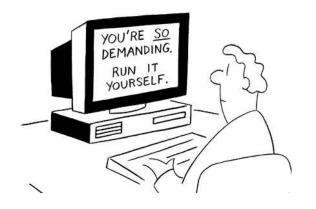
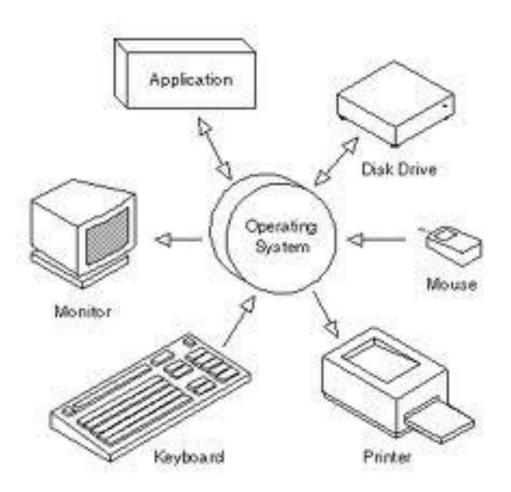
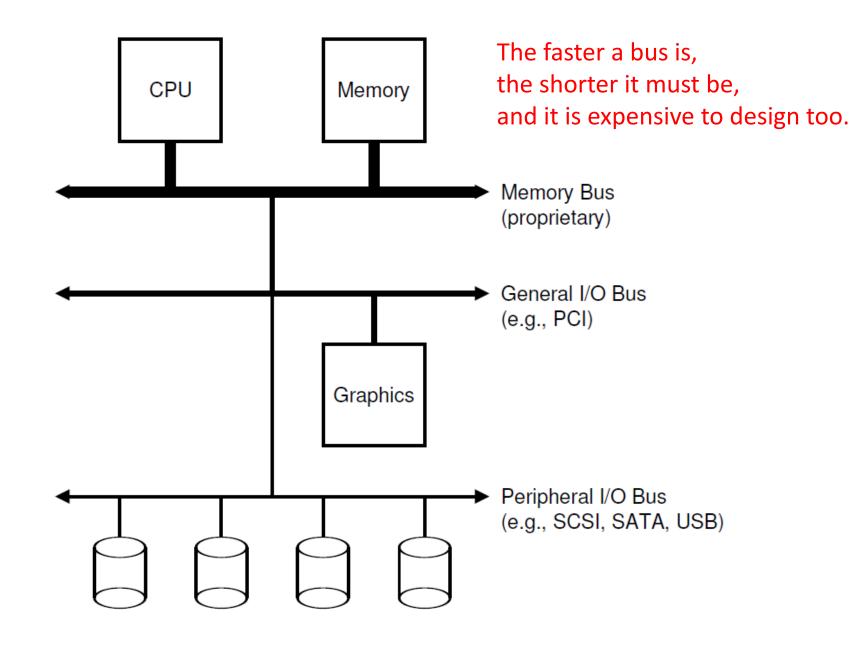


# Operating Systems I/O Part I

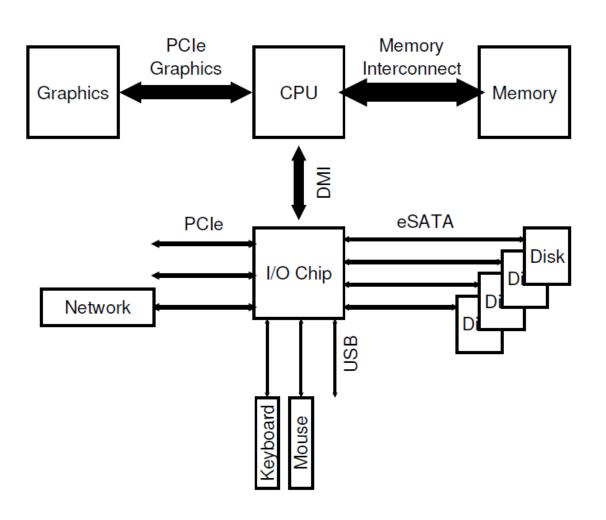
Mohamed Zahran (aka Z) mzahran@cs.nyu.edu http://www.mzahran.com







