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Steph
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LEGISLATIVE ETHICS COMMISSION
STATE OF NEW YORK

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RECEIVED

MAY 6 2025

BY JLC
LEG. ETHICS COMM.

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2024

1. Name PAULA ELAINE KAY
2. (a) Title of Position MEMBER OF ASSEMBLY
(b) Department, Agency or other Governmental Entity 100TH DISTRICT
(c) Address of Present Office 461 BROADWAY, MONTICELLO, NEW YORK 12545
(d) Office Telephone Number 845-794-5807
3. (a) Marital Status M. If married, please give spouse's full name.
JONATHAN SCOTT DRAPKIN
(b) Full name of domestic partner (if applicable).

(c) List the names of all unemancipated children.
N/A

Answer each of the following questions completely, with respect to calendar year 2024, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
<u>SOLE PRACTITIONER</u>	<u>LAW OFFICE OF PAULA ELAINE KAY</u>	<u>N/A</u>
<u>MY LAW LICENSE IS WITH THE STATE OF NEW YORK</u>		

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse, domestic partner or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
<u>SOLE MEMBER</u>	<u>DRAPKIN CONSULTING GROUP</u>	<u>N/A</u>

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Name & Address Position of Organization	Description	State or Local Agency
<u>N/A - OTHER THAN LISTED UNDER ITEMS 2 AND 4</u>		
SOLE PRACTITIONER LAW OFFICE OF PAULA ELAINE KAY, 548 BROADWAY MONTICELLO, NEW YORK 12701		

- (b) If the spouse, domestic partner or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Name & Address Position of Organization	Description	State or Local Agency
<u>N/A - OTHER THAN LISTED IN ITEM 4</u>		
SOLE MEMBER DRAPKIN CONSULTING GROUP LLC 173 BOWERS ROAD, ROCK HILL, NEW YORK 12775		

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse, domestic partner or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse, domestic partner or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed

with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse, Domestic Partner or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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N/A

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

N/A

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

I AM AN ATTORNEY - SOLE PRACTITIONER. IN 2024, MY PRACTICE INCLUDED COMMERCIAL AND RESIDENTIAL REAL ESTATE, MUNICIPAL LAW, CORPORATE LAW, TRUSTS AND ESTATES. I PROVIDE SERVICES DIRECTLY TO MY CLIENTS.
I WAS ADMITTED IN 1991.

(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT

ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client

Nature of Services Provided

NOT APPLICABLE

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting

individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
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N/A

(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
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N/A

FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- * REVIEWED DOCUMENTS AND CORRESPONDENCE;
- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);

- * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
- * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided	Category of Amount (Table I)
N/A	

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure

of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics and lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics and lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days

of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of

court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics in lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics in lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court

administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Description of Matter	Category of Amount (In Table 1)
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N/A

(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse or domestic partner had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

N/A

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse, domestic partner or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse, Domestic Partner Or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
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N/A

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
N/A	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
NORTHWESTERN MUTUAL ROTH IRA	SEE ATTACHED
NORTHWESTERN MUTUAL IRA BENEFICIARY	SEE ATTACHED
NORTHWESTERN MUTUAL TOD DTD	SEE ATTACHED

* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

N/A

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

N/A

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse or domestic partner for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse or Domestic Partner	Source	Nature	Category of Amount (In Table I)
Self	Law Office of Paula Elaine Kay sole practitioner income	G	
Spouse	Drapkin Consulting Group LLC member income	G	

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
N/A	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
N/A		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse or domestic partner from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such

individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse or domestic partner holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse or domestic partner.

Self/ Spouse or Domestic Partner	Issuing Entity	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of pub- licly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
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See attached

16-a. List below the name and market value of digital assets held by the reporting individual or such individual's spouse or domestic partner in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing. Whenever an interest in digital assets exists through a beneficial interest in a trust, the digital assets held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such digital assets shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. The digital assets of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Also list digital assets owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For purposes of this subdivision, the following terms shall have the following meanings:

(a) "Digital asset" shall mean an asset that is issued, transferred, or both, using distributed ledger or blockchain technology, including, but not limited to, digital currencies, digital coins, digital non-fungible tokens or other similar assets.

(b) "Digital currency" shall mean any type of digital unit that is used as a medium of exchange or a form of digitally stored value. Virtual currency shall be broadly construed to include digital units of exchange that: (i) have a centralized repository or administrator; (ii) are decentralized and have no centralized repository or administrator; or (iii) may be created or obtained by computing, manufacturing, or other similar effort.

(c) "Distributed ledger or blockchain technology" shall mean a ledger or database that stores shared state by maintaining it across a multiplicity of devices belonging to different entities and securing it through a combination of cryptographic and consensus protocols, where the shared state serves to authenticate, record, share, and/or synchronize transactions involving digital assets or virtual currencies.

Self/ Spouse or Domestic Partner	Type of Digital Asset	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
N/A		

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse or domestic partner. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse or domestic partner, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Domestic Partner/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value In Table II)
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N/A

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
----------------	--	---

N/A

19. List below all liabilities of the reporting individual and such individual's spouse or domestic partner, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or

domestic partner or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse or domestic partner has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse or domestic partner. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
----------------------------------	---	---

N/A

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of conduct or behavior will be drawn merely from non-compliance with the requirements.

[Redacted]
[Redacted] (individual)

5/6/2025
Date (month/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Category A		none
Category B	\$	1 to under \$ 1,000
Category C	\$	1,000 to under \$ 5,000
Category D	\$	5,000 to under \$ 20,000
Category E	\$	20,000 to under \$ 50,000
Category F	\$	50,000 to under \$ 75,000
Category G	\$	75,000 to under \$ 100,000
Category H	\$	100,000 to under \$ 150,000
Category I	\$	150,000 to under \$ 250,000
Category J	\$	250,000 to under \$ 350,000
Category K	\$	350,000 to under \$ 450,000
Category L	\$	450,000 to under \$ 550,000
Category M	\$	550,000 to under \$ 650,000
Category N	\$	650,000 to under \$ 750,000
Category O	\$	750,000 to under \$ 850,000
Category P	\$	850,000 to under \$ 950,000
Category Q	\$	950,000 to under \$1,050,000
Category R	\$	\$1,050,000 to under \$1,150,000
Category S	\$	\$1,150,000 to under \$1,250,000
Category T	\$	\$1,250,000 to under \$1,350,000
Category U	\$	\$1,350,000 to under \$1,450,000
Category V	\$	\$1,450,000 to under \$1,550,000
Category W	\$	\$1,550,000 to under \$1,650,000
Category X	\$	\$1,650,000 to under \$1,750,000
Category Y	\$	\$1,750,000 to under \$1,850,000
Category Z	\$	\$1,850,000 to under \$1,950,000
Category AA	\$	\$1,950,000 to under \$2,050,000
Category BB	\$	\$2,050,000 to under \$2,150,000
Category CC	\$	\$2,150,000 to under \$2,250,000
Category DD	\$	\$2,250,000 to under \$2,350,000
Category EE	\$	\$2,350,000 to under \$2,450,000
Category FF	\$	\$2,450,000 to under \$2,550,000
Category GG	\$	\$2,550,000 to under \$2,650,000
Category HH	\$	\$2,650,000 to under \$2,750,000
Category II	\$	\$2,750,000 to under \$2,850,000
Category JJ	\$	\$2,850,000 to under \$2,950,000
Category KK	\$	\$2,950,000 to under \$3,050,000
Category LL	\$	\$3,050,000 to under \$3,150,000
Category MM	\$	\$3,150,000 to under \$3,250,000
Category NN	\$	\$3,250,000 to under \$3,350,000
Category OO	\$	\$3,350,000 to under \$3,450,000
Category PP	\$	\$3,450,000 to under \$3,550,000
Category QQ	\$	\$3,550,000 to under \$3,650,000
Category RR	\$	\$3,650,000 to under \$3,750,000
Category SS	\$	\$3,750,000 to under \$3,850,000
Category TT	\$	\$3,850,000 to under \$3,950,000
Category UU	\$	\$3,950,000 to under \$4,050,000
Category VV	\$	\$4,050,000 to under \$4,150,000
Category WW	\$	\$4,150,000 to under \$4,250,000
Category XX	\$	\$4,250,000 to under \$4,350,000
Category YY	\$	\$4,350,000 to under \$4,450,000
Category ZZ	\$	\$4,450,000 to under \$4,550,000

