

## Assignment 1

### Fast Trajectory Replanning

Deadline: October 1, 11:55pm.

Perfect score: 100.

#### Assignment Instructions:

**Teams:** Assignments should be completed by teams of up to three students. You can work on this assignment individually if you prefer. No additional credit will be given for students that complete an assignment individually. Make sure to write the name and RUID of every member of your group on your submitted report.

**Submission Rules:** Submit your reports electronically as a PDF document through Canvas ([canvas.rutgers.edu](https://canvas.rutgers.edu)). For programming questions, you need to also submit a compressed file via Canvas, which contains your code. Do not submit Word documents, raw text, or hardcopies etc. Make sure to generate and submit a PDF instead. Each team of students should submit only a single copy of their solutions and indicate all team members on their submission. Failure to follow these rules will result in lower grade for this assignment.

**Extra Credit for  $\LaTeX$ :** You will receive 10% extra credit points if you submit your answers as a typeset PDF (using  $\LaTeX$ , in which case you should also submit electronically your source code). If you want to submit a handwritten report, scan it and submit a PDF via Canvas. We will not accept hardcopies. If you choose to submit handwritten answers and we are not able to read them, you will not be awarded any points for the part of the solution that is unreadable.

**Precision:** Try to be precise. Have in mind that you are trying to convince a very skeptical reader (and computer scientists are the worst kind...) that your answers are correct.

**Collusion, Plagiarism, etc.:** Each team must prepare its solutions independently from other teams, i.e., without using common notes, code or worksheets with other students or trying to solve problems in collaboration with other teams. You must indicate any external sources you have used in the preparation of your solution. **Do not plagiarize online sources** and in general make sure you do not violate any of the academic standards of the department or the university. Failure to follow these rules may result in failure in the course.

# Assignment Description

## 1 Setup

Consider the following problem: an agent in a gridworld has to move from its current cell to the given cell of a non-moving target, where the gridworld is not fully known. They are discretizations of terrain into square cells that are either blocked or unblocked.

Similar search challenges arise frequently in real-time computer games, such as Starcraft shown in Figure 1, and robotics. To control characters in such games, the player can click on known or unknown terrain, and the game characters then move autonomously to the location that the player clicked on. The characters observe the terrain within their limited field of view and then remember it for future use but do not know the terrain initially (due to “fog of war”). The same situation arises in robotics, where a mobile platform equipped with sensors builds a map of the world as it traverses an unknown environment.



Figure 1: Fog of War in Starcraft

Assume that the initial cell of the agent is unblocked. The agent can move from its current cell in the four main compass directions (east, south, west and north) to any adjacent cell, as long as that cell is unblocked and still part of the gridworld. All moves take one time step for the agent and thus have cost one. The agent always knows which (unblocked) cell it is in and which (unblocked) cell the target is in. The agent knows that blocked cells remain blocked and unblocked cells remain unblocked but does not know initially which cells are blocked. However, it can always observe the blockage status of its four adjacent cells, which corresponds to its field of view, and remember this information for future use. The objective of the agent is to reach the target as effectively as possible.

A common-sense and tractable movement strategy for the agent is the following: The agent assumes that cells are unblocked unless it has already observed them to be blocked and uses search with the “freespace assumption”. In other words, it moves along a path that satisfies the following three properties:

1. It is a path from the current cell of the agent to the target.
2. It is a path that the agent does not know to be blocked and thus assumes to be unblocked, i.e., a presumed unblocked path.
3. It is a shortest such path.

Whenever the agent observes additional blocked cells while it follows its current path, it remembers this information for future use. If such cells block its current path, then its current path might no longer be a “shortest presumed-unblocked path” from the current cell of the agent to the target. Then, the agent stops moving along its current path, searches for another “shortest presumed-unblocked path” from its current cell to the target, taking into account the blocked cells that it knows about, and then moves along this path. The cycle stops when the agent:

- either reaches the target or
- determines that it cannot reach the target because there is no presumed-unblocked path from its current cell to the target and it is thus separated from the target by blocked cells.

