

Philip Jose

Experienced AML and Compliance professional

Self-motivated and detail-oriented AML professional with strong analytical, communication, and interpersonal skills. Comes with extensive experience in AML/ compliance field with ACAMS certification.



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SKILLS

Anti-Money Laundering

Time Management

Risk management

Problem-Solving

Communication

Due Diligence

Leadership

Teamwork

MS Office

Power BI

CERTIFICATES

Certified Anti Money Laundering Specialist (CAMS) - January 2020 - Association of Certified Anti-Money Laundering Specialists (ACAMS)

Certified Anti Money Laundering Investigator (CAMI) - February 2019 - Ponsun AML Academy, Chennai, India

WORK EXPERIENCE

Compliance Executive

1st Formations Ltd

10/2023 - 06/2024

London, United Kingdom

- Carrying out AML checks in line with HMRC's KYC and EU 5th Directive regulations.
- Performing KYC documents verification for onboarding clients.
- Carry out the compliance procedures with regards to the incorporation of a company in UK.
- Liaising with a high volume of customers daily, both by email and telephone, to ensure we have carried out their due diligence regarding anti-money laundering regulations.

AML transaction monitoring analyst

Dixipay Ltd

08/2022 - 12/2022

London, United Kingdom

- Monitor transactions for potentially suspicious activities and complete Suspicious Activity Reports (SARs) in accordance with regulatory rules and sanctions list.
- Review and investigate media alerts, customer information to perform adverse media reviews on all relevant clients. Maintained PEP register based on the latest guidelines.
- Escalated suspicious activities to senior management, reviewed beneficial ownership, assessed source of funds and supporting documentation including third-party involvements.
- Continually conduct in-depth investigation to spot new and developing fincrime / fraud tendencies that could have an impact on the business.

Money Laundering Regulation Officer - part time

Pollen Technologies Ltd

12/2021 - 08/2022

{Remote}, United Kingdom

- Supporting the escalated requests from our operations team and managing the existing compliance policies and Suspicious Activity Reports.
- Performed documentation of operational processes and provided monthly reports to the board.
- Functioned as the subject matter expert in the areas of client on-boarding, monitoring, sanctions screening and relevant regulations.
- Assisted with development of the relevant AML systems and future banking partners.

EDUCATION

AML Analyst

NatWest group

03/2016 - 08/2021

Chennai, India

AML Transaction Monitoring & Transaction Filtering teams

- Monitoring the historical transactions to prevent the bank from being vulnerable to money laundering and terrorist financing activities.
- Good rapport with stakeholders across the firm for delivering improved customer satisfaction.
- Reviews wire transfers to ensure compliance with AML standards and handling payments via SWIFT messages.

MSc Financial Technology

Coventry University London campus

09/2021 - 05/2023

London, United Kingdom