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Recurrence Theorem

Let $T(n) = aT\left(\frac{n}{b}\right) + O(n^d)$, for constants $a > 0$, $b > 1$, $d \geq 0$.

then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

$O(n^d)$
say 2

$$1 + a + a^2 + \dots + a^{\log_b a} \\ \approx O(a^{\log_b a}) = O(n^{\log_b a})$$

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Three parts of a typical divide and conquer algorithm.

Divide:

Convert the i/p instance into one or more smaller instances of the same problem.

Conquer:

1. If an input instance is really small then solve it by "inspection"
2. Otherwise solve it using this self same algorithm.

Combine:

Use the solution(s) of the smaller instances to construct a solution for the original i/p.

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Sorting

Input: Array A [0, 1, ..., (n-1)] of n integers.

Output: An array B [0, 1, ..., (n-1)] which is the sorted version of A (in non-decreasing order).

$$A = [82, 44, 39, 36, 23, 91, 59], n=7$$

$$L = [82, 44, 39, 36] \quad R = [23, 91, 59]$$

Sort L and R and merge.

$$LL = [82, 44] \quad LR = [39, 36]$$

Sort LL and LR and merge.

$$LLL = [82] \quad LLR = [44]$$

Sort LLL and LLR and merge.

$$\rightarrow [44, 82] \text{ (sorted LL)}$$

Mergesort(A):

1. $n = \text{length}(A)$

2. if $n \leq 1$:

 return A.

3. $\text{mid} = \left\lceil \frac{n}{2} \right\rceil$

4. $L = A [0, \dots, (\text{mid}-1)]$.

5. $R = A [\text{mid}+1, (n-1)]$

6. $S_L = \text{Mergesort}(L)$

7. $S_R = \text{Mergesort}(R)$

8. $M = \text{Merge}(S_L, S_R)$

9. return M.

$O(n)$

$O(n)$

$O(n)$

$O(n)$

$O(n)$

$O(n)$

$T(\lceil \frac{n}{2} \rceil)$

$T(\lfloor \frac{n}{2} \rfloor)$

$O(n)$

$O(n)$

$$T(n) = \begin{cases} O(n) & \text{if } n \leq 1 \\ 2T(\lceil \frac{n}{2} \rceil) + O(n) & \text{otherwise.} \end{cases}$$

$T(n) = O(n \log_2 n)$

* The Merge Procedure

Input: Two sorted arrays L, R

Output: An array M that is sorted and contains each element of L & R exactly once. (A "merge" of L & R).

Merge (L, R) :

1. $l = \text{length}(L)$ $r = \text{length}(R)$
2. $m = l + r$
3. $M = \text{An array of length } m$, filled with NIL value
4. $i = 0, j = 0$.
5. $\text{for } k = 0 \text{ to } m-1:$
 6. $\text{if } j \geq r : \quad // \text{Have we run out of R?}$
 $M[k] = L[i]; i = i+1$
 7. $\text{else if } i \geq l :$
 $M[k] = R[j]; j = j+1$
 8. $\text{else if } L[i] < R[j]:$
 $M[k] = L[i]; i = i+1$
 9. else :
 $M[k] = R[j]; j = j+1$
10. $\text{return the } M.$

* Given two n -bit numbers in an array in $n \times 4$ multiply these two numbers and store their product in another array.

* Integer Multiplication

Input: Two n -bit numbers x, y given as arrays
 $x[0, \dots, n-1], y[0, \dots, n-1]$ where $(n-1)$ bit is the least significant.

Output: The product $z = xy$ on an array z of the same format.

$$\begin{aligned} (3132) \times (1234) &= (31 \times 10^2 + 32) \times (12 \times 10^2 + 34) \\ &= (31 \times 12 \times 10^4 + 32 \times 12 \times 10^2 + 31 \times 34 \times 10^2 \\ &\quad + 32 \times 34) \end{aligned}$$

Let y be two n -bit

let x, y be two n -bit integers. Let x_L be the binary number consisting of the first $\lceil \frac{n}{2} \rceil$ bits of x , and x_R the binary number consisting of the remaining bits of x . Let y_L, y_R be similarly defined. Then

$$\begin{aligned} x \cdot y &= ((2^{\lceil \frac{n}{2} \rceil} \cdot x_L) + x_R) \cdot ((2^{\lceil \frac{n}{2} \rceil} \cdot y_L) + y_R) \\ &= 2^{\lceil \frac{n}{2} \rceil} (x_L \cdot y_L) + 2^{\lceil \frac{n}{2} \rceil} (x_L \cdot y_R + x_R \cdot y_L) \\ &\quad + (x_R \cdot y_R) \end{aligned}$$

$$x \cdot y = \frac{1}{2} \left[\begin{matrix} n \\ 2 \end{matrix} \right] (x_L \cdot y_L) + 2^{\left[\begin{matrix} n \\ 2 \end{matrix} \right]} (x_L \cdot y_R + y_R \cdot x_L) + (x_R \cdot y_R)$$

steps()

Multiply (x, y):

1.	$n = \text{length}(x)$	$O(n)$
2.	$\text{if } n = 1:$	$O(1)$
3.	$\text{if } x[0] = 0: \text{return } x$	$O(n)$
4.	$\text{else: return } y$	$O(n)$
5.	$\text{mid} = \left\lceil \frac{n}{2} \right\rceil \text{ } \text{ceil}(n/2)$	$O(n)$
6.	$x_L = x [0 \dots (\text{mid}-1)]$	$O(n)$
7.	$x_R = x [\text{mid} \dots n-1]$	$O(n)$
8.	$y_L = y [0 \dots (\text{mid}-1)]$	$O(n)$
9.	$y_R = y [\text{mid} \dots (\text{mid}(n-1))]$	$O(n)$
10.	$P_1 = \text{multiply}(x_L, y_L)$	$T(n/2)$
11.	$P_2 = \text{multiply}(x_L, y_R)$	$T(n/2)$
12.	$P_3 = \text{multiply}(x_R, y_L)$	$T(n/2)$
13.	$P_4 = \text{multiply}(x_R, y_R)$	$T(n/2)$
14.	$S = \text{ADD}(P_2, P_3)$	$O(n)$
15.	$F_1 = 2^{\lfloor \frac{n}{2} \rfloor} \cdot P_1$	$O(n)$
16.	$F_2 = 2^{\lfloor \frac{n}{2} \rfloor} \cdot S$	$O(n)$
17.	$F_3 = \text{ADD}(F_1, F_2)$	$O(n)$
18.	$P = \text{ADD}(F_3, P_4)$	$O(n)$
19.	$\text{return } P.$	$O(n)$

$$T(n) = 4 T(n/2) + O(n).$$

↓

$$T(n) = O(n^2).$$

To compute the four products it is enough to compute $x_L \cdot y_L$, $x_R \cdot y_R$ and $(x_L + x_R) \cdot (y_L + y_R)$ and do some additions / subtractions.

