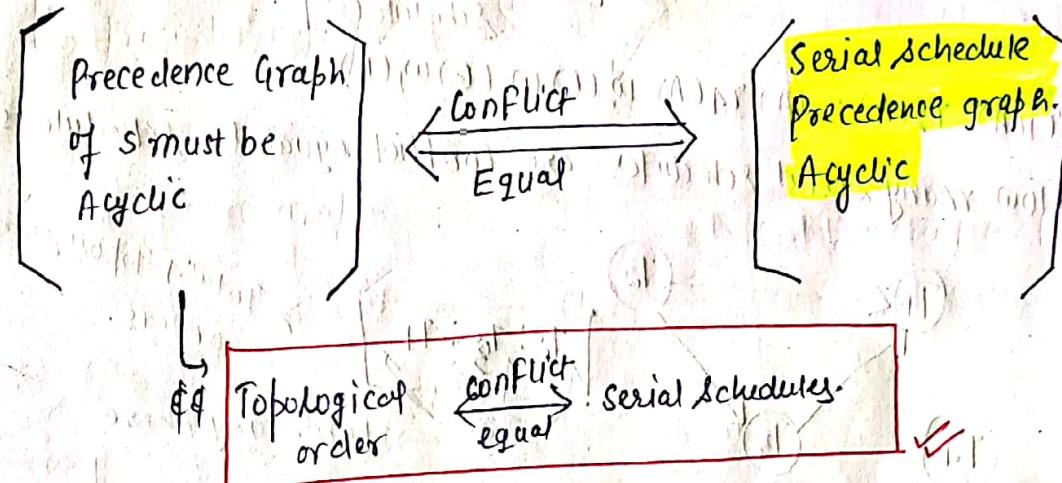
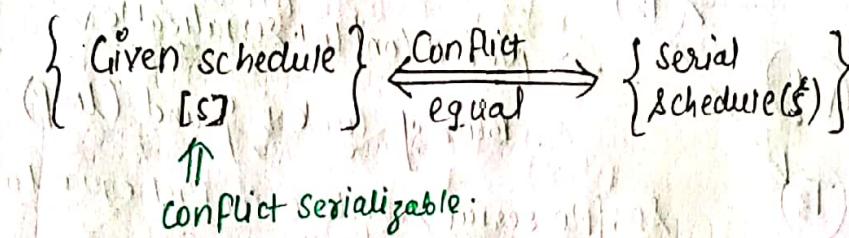
Case-III ✓



s_1, s_2 may/maynot
conflict/equal.

Conflict serializable schedule :-

1) Schedule (s) is conflict serializable iff (some) serial schedule ('s) must be conflict equal to schedule (s)



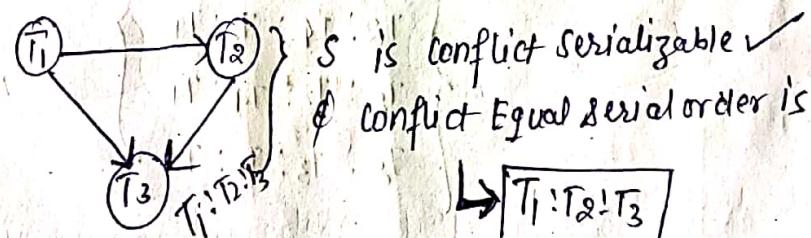
So, schedule (s) is conflict serializable schedule.

iff - "Precedence Graph of schedule (s) must be Acyclic".

Conflict equal serial schedules are Topological order of schedule (s) precedence graph.

$\Rightarrow s: r_2(A), r_1(B), w_2(A), w_3(A), w_2(B), w_3(C), w_3(C)$

So^u



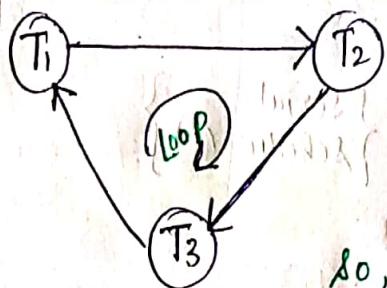
s is conflict serializable ✓
Conflict Equal Serial order is

$$T_1 \rightarrow T_2 \rightarrow T_3$$

Serial schedule precedence graph is always Acyclic

~~Ques~~ S: $r_1(A) w_2(A) w_2(B) r_3(B) w_3(C) r_1(C)$ Test schedule (S) conflict serializable or not??

Sol^v:



→ Not a conflict serializable

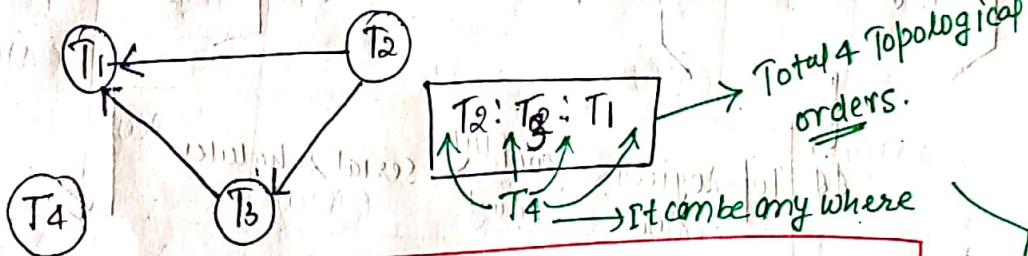
Because of cycle is found (loop)

So, [No serial schedule conflict equal to schedule (S)]

~~Ques~~ S: $r_1(A) r_2(A) r_3(A) r_4(A) w_2(B) w_3(B) w_1(B)$

How many serial schedules are conflict equal to schedule (S)?

Sol^v:



Total 4 Topological orders.

It can be anywhere

$$\left\{ \begin{array}{l} \# \text{ of serial schedules} \\ \text{conflict equal to} \\ \text{schedule (S)} \end{array} \right\} = \left\{ \begin{array}{l} \# \text{ of Topological orders} \\ \text{of schedule(s) precedence} \\ \text{Graph} \end{array} \right\}$$

T4 : T2 : T3 : T1

T2 : T4 : T3 : T1

T2 : T3 : T4 : T1

T2 : T3 : T1 : T4

Lecture - 23 :-

View Serializable Schedule :-

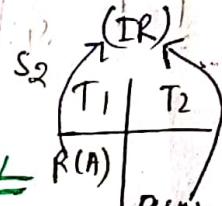
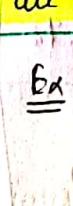
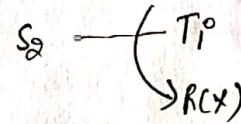
Schedule (s) is view serializable iff some serial schedule(s)
must be view equal to schedule (s)

[Given schedule (s)] $\xleftarrow[\text{equal}]{\text{View}}$ Some serial schedule } ✓

View equality Testing :-

s_1, s_2 schedule is a view equal.

iff ① Initial Read :- Initial Read Same for all Schedule s_i^o .



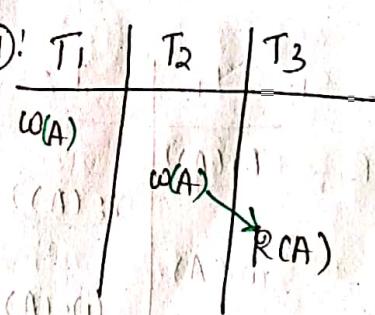
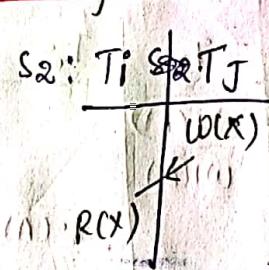
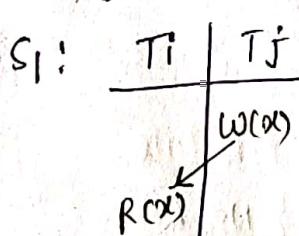
Every IR of s_1 must be also

Initial Read in s_2 .

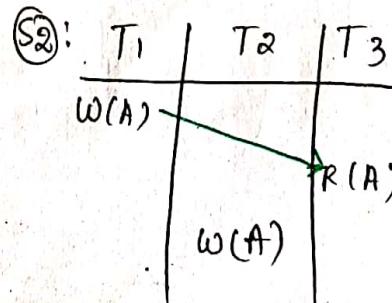
(IR)
 \neq
 \uparrow Not View equal ✓
 \uparrow Not Initial Read Bcoz it's firstly updated by T_1 then it's read

② Updated Reads :-

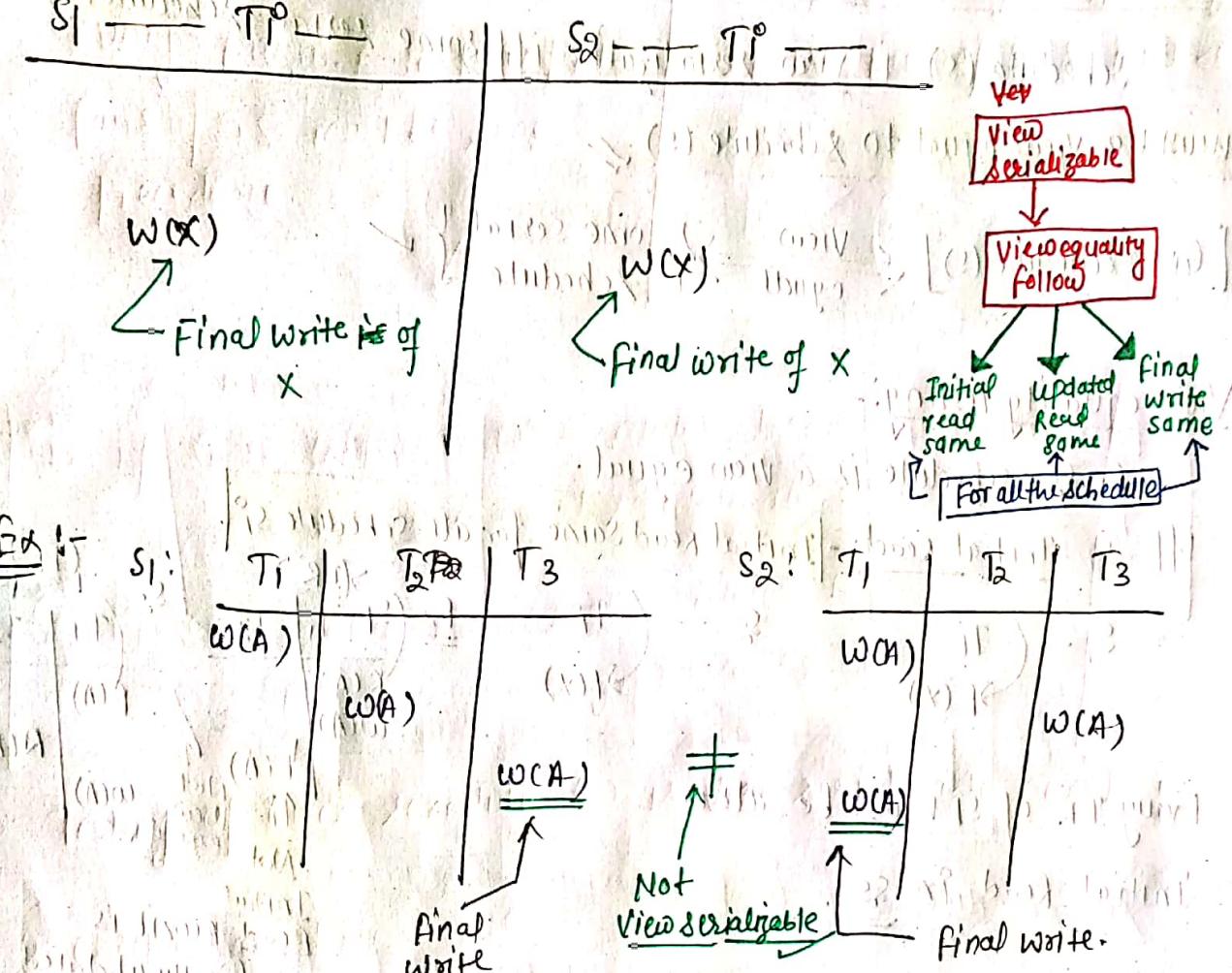
* Every updated read of s_1, s_2 must be same.



