

## Lecture 1: September 5

*Lecturer: Dr. Vijay Garg**Scribe: Shailesh Kelkar and Daniel Cabrera*

## 1.1 Recap

Happened-before relation ( $\rightarrow$ ) is the smallest relation such that

1. if  $(e \prec f)$  in the same process, then  $(e \rightarrow f)$  where  $\prec$  is locally precedes.
2. if  $(e \rightsquigarrow f)$ , then  $(e \rightarrow f)$  where  $\rightsquigarrow$  is remotely precedes.
3. if  $(\exists g : (e \rightarrow g) \wedge (g \rightarrow f))$ , then  $(e \rightarrow f)$ .

Thus,  $\rightarrow : (\prec \cup \rightsquigarrow)^+$  where  $+$  is transitive closure.

## 1.2 Topics

1. Logical Clock
2. Physical Clock
3. Down-sets
4. Principal Ideals
5. Vector Clock
6. Dilworth's Theorem

## 1.3 Logical Clock

### 1.3.1 Definition

A map  $C:E \rightarrow \mathbb{N}$  is a logical clock if  $\forall e, f \in E: (e \rightarrow f) \Rightarrow C(e) < C(f)$ . In short,  $C$  is the function that preserves the structure.

### 1.3.2 Logical Clock Algorithm

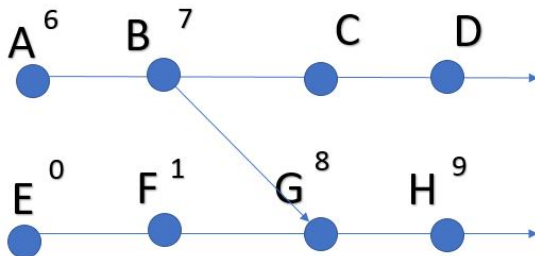
The variable  $c$  (integer) is initialized to 0.

1. For internal events,  $c++$  (increment  $c$  by 1).
2. For send event,  $c++$  and piggyback  $c$  with message.
3. For receive event of message having logical value  $d$ ,  $c := \max(c, d) + 1$ .

**Note:**  $C(e) < C(f)$  does not imply that  $e \rightarrow f$

Consider,  $C(e) = 5$  and  $C(f) = 7$ , we can only conclude that  $f$  did not happen before  $e$ . Two possibilities exist :  $e$  happened before or concurrent with  $f$ .

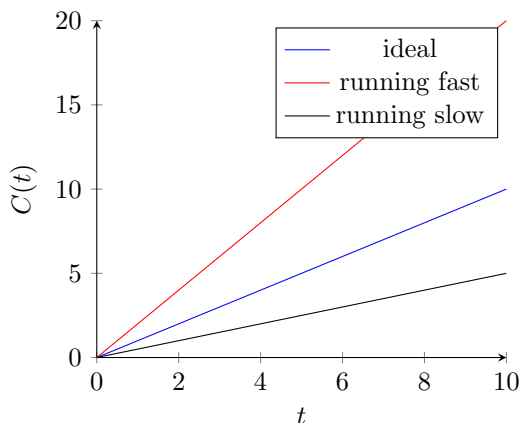
Sometimes, events need to have unique timestamps. In this case, (logical clock value, Process ID) becomes the timestamp. In case of a tie, event with smallest process id wins. Example



## 1.4 Physical Clock

### 1.4.1 Terminology

Let  $G = (V, E)$  be undirected graph representing topology.



$$\text{Drift rate} = \left| 1 - \frac{dc}{dt} \right| < \kappa$$

$\tau$  = Synchronization Period (Every  $\tau$  units of time, send your clock value to all your neighboring nodes)

### 1.4.2 Lamport's Physical Clock Algorithm

1. Assume, every sent message takes time in  $[\mu, \mu + \xi]$  to reach destination.
2. On receiving a message timestamped with  $D$ ,  $C := \max(C, D + \mu)^+$  where  $+$  indicates that it is fractionally bigger (smallest level of granularity). Still, there exists uncertainty in synchronization( $\epsilon$ )

$$|C_i(t) - C_j(t)| < \epsilon$$

Diameter of the graph( $d$ ) =  $\max_{i,j}$  (length of the shortest path from  $i$  to  $j$ )

$$\epsilon \approx d(2\kappa\tau + \xi)$$

Advantage of physical clock is that it satisfies logic clock property.