Category AAA	\$4,550,000 to under \$4,650,000
Category BBB	\$4,650,000 to under \$4,750,000
Category CCC	\$4,750,000 to under \$4,850,000
Category DDD	\$4,850,000 to under \$4,950,000
Category EEE	\$4,950,000 to under \$5,050,000
Category FFF	\$5,050,000 to under \$5,150,000
Category GGG	\$5,150,000 to under \$5,250,000
Category HHH	\$5,250,000 to under \$5,350,000
Category III	\$5,350,000 to under \$5,450,000
Category JJJ	\$5,450,000 to under \$5,550,000
Category KKK	\$5,550,000 to under \$5,650,000
Category LLL	\$5,650,000 to under \$5,750,000
Category MMM	\$5,750,000 to under \$5,850,000
Category NNN	\$5,850,000 to under \$5,950,000
Category OOO	\$5,950,000 to under \$6,050,000
Category PPP	\$6,050,000 to under \$6,150,000
Category QQQ	\$6,150,000 to under \$6,250,000
Category RRR	\$6,250,000 to under \$6,350,000
Category SSS	\$6,350,000 to under \$6,450,000
Category TTT	\$6,450,000 to under \$6,550,000
Category UUU	\$6,550,000 to under \$6,650,000
Category VVV	\$6,650,000 to under \$6,750,000
Category WWW	\$6,750,000 to under \$6,850,000
Category XXX	\$6,850,000 to under \$6,950,000
Category YYY	\$6,950,000 to under \$7,050,000
Category ZZZ	\$7,050,000 to under \$7,150,000
Category AAAA	\$7,150,000 to under \$7,250,000
Category BBBB	\$7,250,000 to under \$7,350,000
Category CCCC	\$7,350,000 to under \$7,450,000
Category DDDD	\$7,450,000 to under \$7,550,000
Category EEEE	\$7,550,000 to under \$7,650,000
Category FFFF	\$7,650,000 to under \$7,750,000
Category GGGG	\$7,750,000 to under \$7,850,000
Category HHHH	\$7,850,000 to under \$7,950,000
Category IIII	\$7,950,000 to under \$8,050,000
Category JJJJ	\$8,050,000 to under \$8,150,000
Category KKKK	\$8,150,000 to under \$8,250,000
Category LLLL	\$8,250,000 to under \$8,350,000
Category MMMM	\$8,350,000 to under \$8,450,000
Category NNNN	\$8,450,000 to under \$8,550,000
Category OOOO	\$8,550,000 to under \$8,650,000
Category PPPP	\$8,650,000 to under \$8,750,000
Category QQQQ	\$8,750,000 to under \$8,850,000
Category RRRR	\$8,850,000 to under \$8,950,000
Category SSSS	\$8,950,000 to under \$9,050,000
Category TTTT	\$9,050,000 to under \$9,150,000
Category UUUU	\$9,150,000 to under \$9,250,000
Category VVVV	\$9,250,000 to under \$9,350,000
Category WWWW	\$9,350,000 to under \$9,450,000
Category XXXX	\$9,450,000 to under \$9,550,000
Category YYYY	\$9,550,000 to under \$9,650,000
Category ZZZZ	\$9,650,000 to under \$9,750,000
Category AAAAA	\$9,750,000 to under \$9,850,000
Category BBBBB	\$9,850,000 to under \$9,950,000
Category CCCCC	\$9,950,000 to under \$10,000,000
Category DDDDD	\$10,000,000 or over

TABLE II

Category A	none
Category B	\$ 1 to under \$ 1,000
Category C	\$ 1,000 to under \$ 5,000
Category D	\$ 5,000 to under \$ 20,000
Category E	\$ 20,000 to under \$ 50,000
Category F	\$ 50,000 to under \$ 75,000
Category G	\$ 75,000 to under \$ 100,000
Category H	\$ 100,000 to under \$ 150,000
Category I	\$ 150,000 to under \$ 250,000
Category J	\$ 250,000 to under \$ 500,000
Category K	\$ 500,000 to under \$ 750,000
Category L	\$ 750,000 to under \$1,000,000
Category M	\$1,000,000 to under \$1,250,000
Category N	\$1,250,000 to under \$1,500,000
Category O	\$1,500,000 to under \$1,750,000
Category P	\$1,750,000 to under \$2,000,000
Category Q	\$2,000,000 to under \$2,250,000
Category R	\$2,250,000 to under \$2,500,000
Category S	\$2,500,000 to under \$2,750,000
Category T	\$2,750,000 to under \$3,000,000
Category U	\$3,000,000 to under \$3,250,000
Category V	\$3,250,000 to under \$3,500,000
Category W	\$3,500,000 to under \$3,750,000
Category X	\$3,750,000 to under \$4,000,000
Category Y	\$4,000,000 to under \$4,250,000
Category Z	\$4,250,000 to under \$4,500,000
Category AA	\$4,500,000 to under \$4,750,000
Category BB	\$4,750,000 to under \$5,000,000
Category CC	\$5,000,000 to under \$5,250,000
Category DD	\$5,250,000 to under \$5,500,000
Category EE	\$5,500,000 to under \$5,750,000
Category FF	\$5,750,000 to under \$6,000,000
Category GG	\$6,000,000 to under \$6,250,000
Category HH	\$6,250,000 to under \$6,500,000
Category II	\$6,500,000 to under \$6,750,000
Category JJ	\$6,750,000 to under \$7,000,000
Category KK	\$7,000,000 to under \$7,250,000
Category LL	\$7,250,000 to under \$7,500,000
Category MM	\$7,500,000 to under \$7,750,000
Category NN	\$7,750,000 to under \$8,000,000
Category OO	\$8,000,000 to under \$8,250,000
Category PP	\$8,250,000 to under \$8,500,000
Category QQ	\$8,500,000 to under \$8,750,000
Category RR	\$8,750,000 to under \$9,000,000
Category SS	\$9,000,000 to under \$9,250,000
Category TT	\$9,250,000 to under \$9,500,000
Category UU	\$9,500,000 or over



Northwestern Mutual

Northwestern Mutual Investment Services, LLC
Northwestern Mutual Wealth Management Company
720 E. Wisconsin Avenue, Milwaukee, WI 53202-4797
1-866-950-4644



Small World
Wealth Management

#16
#11

Account Statement

December 1, 2024 - December 31, 2024

PAULA ELAINE DRAPKIN
TOD DTD 06/07/2022
[REDACTED]

Portfolio at a Glance

Your Financial Representative:
JOSEPH A SMALL
(845) 224-0015

Risk Tolerance:
BALANCED

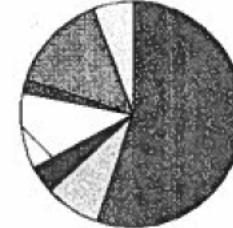
	This Period
BEGINNING ACCOUNT VALUE	\$783,903.97
Withdrawals (Cash & Securities)	-125,000.00
Dividends, Interest and Other Income	2,427.35
Net Change in Portfolio¹	-20,922.02
ENDING ACCOUNT VALUE	\$640,409.30

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

The Bank Deposits in your account are not eligible for SIPC coverage.

Bank Deposit Sweep Products automatically deposit the available balance in your account into Federal Deposit Insurance Corporation (FDIC) member insured depository institutions (IDIs), where swept balances are eligible to receive pass through FDIC insurance coverage through those IDIs, subject to certain conditions. Balances in Bank Deposit Sweep Products are not considered securities and are not eligible for coverage by the Securities Investor Protection Corporation (SIPC).

