# Results on finite collection of polygons and a proof of the Jordan Curve Theorem

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#### Abstract

We introduce a notion of polygons and Jordan curves. We then prove certain results on finite collection of polygons and some Jordan-like results in the same setting. We then use these results to provide a proof of the Jordan Curve Theorem.

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# 1 Introduction

In this paper, we provide a proof of the classic Jordan Curve Theorem. This is one of those theorems that is very simple to state and understand but notoriously difficult to prove. The first proof was given by Jordan ([1]), and since then there have been many other proofs.

Most of the first half of this paper is spent on some results on finite collection of polygons which are then used to prove the Jordan Curve Theorem. Throughout we will identify a map with its image. It is assumed that the reader is familiar with basic real analysis and topology.

A Jordan curve is a homeomorphic image of  $S^1$  in  $\mathbb{R}^2$ . An arc is a homeomorphic image of [0,1] in any  $\mathbb{R}^n$ , while a Jordan arc is an arc in  $\mathbb{R}^2$ . A path is any continuous image of [0,1] in any  $\mathbb{R}^n$ , although we will mostly be confined to the plane.

A polygon P is a Jordan curve that is piecewise linear i.e., a map  $P: S^1 \to \mathbb{R}^2$  with finitely many points in  $S^1$  between which P is a line. Similarly, we define a polygonal arc.

Given a polygon P, let  $V(P) = \{v_1, \ldots, v_n\}$  be a minimal set such that P is a line between  $v_i, v_{i+1}, i = 1, \ldots, n$  where  $v_{n+1} = v_1$ . By minimality, the two lines at  $v_i$  must be non parallel. The points in V are called the vertices of P, and the lines are called the edges of P.

Let  $\mathcal{P} = \{P_1, \dots, P_n\}$  be a finite collection of polygons. We define the finite set of "new vertices"  $V(\mathcal{P})$  to be the set that contains

- $V(P_i), i = 1, ..., n.$
- Points of intersections of non parallel edges from different polygons in  $\mathcal{P}$ .

An edge of  $\mathcal{P}$  refers to any edge of any  $P \in \mathcal{P}$ . Given  $v \in V = V(\mathcal{P})$ , take an open ball around v disjoint from other points of V, and edges that don't contain v. Such a ball, called the *zone* of v, exists because both V and the set of edges of  $\mathcal{P}$  are finite. Edges that pass through v induce a radius (or diameter) in the zone of v.

Two radii are adjacent if there is no other radii between them in at least one orientation (i.e., clockwise or anticlockwise). By the choice of  $U, U \cap \mathcal{P}$  contains only radial lines. Similarly define a zone for  $v \notin \bigcup_{P \in \mathcal{P}} P \setminus V(\mathcal{P})$  by avoiding all points in V and edges not passing through v. This time, there is only one diameter in U as only overlapping edges of the  $P_i$  pass through v.

Suppose  $C_1, C_2$  are compact subsets of  $\mathbb{R}^2$ . The distance d between  $C_1, C_2$  refers to the minimum attained by the distance map (which is continuous) on  $C_1 \times C_2$ . It is zero if and only if  $C_1 \cap C_2 \neq \emptyset$ , and when  $d \neq 0$ , an open ball of radius d (or less) around any  $x \in C_1$  does not intersect  $C_2$ .

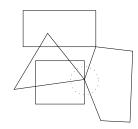


Figure 1: Zone

# 2 Jordan curve theorem for polygons

In this section we prove that if P is a polygon, then  $\mathbb{R}^2 \setminus P$  has two components, one bounded and the other unbounded, both having P as their boundaries.

# 2.1 Parity function

Let P be a polygon and f be a direction not parallel to any of the edges in P. Since there are finitely many edges, such an f always exists. Because rotation is a linear homeomorphism, sending polygons to polygons, we may suppose that f is along the positive x-axis. We will now define a parity function  $n: \mathbb{R}^2 \setminus P \to \{0,1\}$ .

The zones at each point of P have two sectors given by non parallel radii at vertices, and a diameter at non vertices. For  $x \notin P$ , take  $R_x$  to be the ray (half-line) originating at x parallel to f. For each  $p \in R_x \cap P$ , we define a contribution c(p) to n to be 1 if  $R_x$  intersects both sectors in the zone of p and 0 otherwise. Define

$$n(x) = \sum_{p \in R_x \cap P} c(p) \mod 2.$$

Here the empty sum is taken to be 0. The sum is finite because  $R_x$  is not parallel to any edge of P. Note that if p is not a vertex, then its contribution(see Figure 2) is 1, and if p is a vertex, it is 1 if and only if the edges at p lie on both sides of  $R_x$ .

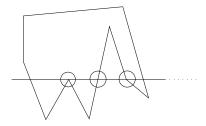


Figure 2: Zones and their contributions

**Lemma 1.** The parity function constructed above is locally constant.

Proof. Take  $x = (a, b) \notin P$  and  $\pi$  to be the projection onto the y-axis. Suppose  $R_x \cap P = \emptyset$ . Consider the closed set  $P_x = P \cap \{(c, d) \in \mathbb{R}^2 | c \geq a\}$ , then  $\pi(P_x)$  doesn't contain b, hence there is a neighbourhood  $(b \pm \delta)$  disjoint from  $\pi(P_x)$ . It follows for p sufficiently close to x with  $\pi(p) \in (b \pm \delta)$ ,  $R_p \cap P = \emptyset$ , so n is identically zero in a neighbourhood of x.

Suppose  $R_x \cap P = \{a_1, \ldots, a_m\}$ . In the zone of  $a_i$ , the edges of P induce two radii, neither of which is parallel to the x-axis. Projecting both to the y-axis we get two positive lengths, of which  $r_i$  is the smaller one. Take  $r = \min\{r_1, \ldots, r_m\}$ .

Next, let  $\eta$  be the smallest y-length of the edges of P. Since no edge is parallel to the x-axis,  $\eta > 0$ . Lastly, let  $\delta > 0$  be such that  $B(x,\delta) \cap P = \emptyset$ . Now, shift the line  $R_x$  vertically within  $\epsilon = \min\{r, \eta, \delta\}$  of the original to get a ray  $R_x'$ , i.e.,  $R_x'$  is the half-line parallel to positive x-axis originating from a point (a,b') with  $|b'-b| < \epsilon$ . When comparing  $R_x' \cap P$  with  $R_x \cap P$ , by the choice of r, we see that (see Figure 2)

- If  $a_i$  is not a vertex, then it is replaced by another non vertex
- If  $a_i$  is a vertex that contributes 1 to n(x), then it is replaced by a non vertex
- If  $a_i$  is a vertex that contributes 0 to n(x), then either it is replaced by two non vertices or removed altogether

So this was about the edges that both  $R_x$ ,  $R'_x$  intersect. Now, suppose  $R'_x$  was shifted upwards to y = b' and intersects an edge e that  $R_x$  did not.

It follows that e lies above the line y = b. Let v be the lower vertex of e and e' the other edge of v. Let N be the union of  $R_x$ ,  $R'_x$  and the vertical line segement l from (a, b) to (a, b'). Observe that N divides the plane into two parts.

Since e touches  $R'_x$  but not  $R_x, v$  is either inside N or on its boundary. Note that e, e' cannot intersect l because it lies in  $U = B(x, \epsilon)$ . Since  $\epsilon < \eta$ , the other vertex of e' must be outside N. If e' doesn't intersect  $R_x, R'_x$ , then it intersects l as the other vertex is outside N. Thus, either  $R_x$  intersects e' or  $R'_x$  intersects e'.

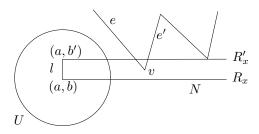


Figure 3: Parity is invariant under small vertical shifts

If  $R_x$  intersects e', then by the choice of r, N cannot contain v. Thus, e' intersects  $R'_x$  but not

 $R_x$ . So, e, e' together contribute 0 to n, by two non vertices or by v. Note that the lower vertex for e' is also v, so the edges that  $R'_x$  intersects but not  $R_x$  come in pairs.

So, n doesn't change under this vertical shift. Since every point of U is obtained by a vertical shift followed by a horizontal shift from x, and n remains invariant under these perturbations (by choice of  $\epsilon$ ), it is constant on U. The parity doesn't change under horizontal shifts because, by the choice of  $\delta$ , the intersection points do not change.

#### Lemma 2. Parity function is surjective.

*Proof.* Let p be a point on some edge e of P that is not a vertex. There are two sectors in the zone of p. The parity for points in those sectors differ by 1 because the horizontal ray passes through an extra edge, namely e, which contributes 1.

As a consequence of the lemma, any small neighbourhood around non vertices has points of both parity. It follows that  $\mathbb{R}^2 \setminus P$  is disconnected.

# 2.2 Two components

The following is taken from [2]. At each point  $p \in P$ , we have a zone  $U_p$  from which we get a finite subcover, say  $U_1, \ldots, U_n$ . Each  $U_i$  has two connected sectors, say  $U'_i, U''_i$ . Label the sectors so that

$$U'_i \cap U'_{i+1} \neq \emptyset$$
 and  $U''_i \cap U''_{i+1} \neq \emptyset$ .

Then the unions  $U_1' \cup \cdots \cup U_n', U_1'' \cup \cdots \cup U_n''$  are connected sets and from any  $p \notin P$ , there is a line from p to one of these sets that doesn't intersect P (draw the line from p to any edge and look at the first time it meets P). Thus,  $\mathbb{R}^2 \setminus P$  has at most two components, hence exactly two components. Since n is continuous, the components are given by  $n^{-1}(0), n^{-1}(1)$ .

Of these,  $n^{-1}(0)$  is unbounded for we can enclose P in a rectangle whose outside remains connected after removing P and is part of  $n^{-1}(0)$ , whereas  $n^{-1}(1)$  is inside, hence bounded.

As a consequence of the lemma above, all points of P other than the vertices lie in the boundary of both components. Since boundary is closed, both components have P as their boundary.

Thus,  $\mathbb{R}^2 \setminus P$  has two components, the bounded "inside" i(P) and the unbounded "outside" o(P) with P as their common boundary. Keep in mind that the components do not depend on the choice of the ray used to compute parity because they are independently defined as path components. To determine if  $x \notin P$  is inside or outside, we may choose any convenient ray and check whether the parity is 0 (outside) or 1 (inside).

Given a collection of polygons  $\mathcal{P}$  outside of  $\mathcal{P}$  refers to  $\cap_{P \in \mathcal{P}} o(P)$  and inside refers to  $\cup_{P \in \mathcal{P}} i(P)$ .

# 2.3 Approximations

**Lemma 3.** Suppose U is an open set in  $\mathbb{R}^n$  and  $J: [0,1] \to U$  a continuous path, with  $J(0) \neq J(1)$ . Let  $\epsilon > 0$  be given, then there is a polygonal arc  $P: [0,1] \to U$  with P(0) = J(0), P(1) = J(1) such that every point of P is within  $\epsilon$  of some point of J.

*Proof.* For every  $x \in J$ ,  $\exists \mu_x > 0$  such that  $B(x, 2\mu_x) \subseteq U$ . From the cover  $\{B(x, \mu_x)\}_{x \in J}$  obtain a finite subcover  $\{B(x_1, \mu_1), \dots, B(x_m, \mu_m)\}$  and let  $\mu = \min\{\mu_1, \dots, \mu_m\}$ . Given any  $x \in J$ , if  $x \in B(x_1, \mu_1)$ , then  $B(x, \mu) \subseteq B(x_1, 2\mu_1) \subseteq U$ .

We may take  $\epsilon < \mu$ . By uniform continuity of J, choose N such that

$$|t_1 - t_2| < 2/N \Rightarrow |J(t_1) - J(t_2)| < \epsilon.$$

Take  $J(0), J(1/N), \ldots, J(1)$  and draw line segments between consecutive points to get a piecewise linear path from J(0) to J(1). Observe that some of these lines may be degenerate because it is possible that  $J(\frac{i}{N}) = J(\frac{i+1}{N})$ , but  $J(0) \neq J(1)$ , so P is not altogether degenerate.

