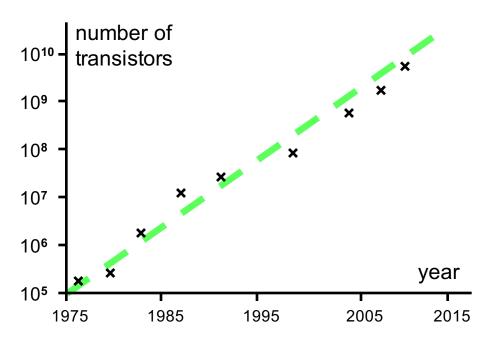
Introduction to Quantum Information Processing QIC 710 / CS 768 / PH 767 / CO 681 / AM 871

Lectures 1–3 (2016)

Richard Cleve
DC 2117 / QNC 3129
cleve@uwaterloo.ca

Moore's Law



Following trend ... will reach atomic scale

Quantum mechanical effects occur at this scale:

- Measuring a state (e.g. position) disturbs it
- Quantum systems sometimes seem to behave as if they are in several states at once
- Different evolutions can interfere with each other

Quantum mechanical effects

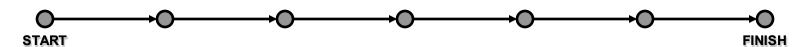
Additional nuisances to overcome?
or
New types of behavior to make use of?

[Shor, 1994]: polynomial-time algorithm for factoring integers on a *quantum computer*

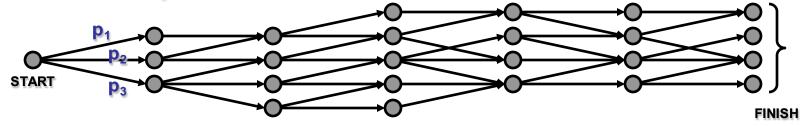
This could be used to break most of the existing public-key cryptosystems on the internet, such as RSA

Nontechnical schematic view of quantum algorithms

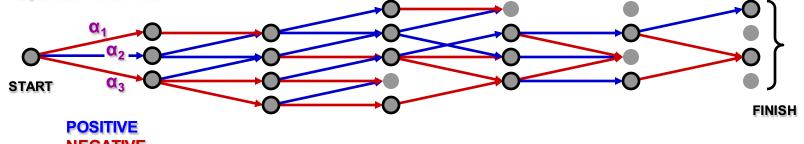
Classical deterministic:



Classical probabilistic:



Quantum:



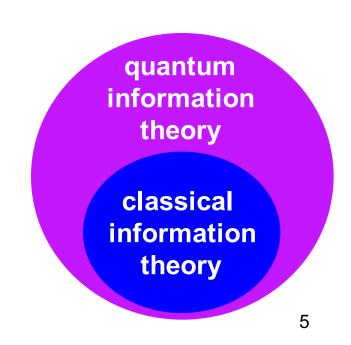
Also with quantum information:

- Faster algorithms for several combinatorial search problems and for evaluating game trees (polynomial speed-up)
- Fast algorithms for simulating quantum mechanical systems
- Communication savings in distributed systems
- · Various notions of "quantum proof systems"

Quantum information theory:

generalization of notions in classical information theory, such as

- entropy
- compression
- error-correcting codes
- correlation entanglement



This course covers the basics of quantum information processing

Topics include:

- Introduction to the quantum information framework
- Quantum algorithms (including Shor's factoring algorithm and Grover's search algorithm)
- Computational complexity theory
- Density matrices and quantum operations on them
- Distance measures between quantum states
- Entropy and noiseless coding
- Error-correcting codes and fault-tolerance
- Non-locality
- Cryptography

General course information

Background:

- classical algorithms and complexity
- linear algebra
- probability theory

Evaluation:

- 5 assignments (12% each)
- project presentation (40%)

Recommended texts:

An Introduction to Quantum Computation, P. Kaye, R. Laflamme, M. Mosca (Oxford University Press, 2007). Primary reference.

Quantum Computation and Quantum Information, Michael A. Nielsen and Isaac L. Chuang (Cambridge University Press, 2000). Secondary reference.

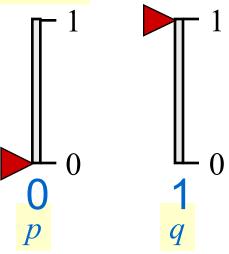
Basic framework of quantum information

Types of information

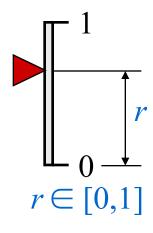
is quantum information digital or analog?

probabilistic

digital:



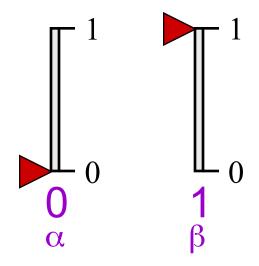




- Probabilities $p, q \ge 0, p + q = 1$
- Cannot explicitly extract p and q (only statistical inference)
- In any concrete setting, explicit state is 0 or 1
- Issue of precision (imperfect ok)

