

# Interspeech 2016 tutorial: Recent advances in distant speech recognition

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# Table of contents

## 1. Introduction

- 1.1 Evolution of ASR
- 1.2 Challenges of DSR
- 1.3 Overview of DSR systems
- 1.4 Overview of related tasks

## 2. Front-end techniques for distant ASR

- 2.1 Dereverberation
- 2.2 Beamforming
- 2.3 Deep neural network based enhancement

## 3. Back-end techniques for distant ASR

- 3.1 Feature extraction
- <BREAK>
- 3.2 Robust acoustic models
- 3.3 Acoustic model adaptation
- 3.4 Integration of front-end and back-end with deep networks

## 4. Building robust ASR systems

- 4.1 Overview of some successful systems at CHiME and REVERB
- 4.2 Overview of existing tools

## 5. Conclusion and future research directions

## Acknowledgements

# List of abbreviations

ASR	Automatic Speech Recognition	LSTM	Long Short-Term Memory (network)
AM	Acoustic Model	MAP	Maximum A Posterior
BF	Beamformer	MBR	Minimum Bayes Risk
BLSTM	Bidirectional LSTM	MCWF	Multi-Channel Wiener Filter
CMLLR	Constrained MLLR (equivalent to fMLLR)	ML	Maximum Likelihood
CNN	Convolutional Neural Network	MLLR	Maximum Likelihood Linear Regression
CE	Cross Entropy	MLLT	Maximum Likelihood Linear Transformation
DAE	Denoising Autoencoder	MMeDuSA	Modulation of Medium Duration Speech Amplitudes
DNN	Deep Neural Network	MMSE	Minimum Mean Square Error
DOC	Damped Oscillator Coefficients	MSE	Mean Square Error
DSR	Distant Speech Recognition	MVDR	Minimum Variance Distortionless Response (Beamformer)
D&S	Delay and sum (Beamformer)	NMF	Non-negative Matrix Factorization
fDLR	Feature space Discriminative Linear Regression	PNCC	Power-Normalized Cepstral Coefficients
fMLLR	Feature space MLLR (equivalent to CMLLR)	RNN	Recurrent Neural Network
GCC-PHAT	Generalized Cross Correlation with Phase Transform	SE	Speech Enhancement
GMM	Gaussian Mixture Model	sMBR	state-level Minimum Bayes Risk
HMM	Hidden Markov Model	SNR	Signal-to-Noise Ratio
IRM	Ideal Ratio Mask	SRP-PHAT	Steered Response Power with the PHase Transform
KL	Kullback–Leibler (divergence/distance)	STFT	Short Time Fourier Transform
LCMV	Linear Constrained Minimum Variance	TDNN	Time Delayed Neural Network
LDA	Linear Discriminant Analysis	TDOA	Time Difference Of Arrival
LIN	Linear Input Network	TF	Time-Frequency
LHN	Linear Hidden Network	VTLN	Vocal Tract Length Normalization
LHUC	Learning Hidden Unit Contribution	VTS	Vector Taylor Series
LM	Language Model	WER	Word Error Rate
LP	Linear Prediction	WPE	Weighted Prediction Error (dereverberation)

# Notations

Basic notation	
$a$	Scalar
$\mathbf{a}$	Vector
$\mathbf{A}$	Matrix
Signal processing	
$A$	Sequence
$x[n]$	Time domain signal at sample $n$
$X(t, f)$	Frequency domain coefficients at frame $t$ and frequency bin $f$
ASR	
$\mathbf{o}_t$	Speech feature vector at frame $t$
$O \equiv \{\mathbf{o}_t   t = 1, \dots, T\}$	$T$ -length sequence of speech features
$w_n$	Word at $n^{\text{th}}$ position
$W \equiv \{w_n   n = 1, \dots, N\}$	$N$ -length word sequence

# Notations

operation	
$a^*$	Complex conjugate
$\mathbf{A}^T$	Transpose
$\mathbf{A}^H$	Hermitian transpose
$\mathbf{a} \circ \mathbf{b}$ or $\mathbf{A} \circ \mathbf{B}$	Elementwise multiplication
$\sigma()$	Sigmoid function
$\text{softmax}()$	Softmax function
$\tanh()$	Tanh function

# 1. Introduction

## 1.1 Evolution of ASR

# From pattern matching to probabilistic approaches

(Juang'04)

- 50s-60s
  - Initial attempts with template matching
  - Recognition of digits or few phonemes
- 70s
  - Recognition of 1000 words
  - First National projects (DARPA)
  - Introduction of beam search
- 80s
  - Introduction of probabilistic model approaches  
**(n-gram language models, GMM-HMM acoustic models)**
  - First attempts with Neural Networks
  - Launch of initial dictation systems (Dragon Speech)



# From research labs to outside world

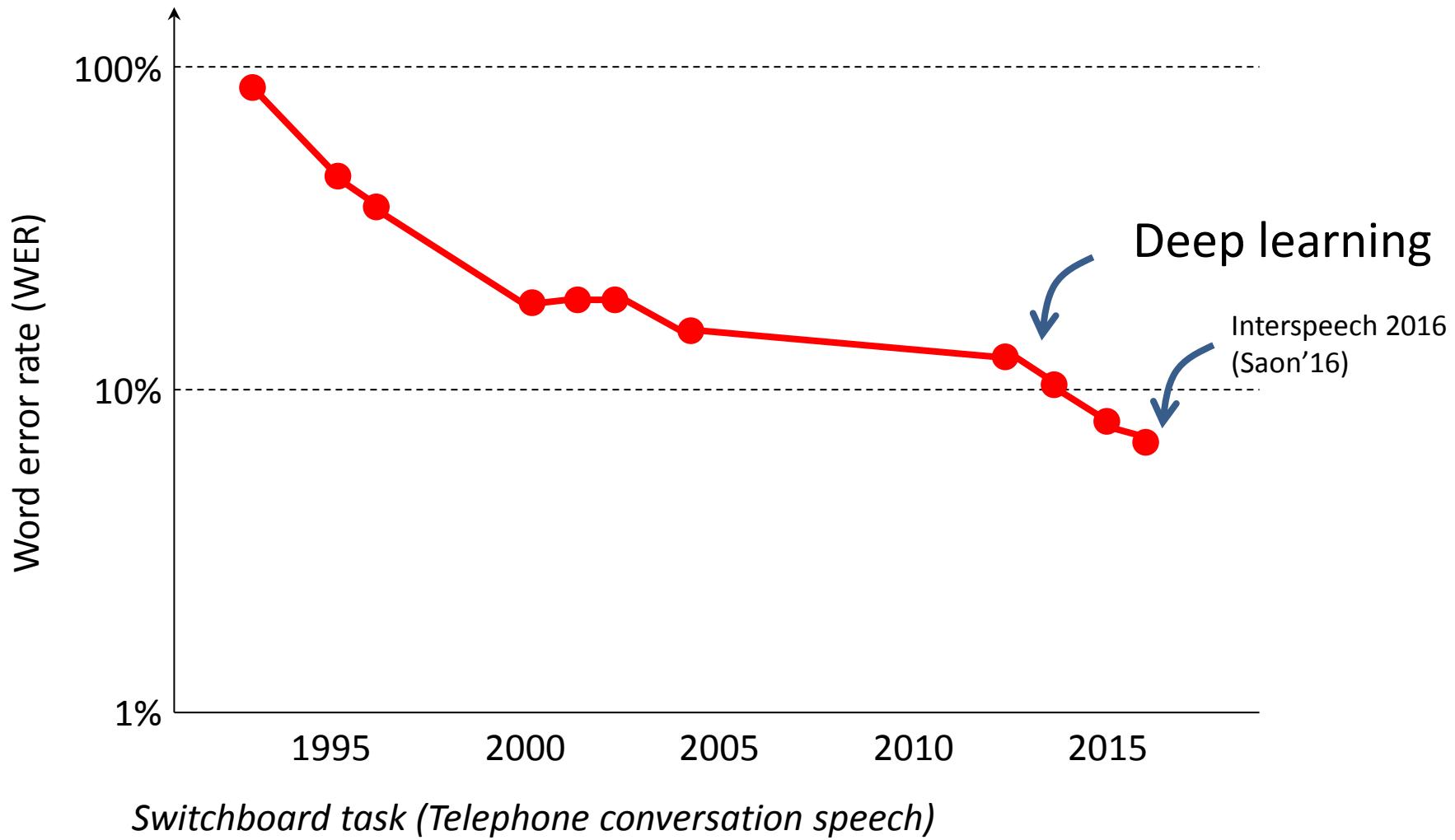
(Juang'04)

- 90s
  - **Discriminative training** for acoustic models,  
**MLLR** adaptation, **VTS**
  - Development of Common toolkits (**HTK**)
- 2000s
  - Less breakthrough technologies
  - New popular toolkits such as **KALDI**
  - Launch of large scale applications  
(Google Voice search)
- 2010s
  - Introduction of **DNNs**, RNN-LMs
  - ASR used in more and more products (e.g. SIRI...)



# Evolution of ASR performance

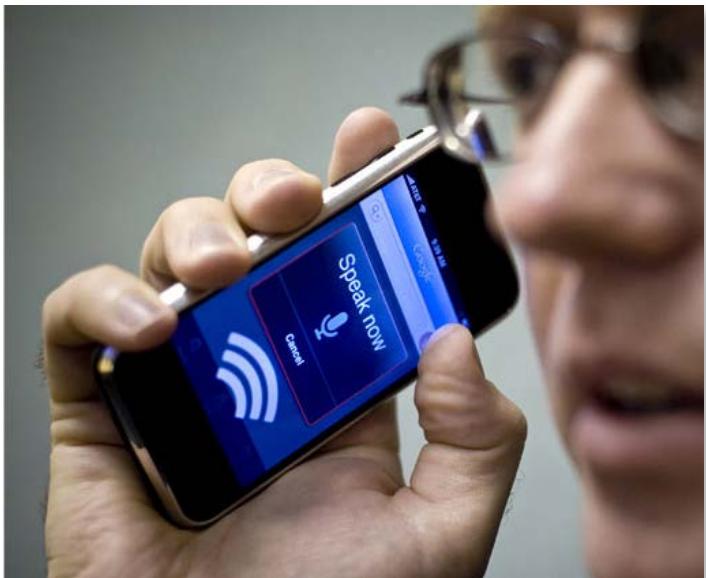
(Pallett'03, Saon'15, Saon'16)



# Impact of deep learning

- Great performance improvement
  - DNNs are more robust to input variations  
→ bring improvements for all tasks (LVCSR, DSR, ...)
- Robustness is still an issue (Seltzer'14, Delcroix'13)
  - Speech enhancement/adaptation improve performance  
Microphone array, fMLLR, ...
- Reshuffling the cards
  - Some technologies relying on GMMs became obsolete,  
VTS, MLLR ...
  - Some technologies became less effective,  
VTLN, Single channel speech enhancement, ...
  - New opportunities,
    - Exploring long context information for recognition/enhancement
    - Front-end/back-end joint optimization, ...

# Towards distant ASR (DSR)



Close-talking microphone

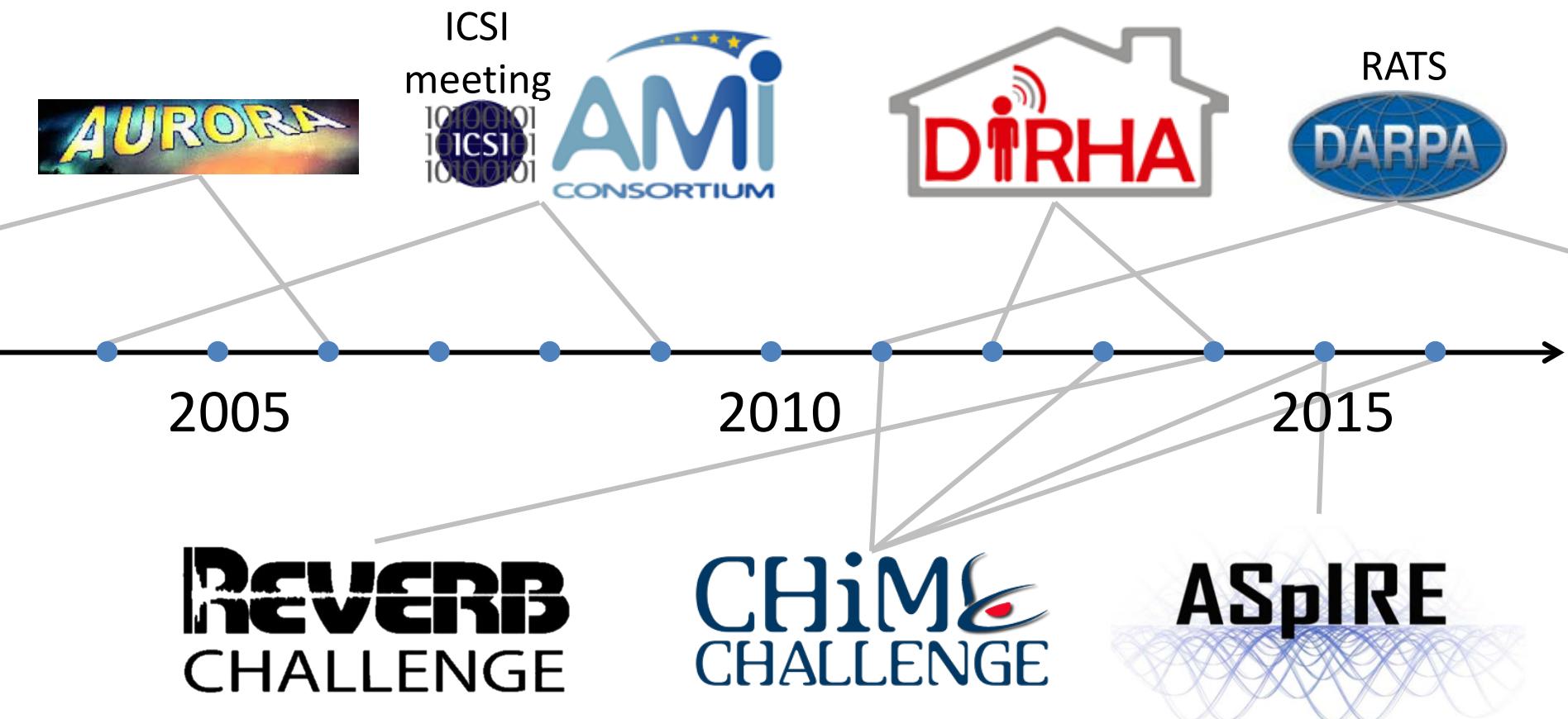
e.g., *voice search*



Distant microphone

e.g., *Human-human comm.,  
Human-robot comm.*

# Interest for DSR - Academia



# Interest for DSR - Industry



Robots



Home assistants



Game consoles



Voiced controlled appliances

## 1.2 Challenges of DSR

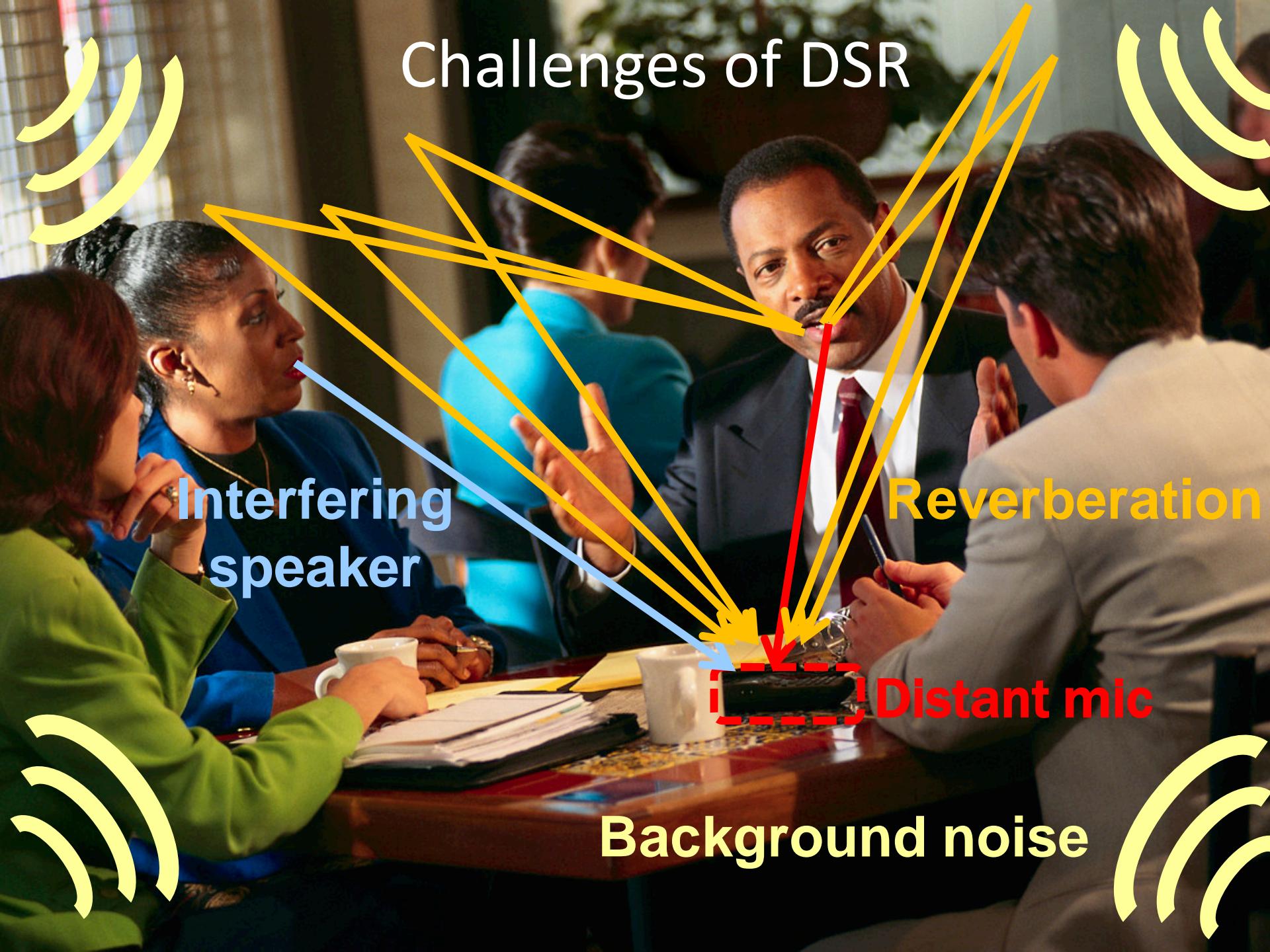
# Challenges of DSR

Interfering  
speaker

Reverberation

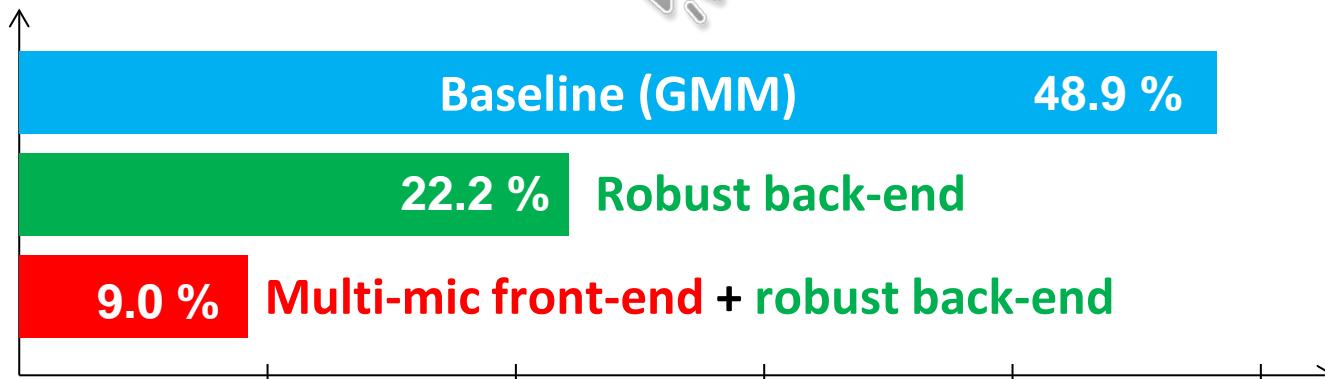
Distant mic

Background noise

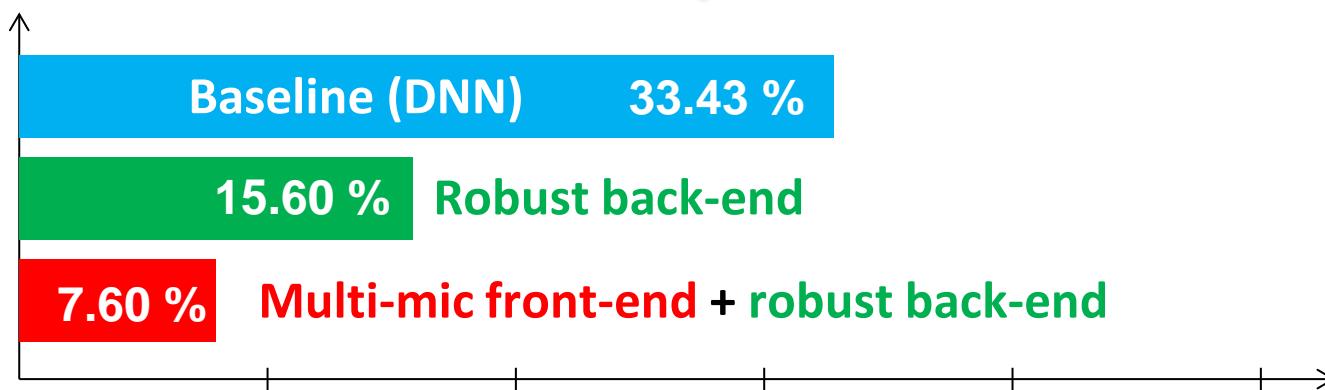


# Recent achievements

- REVERB 2014 (WER)

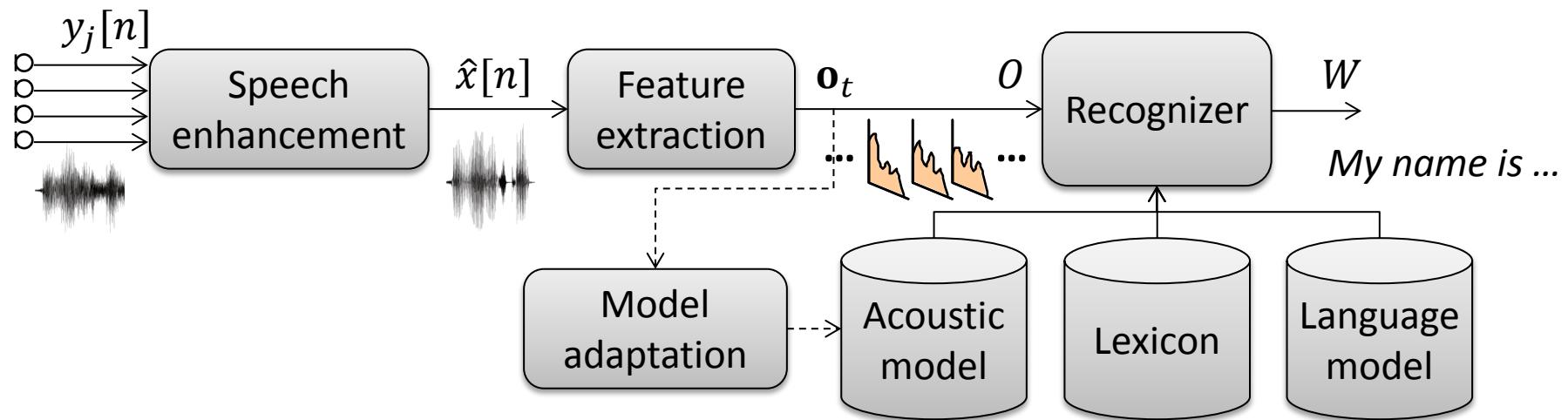


- CHiME-3 2015 (WER)



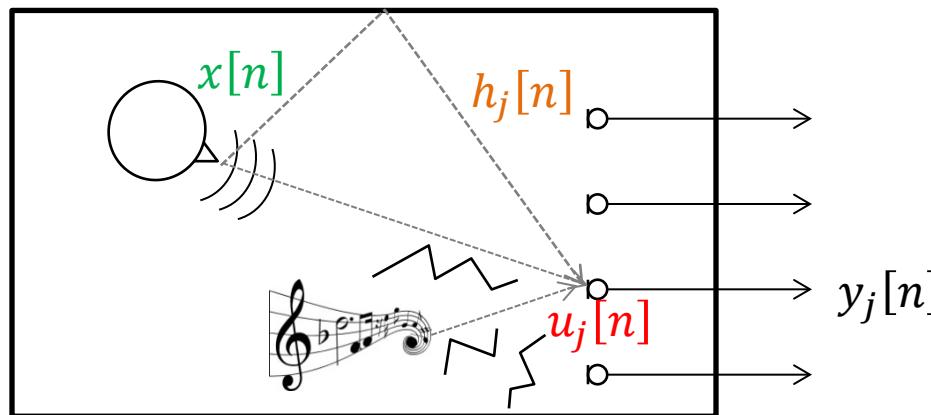
## 1.3 Overview of DSR systems

# DSR system



# Signal model – Time domain

- Speech captured with a distant microphone array



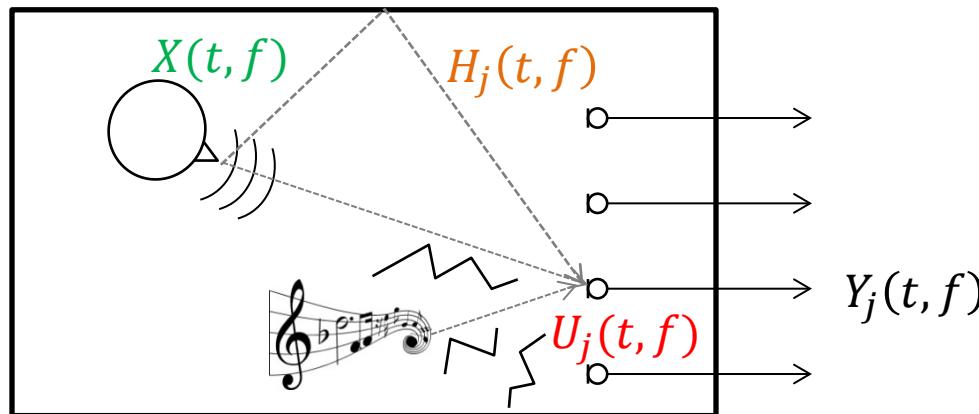
- Microphone signal at  $j^{\text{th}}$  microphone

$$y_j[n] = \sum_l h_j[l]x[n - l] + u_j[n] = h_j[n] * x[n] + u_j[n]$$

- $x[n]$  Target clean speech
- $h_j[n]$  Room impulse response
- $u_j[n]$  Additive noise (background noise, ...)
- $n$  Time index

# Signal model - STFT domain

- Speech captured with a distant microphone array



- Microphone signal at  $j^{th}$  microphone:

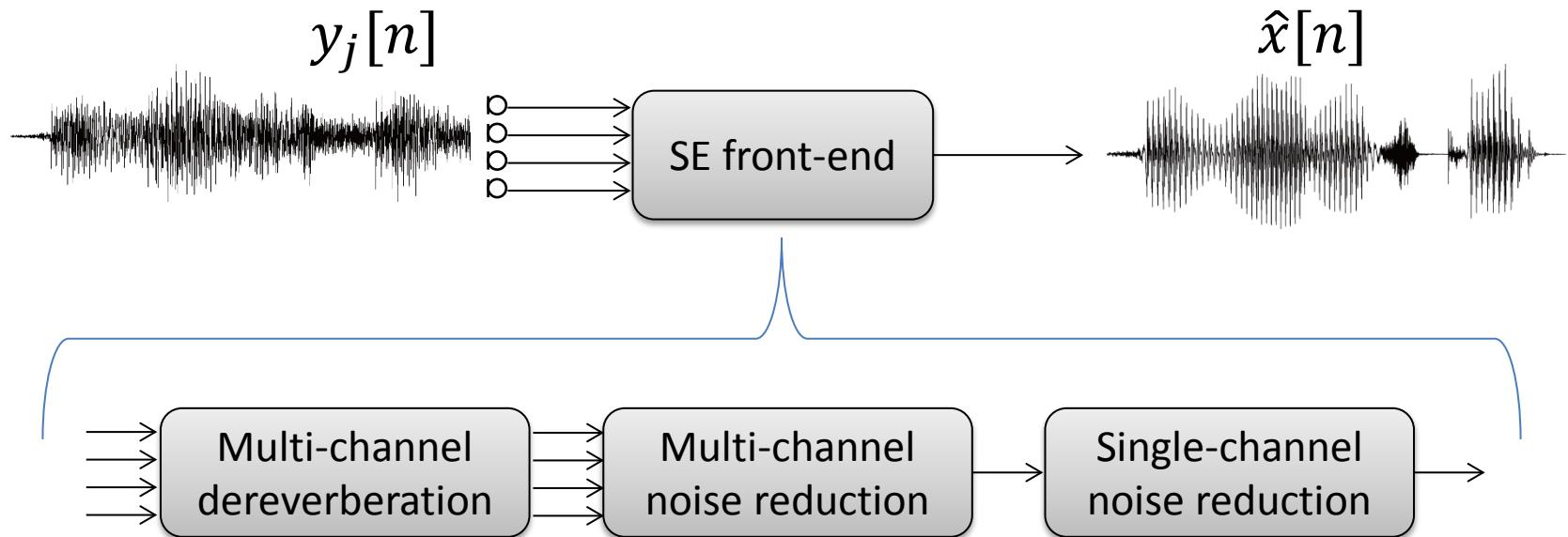
$$Y_j(t, f) \approx \sum_m H_j(m, f) X(t - m, f) + U_j(t, f) = H_j(t, f) * X(t, f) + U_j(t, f)$$

- $X(t, f)$  Target clean speech
- $H_j(t, f)$  Room impulse response
- $U_j(t, f)$  Additive noise
- $(t, f)$  time frame index and frequency bin index

Approximate a long-term convolution in the time domain as a convolution in the STFT domain, because  $h_i[n]$  is longer than the STFT analysis window

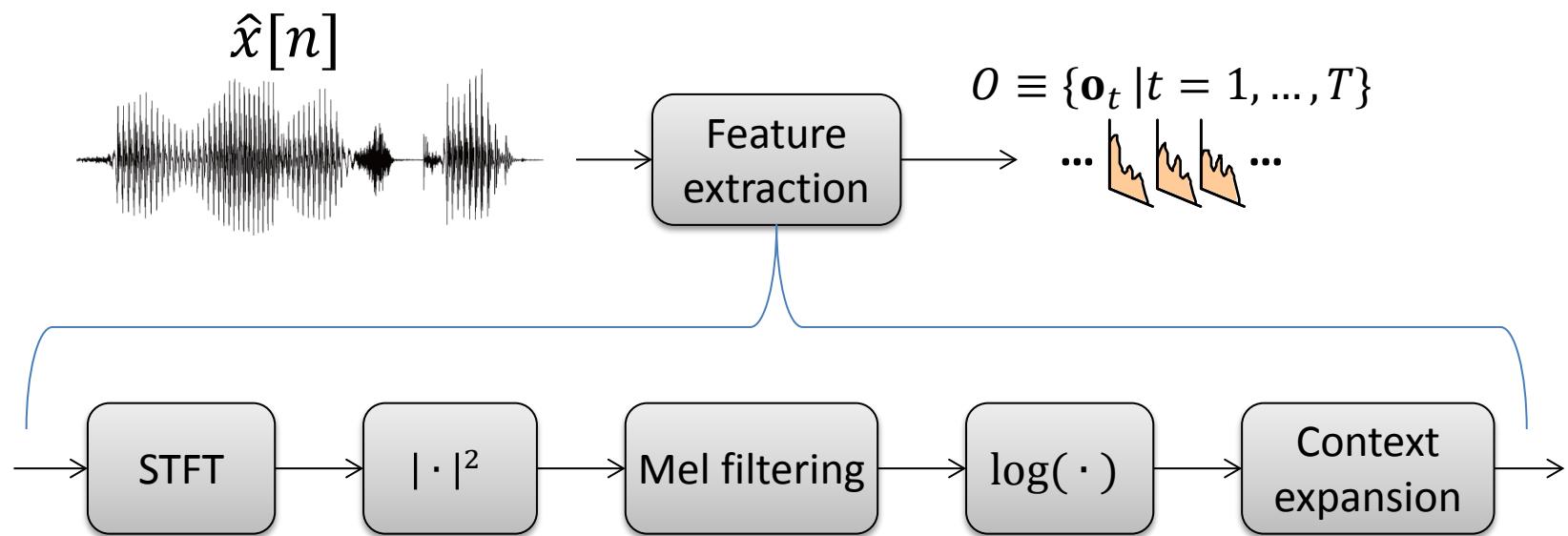
# Speech enhancement (SE) front-end

- Reduce mismatch between the observed signal and the acoustic model caused by noise and reverberation



# Feature extraction

- Converts a speech signal to a sequence of speech features more suited for ASR, typically log mel filterbank coefficients
- Append left and right context

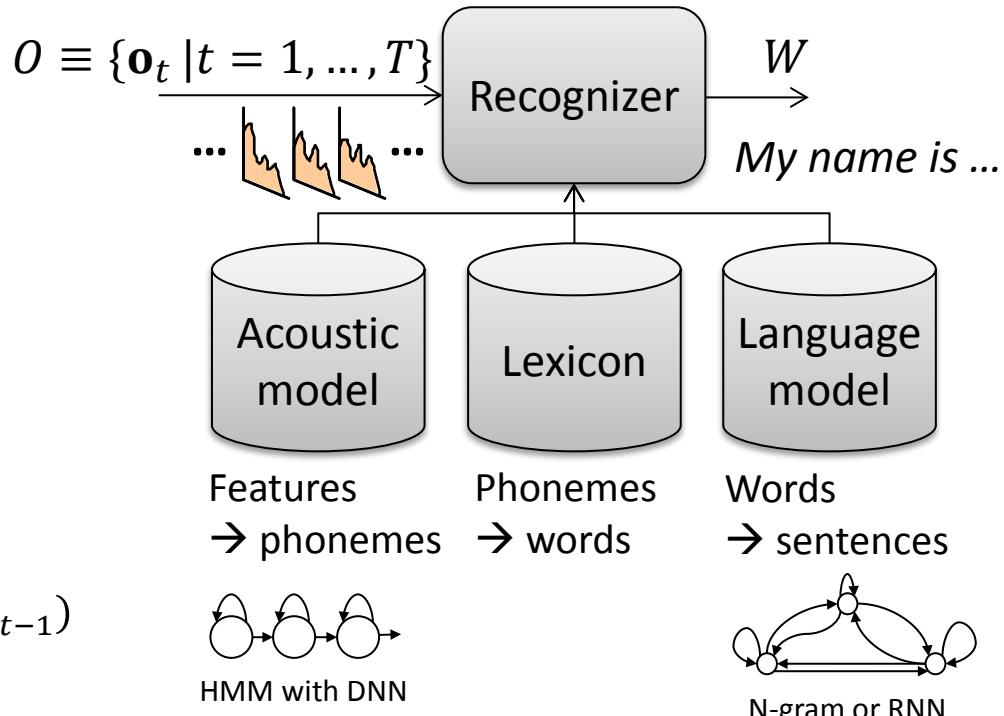


# Recognition

- Speech recognition

- Bayes decision theory(MAP):

$$\begin{aligned}\hat{W} &= \arg \max_W p(W|O) \\ &= \arg \max_W p(O|W)p(W)\end{aligned}$$



- Acoustic model

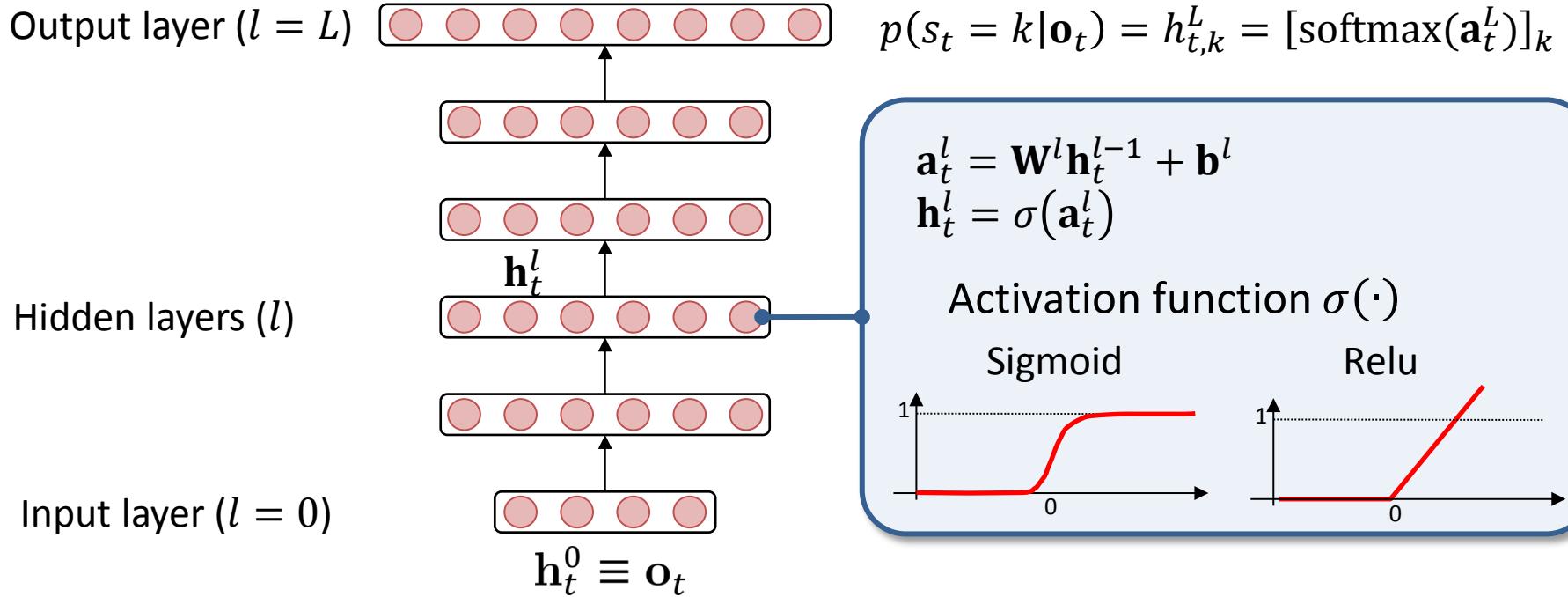
- HMM:

$$p(O|S) = p(\mathbf{o}_1|s_1)p(s_1) \prod_{t=2}^T p(\mathbf{o}_t|s_t)p(s_t|s_{t-1})$$

Where  $s_t$  is an HMM state index

- HMM state emission probability,  $p(\mathbf{o}_t|s_t)$  obtained as the output of a deep neural network (DNN)

# Basics of deep neural networks



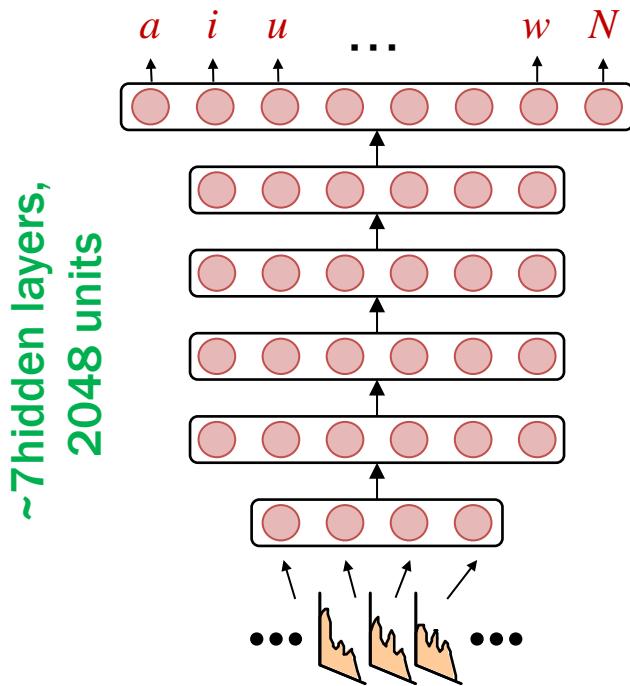
- Trained using error back-propagation
- Training criterion, cross entropy, MMSE, State-level MBR, ...