For 
$$1 \leq i \leq \hat{N}$$
,

$$\left|J\left(\frac{i-1}{N}\right) - J\left(\frac{i}{N}\right)\right| < \epsilon \Rightarrow J\left(\frac{i-1}{N}\right) \in B\left(J\left(\frac{i}{N}\right), \epsilon\right)$$

so the line between them is in  $B(J(\frac{i}{N}), \epsilon) \subseteq U$  by the choice of  $\epsilon < \mu$ .

Thus, every point on the resulting union of lines is in U and within  $\epsilon$  of some point of J. Next, replace any two overlapping lines by their union, this way the lines intersect at only finitely many points. Remove the loops as we go from J(0) to J(1) along the union of lines. Since there are finitely many loops (finitely many intersection points) this process terminates.

We will be left with a polygonal arc, i.e., a Jordan arc,  $P \subset U$  such that every point of P is within  $\epsilon$  of some point of J and ends of P are the ends of J. It is easy to obtain P as an injective image of [0,1] using piecewise definitions.

**Corollary 1.** If P is a polygon and  $J \subset i(P)$  (or  $J \subset o(P)$ ), then there is a polygonal approximation of J within given  $\epsilon > 0$  that lies in i(P) (o(P)).

**Corollary 2.** Given U open in  $\mathbb{R}^n$ , if it is connected, then it is path connected, so arc connected. In particular, for a polygon P, i(P), o(P) are arc connected.

*Proof.* Given  $x \in U$ , let  $S_x$  be the path component of x. One can show that  $S_x$  is a non empty clopen set, therefore  $S_x = U$ . The rest follows from Lemma 3.

**Corollary 3.** For a polygon P, given  $x, y \in \overline{i(P)}$ , there is a polygonal arc between them which lies entirely in i(P), except possibly at the ends.

*Proof.* For  $x \in P$  there is a straight line from x to a point  $x' \in i(P)$  that, except for x, lies in i(P). Similarly obtain a point  $y' \in i(P)$  for y. Using Corollary 2, obtain a polygonal arc from x' to y'. We get the required polygonal arc by joining these paths.

# 3 Some results about polygons

Let  $\mathcal{P} = \{P_1, \dots, P_n\}$  be a collection of polygons,  $V = V(\mathcal{P})$ . For  $v \in V$ , let U be a zone. The edges of  $\mathcal{P}$  determine some radii in U which then determine open sectors between adjacent radii. These sectors remain connected in the complement of  $\mathcal{P}$  and are either inside or outside  $\mathcal{P}$ .

We say that v is a regular vertex if for every component outside  $\mathcal{P}$ , there is at most one sector that intersects it, otherwise v is singular. This notion doesn't depend on U as long as it has it is disjoint from other points of V and edges that v doesn't lie on, i.e., as long as U is a zone of v.

When v is not a vertex, its zone has just a diameter, and one of the sectors lies in some  $i(P_i)$ , so non vertices are always regular. We say that  $\mathcal{P}$  is regular if all points in  $\mathcal{P}$  are regular.

Polygons P, Q are said to have shallow intersection if  $i(P) \cap i(Q) = \emptyset$ . The collection  $\mathcal{P}$  is said to have shallow intersection if  $i(P_j) \cap i(P_k) = \emptyset$ ,  $\forall j \neq k$ . In this case, no edge of  $P_i$  goes into  $i(P_j)$  for any j. The union  $\overline{i(P_1)} \cup \cdots \cup i(P_n)$  is said to be a shallow union when  $\mathcal{P}$  has a shallow intersection.

**Theorem 1.** Let G be a graph. If every vertex of G has degree 2, then G is a disjoint union of cycles.

For a proof see [5].

**Theorem 2.** Let P,Q be two polygons,  $V = V(\{P,Q\})$ . Suppose  $P \not\subset \overline{i(Q)}, Q \not\subset \overline{i(P)}$ . Then  $\overline{i(P)} \cup \overline{i(Q)}$  is a shallow union  $\overline{i(R_1)} \cup \cdots \cup \overline{i(R_m)}$  for some polygons  $R_1, \ldots, R_m$  whose vertices come from V with edges subsegments of the edges of P,Q.

**Lemma 4.** With the setting as above, let  $v \in P \cup Q$ , then its zone has at most 4 sectors and no two of them are in the same component of  $i(P) \setminus \overline{i(Q)}$ .

*Proof.* The zone U of v has at most 4 radii - two each from P,Q and therefore at most 4 sectors. Suppose a sector S bounded by  $r_1, r_2$  is in  $i(P) \setminus \overline{i(Q)} = i(P) \cap o(Q)$ . Because  $r_1, r_2$  come from P,Q the sectors adjacent to S cannot be in  $i(P) \cap o(Q)$ . For example, if  $r_1$  is from P, then the other side of  $r_1$  is in o(P). Therefore, if U has 2 or 3 sectors then at most one is in  $i(P) \setminus \overline{i(Q)}$ .

We are left with the case when U has four sectors two of which are in  $i(P) \cap o(Q)$  (by the discussion above, it is at most two). This can happen only when the radii from Q and the sector corresponding to i(Q) are in the sector corresponding to i(P).

Suppose the two sectors  $S_1, S_2$  are in the same component R of  $i(P) \setminus \overline{i(Q)}$ , then there is a polygonal path from a point in  $S_1$  to one in  $S_2$  lying entirely in R, hence in i(P). Shrinking U if necessary, we can take these points to be on the boundary circle of U and the path to lie outside U. Extend this path to a polygon  $\tilde{P}$  using radii in  $S_1, S_2$ . We have  $o(P) \subset o(\tilde{P})$  because  $\tilde{P} \subset i(P) \cup \{v\}$ . Given  $x \in P$ , there is a path from x to any  $y \in o(P)$  lying outside P, hence outside  $\tilde{P}$ . Therefore,  $x \notin i(\tilde{P})$ . It follows that  $P \setminus \{v\} \subset o(\tilde{P})$ . We then conclude that  $i(\tilde{P}) \subset i(P)$  because given  $x \in i(\tilde{P})$ , there is a path to a point  $y \in \tilde{P} \setminus \{v\}$  which doesn't intersect P.

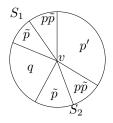


Figure 4: In the adjacent figure we use lower case letters to indicate sectors inside polygons, product to denote intersection and prime to denote outside.

Since  $\tilde{P} \cap Q = \{v\}$  and both edges at v from Q are inside  $\tilde{P}$ , by connectedness of Q, we have  $Q \subset \overline{i(\tilde{P})} \subseteq \overline{i(P)}$  which is a contradiction. Therefore,  $S_1, S_2$  are in different components.

Proof of Theorem 2. Step 1:

Let R be a component of  $i(P) \setminus \overline{i(Q)}$ . Given  $x \in \partial R$  we must have  $x \in P \cup Q$  and if  $x \in i(P)$ , then  $x \in Q$ . Note also that  $(P \cup Q) \cap R = \emptyset$ . Let U be a zone of x.

Because  $x \in \partial R$ , exactly one sector of U is contained in R and the radii  $r_1, r_2$  bounding it are in  $\partial R$ . If  $x \notin V$ , then  $r_1 \parallel r_2$  and an open segment l around x is part of  $\partial R$ . When l is maximal, its ends are in  $\partial R$  and must be in V as otherwise we can extend l. If  $x \in V$ , then  $r_1 \parallel r_2$ .

Thus,  $\partial R$  is a union of line segments with ends in V. At these points there are exactly two non parallel line segments that are part of  $\partial R$ , therefore  $\partial R$  is a graph with vertices from V of degree 2. By Theorem 1,  $\partial R$  is a union of disjoint cycles which, in this case, are polygons. Note that if P' is one such boundary polygon, then one of the sectors at every point of P' is in R.

Step 2:

Suppose  $\partial R$  has more than one polygon and that  $\overline{R}$  lies in  $\overline{o(Q_1)}$  for some boundary polygon  $Q_1$ . Since R is bounded,  $Q_1$  must be inside  $i(Q_2)$  for a boundary polygon  $Q_2$  with  $\overline{R} \subseteq \overline{i(Q_2)}$ .

Any path from  $o(Q_2)$  to  $i(Q_1)$  must pass through R as it passes through a point in  $Q_1$  and both sectors in its zone, one of which is in R. So  $o(P) \cap i(Q_1) = \emptyset$  because  $o(P) \cap o(Q_2) \neq \emptyset$ .

The edges of  $Q_1$  are subsegments of edges of P,Q and the "outer side" has points from R, hence from i(P). Because  $i(Q_1) \cap o(P) = \emptyset$ , these edges cannot involve edges of P. Therefore all edges of  $Q_1$  must come from Q, giving  $Q_1 = Q$ . But this means  $Q_2 = P$  and  $Q \subset i(P)$  (because  $Q \subset \partial R \subset i(P)$ ) and  $Q \cap P = \emptyset$ ) which is a contradiction.

Thus, R is inside its boundary polygons and by connectedness there is only one polygon. Step 3:

So the components of  $i(P) \setminus i(Q)$  lie inside their polygonal boundaries whose vertices come from V. Since V is finite, there can be only finitely many polygons, say  $R_1, \ldots, R_{m-1}$ . The inside regions of  $R_i, R_j$  cannot intersect for  $i \neq j$  because they would then describe the same component. By construction of  $R_i$ , the inside regions of  $R_i, Q$  cannot intersect. Set  $R_m = Q$ , then the collection  $\{R_1, \ldots, R_m\}$  has shallow intersection.

It is clear that  $\overline{i(P)} \cup \overline{i(Q)} = \overline{i(R_1)} \cup \cdots \cup \overline{i(R_m)}$  and that the edges of  $R_i$  are subsegments of the original edges.

In the proof, we partitioned  $i(P) \setminus \overline{i(Q)}$  into polygonal regions we call this the *reduction* of P by Q.

**Theorem 3.** Let  $P_1, \ldots, P_n$  be a collection of polygons,  $V = V(\{P_1, \ldots, P_n\})$ . Suppose  $P_i \not\subset \overline{i(P_j)}$  for  $i \neq j$ . Then  $\overline{i(P_1)} \cup \cdots \cup \overline{i(P_n)}$  is a shallow union of  $\overline{i(R_1)}, \ldots, \overline{i(R_m)}$  for some polygons  $R_1, \ldots, R_m$  with vertices coming from V and edges subsegement of the original edges.

*Proof.* By the previous theorem, the statment is true for n=2. Assume  $n\geq 3$  and that the statment is true for n-1. First reduce  $P_1$  by  $P_2$  to obtain polygons  $R_1^2,\ldots,R_k^2$ . The set of new vertices is a subset of the original and the collection  $R_1^2,\ldots,R_k^2,P_2$  has shallow intersection.

Ignoring any  $R_i^2$  for which  $R_i^2 \subset \overline{i(P_3)}$ , which means  $i(R_i^2) \subseteq i(P_3)$  we take  $R_i^2 \not\subset \overline{i(P_3)}$ . By construction,  $\overline{i(R_i^2)} \subset \overline{i(P_1)}$ , so  $P_3 \not\subset \overline{i(R_i^2)}$ . Reduce each  $R_i^2$  by  $P_3$  to obtain polygons  $R_{i1}^{23}, \ldots, R_{ik_i}^{23}$  such that  $i(R_{ij}^{23}) \subset i(R_i^2)$ . Since  $R_1^2, \ldots, R_k^2$  had shallow intersection, the collection  $\{R_{ij}^{23}|1 \leq i \leq k, 1 \leq j \leq k_i\}$  has shallow intersection. In fact, these  $R_{ij}^{23}$  also have shallow intersection with  $P_2, P_3$ .