In the former case, the agent reports that it reached the target. In the latter case, it reports that it cannot reach the target. This movement strategy has two desirable properties:

1. The agent is guaranteed to reach the target if it is not separated from it by blocked cells.
2. The trajectory is provably short (but not necessarily optimal).
3. The trajectory is believable since the movement of the agent is directed toward the target and takes the blockage status of all observed cells into account but not the blockage status of any unobserved cell.

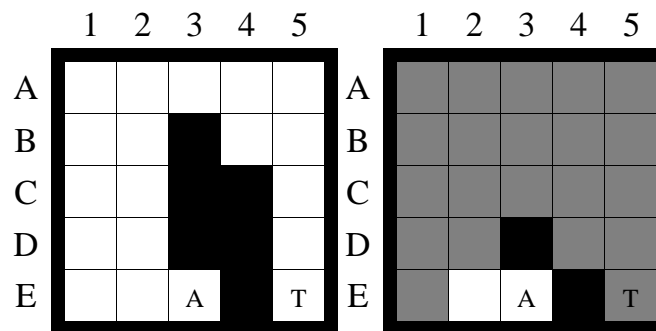


Figure 2: First Example Search Problem (left) and Initial Knowledge of the Agent (right)

As an example, consider the gridworld of size  $5 \times 5$  shown in Figure 2 (left). Black cells are blocked, and white cells are unblocked. The initial cell of the agent is marked *A*, and the target is marked *T*. The initial knowledge of the agent about blocked cells is shown in Figure 2 (right). The agent knows black cells to be blocked and white cells to be unblocked. It does not know whether grey cells are blocked or unblocked. The trajectory of the agent is shown in Figure 3. The left figures show the actual gridworld. The center figures show the knowledge of the agent about blocked cells. The right figures again show the knowledge of the agent about blocked cells, except that all cells for which it does not know whether they are blocked or unblocked are now shown in white since the agent assumes that they are unblocked. The arrows show the “shortest presumed-unblocked paths” that the agent attempts to follow. The agent needs to find another “shortest presumed-unblocked path” from its current cell to the target whenever it observes its current path to be blocked. The agent finds such a path by finding a shortest path from its current cell to the target in the right figure. The resulting paths are shown in bold directly after they were computed. For example, at time step 1, the agent searches for a “shortest presumed-unblocked path” and then moves along it for three moves (first search). At time step 4, the agent searches for another “shortest presumed-unblocked path” since it observed its current path to be blocked and then moves along it for one move (second search). At time step 5, the agent searches for another “shortest presumed-unblocked path” (third search), and so on. When the agent reaches the target it has observed the blockage status of every cell although this is not the case in general.

## 2 Modeling and Solving the Problem

The state space of the search problem arising is simple: The states correspond to the cells, and the actions allow the agent to move from cell to cell. Initially, all action costs are one. When the agent observes a blocked cell for the first time, it increases the action costs of all actions that enter or leave the corresponding state from one to infinity or, alternatively, removes the actions. A shortest path in this state space then is a “shortest presumed-unblocked path” in the gridworld.

Thus, the agent needs to search in state spaces in which action costs can increase or, alternatively, actions can be removed. The agent searches for a shortest path in the state space whenever the length of its current path increases (to infinity). Thus, the agent (of the typically many agents in real-time computer games) has to search repeatedly until it reaches the target. It is therefore important for the searches to be as fast as possible to ensure that the agent responds quickly and moves smoothly

