



# Motivation: Give you a taste for the usefulness of NLP in medicine!



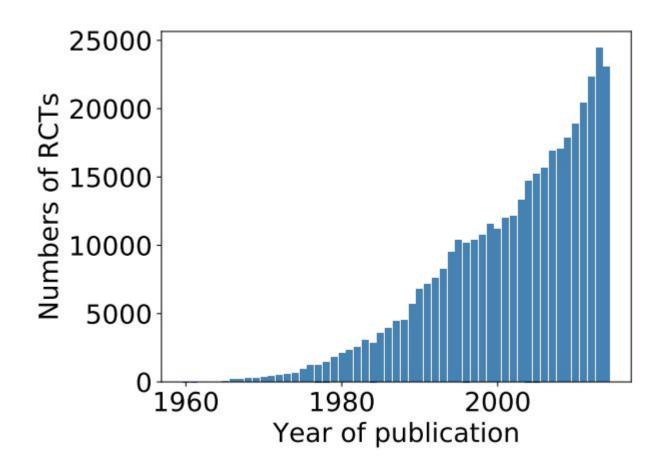
Language: succinct, efficient means of transmitting information.

- Endless sources of information in medical text:
  - patient records & letters
  - doctor notes
  - conversation transcripts
  - insurance claims
- Privacy and ethics issues with most of these. Let's focus on publicly available, non-identifiable data:
  - medical literature
  - journalism
  - 🗣 social media

Source: Silvia Natalia on vecteezy.



# The medical literature is huge...



- Randomized Controlled Trials (RCTs): best source of medical evidence.
- >1M published so far: Challenging to efficiently parse the existing literature!

Source: Dernoncourt & Lee, IJCNLP 2017.



# Some attempts to facilitate literature parsing

- literature reviews
- graphical abstracts
- clear outlining of results
- structured abstracts (~50% of literature)

#### Pain exposure physical therapy (PEPT) compared to conventional treatment in complex regional pain syndrome type 1: a randomised controlled trial

Karliin J Barnhoorn 1, Henk van de Meent 2, Robert T M van Dongen 3, Frank P Klomp 4, Hans Groenewoud 5, Han Samwel 6, Maria W G Nijhuis-van der Sanden 7, Jan Paul M Frölke 8, J Bart Staal 9

Affiliations + expand

PMID: 26628523 PMCID: PMC4679993 DOI: 10.1136/bmiopen-2015-008283

Randomized controlled tria Free PMC article

#### Abstract

Survival outcomes following laparoscopic vers open D3 dissection for stage II or III colon can (JCOG0404): a phase 3, randomised controlled Objective: To compare the conventional treatment in pa Seigo Kitano <sup>1</sup>, Masafumi Inomata <sup>2</sup>, Junki Mizusawa <sup>3</sup>, Hiroshi Katayama <sup>3</sup>, randomised controlled tria Masahiko Watanabe <sup>4</sup>, Seiichiro Yamamoto <sup>5</sup>, Masaaki Ito <sup>6</sup>, Shuji Saito <sup>7</sup>, Shoichi Fuj Fumio Konishi <sup>9</sup>, Yoshihisa Saida <sup>10</sup>, Hirotoshi Hasegawa <sup>11</sup>, Tomonori Akagi <sup>1</sup>,

Setting: The study was co

conventional treatment folio PMID: 28404155 DOI: 10.1016/S2468-1253(16)30207-2

arm, shoulder and hand ultrasonography examination every 6 months. Screen

up until December 1998. The primary outcome measure was HCC mortality

hepatocellular carcinoma

Bo-Heng Zhang 1, Bing-Hui Yang, Zhao-You Tang

PMID: 15042359 DOI: 10.1007/s00432-004-055

Purpose: Screening for hepatocellular carcinoma

there is no conclusive evidence that screening ma was to assess the effect of screening on HCC mor

Methods: This study included 18,816 people, age

history of chronic hepatitis in urban Shanghai, Chi

screening (9,373) or control (9,443) group. Control

health-care facilities. Screening group participants

time screening group participants had been offere

Affiliations + expand

Abstract

Results: The screened group completed 58.2 percent of the screening of screening group was compared to the control group, the number of HCC subclinical HCC being 52 (60.5%) versus 0; small HCC 39 (45.3%) versus (46.5%) versus 5 (7.5%); 1-, 3,-, and 5-year survival rate 65.9%, 52.6%, 4 0, respectively. Thirty-two people died from HCC in the screened group v group, and the HCC mortality rate was significantly lower in the screened being 83.2/100,000 and 131.5/100,000, respectively, with a mortality rate

Conclusions: Our finding indicated that biannual screening reduced HCD

Participants: 56 adult patie Kohei Murata 16, Masazumi Okajima 17, Yoshihiro Moriya 5, Yasuhiro Shimada 18

randomisation. The primar Version (ISS-RV), consist Questionnaire, active range test (TUG) and EuroQol-

Abstract

Background: Although benefits of laparoscopic surgery compared with open surgery have suggested, the long-term survival of patients undergoing laparoscopic surgery for colon of requiring Japanese D3 dissection remains unclear. We did a randomised controlled trial to non-inferiority of laparoscopic surgery to open surgery.