Continuing this way we next reduce each  $R_{ij}^{23}$  by  $P_4$  and so on to arrive at a collection  $R_1, \ldots, R_p$  each contained in  $\overline{i(P_1)}$  having shallow intersection among themselves and with  $P_2, \ldots, P_n$ . At each stage the union  $\overline{i(P_1)} \cup \cdots \cup \overline{i(P_n)}$  is preserved and the set of vertices is a subset of the original.

Using induction hypothesis obtain  $R_{p+1}, \ldots, R_m$  with vertices from  $V(\{P_2, \ldots, P_n\}) \subset V$  and shallow intersection such that  $\overline{R_{p+1}} \cup \ldots \overline{i(R_m)} = \overline{i(P_2)} \cup \cdots \cup \overline{i(P_n)}$ .

In each stage above, the set of vertices is a subset of the original V and the edges are subsegments of the original edges. Together  $R_1, \ldots, R_m$  satisfy the requirements of the statement, proving the theorem for n and by induction for every n.

*Remark.* Since the set of new vertices is a subset of the original V and all edges are subsegments of the original edges, the zones around each new vertex doesn't change from the original. As a consequence, if  $\{P_1, \ldots, P_n\}$  is regular, then so is the new collection of polygons (the common outside does not change from the original).

Given a collection of polygons  $\mathcal{P}$ , the intersection graph is a graph with a vertex  $v_P$  for every  $P \in \mathcal{P}$  and an edge between  $v_P, v_Q$  if  $\overline{i(P)} \cap \overline{i(Q)} \neq \emptyset$ . If there is an edge between  $v_P, v_Q$ , then there is a path from any point in  $\overline{i(P)}$  to any point in  $\overline{i(Q)}$  that lies entirely in their union.

**Theorem 4.** Let  $\mathcal{P} = \{P_1, \dots, P_n\}$  be a regular collection of polygons with shallow intersection and connected intersection graph. Then all components in the complement of  $\mathcal{P}$  have polygonal boundaries.

*Proof.* Since  $\mathcal{P}$  has shallow intersection, for every  $j, i(P_j)$  is unaffected by the presence of other polygons, so it stays connected. If R is a component in the complement of  $P = P_1 \cup \cdots \cup P_n$  that intersects some  $i(P_j)$ , then  $R = i(P_j)$  for  $i(P_j)$  is connected and there are no paths to points outside. Clearly  $i(P_j)$  has a polygonal boundary.

Let R be a component on the outside and let  $V = V(\mathcal{P})$ . For  $x \in \partial R \setminus V$ , say from some edge e, the zone has two sectors. Since  $x \in \partial R$ , one of these sectors lies in the common outside. The other sector lies inside some  $P_i$  (that which e is a part of). Reasoning as before, there is an open segment around x in e that is part of  $\partial R$ .

For  $x \in \partial R \cap V$  its zone has exactly one sector lying in R by regularity. As before, two radii at v are part of  $\partial R$ . We conclude that  $\partial R$  is a union of disjoint polygons.

First suppose R is bounded with more than one boundary polygon. Again, we obtain polygons  $Q_1, Q_2$  such that R lies between them and any path from a point in  $Q_1$  to a point in  $Q_2$  must pass through R. But this contradicts the connectedness of the intersection graph. Similarly, if R is unbounded, then it is outside all the polygons in its boundary. By connectedness of the intersection graph, its boundary is a polygon.

Thus, all components of  $\mathbb{R}^2 \setminus P$  have polygonal boundaries with vertices from V.

*Remark.* Observe that the boundaries of components outside  $\mathcal{P}$  are polygonal regardless of whether  $\mathcal{P}$  has a shallow intersection.

# 4 Some more results about polygons

Let a, b be points in the plane,  $l_1, l_2, l_3$  be polygonal arcs from a to b that intersect only at the ends. Using two of the three arcs, we form polygons  $P_1, P_2, P_3$ , where  $P_i$  doesn't use  $l_i$ . Let  $n_1, n_2, n_3$  be the corresponding parity functions, calculated using a direction not parallel to any of the edges in  $l_1, l_2, l_3$ .

**Lemma 5.**  $n_1 + n_2 + n_3 = 0$ .

Proof. Take  $x \notin L = l_1 \cup l_2 \cup l_3$  and let  $R_x$  be the ray originating from x used to compute the parity. Suppose  $R_x$  passes through a. At a, take a zone U small enough to avoid x. Denote by  $r_1, r_2, r_3$  the radii in U induced by  $l_1, l_2, l_3$  respectively. The diameter d induced by  $R_x$  cuts U in half. If two of the three radii, say  $r_1, r_2$ , lie on the same side then the contribution by a is 0 to  $a_1$  and 1 to  $a_1, a_2$ . Otherwise all three radii are on the same half and the contribution to each  $a_i$  is 0. In both cases the contribution to the sum is 0. The same holds for  $a_1$ 

If p is a point other than a, b, then it appears in exactly one of  $l_1, l_2, l_3$  and its zone has two sectors. Since each  $l_i$  is part of two polygons, the contribution from p is counted twice in the sum  $n_1(x) + n_2(x) + n_3(x)$ . It follows that  $n_1 + n_2 + n_3$  is identically zero.

As a consequence, every point in the complement of L is either inside exactly two polygons or outside all three. In the zone of a, there are three sectors and it is easy to see that one of the three sectors, say the one bounded by  $r_2, r_3$ , must lie outside all  $P_i$ . Then the radius  $r_1$  lies inside  $P_1$  and by connectedness,  $l_1$  excluding a, b, lies inside  $P_1$ . So, some  $l_i \setminus \{a, b\}$  is inside  $P_i$ .

Suppose  $l_1$  lies inside  $P_1$ . Bound all the polygons in a large square and take a point p outside this square, so  $p \in o(P_1) \cap o(P_2) \cap o(P_3)$ . For  $x \in o(P_1)$ , there is a path from x to p lying outside  $P_1$ . Since  $l_1$  is inside  $P_1$ , this path cannot intersect  $l_1$ , hence  $P_2$ ,  $P_3$ . It follows that  $x \in o(P_2) \cap o(P_3)$ . Therefore,  $o(P_1) \subseteq o(P_2) \cap o(P_3)$  and  $o(P_3) \cap o(P_3) \cap o(P_3) \cap o(P_3) \cap o(P_3)$ .

Thus there are three components  $o(P_1), i(P_2), i(P_3)$  in the complement of L with boundaries  $P_1, P_2, P_3$  respectively. The zones at a, b have three sectors, one for each component. For points in  $l_1$  other than a, b both sectors are in  $i(P_1)$ .

**Corollary 4.** With the setting as above, if  $\phi: [0,1] \to \mathbb{R}^2$  is a path with  $\phi(0) \in o(P_1), \phi(1) \in i(P_2)$  that doesn't intersect  $i(P_3)$ , then it must intersect  $l_3$ .

Proof. Because  $o(P_1) \subseteq o(P_2)$ ,  $\phi \cap P_2 \neq \emptyset$ . Suppose  $\phi \cap l_3 = \emptyset$ , then it must intersect  $l_1 \setminus \{a,b\}$ . Let  $t_1 = \inf\{\phi^{-1}(\phi \cap l_1)\}$ . Since  $\phi(0) \notin i(P_1)$ , we have  $t_1 \neq 0$ . Let U be a zone of  $\phi(t_1) \in l_1$ , by continuity there is an interval  $(t_0, t_2)$  such that  $\phi((t_0, t_1)) \subset U$ .

One of the sectors of U is in  $i(P_3)$  and the other in  $i(P_2)$ . For  $t_0 < t < t_1$ , by minimality of  $t_1, \phi(t)$  cannot lie on the radii in U. By hypothesis,  $\phi(t)$  cannot lie in the sector contained in  $i(P_3)$ . So,  $\phi(t)$  is in the sector that is contained in  $i(P_2)$  and we can find a t' < t such that  $\phi(t') \in P_2$ . Since  $\phi \cap l_3 = \emptyset$  by assumption,  $\phi(t') \in l_1 \setminus \{a, b\}$  contradiction minimality of  $t_1$ . So,  $\phi \cap l_3 \neq \emptyset$ .

**Corollary 5.** If  $l_1, \ldots, l_n$  are n polygonal paths between a, b that intersect only at a, b, then the complement of  $L = l_1 \cup \cdots \cup l_n$  has n-1 bounded components and one unbounded.

*Proof.* Induction.  $\Box$ 

**Lemma 6.** Suppose P,Q are two polygons with  $P \not\subset \overline{i(Q)}$ . Suppose  $Q \cap i(P)$  is a non empty, connected (open) path whose ends are different. Then we can reduce P by Q in the sense that  $i(P) \setminus \overline{i(Q)} = i(R)$  for some polygon R.

*Proof.*  $L = \overline{Q \cap i(P)}$  is a polygonal arc between two points  $a, b \in P, a \neq b$ . Let  $L_1, L_2$  be the two paths between a, b along P. So  $L_1, L, L_2$  are three polygonal arcs that intersect only at the ends and we know that L (except for the ends) is contained inside P. Let  $P_1 = L_1 \cup L, P_2 = L_2 \cup L$ .

We have  $i(P_1) \cap Q = \emptyset$  because  $i(P_1) \cap Q \subset i(P) \cap Q \subset L$ . So,  $i(P_1)$  is connected in the complement of Q, hence inside or outside Q. Similarly  $i(P_2)$  is inside or outside Q. Take  $z \in L \setminus \{a,b\}$ , then the zone of z has two sectors, one from each  $i(P_1), i(P_2)$  and these two sectors should also come from i(Q), o(Q). So, one of  $i(P_1), i(P_2)$  is inside Q and the other outside. Assume  $i(P_2) \subset i(Q)$ , then

$$i(P) \setminus \overline{i(Q)} = (i(P_1) \cup i(P_2) \cup L) \setminus \overline{i(Q)} = i(P_1).$$

So, the reduction of P by Q is the polygon  $P_1$ .

# 4.1 Removing singular vertices

Let  $\mathcal{P} = \{P_1, \dots, P_n\}$  be a collection of polygons,  $V = V(\mathcal{P})$ . If  $v \in V$  is a singular vertex, with zone U, then there is more than one sector in U that comes from a component C outside  $\mathcal{P}$ .

Choose radii  $r_1, r_2$  such that all sectors of U lying in C lie in a sector S determined by  $r_1, r_2$ . Let S to be minimal in the sense that no smaller sector in S contains all the sectors of U that intersect C. Then the sectors in S that have  $r_1$  or  $r_2$  in their boundary must lie in C. We note  $r_1 \neq r_2$  and that they cannot lie inside any  $P_i$ .

By assumption  $S \not\subseteq C$ , so it contains some radii of U. In fact, there must be at least two radii in S as if there is only one radius s, then S has two parts - sectors  $sr_1, sr_2$ . By the assumptions on C, v at least two of the sectors in S must be in C outside  $\mathcal{P}$ , which means that both sides of s are outside  $\mathcal{P}$  which is impossible.

As we go from  $r_1$  to  $r_2$  through S, let  $s_1, s_2$  be the first and second radii we meet before reaching  $r_2$ . The sector  $r_1s_1$  in S must lie in C, therefore outside  $\mathcal{P}$  and the sector S' determined by  $s_1, s_2$  must lie in some  $i(P_i)$  because  $s_1$  cannot have both its sides outside  $\mathcal{P}$ .

Let a, b be the midpoints of  $s_1, s_2$  respectively. Let l be a polygonal path from a to b that lies entirely in S'. Form the polygon  $Q = av \cup l \cup bv$ .

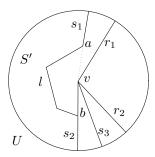


Figure 5: Line bv may also be removed, av is removed

For each i, by the choice of  $s_1, s_2$  either  $S' \subset \overline{i(P_i)}$  or  $S' \subset o(P_i)$ . Therefore, the intersection  $Q \cap i(P_i)$  is either empty or the open path l or the union  $l \cup bv$  in case  $s_2$  is inside  $P_i$ . In the last two cases, the end points of the intersection are different, so we may apply Lemma 6 to reduce  $P_i$  by Q and obtain a polygon  $P'_i$  (=  $P_i$  when  $Q \cap i(P_i) = \emptyset$ ).

