



LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

PLS
5/14/25

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12210
PHONE: (518) 432-7837 FAX: (518) 426-6850
SENATE EXT. 2142 • ASSEMBLY EXT. 5218

RECEIVED

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2024

MAY 14 2025

BY _____
LEG. ETHICS COMM.

1. Name Robert C. Carroll

2. (a) Title of Position Assemblymember

(b) Department, Agency or other Governmental Entity NYS Assembly

(c) Address of Present Office 416 7th AVENUE Brooklyn, NY 11225

(d) Office Telephone Number 718-788-7221

3. (a) Marital Status Married. If married, please give spouse's full name. Virginia Carroll

(b) Full name of domestic partner (if applicable).

(c) List the names of all unemancipated children.
[Redacted]

Answer each of the following questions completely, with respect to calendar year 2024, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
<u>Trustee</u>	<u>The Gateway School</u>	<u>Independent School in NYC</u>
<u>Advisory Board</u>	<u>The Windward Institute</u>	<u>A SOLES of the Advisor of The Windward School</u>

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse, domestic partner or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
<u>Boardmember</u> <u>Virginia Carroll</u>	<u>Mayo/Kaufman Foundation</u> <u>1844 S. Bates</u> <u>Bloomfield, MI 48009</u>	<u>(501c3) of Edv.</u> <u>Dept.</u>

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	<i>N/A</i>		

** I have an active law license but I do not practice.*

- (b) If the spouse, domestic partner or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
	<i>[Redacted]</i>		
	<i>Virginia Carroll + Two Sigma Investments, 100 Avenue of the Americas, 16th Floor, NY, NY.</i>		
	<i>Vice President of External Affairs.</i>		

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse, domestic partner or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse, domestic partner or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed

with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse, Domestic Partner or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
---	---	--	--	---

N/A

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

*County Committee Member and Judicial Delegate
DNC - Delegate*

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

N/A

+ I have an active law license but I do not practice.
(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE

DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client

Nature of Services Provided

NOT APPLICABLE

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i)

through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
--------	--------	-----------------------------	------------------------------------

N/A

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
--------	-------------------------------	------------------------------------

N/A

FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- * REVIEWED DOCUMENTS AND CORRESPONDENCE;
- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);

- * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);
- * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
- * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided	Category of Amount (Table I)
N/A	

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that

pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics and lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics and lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of

law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information

confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics in lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics in lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three

days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Description of Matter	Category of Amount (In Table 1)
--------	------------------	-----------------------	------------------------------------

N/A

(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse or domestic partner had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

N/A

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse, domestic partner or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse, Domestic Partner Or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
---	------------------	---------	-------------------	--

N/A

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
Excel in Ed National Conference in Oklahoma AS A participant	on Literacy reimbursed for travel and 2-Night Hotel Stay. More than \$1,000 was spent. Category - C

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	NYS	Category of Value* (In Table II)
457(b) Robert Carroll	-	Category E - (1/2 is Pre Tax 1/2 is Post Tax See Attached Document)
Roth IRA - Robert Carroll	- held by UBS	Category E - See Attached Document

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NA

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse or domestic partner for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse or Domestic Partner	Source	Nature	Category of Amount (In Table I)
---	--------	--------	---------------------------------------

Virginia Two Sigma income Category - I

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
N/A	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
N/A		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse or domestic partner from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which

the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse or domestic partner holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse or domestic partner.

Self/ Spouse or Domestic Partner	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)

Virginia Carroll - FID FOM IOD 2050 TPR (Fidelity) C-Hayes
Robert Carroll Roth - UPS - See Attached
Robert Carroll - 457b - See Attached
Virginia Carroll Roth - See Attached
Virginia Carroll - UPS - PNP - See Attached
Virginia Carroll - FTF - UPS - See Attached

- 16-a. List below the name and market value of digital assets held by the reporting individual or such individual's spouse or domestic partner in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing. Whenever an interest in digital assets exists through a beneficial interest in a trust, the digital assets held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such digital assets shall be listed unless they are

not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. The digital assets of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Also list digital assets owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For purposes of this subdivision, the following terms shall have the following meanings:

(a) "Digital asset" shall mean an asset that is issued, transferred, or both, using distributed ledger or blockchain technology, including, but not limited to, digital currencies, digital coins, digital non-fungible tokens or other similar assets.