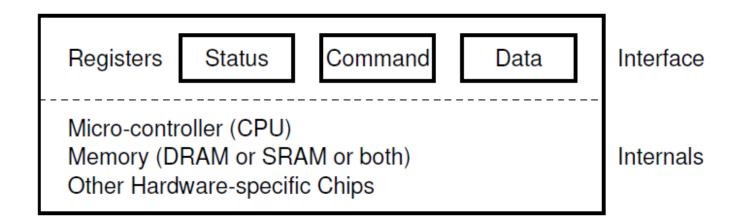
#### Modern System Architecture



Example of one of Intel Chipsets.

DMI: Intel's proprietary Direct Media Interface.

#### How Does a Generic I/O Device Look Like?



The OS can deal with the above device as follows:

```
While (STATUS == BUSY)
   ; // wait until device is not busy
Write data to DATA register
Write command to COMMAND register
   (starts the device and executes the command)
While (STATUS == BUSY)
   ; // wait until device is done with your request
```

### Categories of I/O Devices

External devices that engage in I/O with computer systems can be grouped into three categories:

#### **Human readable**

- suitable for communicating with the computer user
- printers, terminals, video display, keyboard, mouse

#### Machine readable

- suitable for communicating with electronic equipment
- disk drives, USB keys, sensors, controllers

#### Communication

- suitable for communicating with remote devices
- ethernet cards, wifi adapters

### A Simple Definition

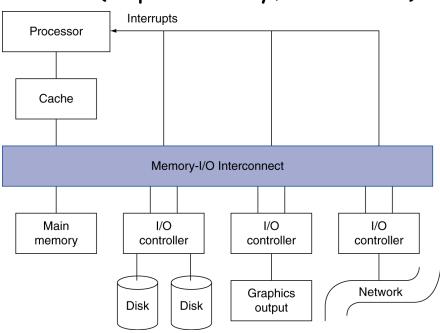
- The main concept of I/O is to move data from/to I/O devices to the processor using some modules and buffers.
- This is the way the processor deals with the outside world.

#### The OS and I/O

- The OS controls all I/O devices
  - Issue commands to devices
  - Catch interrupts
  - Handle errors
- Provides an interface between the devices and the rest of the system.

### I/O Devices: Challenges

- Very diverse devices
  - behavior (i.e., input vs. output vs. storage)
  - partner (who is at the other end?)
  - data rate
- I/O Design affected by many factors (expandability, resilience)
- Performance:
  - access latency
  - throughput
  - connection between devices
     and the system
  - the memory hierarchy
  - the operating system
- A variety of different users



#### I/O Devices

- Block device
  - Stores information in fixed-size blocks
  - Each block has its own address
  - Transfers in one or more blocks
  - Example: Hard-disks, USB sticks
- · Character device
  - Delivers or accepts stream of character
  - Is not addressable
  - Example: mice, printers, network interfaces

#### Applications

File System

OS

Low-Level Interface

I/O Devices

Device	Data rate
Keyboard	10 bytes/sec
Mouse	100 bytes/sec
56K modem	7 KB/sec
Scanner	400 KB/sec
Digital camcorder	3.5 MB/sec
802.11g Wireless	6.75 MB/sec
52x CD-ROM	7.8 MB/sec
Fast Ethernet	12.5 MB/sec
Compact flash card	40 MB/sec
FireWire (IEEE 1394)	50 MB/sec
USB 2.0	60 MB/sec
SONET OC-12 network	78 MB/sec
SCSI Ultra 2 disk	80 MB/sec
Gigabit Ethernet	125 MB/sec
SATA disk drive	300 MB/sec
Ultrium tape	320 MB/sec
PCI bus	528 MB/sec

#### I/O Units



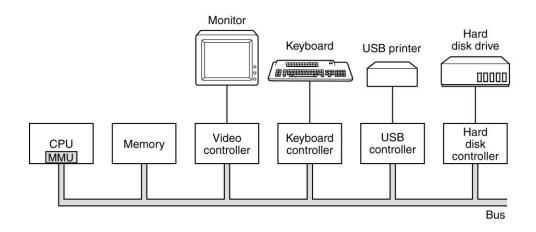
Mechanical component



The Device Itself

**Electronic Component** 





#### Controller and Device

- Each controller has few registers used to communicate with CPU
- By writing/reading into/from those registers, the OS can control the devices.
- There are also data buffers in the device that can be read/written by the OS.

