

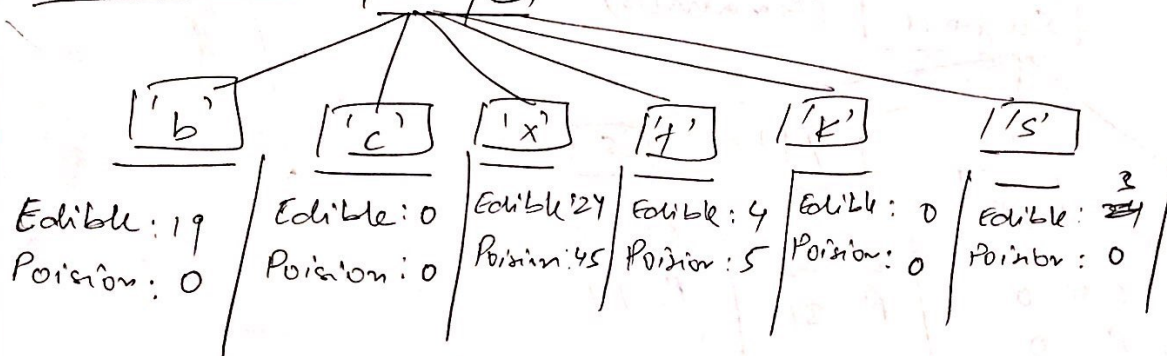
Decision Tree (PROBLEM 2)1. Compute the entropy for the data set

50 Edible      50 Poisonous

$$E(S) = -P(\text{Edible}) \log_2 P(\text{Edible}) - P(\text{Poisonous}) \log_2 P(\text{Poisonous})$$

$$= -(1/2) \log_2 (1/2) - (1/2) \log_2 (1/2)$$

$$E(S) = 1 \text{ (Total Entropy)}$$

2. Which node/attribute as root node?1<sup>st</sup> Feature → Shape (6 values)Calculate entropy and information gain for all of the different nodes

$$E(\text{shape} = b) = -\frac{19}{19} \log_2 \frac{19}{19} - 0$$

$$= 0$$

 $E(\text{shape} = k)$  (irrelevant)

$$E(\text{shape} = s) = 0$$

$$E(\text{shape} = c) = \text{(irrelevant feature)}$$

$$E(\text{shape} = x) = -\frac{24}{69} \log_2 \left( \frac{24}{69} \right) - \frac{45}{69} \log_2 \left( \frac{45}{69} \right)$$

$$= 0.932$$

$$E(\text{shape} = f) = -\frac{4}{9} \log_2 \left( \frac{4}{9} \right) - \frac{5}{9} \log_2 \left( \frac{5}{9} \right)$$

$$= 0.9910$$

### Information from Cap-shape

$$I(\text{Cap-shape}) = \frac{19}{100} \times 0 + \frac{69}{100} \times 0.982 + \frac{9}{100} \times 0.991$$

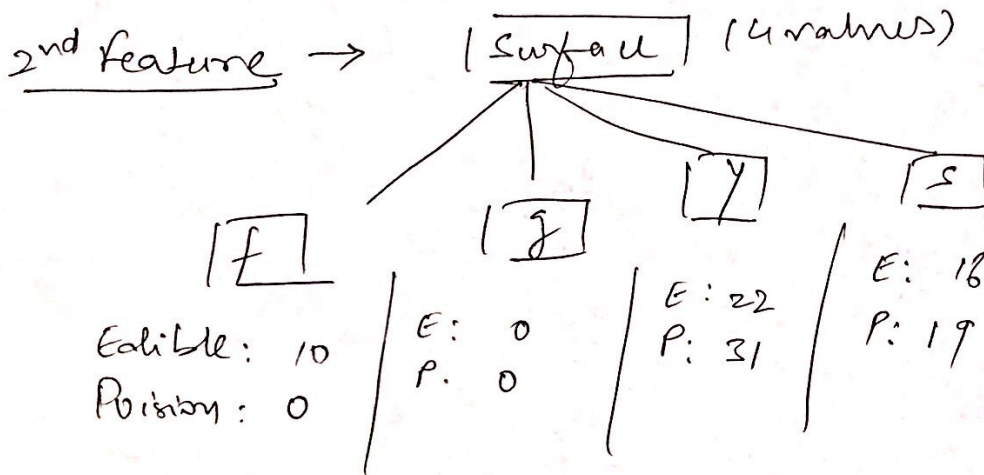
$$= 0.7322$$

### Information gained from cap-shape

$$\text{Gain}(\text{Cap-shape}) = E(\epsilon) - I(\text{Cap-shape})$$

$$= 1 - 0.7322$$

$$\boxed{= 0.2678}$$



$$E(\text{Surface} = f) = 0$$

$$E(\text{Surface} = g) \text{ (irrelevant feature)}$$

$$E(\text{Surface} = y) = -\frac{22}{53} \log_2\left(\frac{22}{53}\right) - \log_2\left(\frac{31}{52}\right) \log_2\left(\frac{21}{53}\right)$$