Asset Classification

	This Period	% Allocation	
US Equity - Large Cap	357,394.79	55%	
US Equity - Mid Cap	49,108.25	8%	
US Equity - Small Cap	23,464.55	4%	
Int'l Developed Mkts	73,291.00	11%	
Int'l Emerging Mkts	10,437.52	2%	
Fixed Income	90,229.72	14%	
Cash/Cash Alternatives	36,483.47	6%	
Account Total (Pie Chart)	\$640,409.30	100%	

#16
#11

Asset Classification (continued)

Account holdings are classified into certain asset classes based on their characteristics. The asset classes shown may vary based on whether the account is solely brokerage or is used with an investment advisory program. Asset classes are shown as a courtesy for information purposes and are not specific investment advice. Some holdings may not be classified. All asset classifications are subject to change. For a description of asset class characteristics, or should you have any related questions, contact your financial representative.

Asset classification information contained in this section is supplied by Morningstar, Inc. ©2024. All Rights Reserved. Information on asset classification (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information. Certain assets may be classified by NMIS and/or WMC instead of Morningstar.

Summary of Gains and Losses

	This Period	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	283.03		993.92	662.48
Long-Term Gain/Loss	9,129.33		21,697.17	160,937.19
Net Gain/Loss	9,412.36		22,691.09	161,599.67

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Representative:

JOSEPH A SMALL
10070 DANIELS INTRSTE CT
FORT MYERS FL 33913

Contact Information

Business: (845) 224-0015

Client Service Information

Web Site: WWW.NORTHWESTERNMUTUAL.COM

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Representative.

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: Average Cost (Using First In First Out)

Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out

Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes

Accrual market discount method for all other bond types: Constant Yield Method

Include market discount in income annually: No



Northwestern Mutual®

Northwestern Mutual Investment Services, LLC

Northwestern Mutual Wealth Management Company

720 E. Wisconsin Avenue, Milwaukee, WI 53202-4797

1-866-950-4644

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#11

December 1, 2024 - December 31, 2024

PAULA ELAINE DRAPKIN

Account Information (continued)

DNIC DELIVERY

lations! All your documents are enrolled for electronic delivery.
ing in to your account or contact your Financial Representative to make any changes to your electronic preferences.

E-mail notifications are delivered to the following e-mail address(es):

[REDACTED] is on file for these

documents

The above e-mail address is partially masked for your security.
Please log in to your account to review the full e-mail address.

Account Beneficiary Information

Name	Primary / Contingent	Relationship to Account Holder(s)	Allocation Percent
DRAPKIN	PRIMARY	SPOUSE	100.00

Beneficiary and Other Information:

Beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Representative to update your beneficiary information if necessary or to review more detailed information concerning your options.

Portfolio Holdings

Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
MONEY FUNDS AND BANK DEPOSITS 6.00% of Portfolio								
1			0.00	239.78				
Eligible Bank Deposits								
36,243.6900	N/A	12/31/24	12,027.58	36,243.69	45.60	468.95	N/A	N/A
Total Eligible Bank Deposits								
			\$12,027.58	\$36,243.69	\$45.60	\$468.95		
TOTAL MONEY FUNDS AND BANK DEPOSITS								
			\$12,027.58	\$36,483.47	\$45.60	\$468.95		

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#11**Portfolio Holdings (continued)**

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES 41.00% of Portfolio								
Common Stocks								
NXP SEMICONDUCTORS NV COM STK ISIN#NL0009538784								
Dividend Option: Cash								
11/12/21	36.0000	218.5160	7,866.56	207.8500	7,482.60	-383.96	146.01	1.95%
11/09/23	5.0000	184.6840	923.42	207.8500	1,039.25	115.83	20.28	1.95%
Total Covered	41.0000		8,789.98		8,521.85	-268.13		166.29
Total	41.0000		\$8,789.98		\$8,521.85	-\$268.13		\$166.29
ADODE SYS INC COM								
Dividend Option: Cash								
05/13/22	12.0000	406.4510	4,877.41	444.6800	5,336.16	458.75		
11/09/23	8.0000	584.1180	4,672.94	444.6800	3,557.44	-1,115.50		
Total Covered	20.0000		9,550.35		8,893.60	-656.75		
Total	20.0000		\$9,550.35		\$8,893.60	-\$656.75		
ADVANCED MICRO DEVICES INC COM								
Dividend Option: Cash								
11/12/21	54.0000	148.4160	8,014.49	120.7900	6,522.66	-1,491.83		
05/13/22	20.0000	91.9100	1,838.20	120.7900	2,415.80	577.60		
06/15/22	38.0000	88.3000	3,355.40	120.7900	4,590.02	1,234.62		
09/21/22	71.0000	76.5010	5,431.58	120.7900	8,576.09	3,144.51		
Total Covered	183.0000		18,639.67		22,104.57	3,464.90		
Total	183.0000		\$18,639.67		\$22,104.57	\$3,464.90		
ALPHABET INC CAP STK CL C								
Dividend Option: Cash								
11/12/21	24.0000	150.0590	3,601.41	190.4400	4,570.56	969.15	19.20	0.42%
06/15/22	40.0000	110.0410	4,401.64	190.4400	7,617.60	3,215.96	32.00	0.42%
09/21/22	52.0000	102.3310	5,321.22	190.4400	9,902.88	4,581.66	41.60	0.42%
Total Covered	116.0000		13,324.27		22,091.04	8,766.77		92.80
Total	116.0000		\$13,324.27		\$22,091.04	\$8,766.77		\$92.80
AMAZON COM INC COM								
Dividend Option: Cash								
11/12/21	36.0000	176.5880	6,357.16	219.3900	7,898.04	1,540.88		
06/15/22	29.0000	106.6200	3,091.97	219.3900	6,362.31	3,270.34		
Total Covered	65.0000		9,449.13		14,260.35	4,811.22		
Total	65.0000		\$9,449.13		\$14,260.35	\$4,811.22		
APPLE INC COM								
Dividend Option: Cash								
11/12/21	53.0000	150.5010	7,976.55	250.4200	13,272.26	5,295.71	53.00	0.39%
06/15/22	25.0000	134.4390	3,360.97	250.4200	6,260.50	2,899.53	25.00	0.39%
11/09/23	13.0000	184.0240	2,392.31	250.4200	3,255.46	863.15	13.00	0.39%





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#14 #11

 December 1, 2024 - December 31, 2024
 PAULE ELAINE DRAPKIN

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
APPLE INC COM (continued)								
Total Covered	91.0000		13,729.83		22,788.22	9,058.39		91.00
Total	91.0000		\$13,729.83		\$22,788.22	\$9,058.39		\$91.00
APPLIED MATLS INC COM								
Dividend Option: Cash								
06/15/22	45.0000	97.1890	4,373.50	162.6300	7,318.35	2,944.85	72.00	0.98%
09/21/22	61.0000	89.9330	5,485.94	162.6300	9,920.43	4,434.49	97.60	0.98%
Total Covered	106.0000		9,859.44		17,238.78	7,379.34		169.60
Total	106.0000		\$9,859.44		\$17,238.78	\$7,379.34		\$169.60
DELL TECHNOLOGIES INC CL C								
Dividend Option: Cash								
03/25/24	82.0000	114.4750	9,386.99	115.2400	9,449.68	62.69		145.96 1.54%
JPMORGAN CHASE & CO COM								
Dividend Option: Cash								
11/12/21	47.0000	167.1480	7,855.94	239.7100	11,266.37	3,410.43		235.00 2.08%
MICROSOFT CORP COM								
Dividend Option: Cash								
11/12/21	23.0000	337.6370	7,765.66	421.5000	9,694.50	1,928.84		76.36 0.78%
05/13/22	9.0000	262.1660	2,359.49	421.5000	3,793.50	1,434.01		29.88 0.78%
09/21/22	22.0000	245.5350	5,401.76	421.5000	9,273.00	3,871.24		73.04 0.78%
11/09/23	4.0000	366.0300	1,464.12	421.5000	1,686.00	221.88		13.28 0.78%
Total Covered	58.0000		16,991.03		24,447.00	7,455.97		192.56
Total	58.0000		\$16,991.03		\$24,447.00	\$7,455.97		\$192.56
NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S. ADR								
SAME CUSIP								
Dividend Option: Cash								
10/03/23	32.0000	88.0530	2,817.71	86.0200	2,752.64	-65.07		32.82 1.19%
11/09/23	57.0000	100.9230	5,752.62	86.0200	4,903.14	-849.48		58.47 1.19%
Total Covered	89.0000		8,570.33		7,655.78	-914.55		91.29
Total	89.0000		\$8,570.33		\$7,655.78	-\$914.55		\$91.29
NVIDIA CORP COM								
Dividend Option: Cash								
06/15/22	48.0000	16.3460	784.62	134.2900	6,445.92	5,661.30		1.92 0.02%