# How does the OS deal with controllers and devices?

## How does CPU communicate with control registers and data buffers?

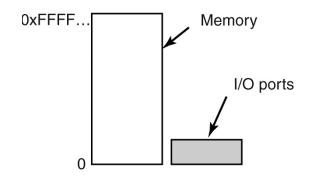
Two main approaches

- I/O port space
- Memory-mapped I/O

## I/O Port Space

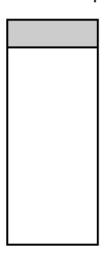
- Each control register is assigned an I/O port number
- The set of all I/O ports form the I/O port space
- I/O port space is protected

Two address



## Memory-Mapped I/O

- Map control registers into the memory space
- Each control register is assigned a unique memory address
  One address space



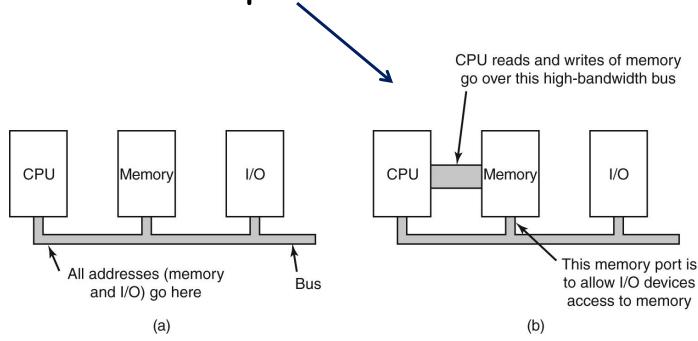
# Advantages of Memory-Mapped I/O

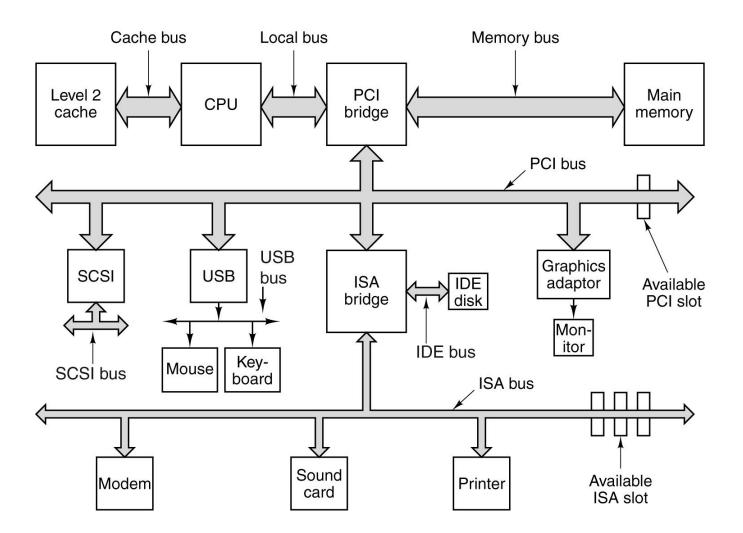
- Device drivers can be written entirely in C (since no special instructions are needed)
- No special protection is needed from OS, just refrain from putting that portion of the address space in any user's virtual address space.
- Every instruction that can reference memory can also reference control registers.