$$= 0.979$$

$$E(\text{Surface} = s) = -\frac{16}{37} \log_2\left(\frac{16}{37}\right) - \frac{19}{37} \log_2\left(\frac{19}{37}\right)$$

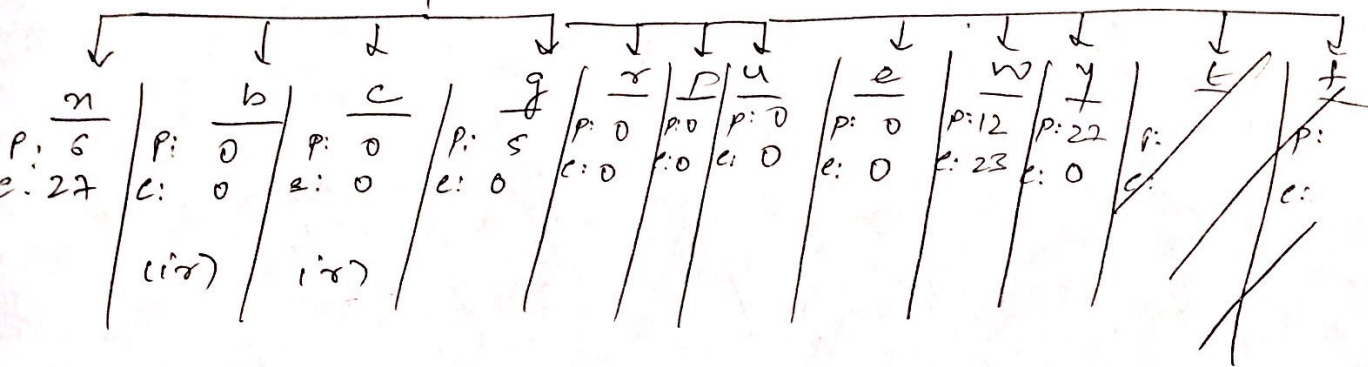
$$= 0.999$$

### Information gain from Cap-Surface

$$I(\text{Cap-Surface}) = \frac{53}{100} \times 0.979 + \frac{37}{100} \times 0.999 = 0.888$$

$$\boxed{\text{Gain} = 1 - 0.888 = 0.112}$$

3<sup>rd</sup> feature  $\rightarrow$  Color (10 values)



$$E(\text{color} = n) = -\frac{6}{33} \log_2\left(\frac{6}{33}\right) - \frac{27}{33} \log_2\left(\frac{27}{33}\right) = 0.684$$

$$E(\text{color} = g) = 0$$

$$E(\text{color} = w) = -\frac{12}{35} \log_2\left(\frac{12}{35}\right) - \frac{23}{35} \log_2\left(\frac{23}{35}\right) = 0.927$$

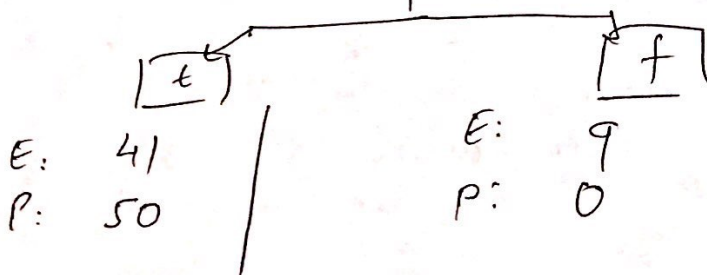
$$E(y) = 0$$

Information gain from Cap-Color

$$I(\text{Cap-Color}) = \frac{33}{100} \times 0.684 + \frac{35}{100} \times 0.927 = 0.649$$

$$\boxed{\text{Gain} = 1 - 0.649 = 0.350}$$

4<sup>th</sup> feature  $\rightarrow$  Bruised



$$E(\text{Bruised} = t) = -\frac{41}{91} \log_2\left(\frac{41}{91}\right) - \frac{50}{91} \log_2\left(\frac{50}{91}\right) = 0.99$$