(b) "Digital currency" shall mean any type of digital unit that is used as a medium of exchange or a form of digitally stored value. Virtual currency shall be broadly construed to include digital units of exchange that: (i) have a centralized repository or administrator; (ii) are decentralized and have no centralized repository or administrator; or (iii) may be created or obtained by computing, manufacturing, or other similar effort.

(c) "Distributed ledger or blockchain technology" shall mean a ledger or database that stores shared state by maintaining it across a multiplicity of devices belonging to different entities and securing it through a combination of cryptographic and consensus protocols, where the shared state serves to authenticate, record, share, and/or synchronize transactions involving digital assets or virtual currencies.

Self/ Spouse or Domestic Partner	Type of Digital Asset	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
		<i>N/A</i>

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse or domestic partner. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse or domestic partner, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Domestic Partner/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value In Table II)
---	----------	------	-------------------	---------------------	-------------------------------	---

N/A

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
----------------	--	---

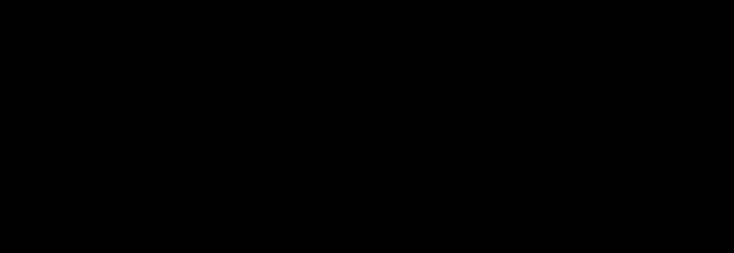
N/A

19. List below all liabilities of the reporting individual and such individual's spouse or domestic partner, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or domestic partner or by any proprietorship, partnership or corporation

in which the reporting individual or such individual's spouse or domestic partner has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse or domestic partner. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
NA		

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from



05/14/25
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A		none	
Category B	\$	1 to under \$	1,000
Category C	\$	1,000 to under \$	5,000
Category D	\$	5,000 to under \$	20,000
Category E	\$	20,000 to under \$	50,000
Category F	\$	50,000 to under \$	75,000
Category G	\$	75,000 to under \$	100,000
Category H	\$	100,000 to under \$	150,000
Category I	\$	150,000 to under \$	250,000
Category J	\$	250,000 to under \$	350,000
Category K	\$	350,000 to under \$	450,000
Category L	\$	450,000 to under \$	550,000
Category M	\$	550,000 to under \$	650,000
Category N	\$	650,000 to under \$	750,000
Category O	\$	750,000 to under \$	850,000
Category P	\$	850,000 to under \$	950,000
Category Q	\$	950,000 to under \$	1,050,000
Category R	\$	1,050,000 to under \$	1,150,000
Category S	\$	1,150,000 to under \$	1,250,000
Category T	\$	1,250,000 to under \$	1,350,000
Category U	\$	1,350,000 to under \$	1,450,000
Category V	\$	1,450,000 to under \$	1,550,000
Category W	\$	1,550,000 to under \$	1,650,000
Category X	\$	1,650,000 to under \$	1,750,000
Category Y	\$	1,750,000 to under \$	1,850,000
Category Z	\$	1,850,000 to under \$	1,950,000
Category AA	\$	1,950,000 to under \$	2,050,000
Category BB	\$	2,050,000 to under \$	2,150,000
Category CC	\$	2,150,000 to under \$	2,250,000
Category DD	\$	2,250,000 to under \$	2,350,000
Category EE	\$	2,350,000 to under \$	2,450,000
Category FF	\$	2,450,000 to under \$	2,550,000
Category GG	\$	2,550,000 to under \$	2,650,000
Category HH	\$	2,650,000 to under \$	2,750,000
Category II	\$	2,750,000 to under \$	2,850,000
Category JJ	\$	2,850,000 to under \$	2,950,000
Category KK	\$	2,950,000 to under \$	3,050,000
Category LL	\$	3,050,000 to under \$	3,150,000
Category MM	\$	3,150,000 to under \$	3,250,000
Category NN	\$	3,250,000 to under \$	3,350,000
Category OO	\$	3,350,000 to under \$	3,450,000
Category PP	\$	3,450,000 to under \$	3,550,000
Category QQ	\$	3,550,000 to under \$	3,650,000
Category RR	\$	3,650,000 to under \$	3,750,000
Category SS	\$	3,750,000 to under \$	3,850,000
Category TT	\$	3,850,000 to under \$	3,950,000
Category UU	\$	3,950,000 to under \$	4,050,000
Category VV	\$	4,050,000 to under \$	4,150,000
Category WW	\$	4,150,000 to under \$	4,250,000
Category XX	\$	4,250,000 to under \$	4,350,000
Category YY	\$	4,350,000 to under \$	4,450,000
Category ZZ	\$	4,450,000 to under \$	4,550,000
Category AAA	\$	4,550,000 to under \$	4,650,000

Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000
Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over

ROBERT CROSBY CARROLL

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

Balance and performance

Total account balance

Personal rate of return
 Quarterly 1-year
 457(b) Plan

Personal rate of return is an estimate for your account. For the period shown, the calculation considers deposits, withdrawals and performance of the investments (net of fees) in your core account. Past performance is not a guarantee of future success.

Current investments by asset class

This chart shows how the money in your account is divided among the different asset classes.

Note: Due to rounding, percentages may not equal 100%.



Large cap	47.22%
International	22.40%
Mid cap	15.24%
Small cap	15.14%

Quarterly activity

Previous quarter ending balance

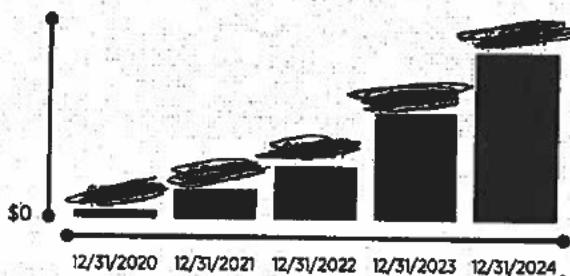
Contributions
 Rollovers/Transfers In
 Dividends*
 Investment gain/loss and interest
 Fees/Charges
 Withdrawals/Transfers Out

Current quarter ending balance

*Total Value Category - E
 different investments detailed
 on Subsequent pages.*

* May include dividends, fund reimbursements and/or capital gains

Balance history



Account balances are rounded to the nearest dollar and are the balances at the time your original statement was produced.

Retirement readiness

Based on your information, you're on track to receive

In retirement starting at age 65!

Results are hypothetical and based on your most recent inputs in the My Interactive Retirement Planner™ including, but not limited to 30 years in retirement; a rate of return that is an index average based on your investment type; 2.25% annual inflation; 85% of income needed at retirement; and a 2.5% average annual salary increase. Visit your account for more information. Please note future retirement income is not guaranteed.

You may have a surplus of

Log in to your account at
www.nysdcp.com to see how
 you can change your retirement
 outlook.

You're on track to You might need
 \$[redacted] \$[redacted]

Robert Carroll - Category - C E for total value.

Contributions and withdrawals

	Contributions				Withdrawals	
	YTD contributions	Contributions this period*	Last paycheck amount*	Contribution rate/amount	HTD withdrawals	Last withdrawal amount
Pre-Tax Contribution	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Roth Contribution	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

*Contributions are only shown if made during this statement period

Total contributions and benefits since joining

	Contributions since joining	Benefits received
457(b) Plan	\$39,986.01	\$0.00

The Benefits received total does not include withdrawals prior to March 25, 2003.
The Contributions total includes all deferrals since joining.