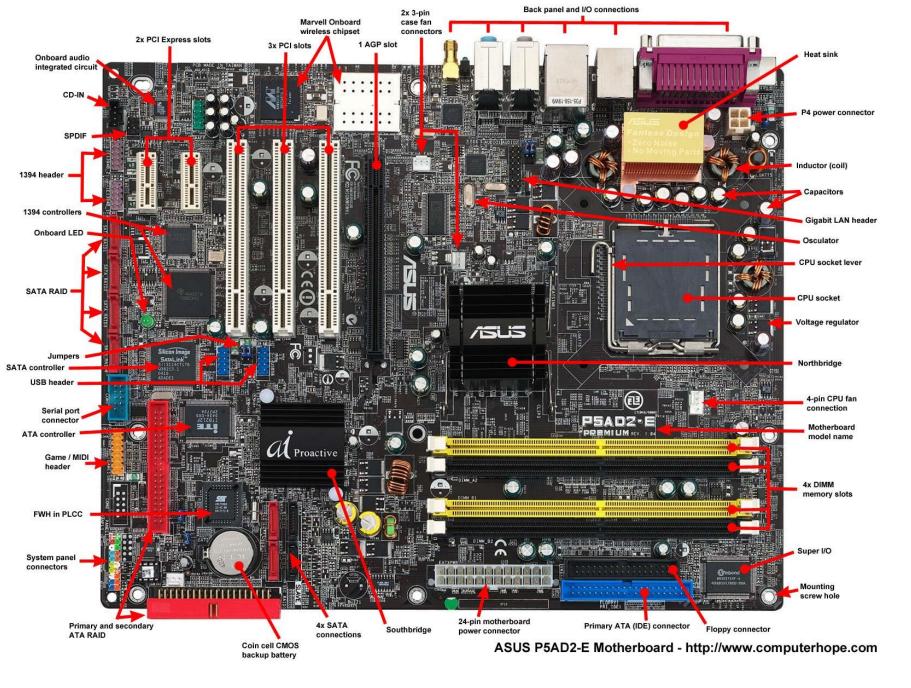
# Disadvantages of Memory-Mapped I/O

 Caching a device control register can be disastrous.

Hardware complications





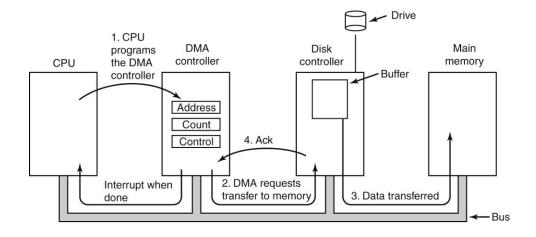


Source: https://www.computerhope.com/cdn/bigmb.jpg

## Interrupts

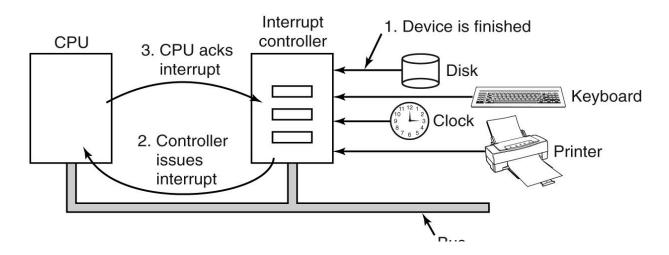
#### Direct Memory Access (DMA)