Not View equal ≠



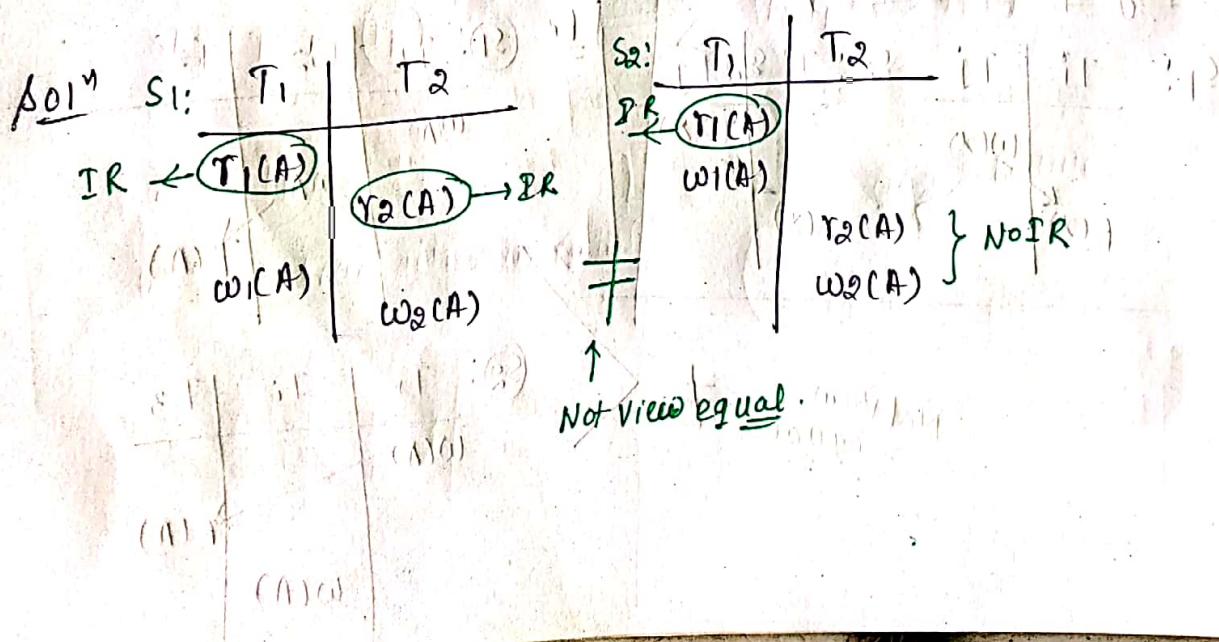
③ Final write: final of s_1 & s_2 must be same.



Ques Test s_1, s_2 is view schedule correct View equal or Not?

① $s_1 : r_1(A) r_2(A) w_1(A) w_2(A)$

$s_2 : r_1(A) w_1(A) r_2(A) w_2(A)$



Ques ② $S_1: r_1(A), r_2(A), r_3(A) w_1(B), w_3(B)$

$S_2: r_2(A), r_1(A), r_3(A), w_2(B), w_1(B), w_3(B)$.

Test for S_1 & S_2 are View equal or Not:

Ans 1

$S_1:$	T_1	T_2	T_3
$r_1(A)$			
	$r_2(A)$		
		$r_3(A)$	
$w_1(B)$			
	$w_2(B)$		
		$w_3(B)$	

$S_2:$	T_1	T_2	T_3
		$r_2(A)$	
	$r_1(A)$		
		$r_3(A)$	
			$w_2(B)$
		$w_1(B)$	
			$w_3(B)$

$IR \Rightarrow \checkmark$

$Updated read \Rightarrow \checkmark$

$final write \Rightarrow \checkmark$

$\} Equal$
so, it is View ~~serializable~~.

$\} But$
this schedule is not conflict equal.

Ques ③

$S_1:$	T_1	T_2	T_3
	$w_1(A)$		
		$w_2(A)$	
			$w_3(A)$

$S_2:$	T_1	T_2	T_3
			$w_2(A)$
		$w_1(A)$	
			$w_3(A)$



$\{ S_1, S_2 \text{ not conflict equal} \}$

$\underline{But} \checkmark$

$\{ S_1, S_2 \text{ are view equal} \}$

Conclusion :-

Conflict equal

s_1, s_2 conflict equal
every R-W, W-R, W-W conflict
Pairs of s_1, s_2 must be same
Precedence

View equal

s_1, s_2 View equal iff every
IR, UR, FW of s_1, s_2 must be
same.

→ view serializable ✓
→ conflict serializable ✓

★ If s_1, s_2 conflict equal schedules Then —

s_1, s_2 view equal schedule also.

★ If s_1, s_2 not conflict equal schedules then —

s_1, s_2 may or may not view equal.

Testing of Given schedule (S) is View serializable or not :-

① Checking about Final write:-

V.V.I.M.P
data item

Given schedule

View equal serial

X : $T_i \circ T_j \rightarrow$ final write $\Rightarrow T_i \rightarrow T_j$

Y : $T_i \circ T_j \circ T_k \rightarrow (T_i, T_j) \rightarrow T_k$

Z : T_i

W : No transaction written

any schedule
(Koi schedule aaaye)
(Koi Fehk Nhi Pdega)

V.V.I.m

a). Checking about initial read

Given Schedule		find view equal serial (S')	
data item	Initial Read	Writes	
X	T_i^o	T_j^o	$T_i^o \rightarrow T_j$
Y	$\underline{T_i^o} \underline{T_j}$	$T_j T_k$	$T_i^o \rightarrow (T_j T_k)$ $T_j \rightarrow T_k$
Z	T_i^o	No write of Z.	

V.V.I.m

b) updated Read :-

Given Schedule		View equal schedule	
$\Rightarrow W^o(x) \rightarrow R^o(x)$		$T_i^o \rightarrow T_j$	
No. other transaction writes data item X.			
$\Rightarrow W^o(y) \rightarrow R^o(y)$ Some other Transaction T _k also write Y		$T_k T_i^o \xrightarrow{\text{OK}} T_j T_k$	T_k must be before T_j & T_i^o should not be between T_i^o & T_j .

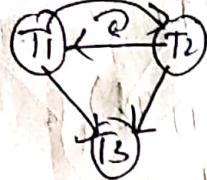
~~Due~~ ①

S1: $\$ \cdot r_2(A) w_1(A) w_2(A) w_3(A)$

(I) Test Conflict serializable.

(II) Test View Serializable.

sol^y

(I)  Not conflict serializable!

(II) Given schedule

final write $\Rightarrow A: T_1 T_2 T_3$

View equal serial

Initial read

data item	IR	W
A	T_2	$T_1 T_2 T_3$

$\{T_1 T_2\} \rightarrow T_3$

$(T_2; T_1; T_3)$
serial schedule view equal to S
S is view serializable, schedule

~~Due~~

S: $r_2(A) r_2(B) w_2(A) w_1(A) r_3(A) w_1(B) w_2(B) w_3(B)$

test schedule S View serializable or Not.

• Not conflict serializable. Then check.

sol^y

given schedule
final write $T_3 (T_1 T_2 T_3)$

View equal serial

$r_2 \rightarrow T_1$
 $(T_1; T_2) \rightarrow T_3$

Initial read

data	IR	W
A	T_2	
B	T_2	

P.T.O

Sol" S is Not conflict serializable.

for View serializable :-

Given schedule		View equal schedule									
F.W	A: $T_2 \rightarrow T_1$ B: $T_1 T_2 \rightarrow T_3$	$T_2 \rightarrow T_1$ $(T_1 T_2) \rightarrow T_3$									
R	<table border="1"><thead><tr><th>data</th><th>I.R</th><th>Write</th></tr></thead><tbody><tr><td>A</td><td>T_2</td><td>$T_1 T_2$</td></tr><tr><td>B</td><td>T_2</td><td>$T_1 T_2 T_3$</td></tr></tbody></table>	data	I.R	Write	A	T_2	$T_1 T_2$	B	T_2	$T_1 T_2 T_3$	$T_2 \rightarrow T_1$ $T_2 \rightarrow (T_1 T_3)$ $(T_1 T_2) \rightarrow T_3$
data	I.R	Write									
A	T_2	$T_1 T_2$									
B	T_2	$T_1 T_2 T_3$									
UR	$w_1(A) \rightarrow r_3(A)$ Other Transaction writes A : T_2	$T_1 \rightarrow T_3$ T ₁ must before T ₃ , T ₂ should not b/w T ₁ , T ₃ .									

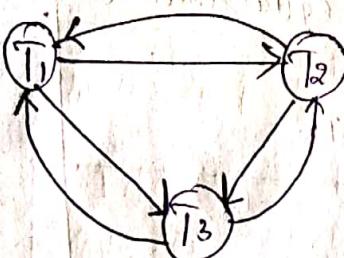
$s(T_2 \rightarrow T_1 \rightarrow T_3)$ serial schedule
View ~~serializable~~ equal to schedule(s)

s: View serial schedule

~~Ques~~

S. $r_1(A), r_2(A), r_3(A)$ $w_1(A), w_2(A), w_3(A)$

solⁿ



→ Not conflict serial

for View serial.

given data(schedule)	View equal serial
----------------------	-------------------

[E.W]: A: $T_1 T_2 \underline{T_3}$	$(T_1, T_2) \rightarrow T_3 \rightarrow T_1$
-------------------------------------	--

[F.R]: data A T_1, R, T_3 T_1, T_2, T_3	$T_1 \rightarrow (T_2, T_3)$ $T_2 \rightarrow (T_1, T_3)$ $\underline{T_3 \rightarrow (T_1, T_2)}$ $\rightarrow T_3 \rightarrow T_1$
--	---

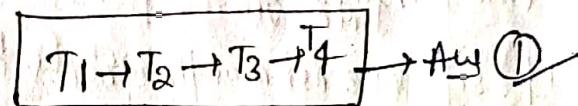
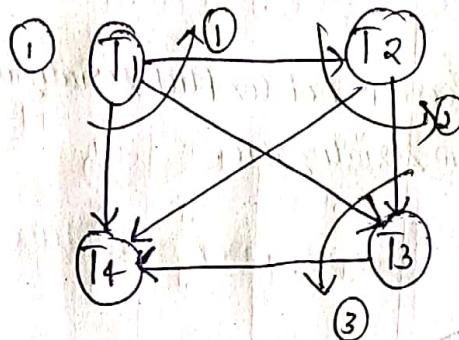
No serial schedule View equal to
schedule (S) &
is Not View serializable schedule.

Ques Consider given schedule (S)

S: $r_1(A) r_2(A) r_3(A) r_4(A) w_1(B) w_2(B) w_3(B) w_4(B) w_1(C) w_3(C) r_4(C)$

- ① How many conflict equal serial schedule for S.
- ② How many view set & equal serial schedule for S.

Soln



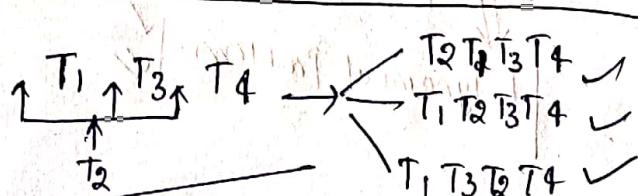
↓
only one topological order found

Only one serial schedule conflict equal to schedule "S".

②

Given Schedule			View equal serial schedule (S')		
① (F, ω)	A: $X \leftarrow$ No find write.		$(T_1 T_2 T_3) \rightarrow (T_4)$		
	B: $T_1 T_2 T_3 T_4$			$(T_1) \rightarrow (T_3)$	
② (IR)	Data IR ω				
	A (1)	$T_1 T_2 T_3 T_4$			
	B	—			
	C	—			
③ U.R			$T_3 \rightarrow T_4$		
	$\oplus w_3(C) \rightarrow R_4(C)$				
	other write(C) $\rightarrow T_1$				

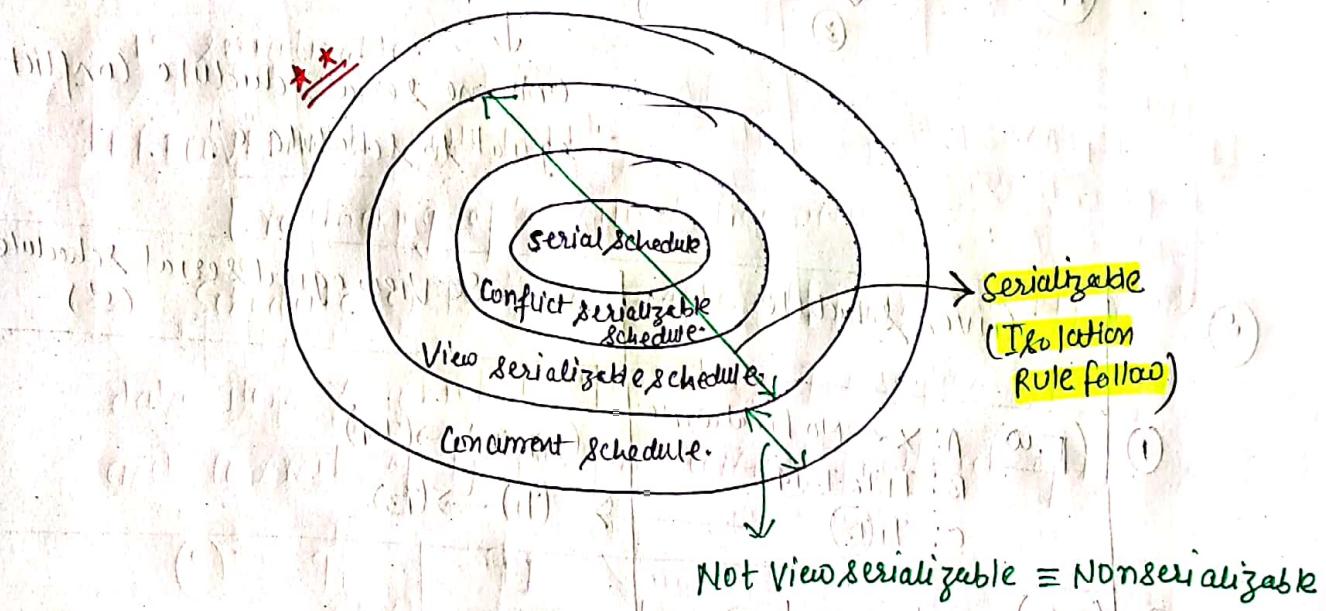
3 Serial order
Ans ③



- Conflict equal serial order is one among the View equal Serial Order → It says conflict serializable is more strict than View serializable.

