

Practical Assignment 5

Fermi–Pasta–Ulam–Tsingou Problem

Computational Physics IV

August 26, 2025

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Section 1: Algorithm (natural language)

1. **System & variables:** $N = 32$ oscillators with fixed ends ($q_0 = q_{N+1} = 0$). Mass = 1. Nonlinearity parameter α . Displacements q_j and momenta p_j .

2. **Equations of motion:**

$$\ddot{q}_j = (q_{j+1} - 2q_j + q_{j-1}) + \alpha[(q_{j+1} - q_j)^2 - (q_j - q_{j-1})^2], \quad j = 1, \dots, N.$$

3. **Time integration:** Velocity Verlet (symplectic, second order). Update positions q_j , compute forces $f_j = \ddot{q}_j$, update momenta p_j . Fixed time step Δt .
4. **Initial condition:** Only the first mode excited:

$$q_j(0) = A \sin \frac{\pi j}{N+1}, \quad p_j(0) = 0, \quad A = 0.1.$$

5. **Modal projection:** Linear normal modes

$$\phi_{j,k} = \sqrt{\frac{2}{N+1}} \sin \left(\frac{\pi k j}{N+1} \right),$$

with frequencies $\omega_k = 2 \sin \frac{\pi k}{2(N+1)}$. Modal coordinates: $Q_k(t) = \sum_j \phi_{j,k} q_j(t)$, $P_k(t) = \sum_j \phi_{j,k} p_j(t)$. Modal energy:

$$E_k(t) = \frac{1}{2} (P_k^2 + \omega_k^2 Q_k^2).$$

6. **Diagnostics:**

- Plot $E_1(t), E_2(t), E_3(t)$ vs time.
- Heatmap of $E_k(t) / \sum_j E_j(t)$.
- Recurrence measure

$$R(t) = \frac{\sum_k (Q_k(t)Q_k(0) + P_k(t)P_k(0))}{\sum_k (Q_k(0)^2 + P_k(0)^2)}.$$

7. **Outputs:** Chosen $\Delta t = 0.05$, long total time $T_{\text{total}} \sim 20000$ – 100000 to see recurrences.