# DNN-based acoustic modeling

(Hinton'12, Mohamed'12)

Output HMM state

1,000 ~ 10,000 units



Input speech features

Log mel filterbank  
+ 11 context frames

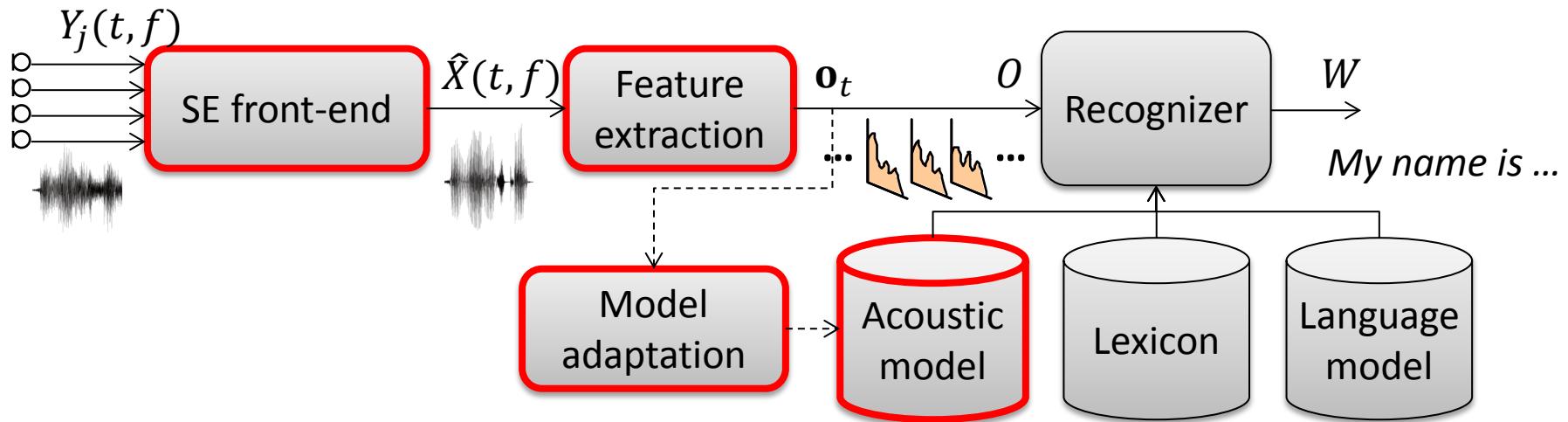
- Minimize cross entropy

$$J(\theta) = - \sum_t \sum_k \tau_{t,k} \log h_{t,k}^L(\theta)$$

- $\tau_{t,k}$  Target label
- $h_{t,k}^L$  Network output
- $\theta$  Network parameters

- Optimization using error backpropagation
- Use large amount of speech training data with the associated HMM state alignments

# Content of the tutorial



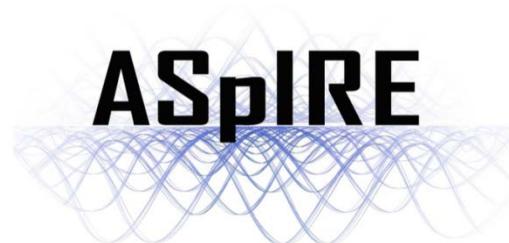
In this tutorial we describe some representative approaches for each of the main components of a DSR system

# Topics not covered in this tutorial

- Voice activity detection
- Keyword spotting
- Multi-speaker / Speaker diarization
- Online processing
- Data simulation
- Lexicon, Language modeling and decoding

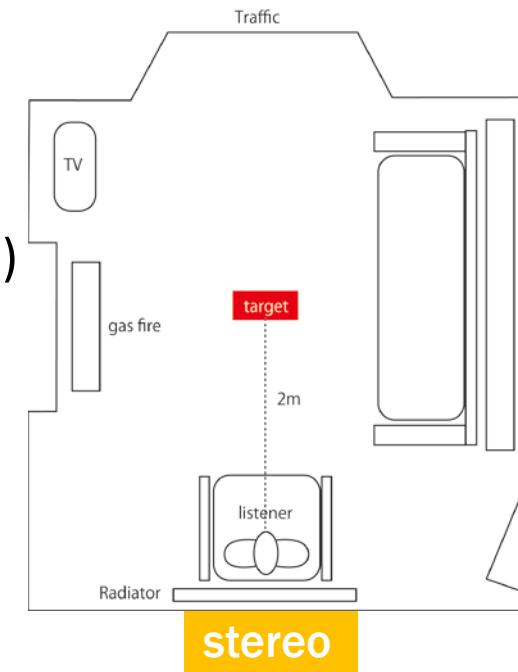
## 1.4 Overview of related tasks

# Robust ASR tasks



- Distant speech recognition in living room
  - Acoustic conditions
    - Simulated distant speech
    - SNR: -6dB to - 9dB
  - # mics : 2
  - CHiME 1: Command (Grid corpus) + noise (living room)
  - CHiME 2 (WSJ): WSJ (5k) + noise (living room)

[http://spandh.dcs.shef.ac.uk/chime\\_challenge](http://spandh.dcs.shef.ac.uk/chime_challenge)



- Noisy speech recognition using a tablet
  - Recording conditions
    - Noise types: Bus, Café, Street, Pedestrian
    - # mics: 6 (CHiME3); 1, 2, 6 (CHiME4)
    - Simulated and real recordings
  - Speech
    - Read speech (WSJ (5k))



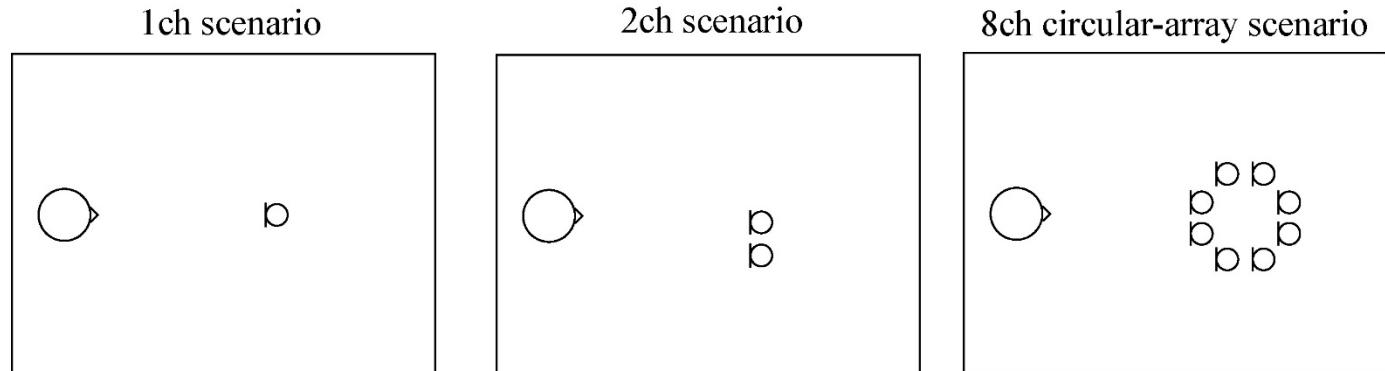
[http://spandh.dcs.shef.ac.uk/chime\\_challenge](http://spandh.dcs.shef.ac.uk/chime_challenge)



- Reverberant speech recognition
  - Recording conditions
    - Reverberation (RT 0.2 to 0.7 s.)
    - Noise type: stationary noise (SNR ~20dB)
    - # mics: 1, 2, 8
    - Simulated and real recordings (MC-WSJ-AV)
  - Speech
    - Read speech (WSJ CAM0 (5k))



<http://reverb2014.dereverberation.com>



- Meeting recognition corpus
  - Recording conditions
    - Multi-speaker conversations
    - Reverberant rooms
    - # mics: 8
    - Real recordings
  - Speech
    - Spontaneous meetings (8k)



<http://corpus.amiproject.org/>



# AURORA

(Parihar'02)

- Aurora 4
  - Recording conditions
    - Noise types: car, babble, street, airport, train, restaurant
    - SNR: 5-15 dB
    - Channel distortion
    - # mics: 1
    - Simulation
  - Speech
    - Read speech (WSJ (5k))

<http://aurora.hsnr.de/index-2.html>

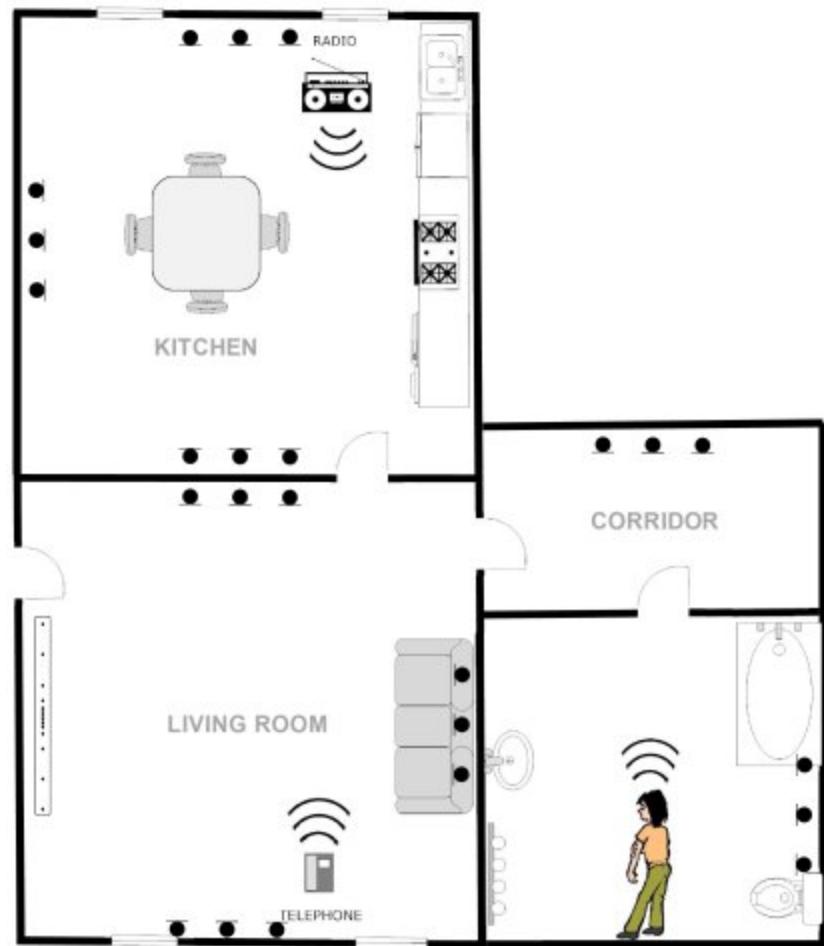
(Harper'15)

- Large vocabulary reverberant speech
  - Recording conditions
    - Reverberant speech
    - 7 different rooms (classrooms and office rooms) with various shapes, sizes, surface properties, and noise sources
    - # mics: 1 or 6
  - Speech
    - Training data: Fisher corpus (2000 h of telephone speech)

<https://www.iarpa.gov/index.php/working-with-iarpa/prize-challenges/306-automatic-speech-in-reverberant-environments-aspire-challenge>

- Multi-microphone and multi-language database
  - Acoustic conditions
    - Noise/reverberation recorded in an apartment
    - # mics: 40
    - Simulation
  - Speech
    - Multi-language (4 languages)
    - Various styles, command, keyword, spontaneous, ...

<http://dirha.fbk.eu/simcorpora>



# Summary of tasks

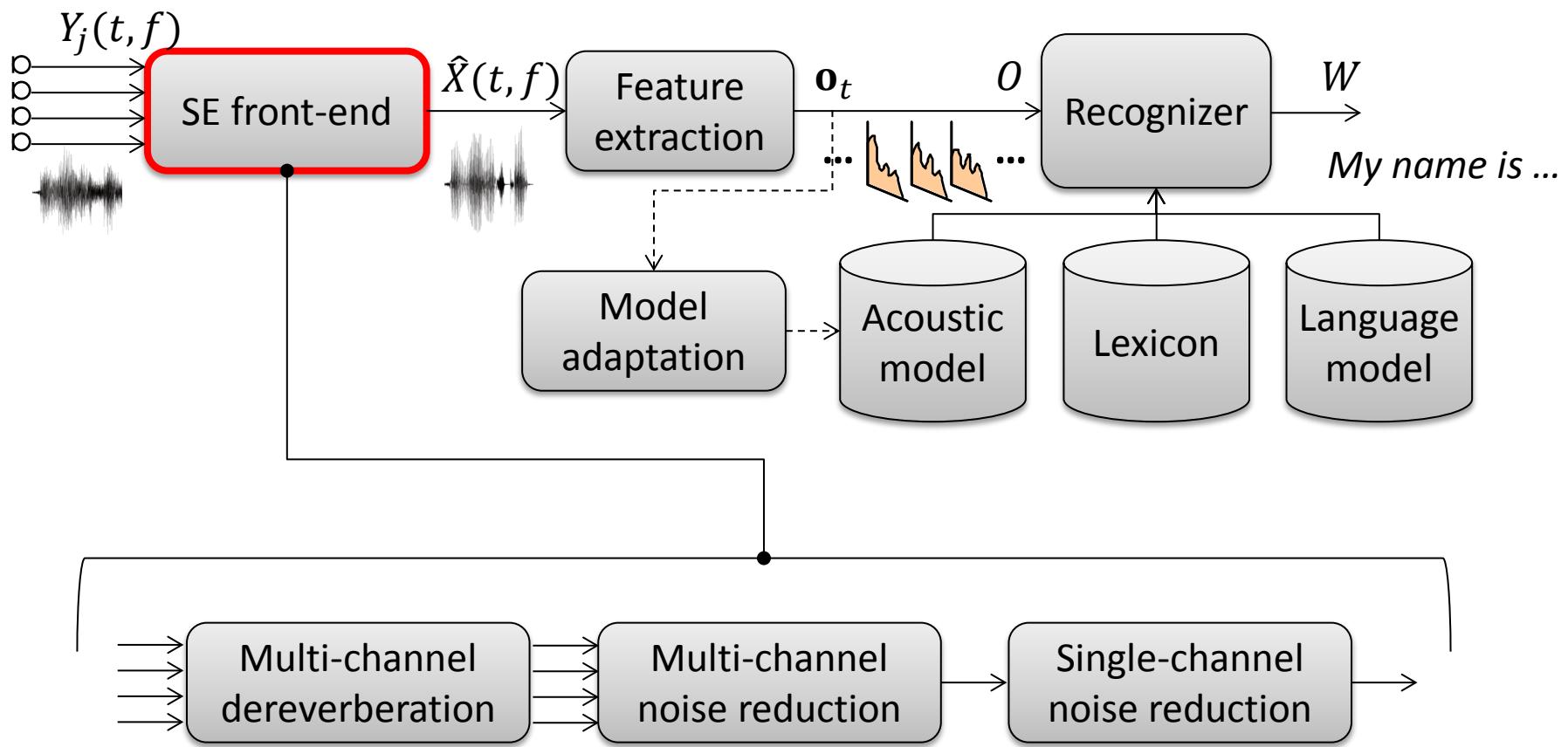
	Vocab size	Amount of training data	Real/Simu	Type of distortions	# mics	Mic-speaker distance	Ground truth
ASpIRE	100K	~ 2000 h	Real	Reverberation	8/1	N/A	N/A
AMI	11	75 h	Real	Multi-speaker conversations Reverberation and noise	8	N/A	Headset
Aurora4	5K	7,138 utt. (~ 14 h)	Simu	Additive noise + channel distortion (SNR 5-15dB)	1	N/A	Clean
CHiME1	50	17,000 utt.	Simu	Non-stationary noise recorded in a living room (SNR -6dB – 9dB) Reverberation from recorded impulse responses	2	2m	Clean
CHiME2 (WSJ)	5K	7138 utt. (~ 15 h)	Simu	Same as CHiME1	2	2m	Clean
CHiME3	5K	8738 utt. (~ 18 h)	Simu + Real	Non-stationary noise in 4 environments	6	0.5m	Close talk mic.
CHiME4	5K	8738 utt. (~ 18 h)	Simu + Real	Non-stationary noise in 4 environments	6/2/1	0.5m	Close talk mic.
REVERB	5K	7861 utt.. (~ 15 h)	Simu + Real	Reverberation in different living rooms (RT60 from 0.25 to 0.7 sec.) + stationary noise (SNR ~ 20dB)	8/2/1	0.5 m – 2m	Clean /Headset

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- (Vincent'13) Vincent, E. et al. "The second 'CHiME' speech separation and recognition challenge: Datasets, tasks and baselines," Proc. ICASSP (2013).

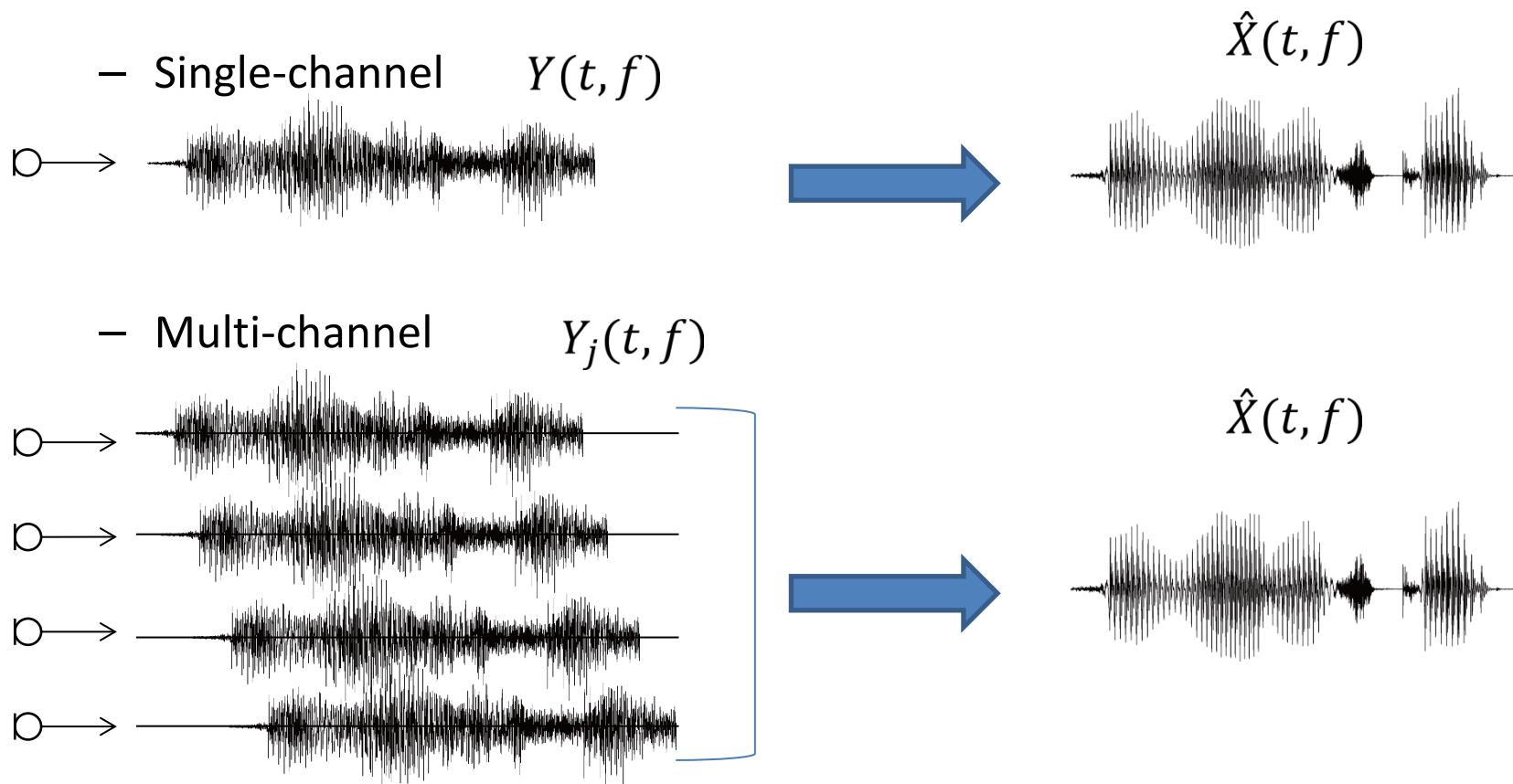
## 2. Front-end techniques for distant ASR

# SE Front-end



# Speech enhancement (SE)

- Reduce mismatch between observed speech and ASR back-end due to noise/reverberation



# Type of processing

- Linear processing

- Linear filter constant for long segments

$$Y(t, f) \quad \xrightarrow{\hspace{1cm}} \quad \hat{X}(t, f) = W^*(f)Y(t, f)$$

- Non-linear processing

- Linear filter changing for each time-frame

$$Y(t, f) \quad \xrightarrow{\hspace{1cm}} \quad \hat{X}(t, f) = W^*(t, f)Y(t, f)$$

- Non-linear transformation

$$Y(t, f) \quad \xrightarrow{\hspace{1cm}} \quad \hat{X}(t, f) = F(Y(t, f))$$

With  $F(\cdot)$  Non-linear function

# Categorization of SE front-ends

	Single-channel	Multi-channel
Linear processing	<ul style="list-style-type: none"><li>• WPE dereverberation (Nakatani'10)</li></ul>	<ul style="list-style-type: none"><li>• Beamforming (Van Trees'02)</li><li>• WPE dereverberation (Nakatani'10)</li><li>• Neural network-based enhancement (Heymann'15)</li></ul>
Non-linear processing	<ul style="list-style-type: none"><li>• Spectral subtraction (Boll'79)</li><li>• Wiener filter (Lim'79)</li><li>• Time-frequency masking(Wang'06)</li><li>• NMF (Virtanen'07)</li><li>• Neural network-based enhancement (Xu'15, Narayanan'13, Weninger'15)</li></ul>	<ul style="list-style-type: none"><li>• Time-frequency masking (Sawada'04)</li><li>• NMF (Ozerov'10)</li><li>• Neural network-based enhancement (Xiao'16)</li></ul>

# Categorization of SE front-ends

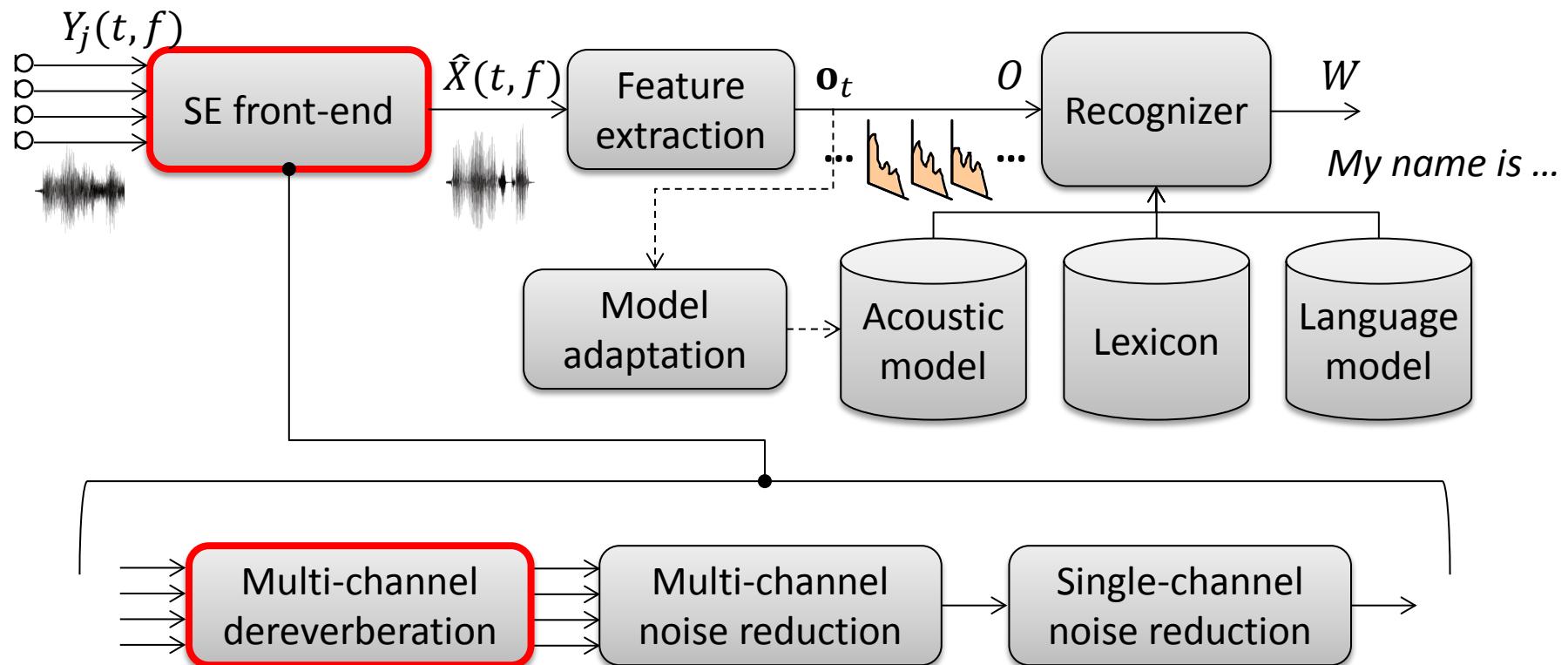
	Single-channel	Multi-channel
Linear processing	<ul style="list-style-type: none"><li>• <b>WPE dereverberation</b> (Nakatani'10)</li></ul>	<ul style="list-style-type: none"><li>• <b>Beamforming</b> (Van Trees'02)</li><li>• <b>WPE dereverberation</b> (Nakatani'10)</li><li>• <b>Neural network-based enhancement</b> (Heymann'15)</li></ul>
Non-linear processing	<ul style="list-style-type: none"><li>• Spectral subtraction (Boll'79)</li><li>• Wiener filter (Lim'79)</li><li>• Time-frequency masking(Wang'06)</li><li>• NMF (Virtanen'07)</li><li>• <b>Neural network-based enhancement</b> (Xu'15, Narayanan'13, Weninger'15)</li></ul>	<ul style="list-style-type: none"><li>• Time-frequency masking (Sawada'04)</li><li>• NMF (Ozerov'10)</li><li>• <b>Neural network-based enhancement</b> (Xiao'16)</li></ul>

Focus on

- Linear processing
- Neural network-based enhancement

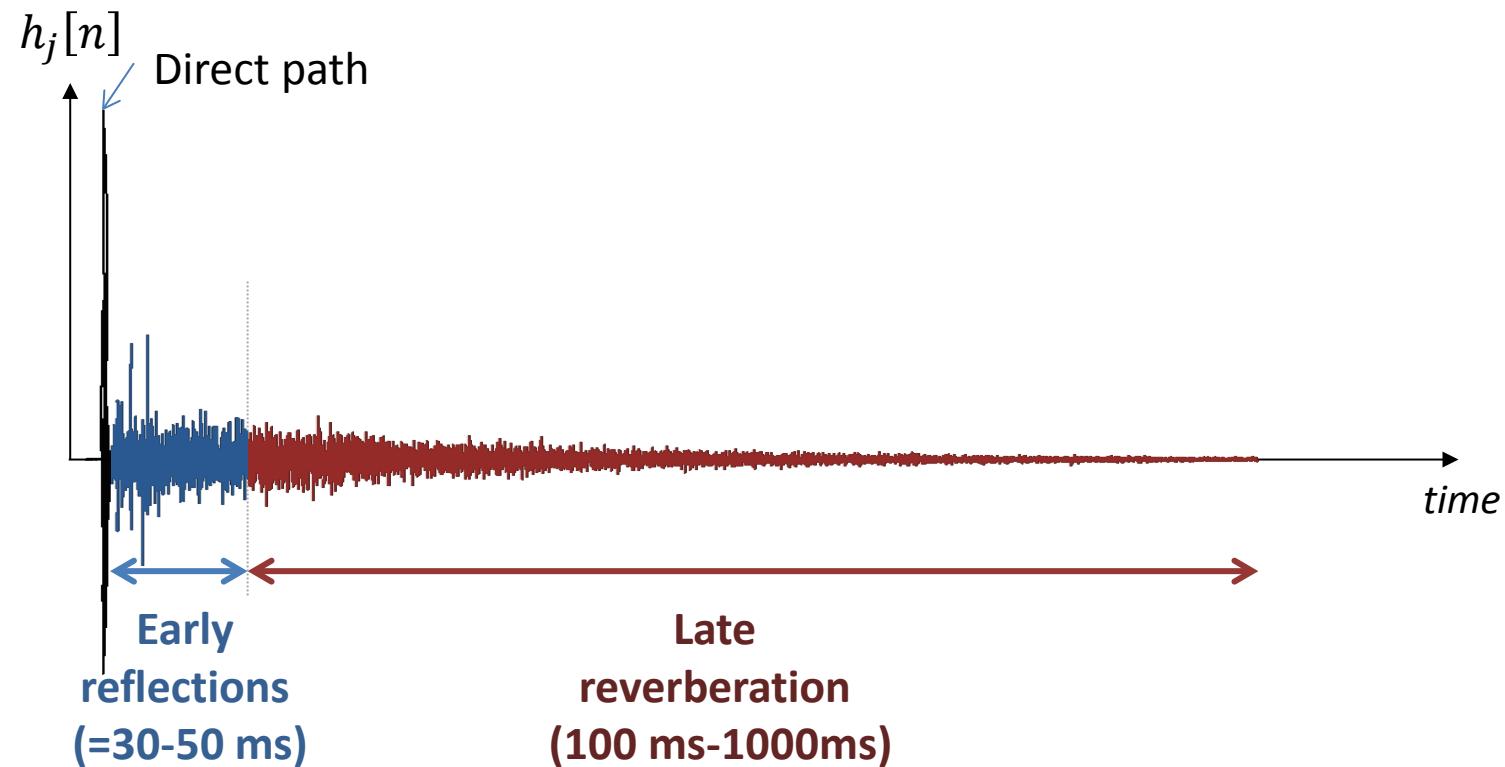
Have been shown to interconnect well with ASR back-end

## 2.1 Dereverberation



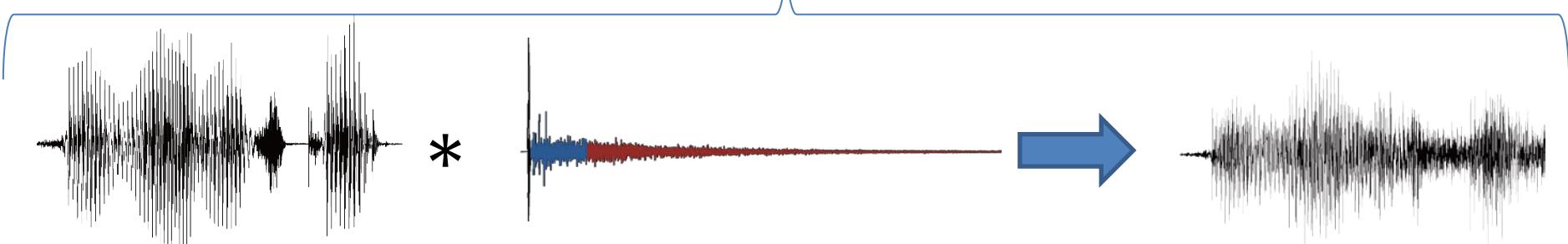
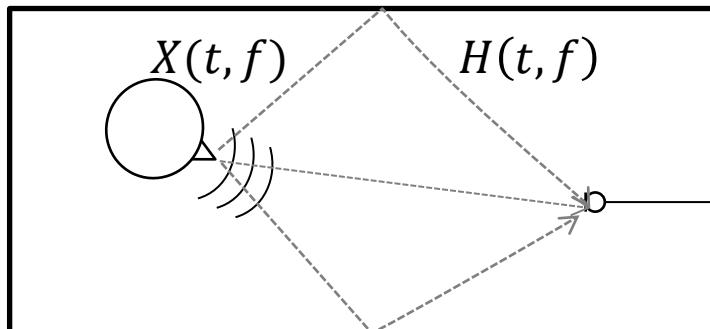
# Room impulse response

- Models the multi-path propagation of sound caused by reflections on walls and objects (Kuttruff'09)
  - Length 200-1000 ms in typical living rooms



# Reverberant speech

(Yoshioka'12b)



$$Y(t, f) = H_j(t, f) * X(t, f) + U(t, f)$$

$$= \sum_{\tau=0}^d H(\tau, f)X(t - \tau, f) + \sum_{\tau=d+1}^T H(\tau, f)X(t - \tau, f) + U(t, f)$$

Direct + Early  
sound reflections

$$D(t, f)$$

Late  
reverberation

$$L(t, f)$$

Neglect noise for the  
derivations

Dereverberation aims at suppressing late reverberation

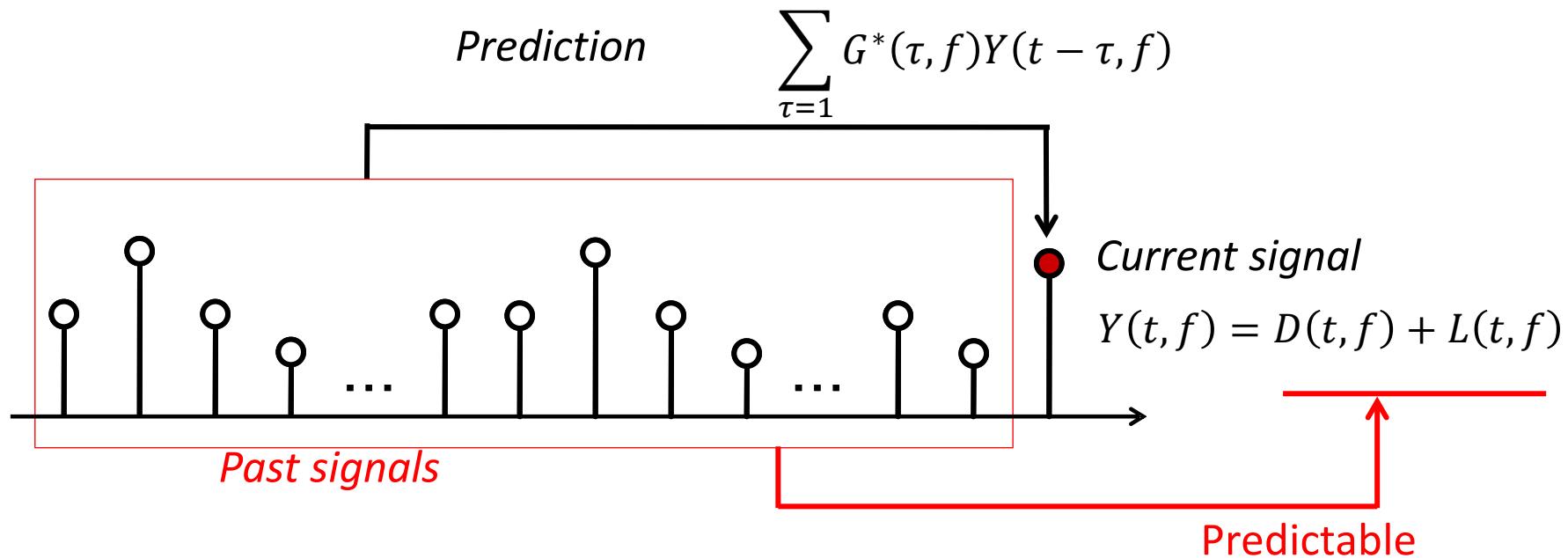
# Dereverberation

- Linear filtering
  - Weighted prediction error
- Non-linear filtering
  - Spectral subtraction using a statistical model of late reverberation  
(Lebart'01, Tachioka'14)
  - Neural network-based dereverberation (Weninger'14)

# Linear prediction (LP)

(Haykin'96)

- Reverberation: linear filter  
→ Can predict reverberation from past observations using linear prediction  
*(under some conditions)*

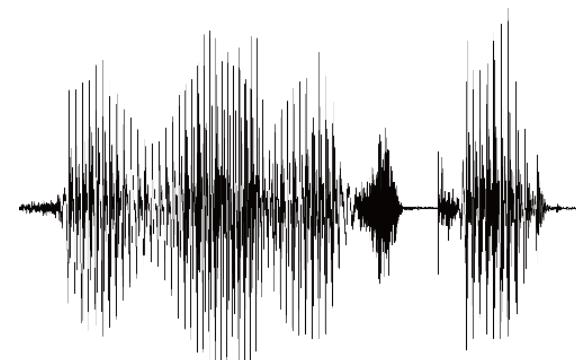


$$\text{Dereverberation: } \hat{D}(t, f) = Y(t, f) - \sum_{\tau} G^*(\tau, f) Y(t - \tau, f)$$

→  $D(t, f)$  and  $L(t, f)$  are both reduced

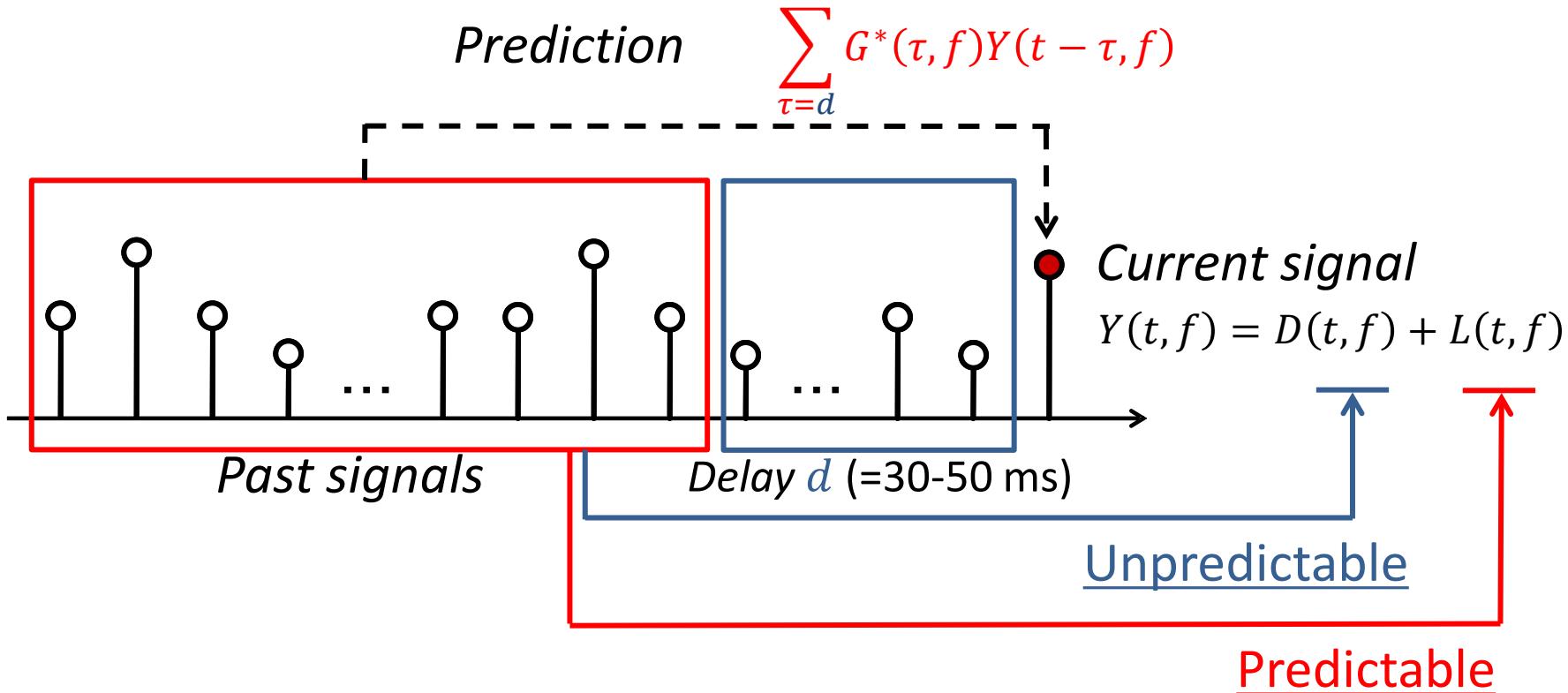
# Problem of LP-based speech dereverberation

- LP predicts both early reflections and late reverberation
  - Speech signal exhibits short-term correlation (30-50 ms)  
→ LP suppresses also the short-time correlation of speech
- LP assumes the target signal follows a stationary Gaussian distribution
  - Speech is not stationary Gaussian  
→ LP destroys the time structure of speech
- Solutions:
  - Introduce a prediction delay (Kinoshita'07)
  - Introduce better modeling of speech signals (Nakatani'10, Yoshioka'12, Jukic'14)



# Delayed linear prediction (LP)

(Kinoshita'07)



Delayed LP can only predict  $L(t, f)$  from past signals

→ Only reduce  $L(t, f)$

# Estimation of prediction coefficients

(Nakatani'10, Yoshioka'12)

**Delayed LP:**  $\hat{D}(t, f) = Y(t, f) - \sum_{\tau=d} G^*(\tau, f)Y(t - \tau, f)$

- ML estimation for stationary signal

$$\{\hat{G}(\tau, f)\} = \operatorname{argmin}_{\{G(\tau, f)\}} \sum_t \left\| Y(t, f) - \sum_{\tau=d} G^*(\tau, f)Y(t - \tau, f) \right\|^2$$

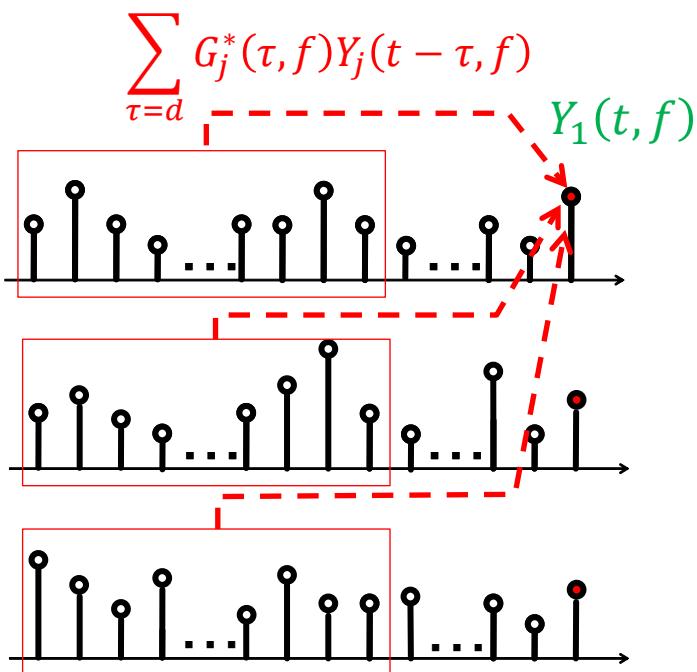
- For non-stationary signal with time-varying power  $\phi_D(t, f)$

$$\{\hat{G}(\tau, f)\} = \operatorname{argmin}_{\{G(\tau, f)\}} \sum_t \frac{\|Y(t, f) - \sum_{\tau=d} G^*(\tau, f)Y(t - \tau, f)\|^2}{\phi_D(t, f)}$$

Weighted prediction error (**WPE**)

# Multi-channel extension

- Exploit past signals from all microphones to predict current signal at a microphone



$$\begin{aligned}\widehat{D}(t, f) &= Y_1(t, f) - \sum_{j=1}^J \sum_{\tau=d}^L G_j^*(\tau, f) Y_j(t - \tau, f) \\ &= Y_1(t, f) - \mathbf{g}_f^H \mathbf{y}_{t-d, f}\end{aligned}$$

$$\mathbf{y}_{j,t,f} = [Y_j(t, f) \dots Y_j(t - L, f)]^T$$

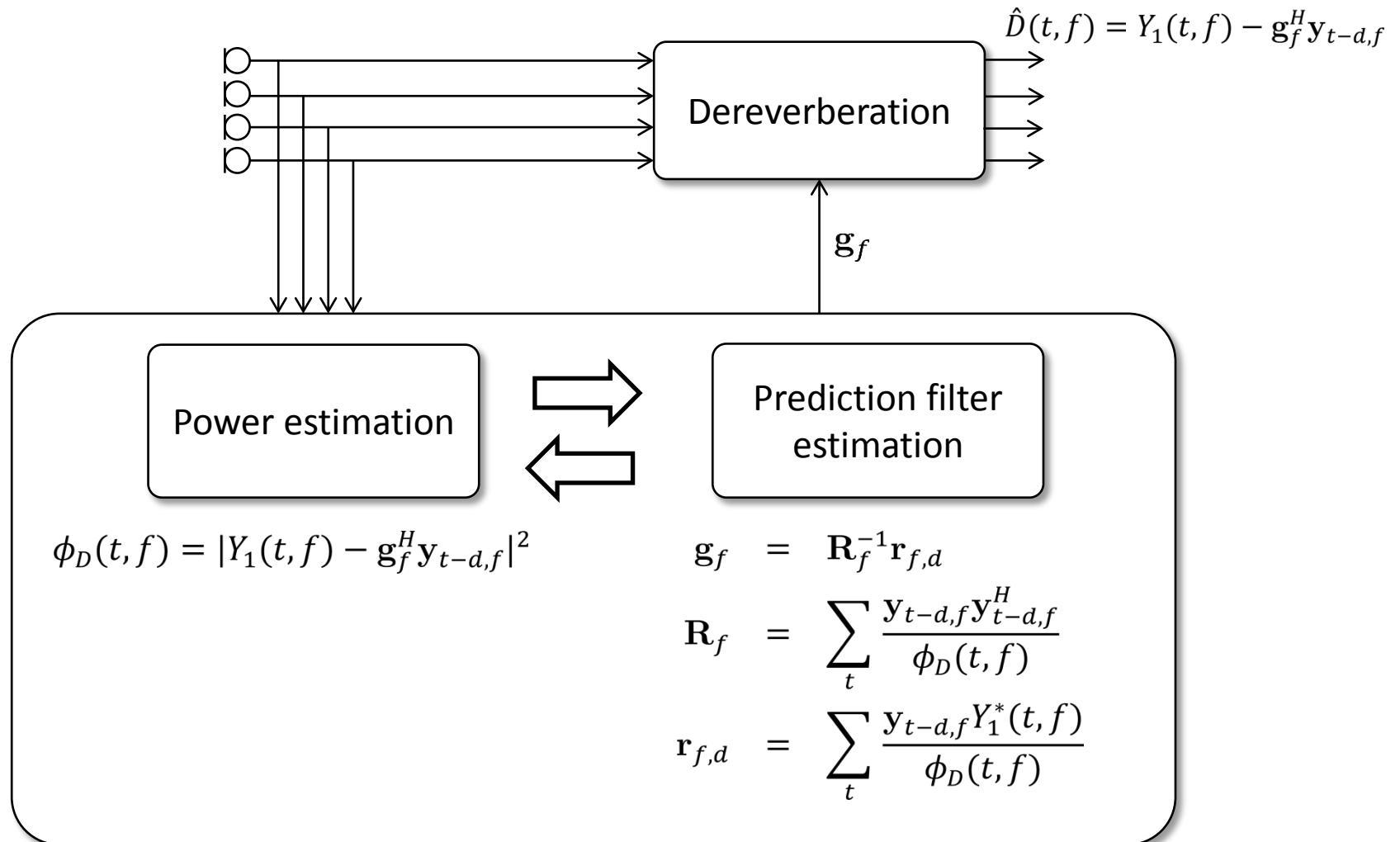
$$\mathbf{y}_{t,f} = [\mathbf{y}_{1,t,f}^T, \dots, \mathbf{y}_{J,t,f}^T]^T$$

$$\mathbf{g}_{j,f} = [G_j(1, f) \dots G_j(L, f)]^T$$

$$\mathbf{g}_f = [\mathbf{g}_{1,f}^T, \dots, \mathbf{g}_{J,f}^T]^T$$

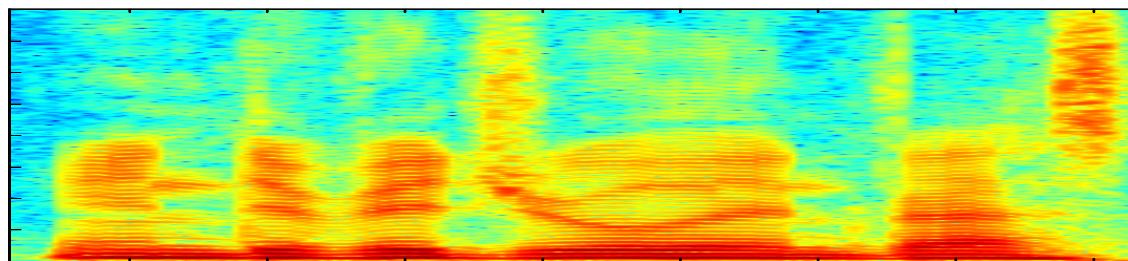
- Prediction filter obtained as  $\hat{\mathbf{g}}_f = \underset{\mathbf{g}_f}{\operatorname{argmin}} \sum_t \frac{\|Y_1(t, f) - \mathbf{g}_f^H \mathbf{y}_{t-d, f}\|^2}{\phi_D(t, f)}$
- Can output multi-channel signals