Investment election

Your investment election is how future contributions are distributed among different investments.

457(b) Plan	Pre-Tax Contribution	Roth Contribution
BosPtnrs LgCapValEq D	12.00%	12.00%
DE SmCap Val Inst	8.00%	8.00%
Intl Equity Fd-Active	15.00%	15.00%
MSIF Emrg Mkt IS	10.00%	10.00%
TRowePr Blue Chip Gr T7	20.00%	20.00%
TRowePr Eq Inc Trust	12.00%	12.00%
TRowePr QM US SmCap Eq I	8.00%	8.00%
Vngrd Strat Eq Inv	15.00%	15.00%
Total	100%	100%

Beneficiaries

Primary	Contingent
VIRGINIA LYNN LANCE	100%

If no person, entity, or trust is listed as a beneficiary, a beneficiary designation may still exist on file. Please note that the Administrative Service Agency does not guarantee the accuracy or completeness of any beneficiary designation(s).



Make sure your beneficiaries are the ones you want named. If you need to review and/or update, log into your account.

Investment activity

Pre-Tax Contribution

Investment option	Previous quarter balance	Contributions/Transfers In	Exchanges	Dividends*	Investment gain/loss & interest	Fees/Charges	Withdrawals/Transfers Out	Shares	Shares price	Current quarter balance
Small cap DE SmCap Val Inst	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Mid cap Vngrd Strat Eq Inv	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
International Intl Equity Fd-Active	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
MSIF Emrg Mkt IS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

'Alice Category' Pre

Investment activity (continued)

Pre-Tax Contribution (continued)

Large cap				
BosPtnrs	\$0.00	\$0.00		\$0.00
LgCapValEq D				
TRowePr blue	\$0.00	\$0.00		\$0.00
Chlp Gr T7				
TRowePr Eq	\$0.00	\$0.00		\$0.00
Inc Trust				
Total				

Roth Contribution



Summary of your UBS portfolio
March 2025

Your Financial Advisor:
THE MURRAY GROUP

Accounts in your portfolio

The values of your accounts include assets held at UBS and certain assets held away from UBS. See page 1 and the account statements that follow for more information.

Details on page	Account number	Account name and type	Value on February 28 (\$)	Value on March 31 (\$)	Percentage of portfolio
9	[REDACTED]	Bobby Roth - Managed by UBS ASSET MANAGEMENT -Category - E	[REDACTED]	[REDACTED]	[REDACTED]
**		retirement - IRA (Virginia Carroll) Category - II	[REDACTED]	[REDACTED]	[REDACTED]
**		[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
**		ETF Taxable - Managed by HV ETF Muni Category - I	[REDACTED]	[REDACTED]	[REDACTED]
**		PMP - Managed by STRATEGIC WEALTH PORTFOLIOS Category - IV	[REDACTED]	[REDACTED]	[REDACTED]
**		[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
*		[REDACTED]	0.00	0.00	0.00%
*		[REDACTED]	0.00	0.00	0.00%
*		[REDACTED]	0.00	0.00	0.00%
*		[REDACTED]	0.00	0.00	0.00%
*		[REDACTED]	0.00	0.00	0.00%
*		[REDACTED]	0.00	0.00	0.00%
Total			[REDACTED]	[REDACTED]	[REDACTED]

* indicates the accounts' prior period value is included in the Tracking the value of your portfolio on the first page.

** indicates the statement for this account has been suppressed from printing.

Attached is a list of individual assets over \$1,000.00 that are contained in one of the above accounts.

Equities over \$1,000.00
Account name listed.