Section 2: Code

C++ Integrator (fput_alpha.cpp)

```
1 // fput_alpha.cpp
2 // Compile: g++ -O2 fput_alpha.cpp -o fput_alpha
3 // Runs FPUT with Velocity Verlet. Outputs:
4 // - energies_123.txt : t E1 E2 E3
5 // - modes_time.txt : t E1 E2 ... E_N (full modal energies at each
6 // output time)
7 // - heatmap_norm.txt : matrix (rows: k=1..N, cols: time-steps) of
8 // normalized E_k(t)
9 // - R_vs_t.txt : t R(t)
10
11 #include <bits/stdc++.h>
12 using namespace std;
13
14 int main(int argc, char** argv){
15     // PARAMETERS (user can tune)
16     int N = 32; // number of oscillators
17     double alpha = 0.25; // nonlinearity (change to study
18     // TR dependence)
19     double A = 0.1; // initial amplitude for mode 1
20     double dt = 0.05; // time step
21     double T_total = 20000.0; // total simulation time (increase
22     // if recurrence not observed)
23     int out_every = 40; // write outputs every 'out_every'
24     // steps
25     // parse optional CLI args: alpha, dt, T_total, out_every
26     if(argc >= 2) alpha = atof(argv[1]);
27     if(argc >= 3) dt = atof(argv[2]);
28     if(argc >= 4) T_total = atof(argv[3]);
29     if(argc >= 5) out_every = atoi(argv[4]);
30
31     int steps = int(T_total / dt);
32     int write_steps = steps / out_every + 2;
33
34     vector<double> q(N+2,0.0), p(N+2,0.0), q_new(N+2,0.0), force(N
35     +2,0.0);
36
37     // MODE FUNCTIONS: phi[j][k] where j=1..N, k=1..N
38     vector<vector<double>> phi(N+1, vector<double>(N+1,0.0));
39     for(int k=1;k<=N;k++){
40         double norm = sqrt(2.0/(N+1));
41         for(int j=1;j<=N;j++){
42             phi[j][k] = norm * sin(M_PI * k * j / double(N+1));
43         }
44     }
45     // linear frequencies
46     vector<double> omega(N+1,0.0);
47     for(int k=1;k<=N;k++){
48         omega[k] = 2.0 * sin(M_PI * k / (2.0 * (N+1)));
49     }
50
51     // Initial condition: excite only first mode
52     for(int j=1;j<=N;j++){
```

```

47     q[j] = A * sin(M_PI * j / double(N+1)); // same as phi[j][1] *
        (A / phi_norm)
48     p[j] = 0.0;
49 }
50 q[0]=q[N+1]=0.0;
51
52 // Precompute Qk(0), Pk(0) and denominators for R(t)
53 vector<double> Q0(N+1,0.0), P0(N+1,0.0);
54 for(int k=1;k<=N;k++){
55     double Qk=0.0, Pk=0.0;
56     for(int j=1;j<=N;j++){
57         Qk += phi[j][k] * q[j];
58         Pk += phi[j][k] * p[j];
59     }
60     Q0[k]=Qk; P0[k]=Pk;
61 }
62 double denomR = 0.0;
63 for(int k=1;k<=N;k++) denomR += Q0[k]*Q0[k] + P0[k]*P0[k];
64
65 // Prepare output files
66 ofstream f123("energies_123.txt");
67 ofstream fmodes("modes_time.txt");
68 ofstream fheat("heatmap_norm.txt");
69 ofstream fR("R_vs_t.txt");
70
71 // Write headers
72 f123 << "# t E1 E2 E3\n";
73 fmodes << "# t";
74 for(int k=1;k<=N;k++) fmodes << " E" << k;
75 fmodes << "\n";
76 fR << "# t R\n";
77
78 // temporary storage for normalized energy heatmap columns
79 vector<vector<double>> heat(N+1, vector<double>(write_steps, 0.0));
80
81 // FUNCTION to compute forces f_j from q (indices 1..N)
82 auto compute_force = [&](const vector<double>& qq, vector<double>&
    ff){
83     // fixed ends q0,qN+1 assumed 0 in qq[0] and qq[N+1]
84     for(int j=1;j<=N;j++){
85         double lap = qq[j+1] - 2.0*qq[j] + qq[j-1];
86         double nonlin = alpha * ( (qq[j+1]-qq[j])*(qq[j+1]-qq[j]) -
            (qq[j]-qq[j-1])*(qq[j]-qq[j-1]) );
87         ff[j] = lap + nonlin;
88     }
89     ff[0]=ff[N+1]=0.0;
90 };
91
92 // initial forces
93 compute_force(q, force);
94
95 int write_idx = 0;
96 // compute initial modal energies and R, write t=0
97 auto compute_modal = [&](const vector<double>& qq, const vector<
    double>& pp, vector<double>& Ek, vector<double>& Qk, vector<
    double>& Pk){
98     for(int k=1;k<=N;k++){
99         double Q=0.0, P=0.0;