✓ If schedule(s) Conflict serializable then schedule(s) is also View serializable.

✓ If Schedule(s) ~~may/may not~~ View seri is not Conflict serializable Then schedule(s) may/may not view serializable.



⇒ Conflict serializable schedule Testing is only sufficient

but Not Necessary condition for serializability testing. → kyun ki only view serializable schedule bhi serializable

Schedule(s)
precedence graph
is Acyclic

implies

Schedule(s) is
serializable.

not hai

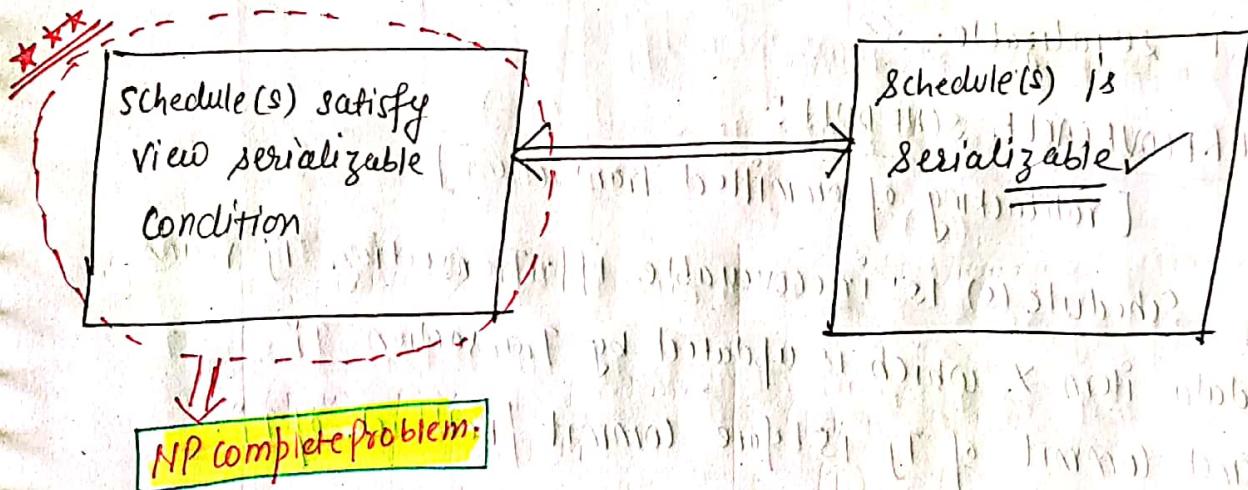
if P
Polynomial problem

P is NP
P is NP

$\left\{ \begin{array}{l} \text{only sufficient but Not Necessary} \\ \xrightarrow{\text{(Implicl) (or) if then}} \end{array} \right\} \rightarrow$
 $\hookrightarrow (P \rightarrow q)$

$\left\{ \begin{array}{l} \text{sufficient \& Necessary} \\ \xleftrightarrow{P \Leftrightarrow q} \\ \text{if \& only if} \end{array} \right\} \leftrightarrow$

~~View serializable schedule Testing conditions are both sufficient & Necessary condⁿ for serializability Testing~~



- Testing of Conflict-serializable is P problem

[polynomial TC]

$$TC = O(n^2)$$

- Testing of View serializable is NPC - problem

[exponential TC]

$$TC = O(2^n)$$

Lecture - 24 :-

Classification of Schedule based on Recoverability:-

Concurrent Execution may leads

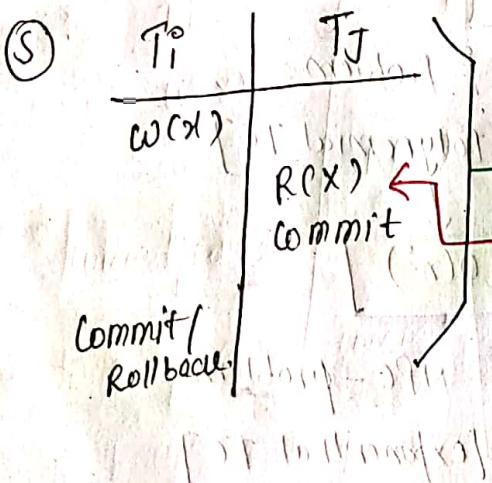
- ✓ Irrecoverable Problem
- ✓ Cascading Roll Back Problem
- ✓ Last update problem

→ Also causes Inconsistency can occurs even schedule is serializable.

IRRECOVERABLE SCHEDULE:-

[rollback of committed Transaction]

Schedule (S) is irrecoverable, iff Transaction T_j reads data item X which is updated by Transaction T_i and commit of T_j is before commit / Rollback of T_i .



Irrecoverable Schedule.

K/o dirty read

[Reading of T_j for same Variable without committing after writing on T_i # Transaction]

Ex:-

A balance of customer

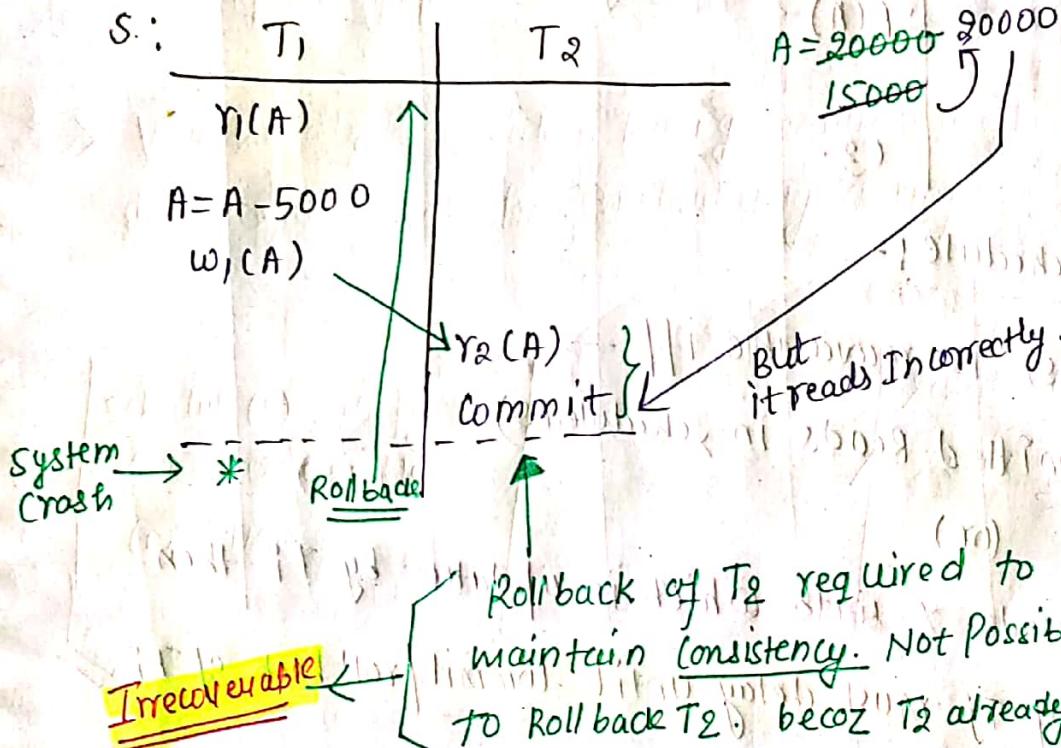
T₁: withdraw 5000 from "A"

[r₁(A), A=A-5000, w₁(A), c₁]

T₂: check bal of "A"

[r₂(A), c₂]

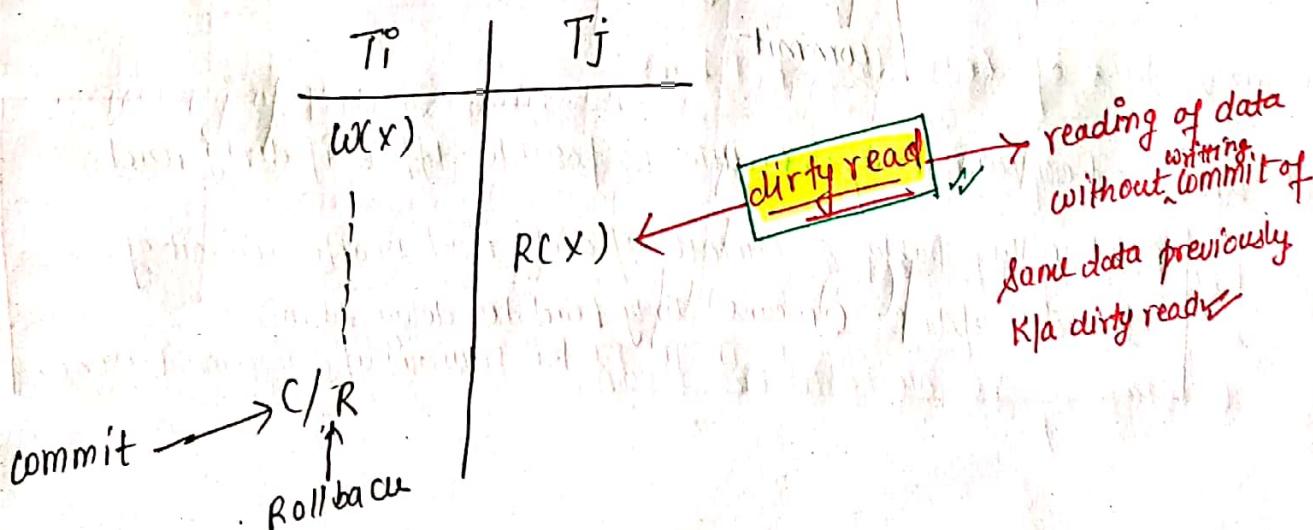
S:



Uncommitted Read :- (Dirty read)

Transaction T_j reads X which is updated by uncommitted transaction T_i.

Transaction T_i.



S:	T_1	T_2	T_3
	$R(A)$		
		$W(B)$	
		C_2	
			$R(B)$
	$W(A)$		
		$R(A)$	<u>dirty read ✓</u>
			C_3
	C_1		