Now

$$T(n) = 3 T(n/2) + O(n)$$

$$\begin{aligned} T(n) &= O(n^{\log_2 3}) \\ &\approx O(n^{1.6}) \end{aligned}$$

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Matrix Multiplication

Input: Two $n \times n$ integer matrices A, B.

Output: Then product ($= A \times B$)

$$C[i, j] = \sum_{k=0}^{n-1} A[i, k] \times B[k, j] \rightarrow \underline{\underline{O(n^3)}}$$

$n/2 \times n/2$.

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \quad \text{# } A_{ij} \text{ are } \frac{n}{2} \times \frac{n}{2} \text{ matrices.}$$

Similarly

$$B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{bmatrix}$$

$$T(n) = 8T(n/2) + O(n)$$

$$\text{Time complexity } \approx O(n^{\log_2 8}) \approx O(n^3).$$

* Strassen's matrix multiplication method (1969)

$$P_1 = (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$P_2 = A_{11} \times$$

$$P_3 = A_{11} \times$$

$$P_4 = (A_{21} + A_{22}) \times B_{11}$$

$$P_5 = A_{11} \times (B_{12} - B_{22})$$

$$P_6 = A_{22} \times (B_{21} - B_{11})$$

$$P_7 = (A_{11} + A_{12}) \times B_{22}$$

$$P_8 = (A_{11} - A_{12}) \times (B_{11} + B_{12})$$

$$P_9 = (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

$$C_{11} = P_1 + P_4 - P_3 + P_7$$

$$C_{12} = P_3 + P_5$$

$$C_{21} = P_2 + P_4$$

$$C_{22} = P_1 - P_2 + P_3 + P_6$$

$$T(n) = 7T(n/2) + O(n)$$

$$\Downarrow \\ T(n) = O(n^{\log_2 7}) = O(n^{2.81}).$$

* $\Omega(n \log n)$ worst-case time

Any comparison based sorting algorithm. $\xrightarrow{\text{Complexity}}$ (Complexity theory).

$$\log(n!) \stackrel{??}{\approx} \Theta(n \log n)$$
$$n^{n/2} < n! < n^n$$

* Counting sort

Input: Array $A[0, \dots, (n-1)]$ of integers where each integer $A[i]$ satisfies $0 \leq A[i] \leq k$ for some integer k
(Intuitively $k \ll n$)

Output: A sorted version of A .

Rough idea: 1. For each $A[i]$, find the number c_i of elements in A that are less or equal to $A[i]$.
other

2. Put $A[i]$ at $B[c_i]$

Counting Sort (A, k):

1. $n = \text{length}(A)$

2. $B = \text{An (empty) array of length } n$.

3. $C = \text{An (empty) array of length } k+1$

4. $\text{for } i=0 \text{ to } k :$

$C[i] = 0$.

5. $\text{for } i=0 \text{ to } (n-1) :$

$C[A[i]] = C[A[i]] + 1$.

8. $\text{for } i = 1 \text{ to } k$
9. $C[i] = C[i] + C[i-1]$ || Cumulative count.
10. $\text{for } i = n-1 \text{ down to } 0:$
11. $B[C[A[i]] - 1] = A[i]$
12. $C[A[i]] = C[A[i]] - 1$
13. $\text{return } B.$

Meaning of $C[A[n-1]]$ just before the first iteration of the leap lines 10-12.

= the total number of elements that are $\leq A[n-1]$, and have not yet been placed at their correct position in B .
 So place $A[n-1]$ at $B[C[A[n-1]] - 1]$

* Stable sort

* Stable sort : preserves order of the elements even if the numbers are numerically same. so sorting preserves the order in which the number arrives.



Radix Sort

The keys are written down in some "base".

Each key has width d

- Pad with blanks if needed.

Input: array $A[0, 1, \dots, n-1]$

where each element $A[i]$ has 'd' digits numbered 1 (leftmost digit) to d (rightmost digit). Digit 1 is the least significant digit.

Output: A sorted version of array A.

RadixSort (A, d):

1. for $i = d$ down to 1:
 2. Sort array A on the digit i using CountingSort
 3. let B be the array returned by step 2.
 4. $A = B$.
5. return A.

★ Stable algorithm

Suppose digit d can take k values.

$$O(d(n+k))$$

For Aadhar

$$d = 12$$

$$n = n$$

$$k = 10$$

$$O(12(n+10)) \approx O(n).$$

* Dynamic Programming

① Recursive algorithm

* $F_0 = 1, F_1 = 1, F_{i+1} = F_i + F_{i-1}$ for $i \geq 2$.
1, 1, 2, 3, 5, 8, 13, 21, ...

Problem: Given $n \in \mathbb{N}$ as input compute f_n .

Simplefib(n):

1. if $n \leq 1$:
2. return 1.
3. $F_n = \text{Simplefib}(n-1) + \text{Simplefib}(n-2)$
4. return F_n .

$$T(n) = T(n-1) + T(n-2) + c.$$

Assume $T(n) = \alpha^n$ for some const. α .

$$\alpha^n = \alpha^{n-1} + \alpha^{n-2}$$

$$\alpha^2 = \alpha + 1$$

$$\alpha^2 - \alpha - 1 = 0$$

$$\alpha^2 - 2\alpha - 1 = 0.$$

$$\alpha = \frac{1 \pm \sqrt{1+4}}{2}.$$

$$\alpha = \frac{1 \pm \sqrt{5}}{2} \approx (1.618)^n.$$

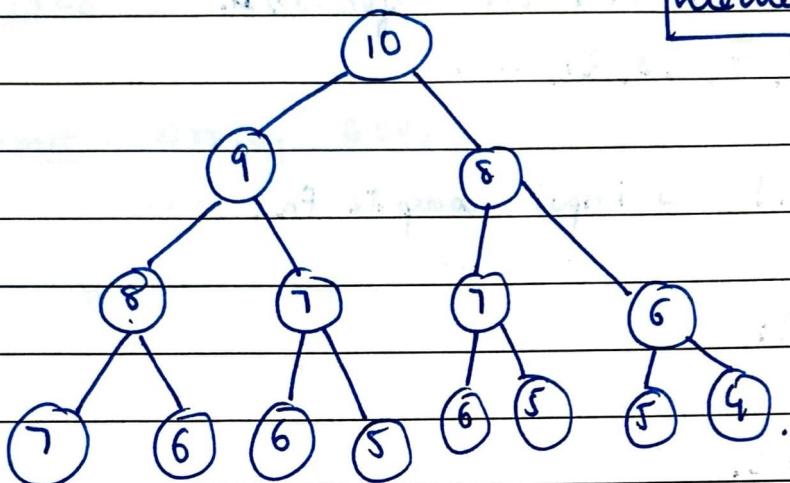
$$\alpha = \frac{1 - \sqrt{5}}{2} \times$$

