

# Genetic Algorithm for optimization problem

Date: 24/10/24  
Page: 1

Genetic algorithms use the process of natural selection and genetics. Here, populations of potential individuals evolve over generations to find best solutions to a problem. The idea is to select the fittest individuals from population, use them to create next generations. This leads to better solutions due to crossover, selection and mutations.

The steps for implementation/algorithm:

- define problem: Create mathematical function that needs to be optimized
- Initialize population: generate initial population of potential solutions
- evaluate fitness: Evaluate fitness of each individual in population
- selection: Select individuals based on their fitness to reproduce and generate offspring for next generation
- crossover: Process of combining two parent solution to create offspring
- Mutation: It involves genetic diversity by introducing small changes to the individual's structure.
- Iteration: Repeat the evaluation, selection, crossover and mutation processes for fixed number of



## Applications:

- 1) Optimization: They are commonly used in optimization problems where we have to maximize/minimize a given objective function value.
- 2) DNA Analysis: GAs have been used to determine structure of DNA using spectrometric data about sample.
- 3) Neural Networks: GAs are also used to train neural networks, particularly recurrent neural networks.
- 4) Image Processing: GAs are used for digital processing tasks as well as dense pixel matching.
- 5) Robot Trajectory Generation: They have been used to plan the paths which a robot arm takes by moving from one point to another.

## Pseudocode

### Function GeneticAlgorithm

set population\_size = 100

set mutation\_rate = 0.1

set crossover\_rate = 0.7

set num\_generations = 50



let value\_range = (-10, 10)

Function InitializePopulation (size, range)  
return randomly generate 'size' individuals  
within 'range'

Function EvaluateFitness (population)  
return  $f(x)$  for  $x$  in population

Function SelectParent (population, fitness values)  
let total\_fitness = sum(fitness values)  
let selection\_probabilities = fitness values / total\_fitness  
return randomly selected two indices based  
on probabilities

Function Crossover (parent 1, parent 2)  
if random() < crossover\_rate then  
let alpha = Random()  
return (alpha \* parent 1 + (1-alpha) \*  
parent 2, alpha \* parent 2 + (1-alpha) \*  
parent 1)  
else  
return (parent 1, parent 2)

Function Mutate (offspring)  
if random() < mutation\_rate then  
return randomly generated a new  
value within value\_range  
else  
return offspring

let population = InitializePopulation (population\_size,  
value\_range)



for generation from 1 to num - generations  
do

set fitness\_values = Evaluate Fitness  
(population)

set best\_index = Index of max(fitness\_values)

print "Generation", generation,  
"Best solution =", population[best\_index],  
"Fitness =", fitness\_values[best\_index]

Break

set new\_population = []

for i from 1 to (population\_size/2) do

set (parent1, parent2) = selectParents  
(population, fitness\_values)

set (offspring1, offspring2) = crossover  
(parent1, parent2)

Add Mutate(offspring1) to new\_population  
Add Mutate(offspring2) to new\_population

set population = new\_population

set fitness\_values = Evaluate fitness (population)

set best\_index = Index of max(fitness\_values)

Print "Final Best solution =", population[best\_index],  
"Fitness =", fitness\_values[best\_index]

END function

24/10/24



Date 11/11/24  
Page 7

### 3) Ant Colony Optimization for Travelling Salesman Problem

Initialize parameters

$n$ -cities,  $\alpha$ ,  $\beta$ ,  $\rho$ ,  $Q$ , iterations, ant count, initial-pheromone

Generate cities with random coordinates.