Holdings	Account Number	Symbol	Description	Quantity	Price	Value	Value Category
	ETF Taxable	IEFA	ISHARES CORE MSCI EAFE ETF	[REDACTED]	[REDACTED]	[REDACTED]	E
	ETF Taxable	IEMG	ISHARES CORE MSCI EMERGING MARKETS ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	ETF Taxable	XLK	TECHNOLOGY SELECT SECTOR SPDR ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	ETF Taxable	VTWO	VANGUARD RUSSELL 2000 ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	ETF Taxable	VONG	VANGUARD RUSSELL 1000 GROWTH ETF	[REDACTED]	[REDACTED]	[REDACTED]	E
	ETF Taxable	VONV	VANGUARD RUSSELL 1000 VALUE ETF	[REDACTED]	[REDACTED]	[REDACTED]	E
	ETF Taxable	VO	VANGUARD MID-CAP ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	IDOG	ALPS INTL SECTOR DIVID DOGS ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	QDF	FLEXSHARES QUALITY DIVIDEND INDEX FD ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	IUSV	ISHARES CORE S&P U.S. VALUE ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	IDV	ISHARES INTL SELECT DIVID ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	IHF	ISHARES US HEALTHCARE PROVIDERS ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	Virginia IRA	HDV	ISHARES CORE HIGH DIVID ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	ICOW	PACER DEVELOPED MARKETS INTL CASH COWS 100 ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	COWZ	PACER US CASH COWS 100 ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	Virginia IRA	NOBL	PROSHARES S&P 500 ARISTOCRATS ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	Virginia IRA	EDIV	SPDR S&P EMERGING MARKETS DIVID ETF	[REDACTED]	[REDACTED]	[REDACTED]	D

Value Category

		INDUSTRIAL SELECT SECTOR
Virginia IRA	XLI	SPDR FUND ETF
Virginia IRA	VPL	VANGUARD FTSE PAC ETF
		WISDOMTREE US MIDCAP
Virginia IRA	DON	DIVID ETF
		WISDOMTREE US SMALLCAP
Virginia IRA	DES	DIVID FUND ETF
PMP	ABT	ABBOTT LABS
PMP	ABBV	ABBVIE INC COM
PMP	GOOGL	ALPHABET INC CL A
PMP	AMZN	AMAZON.COM INC
PMP	AXP	AMER EXPRESS CO
PMP		
PMP		
PMP		
PMP	ADI	ANALOG DEVICES INC
PMP	AAPL	APPLE INC
PMP		
PMP	ADP	AUTOMATIC DATA PROCESSNG
PMP		
PMP	BLK	BLACKROCK INC
PMP	BSX	BOSTON SCIENTIFIC CORP
PMP	AVGO	BROADCOM INC
		ACCENTURE PLC IRELAND
PMP	ACN	CL A
PMP	CB	CHUBB LTD CHF
		CHIPOTLE MEXICAN GRILL
PMP	CMG	INC CL A
PMP	KO	COCA COLA CO COM
PMP	ETN	EATON CORP PLC
PMP	XOM	EXXON MOBIL CORP
PMP	META	META PLATFORMS INC CL A
PMP	HD	HOME DEPOT INC
PMP	ISRG	INTUITIVE SURGICAL INC
PMP	INTU	INTUIT
PMP	JPM	JPMORGAN CHASE & CO

Value Category

PMP	JNJ	JOHNSON & JOHNSON COM
PMP	KLAC	KLA CORP
PMP	LLY	LILLY ELI & CO
PMP	LIN	LINDE PLC NEW EUR
PMP	MMC	MARSH & MCLENNAN COS INC
PMP	MA	MASTERCARD INC CL A
PMP	MCD	MCDONALDS CORP
PMP	MSFT	MICROSOFT CORP
PMP	MS	MORGAN STANLEY
PMP	NEE	NEXTERA ENERGY INC COM
PMP	NVDA	NVIDIA CORP
PMP	ORLY	O REILLY AUTOMOTIVE INC
PMP	ORCL	ORACLE CORP
PMP	PANW	PALO ALTO NETWORKS INC
PMP	TJX	TJX COMPANIES INC
PMP	PSX	PHILLIPS 66
PMP	PG	PROCTER & GAMBLE CO
PMP	PGR	PROGRESSIVE CORP OHIO
PMP	PLD	PROLOGIS INC COM
PMP	RTX	RTX CORP
PMP	RSG	REPUBLIC SERVICES INC
PMP	SPGI	S&P GLOBAL INC
PMP	CRM	SALESFORCE, INC.
PMP	SIW	SIWEI INDUSTRIAL INC
PMP	TJX	TJX COS INC NEW
		TAIWAN SEMICONDUCTOR MFG
PMP	TSM	TO LTD ADR
PMP	TXN	TEXAS INSTRUMENTS
		THERMO FISHER SCIENTIFIC
PMP		
PMP	TDG	TRANSDIGM GROUP INC
PMP	TT	TRANE TECHNOLOGIES PLC
PMP	UNP	UNION PACIFIC CORP
PMP	UNH	UNITEDHEALTH GROUP INC
PMP	V	VISA INC CL A