$$E(\text{bruised} = f) = 0$$

$$I(\text{bruised}) = \frac{91}{100} \times 0.99 = 0.909$$

$$\boxed{\text{Gain} = 1 - 0.909 = 0.099}$$



5th feature = odor

a	l	c	y	m	n	p	s
E: 19 P: 0	E: 22 P: 0	E: 0 P: 0 (irr)	E: 0 P: 0 (irr)	E: 0 P: 0 (irr)	E: 9 P: 0	E: 0 P: 50 (irr)	E: 0 P: 0 (irr)

$$E(\text{odor} = a) = 0$$

$$E(\text{odor} = l) = 0$$

$$E(\text{odor} = n) = 0$$

$$E(\text{odor} = p) = 0$$

$$I(\text{odor}) = 0$$

$$\text{Gain}() = 1 - 0 = 1$$

### 1. Shape

$$I(\text{Shape}) = 0.732$$

$$\text{Gain}(\text{Shape}) = 0.2678$$

### 3. Color

$$I(\text{Color}) = 0.649$$

$$\text{Gain}(\text{Color}) = 0.350$$

selecting this

### 2. Surface

$$I(\text{Surface}) = 0.888$$

$$\text{Gain}(\text{Surface}) = 0.112$$

### 4. Breuses

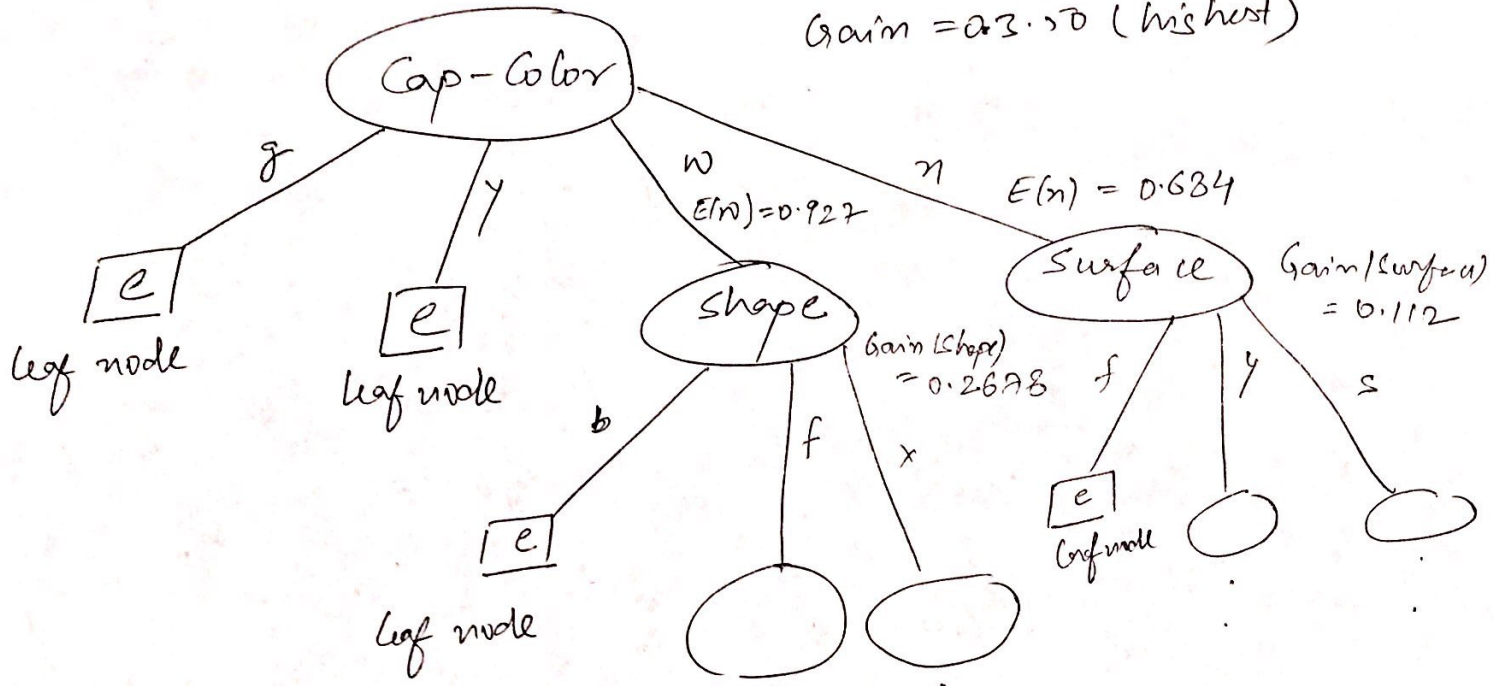
$$I(\text{Breuses}) = 0.909$$

$$\text{Gain}(\text{Breuses}) = 0.091$$

The fifth feature 'odor' will not be considered since it has the highest gain and (0 entropy), it will ~~over~~ be the only feature required and all other features will become irrelevant.