Calculate distance matrix:  $distance[i][j]$  = Euclidean distance between cities  $i$  and  $j$

Initialize pheromone matrix:  $pheromone[i][j]$  = initial-pheromone

Define functions:

- $calc\_distance(city1, city2)$ : Return Euclidean distance
- $choose\_next\_city(current, visited, pheromone, distance)$ :
  - Compute probability for each unvisited city
  - Return city with highest probability.
- $simulate\_ants(pheromone, distance)$ :
  - for each ant, construct a tour by selecting cities based on pheromone and distance.
- Calculate tour length and update best tour if shorter
- Return best tour and best length
- $update\_pheromones(pheromone, all\_tours, best\_tour, best\_length, Q, \rho)$ :
  - Evaporate pheromones:  $pheromone * = (1 - \rho)$
  - Deposit pheromone on all tours based on tour quality
  - Reinforce pheromone on best tour

Main loop (ACO algorithm):



- Initialize best\_tour and best\_length.
- for each iteration (1 to iterations):
  - Run simulateAntH () to get best\_tour and best\_length
  - Update best solution if necessary
  - Call update\_pheromones()

Return best\_tour, best\_length

Display best\_tour and plot path.



## Pseudo code

Initialize parameters

$n\_cities = 10$

$\alpha = 1.0$

$\beta = 5.0$

$\rho = 0.5$

$Q = 100$

iterations = 100

ant count = 50

initial pheromone = 1.0

$np.random.seed(42)$

$cities = np.random.rand(n\_cities, 2)$

Function calc\_distance(city1, city2):

return  $np.sqrt((city1[0] - city2[0])^2 + (city1[1] - city2[1])^2)$

$distances = np.zeros((n\_cities, n\_cities))$

for  $i$  in range( $n\_cities$ ):

for  $j$  in range( $i+1, n\_cities$ ):

dist = calc\_distance( $cities[i]$ ,  $cities[j]$ )

$distances[i][j] = dist$

$distances[j][i] = dist$

$pheromone = np.ones((n\_cities, n\_cities)) * initial\_pheromone$

Function choose\_next\_city(current\_city, visited\_city, pheromone, probability, distance)

total = 0.0

for  $i$  in range( $n\_cities$ ):

if  $i$  not in visited:



Page 10  
pheromone\_level = pheromone[current\_city][i] <sup>alpha</sup>

distance\_heuristic = (1.0 / distance[current\_city][i]) <sup>beta</sup>

prob = pheromone\_level \* distance\_heuristic

probabilities.append(prob)

else:

probabilities.append(0)

return random.choices(range(n\_cities), probabilities, k=1)

function simulate\_ants(pheromone, distances):

for \_ in range(ant\_count):

tour = [random.randint(0, n\_cities-1)]

visited.add(tour[0])

while len(visited) < n\_cities:

current\_city = tour[-1]

tour.append(next\_city)

visited.add(next\_city)

tour\_length = sum(distances[tour][i][tour[i]]

for i in range(n\_cities-1)) +

distances[tour[-1]][tour[0]]

~~if tour\_length < best\_length:~~

~~best\_length = tour\_length~~

~~return best\_tour, best\_length, all\_tours~~

function update\_pheromones(pheromone, all\_tours,

best\_tour, best\_length, Q, rho):



```
pheromone += (1 - rho)
for tour, length in all_tours:
    for i in range(len(tour)-1):
        pheromone[tour[i]][tour[i+1]] +=
            Q / length
for i in range(len(best_tour)-1):
    pheromone[best_tour[i]][best_tour[i+1]] += Q
    / best_length
pheromone[best_tour[i+1]][best_tour[i]]
    += Q / best_length.
```

```
function aco_tsp():
    for iteration in range(iterations):
        if (best_length < best_overall_length):
            best_overall_length = best_length
            best_overall_tour = best_tour
        update_pheromones(pheromone, all_tours,
            best_tours, best_length, Q, rho)
    return best_overall_tour, best_overall_length
```

```
best_tour, best_length = aco_tsp()
x = [cities[city][0] for city in best_tour] +
    [cities[best_tour[0]][0]]
y = [cities[city][1] for city in best_tour] + [cities[best_tour[0]][1]]

plt.figure(figsize=(8,6))
plt.plot(x, y, marker='o', color='b', linestyle='-', markersize=10)

best_tour, best_length = aco_tsp()
```

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# Particle Swarm Optimization