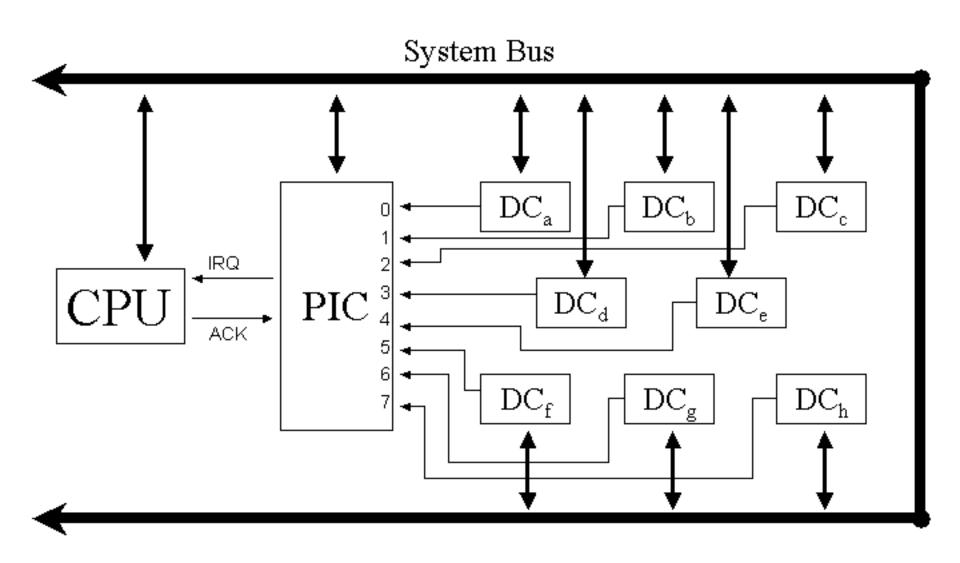
- It is not efficient for the CPU to request data from I/O one byte at a time.
- DMA controller has access to the system bus independent from the CPU



### Interrupts

- When an I/O device has finished the work given to it, it causes an interrupt.
- The interrupt makes the OS stop the current process, switch to kernel mode, and start handling that interrupt.



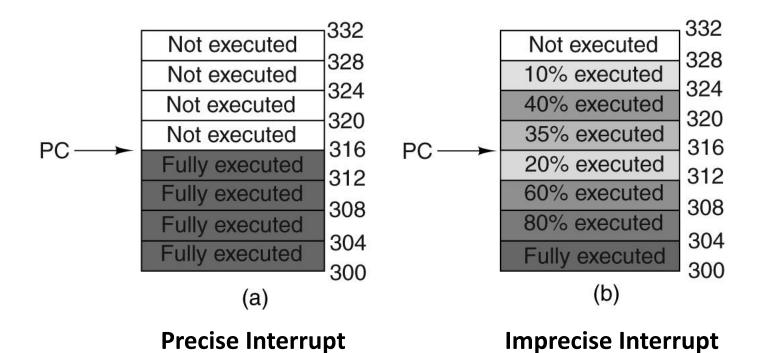


**DC** = Device Controller

**PIC** = Programmable Interrupt Controller

#### Precise Interrupts

- · Makes handling interrupts much simpler.
- Has 4 properties
  - The program counter (PC) is saved in known place
  - All instructions before the one pointed by PC have fully executed
  - No instruction beyond the one pointed by PC has been executed
  - The execution state of the instruction pointed to by the PC is known

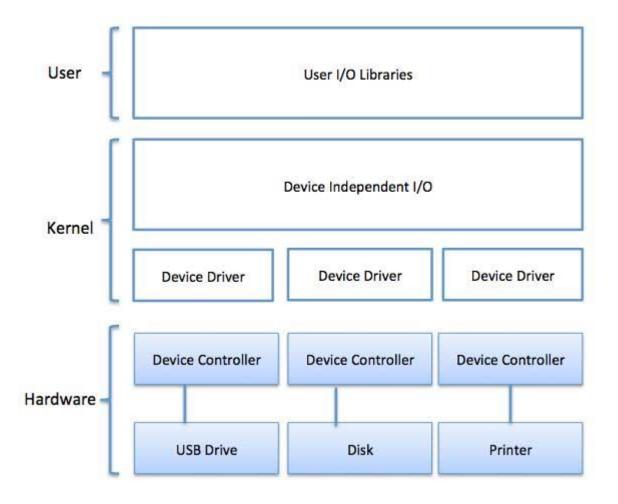


### Important!

There are other scenarios where interrupts happen as we will see in interrupt-driven I/O.

#### The Software Part

## The Big Picture



Source: https://www.tutorialspoint.com/operating\_system/images/io\_software.jpg

#### I/O Software

- Device independence:
  - We should be able to write programs that can access any I/O
- device without having to specify the device in advance.
- Error handling
  - Should be handled as close to the hardware as possible
- Synchronous (blocking) vs asynchronous (interrupt-driven)
- Buffering

Do not confuse I/O software with device driver.