DVR

$n = 35$: 2.25
$n = 40$: 245
$n = 45$: 2675
$n = 50$.	: 29000

$n = 35$	8.6×10^{-5} s
$n = 40$	9.1×10^{-5} s
$n = 45$	9.9×10^{-5} s
$n = 50$	10^{-4}

memoization



Simple Fib(n) :

1. if $n \leq 1$:
2. return 1
3. $F_1 = \text{Simplefib}(n-1)$
4. $F_2 = \text{Simplefib}(n-2)$
5. return $F_1 + F_2$

$$\mathcal{O}(C \cdot 1.618^n)$$

Smartfib(n) :

1. if $n \leq 1$: return 1
2. F = an array of length $(n+1)$.
3. $F[0] = F[1] = 1$.
4. for $i = 2$ to n :
5. $F[i] = 0$.
6. $F = \text{FibHelper}(n, F)$
7. return F_n .

else:

Top-down



FibHelper(i, F):

1. if $F[i] \neq 0$:
2. return $F[i]$
3. $F_1 = \text{FibHelper}(i-1, F)$
4. $F_2 = \text{FibHelper}(i-2, F)$
5. $F[i] = F_1 + F_2$
6. return $F[i]$.

$$T(i) \geq T(i-1) + T(i-2)$$

$\downarrow c$

$T(i-1)$ already computes $T(i-2)$.

$$\geq T(n) \geq O(n)$$

$$T(n) = T(i-1) + c$$

$$\leq T(n-2) + T(n-1) + 2c$$

$$\leq T(1) + n \cdot c.$$

Smartfib(S)

1. — 0 1 2 3 4 5
2. $F = \boxed{1 \ 1 \ 0 \ 0 \ 0 \ 0}$
3. ↗
- 4.
5. $F_n = \text{fibHelper}(S, F)$

Let S be the no. of times that fibHelper(., .) is called recursively. Then the total no. of calls to fibHelper(., 1) is: $(S+1)$.

Total time that line 6 of Smartfib takes = $O(S+1)$

At line 6 of Smartfib(), $(n-1)$ element of F are zeroes.

Each time that lines 3,4 of fibHelper() are executed, one more element of F becomes non-zero.

The code never changes a non-zero in F to zero
 So lines 3,4 of FibHelper get executed = $(n-1)$ times

$$\Rightarrow S = 2 \cdot (n-1)$$

\downarrow Bottom-up.

SimplerFibDP(n):

1. if $n \leq 1$: return 1
2. F = an array of length $n+1$
3. $F[0] = F[1] = 1$.
4. for $i = 2$ to n :
5. $F[i] = F[i-1] + F[i-2]$
6. return $F[n]$

$$\rightarrow {}^n C_k$$

$${}^n C_k = {}^{n-1} C_{k-1} + {}^n C_{k-1}$$

$${}^0 C_0 = 1 \quad {}^n C_n = 1.$$

Choose(n, k):

- | | |
|------------------------------------|----------|
| 1. if $k = 0$ or $k = n$: | 1 |
| 2. return 1 | 1 |
| 3. $C_1 = \text{Choose}(n-1, k-1)$ | $T(n-1)$ |
| 4. $C_2 = \text{Choose}(n-1, k-1)$ | $T(n-1)$ |
| 5. return $C_1 + C_2$. | 1. |

$$T(n) = 2T(n-1) + c.$$

$$T(n) = O(2^n).$$

Smartchoose(n, k) :

1. if $k=0$ or $k=n$:
2. return 1
3. $C = \text{an } (n+1) \times (n+1) \text{ array}$
4. Set all values in C to NIL
5. for $i=0$ to n :
6. $C[i][0] = 1$
7. if $i \leq k$: $C[i][i] = 1$.
8. $nCk = \text{ChoosetHelper}(n, k, C)$
9. return nCk

ChoosetHelper(i, j, C) :

1. if $C[i][j] \neq \text{NIL}$:
2. return $C[i][j]$
3. $C[i][j] = \text{ChoosetHelper}(i-1, j-1, C) + \text{ChoosetHelper}(i-1, j, C)$
4. return $\downarrow C[i][j]$.

$\approx O(nk)$.

* The Rod Cutting Problem

(from the CLRS book).

Length i:	1	2	3	4	5	6	7	8	9	10
Price p_i :	1	5	8	9	10	17	17	20	24	30

Input:

Input is an array $p[0, \dots, n]$ of prices
 $p[0] = 0$, $p[i] = p_i$ for $i > 0$.

For $0 \leq i \leq n$, r_i is the max^m revenue that can be obtained by (possibly) cutting up and selling a rod of length i . Then $r_0 = 0$, and we want to find r_n .

r_1	1	No cutting
r_2	5	No cutting
r_3	8	No cutting
r_4	10	wt into 2 pieces. If length 2.
r_5	13	2+3.
r_6	17	No cutting.
r_7	18	6+1
r_8	22	6+2
r_9	25	6+3
r_{10}	30	No cutting.

11
9

/ /

inducting on

CutRod(n, P):

1. if $n = 0$:
return 0
- 2.
3. maxRevenue = -1.
4. for i from 1 to n :
if firstRevenue = $P[i]$
+ CutRod($n-i$, P).
- 5.
6. maxRevenue = max(maxRevenue, firstRevenue)
7. return maxRevenue.

Let $T(n)$ be the number of times that cutRod() is called. Start with the call cutRod(n, p)

$$T(n) = \sum_{i=1}^n T(n-i) + 5 + n.$$

$$= 1 + \sum_{j=0}^{n-1} T(j) + \quad \text{if } n > 0.$$

$$\Rightarrow T(n) = 2^n$$

p_i : Price of a piece of length i

r_i : Maximum revenue from a piece of length i .

We want r_n .