5 Date: 10/10/24

## Initialize swarm

for each particle  $i$  in swarm:

initialize position ( $x_i$ ) randomly within problem bounds

initialize velocity ( $v_i$ ) randomly

set personal best position  $pbest_i = x_i$

set personal best value  $f(pbest_i)$

set global best ( $gbest$ )

set  $gbest$  = position of the particle with the best value  $f(pbest_i)$ .

for each iteration ( $t=1$  to max iterations):

for each particle  $i$ :

Evaluate fitness  $f(x_i)$  at current position  $x_i$

if  $f(x_i) < f(pbest_i)$ :

update  $pbest_i = x_i$

update  $f(pbest_i) = f(x_i)$

if  $f(pbest_i) < f(gbest)$ :

update  $gbest = pbest_i$

for each particle  $i$ :

update velocity  $v_i$  using the formula:

$$v_i(t+1) = w * v_i(t) + c_1 * r_1 * (pbest_i - x_i) + c_2 * r_2 * (gbest - x_i)$$

where:

$w$  = inertia weight (controls exploration v/s exploitation)

$c_1, c_2$  = cognitive and social learning factors

$r_1, r_2$  = random values between 0 and 1.

$pbest_i$  = personal best position of particle  $i$

$gbest$  = global best position of the swarm.

for each particle  $i$ :



update position  $x_i$  using the formula:  
$$x_i(t+1) = x_i(t) + v_i(t+1)$$

Ensure that  $x_i$  stays within bounds  
(boundary handling)

Output global best position  $g_{best}$  and its corresponding value  $f(g_{best})$ .

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## Cuckoo Search

Date 21/11/24  
Page 12

```
def cuckoo_search():
```

```
    N=50
```

```
    max_iter=100
```

```
    pa=0.25
```

```
    alpha=0.01
```

```
    nests=initialize_nests(N)
```

```
    fitness=[evaluate_fitness(nest) for nest in nests]
```

```
    best_nest=min(nests, key=lambda nest:  
                  evaluate_fitness(nest))
```

```
    for iteration in range(max_iter):
```

```
        new_nests=[]
```

```
        for nest in nests:
```

```
            new_nest=levy_flight(nest,alpha)
```

```
            new_nests.append(new_nest)
```

```
        new_fitness=[evaluate_fitness(nest) for nest in  
                     new_nests]
```

```
        for i in range(N):
```

```
            if new_fitness[i] < fitness[i]:
```

```
                nests[i]=new_nests[i]
```

```
                fitness[i]=new_fitness[i]
```

```
        if random.random() < pa:
```

```
            worst_nests=select_worst_nests(nests,  
                                             fitness)
```

```
            replace_worst_nests(worst_nests)
```



best\_nest = min(nests, key=lambda nest: evaluate\_fitness(nest))

print(f"Iteration {iteration+1}, Best Solution: {best\_nest}")

return best\_nest

initialize\_nests():

for i to N:

nest\_i = Randomly generate a vector within the bounds [lowerbound, upperbound]

nests[i] = nest\_i

return nests

evaluate\_fitness():

for a given nest 'nest\_i':

fitness\_i = f(nest\_i)

return fitness\_i

levy\_flight():

s = Random sample from Gaussian distribution  
 $s \sim N(0,1)$

step = alpha \* s / |s|<sup>(1/3)</sup>

new\_nest\_i = nest\_i + step

return new\_nest\_i

~~select\_worst\_nests():~~

~~for given nest and their fitness values:~~

~~sorted\_indices = sort(fitness)~~

~~N\_worst = floor(N \* p\_a)~~

~~worst\_nests = nests[sorted\_indices[N-N\_worst:N]]~~

~~Return worst\_nests~~



replace\_worst\_nests();

Date 21 / 11 / 24  
Page 14

for each worst nest 'worst\_nest':

new\_nest = Randomly generate a vector  
within bounds

nests[worst\_nest] = new\_nest

return nests

~~21/11/24~~



## Grey Wolf Optimizer (GWO)

### Initialize Parameters:

- Set  $N$  (number of wolves),  $D$  (dimensions), Max-Iter (max iterations), bounds.