One side of av is outside  $\mathcal{P}$  and the other in i(Q), so av is outside all  $P'_i$ , hence i(Q) is now part of C. The set  $V(P'_1, \ldots, P'_n)$  includes the vertices of Q in addition to the original V. At the zones of a(b) we have three radii from  $l, s_1(s_2)$ . So, a, b are regular. The other points of Q other than v are regular as they have only two radii from l in their zones.

Lastly, note that the loss of interior from  $P_i$  is just i(Q). So, anything not in i(Q) covered by  $\mathcal{P}$  is still covered by  $\overline{i(P'_1)} \cup \cdots \cup \overline{i(P'_n)}$ . Therefore, if v is a regular vertex outside U, it cannot become singular upon these reductions.

Now, shrink U to avoid l. The sectors contained in C still lie in S. Since av is removed, the number of radii between  $r_1, r_2$  has reduced by at least 1. Repeating the steps above, we make sure that S has no other radius in between, giving us one sector in U that intersects C. Repeating this process for other sectors of U makes v a regular point.

The process terminates as there are finitely many radii and we are left with one less singular point. Modify  $\mathcal{P}$  in a finite number of steps so that the resulting V has no singular points. Note that at each stage the cardinality of  $\mathcal{P}$  does not change.

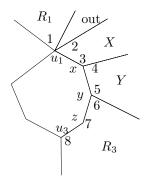
#### 4.2 Jordan-like results

Let  $\mathcal{P} = \{P_1, \dots, P_n\}$  be a regular collection of polygons with shallow intersection and a connected intersection graph. In this case,  $V = V(\mathcal{P})$  is the collection of vertices in  $\mathcal{P}$ . Let C be a bounded component outside  $\mathcal{P}$  with polygonal boundary R (Theorem 4).

Suppose  $u_1, u_3 \in R$  are distinct points. Let  $L_1, L_2$  be the two paths along R from  $u_1$  to  $u_3$ . Fix polygons  $R_1, R_3 \in \mathcal{P}$  that contain  $u_1, u_3$  respectively. We may have  $R_1 = R_3$ . Traversing  $L_1$  from  $u_1$  to  $u_3$  gives each  $p \in L_1$  a backward edge and a forward edge. In the zone of p, these edges determine radii b, f respectively and two sectors one of which is inside R.

All other radii are in the other sector S. As we go from b to f through S, form the sequence of sectors that lie in i(P) for some  $P \in \mathcal{P}$ . By shallow intersection, such P are unique. If this sequence has just one term, then points on b, f also have the same sequence, so an open segment of R around p also has the same associated sequence. The ends of a maximal such segment must lie in V.

So the only  $p \in L_1$  that can have more than one element in their associated sequence of polygons are those from  $V(R) = V \cap R$ , a finite set. Now start at the sector corresponding to  $R_1$  at  $u_1$  and go through the sequence of polygons associated to points in  $V(R) \cap L_1$  along  $L_1$  in the manner described, backward edge to forward edge outside R, till we reach the sector corresponding to  $R_3$  at  $u_3$ . This sequence we call  $S(L_1)$ . Similarly define  $S(L_2)$  starting at  $u_1$  and going to  $u_3$ .



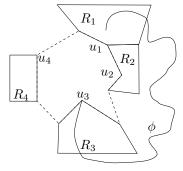


Figure 6: Obtaining  $S(L_1)$ 

Figure 7: Set up for Theorem 5

For example, in the figure above, the sequence  $S(L_1)$  will contain the sectors numbered in order, i.e., the sectors 1, 2 at  $u_1$  (skipping the sector lying outside the cover) then sectors 3, 4 at x and so on till sector 8 at  $u_3$ .

Suppose we can choose polygons  $R_2 \in S(L_1)$ ,  $R_4 \in S(L_2)$  different from  $R_1, R_3$  (we can have  $R_2 = R_4$ ) and points  $u_2 \in L_1 \cap V(R)$ ,  $u_4 \in L_2 \cap V(R)$  that are part of  $R_2, R_4$  respectively. Assume  $u_1, u_2, u_3, u_4$  are distinct.

Let  $\phi$  be a path (continuous image of [0,1]) from a point in  $\overline{i(R_1)}$  to one in  $\overline{i(R_3)}$  that lies outside R, except possibly for the ends and if an end does lie on R, then it is  $u_1$  or  $u_3$ . Let  $\psi$  be a path from a point in  $\overline{i(R_2)}$  to one in  $\overline{i(R_4)}$  with similar conditions. Furthermore, assume

- $\phi$  doesn't intersect  $\overline{i(R_2)}, \overline{i(R_4)}$  with the exception of  $u_1, u_3$
- $\psi$  doesn't intersect  $\overline{i(R_1)}, \overline{i(R_3)}$  with the exception of  $u_2, u_4$

#### **Theorem 5.** The paths $\phi$ , $\psi$ should intersect.

Proof. Suppose they do not intersect. Assume  $\phi(0) \in \overline{i(R_1)}$ ,  $\phi(1) \in \overline{i(R_3)}$ . If  $\phi(0) \neq u_1$ , let  $l_1$  be a polygonal arc from  $u_1$  to  $\phi(0)$  that, except for the ends, lies in  $i(R_1)$ . Note that  $l_1$  doesn't intersect  $\overline{i(R_2)}$ ,  $\overline{i(R_4)}$  except possibly at  $u_1$ . Extend  $\phi$  by travelling along  $l_1$  from  $u_1$  till the first time we meet  $\phi$  and from there along  $\phi$ . If  $\phi(1) \neq u_3$ , extend  $\phi$  using a similar polygonal arc  $l_3$  from  $u_3$  to  $\phi(1)$ .

Since the open segments of  $l_1, l_3$  lie inside  $R_1, R_3$ , they cannot intersect  $\psi$ . So,  $\psi$  cannot intersect the extension of  $\phi$ , which we continue to denote by  $\phi$ . Even after the extension,  $\phi$  lies outside R except for the ends and the ends are  $u_1, u_3$  because  $l_1, l_3$  can intersect R only at  $u_1, u_3$  respectively. Furthermore,  $\phi$  continues to not intersect  $\overline{i(R_2)}, \overline{i(R_4)}$  except possibly at  $u_1, u_3$ .

Let d > 0 be the distance between (the extended)  $\phi$  and  $\psi$  and  $\epsilon = \min\{d, |u_1 - u_3|\}$ . Around  $u_1$ , take a zone of radius  $< \epsilon/2$  and a radial line  $r_1$  from  $u_1$  to a point  $x \in \phi$  in this zone. Note that  $x \neq u_3$  is outside R and does not lie in any sector corresponding to  $\overline{i(R_2)}, \overline{i(R_4)}$ . So, the line  $r_1$  doesn't intersect  $\overline{i(R)}, \overline{i(R_2)}, \overline{i(R_4)}$  except possible at  $u_1$ . Similarly, take a line  $r_3$  from  $u_3$  to a point  $y \in \phi$  that does't intersect  $\overline{i(R)}, \overline{i(R_2)}, \overline{i(R_4)}$  except possible at  $u_3$ .

Let  $\phi'$  be the restriction of  $\phi$  between x, y. Note that  $x \neq y$  by the choice of  $\epsilon$ . We know that  $\phi'$  lies outside R and is at a distance of some  $\mu > 0$  from the closed set  $\overline{i(R_2)} \cup \overline{i(R_4)}$ . Approximate  $\phi'$  within  $\min\{\epsilon, \mu\}$  by a polygonal arc P that lies outside R.

Let Q be the polygonal arc  $r_1 + P + r_3$  from  $u_1$  to  $u_3$ . We make the following observations

- Q lies outside R except for the ends  $u_1, u_3$  which lie on R
- Q doesn't intersect  $\overline{i(R_2)}, \overline{i(R_4)}$  except possibly at  $u_1, u_3$ . In particular  $Q \cap i(R_2) = Q \cap i(R_4) = \emptyset$
- By the choice of  $\epsilon, \psi$  doesn't intersect  $r_1, r_3$  and P, hence it doesn't intersect Q

Extend  $\psi$  similar to  $\phi$  using polygonal arcs lying in  $i(R_2), i(R_4)$  to get a path from  $u_2$  to  $u_4$ . Since Q doesn't intersect  $i(R_2), i(R_4)$  and  $u_i$  are distinct, Q doesn't intersect this extension of  $\psi$ .

The paths  $L_1, L_2, Q$  are all polygonal arcs between  $u_1, u_3$  that intersect only at the ends and Q lies outside  $L_1 \cup L_2$ . We may assume that  $L_2$  is inside  $L_1 \cup Q$ . The zone of  $u_4$  has two parts, one lying in i(R) and the other in  $i(L_2 \cup Q)$ , and  $\psi$  enters the second sector. Similarly,  $u_2$  has two sectors in its zone and  $\psi$  enters that sector lying outside  $L_1 \cup Q$  (the other is inside R). By Corollary 4,  $\psi$  should intersect Q which is a contradiction.

The main idea of the proof is to use Corollary 4. We can extend the result using a few assumptions. If  $\phi$  doesn't have  $u_1$  as an end for example, then the extension of  $\phi$  must start at  $u_1$  and enter  $i(R_1)$ . At the same time, if  $\psi$  doesn't have  $u_2$  as an end, then the extension of  $\psi$  must start at  $u_2$  and enter  $i(R_2)$ . It is easy to see that, in this case, we can deal with  $u_1 = u_2$ , because of the order in  $S(L_1)$  which allows the use of Corollary 4. Similarly, we can have

- $u_1 = u_4$  when  $\phi$  doesn't have  $u_1$  as an end and  $\psi$  doesn't have  $u_4$  as an end
- $u_3 = u_2$  when  $\phi$  doesn't have  $u_3$  as an end and  $\psi$  doesn't have  $u_2$  as an end etc.

An extreme case is  $u_1 = u_2 = u_4$  when  $\phi$  doesn't have  $u_1$  as an end and  $\psi$  doesn't have  $u_2$ ,  $u_4$  as its ends. However, the proof doesn't apply directly to the case when all  $u_i$  are the same, because to construct  $L_1, L_2$  we need  $u_1 \neq u_3$ . Although, such an extension can be similarly proved by looking at the sequence of polygons at  $u_1$  and assuming that the  $R_i$  come in the appropriate order:  $R_1$  between  $R_4, R_2$  and  $R_2$  between  $R_1, R_3$ . We will need  $\phi, \psi$  to be outside R and the rest carries through.

#### 5 A theorem about arcs

Suppose we have points a, b in the plane and arcs  $\phi_1, \phi_2$  from a to b that intersect only at a, b. Set  $I_1, I_2$  to be [0, 1] and suppose  $\phi_i \colon I_i \to \mathbb{R}^2$  with  $\phi_i(0) = a, \phi_i(1) = b, i = 1, 2$ . Since  $\phi_1$  is a homeomorphism,  $\phi_1$  and its inverse are uniformly continuous. Fix  $\epsilon > 0$ , and choose  $\delta > 0, \epsilon > \epsilon' > 0$  such that

$$|t_1 - t_2| < 3\delta \Rightarrow |\phi_1(t_1) - \phi_1(t_2)| < \epsilon$$
  
 $|\phi_1(t_1) - \phi_1(t_2)| \le \epsilon' \Rightarrow |t_1 - t_2| < \delta.$ 

# 5.1 A special covering

At a, b take open squares with disjoint closures and diameter  $< \epsilon'$ . For  $t \in (0, 1)$ , pick an open square of diameter  $< \epsilon'$  around  $\phi_1(t)$  whose closure doesn't intersect  $\phi_2$ . By compactness of  $\phi_1$  obtain a finite open subcover.