Recoverable Schedule :-

Schedule (S) is recoverable iff —

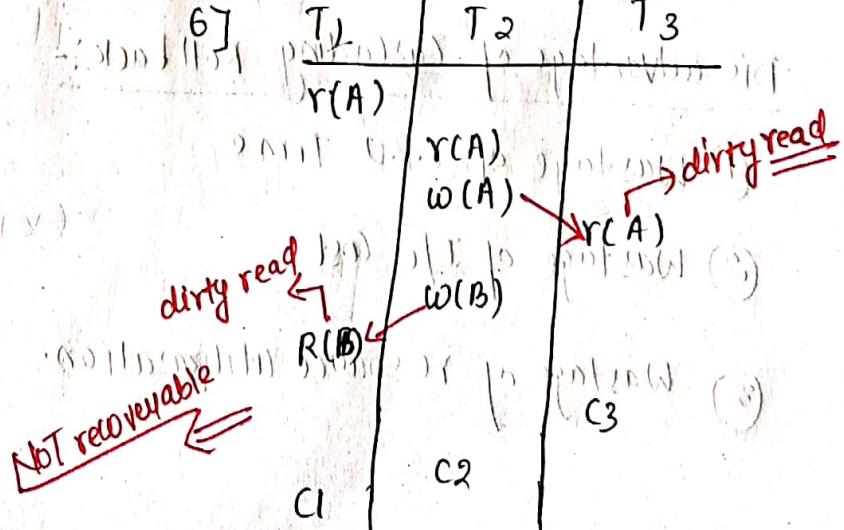
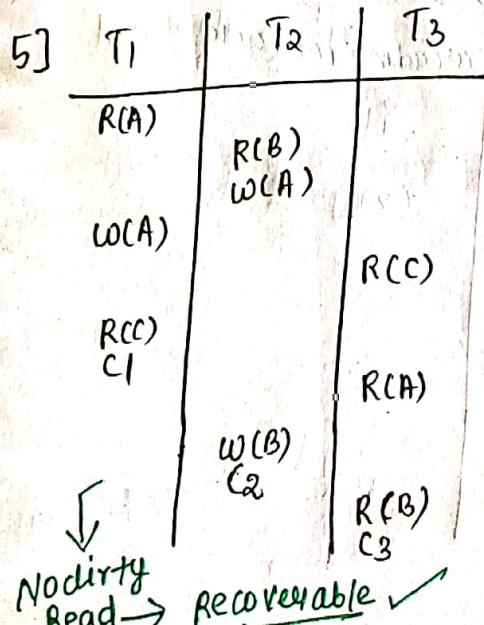
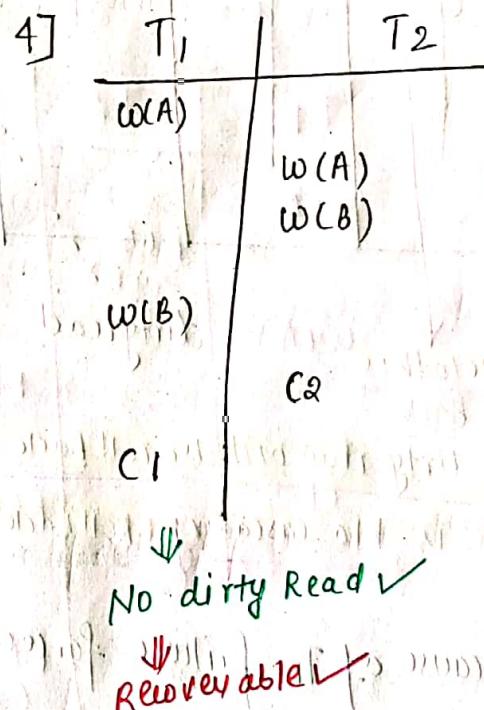
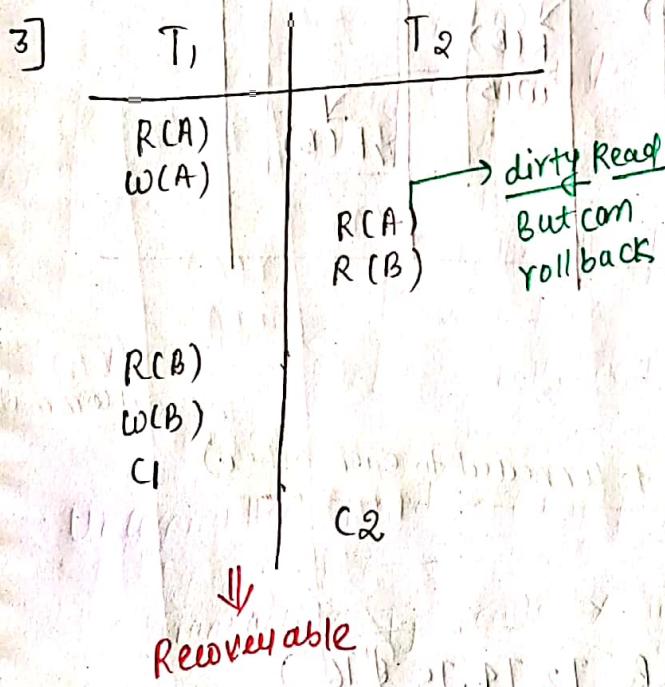
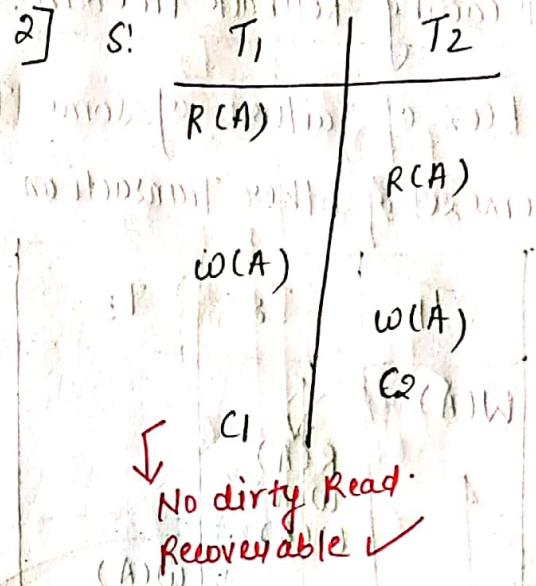
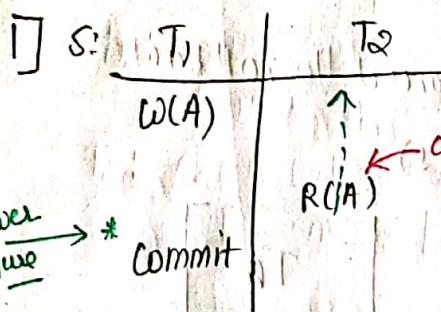
- ① NO UNCOMMITTED READS IN SCHEDULE (S)
- (or)

- ② If T_j reads ~~x~~ which is updated by T_i then
Commit of T_j must delay until Commit/Roll back of T_i ✓

S:	T_i^o	T_j
	$W(X)$	
		$R(X)$
		<u>dirty read ✓</u>
	Commit/Rollback	
		commit

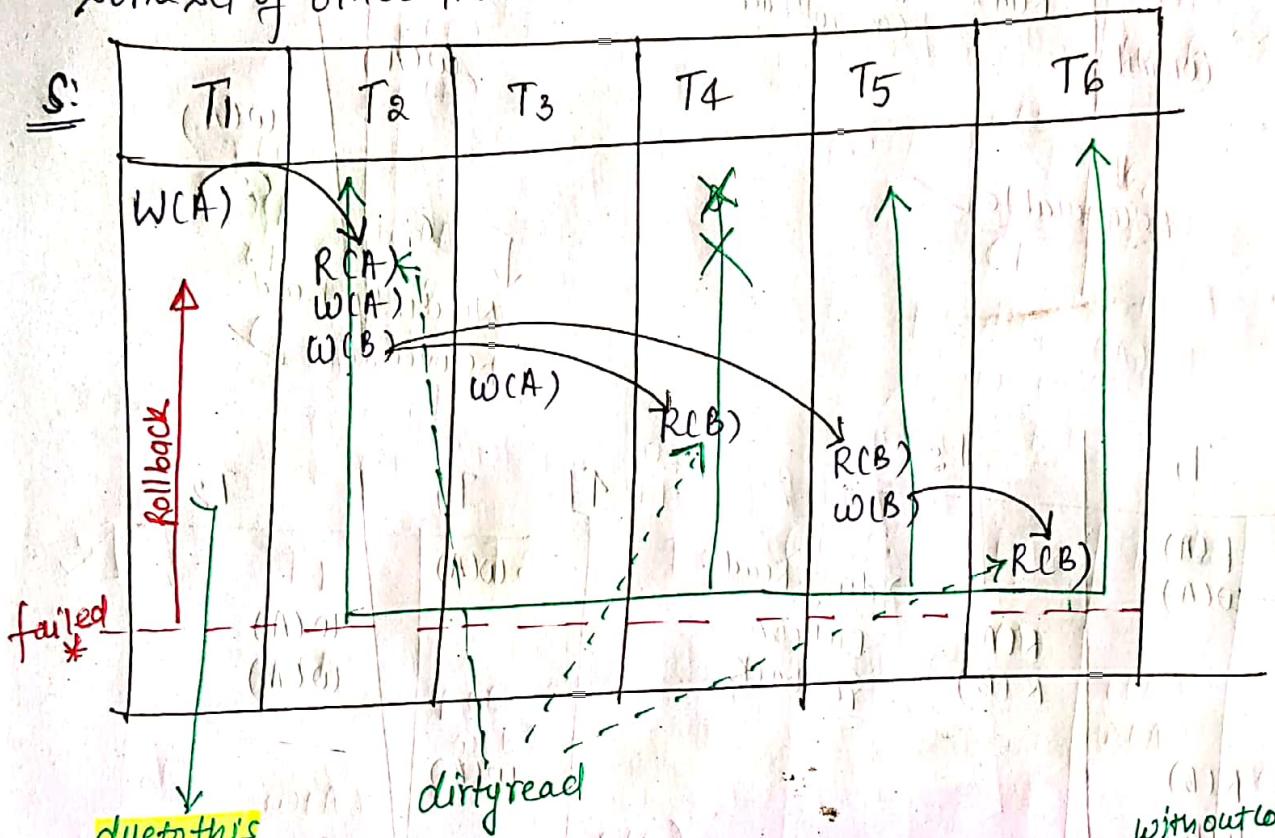
• Recoverable schedule is possible iff • If dirty read exist in the Table & commit of dirty read is after commit of previous update. (means dirty Read ka delay kro)
 $T_b T_k J_b T_k k^o$ Previous update commit Na ho

Ques find which one is recoverable & which is not Recoverable.



Cascading Rollback Problem :-

Bcoz of failure of some Transaction forced to rollback
some set of other Transaction in schedule CS



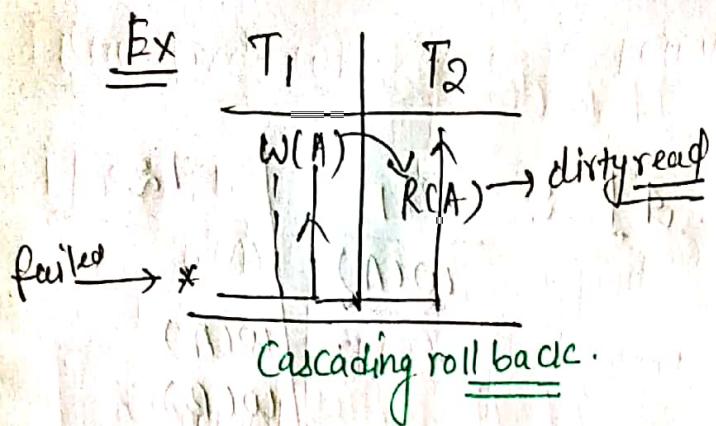
all dirty read will also roll back (due to connected to each others)

This is k/a cascading Roll back.

because of T1 failure forced to R.R. T2, T4, T5 & T6
rollback cascading rollback

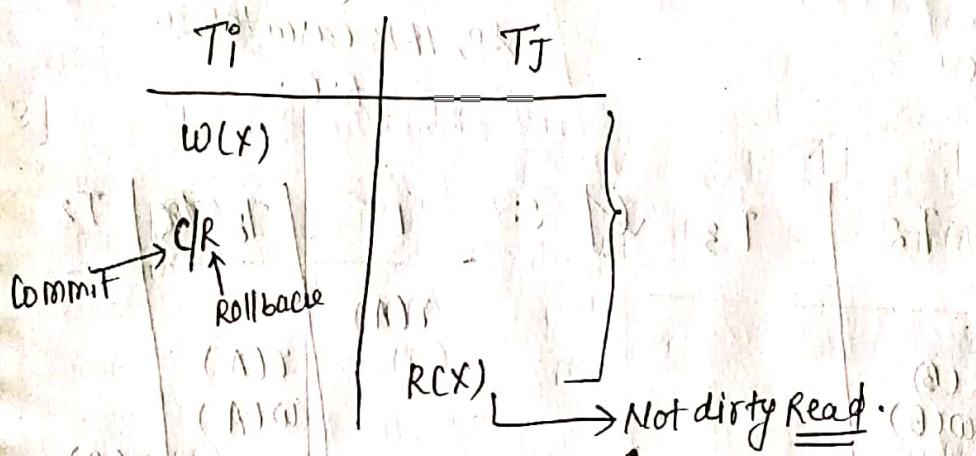
D's advantage of Cascading Roll back:-

- ① Wastage of C.P.U Time
- ② Wastage of I/O Cost
- ③ Wastage of resource utilisation.



Cascading Roll Back Schedule :- (Avoid Cascade Aborts)

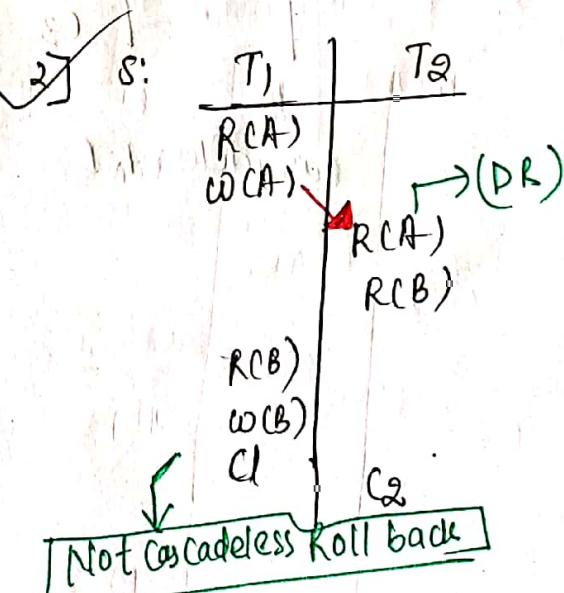
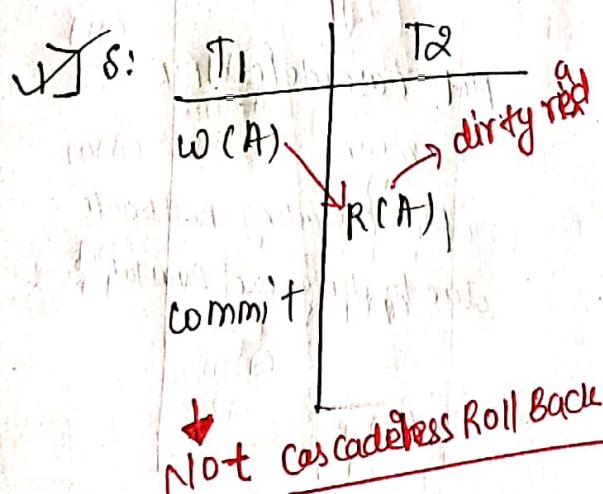
Dirty read Not allowed.



if T_i writes $X \ \& \ T_j$ required to Read X which is updated by T_i then —

Read X if T_j must delay until commit or Roll back of T_i

Question find which one is cascadeless Roll back & which one Not.