# Processing flow of WPE

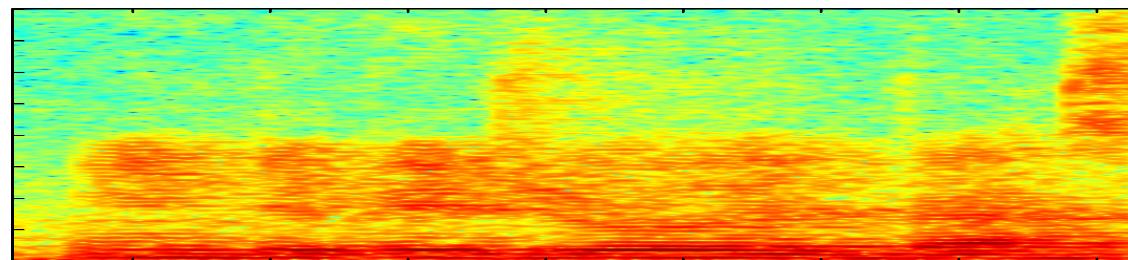


# Sound demo from REVERB challenge (Delcroix'14)

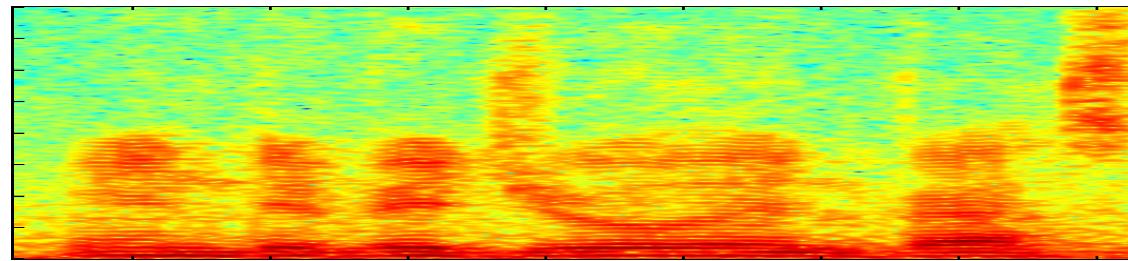
**Headset**



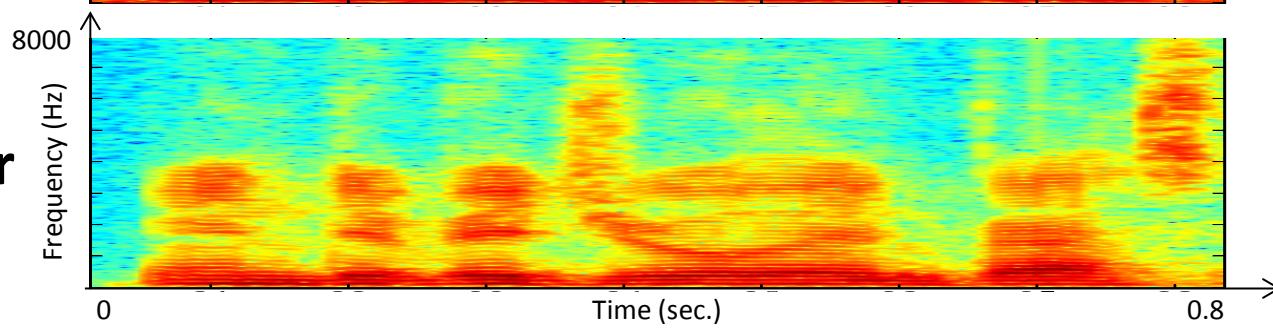
**Distant  
(RealData)**



**Derev**



**Derev  
+ beamformer**



# Results for REVERB and CHiME3

Front-end	REVERB (8 ch)	CHiME3 (6 ch)
-	19.2 %	15.6 %
WPE	12.9 %	14.7 %
WPE + MVDR Beamformer	9.3 %	7.6 %

Results for the REVERB task (Real Data, eval set) (Delcroix'15)

- DNN-based acoustic model trained with augmented training data
- Environment adaptation
- Decoding with RNN-LM

Results for the CHiME 3 task (Real Data, eval set) (Yoshioka'15)

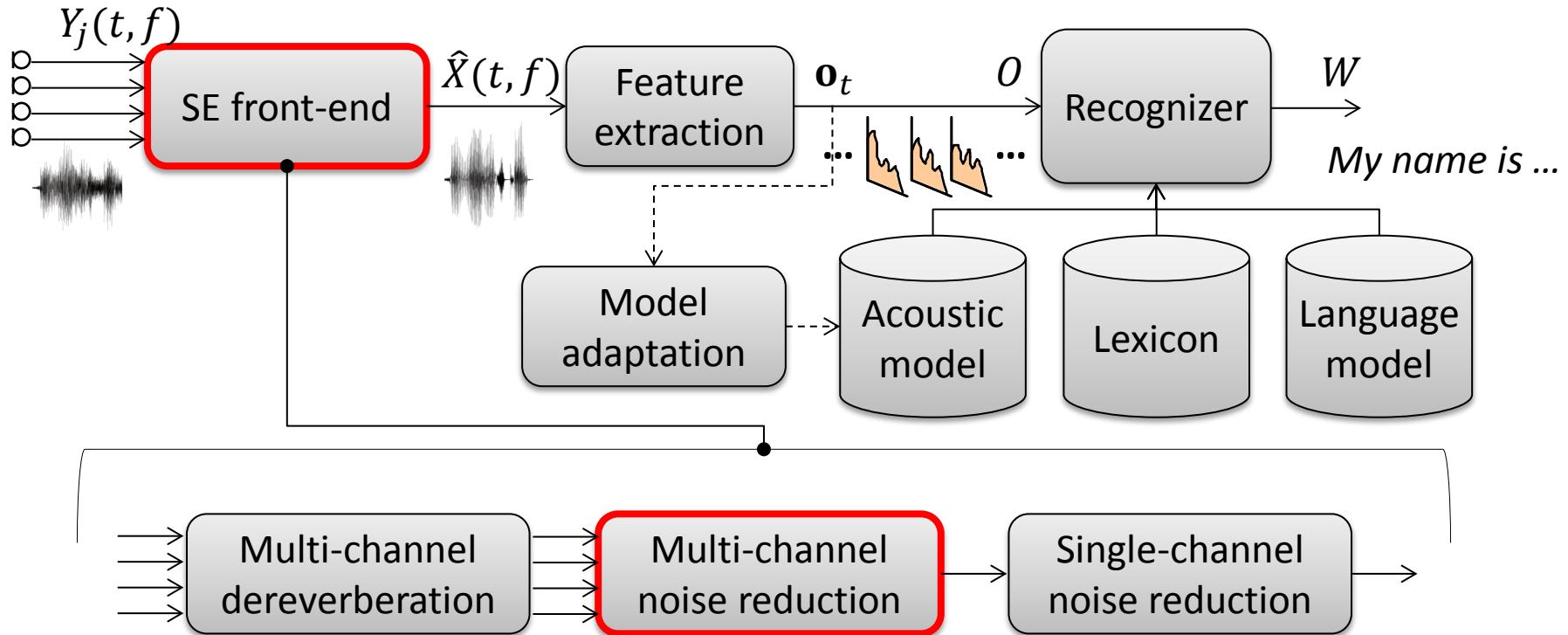
- Deep CNN-based acoustic model trained with 6 channel training data
- No speaker adaptation
- Decoding with RNN-LM

# Remarks

- Precise speech dereverberation with linear processing
  - Can be shown to cause no distortion to the target speech  
→ Particularly efficient as an ASR front-end
- Can output multi-channel signals  
→ Suited for beamformer pre-processing
- Relatively robust to noise
- Efficient implementation in STFT domain
- A few seconds of observation are sufficient to estimate the prediction filters

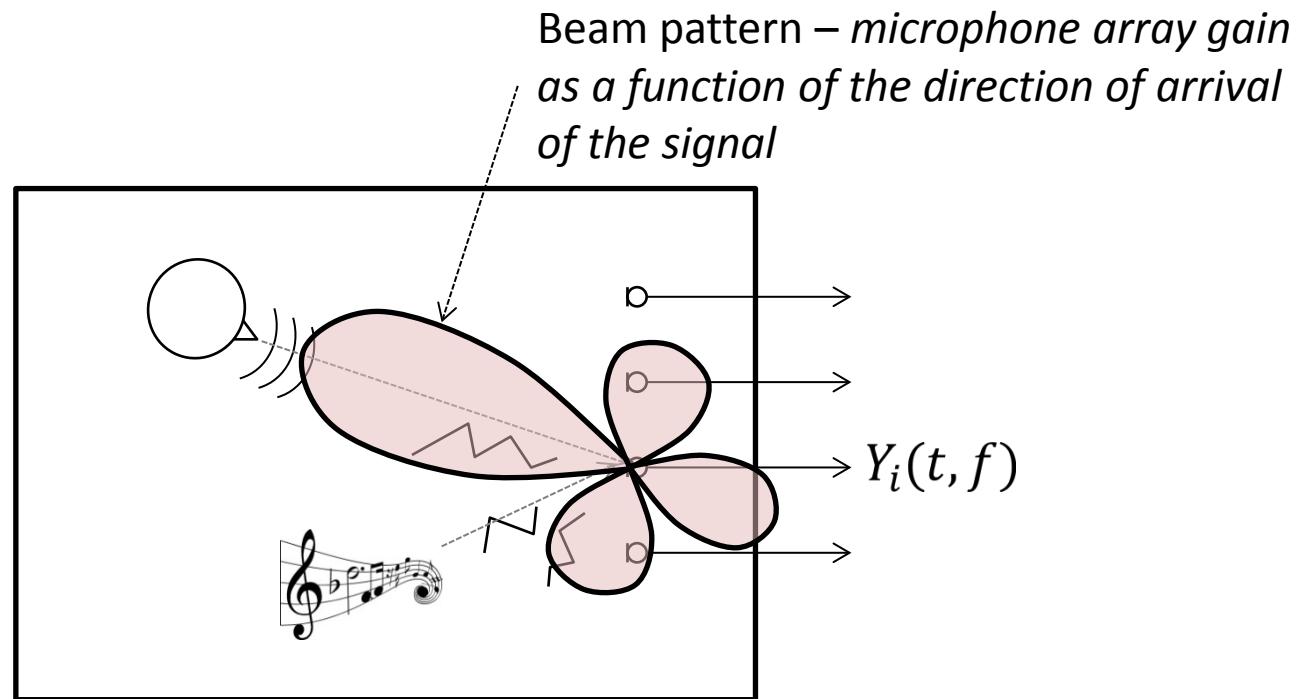
Matlab p-code available at: [www.kecl.ntt.co.jp/icl/signal/wpe](http://www.kecl.ntt.co.jp/icl/signal/wpe)

## 2.2 Beamforming



# Principle

- Pickup signals in the direction of the target speaker
- Attenuate signals in the direction of the noise sources



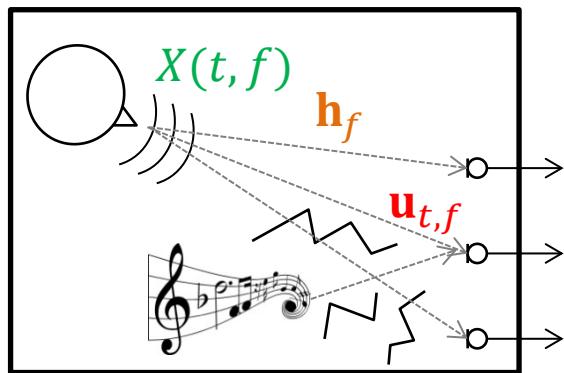
# Microphone signal model

- Consider room impulse responses only within the STFT analysis window
  - Late reverberation as diffusive noise and included into the noise term

$$\begin{aligned} Y_j(t, f) &\approx \sum_m H_j(m, f) X(t - m, f) + U_j(t, f) \\ &= \underbrace{H_j(f) X(t, f)}_{\mathbf{o}_j(t, f)} + U_j(t, f) \end{aligned}$$

source image at microphone  $j$

- Using matrix notations



$$\mathbf{y}_{t,f} = \begin{bmatrix} Y_1(t, f) \\ \vdots \\ Y_J(t, f) \end{bmatrix} = \underbrace{\mathbf{h}_f \mathbf{X}(t, f)}_{\triangleq \mathbf{o}_{t,f}} + \mathbf{u}_{t,f}$$

$\mathbf{o}_{t,f}$

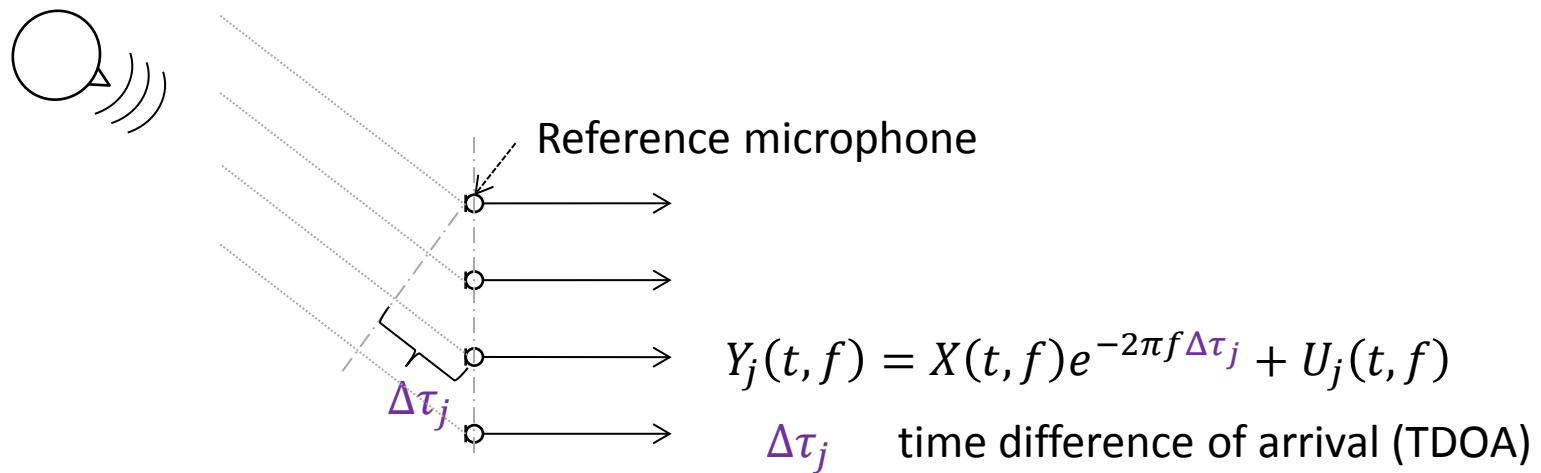
$\mathbf{h}_f = [H_1(f), \dots, H_J(f)]^T$

Source image at microphones

Steering vector

# Steering vector

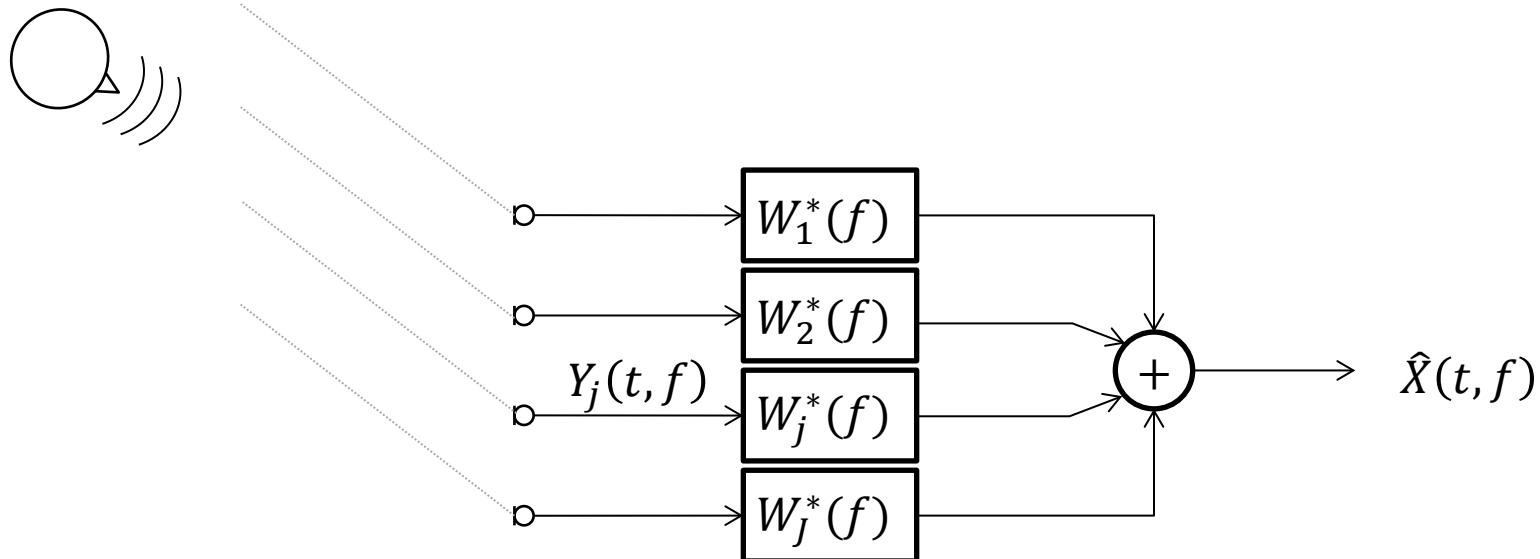
- Represents the propagation from the source to the microphones, including
  - Propagation delays (information about the source direction)
  - Early reflections (reverberation within the analysis window)
- Example of plane wave assumption with free field condition  
*(no reverberation and speaker far enough from the microphones)*



Steering vector given as :

$$\mathbf{h}_f = \begin{bmatrix} e^{-2\pi f \Delta\tau_1} \\ \vdots \\ e^{-2\pi f \Delta\tau_J} \end{bmatrix}$$

# Beamformer



- Output of beamformer

$$\hat{X}(t, f) = \sum_j W_j^*(f) Y_j(t, f)$$

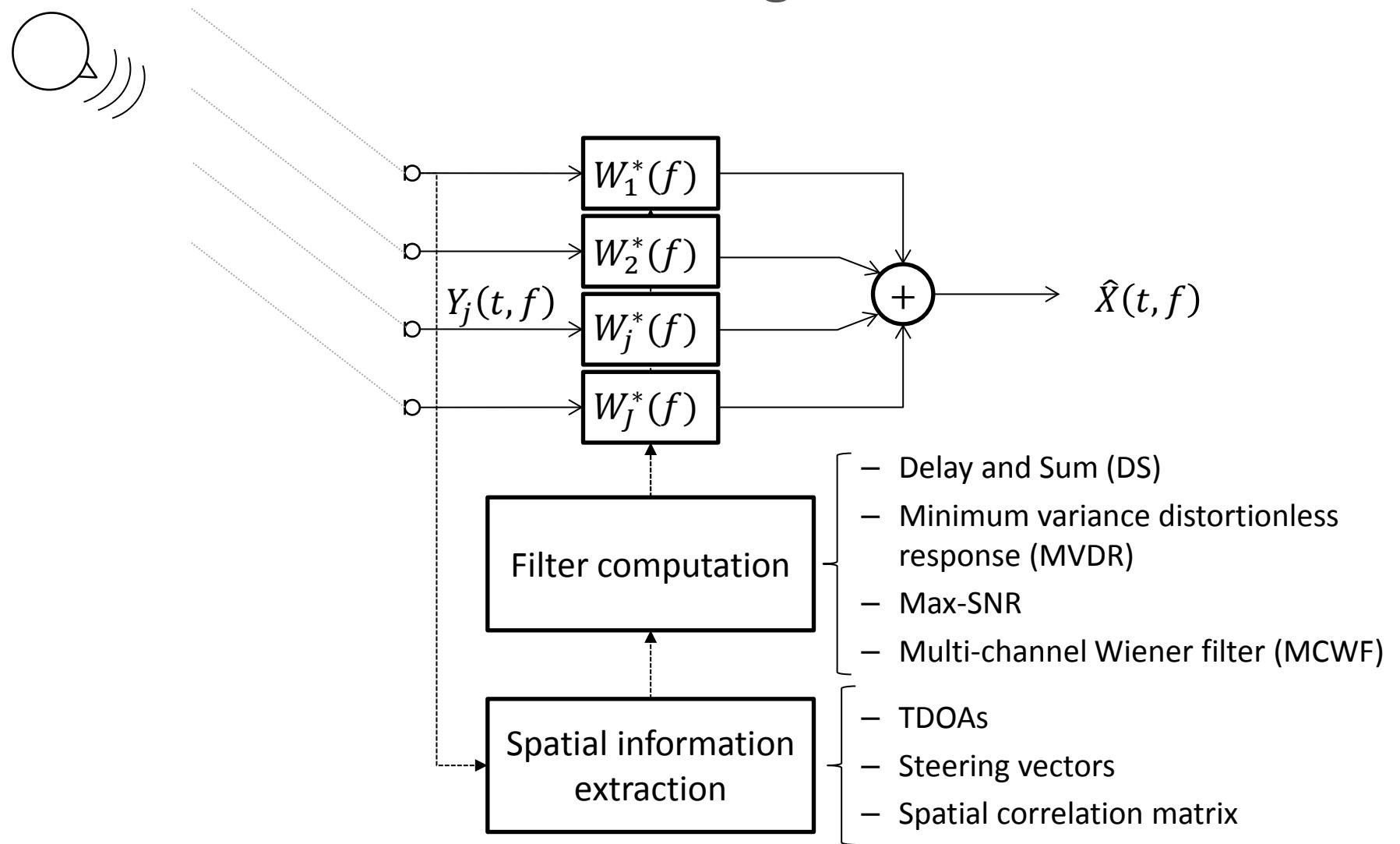
- Matrix notations

$$\hat{X}(t, f) = \mathbf{w}_f^H \mathbf{y}_{t,f}$$

$$\mathbf{w}_f = [W_1(f), \dots, W_J(f)]^T \quad \mathbf{y}_{t,f} = [Y_1(t, f), \dots, Y_J(t, f)]^T$$

The filters  $\mathbf{w}_f$  are designed to remove noise

# Processing flow

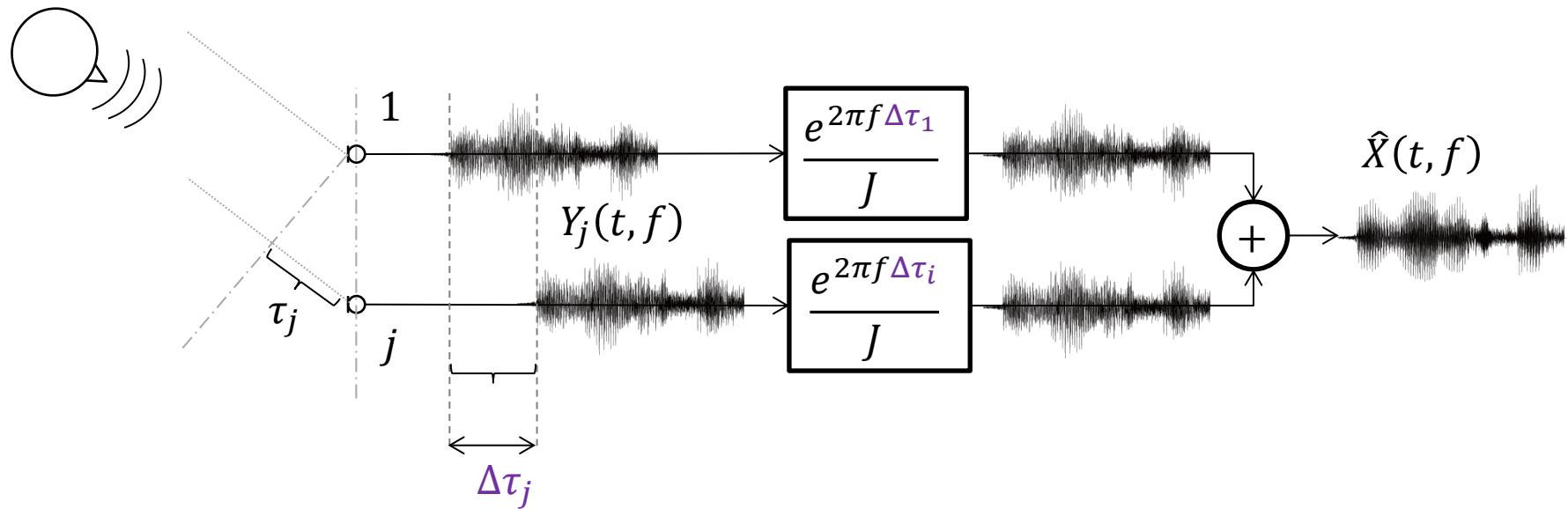


## 2.2.1 Delay and Sum beamformer

# Delay and sum (DS) beamformer

(Van Veen'88)

- Align the microphone signals in time
  - Emphasize signals coming from the target direction
  - Destructive summation for signals coming from the other directions



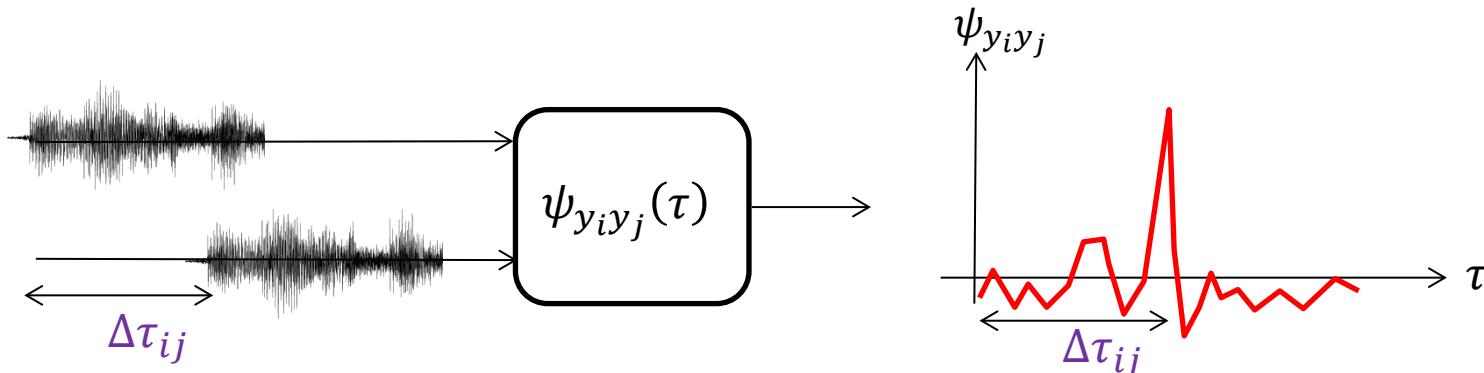
- Requires estimation of TDOAs  $\Delta\tau_j$

# TDOA estimation

- Signal cross correlation peaks when signals are aligned in time

$$\Delta\tau_{ij} = \arg \max_{\tau} \psi_{y_i y_j}(\tau)$$

$$\psi_{y_i y_j}(\tau) = E\{y_i(t)y_j(t + \tau)\}$$



- The cross correlation is sensitive to noise and reverberation
  - Usually use GCC-PHAT\* coefficients that are more robust to reverberation

$$\psi_{y_i y_j}^{PHAT}(\tau) = IFFT \left( \frac{Y_i(f)Y_j^*(f)}{|Y_i(f)Y_j^*(f)|} \right) \quad (\text{Knapp'76, Brutti'08})$$

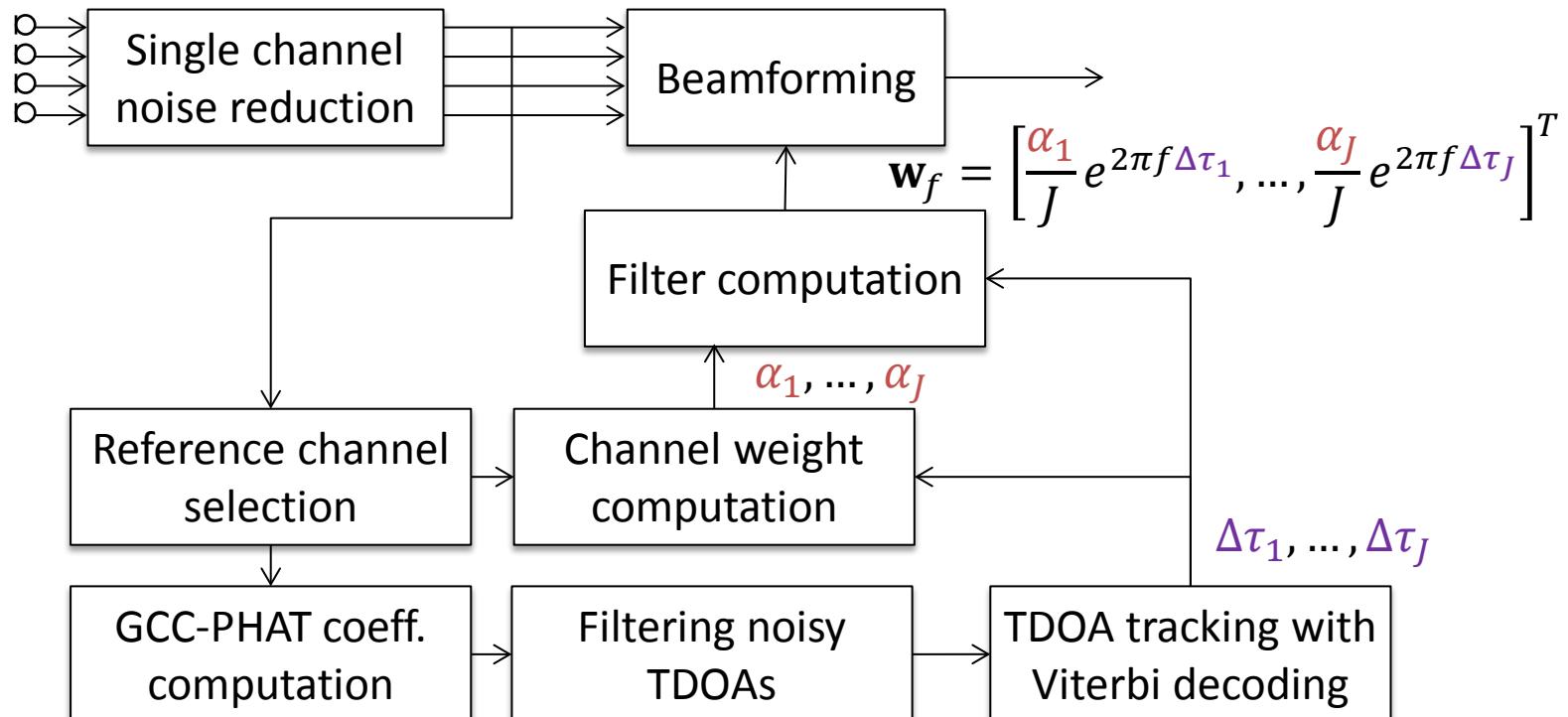
\*Generalized Cross Correlation with Phase Transform (GCC-PHAT)

# BeamformIt – a robust implementation of a weighted DS beamformer\*

(Anguera'07)

- BeamformIt:
  - Used in baseline systems for several tasks, AMI, CHiME 3/4

*Toolkit available : [www.xavieranguera.com/beamformit](http://www.xavieranguera.com/beamformit)*



\* Also sometimes called filter-and-sum beamformer

## 2.2.2 MVDR beamformer

# Minimum variance distortionless response (MVDR\*) beamformer

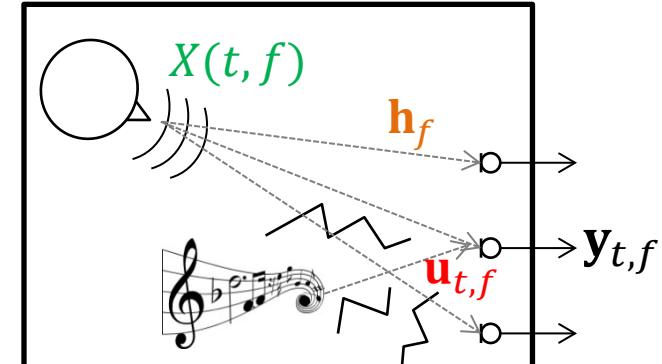
- Beamformer output:

$$\hat{X}(t, f) = \mathbf{w}_f^H \mathbf{y}_{t,f} = \mathbf{w}_f^H (\mathbf{h}_f X(t, f)) + \mathbf{w}_f^H \mathbf{u}_{t,f}$$

Speech  $X(t, f)$  is unchanged  
(distortionless):  $\mathbf{w}_f^H \mathbf{h}_f = 1$

Minimize noise at the  
output of the beamformer

$$\Rightarrow \hat{X}(t, f) = X(t, f) + \mathbf{w}_f^H \mathbf{u}_{t,f}$$



- Filter is obtained by solving the following:

$$\mathbf{w}_f^{MVDR} = \underset{\mathbf{w}_f}{\operatorname{argmin}} E\{|\mathbf{w}_f^H \mathbf{u}_{t,f}|^2\},$$

$$\text{subject to } \mathbf{w}_f^H \mathbf{h}_f = 1,$$

\* MVDR beamformer is a special case of the more general linearly constrained minimum variance (LCMV) beamformer (Van Veen'88)

# Expression of the MVDR filter

- MVDR filter given by

$$\mathbf{w}_f^{MVDR} = \frac{(\mathbf{R}_f^{noise})^{-1} \mathbf{h}_f}{\mathbf{h}_f^H (\mathbf{R}_f^{noise})^{-1} \mathbf{h}_f}$$

- Where  $\mathbf{R}_f^{noise}$  is the spatial correlation matrix\* of the noise, which measures the correlation among noise signals at the different microphones

$$\mathbf{R}_f^{noise} = \sum_t \mathbf{u}_{t,f} \mathbf{u}_{t,f}^H = \begin{bmatrix} \frac{1}{T} \sum_t U_1(t, f) U_1^*(t, f) & \cdots & \frac{1}{T} \sum_t U_1(t, f) U_J^*(t, f) \\ \vdots & \ddots & \vdots \\ \frac{1}{T} \sum_t U_J(t, f) U_1^*(t, f) & \cdots & \frac{1}{T} \sum_t U_J(t, f) U_J^*(t, f) \end{bmatrix}$$

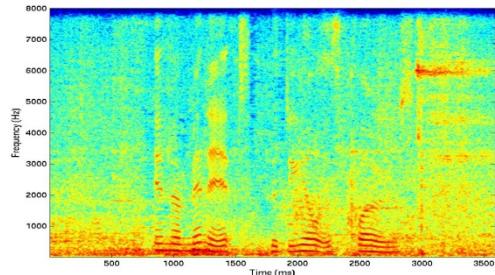
\* The spatial correlation matrix is also called cross spectral density

# Steering vector estimation

The steering vector  $\mathbf{h}_f$  can be obtained as the principal eigenvector of the spatial correlation matrix of the source image signals  $\mathbf{R}_f^{speech}$

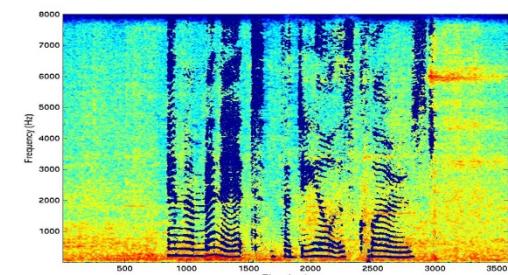
$$\mathbf{h}_f = \mathcal{P}(\mathbf{R}_f^{speech})$$

Microphone signal (speech + noise)



$$\mathbf{R}_f^{obs} = \sum_t \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H$$

Noise estimate



$$\mathbf{R}_f^{noise} = \frac{\sum_t M(t, f) \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H}{\sum_t M(t, f)}$$

Source image

spatial correlation matrix

$$\mathbf{R}_f^{speech} = \mathbf{R}_f^{obs} - \mathbf{R}_f^{noise}$$

Spectral masks

$$M(t, f) = \begin{cases} 1 & \text{if noise} > \text{speech} \\ 0 & \text{otherwise} \end{cases}$$

(Souden'13, Higuchi'16,  
Yoshioka'15, Heymann'15)

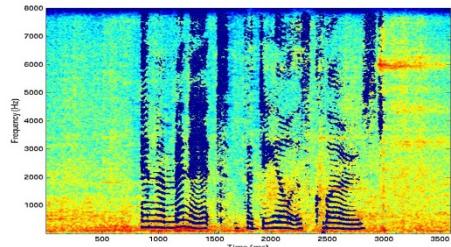
$$M(t, f) Y_i(t, f)$$

# Spectral mask estimation

- Clustering of spatial features for mask estimation
  - Source models
    - Watson mixture model (Souden'13)
    - Complex Gaussian mixture model (Higuchi'16)

E-step: update masks

$$M_{t,f} = p(\text{noise} \mid \mathbf{y}_{t,f}, \mathbf{R}_f^{\text{noise}}, \mathbf{R}_f^{\text{speech}})$$



M-step: update spatial corr. matrix

$$M_{t,f}$$

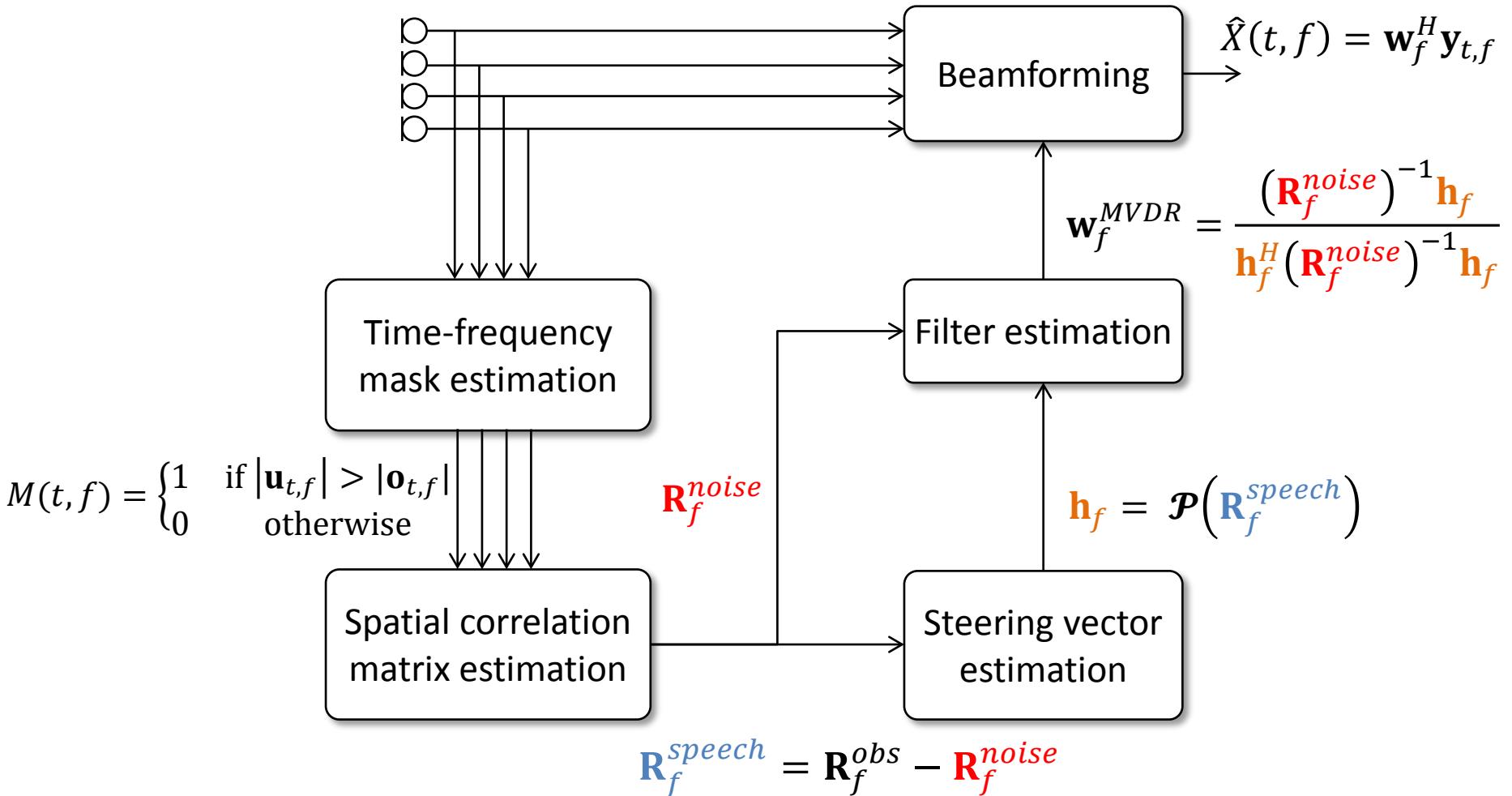


$$\mathbf{R}_f^{\text{noise}}$$

$$\mathbf{R}_f^{\text{noise}} = \frac{\sum_t M(t,f) \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H}{\sum_t M(t,f)}$$