Property: The length of "some" piece in the solution for $1 \leq i \leq n$, let S_i be the set of all solutions which contain a piece of length i . The $S_n = \{\text{the entire rod}\}$

$$S = S_1 \cup S_2 \cup \dots \cup S_n$$

In general, $S_1 \cap S_2 \neq \emptyset$

let $\text{maxRevenue}(S_i)$ be the maximum revenue from any element of S_i

Then,

$$r_n = \max_{i=1}^n (\text{maxRevenue}(S_i))$$

$$\text{maxRevenue}(S_i) = p_i + r_{n-i}$$

$p_i = P[i]$ selling price of a length of pieces i

r_j = maximum revenue realizable from a rod of length j .
we want r_n .

Let f be the set of all feasible solutions for $1 \leq i \leq n$, S_i is all solutions which have atleast one piece of length i .

$$f = S_1 \cup S_2 \cup \dots \cup S_n$$

Let $\text{maxRevenue}(S_i)$ be the most money that can be made by selling any one of element of S_i .

$$r_n = \max_{i=1}^n (\text{maxRevenue}(S_i))$$

$$\text{maxRevenue}(S_i) = p_i + r_{n-i}$$

If we compute the r_j values in increasing order of j , we will always know what to do.

To compute for each r_j , use projection onto the length of some piece

CutRod DP (n, p)

1. R = an array of length $n+1$
// $R[j]$ will eventually be r_j .
2. $R[0] = 0$.
3. for $j = 1$ to n :
 $j\text{MaxRevenue} = -1$ // will become r_j
4. for $i = 1$ to j :
 $i\text{Revenue} = P[i] + R[j-i]$
5. $j\text{MaxRevenue} = \max(j\text{MaxRevenue}, i\text{Revenue})$
- 6.
- 7.
8. $R[j] = j\text{MaxRevenue}$
9. return $R[n]$.

★

LPS

$S[i] == S[j]$

- ① $LPS(i, j) = \alpha + LPS(i+1, j-1)$
- ② if $i = j$
 1
- ③ if $S[i] \neq S[j]$
 $\max(LPS(i+1, j), LPS(i, j-1))$

① E

```
def Mincoins(D, n, memo = None):
    if memo == None:
        memo = [-1] * (n+1)
    if n == 0:
        return 0
    if memo[n] != -1:
        return memo[n]
    minval = float('inf')
    for d in D:
        if n-d >= 0:
            minval = min(minval, 1 + Mincoins(D, n-d, memo))
    memo[n] = minval
    return memo[n]
```

def

* Memoization

① Minimum cost to climb stairs

* Cutting Rod

You are given rod of length n and a list of prices for different rod lengths. Find the max^m value you can obtain by cutting the rod and selling the pieces.

$n=4$

prices [1, 5, 8, 9]

for i from 0 to $n-1$

cutRod[i]

$$t = \max(\text{cutRod}[i], p[i], \text{cutRod}[n-i])$$

abc bda
ad b c ba

"abc bda"

if $A[i] == A[j]$

$$\text{LSC}[i][j] = 1 + \text{LSC}[i-1][j-1]$$

else

CutRod (n, P)

1. if $n=0$: return 0
2. $\text{maxRevenue} = -1$
3. for $i=1$ to n :
 $i\text{FirstRevenue} = p[i] + \text{CutRod}(n-i, P)$
 $\text{maxRevenue} = \max(i\text{FirstRevenue}, \text{maxRevenue})$
6. return maxRevenue .

\mathcal{P} : Universe of all feasible solutions

for $1 \leq i \leq n$ S_i = set of all solutions that contain a piece of length i .

$$\mathcal{P} = \bigcup_{i=1}^n S_i \quad (\because i \neq j \Rightarrow S_i \cap S_j = \emptyset)$$

$$\text{OPT}(\mathcal{P}) = \max_{i=1}^n \text{OPT}(S_i)$$

r_j : max revenue obtainable from a rod of length j .
we want r_n .

$$\begin{aligned}\text{Observe } \text{OPT}(S_i) &= p_i + \text{OPT}(S_{n-i}). \\ &= p_i + r_{n-i}\end{aligned}$$

CutRod-DP(n, P) :

1. R = an array of length $n+1$.
 // $R[j]$ will eventually be r_j
2. $R[0] = 0$.
3. for $j=1$ to n : // compute r_j
4. $j\text{MaxRevenue} = -1$ // will become r_j
5. for $i=1$ to j : // project onto length of some piece
6. $i\text{Revenue} = P[i] + R[j-i]$
7. $j\text{MaxRevenue} = \max(i\text{Revenue}, j\text{MaxRevenue})$
8. $R[j] = j\text{MaxRevenue}$
9. return $R[n]$.

*

Matrix multiplication

A_{pxq}, B_{qxr} , compute AB

$\langle A_1, A_2, A_3, \dots, A_n \rangle$

A_{i-1}, A_i are conformable for multiplication for
 $2 \leq i \leq n$

eg. $A_1 \ 2 \times 3 \quad A_2 \ 3 \times 4 \quad A_3 \ 4 \times 5$

$$(A_1 A_2) A_3 = (2 \times 3 \times 4) + (2 \times 4 \times 5) = 64$$

$$A_1 (A_2 A_3) = 2 \times (3 \times 4 \times 5) + (2 \times 3 \times 5) \\ = 90.$$

* Least cost Matrix chain Multiplication

Input: A sequence A_1, \dots, A_n of matrices where

A_i is a $d_{(i-1)} \times d_i$ matrix for each $1 \leq i \leq n$.

Output: The least "pqr" cost of finding the product A_1, A_2, \dots, A_n .

$$(A_1 \dots A_b) \underbrace{(A_{b+1} \dots A_n)}_{(2)}$$

$$(1)$$

$$c_1 + c_2 + d_0 \cdot d_b \cdot d_n.$$

Input: An array $D[0, \dots, n]$ of positive integers, where the original array S_i has dimensions $D[i-1] \times D[i]$.

Output: The least "pqr" cost of finding the product A_1, A_2, \dots, A_n .

\mathcal{S} : Universe of all solutions

For $1 \leq b \leq (n-1)$, let S_b denote all the solutions that "break" after array A_b .

$$\mathcal{S} = \bigcup_{b=1}^{(n-1)} S_b \quad \left| \begin{array}{l} \text{if } i \neq j \text{ then } S_i \cap S_j = \emptyset \end{array} \right.$$

$\text{OPT}(S_b)$ = the least cost of finding A_1, A_2, \dots, A_n where the first break is after A_b .