37 S: T_1 | T_2

R(A)	
R(B)	
W(A)	R(A)
W(A)	R(B)
C1	C2

↓
Cascadeless
R:B

47 S: T_1 | T_2

	W(A)
	CO(B)
	C2
	C1

No, dirty-read

so, it's cascadeless

R:B.

57 S: T_1 | T_2 | T_3 67 S: T_1 | T_2 | T_3

R(A)		
	R(B)	
W(A)	W(B)	
		R(C)
R(CC)		
C1		
	W(B)	
	C2	
		R(A)
		R(B)
		C3
		C2
		C1

Not Cascadeless R:B

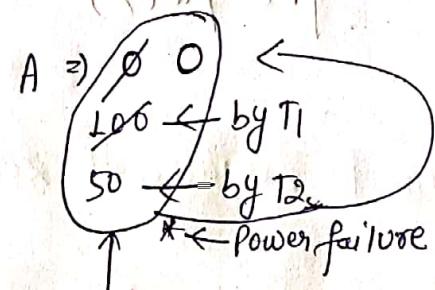
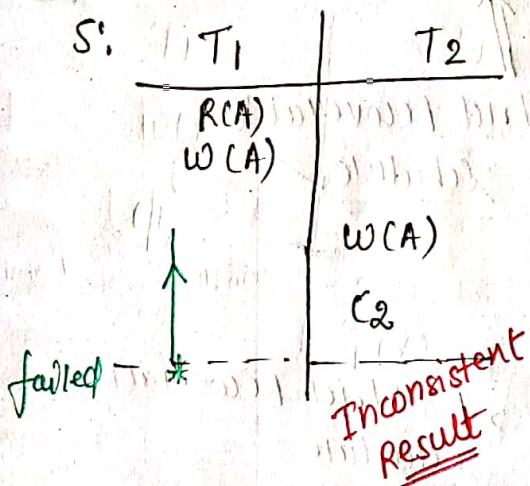
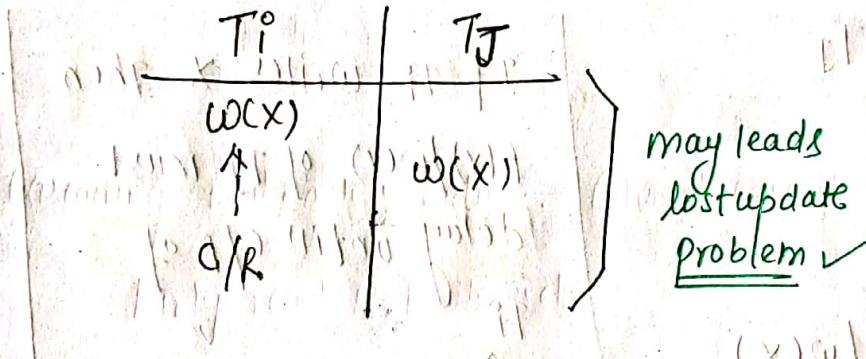
means

it's cascading Rollback

due to dirty-read Available ✓

Lost update problem :-

Transaction T_J writes x which is already written by uncommitted Transaction T_i .



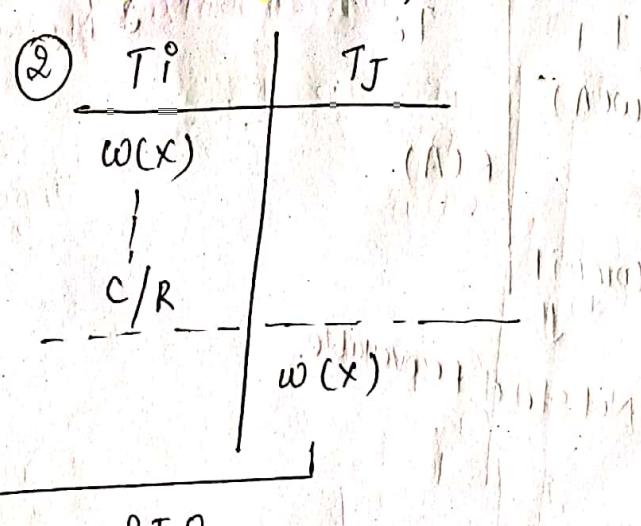
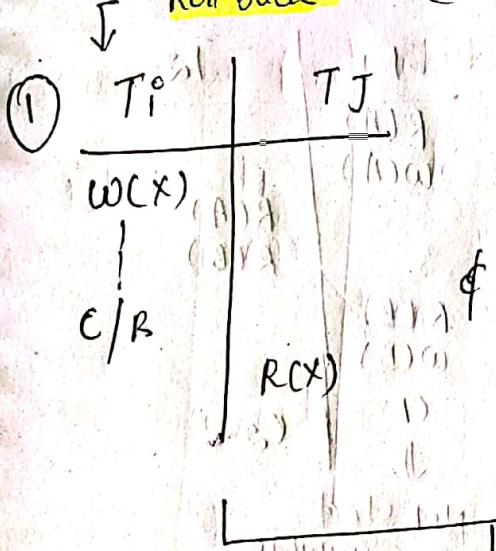
Lost update of T_2 ✓

Strict Recoverable schedule :-

Cascadeless Roll Back

(q & f)

No Lost update Problem.



from previous point ① & ② It is clear that —

for strict Recoverable Schedule.

T _i	T _j
w(x)	
c/r	

If T_i writes x Then
R(x)/w(x) of T_j must
delay until c/r of
T_i

Strict Recoverable
Schedule ✓

- ① No Irrecoverable Problem
- ② No Cascading Roll back
- ③ No Lost update problem.

for strict Recoverable
Schedule

Ques:- Find which one is strict Recoverable & which one Not

1) S: T₁ | T₂

w(A)	
	R(A)
Commit	

Not strict Recoverable.

2) S: T₁ | T₂

R(A)	
w(A)	
	R(B)
	w(B)
C ₁	
	C ₂

Not strict Recoverable

3] S:

	T_1	T_2
$R(A)$		
$w(A)$		
	$w(A)$	
		c_2
c_1		

↓
Not strict recoverable

4] S:

	T_1	T_2
$w(A)$		
$w(B)$		
	$w(B)$	
		c_2
c_1		

↓
Not strict
recoverable

5] S:

	T_1	T_2	T_3
$R(A)$			
	$R(CB)$		
$w(A)$			
	$w(A)$		
$R(CC)$			
c_1			
		$R(CC)$	
			$R(A)$
$w(B)$			
c_2			
		$R(A)$	
			$R(B)$
c_3			

↓
Not strict
recoverable

6] S:

	T_1	T_2	T_3
$R(A)$			
	$r(A)$		
$w(A)$			
	$w(A)$		
$w(B)$			
		$r(B)$	
c_2			
			c_3
c_1			

↓
Not strict Recoverable

Example of strict Recoverable:-

Show:-

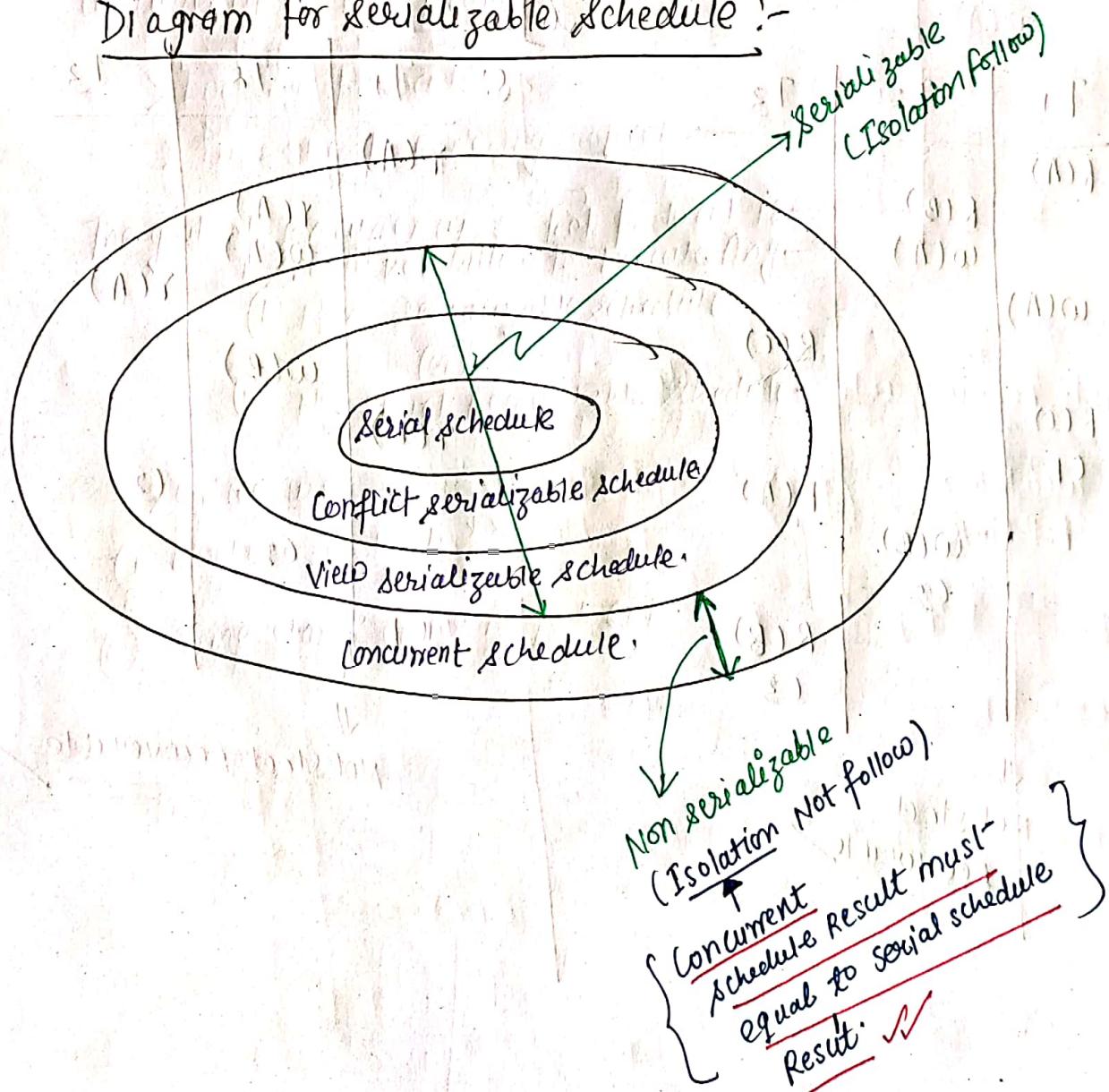
	T ₁	T ₂
	(R) R(A)	
	(W) W(A)	R(A)
	C ₁	
		(W) W(A)
		C ₂