- Neural network-based approach (Hori'15, Heymann'15)
  - See slides 94-96

# Processing flow of MVDR beamformer



# Other beamformers

- Max-SNR beamformer\* (VanVeen'88, Araki'07, Waritz'07)
  - Optimize the output SNR without the distortionless constraint

$$\mathbf{w}_f^{maxSNR} = \mathcal{P} \left( (\mathbf{R}_f^{noise})^{-1} \mathbf{R}_f^{obs} \right)$$

- Multi-channel Wiener filter (MCWF) (Doclo'02)
  - Preserves spatial information at the output (multi-channel output)

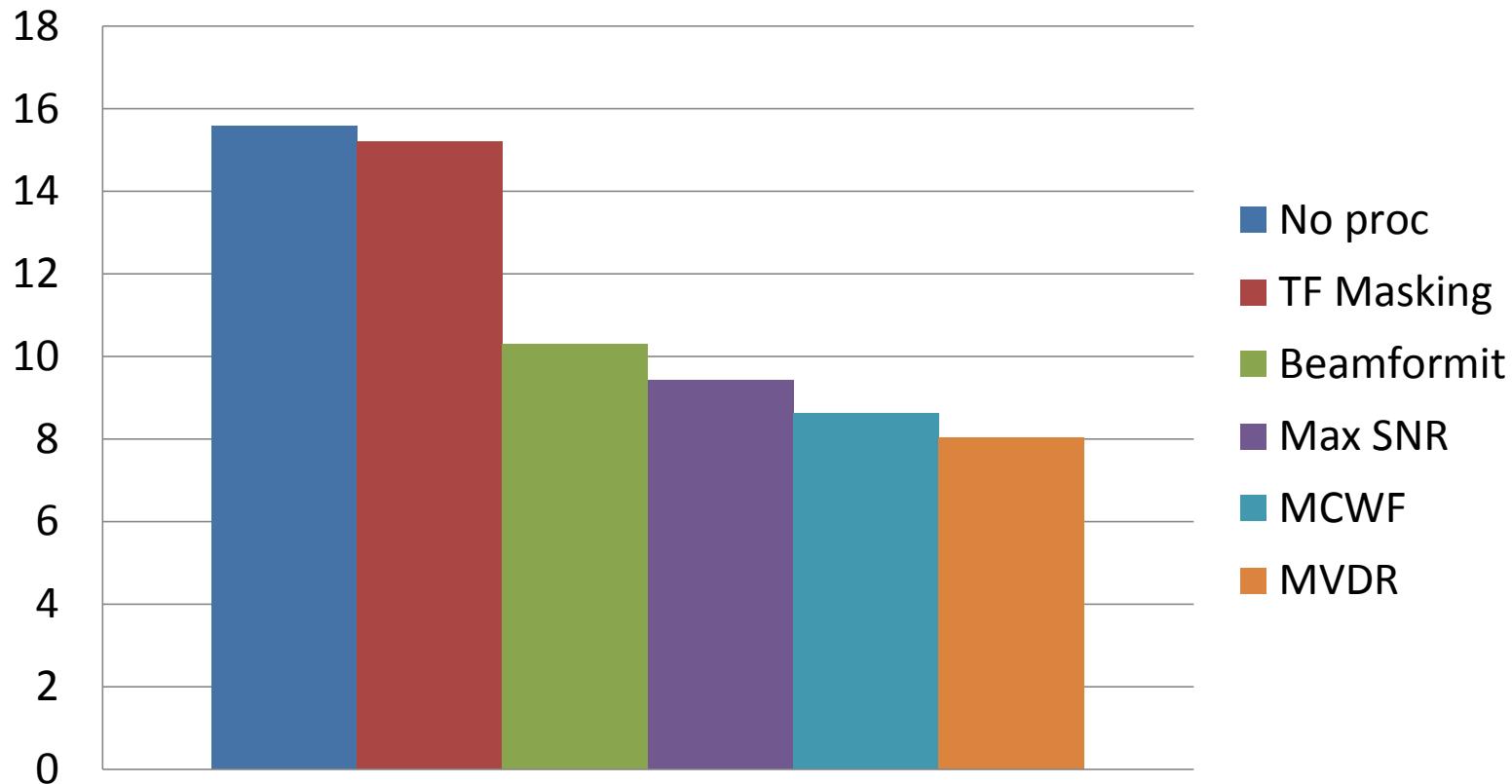
$$\mathbf{w}_f^{MCWF} = (\mathbf{R}_f^{obs})^{-1} \mathbf{R}_f^{speech}$$

→ Max-SNR beamformer and MCWF can also be derived from the spatial correlation matrices

\* Max-SNR beamformer is also called generalized eigenvalue beamformer

## 2.2.3 Experiments

# CHiME 3 results

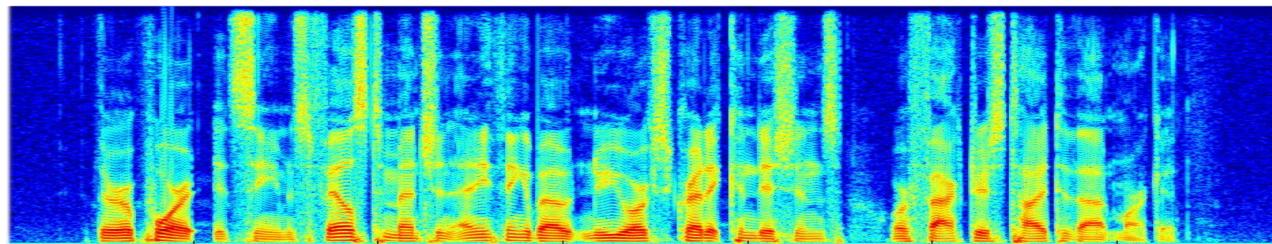


Results for the CHiME 3 task (Real Data, eval set)

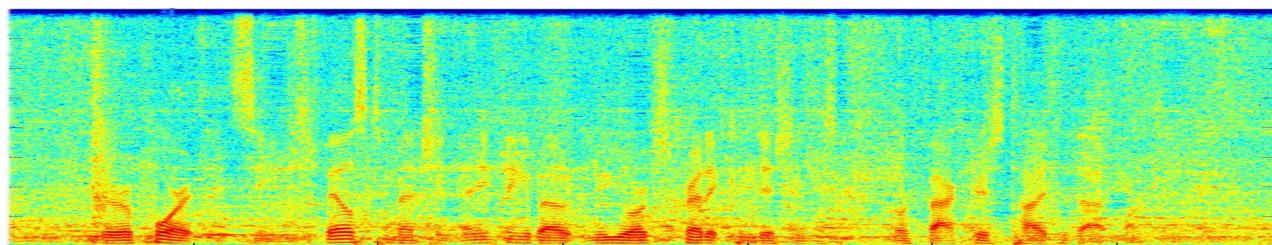
- Deep CNN-based acoustic model trained with 6 channel training data
- No speaker adaptation
- Decoding with RNN-LM

# Sound demo

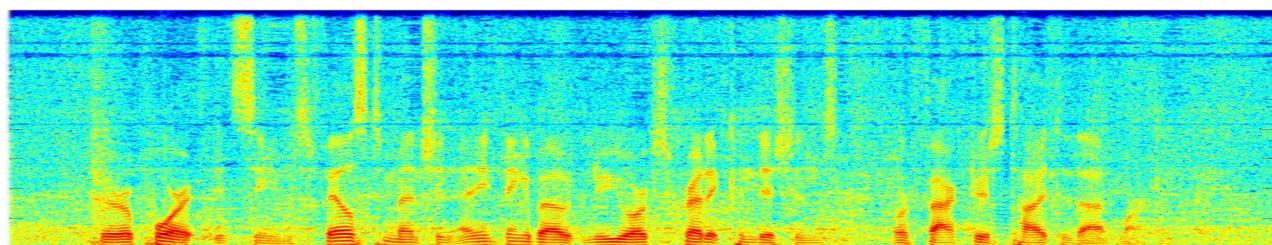
Clean



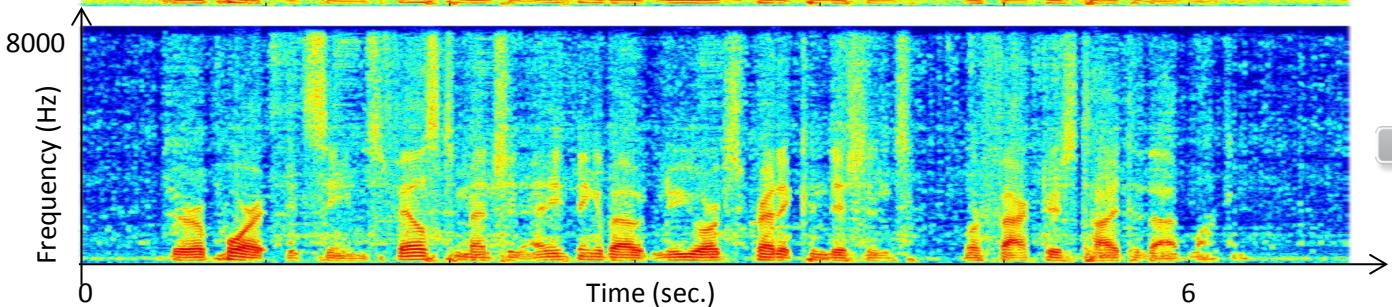
Observed  
(SimuData)



MVDR



MASK



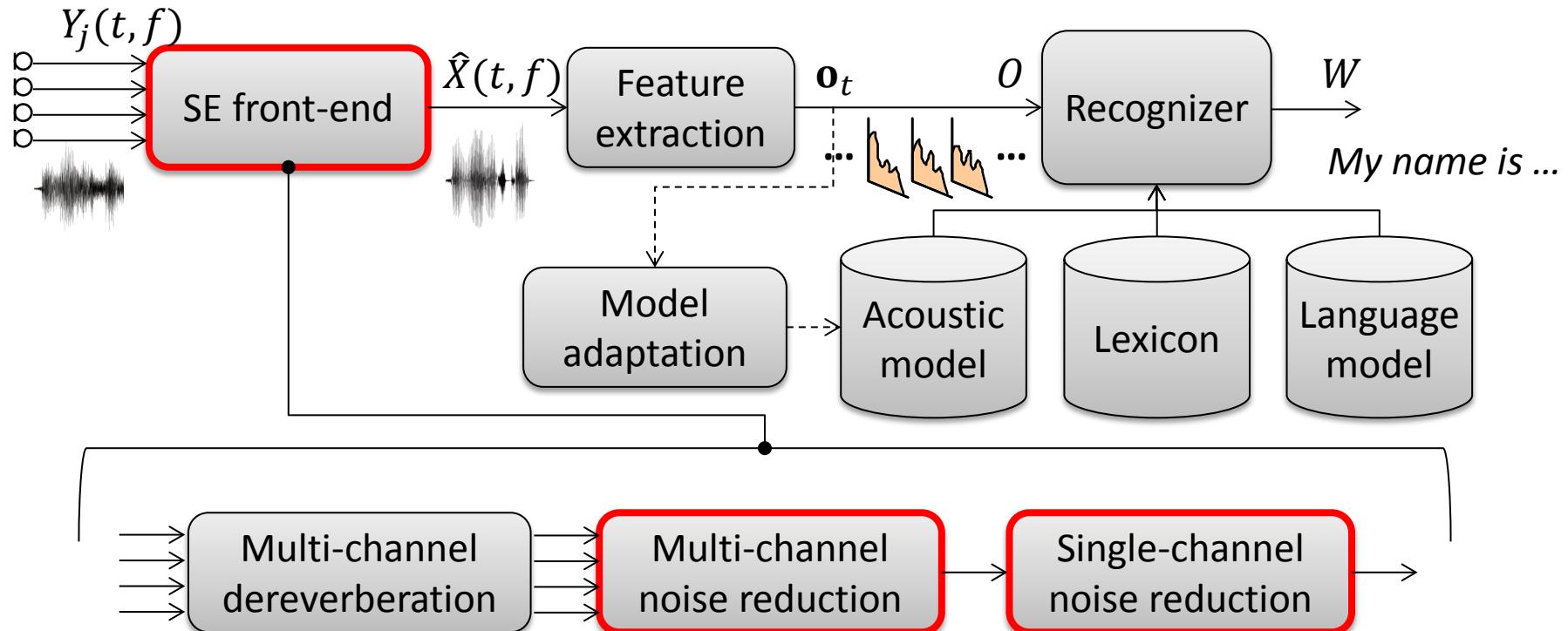
# remarks

- Delay-and-sum beamformer
  - ☺ Simple approach
  - ☹ Relies on correct TDOA estimation
    - Errors in TDOA estimation may result in amplifying noise
  - ☹ Not optimal for noise reduction in general
- Weighted DS beamformer (BeamformIt)
  - ☺ Includes weights to compensate for amplitude differences among the microphone signals
  - ☺ Uses a more robust TDOA estimation than simply GCC-PHAT
    - Still potentially affected by noise and reverberation
  - ☹ Not optimal for noise reduction
- MVDR beamformer
  - ☺ Optimized for noise reduction while preserving speech (distortionless)
  - Extracting spatial information is a key for success
    - From TDOA → Poor performance with noise and reverberation
    - From signal statistics → More robust to noise and reverberation
  - ☹ More involving in terms of computations compared to DS beamformer

# Remarks

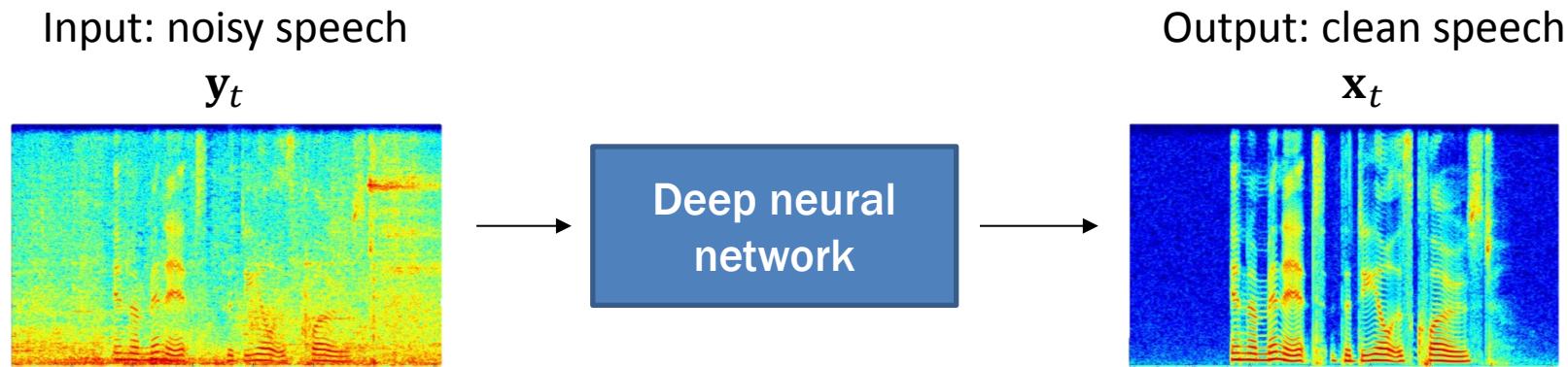
- Beamforming can greatly reduce WER even when using a strong ASR back-end
  - Beamforming outperforms TF masking for ASR
    - TF masking removes more noise
    - Linear filtering causes less distortion (especially with the distortionless constraint)
  - This leads to better ASR performance
- Future directions
  - Online extension (source tracking)
  - Multiple speakers

## 2.3 Deep neural network based enhancement



# Deep network based enhancement: Parallel data processing

- Basic architecture: regression problem
  - Train a neural network to map noisy speech to clean speech



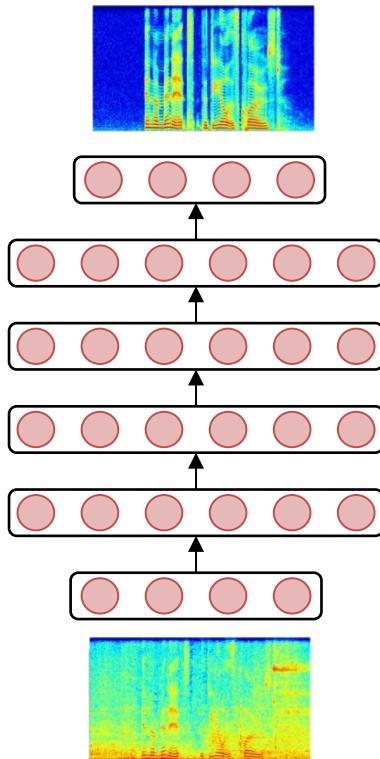
- Many variations investigated in terms of
  - Objective functions
  - Architectures
  - Input/output

## 2.3.1 Objective functions

# Regression based DNN

(Xu'15)

Output: clean speech  
feature  $\mathbf{x}_t$

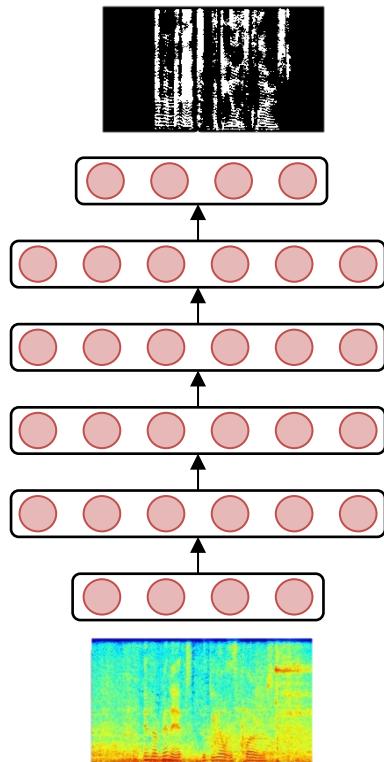


- Train a DNN to directly predict the clean spectrum from the noisy speech spectrum
- Objective function: minimum mean square error (MMSE) between clean and enhanced signal,
$$J(\theta) = \sum_t |\mathbf{x}_t - \mathbf{h}_t^L(\theta)|^2$$
  - $\mathbf{x}_t$  clean speech feature (output)
    - Log power spectrum
  - $\mathbf{y}_t$  noisy speech feature (input)
    - Log power spectrum + Context
  - $\mathbf{h}_t^L$  network output
    - $\mathbf{h}_t^L$  can be unbounded (i.e.,  $\mathbf{h}_t^L \in [-\infty, \infty]$ , which is considered to be difficult)
    - Normalize the output by  $[-1, 1]$
    - Use  $\tanh()$  as an activation function
  - $\theta$  network parameters
- When trained with sufficient data, it can be used to enhance speech in unseen noisy conditions

# Mask-estimation based DNN (Cross entropy)

(Narayanan'13, Wang'16)

Output: time-frequency  
mask  $\mathbf{m}_t$



- Train a DNN to predict the coefficient of an ideal ratio mask (IRM)

$$m_{t,f} = \frac{x_{t,f}}{x_{t,f} + u_{t,f}} = \frac{\text{clean}}{\text{clean} + \text{noise}}$$

- Objective function: cross entropy (CE) between estimated mask and IRM

$$J(\theta) = - \sum_{t,f} m_{t,f} \log(h_{t,k}^L(\theta)) - (1 - m_{t,f}) \log(1 - h_{t,k}^L(\theta))$$

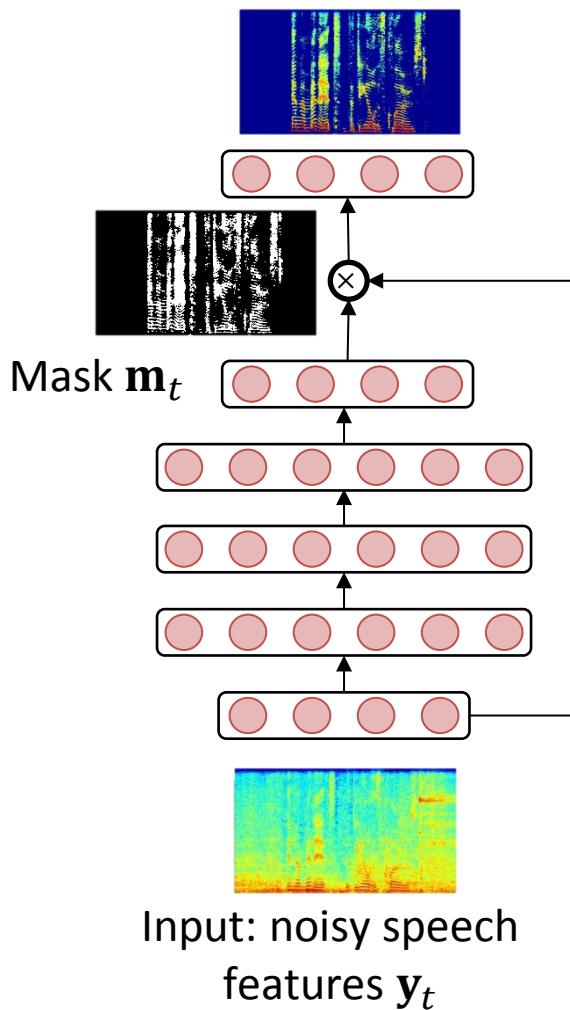
- $\mathbf{h}_t^L$  network output (continuous mask)
  - Bounded with  $m_t^L \in [0, 1]$ , using a sigmoid function
  - Simplifies learning and tends to perform better than directly estimating clean speech

- Enhanced signal obtained as  $\hat{\mathbf{x}}_t = \mathbf{m}_t \circ \mathbf{y}_t$

# Mask estimation based DNN (MMSE)

Output: clean speech feature  $\mathbf{x}_t$

(Weninger '15)



- Train a DNN to predict the coefficient of a time-frequency mask  $\mathbf{m}_t = \mathbf{h}_t^L$ 
  - Do not restrict the output to the IRM
- Objective function: minimum mean square error (MMSE) between clean and enhanced signal,
$$J(\theta) = \sum_t |\mathbf{x}_t - \mathbf{m}_t(\theta) \circ \mathbf{y}_t|^2$$
  - $\mathbf{x}_t$  clean speech feature (output)
    - Magnitude spectrum
  - $\mathbf{y}_t$  noisy speech feature (input)
    - Log mel filterbank spectrum (as input to the network)
    - Magnitude spectrum to compute the enhanced signal
  - $\mathbf{m}_t$  network output (continuous mask)
    - Bounded with  $m_t^L \in [0, 1]$  using a sigmoid function

# Experiments on CHiME 2

Results from (Wang'16)

Front-end	WER
-	16.2 %
Mask-estimation with cross entropy	14.8 %

Can be jointly trained with the ASR back-end

→ More details in *3.4 Integration of front-end and back-end with deep networks*

Enhancement DNN

- Predict mask (CE Objective function)
- Features: Log power spectrum

Acoustic model DNN

- Log Mel Filterbanks
- Trained on noisy speech

## 2.3.2 Recurrent architectures

# Exploiting recurrent networks

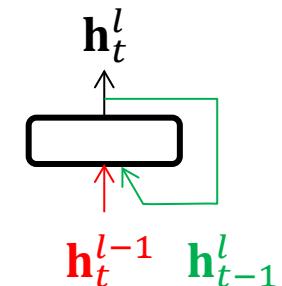
- Neural network based enhancement
    - Exploits only the context seen within its input features
    - Noise reduction could benefit from exploiting longer context
- Some investigations for RNN-based approaches (Weninger'14, Weninger'15, Erdogan'15, Heymann'15)

# LSTM: Long Short-Term Memory RNN

- Elman RNN

$$\mathbf{h}_t^l = \sigma \left( \mathbf{W}^l \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \end{bmatrix} + \mathbf{b}^l \right)$$

- Vanishing gradient due to recurrent weights  $\mathbf{W}^l$



- LSTM

- Avoids recurrent weights in the Elman form by introducing gates

$(\mathbf{g}_t^{f,l}, \mathbf{g}_t^{i,l}, \mathbf{g}_t^{o,l})$  and cell states  $\mathbf{c}_t^l$

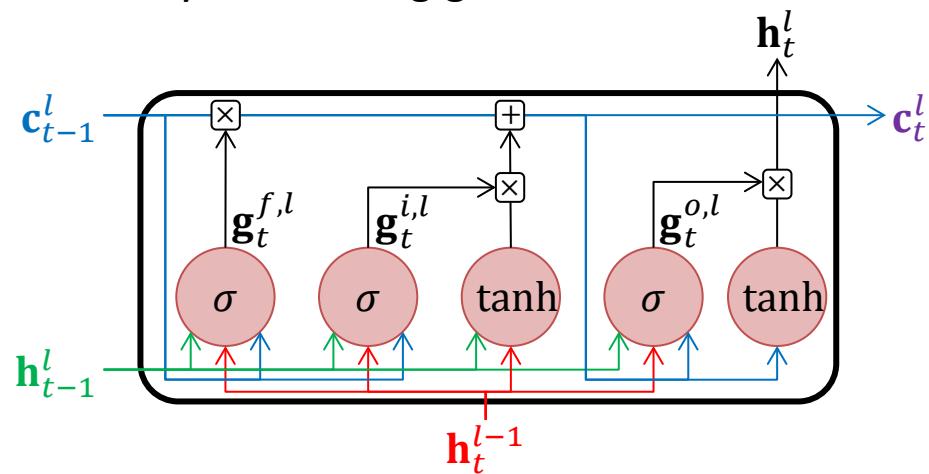
$$\mathbf{h}_t^l = \mathbf{g}_t^{o,l} \circ \tanh(\mathbf{c}_t^l)$$

Cell state:

$$\mathbf{c}_t^l = \mathbf{g}_t^{f,l} \circ \mathbf{c}_{t-1}^l + \mathbf{g}_t^{i,l} \circ \tanh \left( \mathbf{W}^{c,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \end{bmatrix} + \mathbf{b}^{fc,l} \right)$$

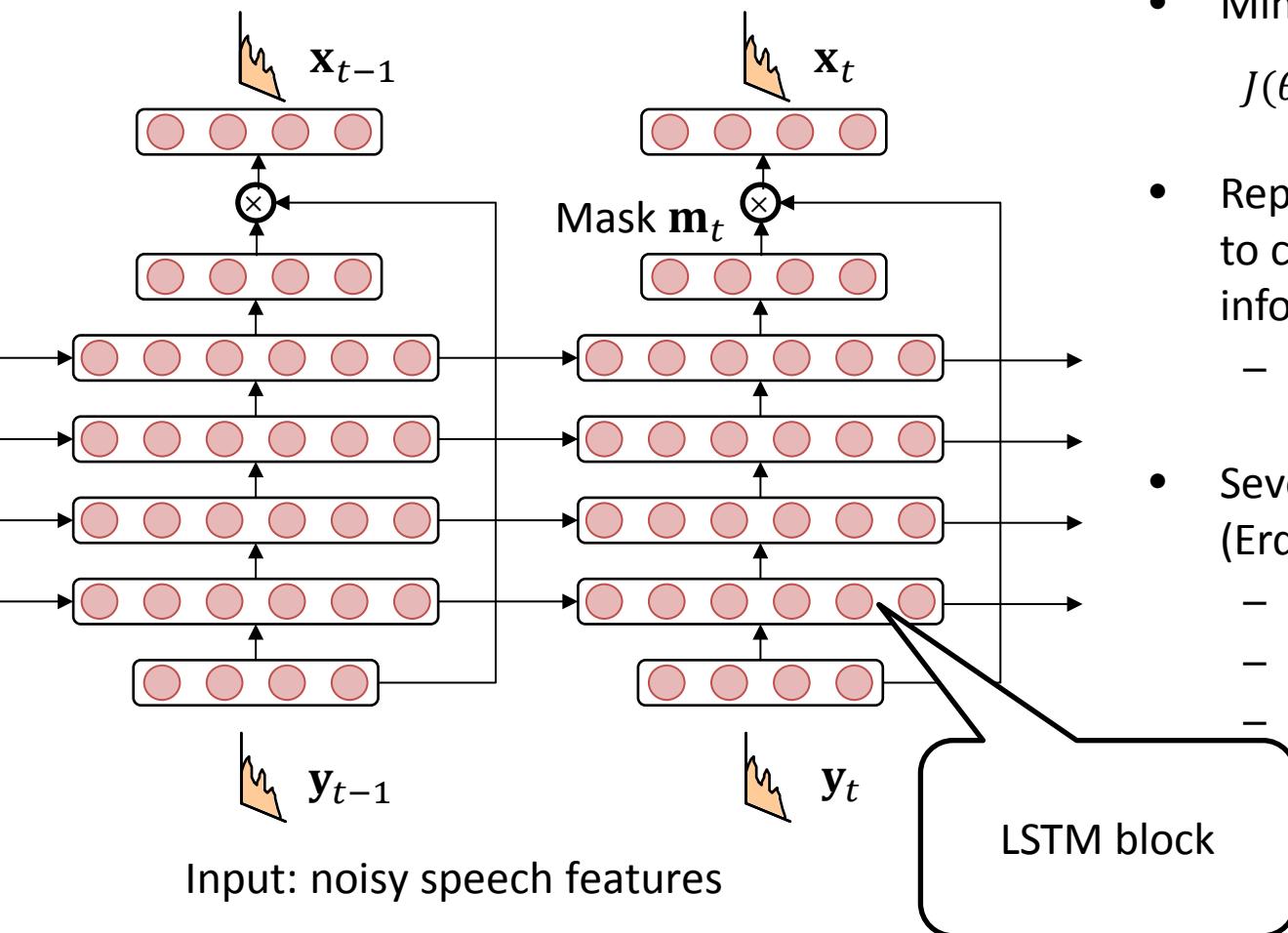
Forget, input and output gates:

$$\mathbf{g}_t^{f,l} = \sigma \left( \mathbf{W}^{f,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \\ \mathbf{c}_{t-1}^l \end{bmatrix} + \mathbf{b}^{f,l} \right), \mathbf{g}_t^{i,l} = \sigma \left( \mathbf{W}^{i,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \\ \mathbf{c}_{t-1}^l \end{bmatrix} + \mathbf{b}^{i,l} \right), \mathbf{g}_t^{o,l} = \sigma \left( \mathbf{W}^{o,l} \begin{bmatrix} \mathbf{h}_t^{l-1} \\ \mathbf{h}_{t-1}^l \\ \mathbf{c}_t^l \end{bmatrix} + \mathbf{b}^{o,l} \right)$$



# Mask estimation based LSTM

Output: clean speech feature



- Minimize Mean Square Error

$$J(\theta) = \sum_t |\mathbf{x}_t - \mathbf{m}_t \circ \mathbf{y}_t|^2$$

- Replace DNN with LSTM-RNN to consider long-context information
  - known to be effective for speech modeling
- Several extensions (Erdogan'15)
  - Bidirectional LSTM
  - Phase sensitive objectives
  - Recognition boosted features

LSTM block

# Effect of introducing LSTM

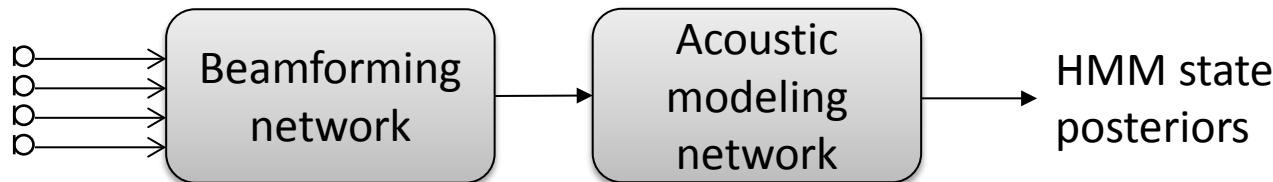
Front-end	WER
-	31.2 %
DNN based enhancement	29.7 %
LSTM based enhancement	26.1 %

Experiments on CHiME 2 Dev set with DNN back-end

### 2.3.3 Multi-channel extensions

# Multi-channel extensions

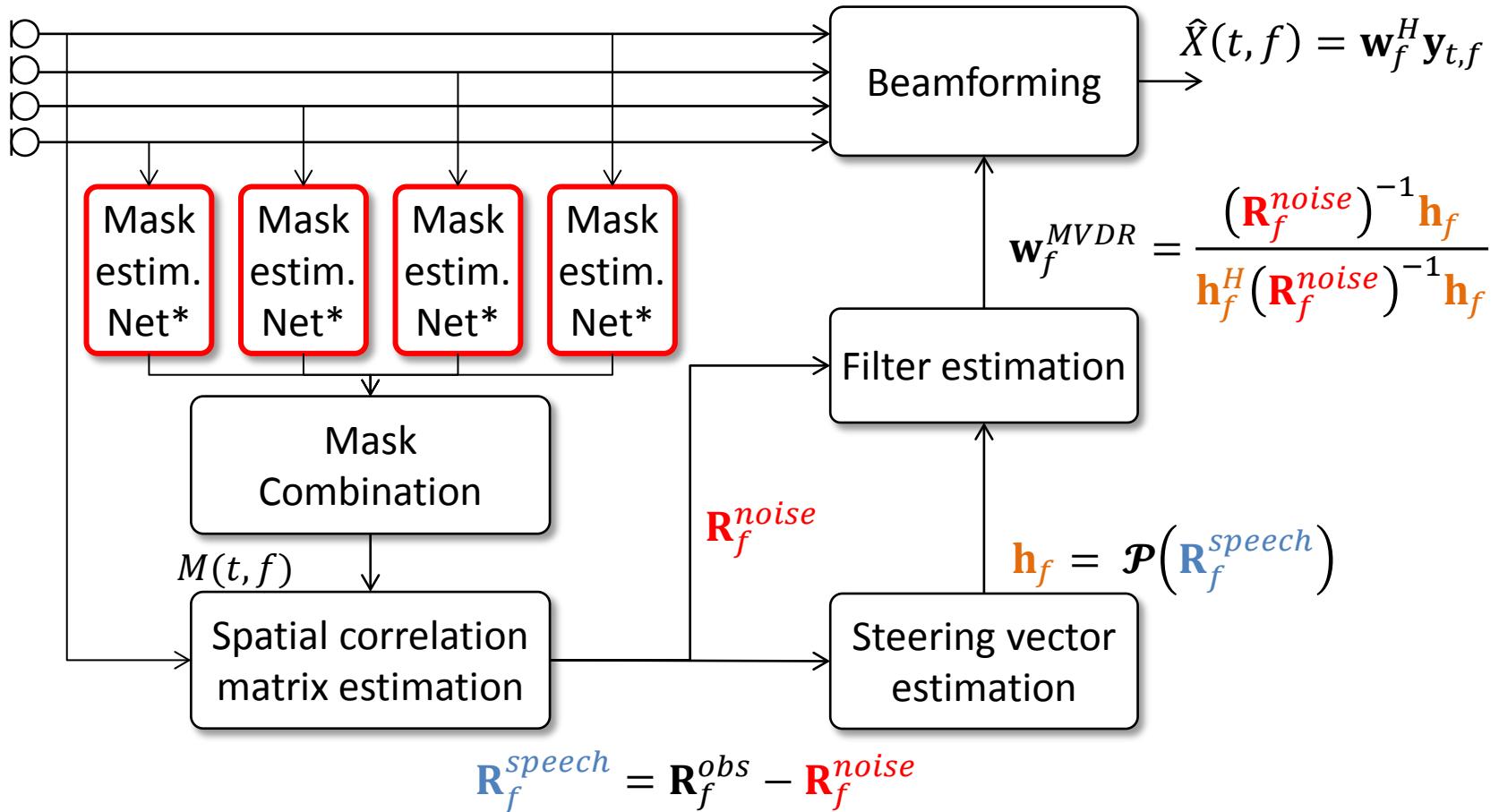
- Estimate mask for noise  $M(t, f)$  using neural network
  - Use the mask to compute the noise spatial correlation matrix that is used to derive the beamformer filters (see slide 74)
$$\mathbf{R}_f^{NOISE} = \frac{\sum_t M(t, f) \mathbf{y}_{t,f} \mathbf{y}_{t,f}^H}{\sum_t M(t, f)}$$
- Beamforming networks or multi-channel deep networks
  - Design a network to perform beamforming
  - Can be jointly trained with the acoustic model
  - More details in *3.4 Integration of front-end and back-end with deep networks*



# DN-based mask estimation for beamforming

(Heymann'15, Hori'15, Heymann'16)

<http://github.com/fgnt/nan-gev>



\* Masks derived from 1ch signals → does not exploit spatial information for mask estimation

# CHiME 3 investigations

(Heymann'16)

Front-end	WER
-	40.2 %
BeamformIt	22.7 %
DNN mask estimation + MaxSNR BF	17.7 %
BLSTM mask estimation + MaxSNR BF	15.4 %

Avg. results for Real eval sets

ASR back-end

- DNN-based AM
- Retrained on enhanced speech

# Remarks

- Exploit deep-learning for speech enhancement
  - ☺ Possible to train complex non-linear function for regression
  - ☺ Exploits long context, extra input features...
  - ☺ Online mask estimation/enhancement
  - ☺ Offers the possibility for jointly train the front-end and back-end
- Requirements
  - Relatively large amount of training data
  - Noisy/Clean parallel corpus
    - This requirement can be potentially released if SE front-end and acoustic models are jointly trained or when predicting masks (Heymann'16)

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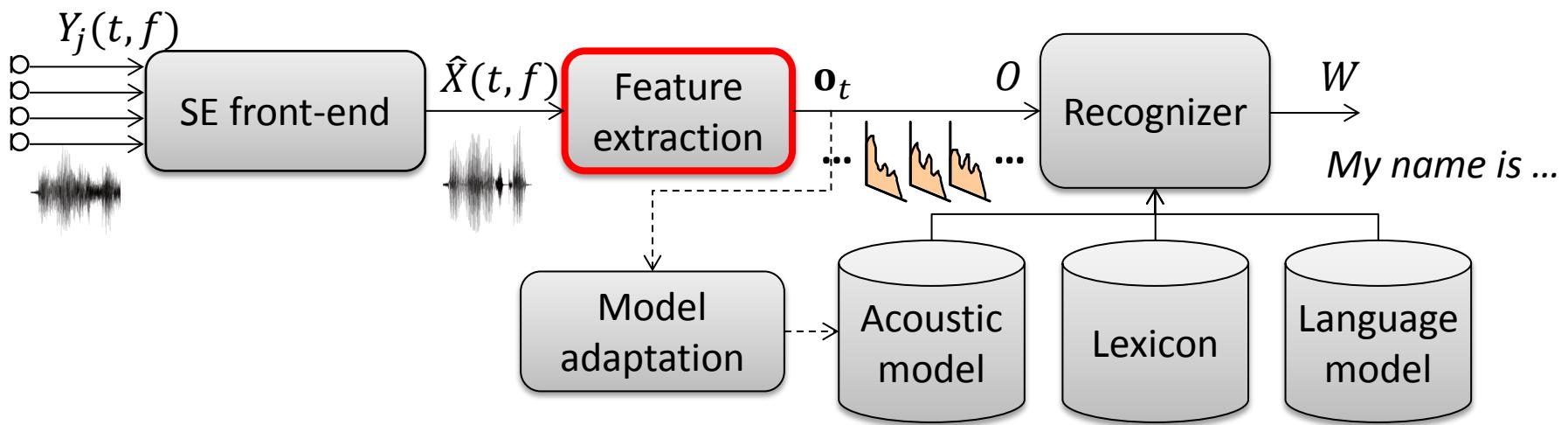
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# 3. Back-end techniques for distant ASR

## 3.1 Feature extraction



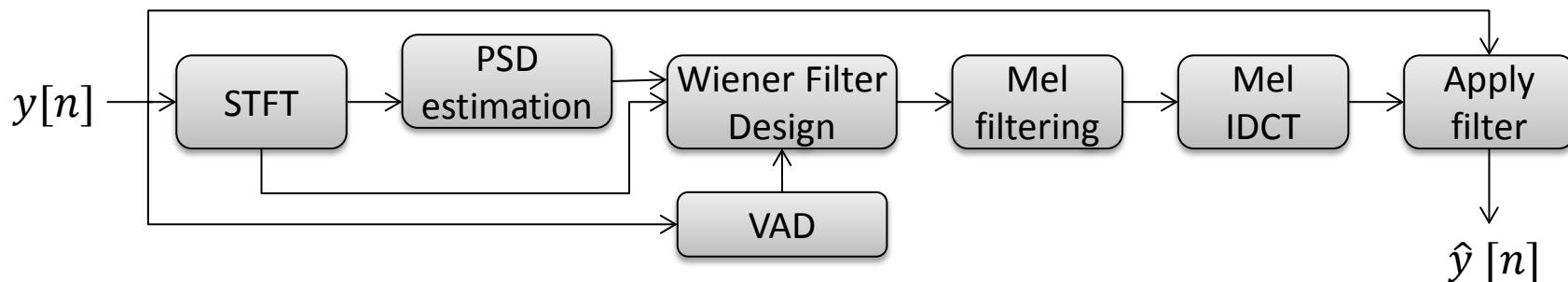
# Feature extraction

- Log mel filterbank



- Spectrum analysis
- Power extraction (disregard phase)
- Emphasize low-frequency power with perceptual knowledge (Mel scale)
- Dynamic range control
- Cepstrum Mean and Variance Normalization (CMVN)

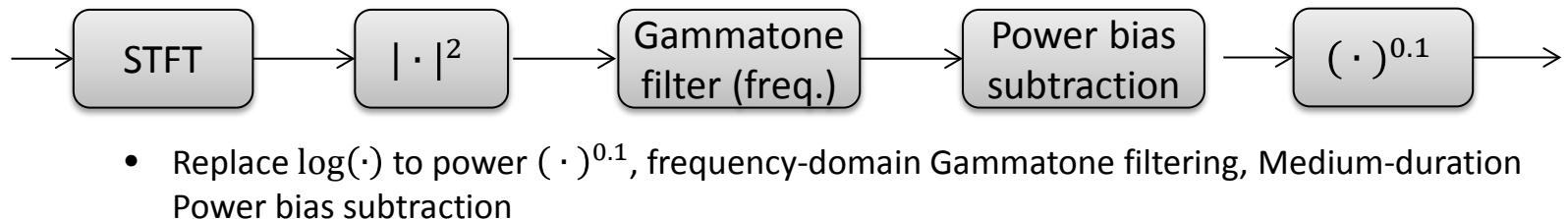
- ETSI Advanced front-end (ETSI707)



- Developed at the Aurora project
- Time domain Wiener-filtering (WF) based noise reduction

# Gammatone Filtering based features

- Human auditory system motivated filter
- Power-Normalized Cepstral Coefficients (PNCC) (Kim'12)



- Time-domain Gammatone filtering (e.g., Schulter'09, Mitra'14)
  - Can combine amplitude modulation based features
  - Gammatone filtering and amplitude modulation based features (Damped Oscillator Coefficients (DOC), Modulation of Medium Duration Speech Amplitudes (MMeDuSA)) showed significant improvement for CHiME3 task

	MFCC	DOC	MMeDuSA	
CHiME 3 Real Eval (MVDR enhanced signal)	8.83	5.91	6.62	(Hori'15)