We refine this cover in a series of steps. At each step we ensure that there are unique polygons  $T_1, T_2$  such that  $a \in \overline{i(T_1)}, b \in \overline{i(T_2)}, \overline{i(T_1)} \cap \overline{i(T_2)} = \emptyset$  and if  $\phi_2 \cap \overline{i(P)} \neq \emptyset$ , then  $P = T_1$  or  $P = T_2$ . This is true for the cover we are starting with.

1. If v is a singular point, then  $v \notin \phi_1$  for otherwise, v lies inside some polygon  $\tilde{P}$  which means that no sector in the zone of v is outside the cover which is a contradiction. So, take a zone of v that avoids  $\phi_1$ . While making v regular, the modifications happen inside this zone, so  $\phi_1$  will remain inside the polygons and we have an open cover of  $\phi_1$ .

If the original collection was  $\{P_1, \ldots, P_n\}$ ,  $T_1 = P_1, T_2 = P_n$ , then each  $P_i$  is replaced with a  $P'_i, \overline{i(P'_i)} \subseteq i(P_i)$ . It is easy to see that  $T_1 = P'_1, T_2 = P'_n$  after making v regular. Similarly remove other singular vertices. At each stage we can find suitable  $T_1, T_2$ .

- 2. Remove redundant polygons to arrive at a minimal cover  $\{P_1, \ldots, P_n\}$ ,  $T_1 = P_1, T_2 = P_n$ . Note that  $T_1, T_2$  cannot be redundant. Now if  $P_i \subset \overline{i(P_j)}$ , then  $i(P_i) \subset i(P_j)$  and  $P_i$  is redundant, so no  $P_i \subset \overline{i(P_j)}$ . Take the collection  $P_2, \ldots, P_{n-1}$  and apply Theorem 3 to obtain a collection  $P_1, \ldots, P_m$ . Now  $\overline{i(R_j)} \subseteq \overline{i(P_k)}$  for some  $1 \le k \le n-1$ , so  $1 \le k \le n-1$ , so  $1 \le k \le n-1$ .
- 3. So, we have the collection  $\{P_1, R_1, \ldots, R_m, P_n\}$ . We cannot have  $P_1 \subset \overline{i(R_j)}$  and remove any  $R_j$  for which  $R_j \subset \overline{i(P_1)}$ . So we may take  $R_j \not\subset \overline{i(P_1)}$ ,  $1 \le j \le m$ . Reduce (Theorem 2) each  $R_j$  by  $P_1$  to get a collection  $\{P_1, R'_1, \ldots, R'_s, P_n\}$ .
- 4. As in Step 3, reduce each  $R'_j$  by  $P_n$  to get a collection  $\{P_1, R''_1, \dots, R''_t, P_n\}$ . The sets  $i(P_1), i(P_n)$  are unaltered and  $\overline{i(P_1)} \cap \overline{i(P_n)} = \emptyset$ . The union  $\cup \overline{i(P_j)} \supset \phi_1$  is preserved and the collection has shallow intersection.

Furthermore, for any i, there are  $j, k, 2 \le l \le n-1$  such that

$$\overline{i(R_i'')} \subseteq \overline{i(R_i')} \subseteq \overline{i(R_k)} \subseteq \overline{i(P_l)} \Rightarrow \phi_2 \cap \overline{i(R_i'')} = \emptyset.$$

So, we can take  $T_1 = P_1, T_2 = P_n$ . For the sake of convenience let this collection be  $\mathcal{P} = \{P_1, \ldots, P_n\}$  and set  $V = V(\mathcal{P})$ .

Lastly, we make sure that if two polygons intersect, then the intersection contains points of  $\phi_1$ . For polygons P, Q with shallow intersection, the intersection of edges  $e \in P, f \in Q$  is either a point (short intersection), or a closed segment (long intersection). Henceforth short and long intersections refer to those that don't contain points of  $\phi_1$ . This is a two step process.

First we make sure that long intersections have points of  $\phi_1$ . Then we look at short intersections in  $P \cap Q$  not part of any long intersection in  $P \cap Q$ . Henceforth, short intersections refer only to this specific type. The notion of short intersection now depends on the polygons P, Q for  $e \cap f$  may be short in  $P \cap Q$ , but not in  $P' \cap Q'$  for some P', Q'. The zone of a short intersection  $v \in P \cap Q$  has 4 radii, 2 from P and 2 from Q.

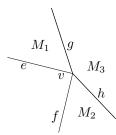


Figure 8: In the adjacent figure, the intersection  $g \cap g$  is a long intersection. The vertex  $v = e \cap f$  is a short intersection when considered as a point in  $M_1 \cap M_2$ , but the same vertex is not a short intersection in  $M_1 \cap M_3$  and  $M_2 \cap M_3$  because it appears in the long intersections  $g \cap g, h \cap h$  respectively.

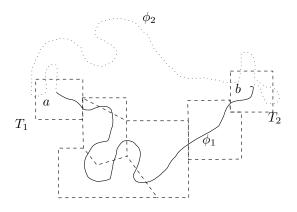


Figure 9: Example of a special covering

Removing long intersections:

Consider edges  $e \in P_1, f \in P_2$ , where  $P_1, P_2 \in \mathcal{P}$  are arbitrary, and suppose  $e \cap f$  is a long intersection. The ends of  $e \cap f$  are in V and since one side of this segment lies in  $i(P_1)$  and the other in  $i(P_2)$ , by shallow intersection, there is no point of V in  $e \cap f$  other than the ends.

Around  $e \cap f$  take a rectangle R with one side parallel to e such that i(R) is disjoint from

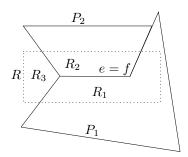
- $\phi_1$  and
- edges  $e' \in \mathcal{P}$  with  $e' \cap \underline{e \cap f} = \emptyset$  and  $V \setminus e \cap f$ , so that  $V \cap \overline{i(R)} = V \cap e \cap f \subset i(R)$ .

This is possible because  $e \cap f$  is compact, so we take a finite cover by squares with one side parallel to e and then take a "minimum height" rectangle. The initial cover of  $e \cap f$  is one that avoids edges of  $\mathcal{P}$  that don't intersect  $e \cap f$  and  $\phi_1 \cup (V \setminus (e \cap f))$ . Such a cover exists by the assumptions on  $\mathcal{P}$ and  $e \cap f$ .

Now  $V \cap R = V \cap e \cap f$  has 2 elements, so R does not contain any  $P \in \mathcal{P}$ . We have

$$e \cap f \subset i(R) \Rightarrow i(R) \cap i(P_1) \neq \emptyset, i(R) \cap i(P_2) \neq \emptyset$$

so by shallow intersection  $R \nsubseteq \overline{i(P)}$  for any  $P \in \mathcal{P}$ . Reduce (Theorem 2) each  $P \in \mathcal{P}$  by R giving us in place of P, a shallow union of some polygons. We show that each reduction gives one poygon.



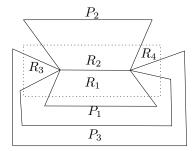
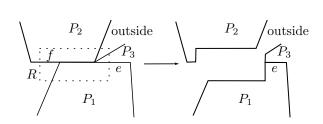


Figure 10: Examples of what R looks like.  $R_4$  is degenerate in the first one



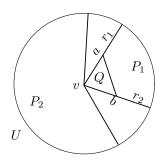


Figure 11: Removing long intersection  $e \cap f$  Figure 12: Removing short intersection  $v \in P_1 \cap P_2$ 

#### Number of polygons doesn't change:

 $P_1 \cap i(R)$  is a connected path (involving 3 or fewer segments) and divides R into polygons  $R_1, R'$ with  $i(R_1) \subset i(P_1)$ . By shallow intersection  $P_2 \cap i(R) \subset \overline{i(R')}$ . By Lemma 6,  $P_2 \cap i(R)$  divides R' into 3 or fewer polygons  $R_2, R_3, R_4$  with  $i(R_2) \subset i(P_2)$  where  $R_3, R_4$  may be degenerate,  $i(R_3) \cap i(R_4) = \emptyset$ . At each end of  $e \cap f$ , there is one sector each for  $R_1, R_2$  and one for  $R_3$  or  $R_4$ .

For  $P \in \mathcal{P}$ , if  $P \cap \overline{i(R)} = \emptyset$  the reduction by R doesn't change P, so assume  $P \cap \overline{i(R)} \neq \emptyset$ , then we must have  $P \cap e \cap f \neq \emptyset$ . If  $e \cap f \subset P$ , by shallow intersection  $P = P_1$  or  $P = P_2$  and it is easy to see that  $R \cap i(P)$  is a connected path.

For  $P \neq P_1, P_2, P \cap e \cap f$  contains only the ends of  $e \cap f$ . At each point of  $P \cap e \cap f$ , there are two segments induced by P and both must be in  $\overline{i(R_3)}$  or  $\overline{i(R_4)}$  by shallow intersection. The reduction of P by R happens in two steps - by  $R_3$ ,  $R_4$  separately. Observe that  $R_3 \cap i(P)$ ,  $R_4 \cap i(P)$  are connected in the step-wise reduction. After reducing by  $R_3$  for example,  $R_4 \cap i(P)$  doesn't change.

In both cases, by Lemma 6, the number of polygons doesn't change. Each  $P \in \mathcal{P}$  is replaced by a P' forming a collection  $\mathcal{P}'$ . Similar to step 1, we can find  $T_1, T_2$ .

#### Regularity:

 $\mathcal{P}'$  covers  $\phi_1$  because  $\overline{R} \cap \phi_1 = \emptyset$  and has a shallow intersection because the interiors have shrunk. So  $V(\mathcal{P}') = V'$  is the collection of vertices in  $\mathcal{P}'$  and consists of points of R that lie in  $\overline{i(P_j)}, P_j \in \mathcal{P}$  and points of V outside R. As a consequence i(R) is now in the common outside.

- Because R can intersect only those edges that intersect  $e \cap f$ , any edge intersecting R must go inside R. If  $x \in R$  lies on an edge of  $P_i$ , then  $x \notin V$  by construction of R. Its original zone had one diameter and now contains a radius on either side introduced by R. After reducing  $P_i$  by R, the sector that was in i(R) is now in the common outside and the new zone has three sectors, with two radii from R and one from half of the original diameter.
- If  $x \in R$  lies inside  $P_i$ , then its original "zone" (a ball around x that lies inside the polygon will do) had no radii and the new zone has two.

The other points of V' are points of V outside R. If x is such a point, then the zone of x is unaltered because the line segments passing through x are unaltered (for they are outside R). Note that any loss of interiors comes from i(R), so the sectors at x do not change their parity. So,  $\mathcal{P}'$  is regular.

#### Number of long intersections:

Every long intersection in  $\mathcal{P}'$  must come from a long intersection in  $\mathcal{P}$  because the new edges of  $\mathcal{P}'$  lie inside polygons of  $\mathcal{P}$  and cannot have long intersections by shallow intersection of  $\mathcal{P}$ .

Suppose  $e_1, f_1$  are two edges in  $\mathcal{P}$  such that  $e_1 \cap f_1$  is long. If  $e_1 \cap f_1 \subset i(R)$ , then by the choice of R, we have  $e_1 \cap f_1 = e \cap f$ , which is removed. If  $e_1 \cap f_1 \subset o(R)$ , it is unaffected and is a long intersection in  $\mathcal{P}'$ . Lastly, if  $e_1 \cap f_1$  enters R but not contained in it, then one end of  $e_1 \cap f_1$  is an end of  $e \cap f$  and the other is outside R. Because i(R) is a convex shape,  $e_1 \cap f_1 \setminus i(R)$  is a connected closed segment, so  $e_1 \cap f_1$  gives rise to exactly one long intersection in  $\mathcal{P}'$ .

Thus, the number of long intersections has decreased by 1. Repeating this process, we arrive at a collection  $\mathcal{P}$  such that  $\phi_1 \subset \bigcup_{P \in \mathcal{P}} \overline{i(P)}$  with shallow intersection and every long intersection of edges having points from  $\phi_1$ . At each step, we can find  $T_1, T_2$ .