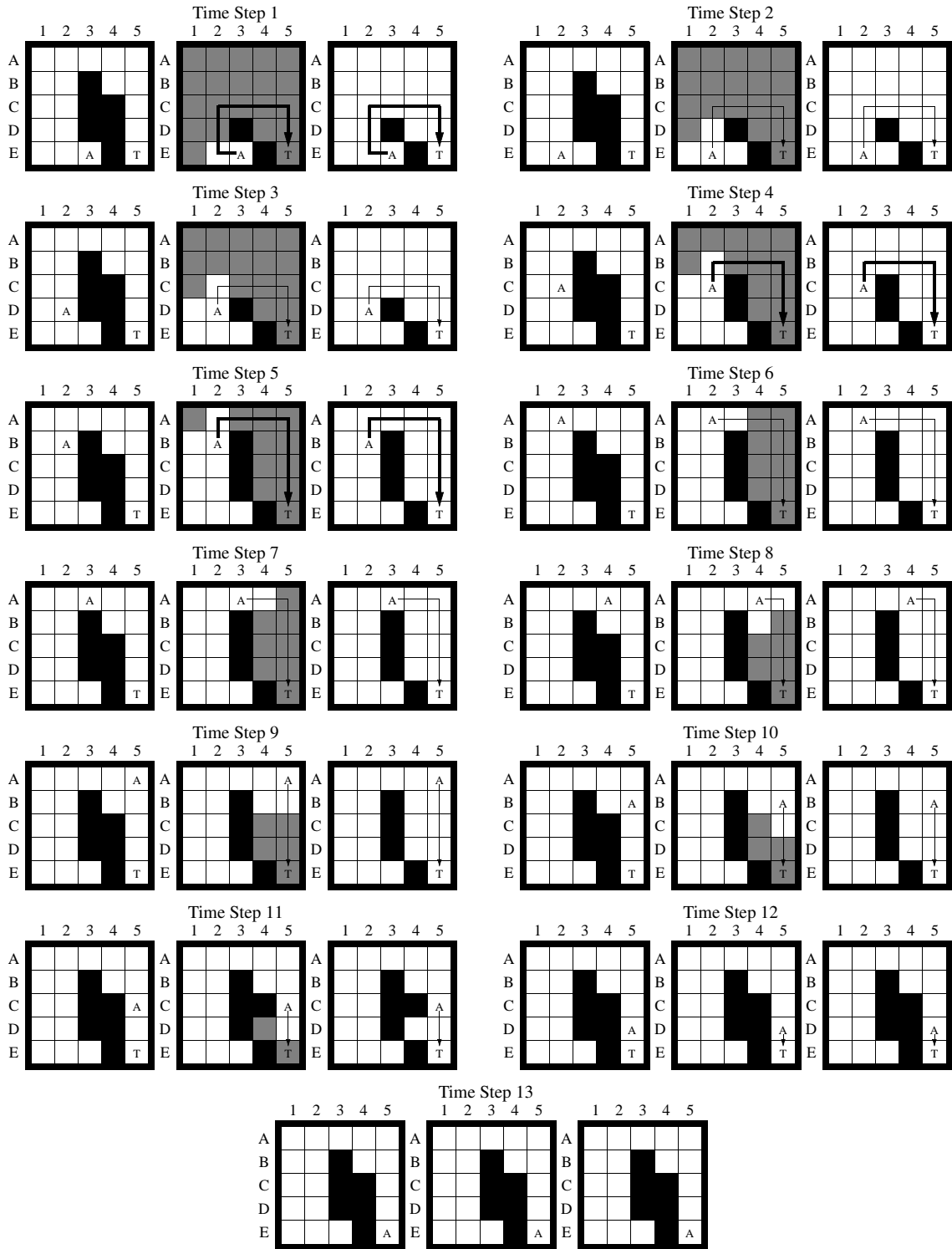


Figure 3: Trajectory of the Agent for the First Example Search Problem

even on computers with slow processors in situations where the other components of real-time computer games (such as the graphics and user interface) use most of the available processor cycles. Moore's law does not solve this problem since the number of game characters of real-time computer games will likely grow at least as quickly as the processor speed.