strict Recoverable

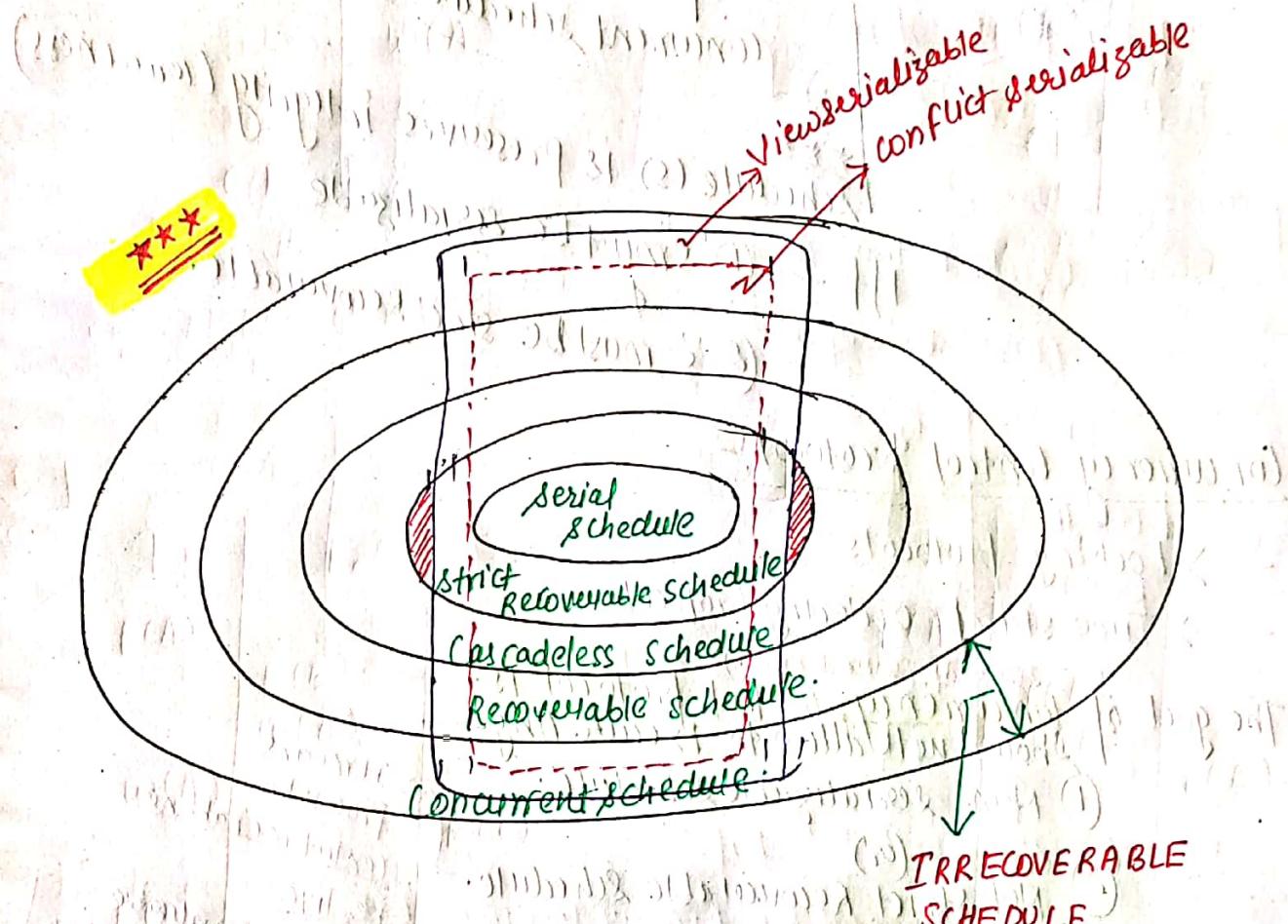
No Lost update problem.

Hence

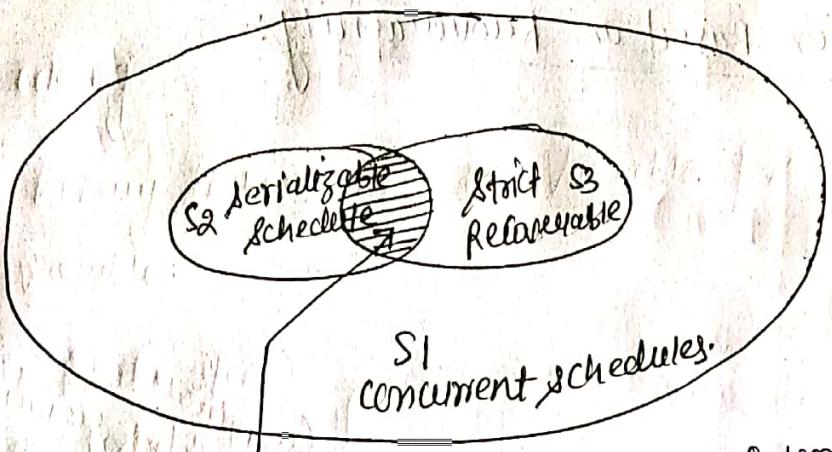
Diagram for serializable schedule :-



Classification Based on Recoverability



- Not Every strict Recoverable schedules are conflict serializable / view serializable.
- Not Every serializable schedules are
 - ✓ Recoverable
 - ✓ cascadeless schedule
 - ✓ strict recoverable schedule.



~~***~~ Schedule (S) is preserves integrity (correctness) iff — (1) " S " must be serializable
 (2) S must be strictly recoverable.

Concurrency Control protocol:-

- Locking protocols
- Time stamp ordering protocols

The goal of concurrency control protocol is —
 समरूपता, संगमन (merging)
 It should not allow to Execute Any —

- (1) Non-serializable schedule.
- (2) Not strict Recoverable schedule.

It means
 Process ko
 control me Rakhne
 ke liye

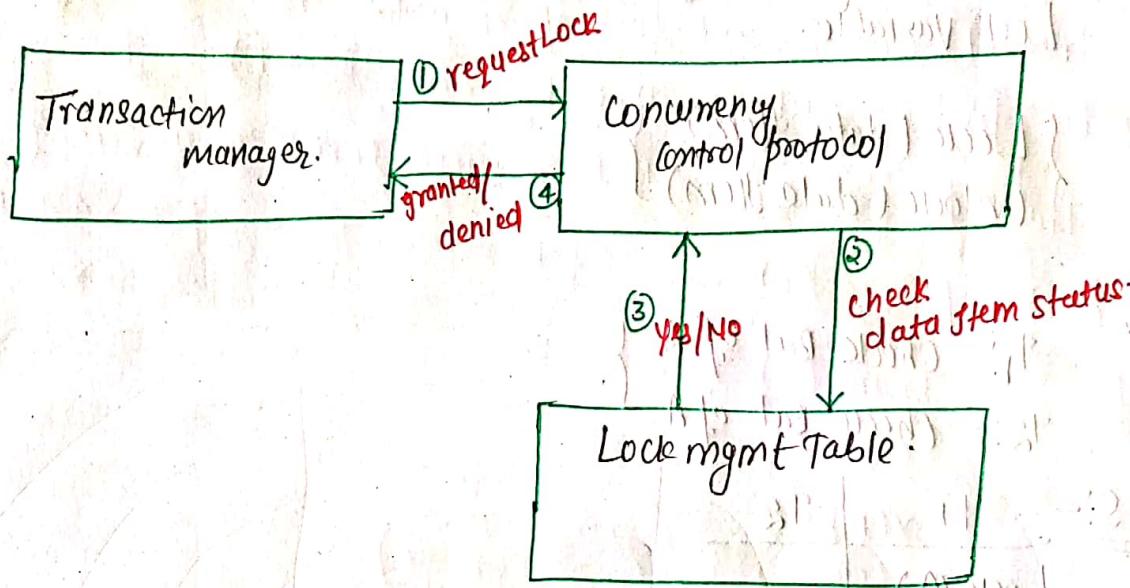
- (1) Always serializable
 schedule process execute kro
- (2) Strict Recoverable
 use kro

So, we use —

- (1) Locking Protocols ~~**~~
- (2) Time stamp ordering protocols.

Locking Protocols:-

Lock:- It is a variable which is used to identify status of data item.



Ex

Trans(T)

$LOCK(A) \leftarrow$ granted by concurrency control protocol (CCP)

$R(A)$

$W(A)$

$LOCK(B) \leftarrow$ if say it is denied by CCP

|
Wait

Then wait

$LOCK(B) \leftarrow$ granted by CCP

$W(B)$

$UNLOCK(A)$

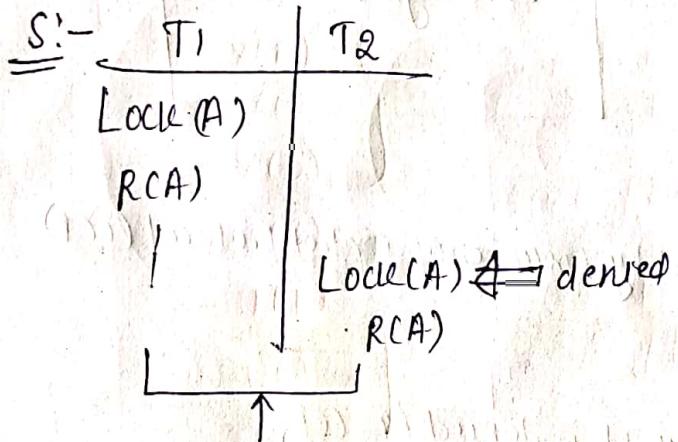
$UNLOCK(B)$

Binary Locking :-

In Binary locking only one Variable provide for Lock Variable.

{ Lock (data Item)
Unlock (data Item)}

Ex T_1 :- Check Bal of A }
 T_2 :- Check Bal of A }



"S" is correctly scheduled but

"S" may not allocated by Binary locking bcoz —

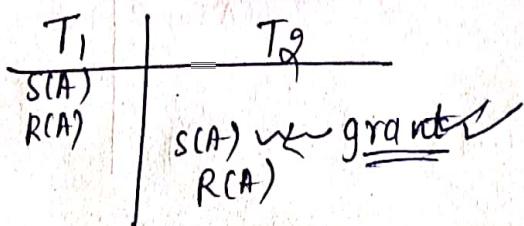
Binary locking unable to differentiate Read only data & Read write data.

(Dis-adv of Binary Locking)

\Rightarrow Binary locking system having Less degree of Concurrency

Then S/X Locking system come in Existance called multi mode locking.

S \leftarrow only for Read locking
X \leftarrow for Read & write Both locking



Adv of S/X Locking is More degree of concurrency

Multimode Locking

Shared Lock(S) : Read only lock.

Exclusive Lock(X) : Read-write lock.

Trans(T)

S(A) → grant

Read(A)

X(B) → grant

Read(B)

Write(B)

Unlock(A)

Unlock(B)

T ₁	T ₂
S(A)	(A) M
R(A)	(A) R
X(A)	X(A) → denied

Lock compatible Table :-

data item	S	X	
Request by T _j	S	X	
Hold by T _i		X	
Wait for		X	
Grant			

Two phase locking Protocol (2PL)

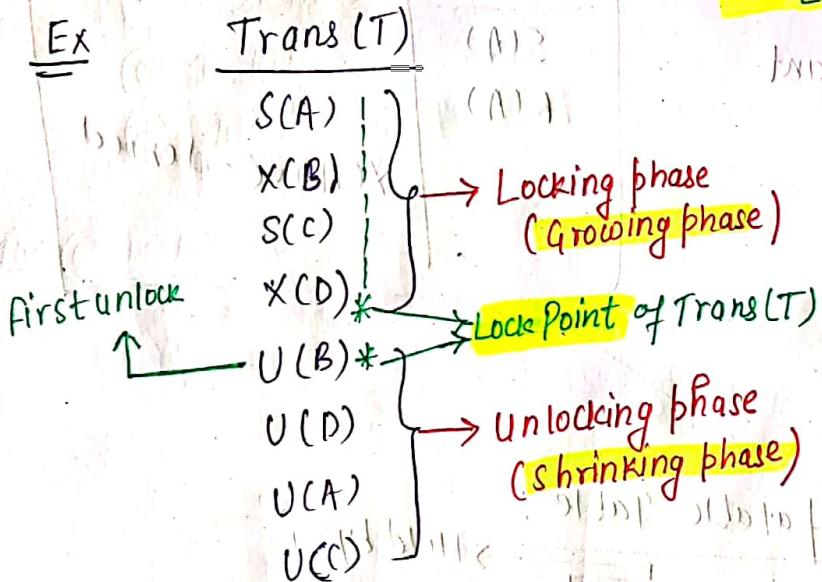
[Guarantees Serializability]

Growing shrinking

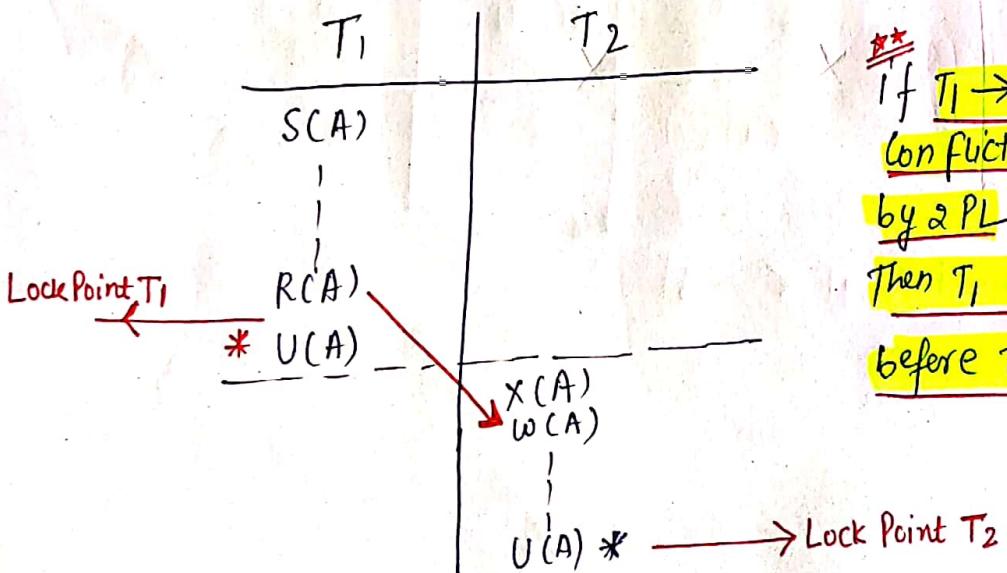
- ⇒ Trans(T) can request lock of any data item in any mode until first unlock op done by Transaction (T)
- ⇒ Trans(T) not allowed to request locks in unlocking

phase of Trans(T)

{ phle poora locking & then unlocking }



Ex:- $(T_1 \rightarrow T_2)$ - conflict pair can execute by 2PL.
only if Lock Point of T_1 before lock point of T_2



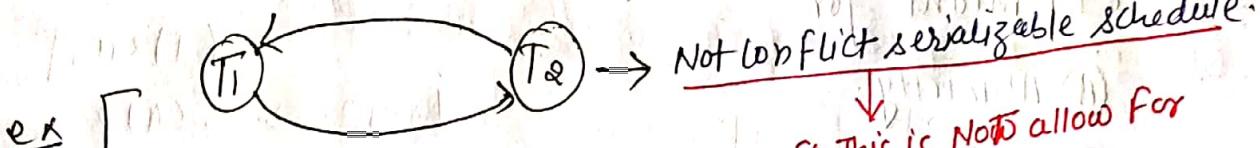
If $T_1 \rightarrow T_2$
Conflict pair executed
by 2PL
Then T_1 lock point
before T_2 lock point.