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#11**Portfolio Holdings (continued)**

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
NVIDIA CORP COM (continued)								
09/21/22	400.0000	13.4560	5,382.20	134.2900	53,716.00	48,333.80	16.00	0.02%
11/09/23	20.0000	48.2570	965.14	134.2900	2,685.80	1,720.66	0.80	0.02%
Total Covered	468.0000		7,131.96		62,847.72	55,715.76		18.72
Total	468.0000		\$7,131.96		\$62,847.72	\$55,715.76		\$18.72
SALESFORCE INC COM								
Dividend Option: Cash								
Security Identifier: CRM CUSIP: 79466L302								
11/12/21	26.0000	306.9570	7,980.88	334.3300	8,692.58	711.70	41.60	0.47%
05/13/22	14.0000	168.1410	2,353.98	334.3300	4,680.62	2,326.64	22.40	0.47%
Total Covered	40.0000		10,334.86		13,373.20	3,038.34		64.00
Total	40.0000		\$10,334.86		\$13,373.20	\$3,038.34		\$64.00
TAIWAN SEMICONDUCTOR MFG CO SPONSORED ADR								
ISIN#US8740391003								
Dividend Option: Cash								
Security Identifier: TSM CUSIP: 874039100								
11/12/21	67.0000	118.9230	7,967.82	197.4900	13,231.83	5,264.01	131.02	0.99%
11/09/23	14.0000	93.4410	1,308.18	197.4900	2,764.86	1,456.68	27.38	0.99%
Total Covered	81.0000		9,276.00		15,996.69	6,720.69		158.40
Total	81.0000		\$9,276.00		\$15,996.69	\$6,720.69		\$158.40
Total Common Stocks			\$152,889.78		\$260,934.85	\$108,045.07		\$1,425.62
TOTAL EQUITIES			\$152,889.78		\$260,934.85	\$108,045.07		\$1,425.62
Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS 35.00% of Portfolio								
INVESCO AMT-FREE MUNICIPAL FUND CLASS Y								
Open End Fund								
Security Identifier: OMFYX CUSIP: 001419183								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average	4,357.5080	6.5740	28,645.65	6.8300	29,761.78	1,116.13	1,207.46	4.05%
BLACKROCK MID-CAP GROWTH EQUITY PORTFOLIO INSTITUTIONAL SHARES								
Open End Fund								
Security Identifier: CMGIX CUSIP: 091928861								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average	458.0220	32.8500	15,046.03	43.3000	19,832.35	4,786.32		
CALVERT SMALL-CAP FUND CLASS I								
Open End Fund								
Security Identifier: CSVIX CUSIP: 13161P862								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average	635.2070	28.4040	18,042.41	36.9400	23,464.55	5,422.14	74.76	0.31%





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 December 1, 2024 - December 31, 2024
 PAULA ELAINE DRAPKIN

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
EATON VANCE ATLANTA CAPITAL FOCUSED GROWTH FUND CLASS I Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	1,225.5480	15.5780	19,092.06	18,0900	22,170.16	3,078.10	35.54	0.16%
EATON VANCE EMERGING AND FRONTIER COUNTRIES EQUITY FUND CLASS I Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	731.9440	13.8190	10,114.67	14.2600	10,437.52	322.85	211.31	2.02%
EATON VANCE NATIONAL MUNICIPAL INCOME FUND CLASS I								
Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	3,271.8610	8.8590	28,984.95	9.2100	30,133.84	1,148.89	1,219.86	4.04%
FIDELITY ADVISOR INTERNATIONAL CAPITAL APPRECIATION FUND CLASS I Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	686.1470	26.6400	18,279.19	31.9000	21,888.09	3,608.90	153.01	0.69%
CLEARBRIDGE SELECT FUND CLASS I Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	521.9450	39.1660	20,442.29	56.0900	29,275.90	8,833.61		
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS Y Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	1,584.6390	9.6000	15,212.70	9.7200	15,402.69	189.99	749.21	4.86%
PGIM TOTAL RETURN BOND FUND CLASS Z Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	1,263.2330	11.8120	14,921.29	11.8200	14,931.41	10.12	714.88	4.78%
PUTNAM LARGE-CAP VALUE FUND CLASS Y Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest	299.2570	34.7950	10,412.50	34.4800	10,318.38	-94.12	156.81	1.51%



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#11**Portfolio Holdings (continued)**

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
TOTAL MUTUAL FUNDS								
			\$199,193.74		\$227,616.67	\$28,422.93		\$4,522.84
EXCHANGE-TRADED PRODUCTS 18.00% of Portfolio								
FIRST TR EXCHANGE-TRADED FD VI RISING DIVID ACHIEVERS ETF								
Dividend Option: Cash; Capital Gains Option: Cash								
11/12/21	493.0000	51.9150	26,594.31	59.1400	29,156.02	3,561.71	479.29	1.64%
10/03/23	88.0000	44.9770	3,957.96	59.1400	5,204.32	1,246.36	85.55	1.64%
11/09/23	89.0000	45.4540	4,045.42	59.1400	5,263.46	1,218.04	86.53	1.64%
Total Covered	670.0000		33,597.69		39,623.80	6,026.11		651.37
Total	670.0000		\$33,597.69		\$39,623.80	\$6,026.11		\$651.37
INVESCO QQQ TR UNIT SER 1								
Dividend Option: Cash; Capital Gains Option: Cash								
11/12/21	8.0000	395.2810	3,162.25	511.2300	4,089.84	927.59	22.77	0.55%
05/13/22	42.0000	299.4830	12,578.28	511.2300	21,471.66	8,893.38	119.55	0.55%
Total Covered	50.0000		15,740.53		25,561.50	9,820.97		142.32
Total	50.0000		\$15,740.53		\$25,561.50	\$9,820.97		\$142.32
SCHWAB STRATEGIC TR SCHWAB FUNDAMENTAL INTL LARGE CO INDEX ETF								
Dividend Option: Cash; Capital Gains Option: Cash								
05/13/22	536.0000	30.0480	16,105.67	33.2100	17,800.56	1,694.89	714.11	4.01%
11/09/23	43.0000	31.7750	1,366.32	33.2100	1,428.03	61.71	57.29	4.01%
Total Covered	579.0000		17,471.99		19,228.59	1,756.60		771.40
Total	579.0000		\$17,471.99		\$19,228.59	\$1,756.60		\$771.40
WISDOMTREE TR U S LARGECAP DIVID FD								
Dividend Option: Cash; Capital Gains Option: Cash								
10/27/23	121.0000	59.2080	7,164.22	77.7900	9,412.59	2,248.37	188.62	2.00%
10/27/23	277.0000	58.7300	16,268.21	77.7900	21,547.83	5,279.62	431.79	2.00%
Total Covered	398.0000		23,432.43		30,960.42	7,527.99		620.41
Total	398.0000		\$23,432.43		\$30,960.42	\$7,527.99		\$620.41
TOTAL EXCHANGE-TRADED PRODUCTS			\$90,242.64		\$115,374.31	\$25,131.67		\$2,185.50
Total Portfolio Holdings								
			Current Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
			\$478,809.63		\$640,409.30	\$161,599.67	\$0.00	\$8,602.91



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PAULA ELAINE DRAPKIN

Portfolio Holdings (continued)

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.



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Beneficiary
Account Statement

IRA FBO PAULA ELAINE DRAPKIN
NM WEALTH MGMT CO AS CUSTODIAN
BENE OF ROBERTA S KAY
[REDACTED]

December 1, 2024 - December 31, 2024
[REDACTED]

Portfolio at a Glance

Your Financial Representative:
JOSEPH A SMALL
(845) 224-0015

Risk Tolerance:
BALANCED

	This Period
BEGINNING ACCOUNT VALUE	\$802,008.39
Dividends, Interest and Other Income	2,797.22
Other Transactions	9.04
Net Change in Portfolio¹	-28,937.77
ENDING ACCOUNT VALUE	\$775,876.88

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

The Bank Deposits in your account are not eligible for SIPC coverage.