* Least Cost Matrix Chain Multiplication

Input: An array $D[0 \dots n]$ of positive integers

Goal: Find the least cost (sum of the 'pqrs' values) for computing the product $A_1 \cdot A_2 \cdots \cdot A_n$ where A_i is a $d_{i-1} \times d_i$ matrix of each $1 \leq i \leq n$.

For $1 \leq s \leq t \leq n$, let $\text{OPT}(s, t)$ be the least cost for finding the product. $A_s \cdot A_{s+1} \cdots \cdot A_t$

We want $\text{OPT}(1, n) = \text{OPT}(1, n)$

$$\text{OPT}(s, s) = 0.$$

f = Universe of all feasible solutions.

For $1 \leq b \leq n-1$,

S_b = set of all solutions where "break-point" is b .

$$\text{Then, } f = \bigcup_{b=1}^{n-1} S_b,$$

$$(i \neq j \Rightarrow S_i \cap S_j = \emptyset)$$

For $1 \leq b \leq n-1$ let $\widehat{\text{OPT}}(S_b)$ be the optimum value over all of S_b .

$$\widehat{\text{OPT}}(S_b) =$$

$$\begin{aligned} \widehat{\text{OPT}}(S_b) &= \text{OPT}(1, b) + \\ &\quad \text{OPT}(b+1, n) \\ &\quad + d_0 d_b d_n. \end{aligned}$$

$$\text{OPT}(1, n) = \min_{1 \leq b \leq n-1} (\widehat{\text{OPT}}(S_b))$$

* measure that strictly dropped from $\text{OPT}(1, n)$ to each of $\text{OPT}(1, b)$ & $\text{OPT}(b+1, n)$

The # of arrays involved in the terms $\text{OPT}(i, j)$
→ n for $\text{OPT}(1, n)$
→ $b < n$ for $\text{OPT}(1, b)$
→ $n - b < n$ for $\text{OPT}(b+1, n)$.

let $(j - i + 1)$ be the "length" of $\text{OPT}(i, j)$

LeastCostMCM (n, D) :

1. $\text{OPT} = \text{OPT}[0 \dots n][0 \dots n]$

// $\text{OPT}[i][j]$ will eventually contain $\text{OPT}(i, j)$.

2. for i from 1 to n :

$$\text{OPT}[i][i] = 0.$$

// $\text{OPT}[0]$ is a dummy row so that head doesn't explode

4. for $l = 2$ to n : // $l = j - i + 1$

5. for $i = 1$ to $n - l + 1$:

6. $j = i + l - 1$.

7. $\text{OPT}[i][j] = \infty$

8. for $b = i$ to $(j - 1)$:

9. $\text{val} = \text{OPT}[i][b] + \text{OPT}[b][j]$

9. $\text{val} = \text{OPT}[i][b] + \text{OPT}[b+1][j] + D[i-1].D[b].D[j]$

10. $\text{OPT}[i][j] = \min(\text{OPT}[i][j], \text{val})$.

Knapsack (0/1) problem

Bag capacity $W = 55 \text{ kg}$.

Item	I_1	I_2	I_3
Weight (kg)	10	20	30
Value (₹)	60	100	120.

Best solution to

0/1 Knapsack with repetition $5I_1 \Rightarrow \text{Rs. } 300. (\text{wt.}=50)$

0/1 knapsack without repetition $I_2 + I_3 \Rightarrow \text{Rs. } 220. (\text{wt.}=50)$

Capacity $W = 11 \text{ kg}$.

Item (1/type)	I_1	I_2	I_3	I_4	
Weight (kg)	6	3	4	2	
Value (₹)	30	14	16	9	

- with repetition - $I_1 + I_2 + I_4 = 53 \text{ Kg. Rs. } (11 \text{ kg})$

- without repetition - $I_1 + I_2 + I_4 = 53. \text{ Rs. } (11 \text{ kg}).$

Wt Rod $W = n$

0/1 knapsack with repetition.

(weakly NP-complete)

↙ w can be large

compared to n.

0/1 knapsack with repetition

Input: (1) Capacity W of the knapsack,
W ∈ INT

(2) Item types I_1, I_2, \dots, I_n

(3) Weights w_1, w_2, \dots, w_n each a positive integer.

(4) Values v_1, v_2, \dots, v_n positive integers.

Goal : find the maximum sum of values of a collection of items where total weight is at most W, where each type of item may occur any number of times.

\mathcal{S} = Universe of all feasible solutions.

for $1 \leq j \leq n$

S_j = all elements of \mathcal{S} which contain at least one item of type I_j

For ex-

For any $X \subseteq \mathcal{S}$, let $OPT(X)$ be the maximum value over all elements of X. We want $OPT(\mathcal{S})$

$$OPT(\mathcal{S}) = \max_{j=1}^n (OPT(S_j))$$

$OPT(S_j) = v_j + \text{value of an optimum collection that fills a knapsack of capacity } W - w_j$

Array $\text{maxVal}[0 \dots w]$

$\text{maxVal}[c] =$ max value of a knapsack with capacity c .

$\text{maxVal}[0] = 0$.

* 0/1 knapsack without repetition

Input: (1) Capacity w of the knapsack, $w \in \mathbb{N}^+$

(2) Item types $I_1, I_2, \dots, I_j, I_n$

(3) Weights w_1, w_2, \dots, w_n each a positive integer.

(4) Values v_1, v_2, \dots, v_n positive integers.

Goal : find the maximum sum of values of a collection of items whose total weight is at most w , where each type of item may occur at most once.

For each $1 \leq j \leq n$, what is the most value we can get if we only pick items from among
 $\langle I_1, I_2, \dots, I_j \rangle$

for $1 \leq j \leq n$, $S_j =$ all feasible solutions which pick items only from
 $\langle I_1, I_2, \dots, I_j \rangle$.

$$OPT(S_n) = \max(v_n + OPT(S_{n-1}), OPT(S_{n-1}))$$

$$OPT(S_0) = \max(v_0 + OPT(S_0), 0)$$

$$OPT(S) = OPT(S_n, w)$$

$$OPT(S_n, w) = \max(v_n + OPT(S_{n-1}, w - w_n), OPT(S_{n-1}, w))$$

if $w_n < w$.