```

```

100         for(int j=1;j<=N;j++){
101             Q += phi[j][k]*qq[j];
102             P += phi[j][k]*pp[j];
103         }
104         Qk[k]=Q; Pk[k]=P;
105         Ek[k] = 0.5 * (P*P + omega[k]*omega[k] * Q*Q);
106     }
107 };
108
109 vector<double> Ek(N+1,0.0), Qk(N+1,0.0), Pk(N+1,0.0);
110 compute_modal(q,p,Ek,Qk,Pk);
111 double Esum = 0.0; for(int k=1;k<=N;k++) Esum += Ek[k];
112 // write initial lines
113 fmodes << 0.0;
114 for(int k=1;k<=N;k++) fmodes << " " << Ek[k];
115 fmodes << "\n";
116 f123 << 0.0 << " " << Ek[1] << " " << Ek[2] << " " << Ek[3] << "\n"
117 ;
118 double R0 = 0.0;
119 for(int k=1;k<=N;k++) R0 += Qk[k]*Q0[k] + Pk[k]*P0[k];
120 R0 /= denomR;
121 fR << 0.0 << " " << R0 << "\n";
122 // fill heat column 0
123 for(int k=1;k<=N;k++) heat[k][write_idx] = Ek[k]/Esum;
124 write_idx++;
125
126 // MAIN TIME LOOP (Velocity Verlet)
127 for(int step=1; step<=steps; ++step){
128     // positions half-step update (full-step positions in VV
129     formula)
130     for(int j=1;j<=N;j++){
131         q[j] += p[j]*dt + 0.5 * force[j] * dt*dt; // since mass =1,
132         acceleration = force
133     }
134     // Enforce fixed boundaries
135     q[0]=0.0; q[N+1]=0.0;
136
137     // compute new forces at q
138     compute_force(q, q_new); // reuse q_new as temporary force
139     storage
140     // update momenta (full-step)
141     for(int j=1;j<=N;j++){
142         p[j] += 0.5*(force[j] + q_new[j]) * dt;
143     }
144     // set force = q_new for next iteration
145     force = q_new;
146
147     // outputting
148     if(step % out_every == 0){
149         double t = step * dt;
150         compute_modal(q,p,Ek,Qk,Pk);
151         double Esum_now = 0.0; for(int k=1;k<=N;k++) Esum_now += Ek
152             [k];
153         fmodes << t;
154         for(int k=1;k<=N;k++) fmodes << " " << Ek[k];
155         fmodes << "\n";
156         f123 << t << " " << Ek[1] << " " << Ek[2] << " " << Ek[3]
157             << "\n";

```

```

152         double R = 0.0;
153         for(int k=1;k<=N;k++) R += Qk[k]*Q0[k] + Pk[k]*P0[k];
154         R /= denomR;
155         fR << t << " " << R << "\n";
156         // heat column
157         int col = write_idx;
158         for(int k=1;k<=N;k++) heat[k][col] = Ek[k] / Esum_now;
159         write_idx++;
160     }
161 } // end time loop
162
163 // write heatmap matrix: rows k=1..N, columns time snapshots
164 for(int k=1;k<=N;k++){
165     for(int c=0;c<write_idx;c++){
166         fheat << heat[k][c];
167         if(c+1<write_idx) fheat << " ";
168     }
169     fheat << "\n";
170 }
171
172 fmodes.close(); f123.close(); fheat.close(); fR.close();
173 cout << "Done. Outputs: energies_123.txt modes_time.txt
174         heatmap_norm.txt R_vs_t.txt\n";
175 return 0;
176 }

```

Python Plotting Script (plot_fput.py)

```

1 # plot_fput.py
2 # Usage: python3 plot_fput.py
3 import numpy as np
4 import matplotlib.pyplot as plt
5
6 # Load E1,E2,E3
7 data123 = np.loadtxt("energies_123.txt", comments="#")
8 t = data123[:,0]; E1 = data123[:,1]; E2 = data123[:,2]; E3 = data123
9    [:,3]
10
11 plt.figure(figsize=(8,4))
12 plt.plot(t, E1, label='E1')
13 plt.plot(t, E2, label='E2')
14 plt.plot(t, E3, label='E3')
15 plt.xlabel('Time'); plt.ylabel('Modal Energy')
16 plt.legend(); plt.title('E1, E2, E3 vs time')
17 plt.grid(True); plt.tight_layout()
18 plt.savefig('E123_vs_time.png', dpi=200)
19
20 # Heatmap
21 heat = np.loadtxt("heatmap_norm.txt")
22 plt.figure(figsize=(8,5))
23 extent = [t[0], t[-1], 1, heat.shape[0]]
24 plt.imshow(heat, aspect='auto', origin='lower', extent=extent)
25 plt.colorbar(label='Normalized Ek(t)')
26 plt.ylabel('Mode index k'); plt.xlabel('Time')
27 plt.title('Heatmap of normalized modal energy')
28 plt.tight_layout()