- Can explicitly extract r
- Issue of precision for setting & reading state
- Precision need not be perfect to be useful

Quantum (digital) information



- Amplitudes $\alpha, \beta \in \mathbb{C}, |\alpha|^2 + |\beta|^2 = 1$
- Aπρικας
 Explicit state is [α] β
- Cannot explicitly extract α and β
 (only statistical inference)
- Issue of precision (imperfect ok)

Dirac bra/ket notation

Ket: $|\psi\rangle$ always denotes a column vector, e.g. $\begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_d \end{bmatrix}$

$$egin{bmatrix} lpha_1 \ lpha_2 \ dots \ lpha_d \end{bmatrix}$$

Convention:
$$|0\rangle = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$
 $|1\rangle = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$

Bra: $\langle \psi |$ always denotes a row vector that is the conjugate transpose of $|\psi\rangle$, e.g. $[\alpha_1^* \ \alpha_2^* \ \dots \ \alpha_d^*]$

Bracket: $\langle \phi | \psi \rangle$ denotes $\langle \phi | \cdot | \psi \rangle$, the inner product of $|\phi\rangle$ and $|\psi\rangle$

Basic operations on qubits (I)

- (0) Initialize qubit to $|0\rangle$ or to $|1\rangle$
- (1) Apply a unitary operation U (unitary means $U^{\dagger}U = I$)

conjugate transpose

Examples:

Rotation:
$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

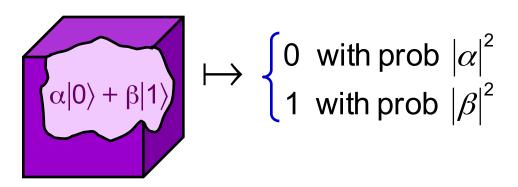
NOT (bit flip):
$$\sigma_x = X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

Hadamard:
$$H = \frac{1}{\sqrt{2}}\begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$
 Phase flip: $\sigma_z = Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

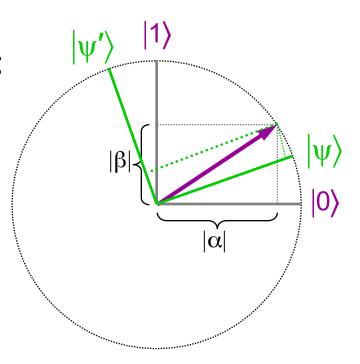
Phase flip:
$$\sigma_z = Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Basic operations on qubits (II)

(2) Apply a "standard" measurement:



... and the quantum state collapses



(*) There exist **other** quantum operations, but they can all be "simulated" by the aforementioned types

Example: measurement with respect to a different orthonormal basis $\{|\psi\rangle, |\psi'\rangle\}$

Distinguishing between two states

Let
$$\int$$
 be in state $|+\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$ or $|-\rangle = \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle)$

Question 1: can we distinguish between the two cases?

Distinguishing procedure:

- 1. apply H
- 2. measure

This works because $H|+\rangle = |0\rangle$ and $H|-\rangle = |1\rangle$

Question 2: can we distinguish between $|0\rangle$ and $|+\rangle$?

Since they're not orthogonal, they *cannot* be *perfectly* distinguished ...

n-qubit systems

Probabilistic states:

$$\forall x, p_x \ge 0$$

$$\forall x, \ p_x \ge 0$$

$$\sum_{x} p_x = 1$$

$$p_{000}$$

$$p_{001}$$

$$p_{010}$$

$$p_{011}$$

$$p_{\mathrm{100}}$$

$$p_{\mathrm{101}}$$

$$p_{110}$$

$$\rho_{111}$$

Quantum states:

$$\forall x, \ \alpha_{x} \in \mathcal{C}$$

$$\forall x, \ \alpha_x \in \mathbb{C}$$

$$\sum_{x} |\alpha_x|^2 = 1$$

$$\alpha_{000}$$

$$lpha_{
m 00\,1}$$

$$\alpha_{010}$$

$$\alpha_{\mathsf{011}}$$

$$\alpha_{100}$$

$$\alpha_{101}$$

$$\alpha_{110}$$

$$\alpha_{111}$$

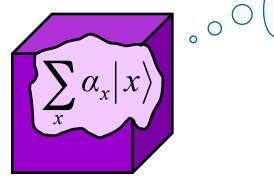
Dirac notation: $|000\rangle$, $|001\rangle$, $|010\rangle$, ..., $|111\rangle$ are basis vectors,

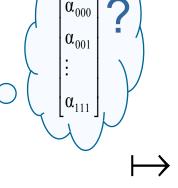
so
$$|\psi\rangle = \sum_{x} \alpha_{x} |x\rangle$$

Operations on *n*-qubit states

Unitary operations: $\sum_{x} \alpha_{x} |x\rangle \mapsto U(\sum_{x} \alpha_{x} |x\rangle)$ $(U^{\dagger}U=I)$