# Device Independent I/O Software

Uniform interfacing for device drivers

Buffering

Error reporting

Allocating and releasing dedicated devices

Providing a device-independent block size

# Device Independent I/O Software

- Uniform interfacing for device drivers
  - Trying to make all devices look the same
  - For each class of devices, the OS defines a set of functions that the driver must supply.
  - This layer of OS maps symbolic device names onto proper drivers

### Three Ways for Doing I/O

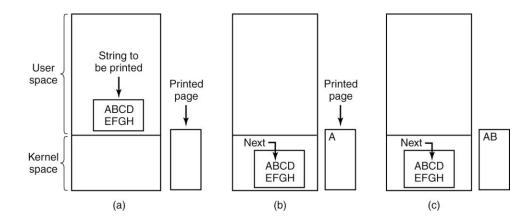
- Programmed I/O
- Interrupt-driven I/O
- I/O Using DMA

	No Interrupts	Use of Interrupts
I/O-to-memory transfer through processor	Programmed I/O	Interrupt-driven I/O
Direct I/O-to-memory transfer		Direct memory access (DMA)

## Programmed I/O

- CPU does all the work
- Busy-waiting (polling)

#### Example:



### Interrupt-Driven I/O

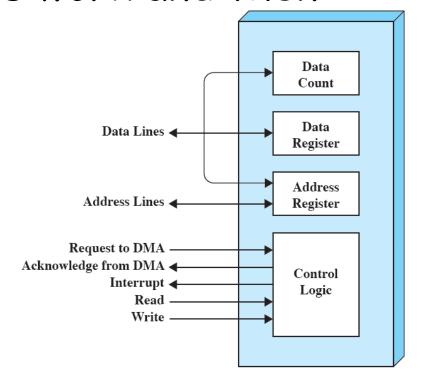
- Waiting for a device to be ready, the process is blocked and another process is scheduled.
- When the device is ready it raises an interrupt.

# I/O Using DMA

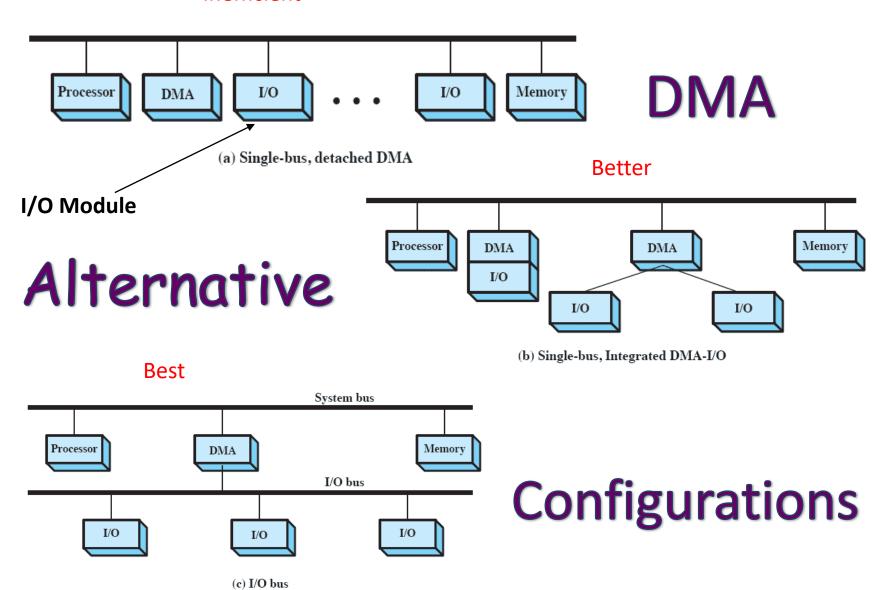
DMA does the work instead of the CPU

Let the DMA do its work and then

interrupts



#### Inefficient



#### What is and I/O Module?

- · A hardware module.
  - Can be device controller or a more advanced.
- Connected to the CPU (or DMA) from one side.
- Connected to the device and its device controller from the other side.
- Translates commands between processor (or DMA) and the device.
- Buffers data due to speed between two sides.