Bank Deposit Sweep Products automatically deposit the available balance in your account into Federal Deposit Insurance Corporation (FDIC) member insured depository institutions (IDIs), where swept balances are eligible to receive pass through FDIC insurance coverage through those IDIs, subject to certain conditions. Balances in Bank Deposit Sweep Products are not considered securities and are not eligible for coverage by the Securities Investor Protection Corporation (SIPC).

Asset Classification

	This Period	% Allocation	
US Equity - Large Cap	278,853.17	36%	[REDACTED]
US Equity - Mid Cap	50,216.53	6%	[REDACTED]
US Equity - Small Cap	30,096.93	4%	[REDACTED]
Int'l Developed Mkts	78,558.27	10%	[REDACTED]
Int'l Emerging Mkts	13,947.14	2%	[REDACTED]
Real Estate Securities	7,649.37	1%	[REDACTED]
Fixed Income	282,176.68	36%	[REDACTED]
Other	21,540.36	3%	[REDACTED]
Cash/Cash Alternatives	12,838.43	2%	[REDACTED]
Account Total (Pie Chart)	\$775,876.88	100%	[REDACTED]



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Asset Classification (continued)

Account holdings are classified into certain asset classes based on their characteristics. The asset classes shown may vary based on whether the account is solely brokerage or is used with an investment advisory program. Asset classes are shown as a courtesy for information purposes and are not specific investment advice. Some holdings may not be classified. All asset classifications are subject to change. For a description of asset class characteristics, or should you have any related questions, contact your financial representative.

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Summary of Gains and Losses

	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	710.93	127.82	5,977.96
Long-Term Gain/Loss	4,339.24	61,474.60	99,615.78
Net Gain/Loss	5,050.17	61,602.42	105,593.74

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Representative:

JOSEPH A SMALL
10070 DANIELS INTRSTE CT
FORT MYERS FL 33913

Contact Information

Business: (845) 224-0015

Client Service Information

Web Site: WWW.NORTHWESTERNMUTUAL.COM

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Representative.

RETIREMENT INFORMATION

Year-end Fair Market Value (12/31/2024): \$775,876.88

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2024.



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December 1, 2024 - December 31, 2024
IRA FBO PAULA ELAINE DRAPKIN

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: Average Cost (Using First In First Out)

Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out

Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes

Accrual market discount method for all other bond types: Constant Yield Method

Include market discount in income annually: No

ELECTRONIC DELIVERY

Congratulations! All your documents are enrolled for electronic delivery.

Please log in to your account or contact your Financial Representative to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

[REDACTED] is on file for these documents

The above e-mail address is partially masked for your security.
Please log in to your account to review the full e-mail address.

Client Account Beneficiary Information

Beneficiary Name	Primary / Contingent	Relationship to Account Holder(s)	Allocation Percent
JONATHAN S DRAPKIN	PRIMARY	SPOUSE	100.00
JACK A DRAPKIN	CONTINGENT	SON	50.00
JORDAN R DRAPKIN	CONTINGENT	DAUGHTER	50.00

Disclosures and Other Information:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Representative to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Retirement Account Transactions

	Tax Year - 2024		Tax Year - 2023	
	This Period	Year-to-Date	This Period	Year-to-Date
Total Contributions	\$0.00	\$0.00	\$0.00	\$0.00

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Retirement Account Transactions (continued)

	Tax Year - 2024		Tax Year - 2023	
	This Period	Year-to-Date	This Period	Year-to-Date
Distributions				
Beneficiary	0.00	-25,494.00	0.00	-20,393.00
Total Distributions	\$0.00	-\$25,494.00	\$0.00	-\$20,393.00
Federal Tax Withheld on Distributions				
Beneficiary	0.00	-6,373.50	0.00	0.00
Total Federal Tax Withheld	\$0.00	-\$6,373.50	\$0.00	\$0.00
State Tax Withheld on Distributions				
State Tax Withheld	0.00	-2,549.40	0.00	0.00
Total State Tax Withheld	\$0.00	-\$2,549.40	\$0.00	\$0.00
Total Tax Withheld on Distributions	\$0.00	-\$8,922.90	\$0.00	\$0.00

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 2.00% of Portfolio									
Cash Balance				0.00	257.62				
FDIC Eligible Bank Deposits									
NM FDIC INSURED DEPOSIT PROGRM									
11/30/24	12,580.8100	N/A	12/31/24	12,384.39	12,580.81	15.87	400.21	N/A	N/A
Total FDIC Eligible Bank Deposits				\$12,384.39	\$12,580.81	\$15.87	\$400.21		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS									
				\$12,384.39	\$12,838.43	\$15.87	\$400.21		
EQUITIES 25.00% of Portfolio									
Common Stocks									
NXP SEMICONDUCTORS NV COM STK ISIN: [REDACTED]				Security Identifier: NXPI CUSIP: N6596X109					
Dividend Option: Cash									
11/12/21*	40.0000	218.3560	8,734.24	207.8500	8,314.00	-420.24		162.24	1.95%
ADOBE SYS INC COM				Security Identifier: ADBE CUSIP: 00724F101					
Dividend Option: Cash									
03/15/22*	7.0000	417.8130	2,924.69	444.6800	3,112.76	188.07			
05/13/22*	14.0000	406.2910	5,688.08	444.6800	6,225.52	537.44			



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December 1, 2024 - December 31, 2024
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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
ADOB SY INC COM (continued)								
Total Noncovered	21.0000		8,612.77		9,338.28	725.51		
Total	21.0000		\$8,612.77		\$9,338.28	\$725.51		
ADVANCED MICRO DEVICES INC COM								
Dividend Option: Cash								
03/15/22*	23.0000	106.2060	2,442.74	120.7900	2,778.17	335.43		
06/15/22*	41.0000	88.2710	3,619.12	120.7900	4,952.39	1,333.27		
09/21/22*	68.0000	76.5100	5,202.71	120.7900	8,213.72	3,011.01		
Total Noncovered	132.0000		11,264.57		15,944.28	4,679.71		
Total	132.0000		\$11,264.57		\$15,944.28	\$4,679.71		
ALPHABET INC CAP STK CL C								
Dividend Option: Cash								
06/15/22*	39.0000	110.0410	4,291.60	190.4400	7,427.16	3,135.56	31.20	0.42%
09/21/22*	50.0000	102.3430	5,117.14	190.4400	9,522.00	4,404.86	40.00	0.42%
Total Noncovered	89.0000		9,408.74		16,949.16	7,540.42	71.20	
Total	89.0000		\$9,408.74		\$16,949.16	\$7,540.42		
AMAZON COM INC COM								
Dividend Option: Cash								
11/12/21*	24.0000	176.5460	4,237.11	219.3900	5,265.36	1,028.25		
06/15/22*	34.0000	106.5440	3,622.48	219.3900	7,459.26	3,836.78		
08/20/24*	13.0000	178.4770	2,320.20	219.3900	2,852.07	531.87		
Total Noncovered	71.0000		10,179.79		15,576.69	5,396.90		
Total	71.0000		\$10,179.79		\$15,576.69	\$5,396.90		
APPLE INC COM								
Dividend Option: Cash								
03/15/22*	29.0000	152.3570	4,418.34	250.4200	7,262.18	2,843.84	29.00	0.39%
06/15/22*	27.0000	134.3940	3,628.64	250.4200	6,761.34	3,132.70	27.00	0.39%
Total Noncovered	56.0000		8,046.98		14,023.52	5,976.54	56.00	
Total	56.0000		\$8,046.98		\$14,023.52	\$5,976.54		
APPLIED MATLS INC COM								
Dividend Option: Cash								
06/15/22*	15.0000	97.1570	1,457.36	162.6300	2,439.45	982.09	24.00	0.98%