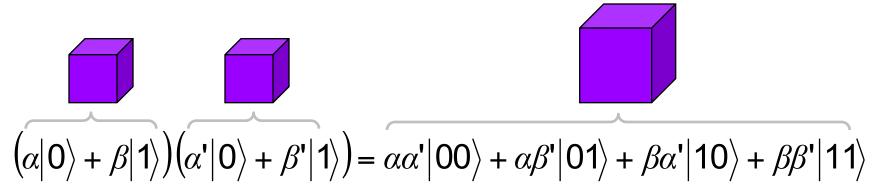


Measurements:
$$\begin{cases} 000 & \text{with prob } |\alpha_{000}|^2 \\ 001 & \text{with prob } |\alpha_{001}|^2 \\ \vdots & \vdots & \vdots \\ 111 & \text{with prob } |\alpha_{111}|^2 \end{cases}$$

... and the quantum state collapses

(Tensor) product states

Two ways of thinking about two qubits:

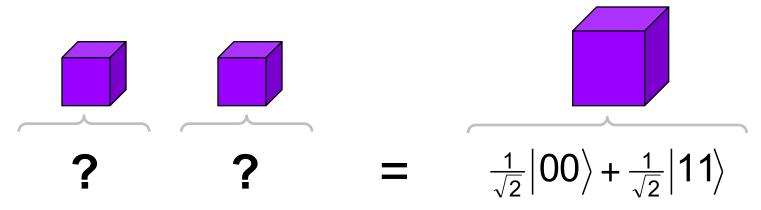


This is a *product* state (tensor/Kronecker product):

$$\begin{bmatrix} A \end{bmatrix} \otimes \begin{bmatrix} B \end{bmatrix} = \begin{bmatrix} A_{11}[B] & A_{12}[B] & \cdots & A_{1n}[B] \\ A_{21}[B] & A_{22}[B] & \cdots & A_{2n}[B] \\ \vdots & \vdots & \ddots & \vdots \\ A_{m1}[B] & A_{m2}[B] & \cdots & A_{mn}[B] \end{bmatrix}$$

Entanglement

What about the following state?



This cannot be expressed as a product state!

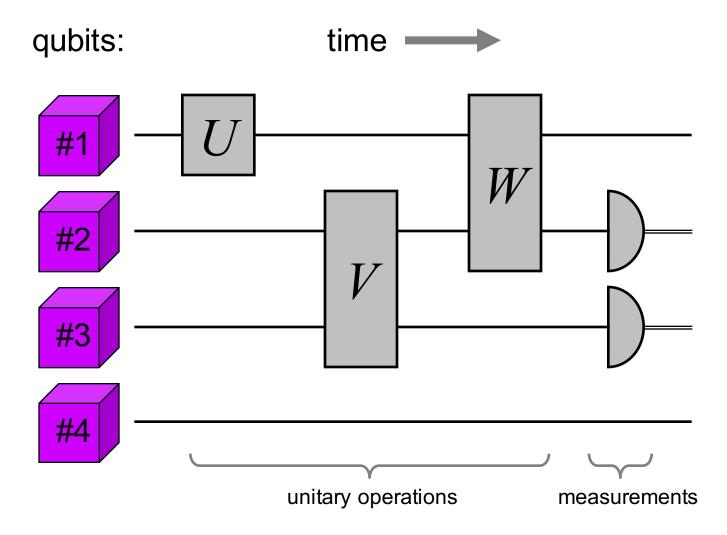
It's an example of an entangled state

... which can exhibit interesting "nonlocal" correlations



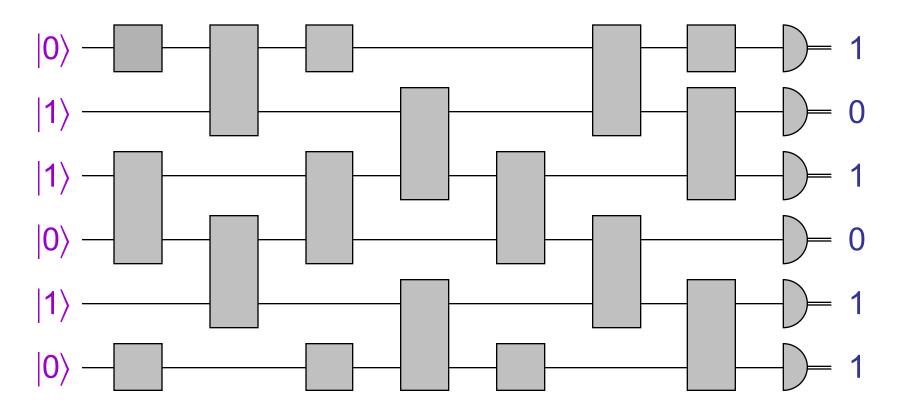


Structure among subsystems



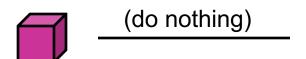
Quantum computations

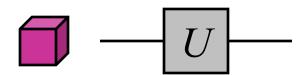
Quantum circuits:



[&]quot;Feasible" if circuit-size scales polynomially

Example of a one-qubit gate applied to a two-qubit system





$$U = \begin{bmatrix} u_{00} & u_{01} \\ u_{10} & u_{11} \end{bmatrix}$$

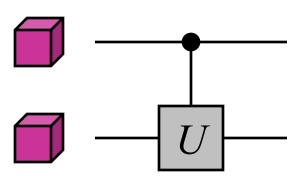
Maps basis states as:

$$\begin{aligned} &|0\rangle|0\rangle \rightarrow |0\rangle U|0\rangle \\ &|0\rangle|1\rangle \rightarrow |0\rangle U|1\rangle \\ &|1\rangle|0\rangle \rightarrow |1\rangle U|0\rangle \\ &|1\rangle|1\rangle \rightarrow |1\rangle U|1\rangle \end{aligned}$$

The resulting 4x4 matrix is

$$I \otimes U = \begin{bmatrix} u_{00} & u_{01} & 0 & 0 \\ u_{10} & u_{11} & 0 & 0 \\ 0 & 0 & u_{00} & u_{01} \\ 0 & 0 & u_{10} & u_{11} \end{bmatrix}$$

Controlled-U gates



Maps basis states as:

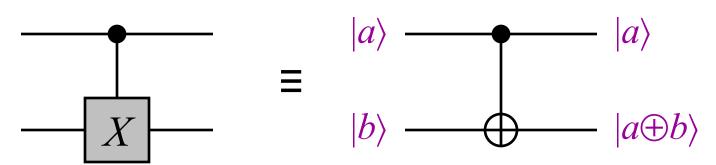
$$\begin{aligned} &|0\rangle|0\rangle \rightarrow |0\rangle|0\rangle \\ &|0\rangle|1\rangle \rightarrow |0\rangle|1\rangle \\ &|1\rangle|0\rangle \rightarrow |1\rangle U|0\rangle \\ &|1\rangle|1\rangle \rightarrow |1\rangle U|1\rangle \end{aligned}$$

$$U = \begin{bmatrix} u_{00} & u_{01} \\ u_{10} & u_{11} \end{bmatrix}$$

Resulting 4x4 matrix is controlled-U =

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & u_{00} & u_{01} \\ 0 & 0 & u_{10} & u_{11} \end{bmatrix}$$

Controlled-NOT (CNOT)



Note: "control" qubit may change on some input states

$$|0\rangle + |1\rangle \qquad \qquad |0\rangle - |1\rangle$$

$$|0\rangle - |1\rangle \qquad \qquad |0\rangle - |1\rangle$$

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Lecture 2 (2016)

Richard Cleve
DC 2117 / QNC 3129
cleve@uwaterloo.ca

Superdense coding

How much classical information in n qubits?

 2^{n} -1 complex numbers apparently needed to describe an arbitrary n-qubit pure quantum state:

$$\alpha_{000}|000\rangle + \alpha_{001}|001\rangle + \alpha_{010}|010\rangle + ... + \alpha_{111}|111\rangle$$

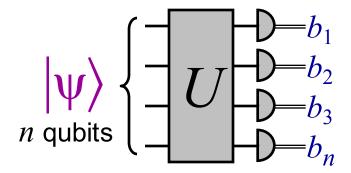
Does this mean that an exponential amount of classical information is somehow "stored" in n qubits?

Not in an operational sense ...

For example, Holevo's Theorem (from 1973) implies: one cannot convey more than n classical bits of information in n qubits

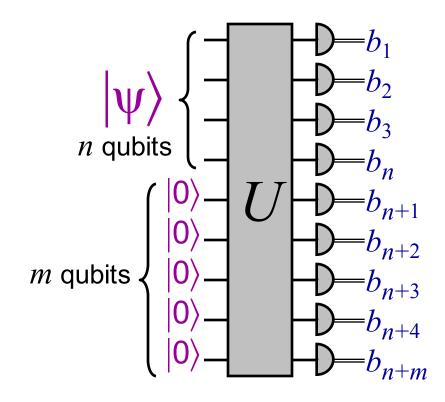
Holevo's Theorem

Easy case:



 $b_1b_2 \dots b_n$ certainly cannot convey more than n bits!

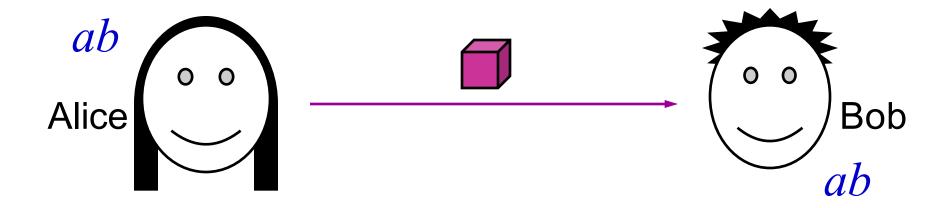
Hard case (the general case):



The difficult proof is beyond the scope of this course

Superdense coding (prelude)