Kenichi Sugihara 12, Takashi Yamaguchi 13, Tadahiko Masaki 14, Yosuke Fukunaga 15,

Methods: We did an open-label, multi-institutional, randomised, two-arm phase 3 trial in 3 hospitals in Japan. Patients aged 20-75 years who had histologically proven colon cancer; located in the caecum or ascending, sigmoid, or rectosigmoid colon; T3 or deeper lesions v involvement of other organs, node stages N0-2, and metastasis stage M0; and tumour size or smaller were included. Only accredited surgeons did surgery as an operator or instructor. Patients were randomly assigned (1:1) preoperatively to undergo D3 resection either by an o route or a laparoscopic route, via phone call or fax to the Japan Clinical Oncology Group (JC Data Center. Randomisation used a minimisation method with a biased-coin assignment according to tumour location (caecum, ascending vs sigmoid, rectosigmoid) and institution. The primar endpoint was overall survival and was analysed by intention to treat. The non-inferiority marg the hazard ratio (HR) was set at 1-366. This study is registered with UMIN Clinical Trials Regis number C000000105, and ClinicalTrials.gov, number NCT00147134.

Findings: Between Oct 1, 2004, and March 27, 2009, 1057 patients were randomly assigned to either open surgery (n=528) or laparoscopic surgery (n=529). 5-year overall survival was 90-4 (95% CI 87-5-92-6) for open surgery and 91-8% (89-1-93-8) for laparoscopic surgery. Laparoscopic D3 surgery was not non-inferior to open surgery for overall survival (HR 1-06, 90 0.79-1.41; p<sub>non-inferiority</sub>=0.073). 65 (13%) patients in the open surgery group and 53 (10%) patients in the laparoscopic surgery group had grade 2-4 adverse events. Grade 2-4 adverse events included diarrhoea (15 [3%] in the open surgery group vs 14 [3%] in the laparoscopic surger



### Dataset: PubMed 200k RCT

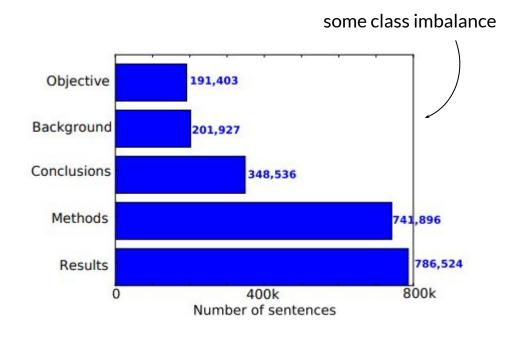
- ~200,000 PubMed abstracts of randomized controlled trials, totaling 2.3 million sentences.
- Designed for sequential sentence classification
   Useful to facilitate literature reviewing.
   Labels: background, objective, method, result, conclusion.
- Other interesting use cases:
  - automatic text summarization
  - information extraction, e.g. what is the scientific claim in this abstract?
  - information retrieval, e.g. what is the effect of X drug on Y cancer patients?
- 3 data splits: **Train** (model training); **Dev** (hyperparameter selection); **Test** (final model testing).

# **Data Format & Preprocessing Example**

```
normalisation?
'BACKGROUND\tThe emergence of (HIV) as a chronic condition means that people living with HIV are required to take
more responsibility for the self-management of their condition , including making physical , emotional and social
adjustments .'
                                                                        .lower()
                                                                                       .split(' ')
                                                                     lowercasing & tokenisation
label: BACKGROUND
                         sentence: 'The emergence of HIV...'
['the', 'emergence', 'of', 'hiv', 'ac', 'a', 'chronic', 'condition', 'means', 'that', 'people', 'living', 'with',
'hiv', 'are', 'required', 'to', 'take', 'mere', 'responsibility', 'for', 'the', 'self-management', 'of', 'their',
'condition', ','. 'including', 'making', 'physical', ', 'emotional', 'and', 'social', 'adjustments', '.']
        nltk.corpus.stopwords.words('english')
                                                             string.punctuation
                                     stop-words & punctuation removal
                                                                                               What about numbers?
['emergence', 'hiv', 'a', 'chronic', 'condition', 'means', 'people', 'living', 'hiv', 'required', 'take',
'responsibility', 'the', 'self-management', 'their', 'condition', 'including', 'making', 'physical', 'emotional',
'social', 'adjustments']
                                    optional: stemming, lemmatisation?
                                              + why do/don't they help?
```



### **Sequential Sentence Classification**



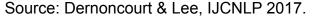
- Multiclass classification
- **Evaluation metric:** F1-score (weighted).
- Analyse the confusion matrix between output classes obtained with your best-performing model.