# (Linear) Feature transformation

- **Linear Discriminant Analysis (LDA)**
  - Concatenate contiguous features, i.e.,  $\mathbf{x}_t = [\mathbf{o}_{t-L}^T, \dots, \mathbf{o}_t^T, \dots, \mathbf{o}_{t+L}^T]^T$
  - $\hat{\mathbf{o}}_t^{\text{LDA}} = \mathbf{A}^{\text{LDA}} \mathbf{x}_t$
  - Estimate a transformation to reduce the dimension with discriminant analysis
    - Capture long-term dependency
- **Semi-Tied Covariance (STC)/Maximum Likelihood Linear Transformation (MLLT)**
  - $N(\mathbf{o}_t | \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}^{\text{diag}}) \rightarrow N(\mathbf{o}_t | \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}^{\text{full}})$  with the following relationship
$$\boldsymbol{\Sigma}_{kl}^{\text{full}} = \mathbf{A}^{\text{STC}} \boldsymbol{\Sigma}_{kl}^{\text{diag}} (\mathbf{A}^{\text{STC}})^T$$
  - Estimate  $\mathbf{A}^{\text{STC}}$  by using maximum likelihood
  - During the recognition, we can evaluate the following likelihood function with diagonal covariance and feature transformation
    - $N(\hat{\mathbf{o}}_t^{\text{STC}} | \mathbf{A}^{\text{STC}} \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}^{\text{diag}})$ , where  $\hat{\mathbf{o}}_t^{\text{STC}} = \mathbf{A}^{\text{STC}} \mathbf{o}_t$

# (Linear) Feature transformation, Cont'd

- **Feature-space Maximum Likelihood Linear Regression (fMLLR)**
  - Affine transformation:  $\hat{\mathbf{o}}_t = \mathbf{A}^{\text{fM}} \mathbf{o}_t + \mathbf{b}^{\text{fM}}$
  - Estimate transformation parameter  $\mathbf{A}^{\text{fM}}$  and  $\mathbf{b}^{\text{fM}}$  with maximum likelihood estimation

$$Q(\mathbf{A}^{\text{fM}}, \mathbf{b}) = \sum_{k,t,l} \gamma_{t,k,l} (\log |\mathbf{A}^{\text{fM}}| + \log N(\mathbf{A}^{\text{fM}} \mathbf{o}_t + \mathbf{b}^{\text{fM}} | \boldsymbol{\mu}_{kl}, \boldsymbol{\Sigma}_{kl}))$$

- LDA, STC, fMLLR are cascadedly combined, i.e.,

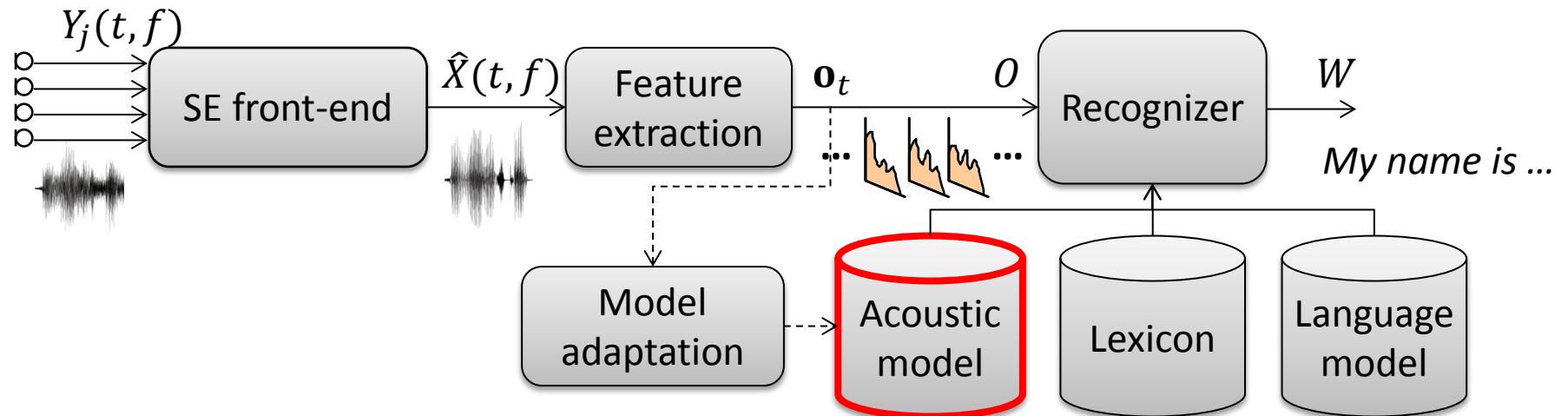
$$\hat{\mathbf{o}}_t = \mathbf{A}^{\text{fM}} (\mathbf{A}^{\text{STC}} (\mathbf{A}^{\text{LDA}} [\mathbf{o}_{t-L}^T, \dots, \mathbf{o}_t^T, \dots, \mathbf{o}_{t+L}^T]^T)) + \mathbf{b}^{\text{fM}}$$

- Effect of feature transformation with distant ASR scenarios GMM

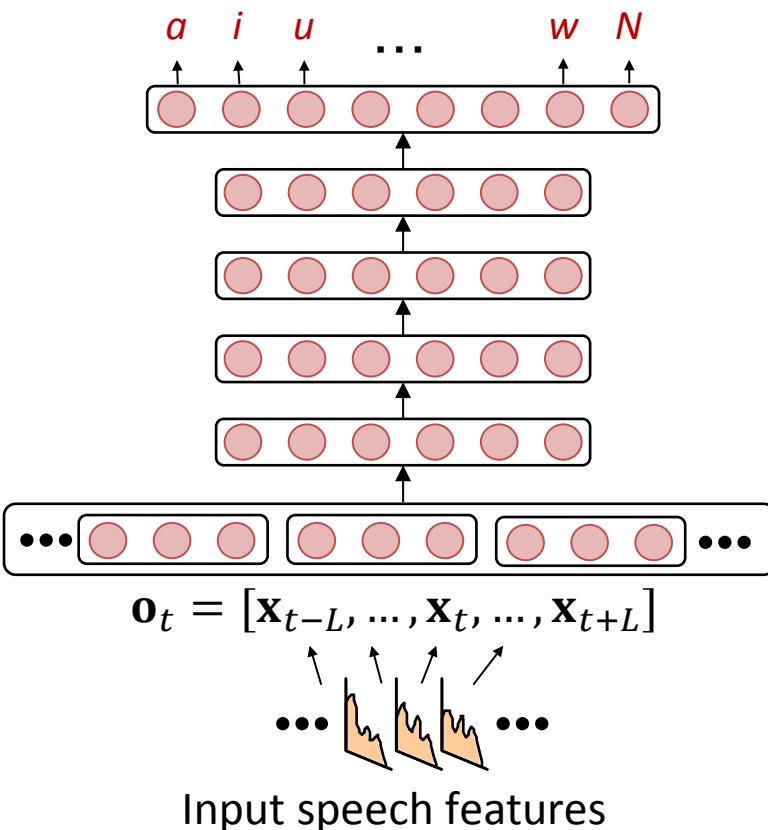
	MFCC, $\Delta$ , $\Delta\Delta$	LDA, STC, fMLLR	
CHiME-2	44.04	33.71	(Tachioka'13,'14)
REVERB	39.56	30.88	

- LDA, STC, and fMLLR are cascadedly used, and yield significant improvement
- All are based on **GMM-HMM**, but still applicable to DNN as feature extraction
- MFCC is more appropriate than Filterbank feature, as MFCC matches GMM

## 3.2 Robust acoustic models

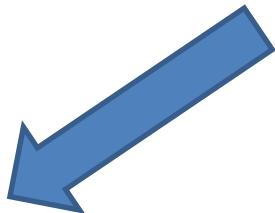


# DNN acoustic model



- Non-linear transformation of (**long**) context features by concatenating contiguous frames  
→ Very powerful for noise robust ASR

Long context!  
(usually **11** frames)

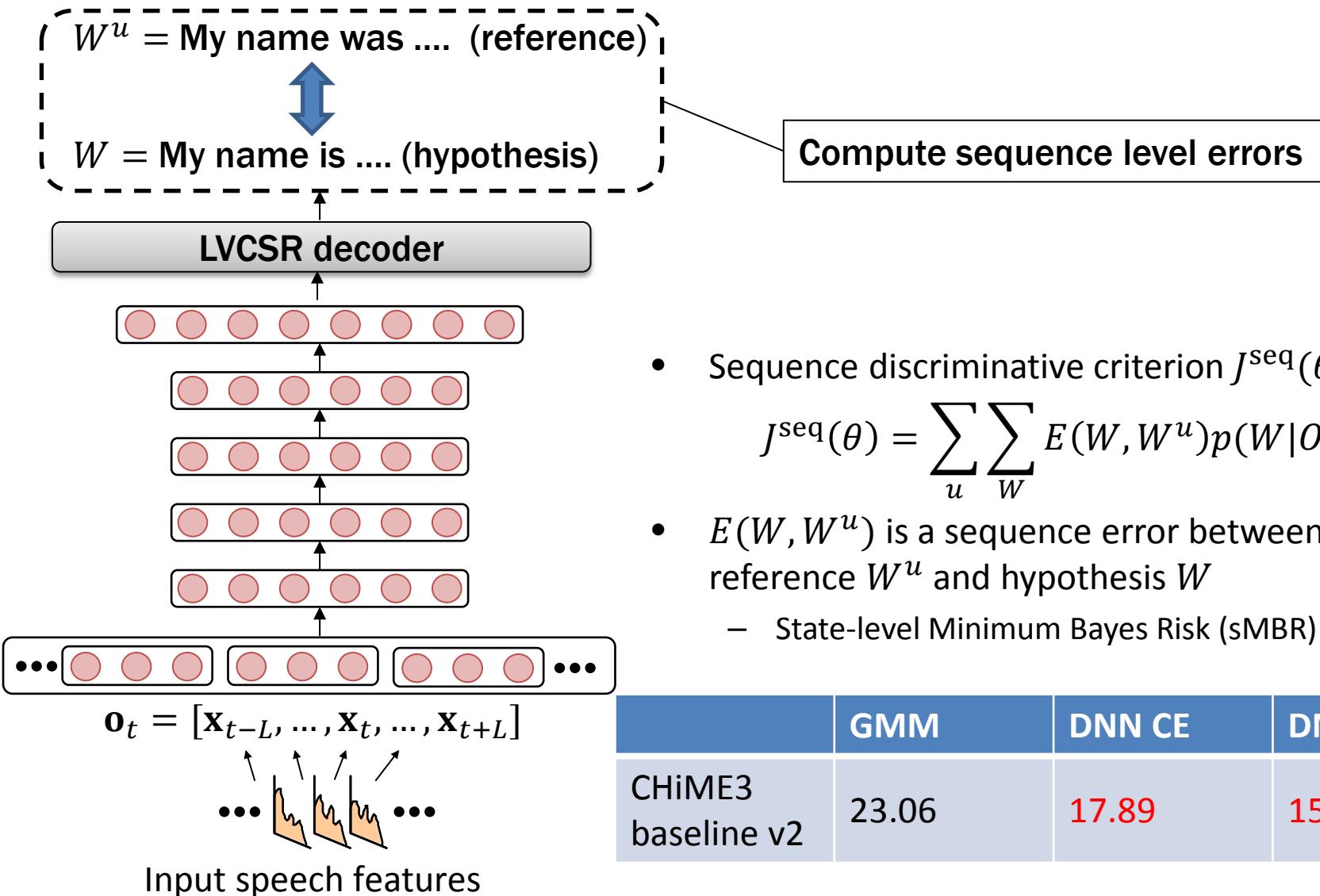


- Cross entropy criterion  $J^{\text{ce}}(\theta)$

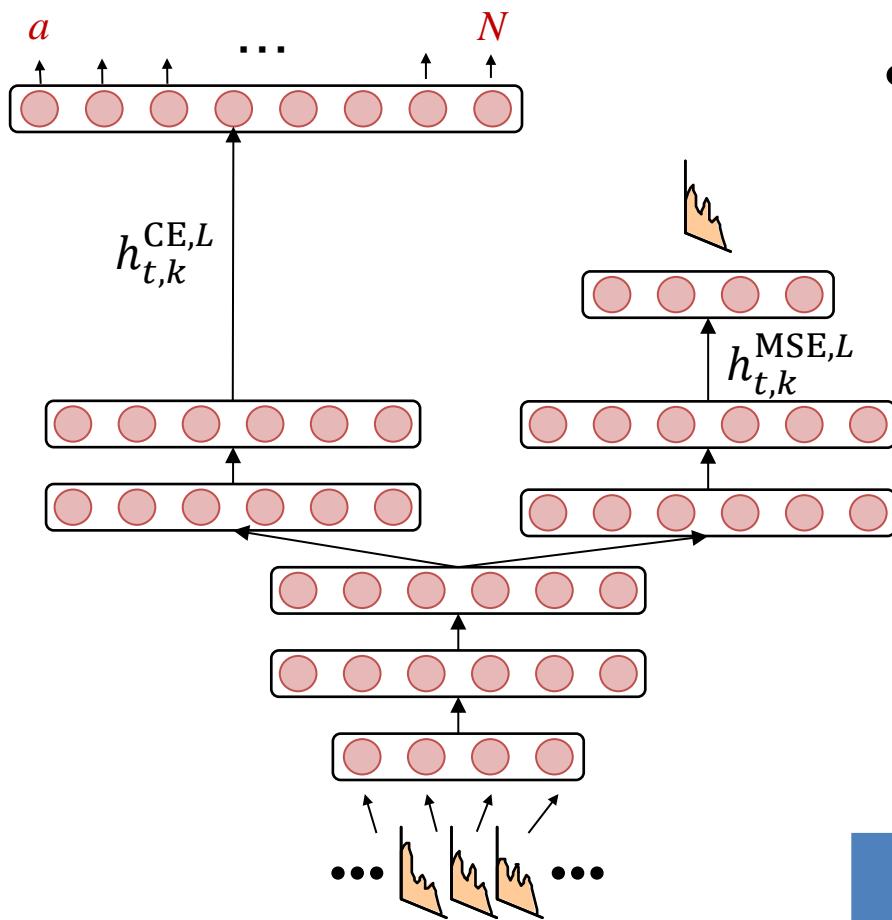
$$J^{\text{ce}}(\theta) = - \sum_t \sum_k \tau_{t,k} \log h_{t,k}^L(\theta)$$

- There are several other **criteria**

# Sequence discriminative criterion



# Multi-task objectives



- Use both MMSE and CE criteria

- $X$  as clean speech target
- $T$  as transcription

$$J(\theta) = \rho J^{\text{CE}}(T; \theta) + (1 - \rho) J^{\text{MSE}}(X; \theta)$$

$$= -\rho \sum_{t,k} \tau_{t,k} \log h_{t,k}^{\text{CE},L} + (1 - \rho) \sum_{t,d} |x_{t,d} - h_{t,d}^{\text{MSE},L}|^2$$

- Network tries to solve both enhancement and recognition
- $\rho$  controls the balance between the two criteria

(Giri'15)

	CE	Multi-task $\rho = 0.91$
REVERB RealData	32.12	31.97

# Toward further long context

Time Delayed Neural Network (TDNN)

Convolutional Neural Network (CNN)

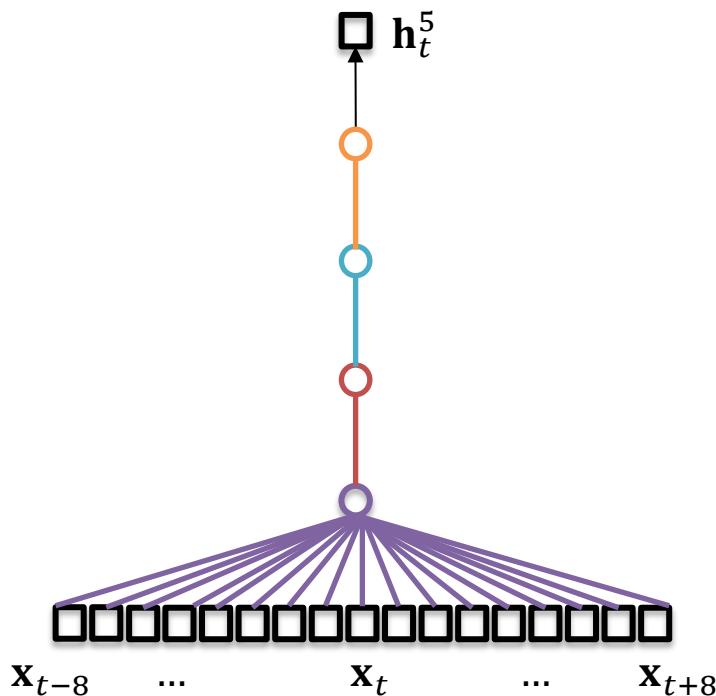
Recurrent Neural Network (RNN)

- Long Short-Term Memory (LSTM)

# Time delayed neural network (TDNN)

(Waibel'89, Peddinti'15)

- Deal with “very” long context (e.g., 17 frames)



- Difficult to train the first layer matrix due to vanishing gradient

# Time delayed neural network (TDNN)

(Waibel'89, Peddinti'15)

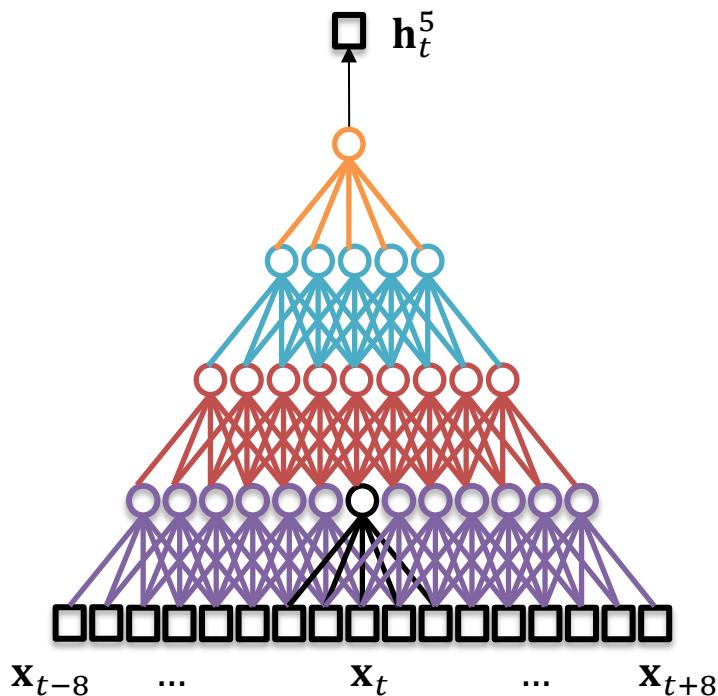
- Original TDNN
  - Consider short context (e.g., [-2, 2]), but expand context at each layer

$$\mathbf{h}_t^1 = \sigma(\mathbf{A}^1[\mathbf{x}_{t-2}, \mathbf{x}_{t-1}, \mathbf{x}_t, \mathbf{x}_{t+1}, \mathbf{x}_{t+2}] + \mathbf{b}^1)$$

$$\mathbf{h}_t^2 = \sigma(\mathbf{A}^2[\mathbf{h}_{t-2}^1, \mathbf{h}_{t-1}^1, \mathbf{h}_t^1, \mathbf{h}_{t+1}^1, \mathbf{h}_{t+2}^1] + \mathbf{b}^2)$$

$$\mathbf{h}_t^3 = \dots$$

Very large computational cost



# Time delayed neural network (TDNN)

(Waibel'89, Peddinti'15)

- Original TDNN

- Consider short context (e.g., [-2, 2]), but expand context at each layer

$$\mathbf{h}_t^1 = \sigma(\mathbf{A}^1[\mathbf{x}_{t-2}, \mathbf{x}_{t-1}, \mathbf{x}_t, \mathbf{x}_{t+1}, \mathbf{x}_{t+2}] + \mathbf{b}^1)$$

$$\mathbf{h}_t^2 = \sigma(\mathbf{A}^2[\mathbf{h}_{t-2}^1, \mathbf{h}_{t-1}^1, \mathbf{h}_t^1, \mathbf{h}_{t+1}^1, \mathbf{h}_{t+2}^1] + \mathbf{b}^2)$$

$$\mathbf{h}_t^3 = \dots$$

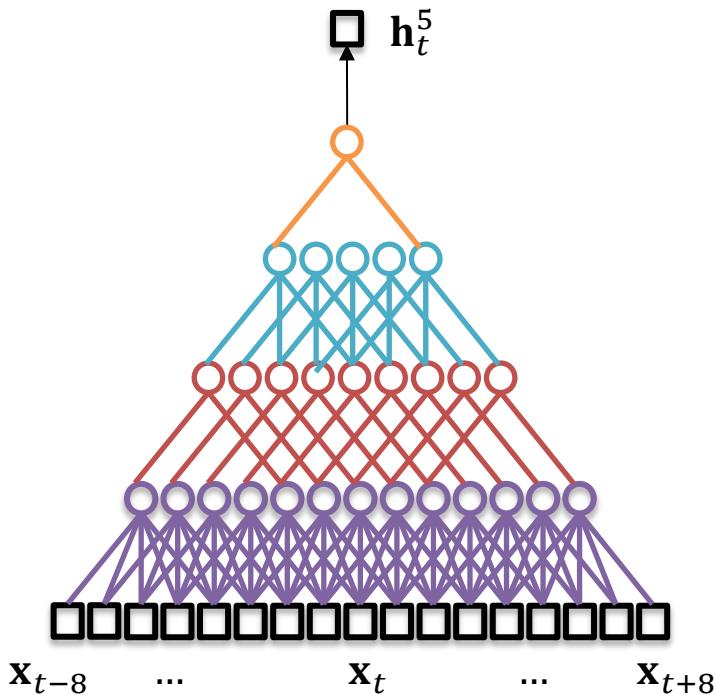
Very large computational cost

- Subsampled TDNN (Peddinti'15)

- Subsample frames in the context expansion

$$\mathbf{h}_t^2 = \sigma(\mathbf{A}^2[\mathbf{h}_{t-2}^1, \mathbf{h}_{t+2}^1] + \mathbf{b}^2)$$

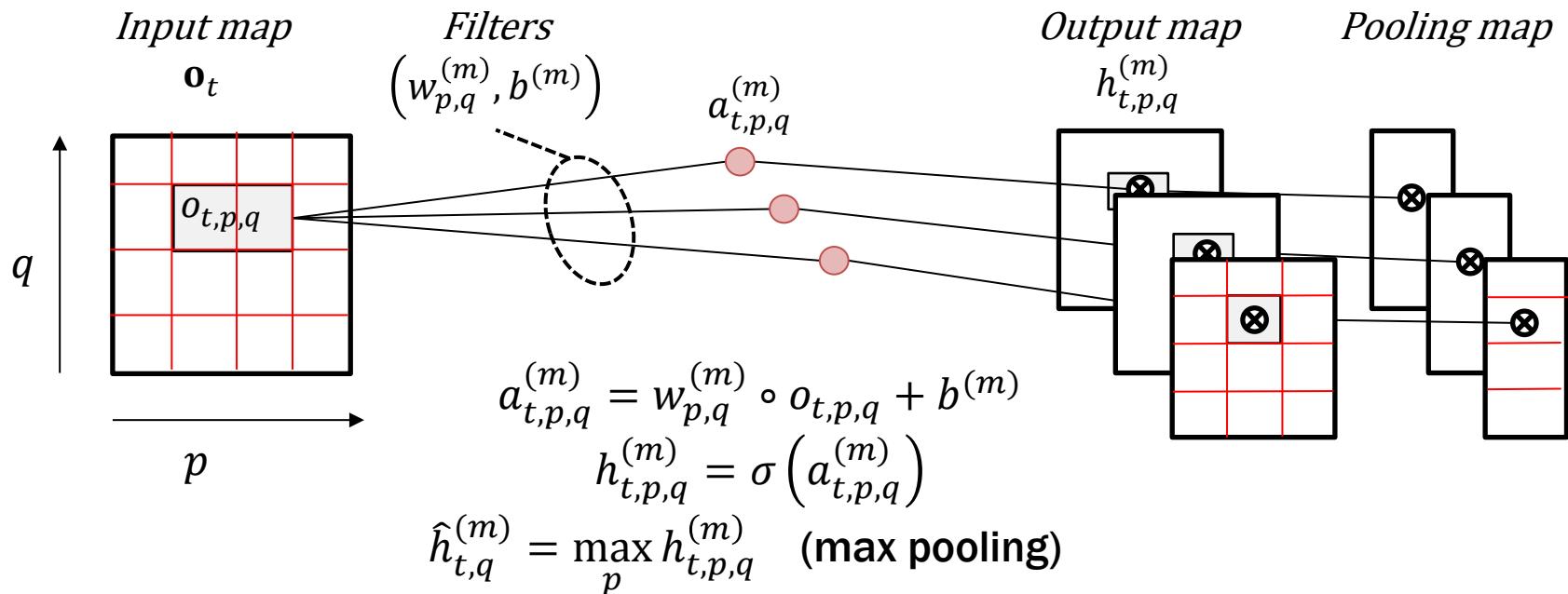
- Efficiently compute long context network



	DNN	TDNN
ASPIRE	33.1	30.8
AMI	53.4	50.7

# Convolutional Neural Network (CNN)

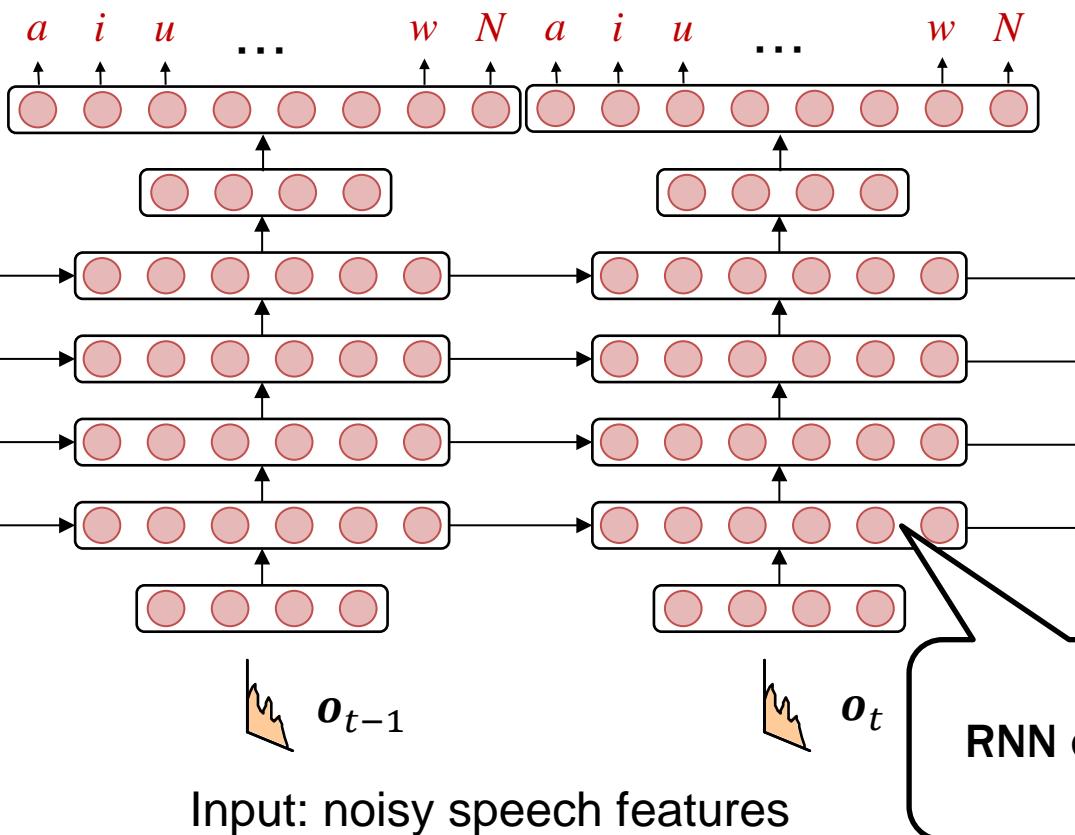
- Represents the input as time-frequency feature map  $o_{t,p,q}$  (we can also use multiple maps one for static, delta and delta-delta features), where  $p$  and  $q$  are indexes along the time and frequency axes of the feature maps



- Time-dimensional feature maps can capture long context information  
REVERB: **23.5** (DNN) → **22.4** (CNN-DNN) (Yoshioka'15a)

# RNN/LSTM acoustic model

Output HMM state



- RNN can also capture the long-term distortion effect due to reverberation and noise
- RNN/LSTM can be applied as an acoustic model for noise robust ASR (Weng'14, Weninger'14)

	DNN	RNN
Aurora4	13.33	12.74
CHiME2	29.89	27.70

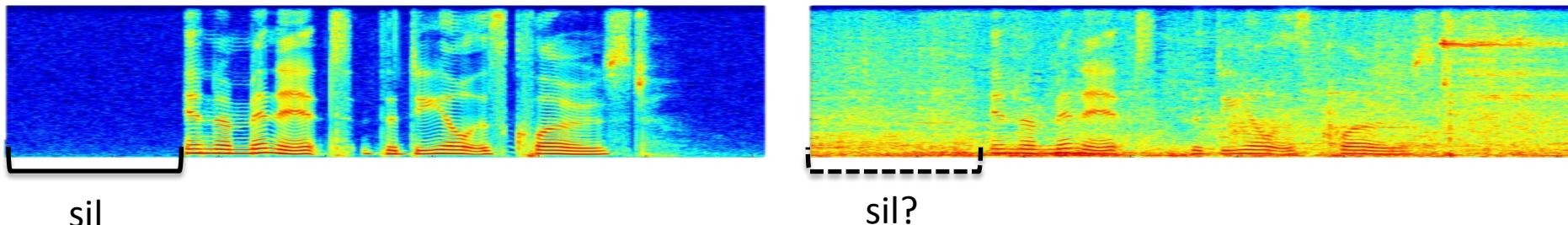
# Practical issues

# The importance of the alignments

- DNN CE training needs **frame-level** label  $\tau_{t,k}$  obtained by Viterbi algorithm

$$J^{\text{CE}}(\theta) = - \sum_t \sum_k \tau_{t,k} \log h_{t,k}^L$$

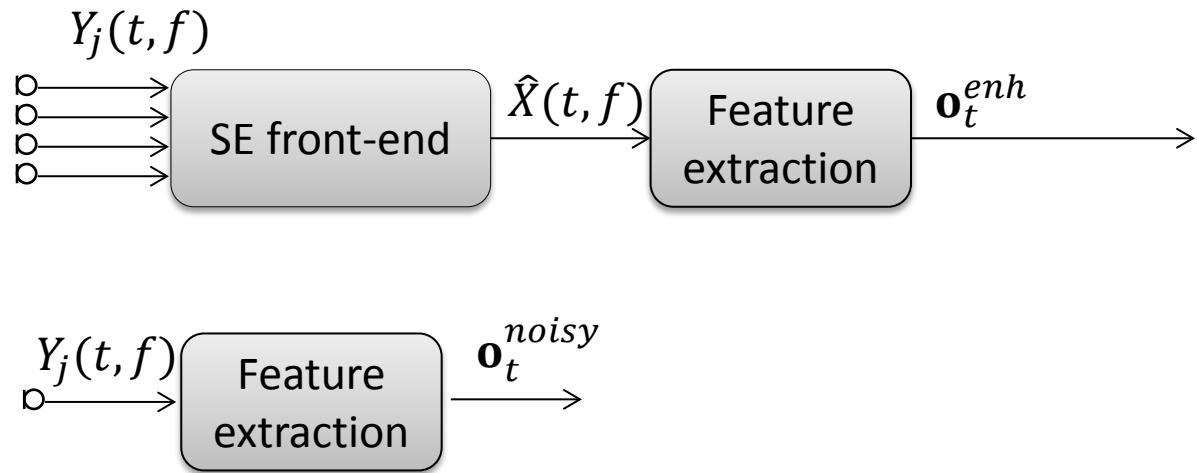
- However, it is very difficult to obtain precise label  $\tau_{t,k}$  for noisy speech



- How to deal with the issue?
  - Re-alignment after we obtain DNN several times
  - Sequence discriminative training can mitigate this issue (however, since we use CE as an initial model, it is difficult to recover this degradation)
  - Parallel clean data alignment if available

	Noisy alignment	Clean alignment	(Weng'14)
CHiME2	29.89	24.75	

# Degradation due to enhanced features

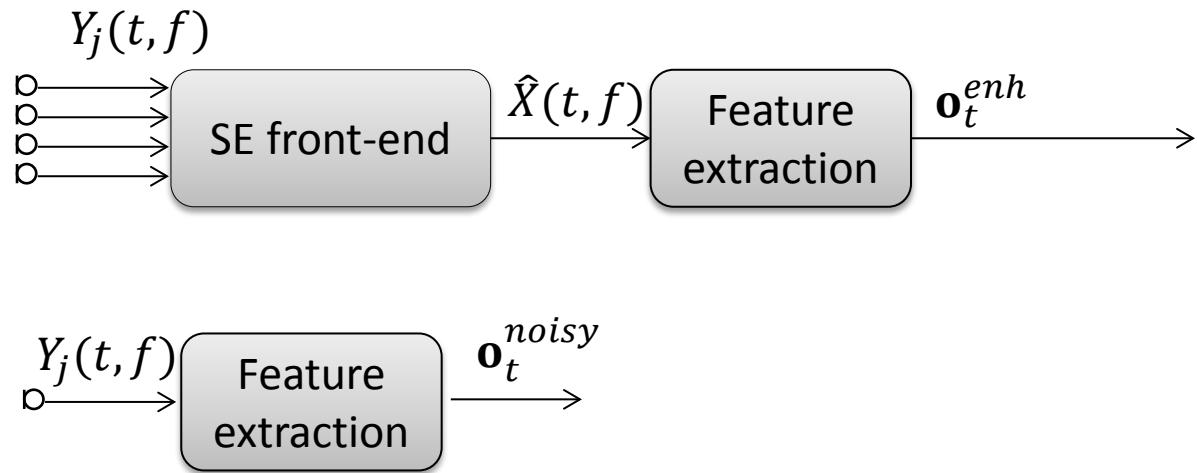


- Which features we should use for training acoustic models?
  - Noisy features:  $\mathbf{o}_t^{noisy} = \text{FE}(Y)$
  - Enhanced features:  $\mathbf{o}_t^{enh} = \text{FE}(\hat{X})$

Training	Testing	WER (%)
Noisy $\mathbf{o}_t^{noisy}$	Noisy $\mathbf{o}_t^{noisy}$	23.66
Noisy $\mathbf{o}_t^{noisy}$	Enhanced $\mathbf{o}_t^{enh}$	14.86
Enhanced $\mathbf{o}_t^{enh}$	Enhanced $\mathbf{o}_t^{enh}$	????

CHiME 3  
Real Eval

# Degradation due to enhanced features



- Which features we should use for training acoustic models?
  - Noisy features:  $\mathbf{o}_t^{noisy} = \text{FE}(Y)$
  - Enhanced features:  $\mathbf{o}_t^{enh} = \text{FE}(\hat{X})$

Training	Testing	WER (%)
Noisy $\mathbf{o}_t^{noisy}$	Noisy $\mathbf{o}_t^{noisy}$	23.66
Noisy $\mathbf{o}_t^{noisy}$	Enhanced $\mathbf{o}_t^{enh}$	14.86
Enhanced $\mathbf{o}_t^{enh}$	Enhanced $\mathbf{o}_t^{enh}$	16.17

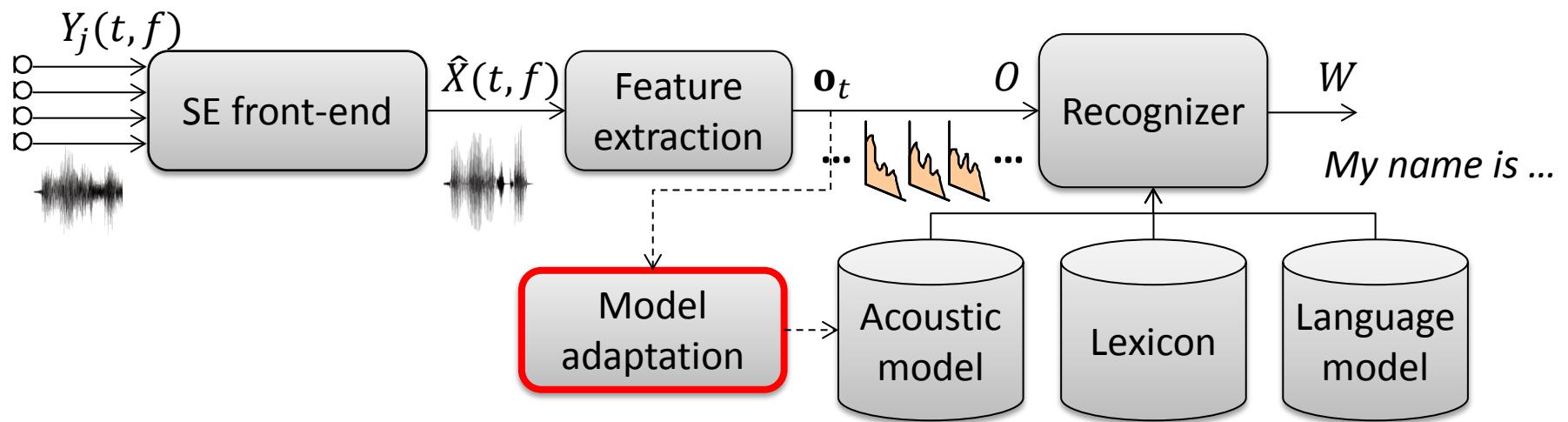
Re-training with enhanced features degrades the ASR performance!!

- Noisy data training are robust for distorted speech (?)

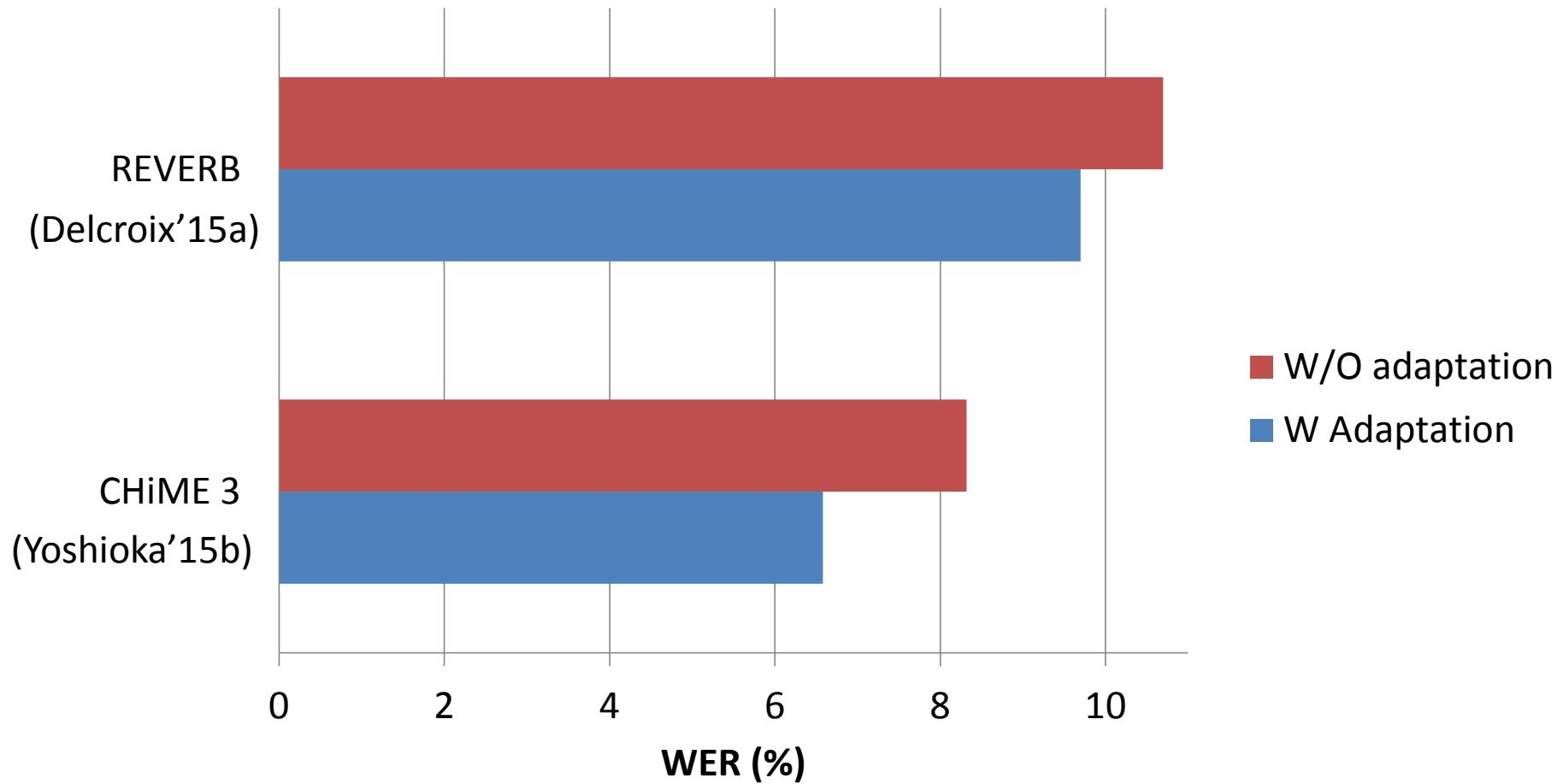
# Remarks

- Noise robust feature and linear feature transformation are effective
  - Effective for both GMM and DNN acoustic modeling
- Deep learning is effective for noise robust ASR
  - DNN with sequence discriminative training is still powerful
  - RNN, TDNN, and CNN can capture the long-term dependency of speech, and are more effective when dealing with reverberation and complex noise
- We can basically use standard acoustic modeling techniques even for distant ASR scenarios
- However, need special cares for
  - Alignments
  - Re-training with enhanced features

### 3.3 Acoustic model adaptation

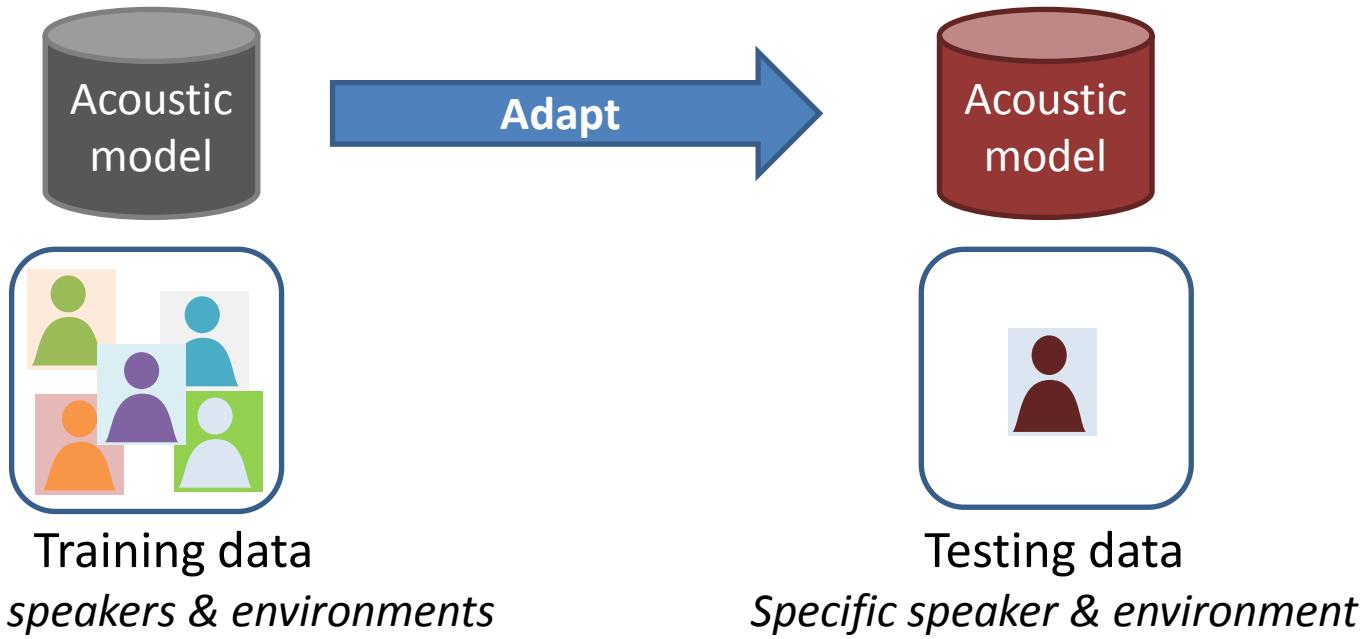


# Importance of acoustic model adaptation



# Acoustic model adaptation

- DNN is very powerful so why do we need adaptation?