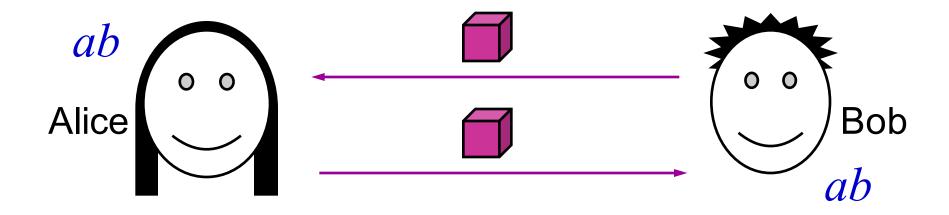
Suppose that Alice wants to convey *two* classical bits to Bob sending just *one* qubit



By Holevo's Theorem, this is *impossible*

Superdense coding

In *superdense coding*, Bob is allowed to send a qubit to Alice first



How can this help?

How superdense coding works

- 1. Bob creates the state $|00\rangle + |11\rangle$ and sends the *first* qubit to Alice
- 2. Alice: if a = 1 then apply X to qubit if b = 1 then apply Z to qubit send the qubit back to Bob

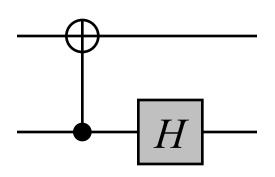
$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \qquad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

ab	state	
00	$ 00\rangle + 11\rangle$	Bell basis
01	$ 00\rangle + 11\rangle$ $ 00\rangle - 11\rangle$	
10	$ 01\rangle + 10\rangle$	
11	$ 01\rangle - 10\rangle$	

3. Bob measures the two qubits in the *Bell basis*

Measurement in the Bell basis

Specifically, Bob applies



input	output
$ 00\rangle + 11\rangle$	00⟩
$ 01\rangle + 10\rangle$	01⟩
$ 00\rangle - 11\rangle$	10⟩
$ 01\rangle - 10\rangle$	− 11⟩

to his two qubits ...

and then measures them, yielding *ab*

This concludes superdense coding

Teleportation

Recap

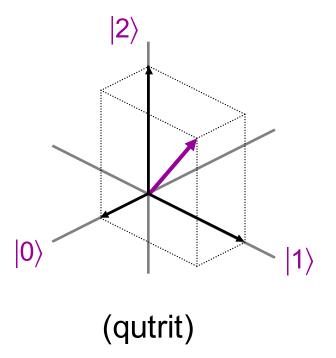
- *n*-qubit quantum state: 2ⁿ-dimensional unit vector
- Unitary op: $2^n \times 2^n$ linear operation U such that $U^{\dagger}U = I$ (where U^{\dagger} denotes the conjugate transpose of U)

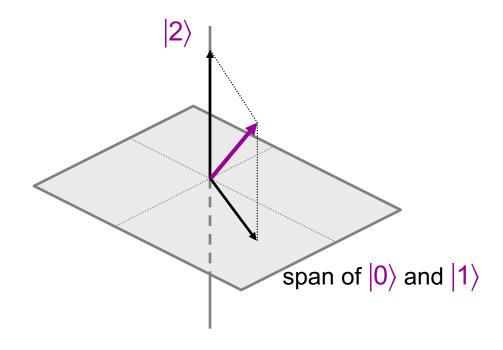
```
U|0000\rangle = the 1st column of U U|0001\rangle = the 2nd column of U the columns of U : : : : : are orthonormal U|1111\rangle = the (2^n)^{\text{th}} column of U
```

Incomplete measurements (I)

Measurements up until now are with respect to orthogonal one-dimensional subspaces:

The orthogonal subspaces can have other dimensions:





Incomplete measurements (II)

Such a measurement on $\alpha_0|0\rangle + \alpha_1|1\rangle + \alpha_2|2\rangle$

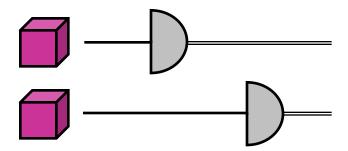
Measuring the first qubit of a two-qubit system

$$\alpha_{00}|00\rangle + \alpha_{01}|01\rangle + \alpha_{10}|10\rangle + \alpha_{11}|11\rangle \quad \left\{ \begin{array}{c} \boxed{} \\ \boxed{} \end{array} \right.$$

Defined as the incomplete measurement with respect to the two dimensional subspaces:

- span of $|00\rangle$ & $|01\rangle$ (all states with first qubit 0), and
- span of |10> & |11> (all states with first qubit 1)