In the following, we use A\* to determine the shortest paths, resulting in Repeated A\*. A\* can search either from the current

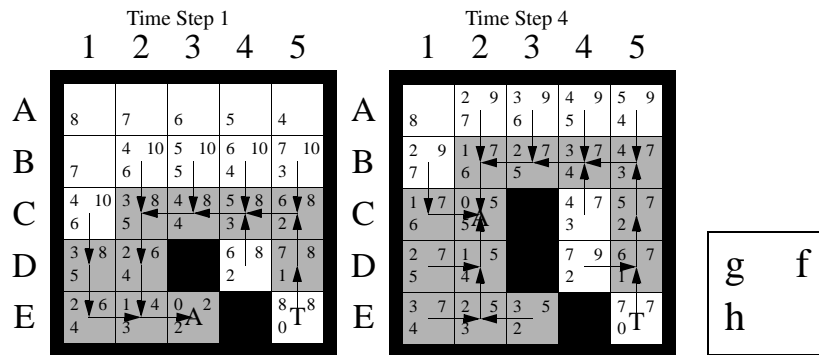


Figure 4: Repeated Forward A\*

cell of the agent toward the target (= forward), resulting in Repeated Forward A\*, or from the target toward the current cell of the agent (= backward), resulting in Repeated Backward A\*.

### 3 Implementation Details

Your version of Repeated A\* should use a binary heap to implement the open list. The reason for using a binary heap is that it is often provided as part of standard libraries and, if not, that it is easy to implement. At the same time, it is also reasonably efficient in terms of processor cycles and memory usage. You will get extra credit if you implement the binary heap from scratch, that is, if your implementation does not use existing libraries to implement the binary heap or parts of it. This will allow you to make connections to other classes and experience first hand how helpful the algorithms and data structure class that you once took can be. You can read up on binary heaps, for example, in Cormen, Leiserson and Rivest, Introduction to Algorithms, MIT Press, 2001.

Your version of Repeated A\* should use the Manhattan distances as h-values. The Manhattan distance of a cell is the sum of the absolute difference of the  $x$  coordinates and the absolute difference of the  $y$  coordinates of the cell and the cell of the target. The reason for using the Manhattan distances is that they are consistent in gridworlds in which the agent can move only in the four main compass directions.

Your implementation of Repeated A\* needs to be efficient in terms of processor cycles and memory usage since games place limitations on the resources that trajectory planning has available. It is important that you think carefully about your implementation. Make sure that you never iterate over all cells except to initialize them once before the first A\* search since your program might be used in large gridworlds. Do not determine which cells are in the closed list by iterating over all cells (represent the closed list explicitly instead, for example in form of a linked list). This is also the reason why the pseudocode of Repeated Forward A\* does not initialize the g-values of all cells at the beginning of each A\* search but initializes the g-value of a cell only when it is encountered by an A\* search.

*Do not use code written by others but test your implementations carefully.* For example, make sure that the agent indeed always follows a “shortest presumed-unblocked path” if one exists and that it reports that it cannot reach the target otherwise. Make sure that each A\* search never expands a cell that it has already expanded (= is in the closed list).

We now discuss the example search problem from Figure 2 (left) to give you data that you can use to test your implementations. Figure 4 shows the first two search processes of Repeated Forward A\* for the example search problem from Figure 2 (left). Figure 4 (left) shows the first A\* search, and Figure 4 (right) shows the second A\* search. In our example problems, A\* breaks ties among cells with the same f-value in favor of cells with larger g-values and remaining ties in an identical way. All cells have their user-supplied h-values in the lower left corner, namely the Manhattan distances. Generated cells (= cells that are or were in the open list) also have their g-values in the upper left corner and their f-values in the upper right corner. Expanded cells (= cells that are in the closed list) are shown in grey. (The cell of the target does not count as expanded since the A\* search stops immediately before expanding it.) The arrows represent the tree-pointers, which are necessary to identify a shortest path after the A\* search. Similarly, Figure 5 shows the first two searches of Repeated Backward A\*, which searches from the target to the current cell of the agent.