- Unseen test condition due to limited amount of training data
- Model trained on large amount of data may be good on average but not optimal for a specific condition

# Supervised/Unsupervised adaptation

- Supervised adaptation
  - *We know what was spoken*
  - There are transcriptions associated with adaptation data
- Unsupervised adaptation
  - *We do not know what was spoken*
  - There are no transcriptions

# Supervised/Unsupervised adaptation

- Supervised adaptation
  - *We know what was spoken*
  - There are transcriptions associated with adaptation data
- **Unsupervised adaptation**
  - *We do not know what was spoken*
  - There are no transcriptions

# DNN adaptation techniques

- Model adaptation
  - Retraining
  - Linear transformation of input or hidden layers (fDLR, LIN, LHN, LHUC)
  - Adaptive training (Cluster/Speaker adaptive training)
- Auxiliary features
  - Auxiliary features
    - Noise aware training
    - Speaker aware training
    - Context adaptive DNN

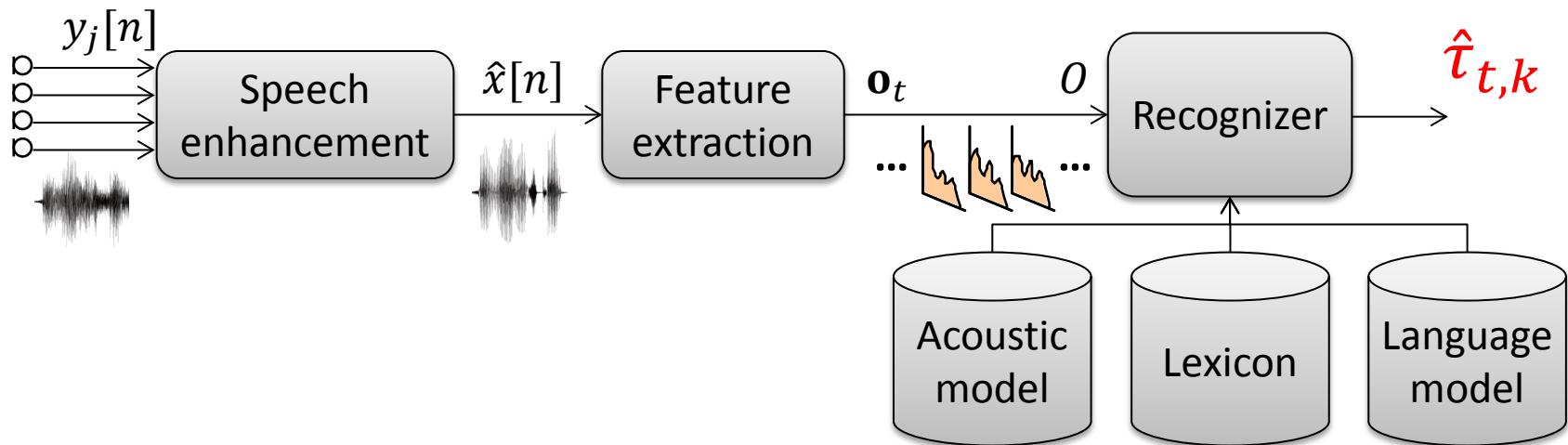
# DNN adaptation techniques

- Model adaptation
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# Unsupervised labels estimation

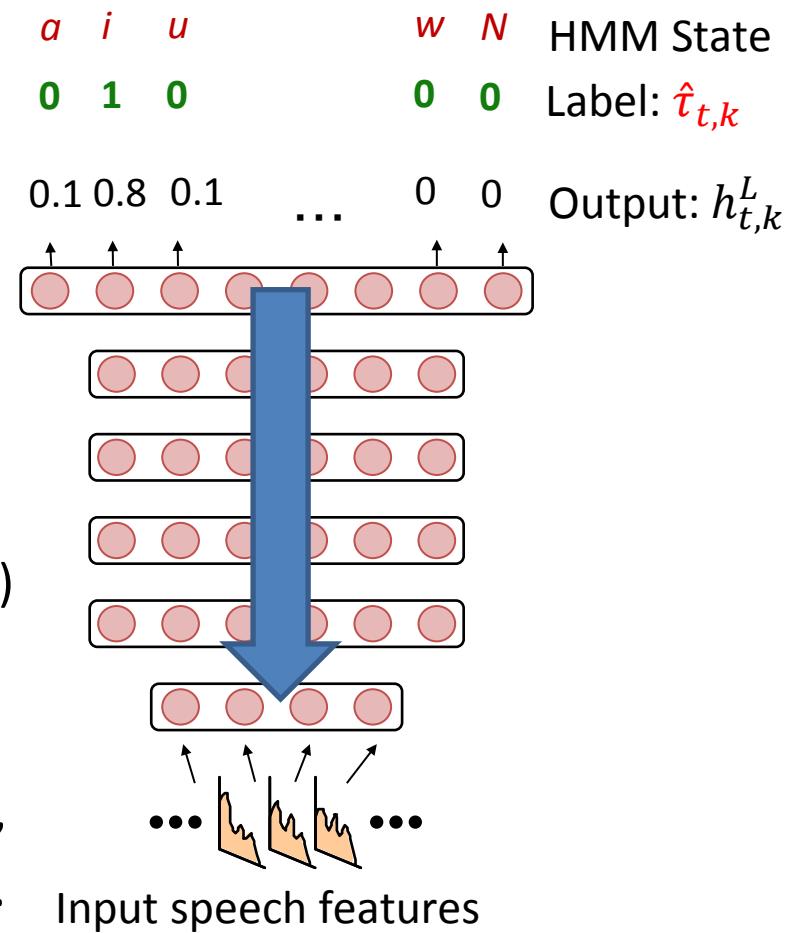
- 1<sup>st</sup> pass
  - Decode adaptation data with an existing ASR system
  - Obtain estimated labels,  $\hat{\tau}_{t,k}$

Adaptation  
speech data



# Retraining

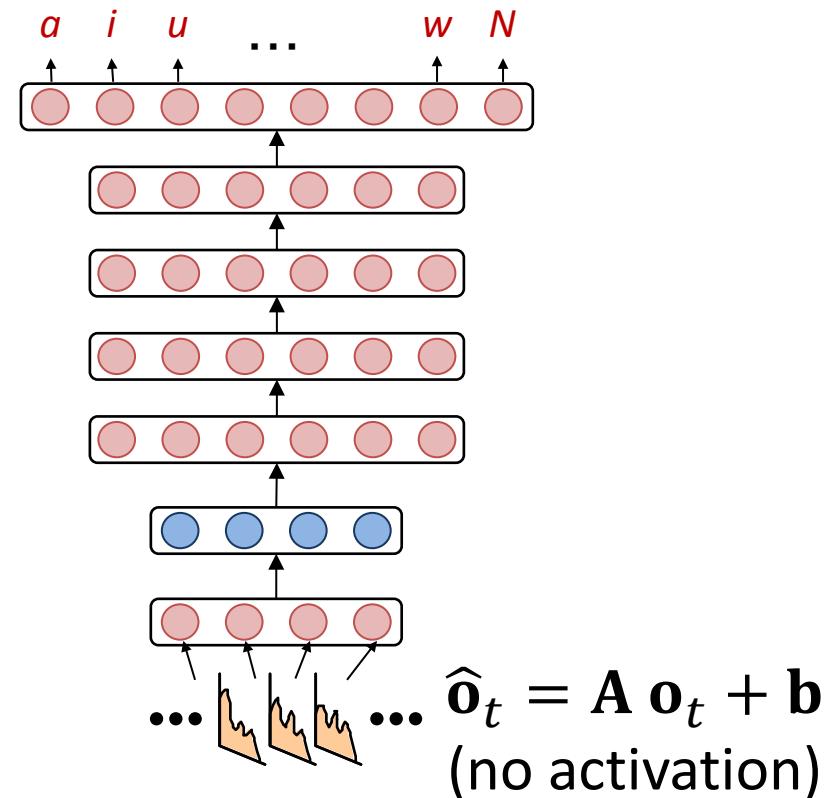
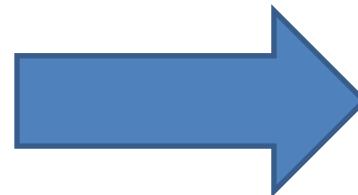
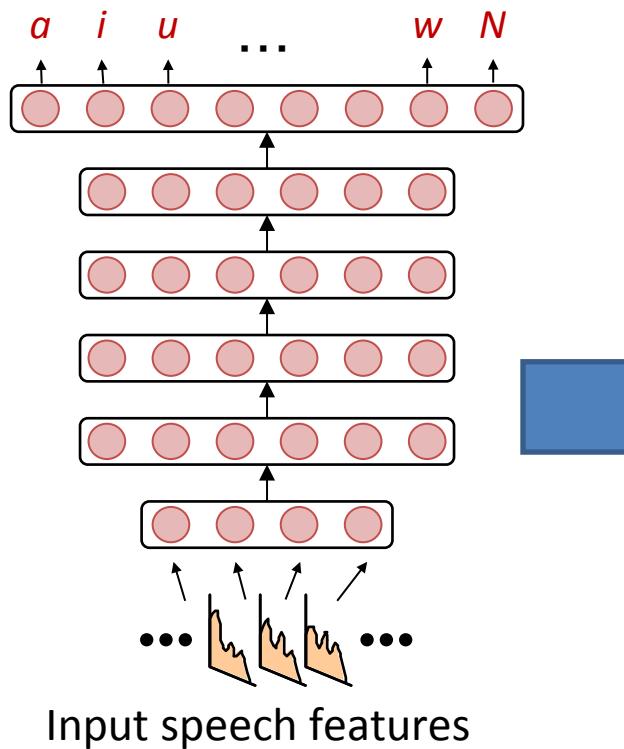
- Retrain/adapt acoustic model parameters given the estimated labels with error backpropagation (Liao'13)
- Prevent modifying too much the model
  - Small learning rate
  - Small number of epochs (early stopping)
  - Regularization (e.g. L2 prior norm (Liao'13), KL (Yu'13))
- For large amount of adaptation data, retraining all or part of the DNN (e.g. lower layers)



# Linear input network (LIN)

(Neto'95)

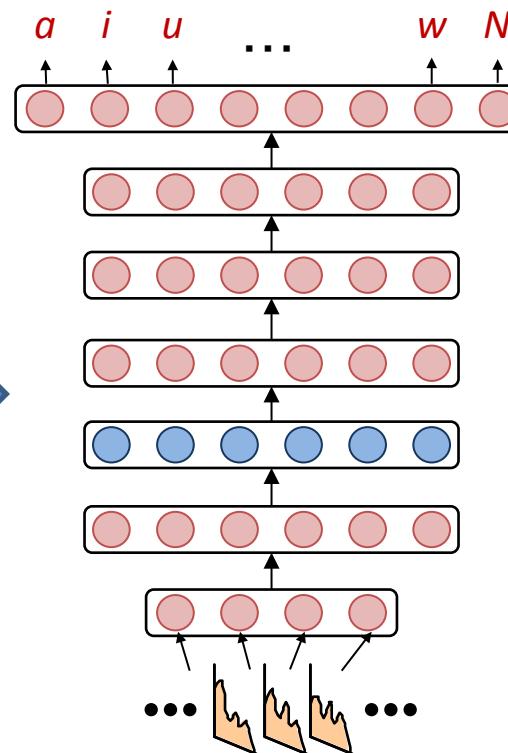
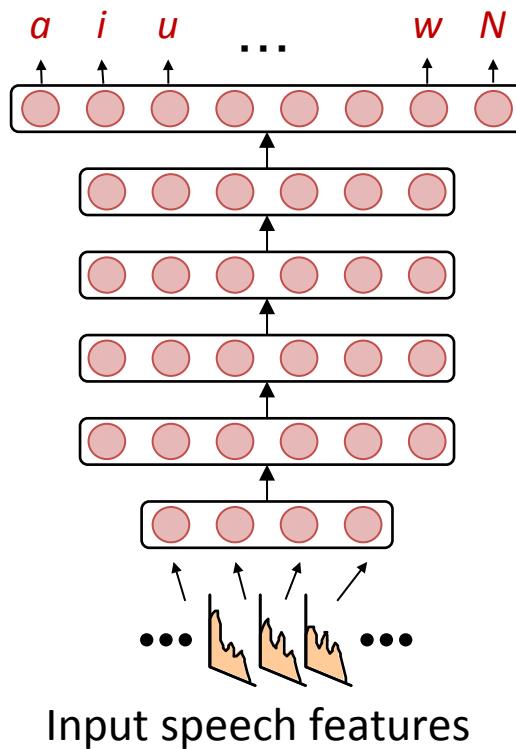
- Add a linear layer that transforms the input features
- Learn the transform with error backpropagation



# Linear hidden network (LHN)

(Gemello'06)

- Insert a linear transformation layer inside the network



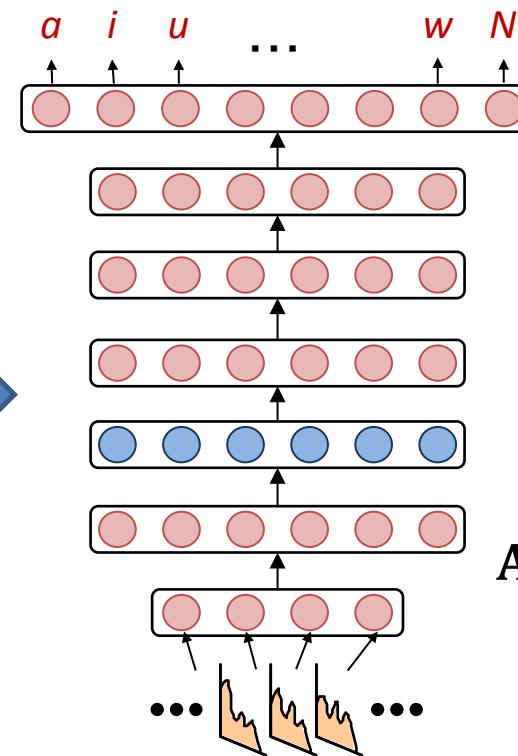
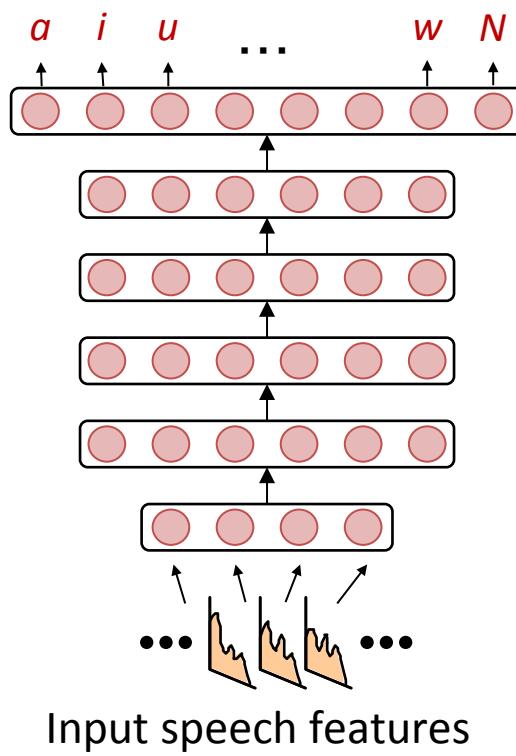
$$\hat{\mathbf{h}}_t^l = \mathbf{A} \mathbf{h}_t^l + \mathbf{b}$$

(no activation)

# Learning hidden unit contribution (LHUC)

(Swietojanski '14b)

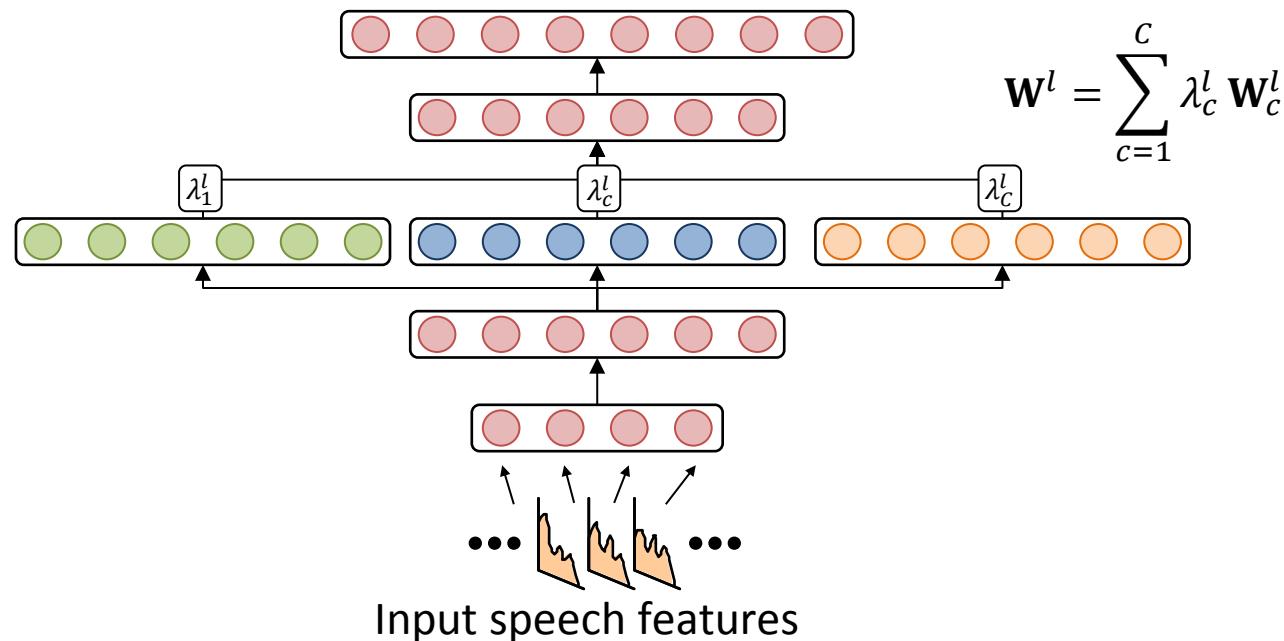
- Similar to LHN but with diagonal matrix  
→ Fewer parameters



$$\hat{\mathbf{h}}_t^l = \mathbf{A} \mathbf{h}_t^l$$
$$\mathbf{A} = \begin{bmatrix} a_1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & a_N \end{bmatrix}$$

# Speaker/Cluster adaptive training

- Parameters of one or several layers are made dependent on conditions (speaker or noise)
  - During adaptation, adapt only the parameters of this layer (speaker adaptive training) (Ochiai'14)
  - Use the trained set of parameters as basis ( $\mathbf{W}_c^l, c = 1, \dots, C$ ) and only adapt weights of these basis  $\lambda_c^l$  (Cluster adaptive training) (Tan'15, Chunyang'15)



# Room adaptation for REVERB (RealData)

Results from (Delcroix'15a)

Adap	WER (%)
-	24.1
1st	21.7
All	22.1
LIN	22.1

Speech processed with WPE (1ch)

Amount of adaptation data ~9 min

Back-end:

- DNN with 7 hidden layers
- Trigram LM

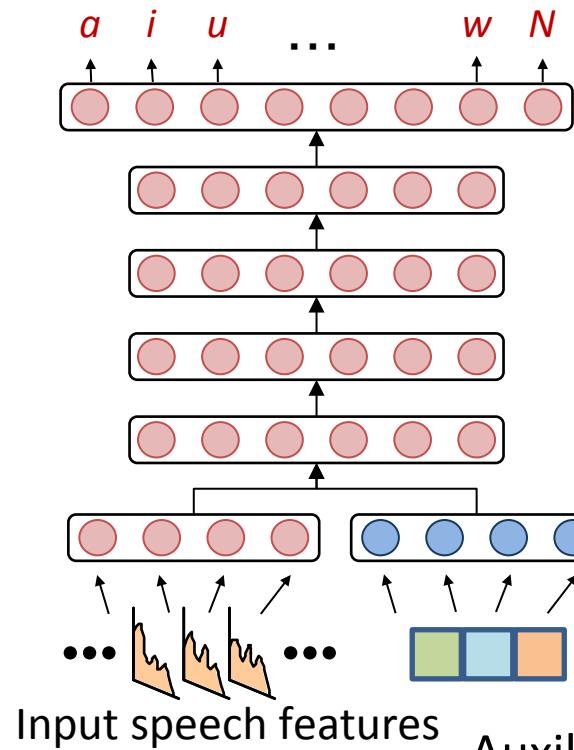
# Model adaptation

- 😊 Can adapt to conditions unseen during training
- 😢 Computationally expensive + processing delay  
*Requires 2 decoding step*
- 😢 Data demanding  
*Relatively large amount of adaptation data needed*

# DNN adaptation techniques

- Model adaptation
  - Retraining
  - Linear transformation of input or hidden layers (fDLR, LIN, LHN, LHUC)
  - Adaptive training (Cluster/Speaker adaptive training)
- Auxiliary features
  - Auxiliary features
    - Noise aware training
    - Speaker aware training
    - Context adaptive DNN

# Auxiliary features based adaptation



- Exploit auxiliary information about speaker or noise
- Simple way:
  - Concatenate auxiliary features to input features
- Weights for auxiliary features learned during training

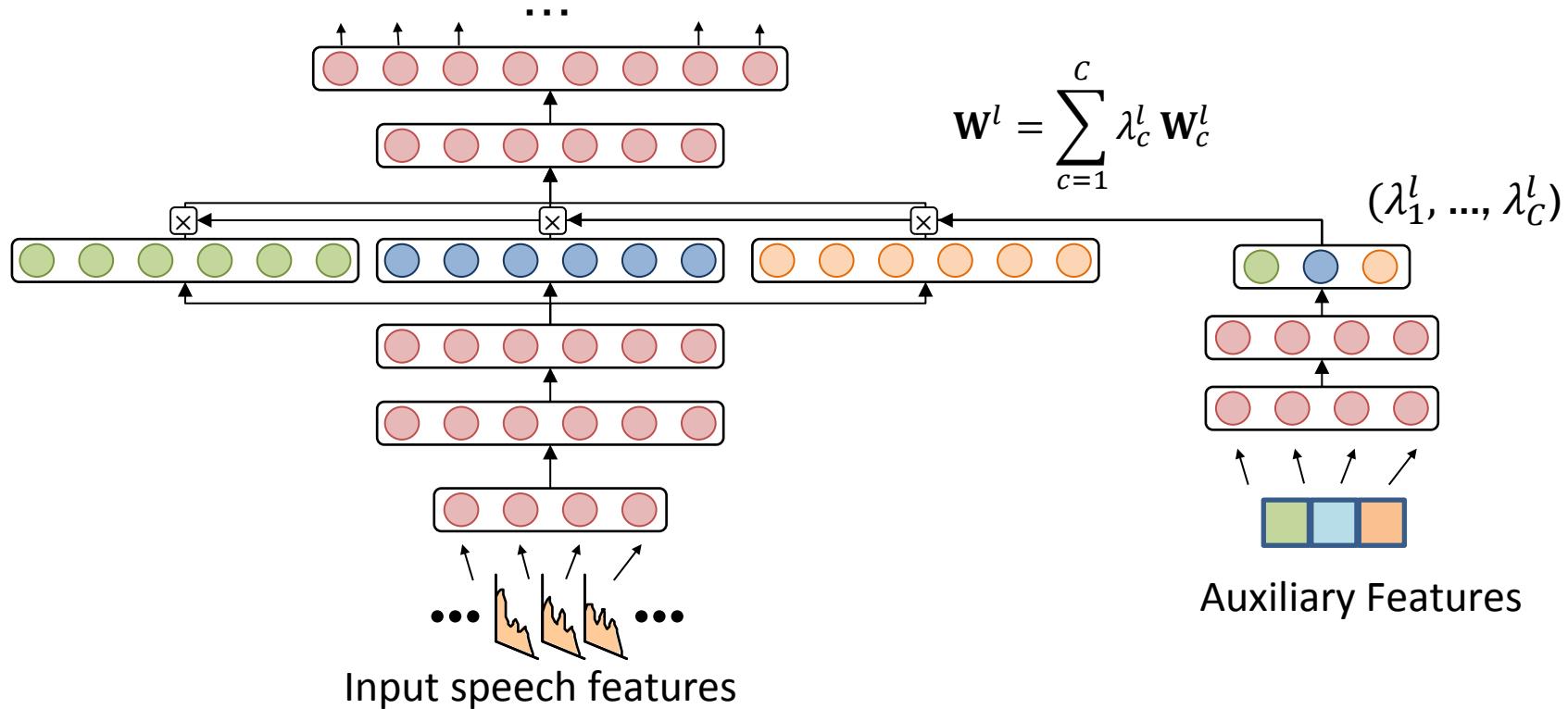
Auxiliary Features represents e.g.,

- Speaker aware (i-vector, Bottleneck feat.) (Saon'13)
- Noise aware (noise estimate) (Seltzer'13)
- Room aware (RT60, Distance, ...) (Giri'15)

# Context adaptive DNN

(Delcroix'15b, '16a, '16b)

- Similar to cluster adaptive training but the class weights  $\lambda_c^l$  are derived from an auxiliary network that input auxiliary features
- The joint optimization of context classes, class weights and DNN parameters enables class weights and class definitions optimized for ASR



# Speaker adaptation

Results from (Kundu'15)

Auxiliary feature	AURORA 4	REVERB
-	9.6 %	20.1 %
i-vector	9.0 %	18.2 %
Speaker ID Bottleneck	9.3 %	17.4 %

- Speaker i-vectors or bottleneck features have shown to improve performance for many tasks
- Other features such as noise or room parameters have also been shown to improve performance

# Auxiliary features-based adaptation

## 😊 Rapid adaptation

*Auxiliary features can be computed per utterance (~10 sec. or less)*

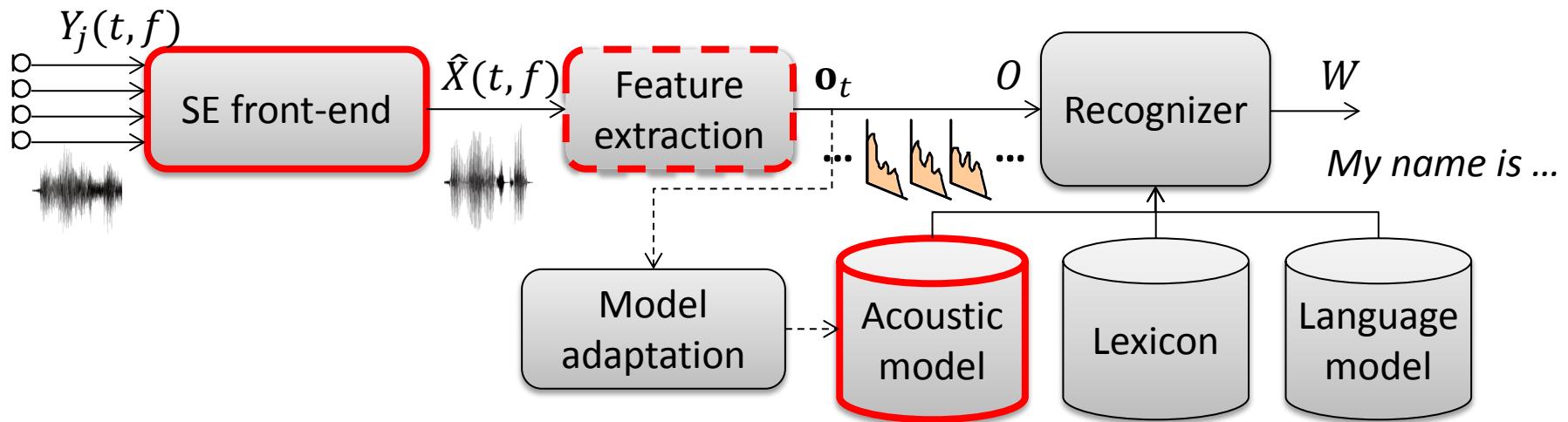
## 😊 Computationally friendly

*No need for the extra decoding step  
(Single pass unsupervised adaptation)*

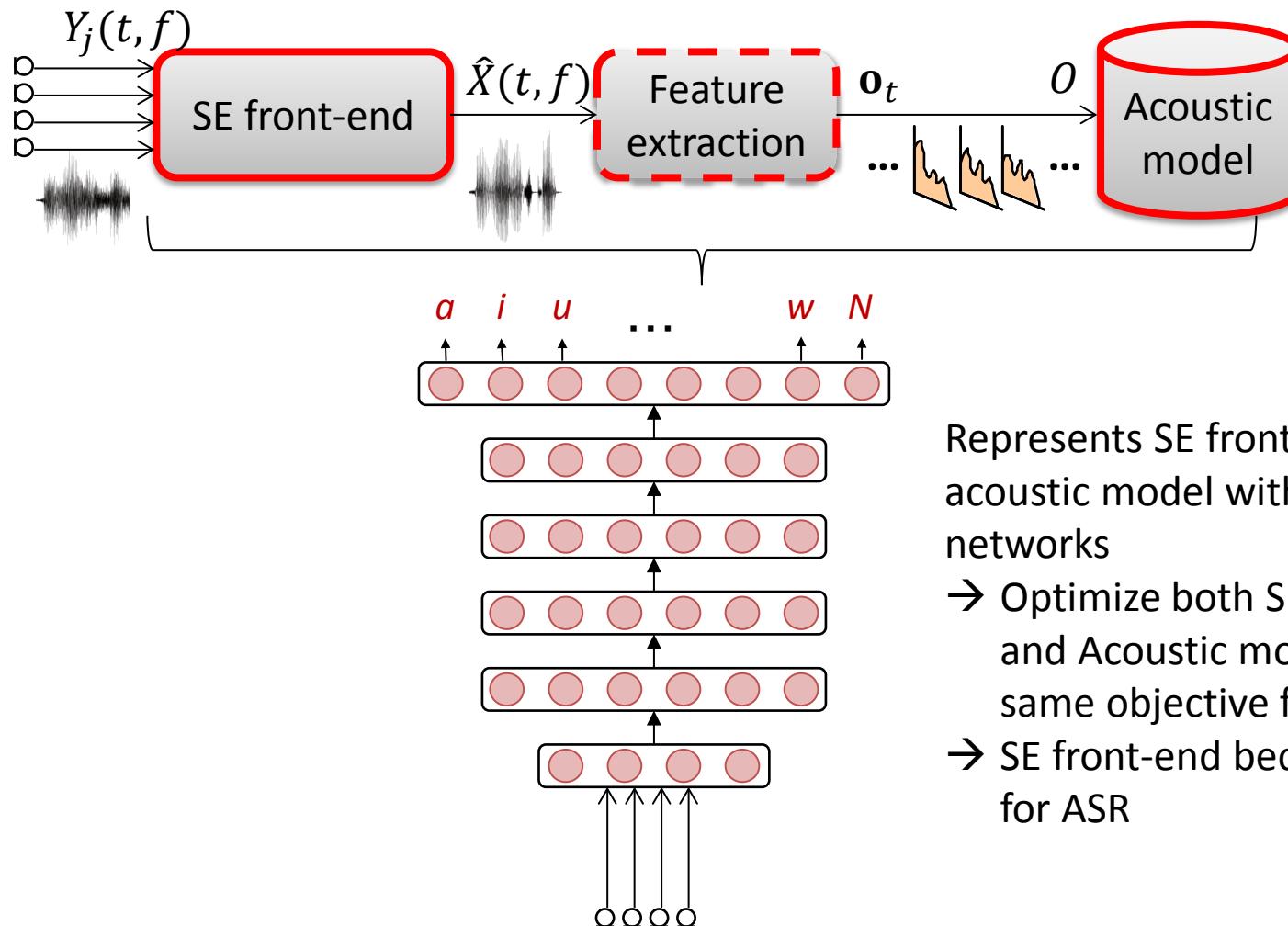
## 😢 Does not extend to unseen conditions

*Requires training data covering all test cases*

## 3.4 Integration of front-end and back-end with deep networks

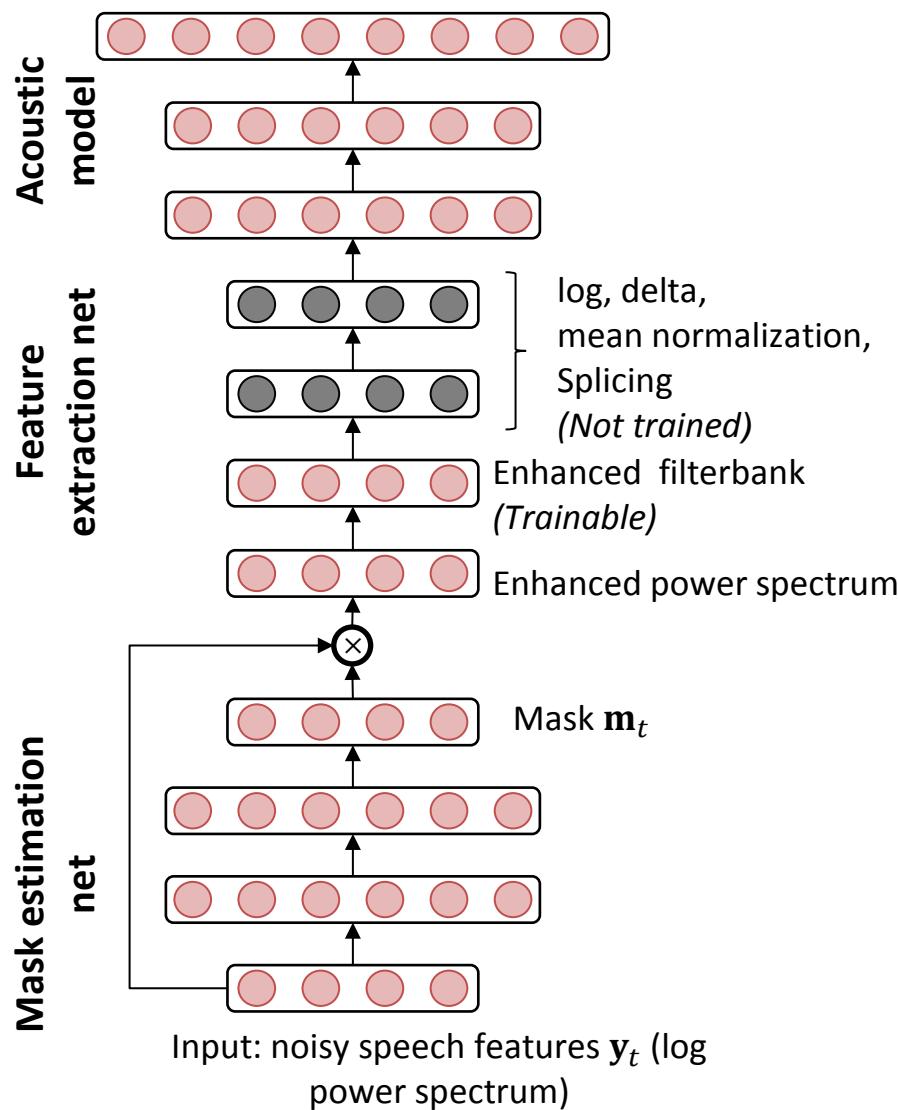


# Front-end and back-end integration



# Single channel integrated system

(Wang'16)



- DNN-based SE front-end and ASR back-end can be connected to form a large network  
→ Can be optimized for ASR objective function (Cross entropy or SMBR)
- Initialize each component independently  
→ Requires parallel corpus for initialization

# Experiments on CHiME 2

Results from (Wang'16)

System	CE	sMBR
Baseline (No SE front-end)	16.2 %	13.9 %
Mask estimation using CE	14.8 %	13.4 %
Mask estimation + retraining	15.5 %	13.9 %
<b>Joint training of mask estimation and acoustic model</b>	<b>14.0 %</b>	<b>12.1 %</b>
Large DNN-based acoustic model	15.2 %	-

## Enhancement DNN

- Predict mask (CE Objective function)
- Features: Log power spectrum

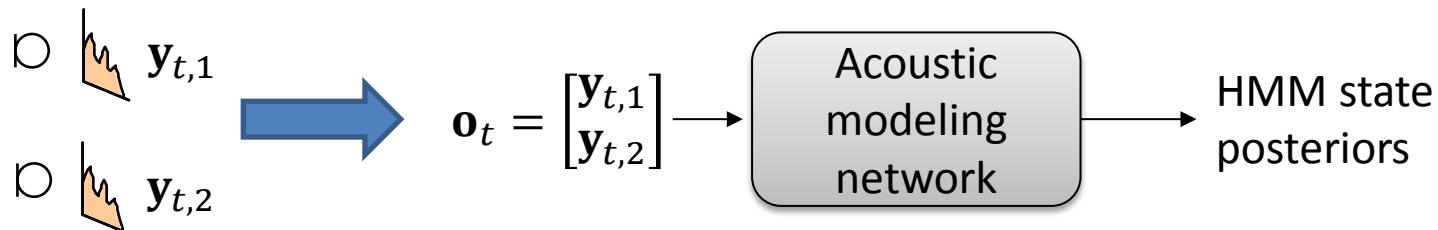
## Acoustic model DNN

- Log Mel Filterbanks
- Trained on noisy speech with cross entropy (CE) or sMBR objective function

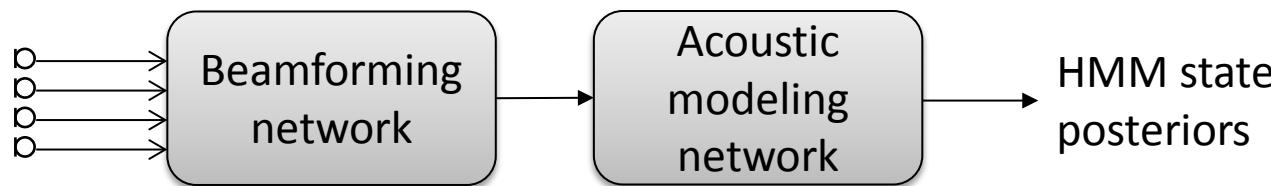
# Multi-channel approaches

# Multi-channel approaches

- Multi-channel input to the acoustic model



- Beamforming network

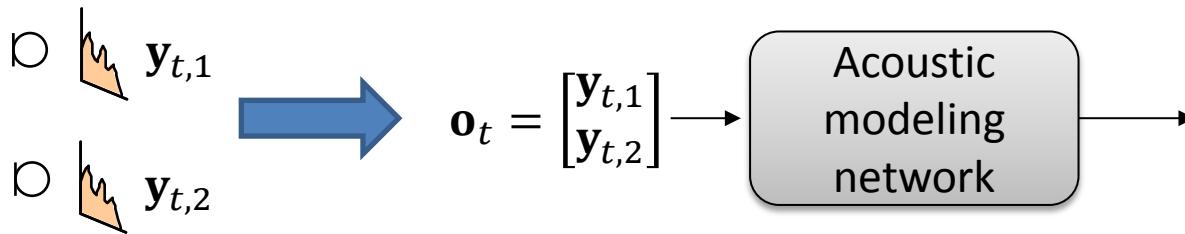


1. Directly enhance signal using CNN-based beamforming network  
**(Filter learning)**
2. DNN outputs beamforming filters  
**(Filter prediction)**

# Multi-channel input acoustic model

(Marino'11, Swietojanski'13 , Liu'14, Swietojanski'14a)

- Concatenate speech features (e.g. log mel filterbank) for each channel at the input of the acoustic model



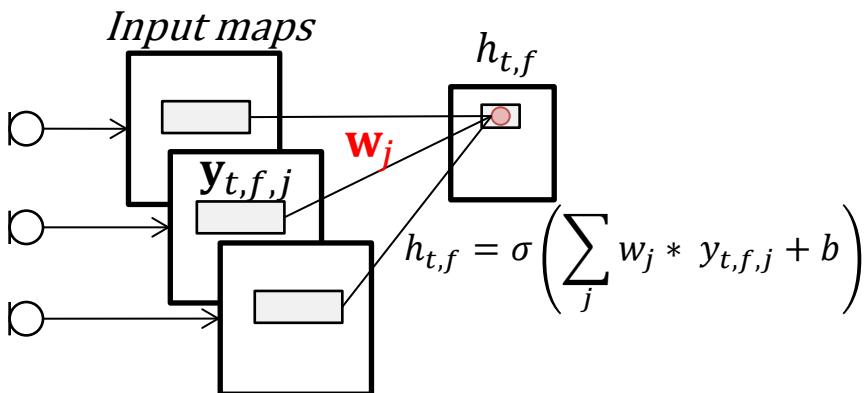
- With fully connected networks (Swietojanski'13 , Liu'14)
- With CNNs (Swietojanski'14a)
- Without phase difference: lack of special information

# CNN-based multi-channel input (feature domain)

(Swietojanski'14a)

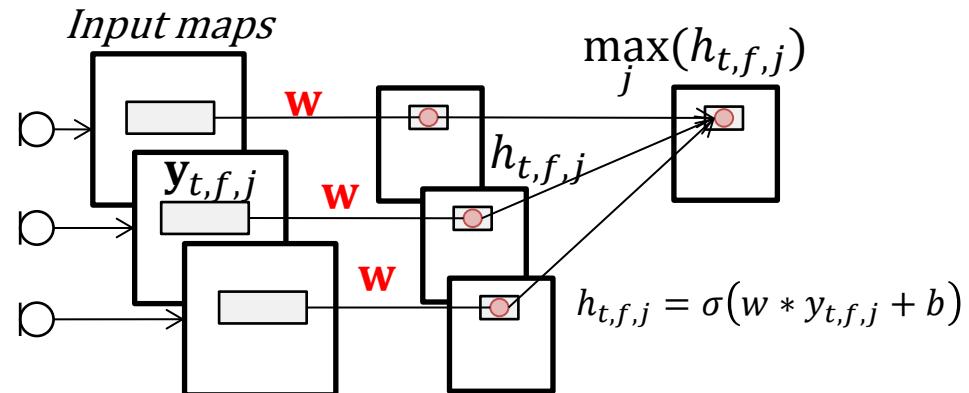
- Each channel considered as a different feature map input to a CNN acoustic model

## Conventional CNN



- Process each channel with **different filters  $w_j$**
- Sum across channels
- Similar to beamforming but
  - Filter shared across time-frequency bins
  - Input does not include phase information

## Channel wise convolution



- Process each channel with **same filter  $w$**
- Max pooling across channels
  - Select the “most reliable” channel for each time-frequency bin
  - Applicable to different microphone configuration

# Results for AMI corpus

Results from (Swietojanski'14a)

	DNN	CNN
Single distant mic	53.1 %	51.3 %
Multi-channel input (4ch)	51.2 %	50.4 %
<b>Multi-channel input (4ch) channel-wise convolution</b>	-	<b>49.4 %</b>
BeamformIt (8ch)	49.5 %	46.8 %

- Inputting multi-channel improves over single-channel input
- Beamforming seems to perform better possibly because it exploits phase difference across channels