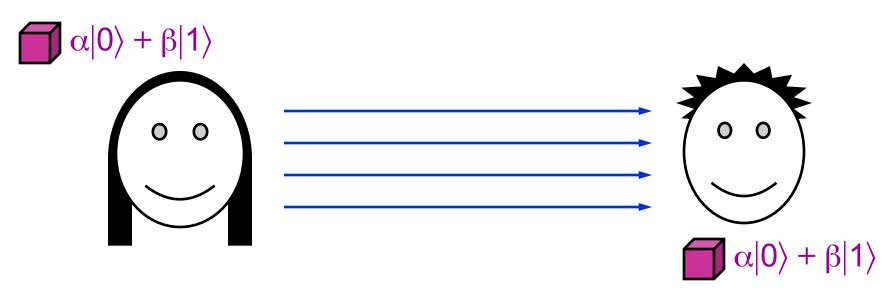
Result is
$$\begin{cases} 0, \ \alpha_{00}|00\rangle + \alpha_{01}|01\rangle & \text{with prob } |\alpha_{00}|^2 + |\alpha_{01}|^2 \\ 1, \ \alpha_{10}|10\rangle + \alpha_{11}|11\rangle & \text{with prob } |\alpha_{10}|^2 + |\alpha_{11}|^2 \end{cases}$$



Easy exercise: show that measuring the first qubit and *then* measuring the second qubit gives the same result as measuring both qubits at once

Teleportation (prelude)

Suppose Alice wishes to convey a qubit to Bob by sending just classical bits

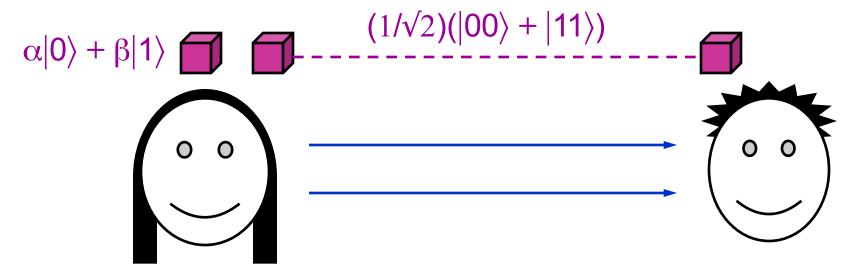


If Alice **knows** α and β , she can send approximations of them—but this still requires infinitely many bits for perfect precision

Moreover, if Alice does **not** know α or β , she can at best acquire **one bit** about them by a measurement

Teleportation scenario

In teleportation, Alice and Bob also start with a Bell state



and Alice can send two classical bits to Bob

Note that the initial state of the three qubit system is:

$$(1/\sqrt{2})(\alpha|0\rangle + \beta|1\rangle)(|00\rangle + |11\rangle)$$

= $(1/\sqrt{2})(\alpha|000\rangle + \alpha|011\rangle + \beta|100\rangle + \beta|111\rangle)$

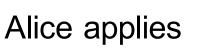
How teleportation works

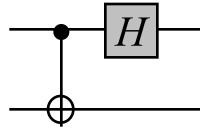


Initial state:
$$(\alpha|0\rangle + \beta|1\rangle)(|00\rangle + |11\rangle)$$
 (omitting the $1/\sqrt{2}$ factor)
$$= \alpha|000\rangle + \alpha|011\rangle + \beta|100\rangle + \beta|111\rangle$$
$$= \frac{1}{2}(|00\rangle + |11\rangle)(\alpha|0\rangle + \beta|1\rangle)$$
$$+ \frac{1}{2}(|01\rangle + |10\rangle)(\alpha|1\rangle + \beta|0\rangle)$$
$$+ \frac{1}{2}(|00\rangle - |11\rangle)(\alpha|0\rangle - \beta|1\rangle)$$
$$+ \frac{1}{2}(|01\rangle - |10\rangle)(\alpha|1\rangle - \beta|0\rangle)$$

Protocol: Alice measures her two qubits *in the Bell basis* and sends the result to Bob (who then "corrects" his state)₄₀

What Alice does specifically





to her two qubits, yielding:

$$\begin{cases} \frac{1}{2}|00\rangle(\alpha|0\rangle+\beta|1\rangle) \\ +\frac{1}{2}|01\rangle(\alpha|1\rangle+\beta|0\rangle) \\ +\frac{1}{2}|10\rangle(\alpha|0\rangle-\beta|1\rangle) \\ +\frac{1}{2}|11\rangle(\alpha|1\rangle-\beta|0\rangle) \end{cases} \longrightarrow \begin{cases} (00,\,\alpha|0\rangle+\beta|1\rangle) & \text{with prob. } \frac{1}{4}\\ (01,\,\alpha|1\rangle+\beta|0\rangle) & \text{with prob. } \frac{1}{4}\\ (10,\,\alpha|0\rangle-\beta|1\rangle) & \text{with prob. } \frac{1}{4} \end{cases}$$

Then Alice sends her two classical bits to Bob, who then adjusts his qubit to be $\alpha|0\rangle + \beta|1\rangle$ whatever case occurs

Bob's adjustment procedure

Bob receives two classical bits a, b from Alice, and:

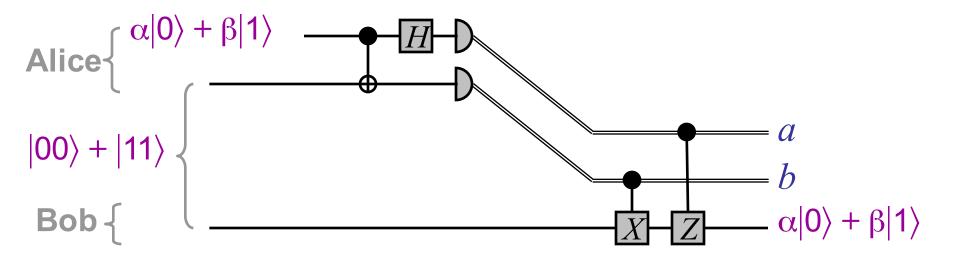
if
$$b = 1$$
 he applies X to qubit if $a = 1$ he applies Z to qubit

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

yielding:
$$\begin{cases} 00, & \alpha|0\rangle + \beta|1\rangle \\ 01, & X(\alpha|1\rangle + \beta|0\rangle) = \alpha|0\rangle + \beta|1\rangle \\ 10, & Z(\alpha|0\rangle - \beta|1\rangle) = \alpha|0\rangle + \beta|1\rangle \\ 11, & ZX(\alpha|1\rangle - \beta|0\rangle) = \alpha|0\rangle + \beta|1\rangle \end{cases}$$

Note that Bob acquires the correct state in each case

Summary of teleportation



Quantum circuit exercise: try to work through the details of the analysis of this teleportation protocol

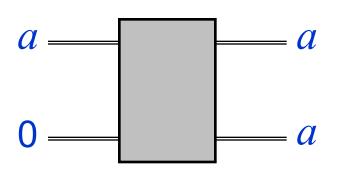
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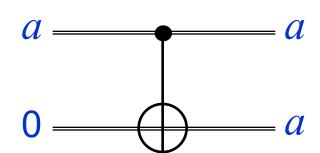
Lecture 3 (2016)

Richard Cleve
DC 2117 / QNC 3129
cleve@uwaterloo.ca

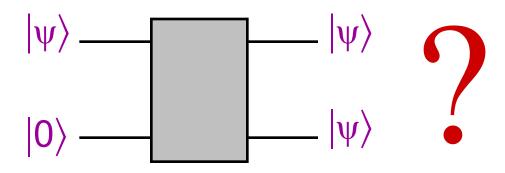
No-cloning theorem

Classical information can be copied

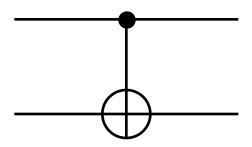




What about quantum information?



Candidate:



works fine for $|\psi\rangle = |0\rangle$ and $|\psi\rangle = |1\rangle$

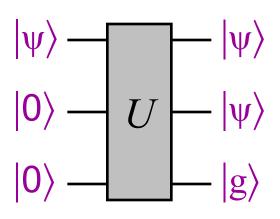
... but it fails for $|\psi\rangle = (1/\sqrt{2})(|0\rangle + |1\rangle)$...

... where it yields output $(1/\sqrt{2})(|00\rangle + |11\rangle)$ instead of $|\psi\rangle|\psi\rangle = (1/4)(|00\rangle + |01\rangle + |10\rangle + |11\rangle)$

No-cloning theorem

Theorem: there is **no** valid quantum operation that maps an arbitrary state $|\psi\rangle$ to $|\psi\rangle|\psi\rangle$

Proof:



Let $|\psi\rangle$ and $|\psi'\rangle$ be two input states,

$$\begin{split} \langle \psi | \psi' \rangle &= \langle \psi | \psi' \rangle \langle \psi | \psi' \rangle \langle g | g' \rangle \text{ so } \\ \langle \psi | \psi' \rangle (1 - \langle \psi | \psi' \rangle \langle g | g' \rangle) &= 0 \text{ so } \\ |\langle \psi | \psi' \rangle| &= 0 \text{ or } 1 \end{split}$$

Classical computations as circuits

Classical (boolean logic) gates

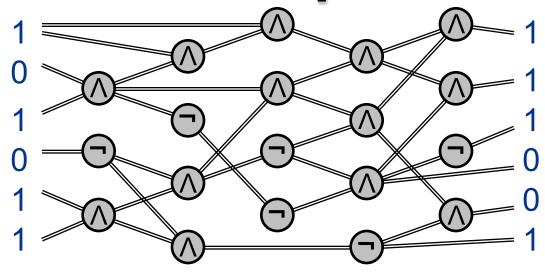
"old" notation "new" notation

AND gate $a \longrightarrow a \land b$ NOT gate $a \longrightarrow \neg a$ "a \lambda \rightarrow \sigma \rig

Note: an **OR** gate can be simulated by one **AND** gate and three **NOT** gates (since $a \lor b = \neg(\neg a \land \neg b)$)