Array maxval [0...n] [0...w]

~~maxval[i][j]~~ is the maximum

maxval [j] [k] is the maximum value of a knapsack
of capacity k, that has items only from
 $\{I_1, \dots, I_j\}$.

$$\text{maxval}[0][k] = 0 \quad \forall k$$

$$\text{maxval}[j][0] = 0 \quad \forall j.$$



Greedy Algorithms

Kleinberg & Tardos, Algorithm Design



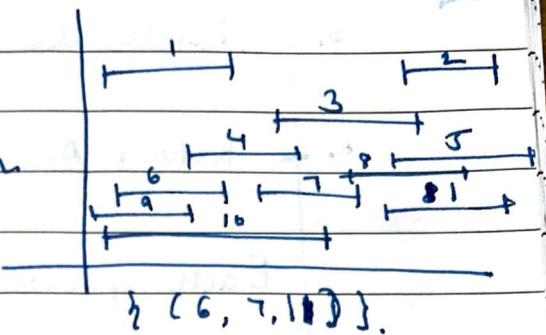
Interval Scheduling

Input

A set of n requests

$$R = \{(s_1, f_1), (s_2, f_2), \dots, (s_n, f_n)\},$$

where all the s_i, f_i are natural numbers,
and $s_i < f_i$ holds for each i .



Goal

Find a largest collection of compatible requests.

- ① Pick a request with the earliest starting time. X
- ② Pick a request with the smallest duration ($f(i) - s(i)$) X
- ③ Pick a request that ends first (least $f(i)$). ✓
- ④ -- latest starting time. ✗
- ⑤ Pick a request with least no. of conflicts X

Let R' be the set of remaining requests, and L the set of

let L be the set of left-over requests and A be the set of accepted requests

1. Set $L = R$, $A = \emptyset$
2. while L is not empty
3. Find a request i with least value for $f(i)$.
4. $A \leftarrow A \cup \{s(i), f(i)\}$.

5. Delete all requests j from L where $s(j) < f(i)$.

6. Return A .

Each greedy step is "optimal" in some sense.

Let $A = \langle I_1, I_2, \dots, I_k \rangle$ be the order in which the requests we have added to A .

Let $O = \langle I_1, I_2, \dots, I_n \rangle$ be an unknown optimal solution, with requests sorted in increasing order of starting times.

- Is O sorted in increasing order of finishing times?

Claim

For each $p \leq k$, it is true that $f(I_p) \leq f(I_p)$ holds

- The p^{th} request in A ends no later than the p^{th} request in any optimal solution.

*

Proof By Induction

Base case $p=1$.

$$f(I_1) \leq f(F_1)$$

Inductive

: $\forall i \leq p \Rightarrow f(I_i) \leq f(F_i)$.

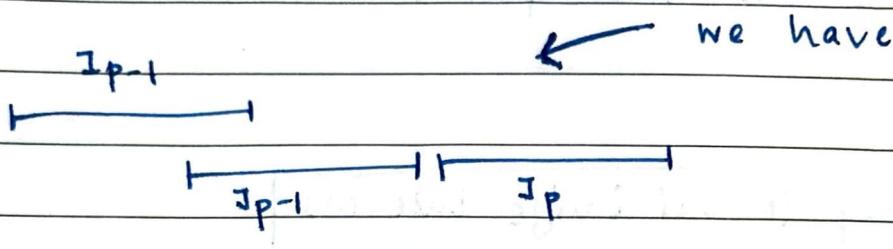
assumption

For some $p \geq 2$

$$f(I_{p-1}) \leq f(F_{p-1}).$$

Now, to show

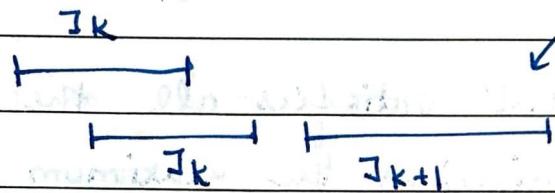
$$f(I_p) \leq f(J_p).$$



We want $k \geq m$

Suppose not, then $k < m$.

$$f(I_k) \leq f(J_k).$$

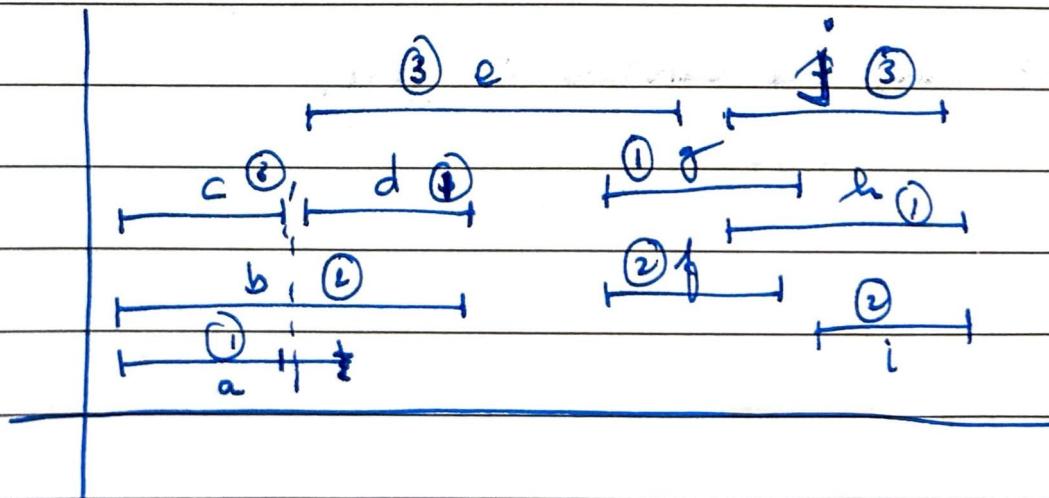


Suppose we have another feasible solution after I_k which is ~~free~~ starting after I_k , but then why didn't we pick it, so by contradiction you will always pick a feasible solution.

Hence, $k \geq m$ proved by contradiction.

* Scheduling All Intervals

(Interval Partitioning / Colouring).



Depth d of the collection of intervals the max no. of intervals the max no. of intervals that share any one time point.



Scheduling to minimize lateness

[Input]: n requests $\{r_1 = (t_1, d_1), r_2 = (t_2, d_2), \dots, r_n = (t_n, d_n)\}$
- t_i, d_i are the duration and deadline of request r_i , respectively

[Goal]:

Find a schedule that satisfies all the requests (on one resource), and minimizes the maximum lateness.