#### **TASK 1: BASELINE MODEL**

- Preprocessing: lowercasing, stop-words & punctuation removal etc.
- Obtain sentence embeddings through TF-IDF (e.g. sklearn TfidfVectorizer).
- Train classifier to predict corresponding abstract class.

documents: sentences or abstracts

in this task?





# **Word Embeddings**

How can we translate the lexical + semantic meaning of words to a numerical entity?



Word2Vec: word embeddings depends on context.

CBOW: predict word from context. Skip N-gram: predict context from word.

FastText: similar but with sub-word decompositions. Allows to embed unseen words.

#### **TASK 2: WORD EMBEDDING**

- Train a word embedding model such as Word2Vec or FastText (we recommend using the gensim library).
- Obtain sentence embeddings by averaging or concatenating word embeddings.
- Train classifier to predict corresponding abstract class.

Average/Concatenate

Word Matrix

Word Matrix

Optional: discuss any interesting semantic relationships between word embeddings.

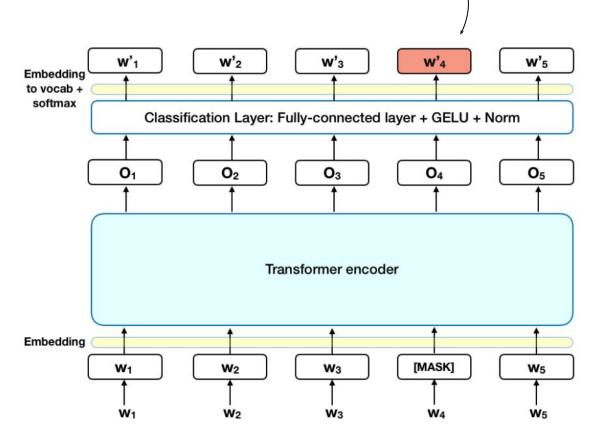
# **Transformer-Based Language Models**

better performance than left-to-right LM

Recap on **BERT** (Bidirectional Encoder Representations from Transformers, Devlin et al., 2018):

- Encoder of Transformer architecture.
- Trained via Masked LM (right) and Next Sentence Prediction.

BERT pre-trained on biomedical language can be found on HuggingFace. Think about what data the model should be pre-trained on (MIMIC, PubMed, etc.).



#### **TASK 3: TRANSFORMER MODEL**

Evaluate the performance of **BERT** on the given task. See mini-tutorial in additional slides.

- Pre-trained, no fine-tuning.
- Pre-trained and fine-tuned on our dataset. Which parameters/layers must be tuned?

### **Deliverables**

- Solve all tasks.
- **Report** of max. 4 pages, 11pt (+ 1 page for references + 1 page of appendix if needed).
- Well-commented code/jupyter notebooks with conda environment and README.
- Do not hardcode any results! We will run your code.
- Ensure sequential execution and reproducibility.
- Do not copy solutions from previous projects! We are aware of all existing solutions on github.
   We run code similarity checks and check for plagiarism in the reports from previous years solutions. Any plagiarism will result in a 0 grade for all projects.
- **Deadline**: 25.04.2022

### **Grade**

- To grade the project we will focus (on equal parts) on:
  - the content, organisation, clarity, quality and writing of the final report.
  - the quality of the implementation (reproducibility and clarity).
  - the creativity/performance\* of the methods used to solve the tasks, and the reasons behind the choices.
- The **prerequisites** to get the maximum grade are:
  - o write a clear and good report.
  - o submit a **clean code** with **easy instructions** on how to reproduce each result of the report.
  - solve every task with well-justified methods.
  - bonus: implement creative models for one task (e.g. alternative embedding or language model)

<sup>\*</sup>We will consider resource constraints. Aside from correct baseline implementation, the aim is not to get the best performance but to explore and discuss relevant methods.



# Questions?

Also on Moodle (preferred: your classmates probably have similar questions!) or by email at <a href="mailto:alizee.pace@ai.ethz.ch">alizee.pace@ai.ethz.ch</a>.

# **Additional Slides**

### **TF-IDF**

In **TF**, all terms are equally important. **TF-IDF** scales down the weight of frequently-occurring terms. via Document Frequency (**DF**) = (# of documents that contain a term t) / (total # of documents)

- lower TF-IDF weight when term occurs rarely or in many documents.

Useful to extract essential **keywords** from text documents.

#### **Pre-trained BERT model**

Full tutorial on <a href="HuggingFace">HuggingFace</a> (+ other How-to guides)

NB. Pytorch and Tensorflow-compatible interfaces are available if preferred.