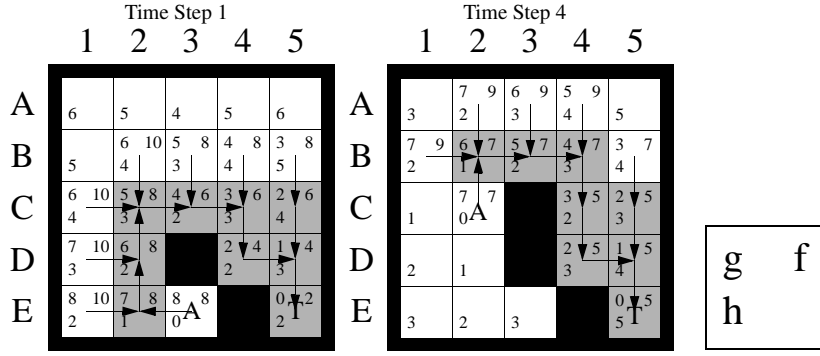


Figure 5: Repeated Backward A\*

## 4 Improving Repeated Forward A\*

Adaptive A\* uses A\* searches to repeatedly find shortest paths in state spaces with possibly different start states but the same goal state where action costs can increase (but not decrease) by arbitrary amounts between A\* searches.<sup>1</sup> It uses its experience with earlier searches in the sequence to speed up the current A\* search and run faster than Repeated Forward A\*. It first finds the shortest path from the current start state to the goal state according to the current action costs. It then updates the h-values of the states that were expanded by this search to make them larger and thus future A\* searches more focused. Adaptive A\* searches from the current state of the agent to the target since the h-values estimate the goal distances with respect to a given goal state. Thus, the goal state needs to remain unchanged, and the state of the target remains unchanged while the current state of the agent changes. Adaptive A\* can handle action costs that increase over time.

To understand the principle behind Adaptive A\*, assume that the action costs remain unchanged to make the description simple. Assume that the h-values are consistent. Let  $g(s)$  and  $f(s)$  denote the g-values and f-values, respectively, after an A\* search from the current state of the agent to the target. Let  $s$  denote any state expanded by the A\* search. Then,  $g(s)$  is the distance from the start state to state  $s$  since state  $s$  was expanded by the A\* search. Similarly,  $g(s_{\text{goal}})$  is the distance from the start state to the goal state. Thus, it holds that  $g(s_{\text{goal}}) = gd(s_{\text{start}})$ , where  $gd(s)$  is the goal distance of state  $s$ . Distances satisfy the triangle inequality:

$$\begin{aligned} gd(s_{\text{start}}) &\leq g(s) + gd(s) \\ gd(s_{\text{start}}) - g(s) &\leq gd(s) \\ g(s_{\text{goal}}) - g(s) &\leq gd(s). \end{aligned}$$

Thus,  $g(s_{\text{goal}}) - g(s)$  is an admissible estimate of the goal distance of state  $s$  that can be calculated quickly. It can thus be used as a new admissible h-value of state  $s$ . Adaptive A\* therefore updates the h-values by assigning:

$$h(s) := g(s_{\text{goal}}) - g(s)$$

for all states  $s$  expanded by the A\* search. Let  $h_{\text{new}}(s)$  denote the h-values after the updates.

The h-values  $h_{\text{new}}(s)$  have several advantages. They are not only admissible but also consistent. The next A\* search with the h-values  $h_{\text{new}}(s)$  thus continues to find shortest paths without expanding states that have already been expanded by the current A\* search. Furthermore, it holds that:

$$\begin{aligned} f(s) &\leq gd(s_{\text{start}}) \\ g(s) + h(s) &\leq g(s_{\text{goal}}) \\ h(s) &\leq g(s_{\text{goal}}) - g(s) \\ h(s) &\leq h_{\text{new}}(s) \end{aligned}$$

since state  $s$  was expanded by the current A\* search. Thus, the h-values  $h_{\text{new}}(s)$  of all expanded states  $s$  are no smaller than the immediately preceding h-values  $h(s)$  and thus, by induction, also all previous h-values, including the user-supplied h-values. An A\* search with consistent h-values  $h_1(s)$  expands no more states than an otherwise identical A\* search with