### Initialize Population:

- Randomly initialize the positions of  $N$  wolves to  $D$ -dimensional space.

### Evaluate fitness

- for each wolf, calculate the fitness using the objective function.

### Identify Alpha, Beta, Delta wolves:

- sort wolves by fitness.
- $\text{Alpha} \leftarrow \text{best wolf}$
- $\text{Beta} \leftarrow \text{second best wolf}$
- $\text{Delta} \leftarrow \text{third best wolf}$

### Main optimization Loop (for $t = 1$ to Max-Iter):

- Calculate the decreasing factor  $a = 2 - t * (2 / \text{Max-Iter})$
- for each wolf  $i$ :

- Calculate the fitness of wolf  $i$

- If fitness of wolf  $i <$  fitness of alpha

-  $\text{Delta} \leftarrow \text{Beta}$

-  $\text{Beta} \leftarrow \text{Alpha}$

-  $\text{Alpha} \leftarrow \text{wolf } i$  (update alpha position and fitness)

- Update position of Each wolf:

for each wolf  $i$ :

- Calculate  $A = 2 * r_1 - a$

- Calculate  $C = 2 * r_2$



- Calculate  $D = |C + \text{Alpha} - \text{position of wolf } i|$
- Update position of wolf  $i$ :  $\text{position}[i] = \text{Alpha} - A * D$
- Ensure position  $[i]$  stays within bounds

Check Convergence (Optional):

- If stopping criteria are met, stop early.

Output Best Solution:

- The position of the Alpha wolf is the Best Solution found

Objective function:

return  $\text{Ap} \cdot \text{sum}(x \cdot x \cdot 2)$

~~6/10~~

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- Ensure position  $[i]$  stays within bounds

Check Convergence (Optional):

- If stopping criteria are met, stop early.

Output Best Solution:

- The position of the Alpha wolf is the best solution found

Objective function:

return  $\text{Ap.sum}(x * x * 2)$

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# 6) Parallel Cellular Algorithm

```
def update_cell (cell_index, grid_size):
    x, y = cell_index
    neighbours = [ ((x-1)%size, y), ((x+1)%size, y),
                   (x, (y-1)%size), (x, (y+1)%size) ]

    new_state = sum (grid[n[0], n[1]] for n in neighbours) % 2
    return (x, y, new_state)
```

```
def parallel_update (grid, size, num_iterations):
    pool = Pool (processes=4)
    for iteration in range (num_iterations):
        print (f"Iteration {iteration+1}:" )
        indices = [(x, y) for x in range (size)
                   for y in range (size)]
        result = pool.starmap (update_cell,
                               [(i, grid, size) for i in indices])
        for x, y, new_state in result:
            grid[x, y] = new_state
    return grid
```

```
grid_size = 100
grid = np.random.randint (2, size = (grid_size, grid_size))
num_iterations = 2
updated_grid = parallel_update (grid, grid_size, num_iterations)
```



# 9) Gene Expression Algorithm

Initialize population with random chromosomes

```
for generation = 1 TO max_generations DO  
    FITNESS_LIST = []  
    for each chromosomes IN Population DO  
        fitness = COMPUTE_FITNESS(chromosome)  
        Add fitness to fitness_list  
    END FOR
```

```
    NEW_POPULATION = []  
    for i = 1 to population_size/2 DO  
        parent1 = SELECT_PARENT(population, FITNESS_LIST)  
        parent2 = SELECT_PARENT(population, FITNESS_LIST)  
        (child1, child2) = CROSSOVER(parent1, parent2)  
        child1 = MUTATE(child1)  
        child2 = MUTATE(child2)  
        Add child1 to new-population  
        Add child2 to new-population  
    END FOR
```

```
    population = NEW_POPULATION  
END FOR
```

best\_chromosome = Find\_best(population, fitness\_list)  
Output best\_chromosome, fitness(best\_chromosome)

Q1 11/12/24