For a given schedule, let s_1, s_2, \dots, s_n be the start times of these ~~n~~ requests

The end times are $f_i = s_i + t_i$

Request r_i is late if $f_i > d_i$

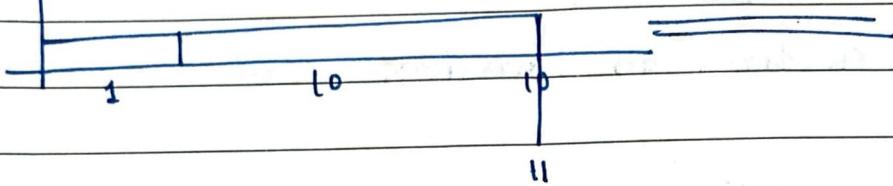
- The lateness of r_i is $f_i - d_i$, if r_i is late.
=
- If not late, the lateness is zero.

* Shortest duration first

$$\{ (1, 100), (10, 10) \}$$



→ doesn't work



Claim I: The schedule α generated by the greedy algorithm has no idle time.

Claim II: There is ^{an} optimal solution with no idle time.

★ An inversion in a schedule α is a pair of requests r_i, r_j where $d_j < d_i$, and r_i is scheduled before r_j .

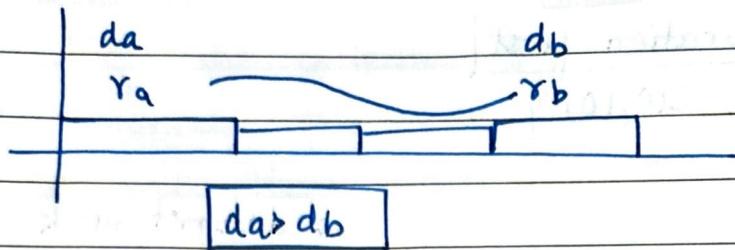
Claim III: Schedule α has no inversions.

Question: Can there be more than one schedule with no inversions and no idle time? \Rightarrow Yes, but only when 2 requests with the same deadline are swapped.

Claim IV: All schedules with no inversions and no idle time, have the same maximum lateness.

Let θ be an optimal schedule with no idle time

Claim V: If θ has an inversion, then there is a pair of requests r_i, r_j such that $d_j < d_i$ and r_j is scheduled immediately after r_i , in θ .



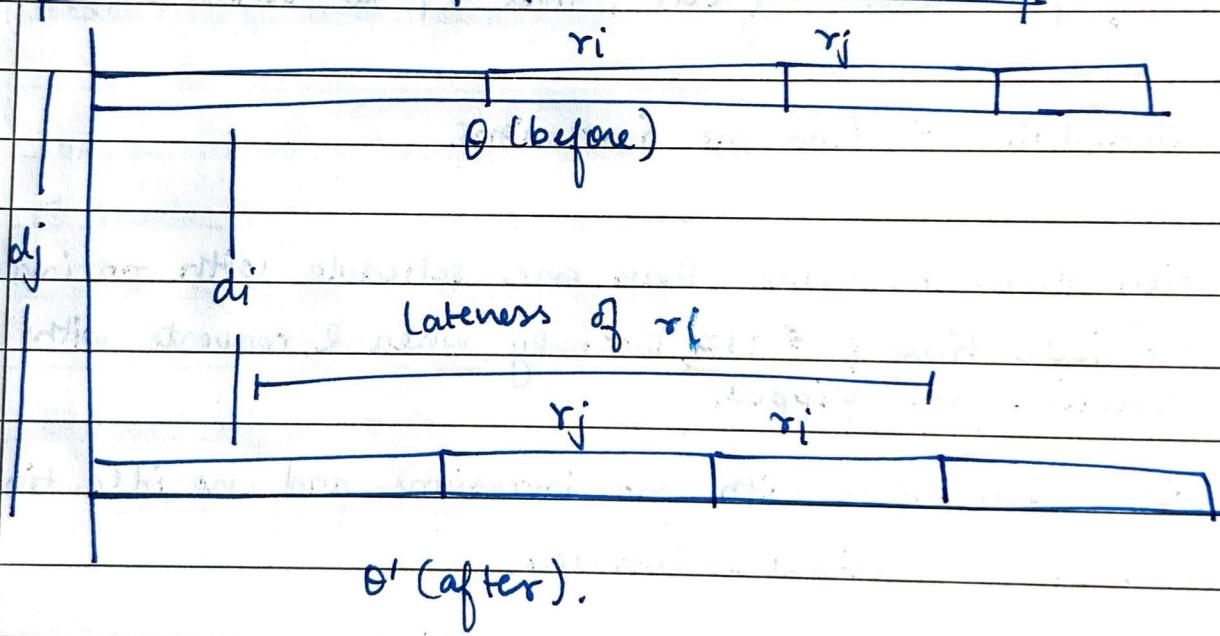
r_a, r_b form an inversion.

$$\theta \longrightarrow r_i r_j \longrightarrow$$

Claim VI:

let θ' be the schedule obtained from θ by flipping r_i and r_j . Then

- ① θ' has one fewer inversion
- ② The maximum lateness of θ' is not larger than that of θ .



* Amortized Analysis

* Dynamic Multiset

- Collection of elements
 - May be atomic or (Key, value) pairs.
 - Elements may repeat (Hence, multiset).
 - Elements may be added/ removed / modified
 - Hence, dynamic.

S: dynamic multiset

k: key, x (pointer to) element.

Search (S, k)

Insert (S, x)

Delete (S, x).

Minimum (S) / Maximum (S)

IsEmpty (S) / Isfull (S)

Others . . .

Ex:

Stack

LIFO

Last In first Out

Insert Push(x)

Delete Pop

IsEmpty, Isfull

Implement a stack with $\leq n$ elements, using an array $S[0 \dots n-1]$.

Bottom of the stack $S[0]$

Top of the stack : $T = [-1, 0, \dots, (n-1)]$

↓ the index of the last element that was pushed on the stack.

Start with $T = -1$.

IsEmpty()

return $T == -1$

Is Full()

return $T == n-1$.

Exercise: Complete the implementation of a stack

- MakeStack(n). Create an empty stack of capacity n , return the top of the to stack.
- IsEmpty, Isfull, Push, Pop.

Multipop(k):

- Pop k elements from the stack.
- If $k \leq 0$ do nothing.
- If there are fewer than k elements in the stack pop all of them.

Start with an empty stack

Do n push(), Pop()

& Multipop() ~~operations~~ operations.

↓

O(n)

n operations

O(n²) \Rightarrow

t_1 pushes $\Rightarrow O(t_1)$

t_2 pops $\Rightarrow O(t_2)$

t_3 Multipop(k_i). $\boxed{t_2 + t_3 \sum_{i=1}^k k_i \leq O(t_1)}$??

$$t_2 + \sum_{i=1}^{t_3} k_i \leq O(t_1)$$

I DO (especially when you keep your hair open)
- Your Secret LVR
(Let me be your ChatGPT)

* Say a total of t calls to `PUSH()`

The maximum no. of calls to `POP()`, on a non-empty stack = t .