## OS Software Layers for I/O

User-level I/O software

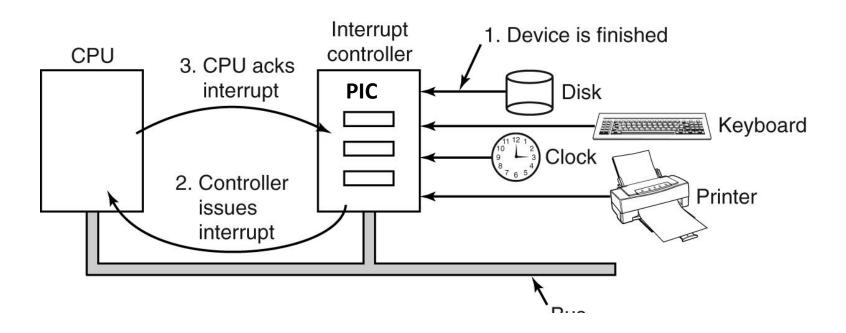
Device-independent operating system software

Device drivers

Interrupt handlers

Hardware

## Interrupt Handlers



### Interrupt Handlers in More Details

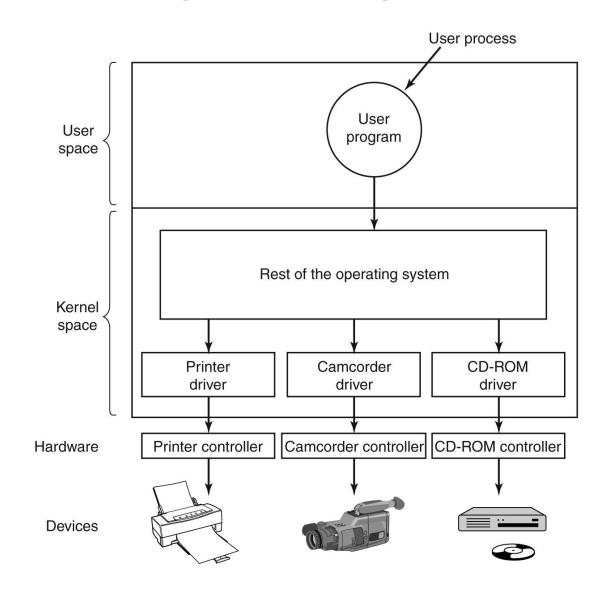
- 1. Save any registers not already saved
- 2. Set up context for interrupt service routine
- 3. Set up a stack
- 4. Acknowledge interrupt controller
- 5. Copy registers to process table
- 6. Run interrupt service routine
- 7. Choose process to run next
- 8. Set up context for process to run next
- 9. Load new process' registers
- 10. Start running new process

### How About Device Drivers?

#### Device Drivers

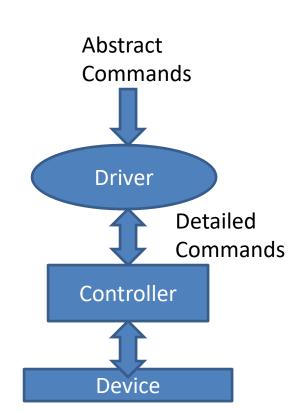
- · Device specific code for controlling the device
  - Read device registers from controller
  - Write device registers to issue commands
- Usually supplied by the device manufacturer.
- Can be part of the kernel or at user-space (with system calls to access controller registers).
- OS defines a standard interface that drivers for block devices must follow and another standard for driver of character devices.

### Device Drivers



#### Device Drivers

- Main functions:
  - Receive abstract read/write from layer above and carry them out
  - Initialize the device
  - Log events
  - Manage power requirements
- Drivers must be reentrant
- Drivers must deal with events such as a device removed or plugged



### Conclusions

- The OS provides an interface between the devices and the rest of the system.
- The I/O part of the OS is divided into several layers.
- The hardware: CPU, programmable interrupt controller, DMA, device controller, and the device itself.
- OS must expand as new I/O devices are added