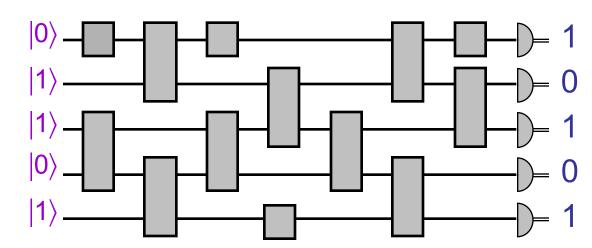
Models of computation

Classical circuits:



data flow -

Quantum circuits:



Multiplication problem

Input: two *n*-bit numbers (e.g. 101 and 111)

Output: their product (e.g. 100011)

- "Grade school" algorithm costs $O(n^2)$ [scales up polynomially]
- Best currently-known *classical* algorithm costs slightly less than $O(n \log n \log \log n)$ [to be precise $O(n \log n 2^{\log^* n})$]
- Best currently-known quantum method: same

Factoring problem

Input: an *n*-bit number (e.g. 100011)

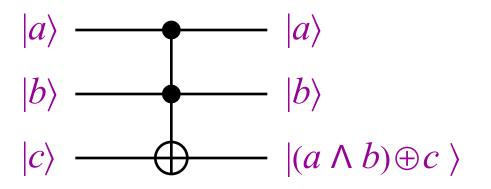
Output: their product (e.g. 101, 111)

- Trial division costs $\approx 2^{n/2}$
- Best currently-known *classical* algorithm costs $\approx 2^{n^{1/3}}$ [to be more precise $2^{O(n^{1/3}\log^{2/3}n)}$ and this scaling is *not* polynomial]
- The presumed hardness of factoring is the basis of the security of many cryptosystems (e.g. RSA)
- Shors *quantum* algorithm costs $\approx n^2$ [less than $O(n^2 \log n \log \log n)$]
- Implementation would break RSA and many other publickey cryptosystems

Simulating *classical* circuits with *quantum* circuits

Toffoli gate

(Sometimes called a "controlled-controlled-NOT" gate)



In the computational basis, it negates the third qubit iff the first two qubits are both $|1\rangle$

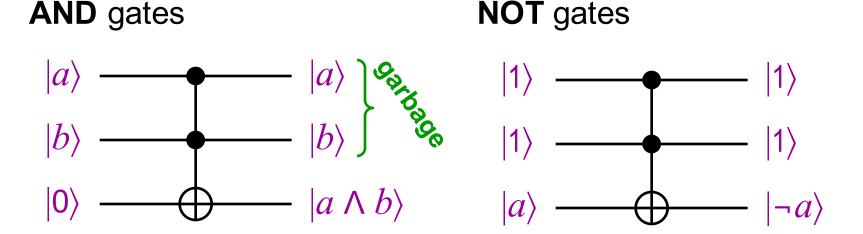
Matrix representation:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

Quantum simulation of classical

Theorem: a classical circuit of size s can be simulated by a quantum circuit of size o(s)

Idea: using Toffoli gates, one can simulate:

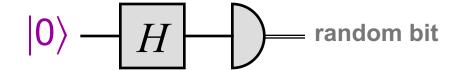


This garbage will have to be reckoned with later on ...

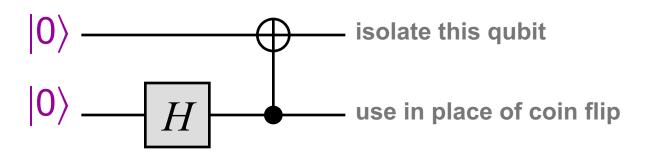
Simulating probabilistic algorithms

Since quantum gates can simulate **AND** and **NOT**, the outstanding issue is how to simulate randomness

To simulate "coin flips", one can use the circuit:



It can also be done without intermediate measurements:



Exercise: prove that this works

Simulating *quantum* circuits with *classical* circuits

Classical simulation of quantum

Theorem: a quantum circuit of size s acting on n qubits can be simulated by a classical circuit of size $O(sn^22^n) = O(2^{cn})$

Idea: to simulate an n-qubit state, use an array of size 2^n containing values of all 2^n amplitudes within precision 2^{-n}

 α_{000}

 α_{001}

 α_{010}

 α_{011}

•

 α_{111}

Can adjust this state vector whenever a unitary operation is performed at cost $O(n^2 2^n)$

From the final amplitudes, can determine how to set each output bit

Exercise: show how to do the simulation using only a polynomial amount of **space** (memory)

Some complexity classes

- **P** (polynomial time): the problems solved by $O(n^c)$ -size classical circuits [technically, we restrict to decision problems and to "uniform circuit families"]
- BPP (bounded error probabilistic polynomial time): the problems solved by $O(n^c)$ -size **probabilistic** circuits that err with probability $\leq \frac{1}{4}$
- BQP (bounded error quantum polynomial time): the problems solved by $O(n^c)$ -size quantum circuits that err with probability $\leq \frac{1}{4}$
- EXP (exponential time): the problems solved by $O(2^{n^c})$ -size circuits

Summary of basic containments

 $P \subseteq BPP \subseteq BQP \subseteq PSPACE \subseteq EXP$

This picture will be fleshed out more later on