The time taken by any specific call to `Multipop()` is proportional to the no. of calls to `Pop()` on a non-empty stack. that is a result of this call to `Multipop()`.

The total time taken by all calls to `Multipop` is proportional to the total no. of calls to `Pop()` made on non-empty stacks = $O(t)$.

The total time taken by any sequence of n operations = $O(n)$

* k-bit binary counter, starting at 0

$C[0 \dots (k-1)]$.

Convert order bit $C[0]$

Highest order bit $C[k-1]$.

The count,

$$C = \sum_{i=0}^{k-1} C[i] \cdot 2^i$$

$$\begin{array}{r} 101 \\ \swarrow \downarrow \searrow \\ C[0], 2^0 \\ C[1], 2^1 \\ C[2], 2^2 \end{array}$$

E.g. $k=8$, $c = [1, 1, 1, 1, 0, 0, 1, 0]$
 $c = 79$

Increment by 1

~~$c = [0, 0, 0, 0, 1, 0, 1, 0]$~~

$c = [0, 0, 0, 0, 1, 0, 1, 1]$.

Increment (c, k) // $c = [0 \dots (k-1)]$.

1. $i = 0$
2. while ($i < (k-1)$) and ($c[i] = 1$):
3. $c[i] = 0$.
4. $i = i + 1$
5. if $i \leq (k-1)$:
6. $c[i] = 1$.

Starting with $c=0$ do n increment operations.

Worst case $O(nk)$ time.

c	$c[0 \dots 7]$
0	0 0 0 0 0 0 0 0
1	1 0 0 0 0 0 0 0
2	0 1 0 0 0 0 0 0
3	1 1 0 0 0 0 0 0
4	0 0 1 0 0 0 0 0
5	1 0 1 0 0 0 0 0
6	0 1 1 0 0 0 0 0
7	1 1 1 0 0 0 0 0

III Potential method \rightarrow Physics

$C[i]$ flips once every 2^i calls to Increment (C)

The no. of times that $C[i]$ flips in n calls to Increment.

$$b \leq \left\lfloor \frac{n}{2^i} \right\rfloor$$

The total cost of n calls to Increment (C) is proportional to T , where T is the total no. of bit flips over these n calls.

$$\begin{aligned} T &\leq \sum_{i=0}^{k-1} \left\lfloor \frac{n}{2^i} \right\rfloor \\ &\leq \sum_{i=0}^{(k-1)} \frac{n}{2^i} = n \sum_{i=0}^{(k-1)} \frac{1}{2^i} \leq n \sum_{i=0}^{\infty} \frac{1}{2^i} = 2n. \end{aligned}$$

Accounting method

Budget for stack with Multipop().

Push \rightarrow Rs. 2. | actuals
 Rs. 1

Pop \rightarrow Rs. 0. | Rs. 1

Multipop \rightarrow Rs. 0. | Rs. (# things popped)

The total amortized cost of any sequence of operations must be atleast the actual total cost of these operations.

$$\left(\sum_{i=1}^n \hat{c}_i \right) \geq \left(\sum_{i=1}^n c_i \right)$$

c_i : actual cost of i^{th} operation

\hat{c}_i : amortized cost of i^{th} operation.

$$n\text{-bits} \xrightarrow{\textcircled{1}} \begin{matrix} n=3 \\ 000_2 \end{matrix} = 2^n$$

— / —

For the bit counter:

To flip a bit from
0 to 1 : Rs. 2.

To flip a bit from
1 to 0 : Rs. 0.

$$2 \times 32 + 3 + 3 \times 32$$

84

A = Create ArrayList();

Potential Method

Assign a number, called the "potential" to the entire data structure

Each operation may change the potential

The amortized cost of an operation = the actual cost of the operation + the change in potential.

for any valid sequence of operations, the total amortized cost must cover the total actual cost.

D₀: the initial state of data structure.

$\phi(D_0)$: the potential of the initial state.

We do a sequence of n operations.

for $i \in \{1, \dots, n\}$

- D_i is the state after operation i.

- $\phi(D_i)$: potential of D_i

- c_i : the actual cost of operation i.

The amortized cost of operation i (changing D_{i-1} to D_i).

$$\hat{c}_i = c_i + (\phi(D_i) - \phi(D_{i-1}))$$

So

$$\sum_{i=1}^n \hat{c}_i = \left(\sum_{i=1}^n c_i \right) + (\phi(D_n) - \phi(D_0)).$$

Choose $\phi(\cdot)$ such that

- * $\phi(D_0) = 0$
- * $\phi(D_i) > 0$ for $\forall i$.

*

Stack with multipop

$\phi(S_i)$ = height of the stack S_i

Initial stack S_0 .

Let S_{i-1} be a stack with s elements $\phi(S_{i-1}) = s$.

- Amortized cost of Push when applied to S_{i-1} :
- Change in potential = $\phi(S_i) - \phi(S_{i-1}) = 1$
- Actual cost of Push() = 1
- Amortized cost of Push() = $1+1 = 2$.

Amortized cost of Pop().

- Change in potential after one call to Pop() = -1
- Actual cost of Pop(): 1

Amortized cost of Pop(): 0

Amortized cost of Multipop(k)

- Let $k' = \min(s, k)$
- Actual cost of Multipop(k) = k' change in potential = $-k'$
- Amortized cost of Multipop = 0

— / —

*

Bit counter

k-bit counter

$\phi(c_i)$ = number of 1's.

Initial state = c_0

Let c_i be the state of the counter just after the i th call to Increment().

let b_i be the no. of 1's in c_i .

Suppose the i th call to Increment() sets exactly t_i bits to zero.

The actual cost of this call is $\leq t_i + 1$.

If $b_i = 0$, then $b_{i-1} = t_i = k$.

If $b_i > 0$ then $b_i = b_{i-1} - t_i + 1$.

In any case $b_i \leq b_{i-1} - t_i + 1$.

$$0 \leq t_i - t_{i-1}$$

The potential difference $\phi(c_i) - \phi(c_{i-1})$

$$= (b_i - b_{i-1}) \leq (b_{i-1} - t_i + 1) - b_{i-1} = 1 - t_i.$$

The amortized cost of this call to Increment =

actual cost + change in potential.

$$\leq t_i + 1 + 1 - t_i$$

$$= 2.$$