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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
APPLIED MATLS INC COM (continued)								
09/21/22*	58.0000	89.9460	5,216.88	162.6300	9,432.54	4,215.66	92.80	0.98%
Total Noncovered	73.0000		6,674.24		11,871.99	5,197.75		116.80
Total	73.0000		\$6,674.24		\$11,871.99	\$5,197.75		\$116.80
DELL TECHNOLOGIES INC CL C								
Dividend Option: Cash								
03/25/24*	81.0000	114.4780	9,272.70	115.2400	9,334.44	61.74	144.18	1.54%
08/20/24*	6.0000	111.1750	667.05	115.2400	691.44	24.39	10.68	1.54%
Total Noncovered	87.0000		9,939.75		10,025.88	86.13		154.86
Total	87.0000		\$9,939.75		\$10,025.88	\$86.13		\$154.86
JPMORGAN CHASE & CO COM								
Dividend Option: Cash								
11/12/21*	38.0000	167.2310	6,354.78	239.7100	9,108.98	2,754.20	190.00	2.08%
01/18/22*	12.0000	153.1400	1,837.68	239.7100	2,876.52	1,038.84	60.00	2.08%
Total Noncovered	50.0000		8,192.46		11,985.50	3,793.04		250.00
Total	50.0000		\$8,192.46		\$11,985.50	\$3,793.04		\$250.00
MICROSOFT CORP COM								
Dividend Option: Cash								
01/18/22*	6.0000	308.0400	1,848.24	421.5000	2,529.00	680.76	19.92	0.78%
03/15/22*	17.0000	281.7380	4,789.54	421.5000	7,165.50	2,375.96	56.44	0.78%
05/13/22*	11.0000	262.0400	2,882.44	421.5000	4,636.50	1,754.06	36.52	0.78%
09/21/22*	21.0000	245.5670	5,156.90	421.5000	8,851.50	3,694.60	69.72	0.78%
Total Noncovered	55.0000		14,677.12		23,182.50	8,505.38		182.60
Total	55.0000		\$14,677.12		\$23,182.50	\$8,505.38		\$182.60
NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S. ADR								
SAME CUSIP								
Dividend Option: Cash								
10/03/23*	91.0000	87.7480	7,985.03	86.0200	7,827.82	-157.21		93.34 1.19%
02/06/24*	44.0000	118.1040	5,196.58	86.0200	3,784.88	-1,411.70		45.13 1.19%
10/29/24*	5.0000	112.0900	560.45	86.0200	430.10	-130.35		5.13 1.19%
Total Noncovered	140.0000		13,742.06		12,042.80	-1,699.26		143.60
Total	140.0000		\$13,742.06		\$12,042.80	-\$1,699.26		\$143.60
NVIDIA CORP COM								
Dividend Option: Cash								
09/21/22*	289.0000	13.4560	3,888.92	134.2900	38,809.81	34,920.89		11.56 0.02%





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December 1, 2024 - December 31, 2024
 IRA FBO PAULA ELAINE DRAPKIN

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
TAIWAN SEMICONDUCTOR MFG CO SPONSORED ADR				Security Identifier: TSM CUSIP: 874039100				
ISIN: [REDACTED]								
Dividend Option: Cash								
11/12/21	51.0000	118.8720	6,062.48	197.4900	10,071.99	4,009.51	99.73	0.99%
Total Common Stocks			\$119,424.12		\$198,136.40	\$78,712.28		\$1,248.59
TOTAL EQUITIES			\$119,424.12		\$198,136.40	\$78,712.28		\$1,248.59
Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS 62.00% of Portfolio								
INVECO CORPORATE BOND FUND CLASS Y				Security Identifier: ACCHX CUSIP: 001421825				
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	7,864.8680	6.1030	48,002.79	6.1900	48,683.53	680.74	2,565.51	5.26%
BNY MELLON GLOBAL FIXED INCOME FUND CLASS I				Security Identifier: SDGIX CUSIP: 06586X801				
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	864.9500	20.5600	17,783.37	20.1100	17,394.14	-389.23	450.37	2.58%
BLACKROCK GLOBAL EQUITY MARKET NEUTRAL FUND				Security Identifier: BDMIX CUSIP: 091936526				
INSTITUTIONAL CLASS								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	1,595.5820	13.6200	21,731.83	13.5000	21,540.36	-191.47	2,855.64	13.25%
COHEN & STEERS REALTY SHARES FUND CLASS I				Security Identifier: CSJIX CUSIP: 192476505				
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	116.3580	68.2750	7,944.34	65.7400	7,649.37	-294.97	212.35	2.77%
COLUMBIA CORPORATE INCOME FUND INSTITUTIONAL CLASS				Security Identifier: SRINX CUSIP: 19765N518				
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	2,859.5420	8.9200	25,506.04	9.0400	25,850.26	344.22	1,144.17	4.42%



#111 #14

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
DWS SMALL-CAP CORE FUND INSTITUTIONAL CLASS								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	596.8060	46.1850	27,563.64	50.4300	30,096.93	2,533.29	195.45	0.64%
EATON VANCE STRATEGIC INCOME FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	6,427.6830	6.9010	44,358.73	6.5800	42,294.15	-2,064.58	2,992.72	7.07%
EATON VANCE EMERGING AND FRONTIER COUNTRIES EQUITY FUND								
CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	978.0600	13.8190	13,515.75	14.2600	13,947.14	431.39	282.36	2.02%
FIDELITY ADVISOR INTERNATIONAL CAPITAL APPRECIATION FUND								
CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average* ³	916.4310	23.7260	21,743.34	31.9000	29,234.15	7,490.81	204.36	0.69%
JOHN HANCOCK BOND FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
N/A	1,338.2470	N/A	N/A	13.3100	17,812.07	N/A	772.88	4.33%
CLEARBRIDGE SELECT FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	616.1490	41.7020	25,694.38	56.0900	34,559.80	8,865.42		
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS Y								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	4,495.8470	9.6110	43,211.49	9.7200	43,699.63	488.14	2,125.63	4.86%
MFS RESEARCH INTERNATIONAL FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	812.0040	25.8710	21,007.13	23.2700	18,895.33	-2,111.80	377.49	1.99%
PIMCO LONG-TERM U.S. GOVERNMENT FUND CLASS I2								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	2,519.3400	13.8700	34,942.42	13.6800	34,464.57	-477.85	1,127.82	3.27%





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 December 1, 2024 - December 31, 2024
 IRA FBO PAULA ELAINE DRAPKIN

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
PIMCO INCOME FUND CLASS I2 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest Average*								
11/23/23*	1,923.9750	10.6350	20,460.75	10.5200	20,240.22	-220.53	1,249.48	6.17%
PGIM TOTAL RETURN BOND FUND CLASS Z Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest Average*								
11/23/23*	2,685.1190	11.8960	31,942.82	11.8200	31,738.11	-204.71	1,519.55	4.78%
PUTNAM LARGE-CAP VALUE FUND CLASS Y Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest Average*								
11/23/23*	1,249.4050	33.5420	41,907.62	34.4800	43,079.48	1,171.86	654.68	1.51%
TOTAL MUTUAL FUNDS			\$447,316.44		\$481,179.24	\$16,050.73	\$18,730.46	
Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EXCHANGE-TRADED PRODUCTS 11.00% of Portfolio								
FIRST TR EXCHANGE-TRADED FD VI RISING DIVID ACHIEVERS ETF Dividend Option: Cash; Capital Gains Option: Cash								
11/12/21*	372.0000	51.9010	19,307.28	59.1400	22,000.08	2,692.80	361.65	1.64%
01/18/22*	60.0000	52.0100	3,120.59	59.1400	3,548.40	427.81	58.33	1.64%
10/03/23*	121.0000	44.9300	5,436.57	59.1400	7,155.94	1,719.37	117.63	1.64%
08/20/24*	141.0000	56.9800	8,034.18	59.1400	8,338.74	304.56	137.08	1.64%
10/29/24*	42.0000	59.7640	2,510.09	59.1400	2,483.88	-26.21	40.84	1.64%
Total Noncovered	736.0000		38,408.71		43,527.04	5,118.33	715.53	
Total	736.0000		\$38,408.71		\$43,527.04	\$5,118.33		\$715.53
INVESCO QQQ TR UNIT SER 1 Dividend Option: Cash; Capital Gains Option: Cash								
11/12/21*	39.0000	395.3280	15,417.78	511.2300	19,937.97	4,520.19	111.01	0.55%
01/18/22*	9.0000	374.3460	3,369.11	511.2300	4,601.07	1,231.96	25.62	0.55%
Total Noncovered	48.0000		18,786.89		24,539.04	5,752.15		136.63
Total	48.0000		\$18,786.89		\$24,539.04	\$5,752.15		\$136.63
INVESCO EXCHANGE-TRADED FD TR S&P MIDCAP QUALITY ETF Dividend Option: Cash; Capital Gains Option: Cash								
08/20/24*	159.0000	98.7200	15,696.48	98.4700	15,656.73	-39.75	119.35	0.76%