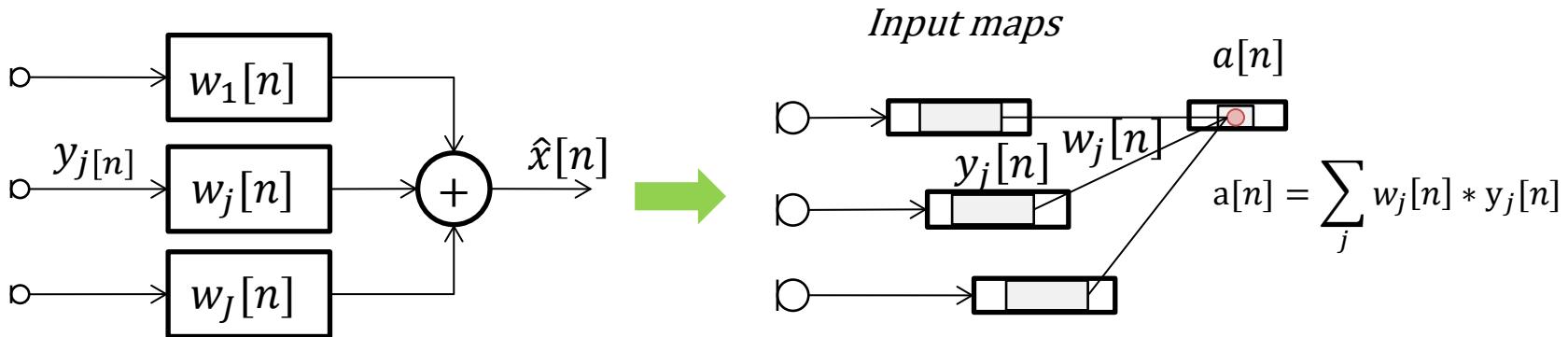
Back-end configuration:

- 1 CNN layer followed by 5 fully connected layers
- Input feature 40 log mel filterbank + delta + delta-delta

# Filter learning-based Beamforming network (time domain)

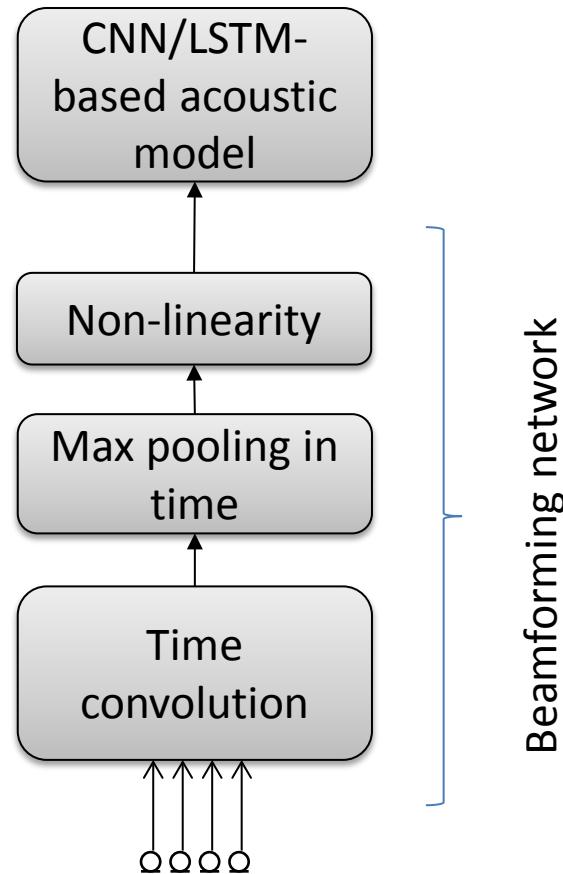
(Hoshen'15, Sainath'16)

- Beamforming can be expressed as a convolutional layer in the time domain (raw signals)



- Joint optimization is possible
  - Time domain → Can exploit phase information
  - Fixed beamforming filter is learned** from corpus
  - By having multiple output maps, we can obtain a set of fixed beamformers steering at different directions  $w_j[n] \rightarrow w_j^{(m)}[n]$

# Filter learning-based Beamforming network architecture



- Beamforming and acoustic modeling can be expressed as a single neural network
- **Joint training becomes possible**
- Beamforming network
    - Performs beamforming + implicit filterbank extraction
    - Max pooling in time and non-linearity removes phase information and mimic filterbank extraction

# Results on a large corpus

Results from (Sainath'16)

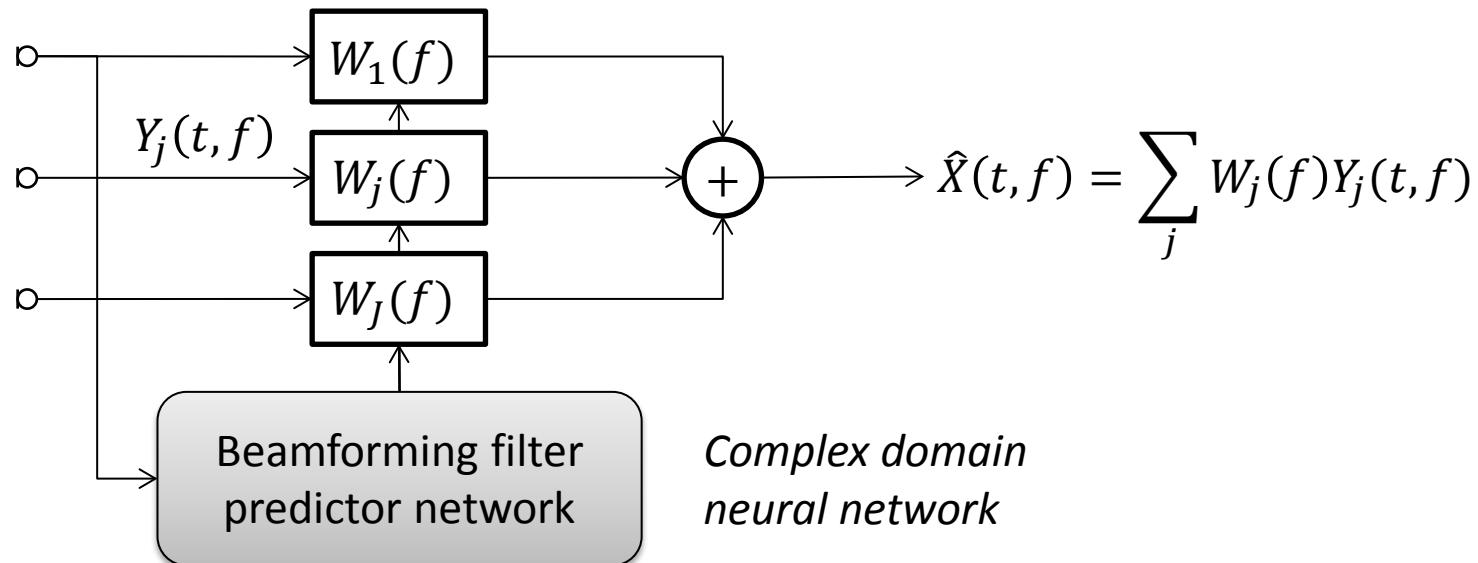
	CE	sMBR
Raw signal (1ch)	23.5 %	19.3 %
Oracle delay and sum (8ch)	22.4 %	18.8 %
Beamforming network (8ch)	20.6 %	17.2 %
8ch log mel input	21.7 %	-

Google internal data

2000 h of training data with simulated distant speech

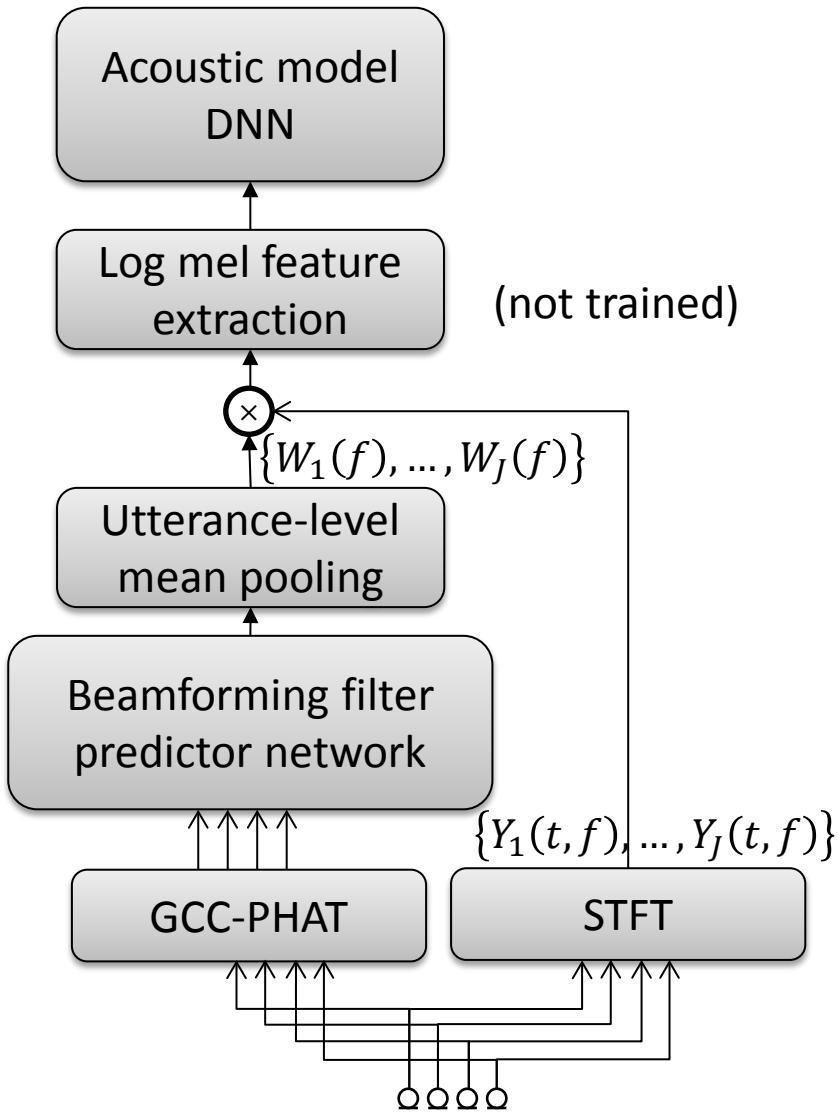
# Filter prediction-based beamforming network

- Design a neural network to **predict** the beamforming filter coefficients given the input microphone signals
  - Adaptive to the input signal
    - Time domain implementation (Li'16)
    - STFT domain implementation (Xiao'16)



# Filter prediction-based beamforming network

(Xiao'16)



- Beamforming and acoustic modeling can be expressed as a single neural network  
→ **Joint training** becomes possible
- Mimic Log Mel Filterbank
- Utterance-level mean pooling
  - Time-independent linear filter  $W_j(f)$
- Need careful training procedure
  - Train network, which predict Beamforming filter independently
    - Requires simulated data to have ground truth of the beamformer filter
  - Train acoustic model DNN independently on 1ch data
  - Refine with joint-optimization

# Results on the AMI corpus

Results from (Xiao'16)

	WER
Single distant mic (1ch)	53.8 %
BeamformIt (8ch)	47.9 %
Beamforming filter predictor network (8ch)	47.2 %
<b>+ Joint training (8ch)</b>	<b>44.7 %</b>

Back-end configuration:

- Acoustic model (6 layer fully connected)
- Training criterion: Cross entropy

# Remarks

- Integration of SE front-end and ASR back-end becomes possible when all components are using neural networks
- **Joint** optimization improves performance
- For multi-channel, including phase information using raw signals or STFT domain features appears more promising
  - There may be issues for unseen condition or unseen microphone configurations
- Filter learning or filter prediction

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- (Kim'12) Kim, C., et al. "Power-normalized cepstral coefficients (PNCC) for robust speech recognition." Proc. ICASSP (2012).
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# References (Back-end 2/3)

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# References (Back-end 3/3)

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## 4. Building robust ASR systems

## 4.1 Overview of some successful systems at CHiME and REVERB

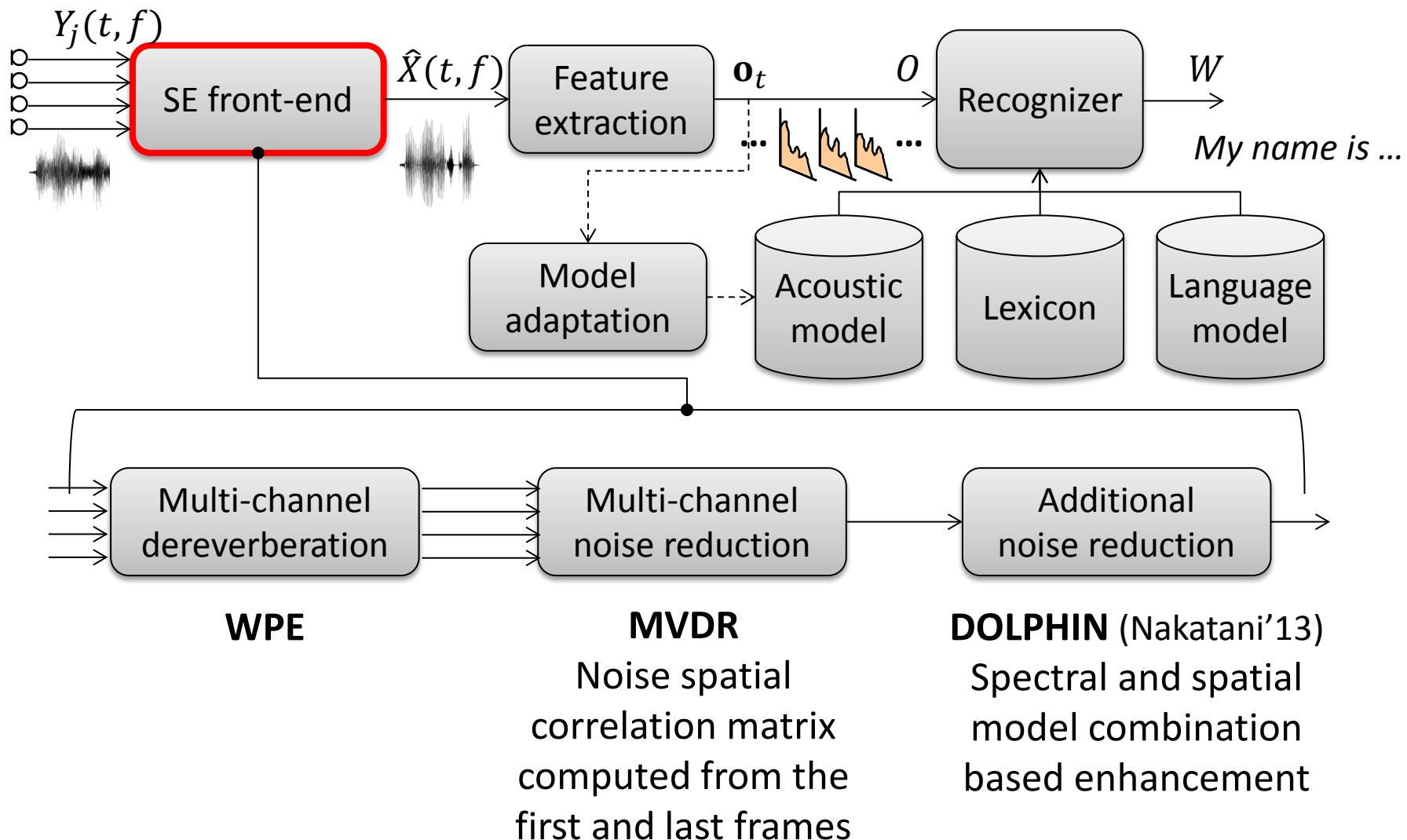
# REVERB CHALLENGE



## REVERB: NTT system

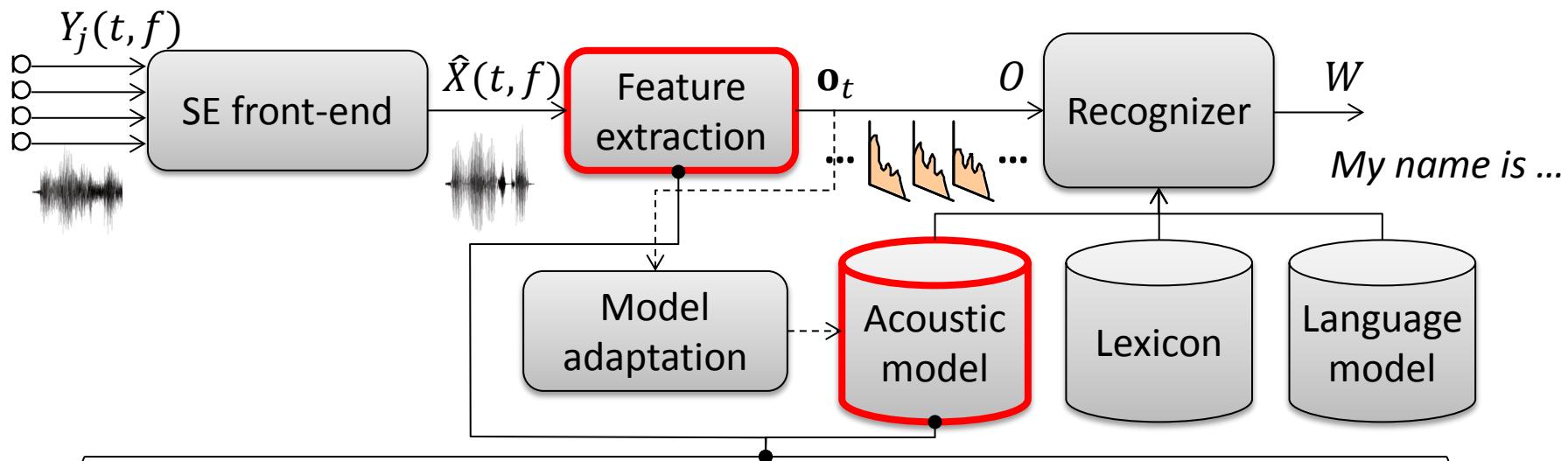
# REVERB challenge system

(Delcroix'15)



# REVERB challenge system

(Delcroix'15)



## Features

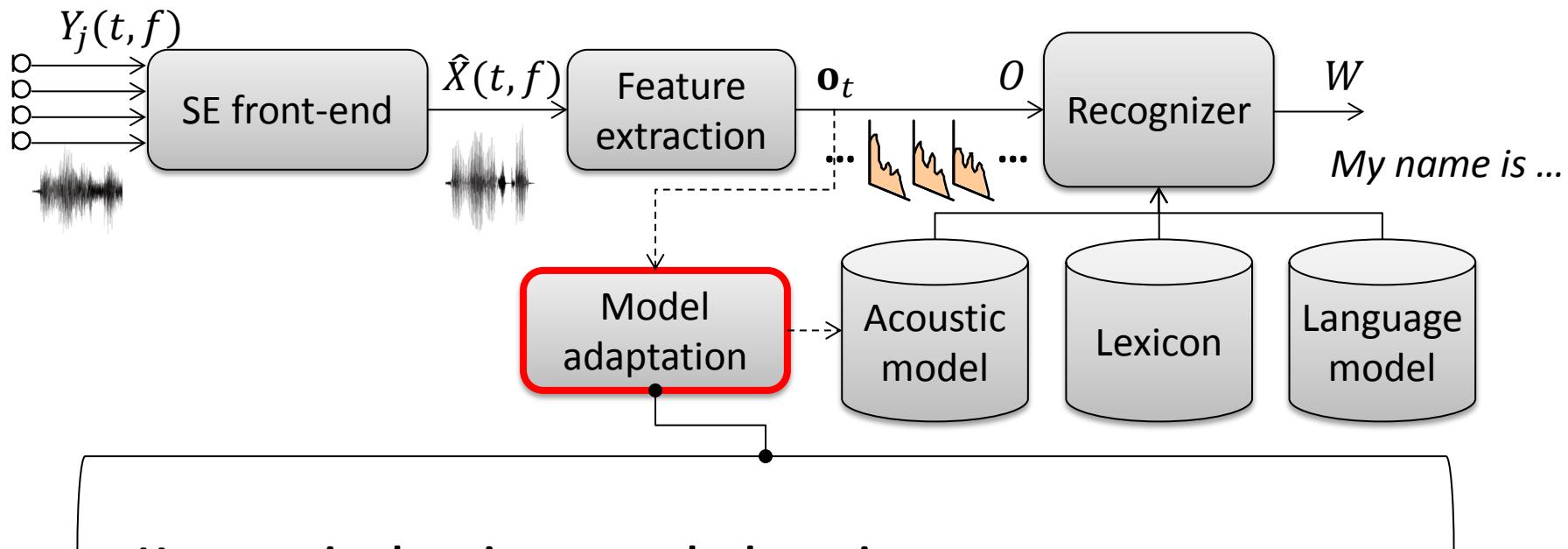
- 40 Log mel filter-bank coefficients +  $\Delta$  +  $\Delta\Delta$  (120)
- 5 left+5 right context (11 frames)

## Acoustic model

- DNN-HMM (7 hidden layers)
- RBM pre-training
- Training with data augmentation without SE front-end

# REVERB challenge system

(Delcroix'15)

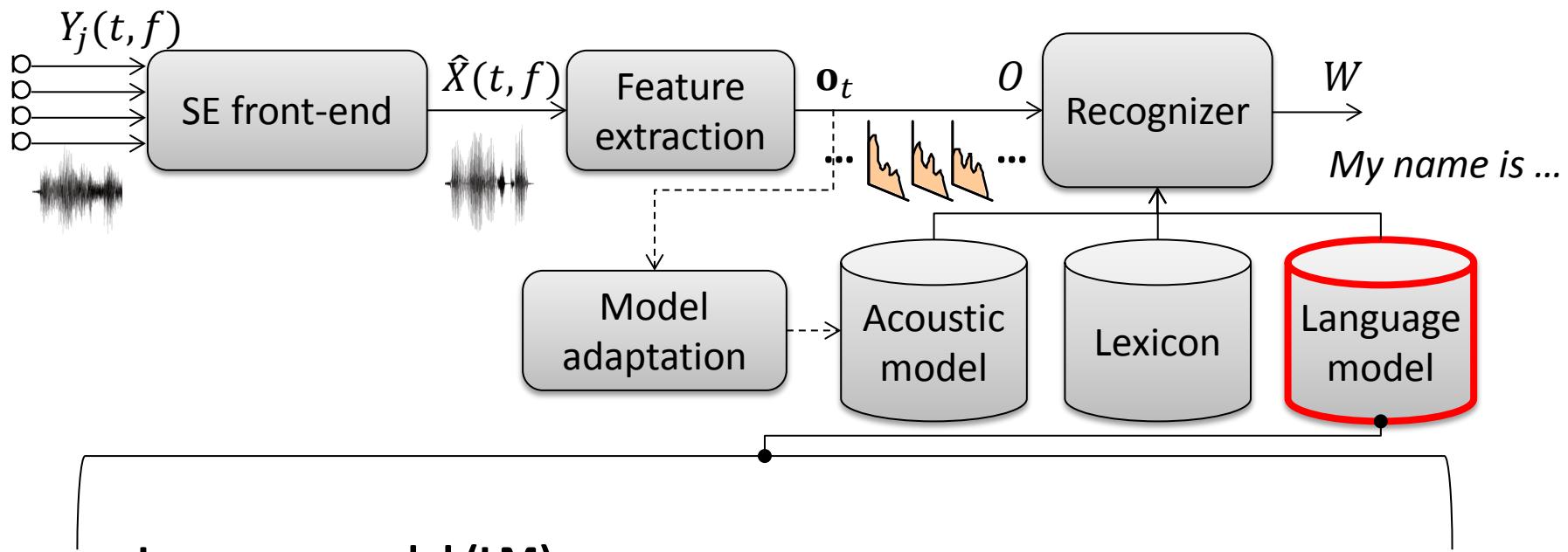


## Unsupervised environmental adaptation

- Retrain 1<sup>st</sup> layer of DNN-HMM w/ small learning rate using
- Labels obtained from a 1<sup>st</sup> recognition pass

# REVERB challenge system

(Delcroix'15)



## Language model (LM)

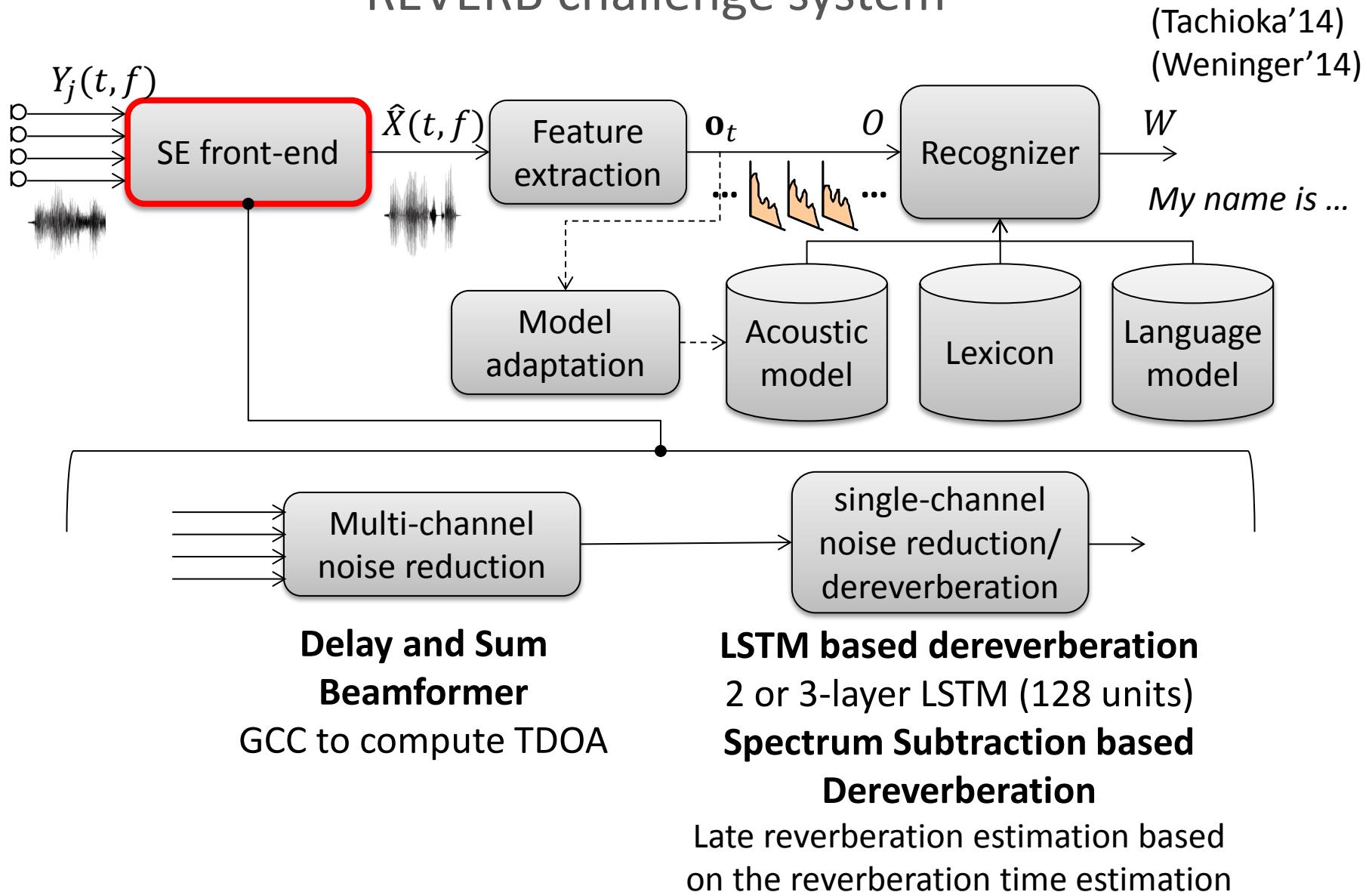
- Recurrent neural net (RNN) based LM w/ on-the-fly rescoring (Hori'14)

**REVERB**  
CHALLENGE

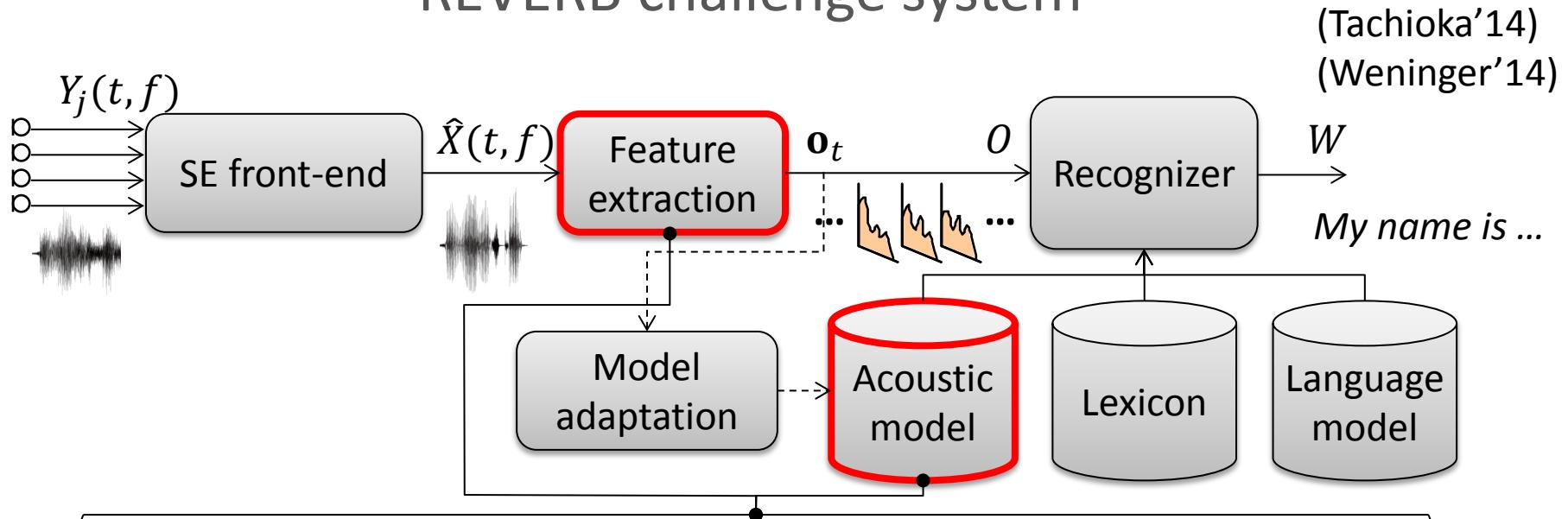


REVERB: MERL/MELCO/TUM system

# REVERB challenge system



# REVERB challenge system



## Acoustic model (GMM)

- 40 MFCC/PLP, LDA, MLLT, and fMLLR
- Feature-space MMI, boosted MMI

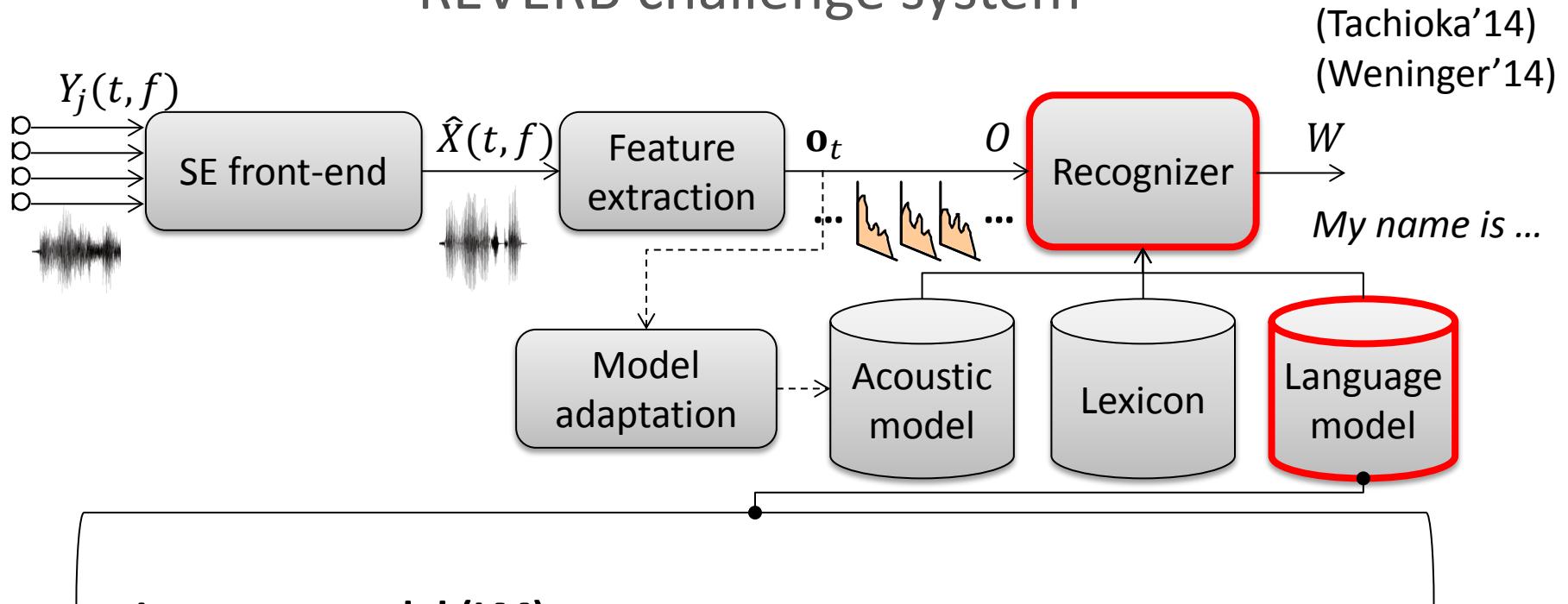
## Acoustic model (LSTM)

- LSTM output corresponds to 23 Log mel filter-bank coefficients
- 3-layer LSTM (50 units)

## Multi-Stream integration

(Tachioka'14)  
(Weninger'14)

# REVERB challenge system



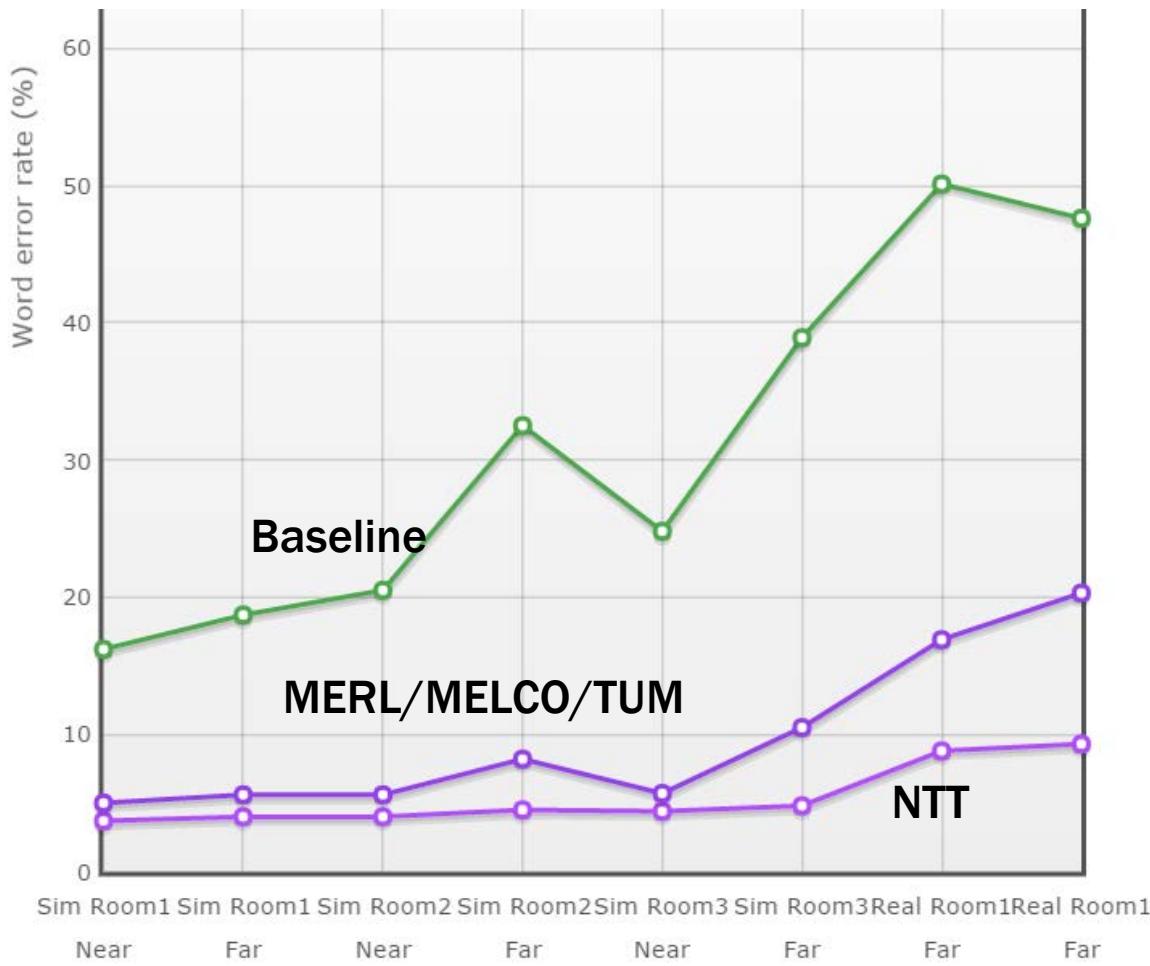
## Language model (LM)

- 3-gram LM

## Minimum Bayes Risk decoding

## System combination

# Results of top 2 systems



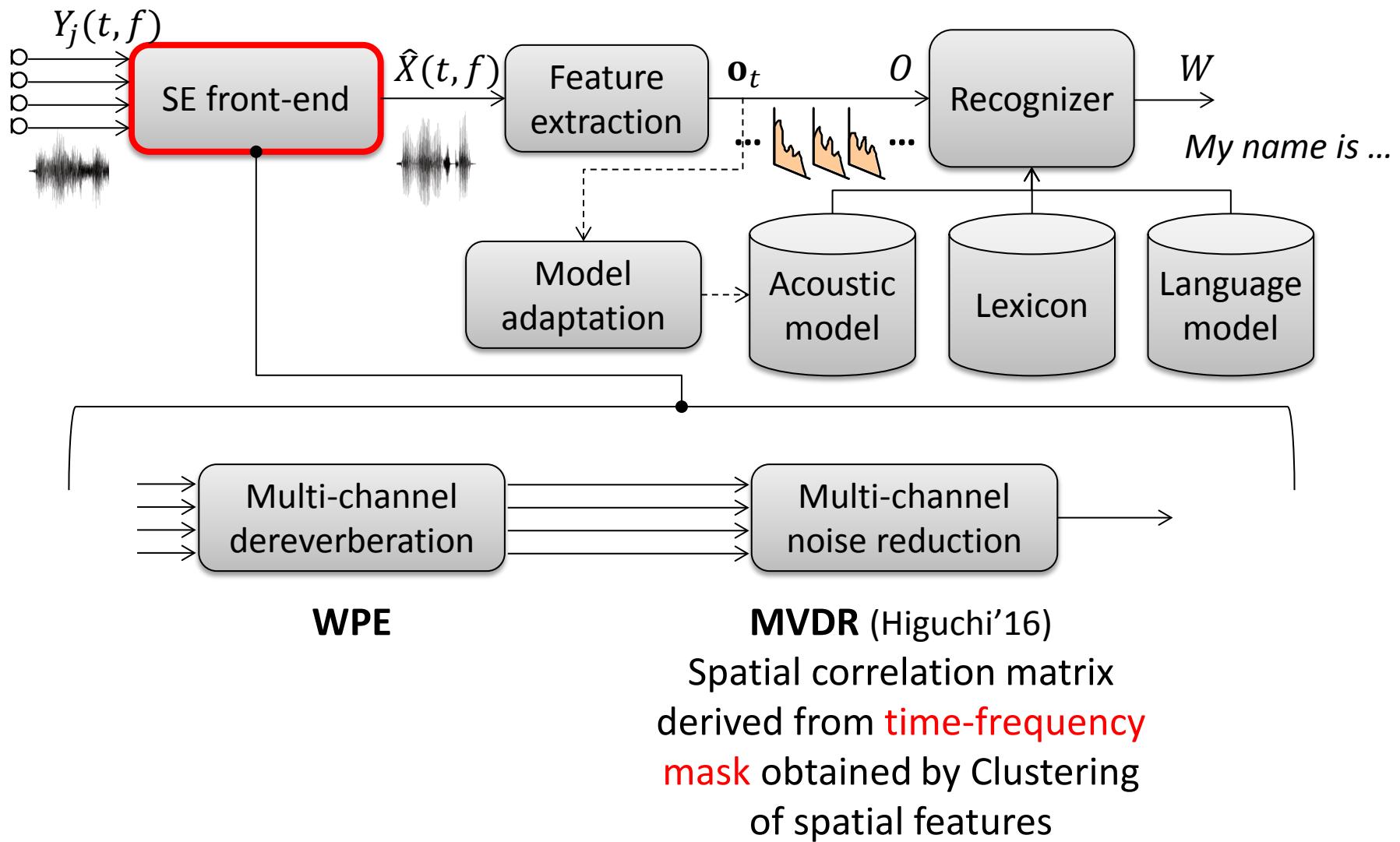
- Two systems significantly improve the performance from the baseline



## CHiME 3: NTT system

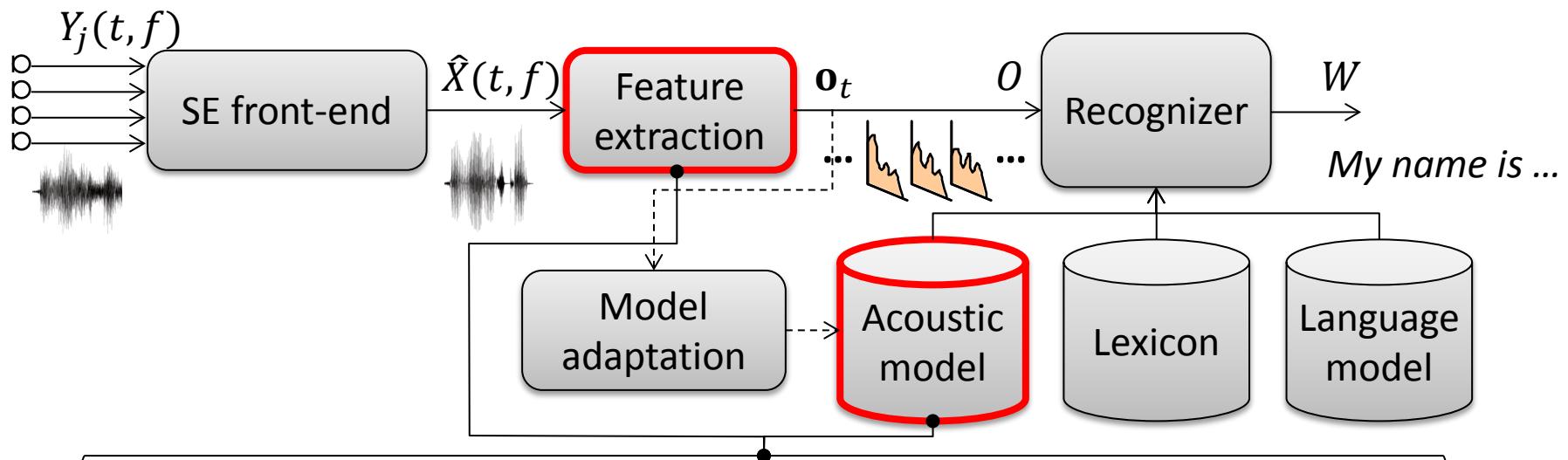
# CHiME3 challenge system

(Yoshioka'15)