#### Removing short intersections:

Suppose  $v = e \cap f$  is a short intersection for edges  $e \in P_1, f \in P_2$ . Let U be a zone of  $v \in V$  that avoids  $\phi_1$ . In U, the sectors that lie inside  $P_1, P_2$  are disjoint and since  $v \in V$  one of them must be convex. Without loss of generality, assume the sector in  $i(P_1)$  bounded by radii  $r_1, r_2$  is convex. Join the midpoints of  $r_1, r_2$  to get a triangle Q contained inside  $i(P_1)$ .

Reducing  $P \in \mathcal{P} \setminus \{P_1\}$  doesn't change it as  $\overline{i(Q)} \cap i(P) = \emptyset$ . Reducing  $P_1$  by Q gives it two vertices in place of v. As before, these vertices and those outside U are regular. The component i(Q) is either a new component outside, or it merges with some other component outside all P (depending on whether the other side of  $r_i$  is inside or outside  $\mathcal{P}$ ). In either case, v stays regular. So, after reduction, points in  $V(\mathcal{P}) \cup V(Q)$  are regular.

Thus, upon reducing  $\mathcal{P}$  by Q, we have a regular polygonal cover (by the choice of U) of  $\phi_1$  with shallow intersection. Moreover, any long intersection is a subsegment of one in  $\mathcal{P}$  and, by the choice of U, contains a point of  $\phi_1$ .

The zones of a, b have two or three radii, so they cannot be short intersections. Moreover,  $\underline{v}$  is no longer a vertex of the reduced  $P_1$  as there is a ball around v that doesn't intersect  $i(P_1) \setminus \overline{i(Q)}$ . Thus, the number of short intersections has reduced by 1 as  $v \in P_1 \cap P_2$  is no longer an intersection in the new collection. Again, since the number of polygons hasn't changed, we can find  $T_1, T_2$ .

This process terminates and we obtain a regular  $\mathcal{P}$  containing  $\phi_1$  in its shallow union and if  $P \cap Q \neq \emptyset, P, Q \in \mathcal{P}$ , then  $P \cap Q$  (in fact, every component of  $P \cap Q$ ) contains a point of  $\phi_1$ . Furthermore, there are polygons  $T_1, T_2$  as described in the beginning.

## 5.2 Consequence of the special covering

Suppose we have the covering  $\mathcal{P} = \{P_1, \dots, P_n\}$  as above and C is a bounded component outside  $\mathcal{P}$ . Let  $Q_1, \dots, Q_k$  be the polygons that intersect the polygon  $R = \partial C$ . To each  $Q_i$ , by minimality of the cover, we have the interval  $[a_i, b_i] \subseteq I_1$  where  $a_i$  is the first time  $\phi_1$  intersects  $\overline{i(Q_i)}$  and  $b_i$  last. By the refinement in the last subsection, if  $Q_i \cap Q_j \neq \emptyset$ , then  $[a_i, b_i] \cap [a_j, b_j] \neq \emptyset$ . At each step of the refinement the diameter never increased, so  $b_i - a_i < \delta$ .

Suppose in  $\mathcal{P}$ , the polygon  $P_1$  contains a and  $P_n$  contains b, i.e.,  $P_1$  plays the role of  $T_1$  and  $P_n$  that of  $T_2$ . We know that  $i(P_1) \cap i(P_n) = \emptyset$  and  $\phi_2 \cap i(P_j) = \emptyset$ ,  $2 \le j \le n-1$ . Now,  $i(P_1) \cap \phi_2$ ,  $i(P_n) \cap \phi_2$  are two non empty (because they contain a, b) disjoint closed sets in  $\phi_2$ . Because  $\phi_2$  is connected, there is an  $s \in (0, 1) \subset I_2$  such that  $\phi_2(s) \notin i(P_1) \cup i(P_n)$ , hence it is outside  $\mathcal{P}$ , in particular outside  $Q_1, \ldots, Q_k$ .

Set

$$\alpha = \min_{1 \le i \le k} a_i, \beta = \max_{1 \le i \le k} b_i.$$

Look at the path  $\phi_1(\alpha) \xrightarrow{\phi_1} a \xrightarrow{\phi_2} \phi_2(s)$ . The first part, i.e.  $\phi_1(\alpha) \xrightarrow{\phi_1} a$  intersects  $\bigcup_i \overline{i(Q_i)}$  only at  $\phi_1(\alpha)$  by definition, hence intersects R at most once. If the second part, i.e.,  $a \xrightarrow{\phi_2} \phi_2(s)$ , intersects R, hence any of the  $\overline{i(Q_j)}$ , then, by construction of P, that  $Q_j = T_1$  and we must have  $\alpha = 0$ .

Since  $\phi_2(s) \notin R$ , we can obtain a subpath, or more properly sub-arc, not intersecting R, except possibly at one end. This arc,  $\psi_1$ , goes from some  $Q_i$  to  $\phi_2(s)$ . By the arguments in the preceding paragraph,  $\psi_1$  can intersect only those  $\overline{i(Q_j)}$  which contain  $\phi_1(\alpha)$ .

Next, using the path  $\phi_1(\beta) \xrightarrow{\phi_1} b \xrightarrow{\phi_2} \phi_2(s)$ , obtain an arc  $\psi_2$  not intersecting R, except possible at one end and intersecting only those  $\overline{i(Q_j)}$  that contain  $\phi_1(\beta)$ . Then the arc  $\psi = \psi_1 \cup \psi_2$  goes from a point in some  $\overline{i(Q_i)}$  to one in  $\overline{i(Q_j)}$  and intersects only those  $\overline{i(Q_l)}$  that contain  $\phi_1(\alpha)$  or  $\phi_1(\beta)$ .

Suppose  $\phi_2(s) \in o(R)$ , then the path  $\psi$  (except for the ends) must also lie outside R.

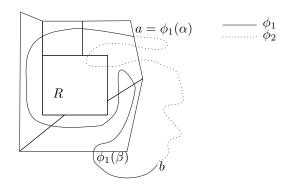


Figure 13: Set up for Lemma 7, only the necessary polygons are drawn

# Lemma 7. $\beta - \alpha < 3\delta$ .

Proof. Choose polygons  $R_1, R_3$  from  $Q_1, \ldots, Q_k$  with associated intervals  $[\alpha, \alpha'], [\beta', \beta]$  respectively where  $\alpha', \beta'$  are chosen so that  $\alpha' - \alpha, \beta - \beta'$  are maximal. The ends of  $\psi$  are in these polygons, say  $\psi(0) \in \overline{i(R_1)}, \psi(1) \in \overline{i(R_3)}$ . If  $\psi(0) \in R$ , take  $u = \psi(0)$ , otherwise take u to be a vertex of  $R_1$  in V(R). Similarly, if  $\psi(1) \in R$ , take  $v = \psi(1)$ , otherwise take it to be a vertex of  $R_3$  in V(R).

If u = v, then these intervals should intersect (they may even be equal) and it follows that  $\beta - \alpha < 2\delta < 3\delta$ . So, assume that  $u \neq v$  and that  $[\alpha, \alpha'] \cap [\beta', \beta] = \emptyset$ .

Let the two paths from u to v along R be  $L_1, L_2$ . Starting at u traverse the sectors in the sequence  $S(L_1)$  (with ends  $R_1, R_3$ ), till the first point in  $V(R) \cap L_1$  that has a polygon  $R_2$  with  $[a_i, b_i], b_i > \alpha'$ . Going along  $L_2$ , arrive at a point in  $V(R) \cap L_2$  that has a polygon  $R_4$  with interval  $[a_j, b_j], b_j > \alpha'$ .

By the maximality assumption, we must have  $a_i, a_j > \alpha$ . As we move sequentially from  $R_1$ , each polygon shares an edge or vertex with the previous one, so their intervals intersect. Therefore, we

must have  $a_i, a_j < \alpha'$ . If  $b_i \geq \beta'$  (or similarly  $b_j \geq \beta'$ ), then

$$\beta - \alpha = \beta - b_i + b_i - a_i + a_i - \alpha < 3\delta.$$

So, assume  $b_i, b_i < \beta'$  and without loss of generality, assume  $b_i \leq b_i$ .

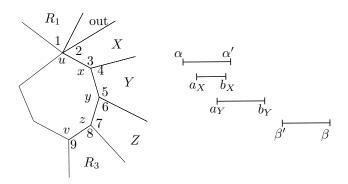


Figure 14: Example

For example, in the figure above, we traverse the sectors from u to v according to the numbering, i.e., sectors 1, 2 at u, then 3, 4 ar x and so on until we reach sector 4 which is in the polygon Y whose associated interval is the first to go beyond  $[\alpha, \alpha']$ . Notice that in the sequence each polygon shares an edge or vertex with the previous one.

Let  $\phi'$  be the restriction of  $\phi_1$  to  $[b_i, b_j]$ . By assumption,  $\phi'$  doesn't intersect  $\overline{i(R_1)}, \overline{i(R_3)}$ . If  $\phi' \cap L_1 \neq \emptyset$ , then let x be the last time (while going from  $b_i$  to  $b_j$ ) that it hits  $L_1$ . By compactness,  $\phi_1(x) \in L_1$  and by assumption  $\phi_1(x) \neq u, v$ . Otherwise, set  $x = b_i$ .

Next, if  $\phi' \cap L_2 \neq \emptyset$ , then set y to be the first time it hits  $L_2$  while going from x to  $b_j$ . Again,  $\phi_1(y) \in L_2$ , hence  $\phi_1(y) \neq u, v$ . Otherwise set  $y = b_j$ .

This way we obtain a path  $\phi$ , given by the restriction of  $\phi'$ , from a polygon  $R'_2$  with a vertex in  $L_1$  to a polygon  $R'_4$  with a vertex in  $L_2$ , say  $\phi(0) \in \overline{i(R'_2)}, \phi(1) \in \overline{i(R'_4)}$ . Take  $u_2 = \phi(0)$  if  $\phi(0) \in R$ , otherwise take it to be a vertex of  $R'_2$  in V(R). Similarly, take  $u_4 = \phi(1)$  if it is in R, otherwise take it to be a vertex of  $R'_4$  in V(R). Note that  $R'_2$ ,  $R'_4$  are different from  $R_1$ ,  $R_3$ . Observe

- 1. Both  $\psi, \phi$  lie outside R and the ends may lie on R or outside. We assumed  $\psi$  is outside, but  $\phi$  is outside by definition of R. If the ends do lie on R, then it is u, v or  $u_2, u_4$  respectively.
- 2. By assumptions on  $b_i, b_j, \phi$  doesn't intersect  $\overline{i(R_1)}, \overline{i(R_3)}$ . In particular,  $\phi$  cannot have u or v as its ends
- 3.  $\psi$  intersects only those  $\overline{i(Q_i)}$  that contain  $\phi_1(\alpha)$  or  $\phi_1(\beta)$ . Neither  $\overline{i(R'_2)}, \overline{i(R'_4)}$  have these points by the maximality conditions on  $\alpha', \beta'$  so  $\psi$  doesn't intersect  $\overline{i(R'_2)}, \overline{i(R'_4)}$ .
- 4. By 3, if  $\psi(0) = u$  ( $\psi(1) = v$ ), then  $R'_2, R'_4$  cannot have u (v) as a vertex.
- 5. Lastly, note that  $\phi, \psi$  do not intersect

We are in a possition to apply Theorem 5 and its extensions and we have a contradiction. Therefore, one of  $b_i, b_j$  is larger than  $\beta'$  and we conclude that  $\beta - \alpha < 3\delta$ .

**Theorem 6.** Suppose  $\phi_1, \phi_2$  are two arcs meeting only at the ends a, b. Let B be a circle or a polygon such that  $\phi_1 \cup \phi_2 \subset i(B)$ . Suppose there is a point  $c \in \phi_2 \setminus \{a, b\}$  with a path  $\phi$  going from c to a point outside B such that  $\phi \cap \phi_1 = \emptyset$ . Then given any  $x \notin \phi_1 \cup \phi_2$ , there is a polygonal cover  $\mathcal{P}$  (with each polygon inside B) of  $\phi_1$  such that x is in the unbounded component of  $\mathbb{R}^2 \setminus \mathcal{P}$ .