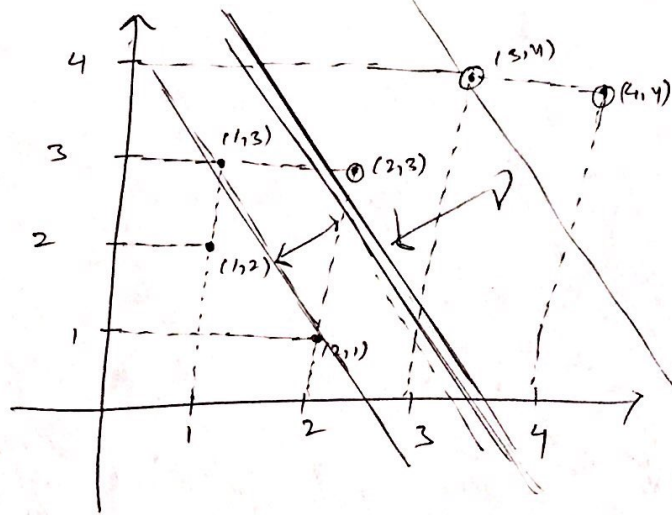
# 2-level decision tree

root node : Cap Color  
Gain = 0.350 (highest)



# Support Vector Machines

(a)



•  $\rightarrow -1$   
 ○  $\rightarrow +1$

$$D = \{ ((1,2), -1), ((2,3), 1), ((2,1), -1), ((3,4), 1), ((1,3), -1), ((4,4), 1) \}$$

Aim: To find a decision line  
 $x \cdot w + b = 0$

$$x_i \cdot w + b \geq +1 \text{ for } i \in (1..N) \text{ where } y_i = +1$$

$$x_i \cdot w + b \leq -1 \text{ for } i \in (1..N) \text{ where } y_i = -1$$

• The margin of separation

$$d(w) = (x_+ - x_-) \cdot \frac{w}{\|w\|} = \frac{2}{\|w\|}$$

• Maximizing  $d(w)$  is equivalent to minimizing  $\|w\|^2$ . So the constraint optimization problem in SVM is

$$\min: \frac{1}{2} \|w\|^2$$

$$\text{subject to } y_i(x_i \cdot w + b) \geq 1 \quad \forall i = 1..N$$

Equivalently, minimizing a Lagrangian function

$$\min L(w, b, \{d_i\}) = \frac{1}{2} \|w\|^2 - \sum_{i=1}^N d_i [y_i(x_i \cdot w + b) - 1]$$

$$\text{subject to } d_i \geq 0, y_i(x_i \cdot w + b) - 1 \geq 0,$$

$$d_i [y_i(x_i \cdot w + b) - 1] = 0, \quad \forall i = 1..N$$

Now

$\downarrow$  (P.T.D)

$$\frac{\partial L(w, b, \lambda_i)}{\partial b} = 0 \quad \text{and} \quad \frac{\partial L(w, b, \lambda_i)}{\partial w} = 0$$

subject to constraint  $\lambda_i \geq 0$

$$\rightarrow \sum_{i=1}^N \lambda_i y_i = 0 \quad \text{and} \quad w = \sum_{i=1}^N \lambda_i y_i x_i$$

Substituting this back into the Lagrangian function

$$\begin{aligned} L(w, b, \lambda_i) &= \frac{1}{2} (w \cdot w) - \sum_{i=1}^N \lambda_i y_i (x_i \cdot w) - \sum_{i=1}^N \lambda_i y_i b + \sum_{i=1}^N \lambda_i \\ &= \sum_{i=1}^N \lambda_i - \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \lambda_i \lambda_j y_i y_j (x_i \cdot x_j) \end{aligned}$$

This results in

$$\max_{\lambda} \sum_{i=1}^N \lambda_i - \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \lambda_i \lambda_j y_i y_j (x_i \cdot x_j)$$

subject to

$$\sum_{i=1}^N \lambda_i y_i = 0 \quad \text{and} \quad \lambda_i \geq 0, \quad i = 1, \dots, N$$

(c) using SVM solver

$$w = [0.8, 0.4]$$

The support vectors are ~~(1, 2)~~ <sup>(2, 1)</sup> and (3, 1)