<sup>1</sup>Start state refers to the start of the search, and goal state refers to the goal of the search. The start state of the A\* searches of Repeated Forward A\*, for example, is the current state of the agent. The start state of the A\* searches of Repeated Backward A\*, on the other hand, is the state of the target.

consistent  $h_2(s)$  for the same search problem (except possibly for some states whose  $f$ -values are identical to the  $f$ -value of the goal state, a fact that we will ignore in the following) if  $h_1(s) \geq h_2(s)$  for all states  $s$ . Consequently, the next A\* search with the  $h$ -values  $h_{\text{new}}(s)$  cannot expand more states than with any of the previous  $h$ -values, including the user-supplied  $h$ -values. It therefore cannot be slower (except possibly for the small amount of runtime needed by the bookkeeping and  $h$ -value update operations), but will often expand fewer states and thus be faster. You can read up on Adaptive A\* in Koenig and Likhachev, Adaptive A\* [Poster Abstract], Proceedings of the International Joint Conference on Autonomous Agents and Multiagent Systems (AAMAS), 1311-1312, 2005.

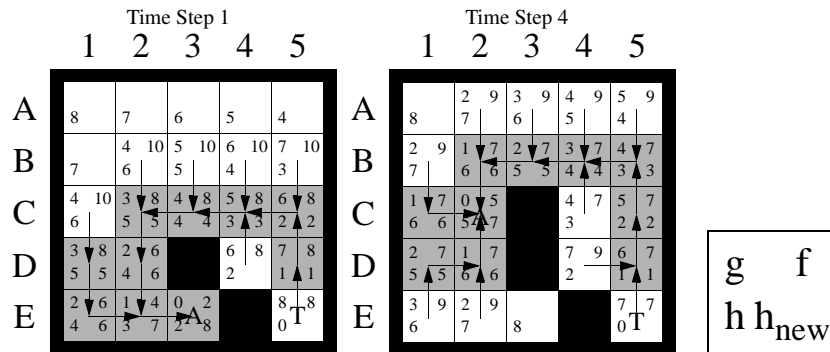


Figure 6: Adaptive A\*

Figure 6 shows the first two searches of Adaptive A\* for the example search problem from Figure 2 (left). The number of cell expansions is smaller for Adaptive A\* (20) than for Repeated Forward A\* (23), demonstrating the advantage of Adaptive A\* over Repeated Forward A\*. Figure 6 (left) shows the first A\* search of Adaptive A\*. All cells have their  $h$ -values in the lower left corner. The goal distance of the current cell of the agent is eight. The lower right corners show the updated  $h$ -values after the  $h$ -values of all grey cells have been updated to eight minus their  $g$ -values, which makes it easy to compare them to the  $h$ -values before the  $h$ -value update in the lower left corners. Cells D2, E1, E2 and E3 have larger  $h$ -values than their Manhattan distances to the target, that is, the user-supplied  $h$ -values. Figure 6 (right) shows the second A\* search of Adaptive A\*, where Adaptive A\* expands three cells (namely, cells E1, E2 and E3) fewer than Repeated Forward A\*, as shown in Figure 4 (right).

## 5 Questions

**Part 0 - Setup your Environments [10 points]:** You will perform all your experiments in the same 50 gridworlds of size  $101 \times 101$ . You first need to generate these environments appropriate. To do so, generate a maze/corridor-like structure with a depth-first search approach by using random tie breaking.

One potential way to build the environment is the following. You can initially set all of the cells as unvisited. Then you can start from a random cell, mark it as visited and unblocked. Select a random neighbouring cell to visit that has not yet been visited. With 30% probability mark it as blocked. With 70% mark it as unblocked and in this case add it to the stack. A cell that has no unvisited neighbours is a dead-end. When at a dead-end, your algorithm must backtrack to parent nodes on the search tree until it reaches a cell with an unvisited neighbour, continuing the path generation by visiting this new, unvisited cell. If at some point your stack is empty and you have not yet visited all the nodes, you repeat the above process from a node that has not been visited. This process continues until every cell has been visited.