*Proof.* Let  $4\epsilon = \inf_{y \in \phi_1} |x - y| > 0$ . Take  $\epsilon', \delta$  as above. With  $\epsilon', \delta$  defined as above, around each point of  $\phi_1$  take open squares such that

• Each square has diameter  $< \epsilon'$ .

- The closure of inside of each square is inside B (possible because  $\phi_1 \subset i(B)$ ).
- The closure of inside of each square is disjoint from  $\phi$  (possible because  $\phi \cap \phi_1 = \emptyset$ ), in particular c.
- a, b are in different squares whose interiors have disjoint closures.

From this cover obtain a finite subcover  $\mathcal{S}$ . Refine  $\mathcal{S}$  and obtain a special covering  $\mathcal{P}$ . Now c is in the unbounded component of  $\mathcal{P}$  because o(B) is in the unbounded component of  $\mathcal{P}$  and we have a path, namely  $\phi$ , going from c to o(B) avoiding  $\mathcal{P}$ . Notice that  $\phi$  avoids the squares in  $\mathcal{S}$  and  $\mathcal{P}$  is obtained by shrinking these squares, so  $\phi$  avoids  $\mathcal{P}$  as well. It is clear that each polygon in  $\mathcal{P}$  is inside B.

By the choice of  $\epsilon, \epsilon', x$  is outside  $\mathcal{P}$ . Suppose x is in a bounded component C (outside  $\mathcal{P}$ ) with boundary polygon R. Continuing with the notation above, let  $Q_1, \ldots, Q_k$  be the polygons surrounding R and  $[a_i, b_i]$  be the interval associated to  $Q_i$ . By the lemma  $\beta - \alpha < 3\delta$  where  $\alpha, \beta$  are as defined above. Because c is in the unbounded component in the complement of  $\mathcal{P}, c \in o(R)$  and the lemma is applicable.

Now, pass a line through x and let  $p_1, p_2$  be the first time the two rays hit R (so x is between  $p_1, p_2$ ). Since  $x \in i(R)$ , both rays must hit R. Suppose  $p_1 \in Q_1$ . Since  $Q_1$  contains a point of  $\phi_1$  and has diameter less than  $\epsilon'$ , we know that  $p_1$  is within  $\epsilon'$  of some  $q_1 \in \phi_1$ . Similarly,  $p_2$  is within  $\epsilon'$  of some  $q_2 \in \phi_1$ . Since  $\beta - \alpha < 3\delta$ , we have  $|q_1 - q_2| < \epsilon$  and

$$|p_1 - p_2| < \epsilon' + \epsilon + \epsilon' < 3\epsilon.$$

Since x is on the line segment between  $p_1, p_2$ , it is a convex linear combination of  $p_1, p_2$ . So,

$$|x - q_1| \le |x - p_1| + |p_1 - q_1| \le |p_1 - p_2| + |p_1 - q_1| < 4\epsilon \Rightarrow 0 < \inf_{y \in \phi_1} |x - y| < 4\epsilon.$$

This contradicts the definition of  $4\epsilon$ , therefore x must be in the unbounded component in the complement of  $\mathcal{P}$ .

# 6 Arcs in discs

Let  $\overline{\mathbb{D}}$  be the open unit disc and  $J : [0,1] \to \mathbb{R}^2$  be an arc such that  $J(t) \in \mathbb{D} \, \forall \, t \in (0,1)$  and  $J(0), J(1) \in S^1$ . We will show that  $\overline{\mathbb{D}} \setminus J$  has two components, determined by the arcs in  $S^1 \setminus \{J(0), J(1)\}$ , with common boundary J.

#### 6.1 Separation theorem

Let  $A_1, A_2$  be the two arcs of  $S^1 \setminus \{J(0), J(1)\}$  and take  $c \in A_1, d \in A_2$ . Suppose there is a path  $\phi$  from c to d in  $\overline{\mathbb{D}}$  that avoids J. Let  $\epsilon$  be the minimum distance between  $\phi$  and J. Approximate  $\phi$  by a polygonal path P within  $\epsilon$  so that  $P \subset \overline{\mathbb{D}}$  ( $\overline{\mathbb{D}}$  is convex, so this is possible). Draw tangents at c, d to  $S^1$ . If they do not intersect, then use a perpendicular line outside  $S^1$  to join them. This way, we get a polygonal path between c, d lying outside the circle. Together with P we have a polygon Q.

It is easy to see that J(0) for example, has a ray going outside the circle that touches Q exactly once and is therefore inside Q. However, J(1) has a rays going outside the circle that do not intersect Q and is therefore outside. Since J is a path going from inside Q to the outside, it must intersect Q. Because J is inside the disc it must intersect P which is impossible by the choice of  $\epsilon$ . Therefore, c, d, hence  $A_1, A_2$ , are in different components of  $\overline{\mathbb{D}} \setminus J$ .

#### 6.2 Exactly two components

Let  $x \in \mathbb{D} \setminus J$ . Fix a  $c \in A_1$  and take a normal to  $S^1$  going outwards at c. The arcs  $J, A_1$  meet only at the ends (taking the closure of  $A_1$ ). Applying Theorem 6 (taking B to be a circle of radius 2 centered at the origin for example), we obtain a polygonal cover  $\mathcal{P}$  such that x is in the unbounded component in the complement of  $\mathcal{P}$ .

Then, there are paths from x to points far outside the circle that avoid  $\mathcal{P}$  and hence J. Since  $x \in \mathbb{D}$ , any such path must pass through  $S^1$  and therefore  $A_1$  or  $A_2$ . Thus, x is in the same component of  $\overline{\mathbb{D}} \setminus J$  as  $A_1$  or  $A_2$  and  $\overline{\mathbb{D}} \setminus J$  has exactly two components.

# 6.3 Boundary

We have two components,  $C_1, C_2$  corresponding to  $A_1, A_2$  respectively. We will show that J is part of the boundary of  $C_1$ . First,  $J(0), J(1) \in \partial C_1, \partial C_2$  because any neighbourhood of both these points intersects  $A_1, A_2$  and  $\partial C_1, \partial C_2$  are closed.

**Lemma 8.** Let  $R \subset \mathbb{R}^2$  with Int(R),  $Ext(R) \neq \emptyset$ , then any path from Int(R) to Ext(R) intersects  $\partial R$ 

*Proof.* Suppose  $\phi: [0,1] \to \mathbb{R}^2$  is a path from  $x = \phi(0) \in Int(R)$  to  $y = \phi(1) \in Ext(R)$ . There is a neighbourhood around x that lies in Int(R), hence a t > 0 such that  $\phi([0,t)) \subseteq Int(R)$ . Take

$$t_0 = \sup_{[0,1]} \{t : \phi([0,t)) \subseteq Int(R)\}.$$

If  $\phi(t_0) \in Int(R)$ , then  $t_0 \neq 1$  and we can increase  $t_0$ . If  $\phi(t_0) \in Ext(R)$ , there is a  $t < t_0$  such that  $\phi((t,t_0)) \subseteq Ext(R)$ . Both contradict the definition of  $t_0$ , hence  $\phi(t_0) \in \partial R$ .

By this lemma, we conclude that  $\partial R$  disconnects Int(R) from Ext(R).

**Corollary 6.** Let  $R \subset \mathbb{R}^2$  with Int(R),  $Ext(R) \neq \emptyset$ . Suppose there is a path from  $x \in Int(R)$  to  $y \in Ext(R)$  in  $(\mathbb{R}^2 \setminus \partial R) \cup S$  for some subset S of the plane. Then  $S \cap \partial R \neq \emptyset$ .

Suppose  $x \in J$  is not in  $\partial C_1$ . Then there is a neighbourhood of x in J that is not in  $\partial C_1$ . We will show that adding this neighbourhood to  $\overline{\mathbb{D}} \setminus J$  connects  $C_1, C_2$ . Essentially, we want to show that if  $J' = J([0,1] \setminus (t_1,t_2))$ , then  $\overline{\mathbb{D}} \setminus J'$  is connected, where  $0 < t_1 < t_2 < 1$ .

Around each point of  $J_1 = J([0, t_1])$  take open squares whose

- closures avoid  $J_2 = J([t_2, 1])$  and
- for  $0 < t \le t_1$ , the closure is contained in  $\mathbb{D}$ .

Obtain a finite subcover S of  $J_1$ . In S, there is only one square that intersects  $S^1$ . We now remove singular vertices in such a way as to obtain a cover of  $J_1$  by polygons P such that

- The boundary of polygons in  $\mathcal{P}$  do not intersect  $J_2$ .
- Every point of  $J_1$  lies inside at least one of the new polygons.
- Only one polygon intersects  $S^1$  at exactly two points.

This is true for S. Now the vertices that can be singular are inside C, as only one square in S goes outside, so points outside are regular. Since we are going to shrink the polygons, it is clear that the boundaries of the resulting polygons do not intersect  $J_2$ .

Given a singular vertex v, if  $v \in J_1$ , then take a zone that lies inside one of the polygons, else take a zone that avoids  $J_1$ . Ensure that the zone lies inside the circle. Since the new edges and the consequent loss of the interiors happen inside this zone, the new edges do not intersect the circle and  $J_1$  stays inside the polygons. The intersection with  $S^1$  still has the original two points.

Since  $J_1$  is connected, the intersection graph of (the modified)  $\mathcal{S}$  is connected. So the unbounded component of  $\mathcal{S}$  has a polygonal boundary P which has edges going into the disc  $\mathbb{D}$ . Since every point of  $J_1$  lies inside some  $Q \in \mathcal{P}, P \cap J_1 = \emptyset$ . By construction  $P \cap J_2 = \emptyset$ .

In  $\mathcal{P}$  there is exactly one polygon that contains J(0) and hence intersects  $A_1, A_2$ . We know that there are edges of P that go into  $\mathbb{D}$ , so these edges give a path from a point in  $A_1$  to one in  $A_2$  that lies entirely in  $\mathbb{D}$  and avoids  $J_1 \cup J_2$ .

It lies entirely in  $\mathbb{D}$  because  $P \cap S^1$  has only two points. So,  $\overline{\mathbb{D}} \setminus (J_1 \cup J_2)$  is connected, therefore  $J((t_1, t_2)) \cap \partial C_1 \neq \emptyset$ . Furthermore, this path along P must intersect  $J((t_1, t_2))$  and the first point of intersection is then arcwise accessible from  $A_1$ .

We conclude that  $J \subseteq \partial C_1, \partial C_2$  and that any open segment of J has a point accessible from  $A_1$ , i.e., an x with an arc from a point in  $A_1$  to x that avoids  $J \setminus \{x\}$ .

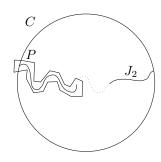


Figure 15:  $J \subset \partial C_1 \cap \partial C_2$ 

# 7 Jordan curve theorem

Let J be a Jordan curve. Since J is bounded, it is inside a circle C. Take two different points on J and extend the line between them to a chord of the circle. We can talk of the "first" and "last" points on this chord, which corresponds to the points of J that lie farthest apart on this chord. Let these points be a, b and the ends of the chord c, d with a being between c, b and b between a, d. The line segments ca, bd are disjoint and  $ac \cap J = \{a\}, bd \cap J = \{b\}.$ 

The curve J, being homeomorphic to  $S^1$ , gives us two arcs from a to b. Together with the segments ac, bd, we get two arcs  $J_1, J_2$  from c to d. They intersect only on the segments ac, bd. Denote by  $\phi_1, \phi_2$  the open arcs from a to b induced by J. Let the arcs of  $C \setminus \{c, d\}$  be  $A_1, A_2$ .