⇒ If schedule (S) is not conflict serializable schedule

(cyclic precedence graph) then schedule (S) not allowed

to execute by 2 PL.

S: $r_1(A) w_2(A) w_2(B) r_1(B)$



S:

T₁ T₂

S(A)

$r_1(A)$

S(B)

$U(A)$

T₂

X(A)

$w_2(A)$

X(B)

$w_2(B)$

$r_1(B)$

$U(B)$

Lock closure Example

T₁: Transfer
500 from
A → B

T₁
X(A)
R(A)
 $w(A)$
X(B)
 $U(A)$
R(B)
 $w(B)$
 $U(B)$
commit
(2PL)

T₂ display
A + B

S T₂
S(A)
R(A)
S(B)
 $U(A)$
R(B)
 $U(B)$
Commit
(2PL)

T₃ set A, B, C
as 10000

T₃
X(A)
 $w(A)$
X(B)
 $w(B)$
X(C)
 $U(A)$
 $U(B)$
 $w(C)$
 $U(C)$
Commit
(2PL)

All previous T_1 , T_2 , & T_3 Transaction are ——————

Concurrent execution of T_1 , T_2 , T_3 & guaranteed serializable ✓

Ques 2 PL meant for

- (A) Atomicity.
- (B) Consistency
- (C) Isolation
- (D) Durability.

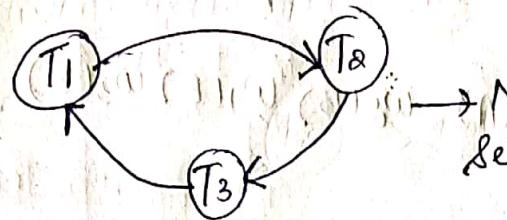
Ans (C) Isolation ✓

DB1	DB2	DB3
(A) X	(A) X	(A) X
(A) O	(A) X	(A) X
(C) X	(A) X	(A) X
(S) O	(A) X	(A) X
(C) X	(A) X	(A) X
(A) O	(A) X	(A) X
(C) O	(A) X	(A) X
(R) O	(A) X	(A) X
(U) O	(A) X	(A) X
(D) O	(A) X	(A) X
(I) O	(A) X	(A) X

Lecture - 25:-

→ If schedule (s) is not conflict serializable (cycle will be in Precedence Graph) Then Not allowed to execute by 2PL.

Ex $r_1(A)$ $w_2(A)$ $w_2(B)$ $r_3(B)$ $r_3(C)$ $w_1(C)$



Not conflict

serializable schedule

so

2PL Not allowed

One check for 2PL

T ₁	T ₂	T ₃
$r_1(A)$		
	$w_2(A)$ $w_2(B)$	
		$r_3(B)$ $r_3(C)$
$w_1(C)$		

* * *

Not conflict serializable
(or)
Not view serializable

NOT 2PL used ✓

T ₁	T ₂	T ₃
<u>SCA</u> $r_1(A)$		
<u>X(A) U(A)</u>	<u>X(A) X(B)</u>	<u>(1)X</u>
	<u>W₂(A)</u>	<u>(1)U</u>
	<u>W₂(B)</u> <u>U(A) U(B)</u>	<u>(1)U</u>
		<u>S(B)</u>
		<u>R₃(B)</u>
$w_1(C)$		<u>R₃(C)</u>

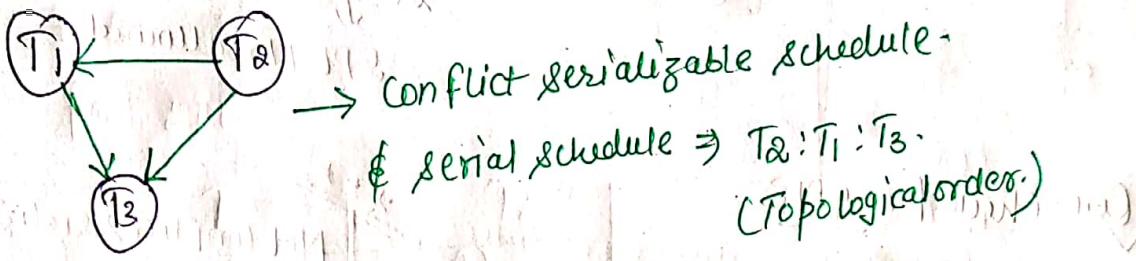
denied ✓

Not allowed to execute
by 2PL

- If schedule S allowed to execute by 2PL.
- Then schedule S also in conflict serializable.
- & equal serial schedule is based on lock point orders.

[lock Point order = Topological Order]

~~Ques~~ $S: r_1(A) \rightarrow r_3(A) \rightarrow r_2(A) \rightarrow w_2(B) \rightarrow w_1(B) \rightarrow w_3(B)$



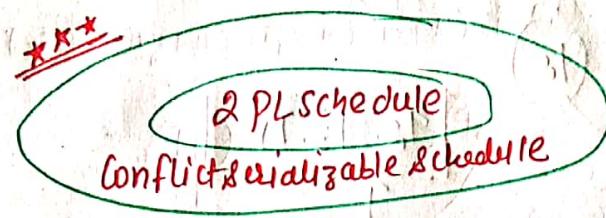
for checking 2PL:

s!	T ₁	T ₂	T ₃	
	<u>S(A)</u>			
	<u>r₁(A)</u>			
	r₂(A)			
			<u>S(A)</u>	
			<u>r₃(A)</u>	
			w₂(B)	
			w₁(B)	
			w₃(B)	
	<u>X(B)</u>			
	<u>w₁(B)</u>			
	<u>U(B)</u>			
		<u>X(B)</u>		
		<u>w₃(B)</u>		
		<u>U(B)</u>		
			<u>U(A)</u>	

First lock Point
Second lock Point
Third lock Point

$T_2 \rightarrow T_1 \rightarrow T_3$ \rightarrow Lock Point order

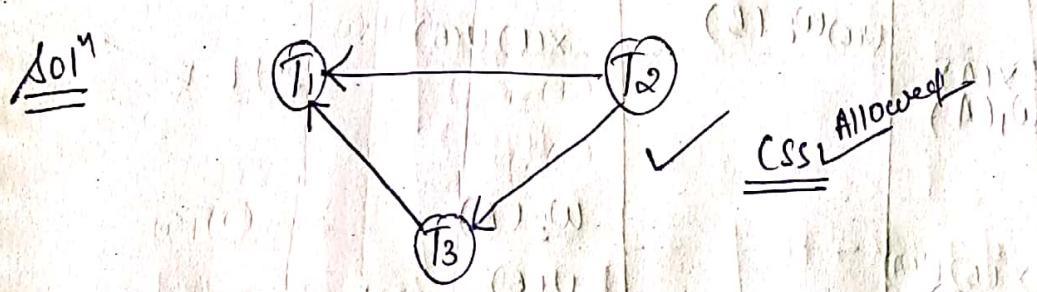
- Every schedule which allowed by 2PL is also conflict serializable schedule but Not every conflict serializable schedule is allowed to execute 2PL



Que $S: w_2(A) \quad w_3(A) \quad w_1(A) \quad w_2(B) \quad w_3(B) \quad w_1(B)$

which is true?

- S is CSS & allowed by 2PL.
- S is CSS & not allowed by 2PL
- S is Not CSS & Not allowed by 2PL
- S is Not CSS & allowed by 2PL.



for 2PL

	T_1	T_2	T_3	
T_1		$X(A)$ $w_2(A)$ $X(B) U(A)$		
$w_1(A)$				
$w_1(B)$		$w_2(B)$		
			$w_3(B)$	

Not in 2PL

denied

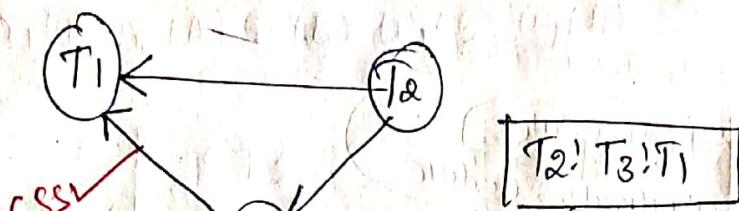


~~Done~~

$s: w_2(A) w_3(A) w_2(B) w_1(A) w_3(B) w_1(B)$

check for CSS & 2PL

A01⁴



[$T_2; T_3; T_1$]

Topological Order

for 2PL

T_1	T_2	T_3
	$x(A)$ $w_2(A)$ $x(B) \cup A$	$x(A)$ $w_3(A)$
$x(A)$ $w_1(A)$		$x(B) \cup A$
$x(B) \cup A$ $w_1(B)$ $v(B)$		$w_3(B)$ $v(B)$

2PL ✓

[$T_2 \rightarrow T_3 \rightarrow T_1$]

so CSS ✓

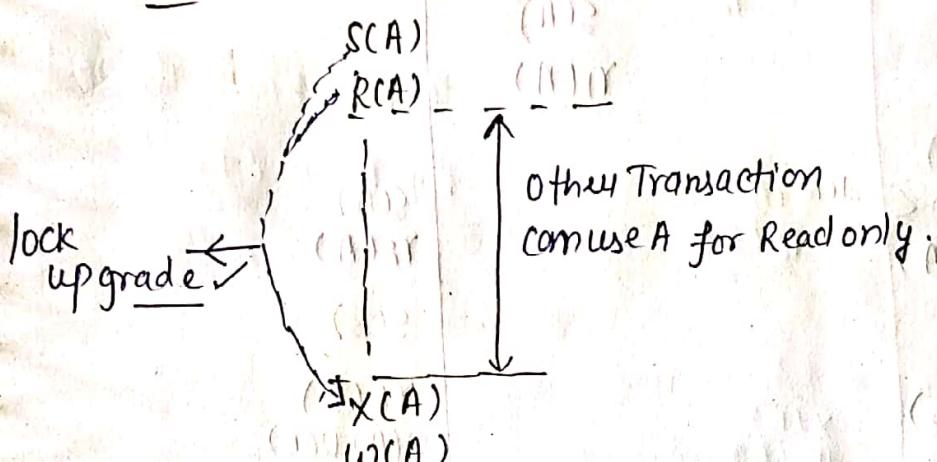
2PL ✓

Lock upgrading in 2PL :-

If Trans(T) required R(A) and W(A), Trans should Lock "A" in shared lock of "A" & perform R(A) before W(A) should upgrade Lock to exclusive mode by requesting X(A).

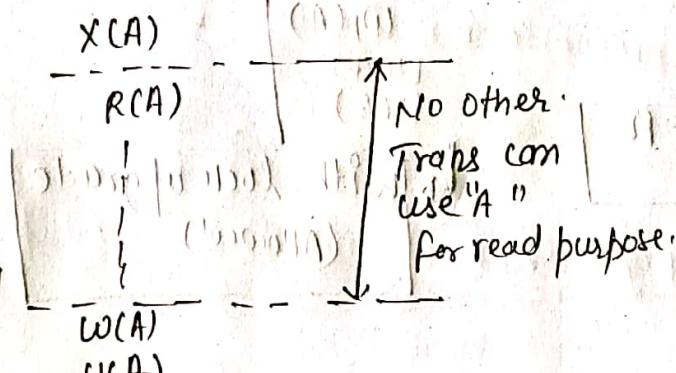
[Lock upgrading should be in Locking phase].

Ex :- Trans(T)



2PL with Lock upgrading.