Note that often more than one shortest presumed unblocked path exists. Different search algorithms might then move the agent along different shortest presumed unblocked paths (as seen in Figures 4 and 5), and the agent might observe different cells to be blocked. Then, the shortest presumed unblocked paths and the trajectories of the agent can start to diverge. This is okay since it is difficult to make all search algorithms find the same shortest presumed unblocked path in case there are several of them. There may also be the case that a problem is not solvable.

Build your 50 grid world environments with the above or a similar process of your preference and store them. You are encouraged to consider methods available online for maze generation. Provide a way to load and visualize the grid world

environments you have generated.

**Part 1 - Understanding the methods [10 points]:** Read the chapter in the textbook/lecture slides on uninformed and informed (heuristic) search and then read the project description again. Make sure that you understand A\* and the concepts of admissible and consistent h-values.

a) Explain in your report why the first move of the agent for the example search problem from Figure 7 is to the east rather than the north given that the agent does not know initially which cells are blocked.

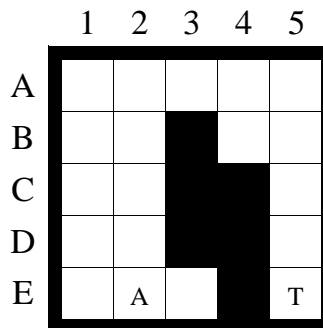


Figure 7: Second Example Search Problem

b) This project argues that the agent is guaranteed to reach the target if it is not separated from it by blocked cells. Give a convincing argument that the agent in finite gridworlds indeed either reaches the target or discovers that this is impossible in finite time. Prove that the number of moves of the agent until it reaches the target or discovers that this is impossible is bounded from above by the number of unblocked cells squared.

**Part 2 - The Effects of Ties [20 points]:** Repeated Forward A\* needs to break ties to decide which cell to expand next if several cells have the same smallest f-value. It can either break ties in favor of cells with smaller g-values or in favor of cells with larger g-values. Implement and compare both versions of Repeated Forward A\* with respect to their runtime or, equivalently, number of expanded cells. Explain your observations in detail, that is, explain what you observed and give a reason for the observation.

[Hint: For the implementation part, priorities can be integers rather than pairs of integers. For example, you can use  $c \times f(s) - g(s)$  as priorities to break ties in favor of cells with larger g-values, where  $c$  is a constant larger than the largest g-value of any generated cell. For the explanation part, consider which cells both versions of Repeated Forward A\* expand for the example search problem from Figure 8.]

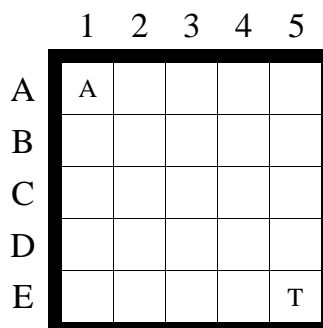


Figure 8: Third Example Search Problem

**Part 3 - Forward vs. Backward [30 points]:** Implement and compare Repeated Forward A\* and Repeated Backward A\* with respect to their runtime or, equivalently, number of expanded cells. Explain your observations in detail, that is, explain what you observed and give a reason for the observation. Both versions of Repeated A\* should break ties among cells with



the same f-value in favor of cells with larger g-values and remaining ties in an identical way, for example randomly.

**Part 4 - Heuristics in the Adaptive A\* [10 points]:** The project argues that “the Manhattan distances are consistent in gridworlds in which the agent can move only in the four main compass directions.” Prove that this is indeed the case.

Furthermore, it is argued that “The h-values  $h_{\text{new}}(s)$  ... are not only admissible but also consistent.” Prove that Adaptive A\* leaves initially consistent h-values consistent even if action costs can increase.

**Part 5 - Heuristics in the Adaptive A\* [30 points]:** Implement and compare Repeated Forward A\* and Adaptive A\* with respect to their runtime. Explain your observations in detail, that is, explain what you observed and give a reason for the observation. Both search algorithms should break ties among cells with the same f-value in favor of cells with larger g-values and remaining ties in an identical way, for example randomly.