#11, #14

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EXCHANGE-TRADED PRODUCTS (continued)								
TOTAL EXCHANGE-TRADED PRODUCTS			\$72,892.08		\$83,722.81	\$10,830.73		\$971.51
			Current Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings			\$652,471.07		\$775,876.88	\$105,593.74	\$0.00	\$21,350.77

* Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

³ The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

⁵ Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished





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Small World
Wealth Management

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Individual Retirement Account Statement

December 1, 2024 - December 31, 2024

IRA FBO PAULA ELAINE DRAPKIN
NM WEALTH MGMT CO AS CUSTODIAN


Your Financial Representative:
JOSEPH A SMALL
(845) 224-0015

Risk Tolerance:
BALANCED

Portfolio at a Glance

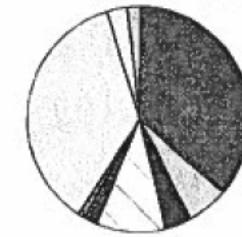
	This Period
BEGINNING ACCOUNT VALUE	\$148,803.23
Dividends, Interest and Other Income	546.84
Net Change in Portfolio¹	-4,850.37
ENDING ACCOUNT VALUE	\$144,499.70

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

The Bank Deposits in your account are not eligible for SIPC coverage.

Bank Deposit Sweep Products automatically deposit the available balance in your account into Federal Deposit Insurance Corporation (FDIC) member insured depository institutions (IDIs), where swept balances are eligible to receive pass through FDIC insurance coverage through those IDIs, subject to certain conditions. Balances in Bank Deposit Sweep Products are not considered securities and are not eligible for coverage by the Securities Investor Protection Corporation (SIPC).

Asset Classification

	This Period	% Allocation	
US Equity - Large Cap	51,308.27	36%	
US Equity - Mid Cap	8,754.67	6%	
US Equity - Small Cap	5,329.85	4%	
Int'l Developed Mkts	15,129.50	10%	
Int'l Emerging Mkts	2,876.26	2%	
Real Estate Securities	1,244.06	1%	
Fixed Income	52,278.84	36%	
Other	4,745.26	3%	
Cash/Cash Alternatives	2,832.99	2%	
Account Total (Pie Chart)	\$144,499.70	100%	

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Asset Classification (continued)

Account holdings are classified into certain asset classes based on their characteristics. The asset classes shown may vary based on whether the account is solely brokerage or is used with an investment advisory program. Asset classes are shown as a courtesy for information purposes and are not specific investment advice. Some holdings may not be classified. All asset classifications are subject to change. For a description of asset class characteristics, or should you have any related questions, contact your financial representative.

Asset classification information contained in this section is supplied by Morningstar, Inc. ©2024. All Rights Reserved. Information on asset classification (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information. Certain assets may be classified by NMIS and/or WMC instead of Morningstar.

Summary of Gains and Losses

	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	217.43	351.27	481.73
Long-Term Gain/Loss	965.93	8,871.02	13,810.49
Net Gain/Loss	1,183.36	9,222.29	14,292.22

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Representative:

JOSEPH A SMALL
10070 DANIELS INTRSTE CT
FORT MYERS FL 33913

Contact Information

Business: (845) 224-0015

Client Service Information

Web Site: WWW.NORTHWESTERNMUTUAL.COM

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Representative.

RETIREMENT INFORMATION

Year-end Fair Market Value (12/31/2024): \$144,499.70

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2024.





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December 1, 2024 - December 31, 2024
IRA FBO PAULA ELAINE DRAPKIN

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: Average Cost (Using First In First Out)

Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out

Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes

Accrual market discount method for all other bond types: Constant Yield Method

Include market discount in income annually: No

ELECTRONIC DELIVERY

Congratulations! All your documents are enrolled for electronic delivery.

Please log in to your account or contact your Financial Representative to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

[REDACTED] is on file for these documents

The above e-mail address is partially masked for your security.
Please log in to your account to review the full e-mail address.

Client Account Beneficiary Information

Beneficiary Name	Primary / Contingent	Relationship to Account Holder(s)	Allocation Percent
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Disclosures and Other Information

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Representative to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Retirement Account Transactions

Contributions	Tax Year - 2024		Tax Year - 2023	
	This Period	Year-to-Date	This Period	Year-to-Date
Rollover	0.00	1,732.61	0.00	0.00

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[REDACTED]

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Corporation (BNY Mellon)
Pershing LLC, member FINRA, NYSE, SIPC

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Retirement Account Transactions (continued)

	Tax Year - 2024		Tax Year - 2023	
	This Period	Year-to-Date	This Period	Year-to-Date
Total Contributions	\$0.00	\$1,732.61	\$0.00	\$0.00
Total Distributions	\$0.00	\$0.00	\$0.00	\$0.00

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 2.00% of Portfolio									
Cash Balance				0.00	48.58				
FDIC Eligible Bank Deposits									
NM FDIC INSURED DEPOSIT PROGRAM									
11/30/24	2,784.4100	N/A	12/31/24	2,749.33	2,784.41	3.51	79.40	N/A	N/A
Total FDIC Eligible Bank Deposits				\$2,749.33	\$2,784.41	\$3.51	\$79.40		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS				\$2,749.33	\$2,832.99	\$3.51	\$79.40		
Data Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield	
EQUITIES 18.00% of Portfolio									
Common Stocks									
ADVANCED MICRO DEVICES INC COM				Security Identifier: AMD CUSIP: 007903107					
Dividend Option: Cash				3,508.65	120.7900	3,502.91	-5.74		
07/14/23*	29.0000	120.9880							
ALPHABET INC CAP STK CL C				Security Identifier: GOOG CUSIP: 02079K107					
Dividend Option: Cash				2,703.06	190.4400	3,427.92	724.86	14.40	0.42%
02/12/24*	18.0000	150.1700							
AMAZON COM INC COM				Security Identifier: AMZN CUSIP: 023135106					
Dividend Option: Cash				3,015.47	219.3900	3,729.63	714.16		
08/22/24*	17.0000	177.3810							
APPLE INC COM				Security Identifier: AAPL CUSIP: 037833100					
Dividend Option: Cash				2,484.27	250.4200	3,255.46	771.19	13.00	0.39%
07/14/23*	13.0000	191.0980							
MICROSOFT CORP COM				Security Identifier: MSFT CUSIP: 594918104					
Dividend Option: Cash				3,156.12	421.5000	3,793.50	637.38	29.88	0.78%
07/14/23*	9.0000	350.6800							
NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S. ADR				Security Identifier: NVO CUSIP: 670100205					
SAME CUSIP									
Dividend Option: Cash									
02/12/24*	27.0000	120.7250							
			3,259.57	86.0200	2,322.54	-937.03		27.69	1.19%



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 IRA FBO PAULA ELAINE DRAPKIN