For  $x \in ca$ ,  $x \neq a$ , c, there is a ball U around x that avoids J and the line segment bd. Because  $U \cap (J_1 \cup J_2) = U \cap ac$  is a diameter in  $U, U \setminus (J_1 \cup J_2)$  has two components. Around c, there is an open ball that avoids J, bd. This ball has three components of which one lies outside the circle C. We see that all three parts are connected in the complement of  $J_1 \cup J_2$ . Similar results hold true for points on bd different from b.

# 7.1 At least two components

In  $\overline{\mathbb{D}} \setminus J_1$  let the components of  $A_1, A_2$  be  $C_1, C_2$  respectively. We know that  $\phi_2$  is connected in  $\overline{\mathbb{D}} \setminus J_1$ , so  $\phi_2$  must lie in one of these components, say  $C_2$ . Now, a point of  $\phi_1$  is accessible from  $A_1$  so this path, say  $\psi$ , therefore lies in  $C_1$ . So,  $\psi \cap \phi_2 = \emptyset$  and  $\psi \cap J_2 = \emptyset$  giving us  $\psi \subset \overline{\mathbb{D}} \setminus J_2$ .

In  $\overline{\mathbb{D}} \setminus J_2$ , let the components corresponding to  $A_1, A_2$  be  $D_1, D_2$  respectively. Since  $\psi$  is a path in  $\overline{\mathbb{D}} \setminus J_2$ , a point of  $\phi_1$  is in  $D_1$  via  $\psi$ . We conclude that  $\phi_1 \subset D_1$  as  $\phi_1$  is connected in  $\overline{\mathbb{D}} \setminus J_2$ .

Similarly, we have  $C_1 \subseteq D_1$  and  $D_2 \subseteq C_2$ . For  $x \in \phi_1$ , choose an open U such that  $x \in U \subset D_1$ . Since  $\phi_1$  is contained in the boundaries of  $C_1, C_2$ , this neighbourhood has points from both  $C_1, C_2$ . In particular, U has a point from  $C_2 \cap D_1$ , so  $C_2 \cap D_1 \neq \emptyset$ . Note that  $C_1 \cap D_2 = \emptyset$  as  $C_1 \subseteq D_1$ . Thus,

$$\overline{\mathbb{D}} \setminus (J_1 \cup J_2) = C_1 \sqcup (C_2 \cap D_1) \sqcup D_2.$$

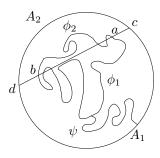


Figure 16: J inside C

Let  $x \in C_2 \cap D_1$  and suppose  $\phi$  is a path from x to a point  $y \in C$  that avoids J. If  $\phi$  goes outside the circle C, look at the first time it hits C (since x is inside, it must hit C at least once). With this as our new y, we may assume that  $\phi$ , except for y, lies inside C.

Case 1:  $y \in A_1$ 

Since  $x \in C_2$  and  $\phi$  is inside C, we have  $\phi \cap J_1 \neq \emptyset$ . Since  $\phi \cap J = \emptyset$ , it must intersect one of ca, db, say ac. Let  $z \in ac$  be the first point of intersection. By the choice of  $y, z \neq c$ .

Parametrize the restricted path by [0,1] with  $\phi(0) = x, \phi(1) = z$ . Take a ball U around z, disjoint from J and a  $t_1 < 1$  such that  $\phi(t_1) \in U$ . By the choice of z, for any  $t < 1, \phi(t) \notin J_1$  and since  $\phi(t) \notin J$ , we have  $\phi(t) \notin J_1 \cup J_2$ . Let  $\phi'$  be the restriction of  $\phi$  between  $x, \phi(t_1)$ .

 $U\setminus (J_1\cup J_2)$  has two components that lie inside the circle, say  $H_1,H_2$ . Because  $z\in \partial C_1\cap \partial C_2$ , one of these lies in  $C_1$  and the other in  $C_2$ , say  $H_1\subset C_1$ . Because  $C_1\subset D_1$ , we have  $H_1\subset D_1,H_2\subset D_2$ . If  $\phi(t_1)\in H_1\subset C_1$  or  $\phi(t_1)\in H_2$ , then  $\phi'$  must meet  $J_1,J_2$  respectively, which is impossible.

Case 2: y = c

Take a ball U around y that avoids J, bd. As mentioned above,  $U \setminus (J_1 \cup J_2)$  has three components, of which one lies outside C. Parametrize  $\phi$  by [0,1] with  $\phi(0) = x, \phi(1) = y$  and pick a t < 1 such that  $\phi(t) \in U$ . We know that  $\phi(t)$  must either be on the radius of U induced by line ac, or in one of the two components of  $U \setminus (J_1 \cup J_2)$  inside C.

If it lies on the radius, then  $\phi$  intersects ac and we continue as in case 1. If it lies in one of the other components, then as in case 1,  $\phi(t)$  is in  $C_1$  or in  $D_2$  which also impossible.

All other cases, i.e.,  $y \in A_2, y = d$  can be treated similarly. We conclude that there is no path from x to a point in C avoiding J.

For  $x \in C_1$  there is a path from x to points on  $A_1$  avoiding  $J_1$ . Since  $\phi_2 \in C_2$ , such paths also avoid  $\phi_2$  and hence J. Similarly, for points in  $D_2$ , there are paths to  $A_2$  that avoid J. Lastly, for points on ac, bd different from a, b the line itself is a path to the circle that avoids J.

So, we have the "inside" of J which is the set  $C_2 \cap D_1$  and the "outside", which is everything else in the complement of J. Fix a point p outside the circle C. If x is on or outside the circle, there is a path from x to p avoiding the inside of C and hence J. If  $x \in C_1 \cup D_2$  or  $x \in ac \cup bd, x \neq a, b$  first go to C and then to p. So, the outside of J is path connected.

Let i(J) denote the inside and o(J) the outside. We have shown above that there is no path from a point in i(J) to one in o(J) that avoids J, so  $\mathbb{R}^2 \setminus J$  has at least two components.

## 7.2 Boundary

Next, we show that all components in the complement of J have J as the boundary. Since the boundary is closed, it suffices to show that all components have  $\phi_1 \cup \phi_2$  as the boundary. For points in  $\phi_1$ , take neighbourhoods that avoid  $\phi_2$ . We know that these neighbourhoods contain points from  $C_1$ , hence from o(J). It follows that  $J = \partial o(J)$ .

Let  $x \in i(J)$  and  $y \in \phi_1$ . We will show that in any neighbourhood of y, there is a point that is accessible from the component  $C_x$  of x in the complement of J. Then y is in the boundary of this component.

Parametrize  $\phi_1$  by (0,1) with  $\lim_{t\to 0} \phi_1(t) = a$ ,  $\lim_{t\to 1} \phi_1(t) = b$ . Suppose  $\phi_1(t_1) = y$  and  $t_1 \in (t_0,t_2) \subset (0,1)$ . We have arcs  $l_1$  via  $\phi_1$  and  $l_2$  through  $\phi_2$  between points  $a_1 = \phi_1(t_0)$ ,  $b_1 = \phi_1(t_2)$ .

Some point z in the open  $l_1$  is accessible from  $A_1$ , through some arc  $\psi$ . This arc doesn't intersect J, hence  $\overline{l_2}$ . We can apply Theorem 6 (with B=C) to conclude that there is a polygonal covering  $\mathcal{P}$  of  $l_2$  such that x is in the unbounded component in the complement of  $\mathcal{P}$ . Thus, there are polygonal paths from x to any point outside the circle avoiding  $\mathcal{P}$  and its inside, hence avoiding  $l_2$ .

Let  $\psi'$  be one such path. We chose  $x \in i(J)$ , so  $\psi'$  must intersect J. Since it cannot intersect  $\overline{l_2}$ , it must intersect the open  $l_1$ . Suppose  $\psi'$  is parametrized by [0,1] with  $\psi'(0) = x$ . Let  $t_3 > 0$  be the first time  $\psi'$  intersects (closed)  $l_1$ , then observe that

$$\psi'([0,t_3)) \cap J = \emptyset.$$

Therefore,  $\psi'|_{[0,t_3)}$  is a connected path in the complement of J, hence lies in  $C_x$ . Since  $\psi'(t_3) \in l_1$ , it follows that the neighbourhood  $\phi_1((t_0,t_2))$  of y has points accessible from  $C_x$  and from o(J) which lies exterior to  $C_x$ .

We conclude that  $y \in \partial C_x$  and that any neighbourhood has a point (arcwise) accessible from x. It follows that  $\phi_1, \phi_2$  and hence J are in the boundary of  $C_x$ . Since  $\partial C_x \subseteq J$ , we have  $J = \partial C_x$ .

# 7.3 Two components

This subsection is based on [4]. So far we have shown that if J is a Jordan curve, then  $\mathbb{R}^2 \setminus J$  has one unbounded component, o(J) and bounded components in i(J). We know that all components have J as the boundary. All that is left is to show that there is exactly one bounded component.

**Lemma 9.** Let  $\gamma_1, \gamma_2$  be two Jordan curves. If

$$\gamma_2 \cap i(\gamma_1) \neq \emptyset \text{ and } \gamma_2 \cap o(\gamma_1) \neq \emptyset,$$

then

$$\gamma_1 \cap i(\gamma_2) \neq \emptyset$$
 and  $\gamma_1 \cap o(\gamma_2) \neq \emptyset$ .

*Proof.* First take  $x \in \gamma_2 \cap i(\gamma_1)$  and  $y \in \gamma_2 \cap o(\gamma_1)$ . Choose neighbourhoods  $U_x, U_y$  of x, y respectively such that  $U_x \subset i(\gamma_1), U_y \subset o(\gamma_1)$ . Observe that  $U_x \cap U_y = \emptyset$ .

Since x, y are in the boundary of every component of the complement of  $\gamma_2$ , pick  $z_1 \in U_x, z_2 \in U_y$  that lie in the same component. There is a path from  $z_1$  to  $z_2$  that avoids  $\gamma_2$ . Since  $z_1 \in i(\gamma_1), z_2 \in o(\gamma_1)$ , such a path intersects  $\gamma_1$ . However, this path is in a component of  $\mathbb{R}^2 \setminus \gamma_2$ , therefore  $\gamma_1$  intersects every component in the complement of  $\gamma_2$ .

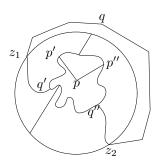


Figure 17: Constructing J'

Take  $p \in i(J)$  and draw two rays through it. Both rays intersect J because  $p \in i(J)$  and J is bounded. Let  $p', p'', p' \neq p''$  be the first points of intersection. Let  $q' \in \phi_1, q'' \in \phi_2$  be accessible from  $A_1, A_2$  respectively, say there is an arc from  $z_1 \in A_1$  to q' and  $z_2 \in A_2$  to q''. Fix a q outside the circle and take disjoint polygonal paths to  $z_1, z_2$  outside the circle.

Together we get the Jordan curve  $J': q \to z_1 \to q' \to p' \to p \to p'' \to q'' \to z_2 \to q$ . Since  $p \in i(J), q \in o(J)$ , by the lemma above, J' must intersect every component in the complement of J. However, by the choice of p', p'', the  $p' \to p \to p''$  arcs lie in the component of p and the other arcs lie on or outside J. So, there can be only two components, that of p and the outside of J. In particular the inside of J, i(J) is a connected open set.

In conclusion,  $\mathbb{R}^2 \setminus J$  has two connected components, one bounded and the other unbounded, both having J as the boundary. This completes the proof of the Jordan Curve Theorem.

# 8 Epilogue

In this article we have given proofs of some intuitive results on finite collection of polygons. Using these results, we proved certain theorems on arcs in the plane which we later used to give a proof of the Jordan Curve Theorem. However, unlike most other proofs, we did not need the complete Jordan Arc Theorem. What we used was a special case when the arcs are parts of Jordan Curves.

Using stronger approximation theorems, we may approximate an arc with a polygon and then envelope this polygonal arc to prove the connectedness of the complement. Proofs of the arc theorem can be found in the references listed.

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