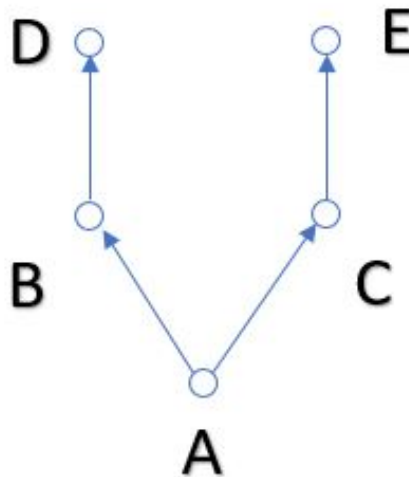
## 1.5 Down Sets

### 1.5.1 Terminology

Let  $(X, \leq)$  be any poset. We call a subset  $Y \subseteq X$  a down-set if:

$$(z \in Y) \wedge (y \leq z) \Rightarrow (y \in Y).$$

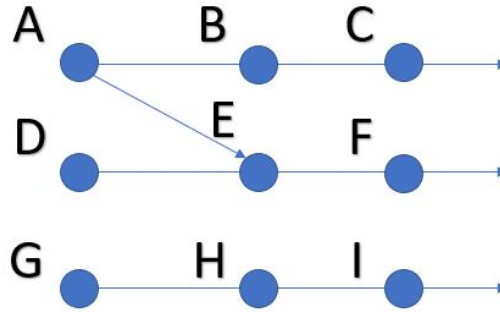
Example 1



$Y = \{ D \}$  is not a downset.

$Y = \{ C, A \}$  is a downset.

Example 2



$$D[ E ] = \{ A, D, E \}$$

## 1.6 Principal Ideals

It refers to an ideal in a poset  $P$  generated by a single element  $x$  of  $P$ , which is to say the set of all elements less than or equal to  $x$  in  $P$ .

## 1.7 Vector clocks

When using vector clocks, the time domain is represented by a set of  $n$ -dimensional non-negative integer vector. A process  $P_i$  maintains a vector  $VT_i[1..n]$  where  $VT_i[i]$  is the local logical clock of  $P_i$  and describes the logical time progress at the process  $P_i$ .

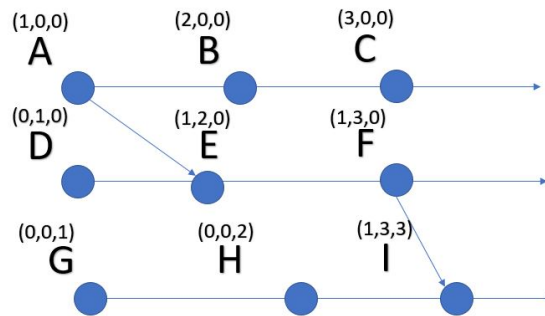
Process  $P_i$  uses the following two rules R1 and R2 to update its clock:

- R1: Before executing an event, process  $P_i$ , updates its local logical time:  

$$VT_i[i] = VT_i[i] + d \text{ where } d > 0$$
- R2: Each message  $m$  is piggybacked with the vector  $VT$  of the sender process at sending time. On the receipt of such a message  $(m, vt)$ , process  $P_i$  executes the following actions:
  1. Update its global logical time as follows:  

$$1 \leq K \leq n : VT_i[i] := \max(VT_i[k], VT[k])$$
  2. Execute R1
  3. Deliver the message  $m$

Example



## 1.8 Dilworth's Theorem(1950's)

### 1.8.1 Theorem

Any poset can be decomposed into  $w$  chains where  $w$  is the width of the poset. This is necessary and sufficient condition.

### 1.8.2 Proof

The basic idea is that when new element  $x$  comes up, we have to show that we can add it to one of the existing  $w$  chains.

(To be continued...)

## References

- [ACM78] LESLIE, LAMPORT, Time, clocks, and the ordering of events in a distributed system, *Communications of the ACM* **21** (1978), pp. 558--565.
- [Cambridge] KSHEMKALYANI, AJAY, Distributed Computing: Principals, algorithms, and systems (2008)