```

```

28 plt.savefig('heatmap_modes.png', dpi=200)
29
30 # R(t)
31 R = np.loadtxt("R_vs_t.txt", comments="#")
32 plt.figure(figsize=(7,3.5))
33 plt.plot(R[:,0], R[:,1])
34 plt.xlabel('Time'); plt.ylabel('R(t)')
35 plt.title('Recurrence measure')
36 plt.grid(True); plt.tight_layout()
37 plt.savefig('R_vs_t.png', dpi=200)

```

Section 3: Outputs and Discussion

Expected Outputs

- **Energies:** $E_1(t), E_2(t), E_3(t)$ vs time show energy transfer and recurrence.
- **Heatmap:** normalized $E_k(t)$ across modes reveals spreading and refocusing of energy.
- **Recurrence measure:** $R(t)$ shows peaks near 1 indicating recurrence times T_R .

Answers to Questions

1. **Dependence of T_R on α :** Smaller α (weaker nonlinearity) \Rightarrow longer recurrence time. Typically $T_R \propto 1/\alpha$ (order of magnitude).
2. **Energy dissipation:** For weak α , energy does not irreversibly dissipate to all modes. It oscillates among low modes and returns.
3. **Paradoxical behavior:** Statistical mechanics predicts equipartition, but instead the system shows quasi-periodic recurrences and lack of ergodicity.

KAM Theorem

KAM theorem states that for nearly integrable Hamiltonians, most invariant tori survive under weak perturbation. Hence the dynamics remains quasi-periodic, preventing full equipartition. This resolves the paradox.

What I Learned

Imagine a line of $N = 32$ small balls (oscillators) connected by springs in a row. These springs are **not purely linear**; they have a small **nonlinear component**. The ends of the chain are fixed, so the first and last balls cannot move.

Classical intuition suggests that if we give energy to one simple mode of motion, the nonlinearity should allow the energy to spread among all modes, eventually reaching **equipartition** (equal distribution).

Surprisingly, when Fermi, Pasta, Ulam, and Tsingou did their computer experiment in the 1950s, they observed something very counterintuitive:

- Energy initially given to the **first mode** did not spread evenly among all modes.

- Instead, energy **cycled back** to the first mode after some time — a phenomenon called **recurrence**.
- This repeated multiple times: the system seemed to “remember” its initial state.

—

Mathematical Model

Each oscillator j has displacement $q_j(t)$. The equations of motion for the α -FPUT model are:

$$\ddot{q}_j = (q_{j+1} - 2q_j + q_{j-1}) + \alpha [(q_{j+1} - q_j)^2 - (q_j - q_{j-1})^2], \quad j = 1, 2, \dots, N$$

with **fixed ends**:

$$q_0 = q_{N+1} = 0$$

Here:

- The first term is the linear spring force.
- The second term is the nonlinear correction, controlled by the parameter α .

—

Intuitive Example

Think of a **guitar string**:

1. Pluck the string at one end: only the first wave (mode) moves.
2. If the string were fully nonlinear, the energy should scatter into many vibration patterns.
3. In the FPUT system, most of the energy **returns** to the original mode after a while, as if the string “remembers” your pluck.

Why it Matters

The FPUT problem is important because:

- It was one of the first **numerical experiments** in physics.
- It showed that **nonlinear systems do not always behave chaotically**.
- It inspired research on **solitons**, **KAM theory**, and modern nonlinear dynamics.
- It demonstrates a **paradox in statistical mechanics**: energy does not thermalize as expected.