Ex : Trans(T)



without Lock upgrading

Adv of Lock upgrade 2PL is more degree of Concurrency

→ 2PL Condition by default consider Lock upgrading

Ques

S: $r_1(A)$ $r_2(A)$ $r_2(B)$ $w_1(B)$ $w_1(A)$

Solⁿ

T ₁	T ₂	T ₁	T ₂ , B
X(A)		S(A)	
r(A)		r(A)	
	$r_2(A)$ ← denied		
	$r_2(B)$		
$w_1(B)$		$w_1(B)$	
$w_1(A)$		X(B)	
		$w_1(A)$	
		$U(A)$	
		$U(B)$	

Without lock upgrade-2PL

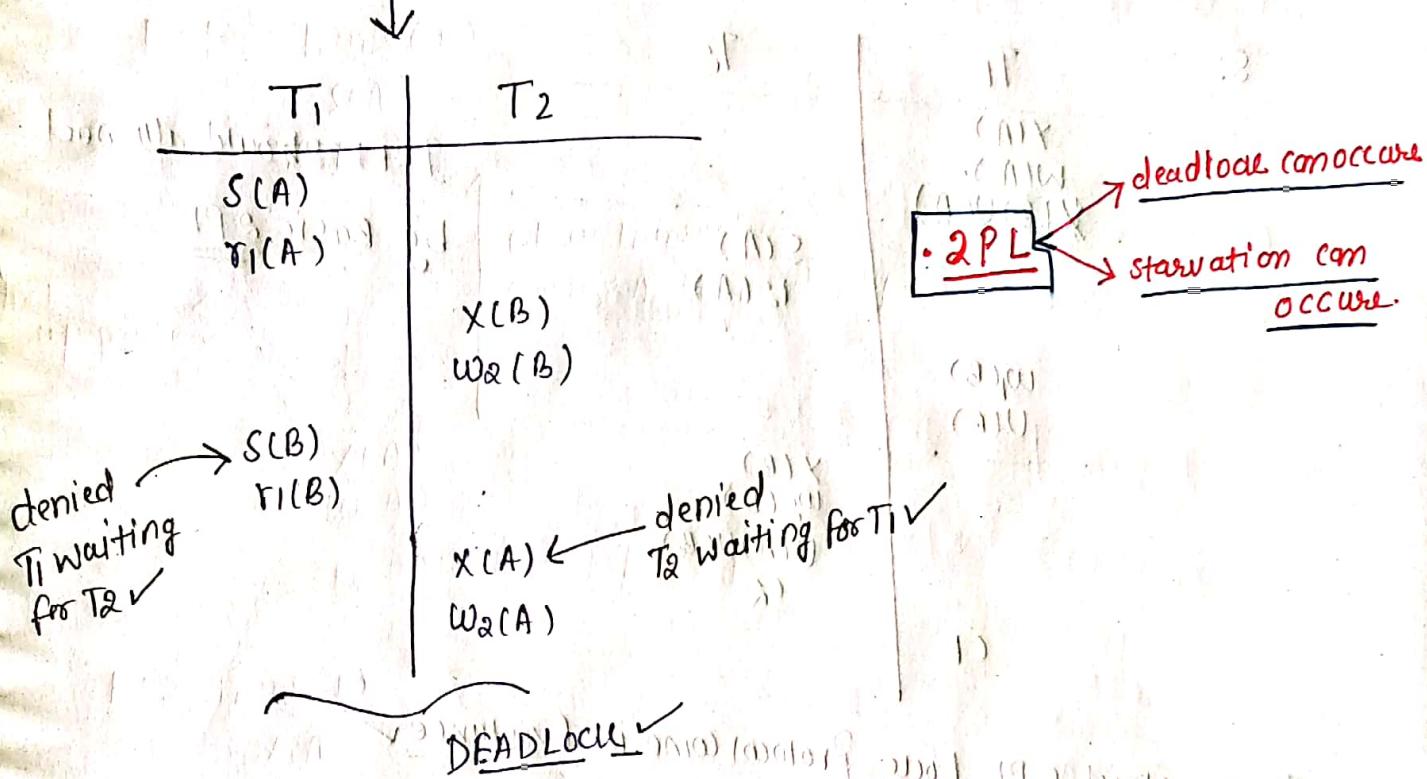
With lock upgrade (Allowed)

Limitation of 2PL:-

1] 2PL restriction may form dead lock!

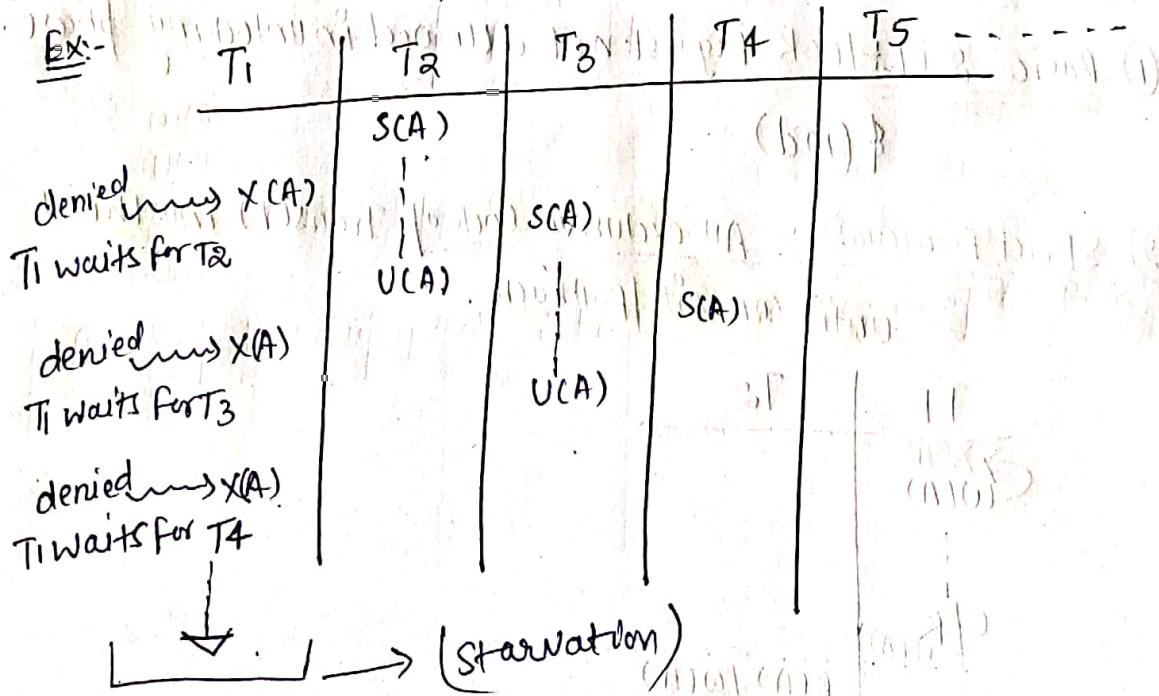
$$\text{Ex} \quad T_1 : r_1(A) \ r_1(B) \ [\ S(A)S(B) \cup (A) \cup (B)] \quad \checkmark$$

$$T_2 : w_2(B) \ w_2(A) \quad [x(B) \ x(A) \ u(B) \ u(A)]$$



2] 2PL restriction may leads starvation.

<u>Ex:-</u>	T ₁	T ₂	T ₃	T ₄	T ₅
-------------	----------------	----------------	----------------	----------------	----------------



3] 2PL restriction not sufficient for avoiding

- (a) IRREC Problem
- (b) Cascading Rollback Problem
- (c) Lost update Problem

S: $w_1(A)$ $r_2(A)$ $w_1(B)$ $w_2(B)$ c_2

S:	T_1	T_2
	$x(A)$	
	$w(A)$	
	$x(B)$ $u(A)$	$s(A)$
		$r_2(A)$
	$w_1(B)$	
	$u(B)$	
		$x(B)$
		$w_2(B)$
		$u(A) u(B)$
		c_2
C1		

IRREC should be allowed
by Basic 2PL

Then strict 2PL Lock Protocol come in Existence

Strict 2PL :- Basic 2PL + strict recoverable

① Basic 2PL :- lock Request not allowed in unlocking phase.
\$ (and)

② Strict Recoverable :- All exclusive lock of Trans(T) must hold until commit / rollback.

S:	T_1	T_2	
	$x(A)$		
	$w(A)$		
	c_1	R	
	$u(A)$		
		$R(\bar{A})$	
		$\bar{w}(A)$	

Strict 2PL :-

Guaranteed For

- ① Conflict serializable [Equal serial based on lock points order].
- ② Guaranteed strict Recoverable.
- ③ May possible dead lock & starvation.

Trans(T) [S/X + strict 2PL]

S(A)
R(A)
X(B)
W(B)
S(C)
R(C)
X(C)
W(C)
X(D)
S(E)
R(E)
U(A)
U(E)
commit
U(B)
U(C)
U(D)

Locking Phase

unlocking

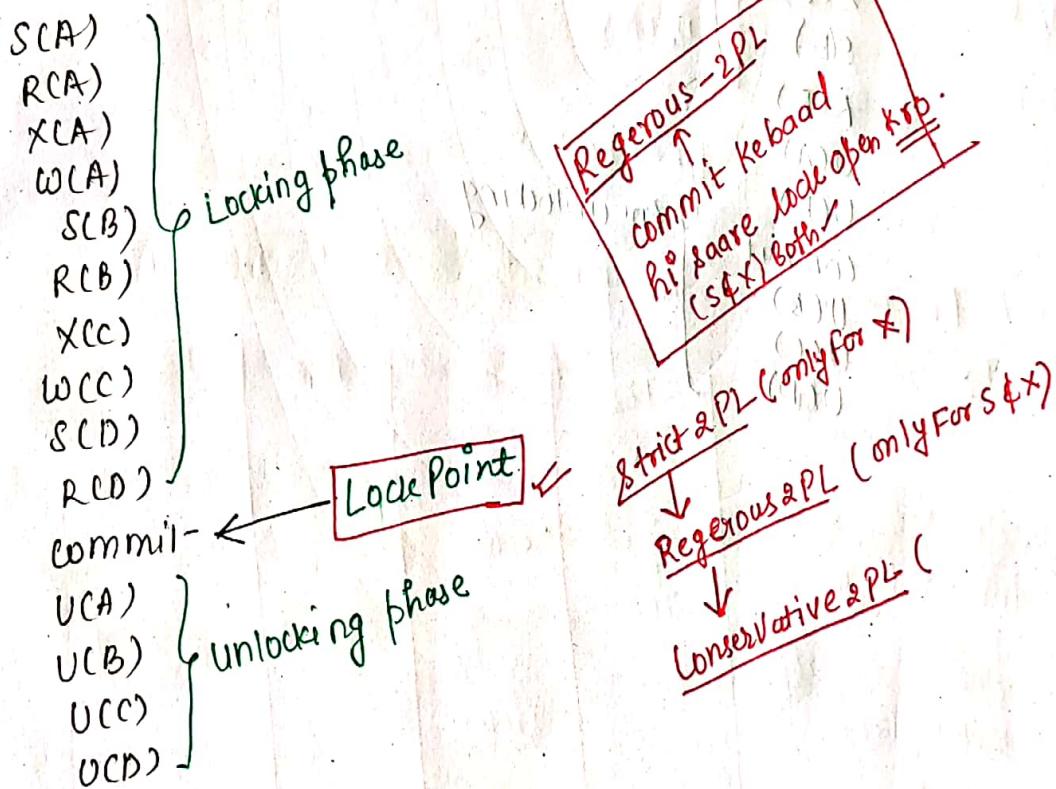
Regerous - 2PL :-

- ① Basic 2PL: Lock request not allowed in unlocking phase.
- ② All locks of Trans(T) {shared / exclusive lock} must hold until Commit / Rollback.

Regerous - 2 PL protocol :-

- ① Guaranteed for conflict serializability, each serial schedule is order of commit
- ② Guaranteed for strict Recoverability.
- ③ Not free from dead lock & starvation.

Trans(T) [S/X + Regerous 2PL]



Conservative & PL protocol:-

✓ {deadlock free}.

Transaction must check availability of all data items.

If all data items available Then —

Transaction should lock all data items before R/W opⁿ.

Otherwise:-

Transaction should wait & Re-check.

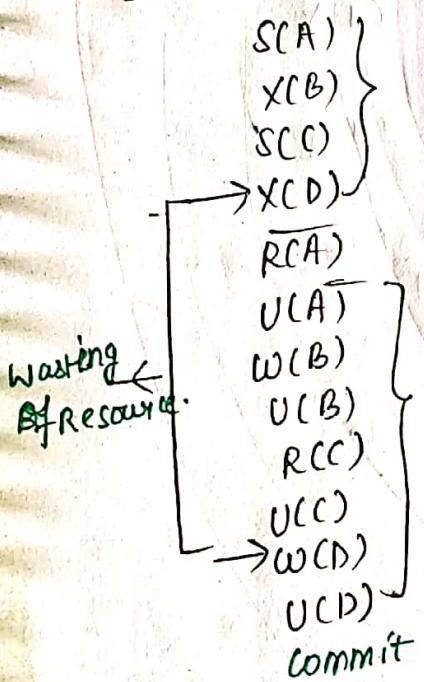


Disadvantage:-

- (1) less degree of concurrency
- (2) Starvation can occur
- (3) Not strict Recoverable

{ It can be done & strict Recoverable }.

Ex Trans



• Transaction should check availability of all data item, if available then Lock occurs, otherwise wait till all data item are Not available - 1e