# CHiME3 challenge system

(Yoshioka'15)



## Features

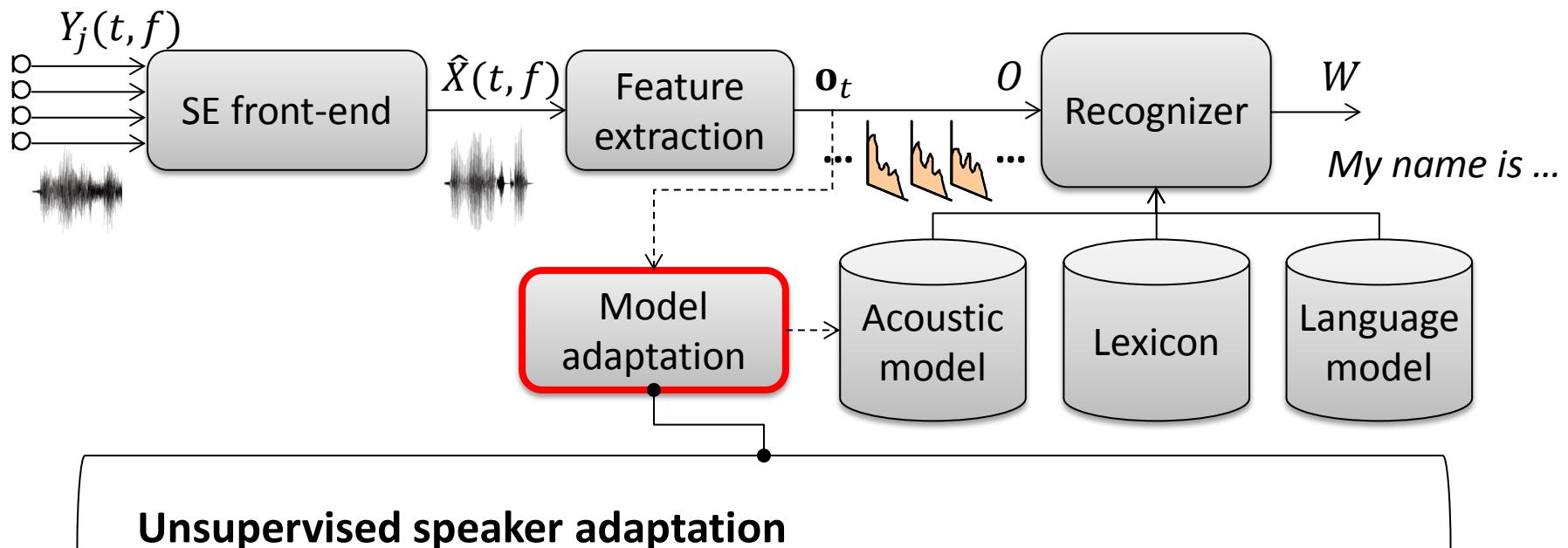
- 40 Log mel filter-bank coefficients +  $\Delta$  +  $\Delta\Delta$  (120)
- 5 left+5 right context (11 frames)

## Acoustic model

- Deep CNN using Network-in-Network
- Multi-channel training data (treat each channel training utterance as a separate training sample)
- Training without SE front-end

# CHiME3 challenge system

(Yoshioka'15)

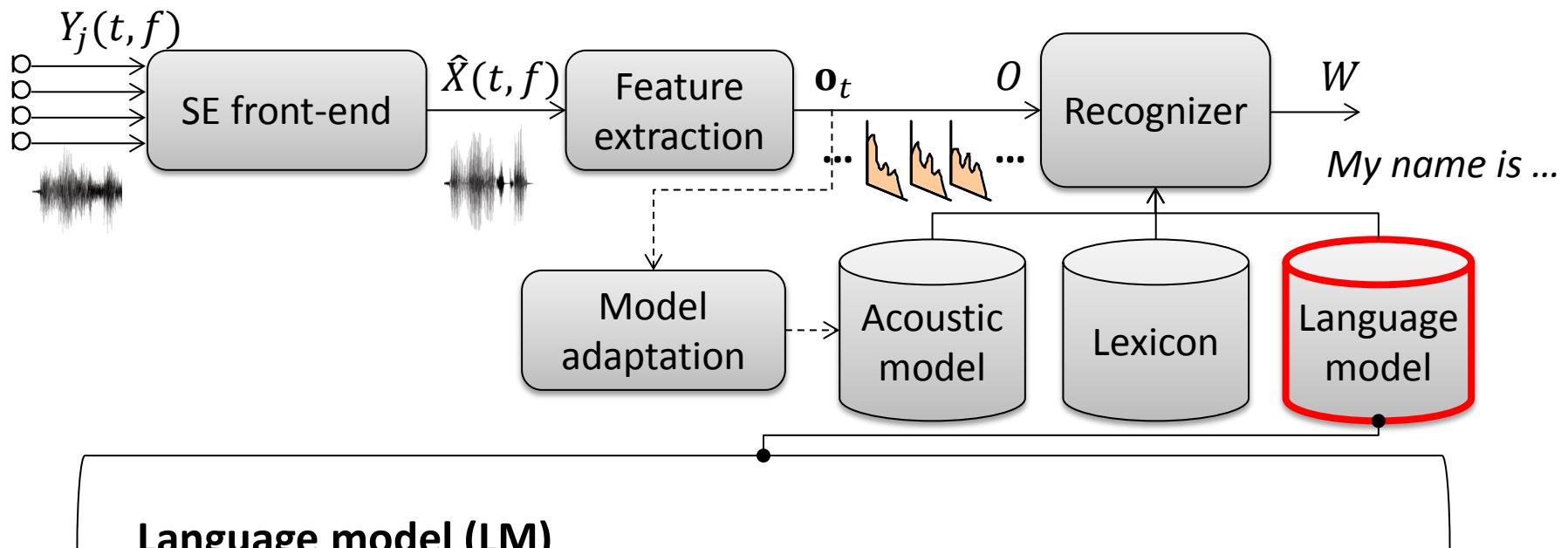


## Unsupervised speaker adaptation

- Retrain all layers of CNN-HMM
- Labels obtained from a 1<sup>st</sup> recognition pass with DNN based system → cross adaptation (system combination)

# CHiME3 challenge system

(Yoshioka'15)



## Language model (LM)

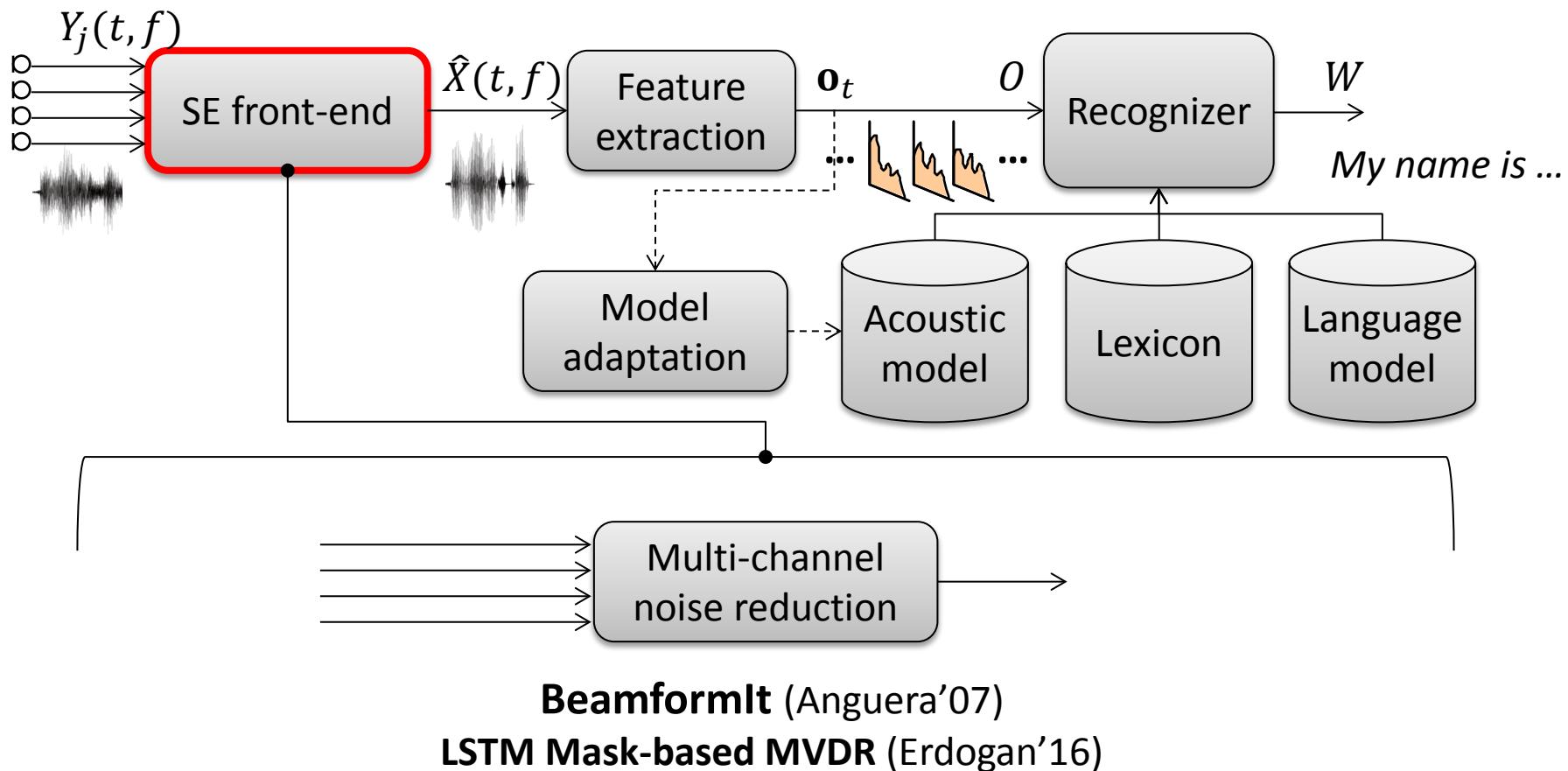
- Recurrent neural net (RNN) based LM w/ on-the-fly rescoring (Hori'14)



## CHiME 3: MERL-SRI system

# CHiME3 challenge system

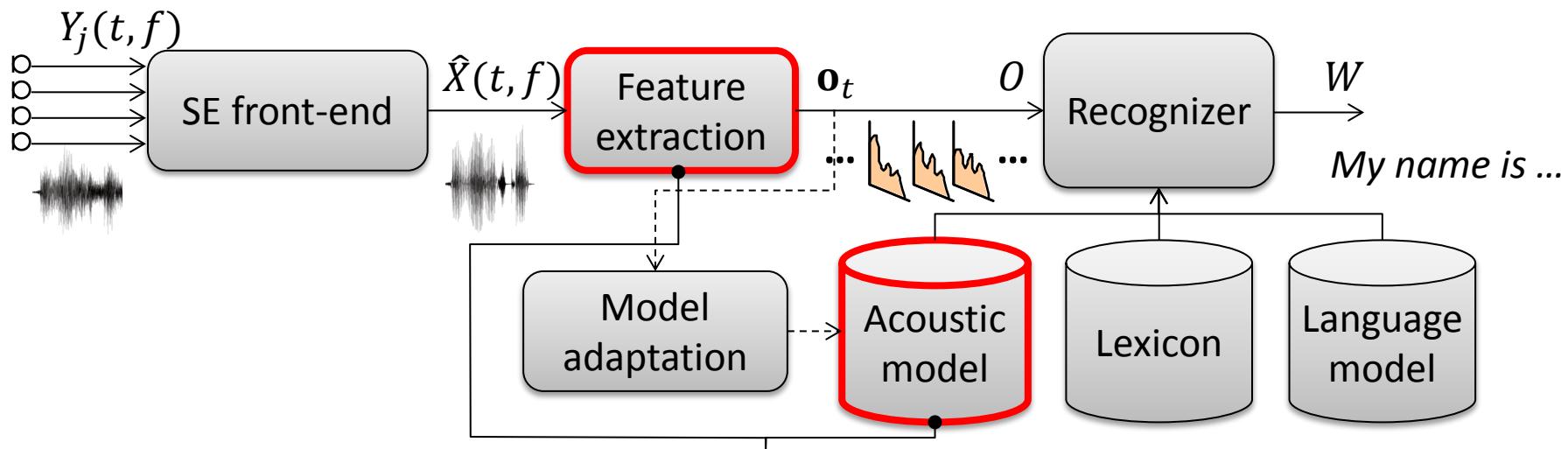
(Hori'15)



Both methods are integrated at  
system combination

# CHiME3 challenge system

(Hori'15)



**Features** (3 type features. Integrated at system combination)

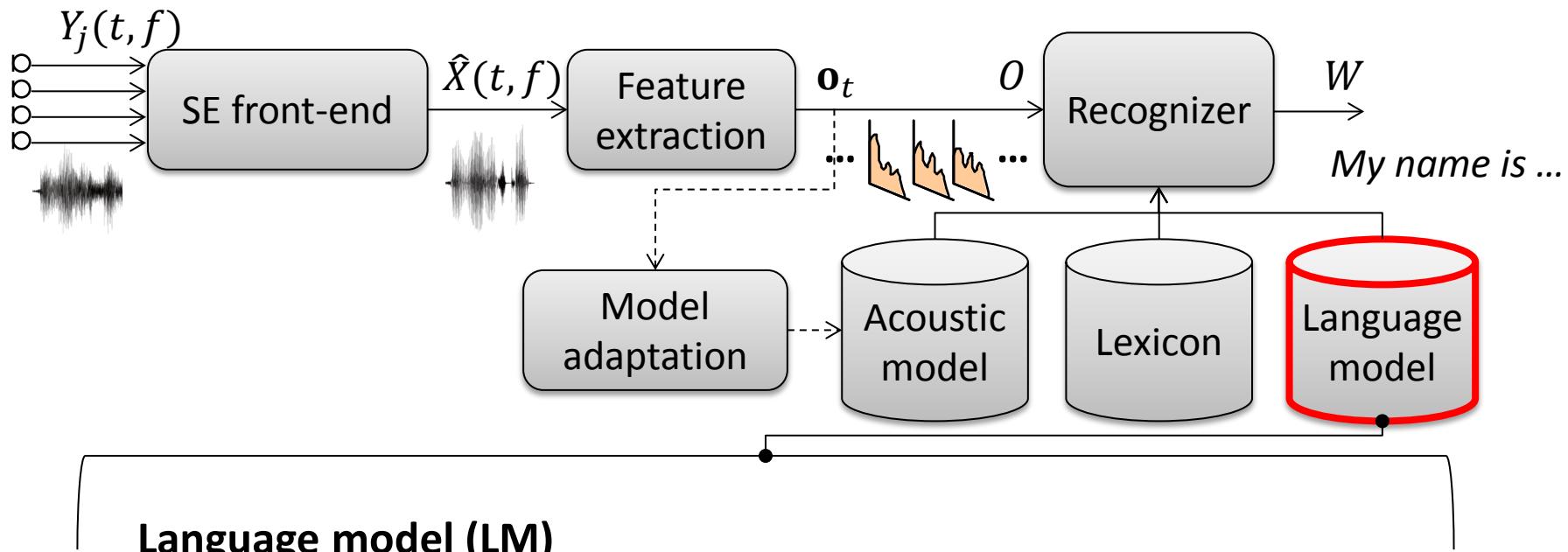
- 1) 40 Log mel filter-bank coefficients
- 2) Damped oscillator coefficients (DOC) (Mitra'14a)
- 3) Modulation of medium duration speech amplitudes (MMeDuSA) (Mitra'14b)
  - 5 left+5 right context (11 frames)
  - LDA, MLLT, fMLLR feature transformation

**Acoustic model**

- DNN with sMBR training
- Training with SE front-end

# CHiME3 challenge system

(Hori'15)

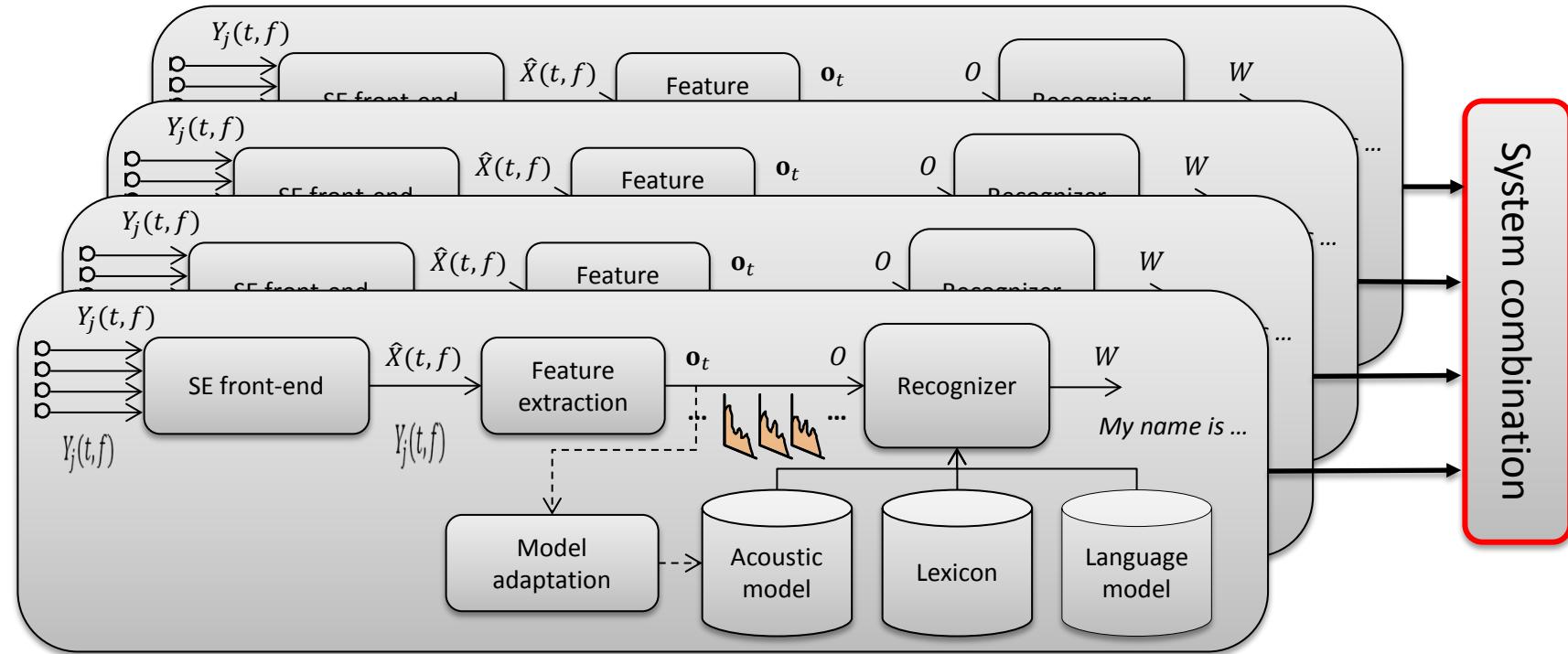


## Language model (LM)

- Recurrent neural net (RNN) based LM

# CHiME3 challenge system

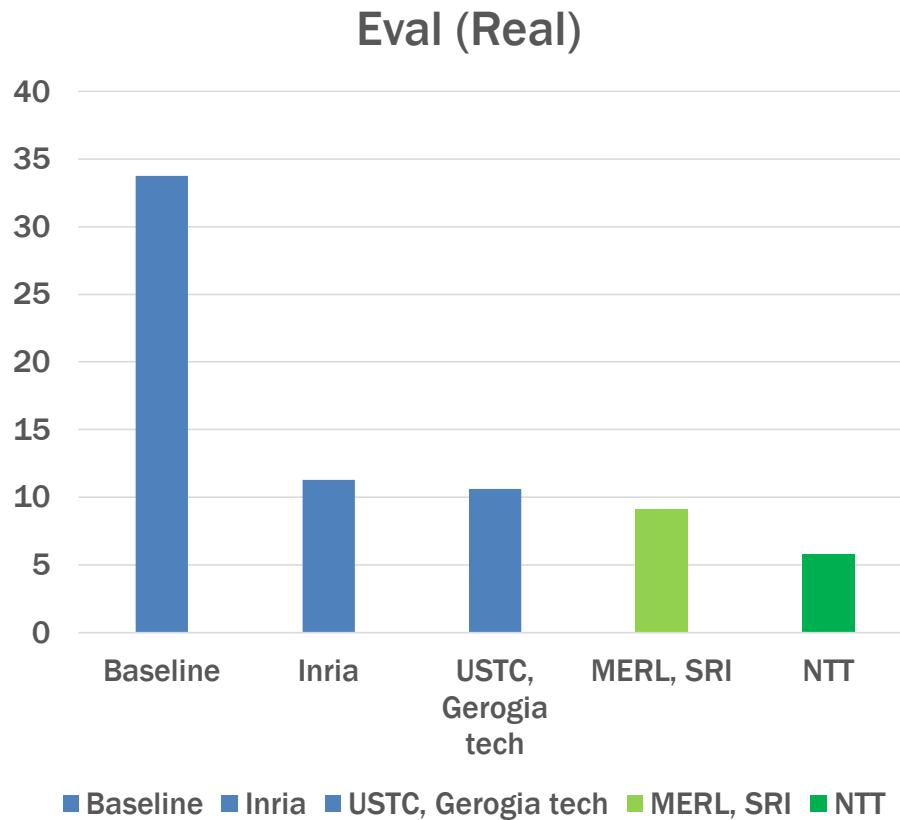
(Hori'15)



## System combination

- 1) BeamformIt + Log mel filter-bank
- 2) BeamformIt + DOC
- 3) BeamformIt + MMeDuSA
- 4) Make-based MVDR + Log mel filter-bank

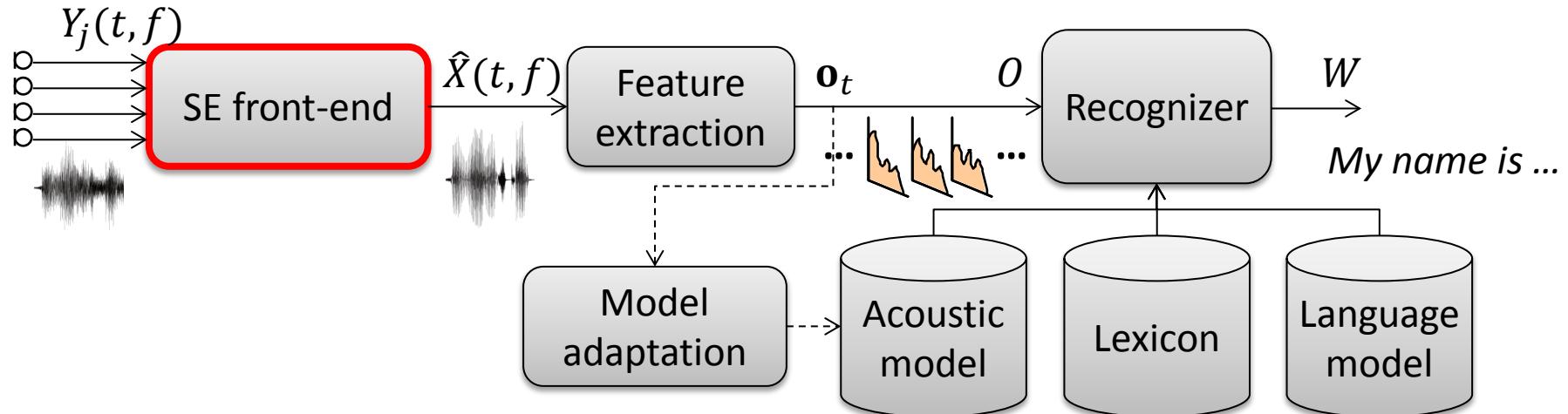
# Results of top 4 systems



- Significant error reduction from the baseline (more than 60%)  
→ Top system reaches clean speech performance (~5%)
- All systems are very complex 😢 (reproducibility)
- We will discuss how to build such systems with existing tools

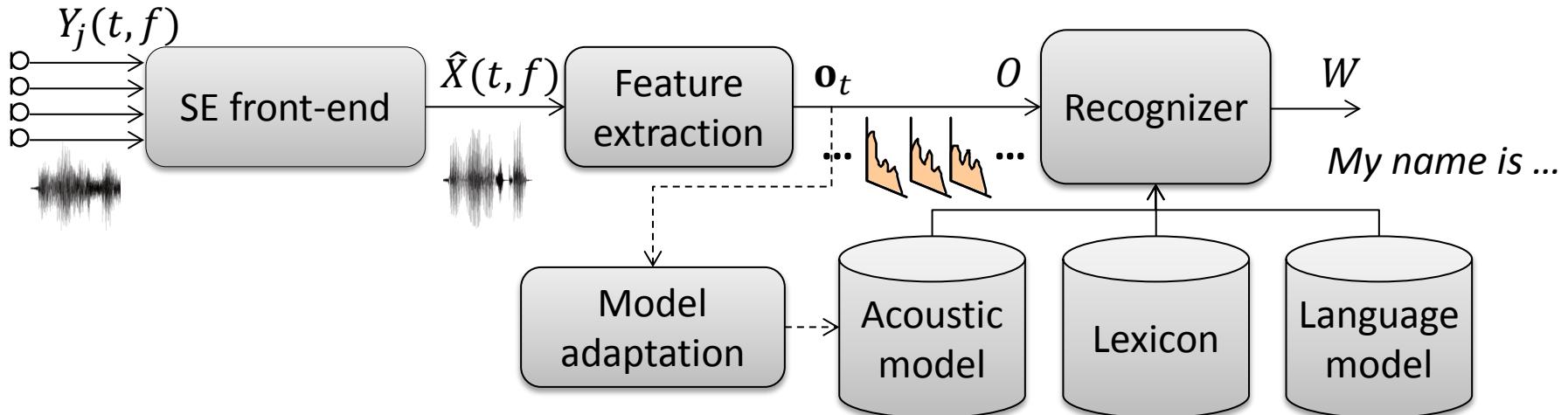
## 4.2 Overview of existing tools

# SE front-end



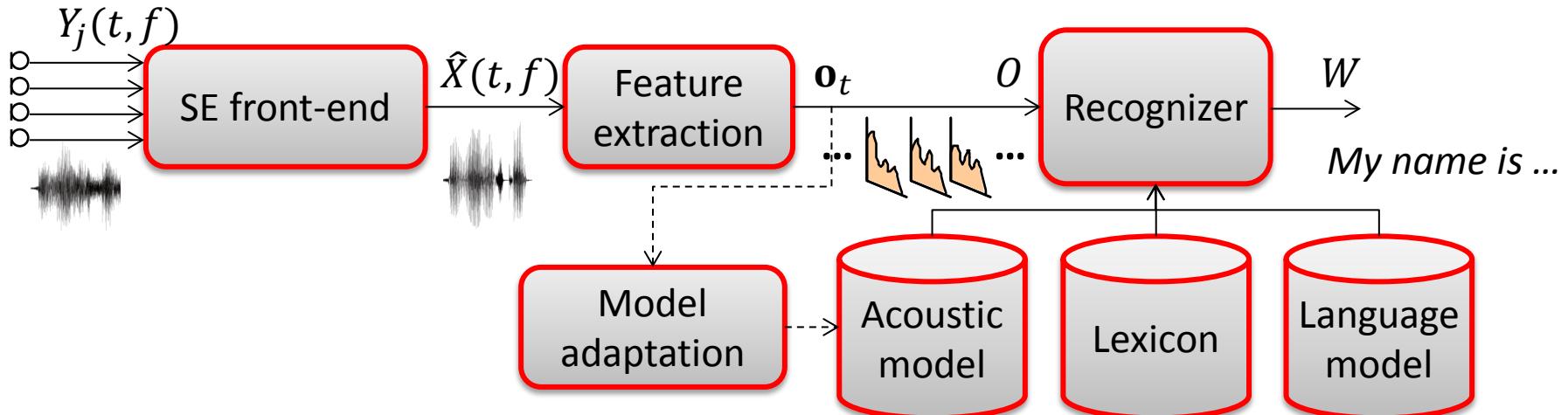
Tool	Institute	Function	Language	License
WPE	NTT	Dereverberation	Matlab	Proprietary
BeamformIt	ICSI/X. Anguera	Beamforming	C++	Apache 2.0
SRP-PHAT MVDR	Inria	Beamforming	Matlab	GPL
FASST	Inria	Multi-channel NMF	C++	GPL
NN-based GEV beamformer	U. Paderborn	Beamforming	Python	Non-commercial Educational

# Whole system: Kaldi recipes



Recipe	Enhancement	Acoustic modeling	Language modeling	Main developers
REVERB	n/a	GMM	N-gram	F. Weninger, S. Watanabe
CHiME2	n/a	DNN, sMBR	N-gram	C. Weng, S. Watanabe
CHiME3	BeamformIt	DNN, sMBR	RNNLM	S. Watanabe
CHiME4	BeamformIt	DNN, sMBR	RNNLM	S. Watanabe
AMI	BeamformIt	DNN, sMBR, LSTM, TDNN	N-gram	P. Swietojanski, V. Peddinti
ASpIRE	n/a	DNN, sMBR, LSTM, TDNN	N-gram	V. Peddinti

# Whole system: Kaldi recipes



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# CHiME4 Kaldi recipe based on free software

## 1. Get CHiME4 data

[http://spandh.dcs.shef.ac.uk/chime\\_challenge/software.html](http://spandh.dcs.shef.ac.uk/chime_challenge/software.html)

- Registration → LDC license confirmation step → credentials

## 2. Get Kaldi

<https://github.com/kaldi-asr/kaldi>

## 3. Install Kaldi tools

- In addition to default Kaldi tools, you have to install BeamformIt, IRSTLM, SRILM, and Milonov's RNNLM (all are prepared in kaldi/tools/extras)
- For SRILM, you need to get source (srilm.tgz)  
at <http://www.speech.sri.com/projects/srilm/download.html>

## 4. Install Kaldi

## 5. Specify CHiME4 data root paths in kaldi/egs/s5\_6ch/run.sh

## 6. Execute ./run.sh

# kaldi/egs/s5\_6ch/run.sh

```
#!/bin/bash

chime4_data=/db/laputal/data/processed/public/CHiME4
local/run_init.sh $chime4_data

enhancement_method=beamformit_5mics
enhancement_data=`pwd`/enhan/$enhancement_method
local/run_beamform_6ch_track.sh --cmd "$train_cmd" --nj 20 \
    $chime4_data/data/audio/16kHz/isolated_6ch_track $enhancement_data

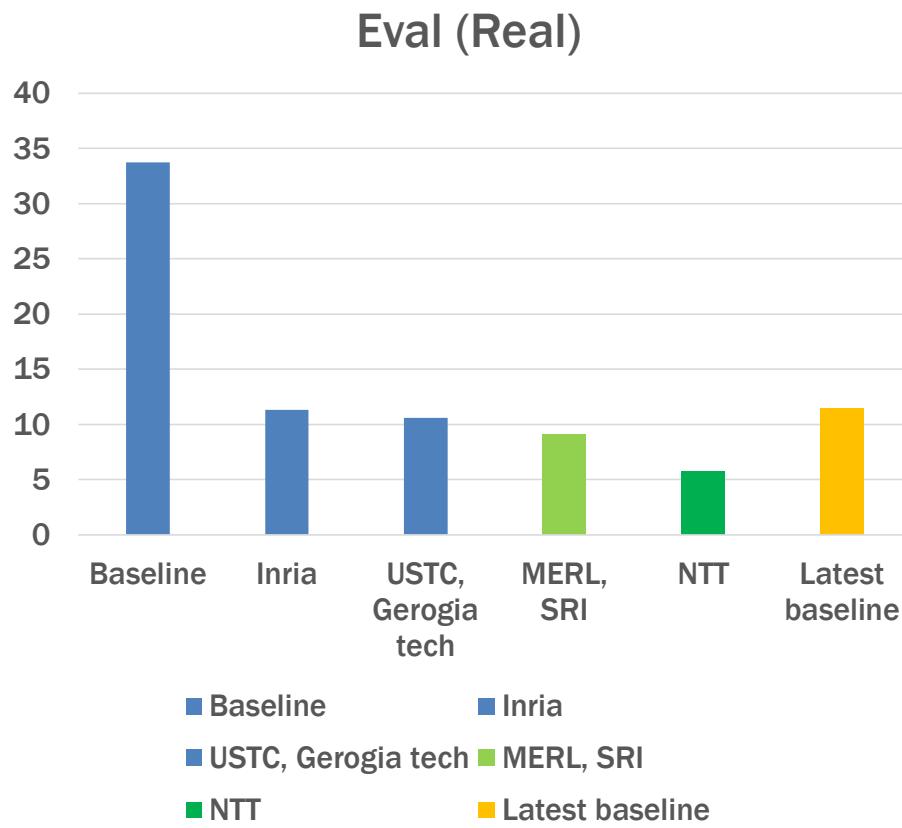
local/run_gmm.sh $enhancement_method $enhancement_data $chime4_data

local/run_dnn.sh $enhancement_method

local/run_lmrescore.sh $chime4_data $enhancement_method
```

- **run\_init.sh**: creates 3-gram LM, FSTs, and basic task files
- **run\_beamform\_6ch\_track.sh**: beamforming with 5 channel signals
- **run\_gmm.sh**: LDA, MLLT, fMLLR based GMM
- **run\_dnn.sh**: DNN + sMBR
- **run\_lmrescore.sh**: 5-gram and RNNLM rescoring

# Result and remarks



- Already obtain top level performance (11.5%)
- Everyone can **reproduce** the same results!
- **Contribute** to DSR recipes to improve/standardize DSR pipeline for the community, e.g.
  - Advanced beamforming by using public tools
  - Advanced acoustic modeling
  - Data simulation
  - DNN enhancement

# References (Building systems)

- (Anguera'07) Anguera, X., et al. "Acoustic beamforming for speaker diarization of meetings," IEEE Trans. ASLP (2007).
- (Barker'15) Barker, J., et al, "The third 'CHiME' Speech Separation and Recognition Challenge: Dataset, task and baselines," Proc. ASRU (2015).
- (Delcroix'15) Delcroix, M., et al. "Strategies for distant speech recognition in reverberant environments," CSL (2015).
- (Erdogan'16) Erdogan, H., et al. Improved MVDR beamforming using single-channel mask prediction networks," Proc. Interspeech (2016).
- (Hori'14) Hori, T., et al. "Real-time one-pass decoding with recurrent neural network language model for speech recognition," Proc. ICASSP (2014).
- (Hori'15) Hori, T., et al. "The MERL/SRI system for the 3rd CHiME challenge using beamforming, robust feature extraction, and advanced speech recognition," Proc. ASRU (2015).
- (Mitra'14a) Mitra, V., et al. "Damped oscillator cepstral coefficients for robust speech recognition," Proc. Interspeech (2013).
- (Mitra'14b) Mitra, V., et al. "Medium duration modulation cepstral feature for robust speech recognition," Proc. ICASSP (2014).
- (Nakatani'13) Nakatani, T. et al. "Dominance based integration of spatial and spectral features for speech enhancement," IEEE Trans. ASLP (2013).
- (Tachioka'14) Tachioka, Y., et al. "Dual System Combination Approach for Various Reverberant Environments with Dereverberation Techniques," Proc. REVERB Workshop (2014).
- (Wang'16) Wang, Z.-Q. et al. "A Joint Training Framework for Robust automatic speech recognition," IEEE/ACM Trans. ASLP (2016).
- (Weninger'14) Weninger, F., et al. "The MERL/MELCO/TUM system for the REVERB Challenge using Deep Recurrent Neural Network Feature Enhancement," Proc. REVERB Workshop (2014).
- (Yoshioka'15) Yoshioka, T., et al. "The NTT CHiME-3 system: advances in speech enhancement and recognition for mobile multi-microphone devices," Proc. ASRU (2015).

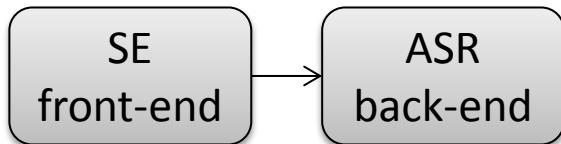
# 6. Conclusion and future research directions

# Conclusion

- Combining SE and ASR techniques greatly improves performance in severe conditions
  - SE front-end technologies
    - Microphone array,
    - Neural network-based speech enhancement, ...
  - ASR back-end technologies
    - Feature extraction/transformation
    - RNN/LSTM/TDNN/CNN based acoustic modeling
    - Model adaptation, ...
- Introduction of deep learning had a great impact on DSR
  - Large performance improvement
  - Reshuffling the importance of technologies
- There remains many challenges and opportunities for further improvement

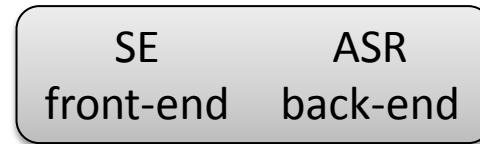
# Toward joint optimization?

## Separate optimization



- Both components are designed with different objective functions
- 😊 Potentially SE front-end can be made more robust to unseen acoustic conditions (noise types, different mic configurations)
- 😢 Not optimal for ASR
- Joint training is a recent active research topic
  - Currently integrate front-end and acoustic model
  - Combined with *end-to-end* approaches it could introduce higher level cues to the SE front-end (linguistic info...)

## Joint optimization



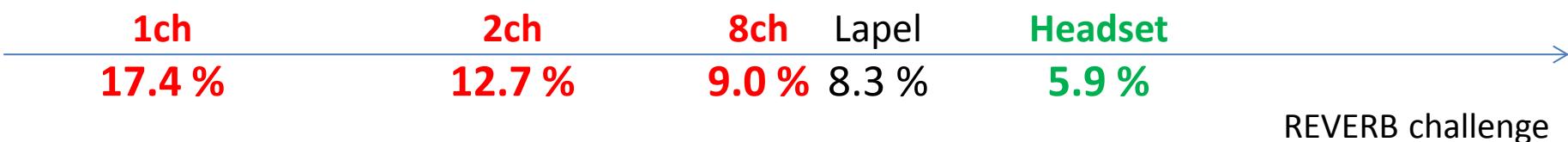
- Both components are optimized with the same objective functions
- 😢 Potentially more sensitive to mismatch between training and testing acoustic conditions
- 😊 Optimal for ASR

# Dealing with uncertainties

- Advanced GMM-based systems exploited the uncertainty of the SE front-end during decoding (Uncertainty decoding)
  - Provided a way to interconnect speech enhancement front-end and ASR back-end optimized with different criteria
- Exploiting uncertainty within DNN-based ASR systems has not been sufficiently explored yet
  - Joint training is one option
  - Are there other?

# More severe constraints

- Limited number of microphones
  - Best performances are obtained when exploiting multi-microphones



- Remains a great gap between performance with a single-microphone
- Developing more powerful single-channel approaches remains an important research topic
- Many systems assume batch processing or utterance batch processing
  - Need further research for online & real-time processing

# More diverse acoustic conditions

- More challenging situations are waiting to be tackled
    - Dynamic conditions
      - Multiple speakers
      - Moving speakers, ...
    - Various conditions
      - Variety of microphone types/numbers/configurations
      - Variety of acoustic conditions, rooms, noise types, SNRs, ...
    - More realistic conditions
      - Spontaneous speech
      - Unsegmented data
      - Microphone failures, ...
    - New directions
      - Distributed mic arrays, ...
- New technologies may be needed to tackle these issues  
→ New corpora are needed to evaluate these technologies

# Larger DSR corpora

- Some industrial players have access to large amount of field data...  
... most publicly available DSR corpora are relatively small scale
- It has some advantages,
  - ☺ Lower barrier of entry to the field
  - ☺ Faster experimental turnaround
  - ☺ New applications start with limited amount of available data

But...

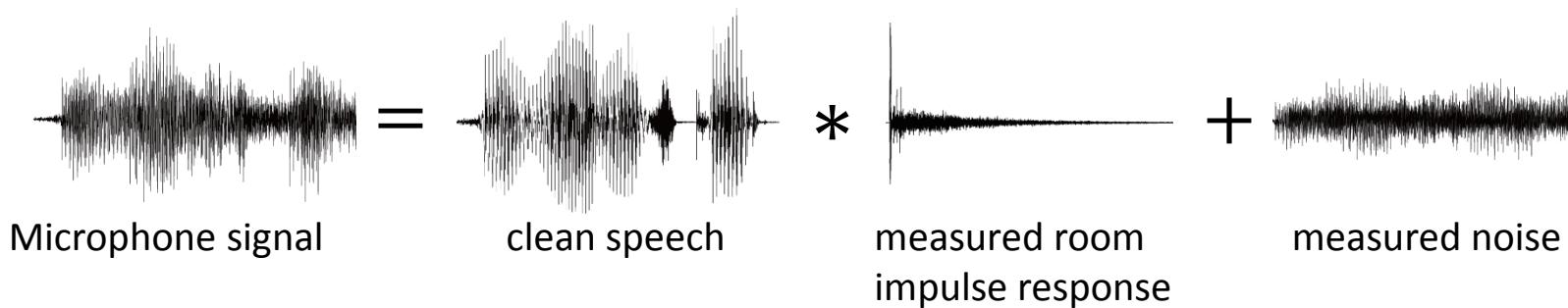
Are the developed technologies still relevant when training data cover  
a large variety of conditions?

Could the absence of large corpora hinder the development of data  
demanding new technologies?

→ There is a need to create larger publicly available DSR corpus

# DSR data simulation

- Low cost way to obtain large amount of data covering many conditions
- Only solution to obtain noisy/clean parallel corpora
- Distant microphone signals can be simulated as



- Good simulation requires measuring the room impulse responses and the noise signals in the same rooms with the same microphone array
- Still ...
  - Some aspect are not modeled e.g. head movements
  - It is difficult to measure room impulse response in public spaces,...

# DSR data simulation

- Recent challenges results showed that
    - Simulated data help for acoustic model training
      - No need for precise simulation
    - Results on simulated data do not match results on real data when using an SE front-end
      - SE models match better to simulated data → Causes overfitting
- Need to develop better simulation techniques

# Toolkits

- ASR research has long history of community developed toolkits and recipes



- Toolkits and recipes are important to
  - Lower barrier of entrance
  - Reproducibility of results
  - Speedup progress in the field
- Recent DSR recipes for REVERB and CHiME challenges include state-of-the-art back-end technologies
- Much less toolkits and recipes available for SE technologies

→Community based development of SE toolkits could contribute to faster innovation for DSR

# Cross community

- DSR research requires combination of
    - SE front-end technologies
    - ASR back-end technologies
- Cross disciplinary area of research from speech enhancement, microphone array, ASR...
- Recent challenges (CHiME, REVERB) have contributed to increase synergy between the communities by sharing
  - Common tasks
  - Baseline systems
  - Share knowledge
    - Edit book to appear “New Era for Robust Speech Recognition: Exploiting Deep Learning,” Springer (2017)

Thank you!

# Acknowledgments

# Acknowledgments

- We would like to thank our colleagues at *NTT, MERL, MELCO, TUM, SRI* and at *the 2015 Jelinek summer workshop on Speech and Language technology (JSALT)* for their direct or indirect contributions to the content of this tutorial.
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