Bobby Roth IEFA ISHARES CORE MSCI EAFE
ETF
Bobby Roth IEMG ISHARES CORE MSCI
EMERGING MARKETS ETF
Bobby Roth SCHB SCHWAB US BROAD MKT ETF



Value Category

D

C
D

Other Securities or owned Assets

Info	CUSIP/ Symbol	Description	Quantity	Price	Value	Value Category
	46138E537	INVESCO NATL AMT-FREE	[REDACTED]	[REDACTED]	[REDACTED]	D
	PZA	MUNICIPAL BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	464288158	ISHARES SHORT-TERM NATL MUNI	[REDACTED]	[REDACTED]	[REDACTED]	
	SUB	BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	
	464288281	ISHARES JP MORGAN USD EMERGING	[REDACTED]	[REDACTED]	[REDACTED]	C
	EMB	MARKETS BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	
	464288414		[REDACTED]	[REDACTED]	[REDACTED]	
	MUB	ISHARES NATL MUNI BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	464288588		[REDACTED]	[REDACTED]	[REDACTED]	
	MBB	ISHARES MBS ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	464288620	ISHARES BROAD USD INVT GRADE	[REDACTED]	[REDACTED]	[REDACTED]	
	USIG	CORPORATE BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	D
	46435U853	ISHARES BROAD USD HIGH YIELD	[REDACTED]	[REDACTED]	[REDACTED]	
	USHY	BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	46436E718	ISHARES 0-3 MNTH TREASRY BOND	[REDACTED]	[REDACTED]	[REDACTED]	
	SGOV	ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	78464A664	SPDR PORTFOLIO LONG TERM TREAS	[REDACTED]	[REDACTED]	[REDACTED]	
	SPTL	ETF TREAS ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	92189H300	VANECK JP MORGAN EM LOCAL	[REDACTED]	[REDACTED]	[REDACTED]	
	EMLC	CURRENCY BOND ETF	[REDACTED]	[REDACTED]	[REDACTED]	C
	92206C706		[REDACTED]	[REDACTED]	[REDACTED]	
	VGIT	VANGUARD INTER TERM TREAS ETF	[REDACTED]	[REDACTED]	[REDACTED]	C

Other ASSETS

Info	Symbol	Description	Quantity	Price	Value	Value Category
	IAU	ISHARES GOLD TR	[REDACTED]	[REDACTED]	[REDACTED]	C

2σ

TWO SIGMA DEFERRED BONUS PLAN STATEMENT

GINNA CARROLL

Statement Period Ending: March 31, 2025

YEAR OF DEFERRAL	CCY	INITIAL DEFERRAL	BALANCE AS OF JAN 1, 25	PERFORMANCE YTD	CURRENT BALANCE	DATE OF DISTRIBUTION
2023	USD					
2024	USD					
TOTAL						

NOTES:

Returns are based on the Orbit Reference Fund.

Any positive returns on your deferrals vest on December 31, 2025.

Please refer to the Deferred Bonus Plan and FAQ documents for complete terms of the plan, including details of the Reference Funds.

Value Category : E

*Orbit Deferred Bonus Plan
invested in Two Sigma for
Virginia Carroll*