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EQUITIES (continued)								
Common Stocks (continued)								
NOVO NORDISK A.S. ADR FORMERLY NOVO (continued)								
10/30/24*	4.0000	112.6880	450.75	86.0200	344.08	-106.67	4.10	1.19%
Total Noncovered	31.0000		3,710.32		2,666.62	-1,043.70		31.79
Total	31.0000		\$3,710.32		\$2,666.62	-\$1,043.70		\$31.79
NVIDIA CORP COM				Security Identifier: NVDA				
Dividend Option: Cash				CUSIP: 67066G104				
07/14/23*	42.0000	47.3130	1,987.13	134.2900	5,640.18	3,653.05	1.68	0.02%
Total Common Stocks			\$20,565.02		\$26,016.22	\$5,451.20		\$90.75
TOTAL EQUITIES			\$20,565.02		\$26,016.22	\$5,451.20		\$90.75
MUTUAL FUNDS 67.00% of Portfolio								
INVESCO CORPORATE BOND FUND CLASS Y				Security Identifier: ACCHX				
Open End Fund				CUSIP: 001421825				
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	1,685.5810	6.0650	10,222.87	6.1900	10,433.75	210.88	549.83	5.26%
BNY MELLON GLOBAL FIXED INCOME FUND CLASS I				Security Identifier: SDGIX				
Open End Fund				CUSIP: 05586X801				
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	163.8030	20.5200	3,361.24	20.1100	3,294.08	-67.16	85.29	2.58%
BLACKROCK TECHNOLOGY OPPORTUNITIES FUND INSTITUTIONAL CLASS				Security Identifier: BGSLX				
Open End Fund				CUSIP: 091929612				
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	81.1240	44.6780	3,624.49	73.0600	5,926.92	2,302.43		
BLACKROCK GLOBAL EQUITY MARKET NEUTRAL FUND INSTITUTIONAL CLASS				Security Identifier: BDMMX				
Open End Fund				CUSIP: 091936526				
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	351.5010	13.6200	4,787.45	13.5000	4,745.26	-42.19	629.08	13.25%



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Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
COHEN & STEERS REALTY SHARES FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	18.9240	71.5430	1,353.88	65.7400	1,244.06	-109.82	34.53	2.77%
COLUMBIA CORPORATE INCOME FUND INSTITUTIONAL CLASS								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	766.6250	8.9960	6,896.34	9.0400	6,930.29	33.95	306.74	4.42%
DWS SMALL-CAP CORE FUND INSTITUTIONAL CLASS								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	105.6880	47.2180	4,990.38	50.4300	5,329.85	339.47	34.61	0.64%
EATON VANCE EMERGING AND FRONTIER COUNTRIES EQUITY FUND								
CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	201.7010	13.8840	2,800.47	14.2600	2,876.26	75.79	58.23	2.02%
FIDELITY ADVISOR INTERNATIONAL CAPITAL APPRECIATION FUND								
CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	220.0920	27.7430	6,105.98	31.9000	7,020.93	914.95	49.08	0.69%
CLEARBRIDGE SELECT FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	80.5930	45.7560	3,687.62	56.0900	4,520.46	832.84		
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS Y								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	896.6710	9.5860	8,595.86	9.7200	8,715.64	119.78	423.94	4.86%
MFS RESEARCH INTERNATIONAL FUND CLASS I								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	233.8610	23.1420	5,412.12	23.2700	5,441.95	29.83	108.71	1.99%
PIMCO LONG-TERM U.S. GOVERNMENT FUND CLASS I2								
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
Average*	664.6210	13.8670	9,216.49	13.6800	9,092.02	-124.47	297.52	3.27%





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December 1, 2024 - December 31, 2024
IRA FBO PAULA ELAINE DRAPKIN

Portfolio Holdings (continued)

Data Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
MUTUAL FUNDS (continued)								
PIMCO INCOME FUND CLASS I2 Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest Average*								
	552.0900	10.5670	5,833.95	10.5200	5,807.99	-25.96		
CUSIP: 72201M719 PGIM TOTAL RETURN BOND FUND CLASS Z Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest Average*								
	677.2480	11.8680	8,037.76	11.8200	8,005.07	-32.69		
CUSIP: 74440B405 PUTNAM LARGE-CAP VALUE FUND CLASS Y Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest Average*								
	207.3150	36.2750	7,520.31	34.4800	7,148.22	-372.09		
TOTAL MUTUAL FUNDS			\$92,447.21		\$96,532.75	\$4,085.54		\$3,427.99
Data Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
EXCHANGE-TRADED PRODUCTS 13.00% of Portfolio								
FIRST TR EXCHANGE-TRADED FD VI RISING DIVID ACHIEVERS ETF Dividend Option: Cash; Capital Gains Option: Cash 07/14/23*								
	122.0000	47.9720	5,852.64	59.1400	7,215.08	1,362.44		
CUSIP: 33738R506 INVESCO QQQ TR UNIT SER 1 Dividend Option: Cash; Capital Gains Option: Cash 06/17/22*								
	10.0000	274.5830	2,745.83	511.2300	5,112.30	2,366.47		
09/21/22* Total Noncovered Total								
	5.0000	292.6900	1,463.45	511.2300	2,556.15	1,092.70		
	15.0000		4,209.28		7,668.45	3,459.17		
	15.0000		\$4,209.28		\$7,668.45	\$3,459.17		\$42.69
CUSIP: 46090E103 INVESCO EXCHANGE-TRADED FD TR S&P MIDCAP QUALITY ETF Dividend Option: Cash; Capital Gains Option: Cash 08/22/24*								
	41.0000	99.9900	4,099.59	98.4700	4,037.27	-62.32		
CUSIP: 46137V472 10/30/24* Total Noncovered Total								
	2.0000	100.3750	200.75	98.4700	196.94	-3.81		
	43.0000		4,300.34		4,234.21	-66.13		32.27
	43.0000		\$4,300.34		\$4,234.21	-\$66.13		\$32.27
TOTAL EXCHANGE-TRADED PRODUCTS			\$14,362.26		\$19,117.74	\$4,755.48		\$193.56

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Portfolio Holdings (continued)

	Current Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	\$130,207.48	\$144,499.70	\$14,292.22	\$0.00	\$3,791.70

* Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. In some cases the pricing vendor may provide prices quoted by a single broker or market maker. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar



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Small World
Wealth Management

#11, #16

ROTH Individual Retirement
Account Statement

IRA FBO PAULA ELAINE DRAPKIN
NM WEALTH MGMT CO AS CUSTODIAN
ROTH ACCOUNT


October 1, 2024 - December 31, 2024


Portfolio at a Glance

Your Financial Representative:
JOSEPH A SMALL
(845) 224-0015

Risk Tolerance:
BALANCED

	This Period
BEGINNING ACCOUNT VALUE	\$11,592.16
Dividends, Interest and Other Income	124.53
Net Change in Portfolio¹	-85.74
ENDING ACCOUNT VALUE	\$11,630.95

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

The Bank Deposits in your account are not eligible for SIPC coverage.

Bank Deposit Sweep Products automatically deposit the available balance in your account into Federal Deposit Insurance Corporation (FDIC) member insured depository institutions (IDIs), where swept balances are eligible to receive pass through FDIC insurance coverage through those IDIs, subject to certain conditions. Balances in Bank Deposit Sweep Products are not considered securities and are not eligible for coverage by the Securities Investor Protection Corporation (SIPC).

Asset Classification

US Equity - Large Cap	
US Equity - Mid Cap	
Int'l Developed Mkts	
Fixed Income	
Cash/Cash Alternatives	
Account Total (Pie Chart)	

	This Period	% Allocation
5,582.35	48%	
1,046.69	9%	
930.39	8%	
3,372.67	29%	
698.85	6%	
\$11,630.95	100%	



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Asset Classification (continued)

Account holdings are classified into certain asset classes based on their characteristics. The asset classes shown may vary based on whether the account is solely brokerage or is used with an investment advisory program. Asset classes are shown as a courtesy for information purposes and are not specific investment advice. Some holdings may not be classified. All asset classifications are subject to change. For a description of asset class characteristics, or should you have any related questions, contact your financial representative.

Asset classification information contained in this section is supplied by Morningstar, Inc. ©2024. All Rights Reserved. Information on asset classification (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information. Certain assets may be classified by NMIS and/or WMC instead of Morningstar.

Summary of Gains and Losses

	Realized	Year-to-Date	Unrealized
Short-Term Gain/Loss	0.00	0.00	10.86
Long-Term Gain/Loss	0.00	0.00	153.30
Net Gain/Loss	0.00	0.00	164.16

This summary excludes transactions where cost basis information is not available.

Client Service Information

Your Financial Representative:

JOSEPH A SMALL
10070 DANIELS INTRSTE CT
FORT MYERS FL 33913

Contact Information

Business: (845) 224-0015

Client Service Information

Web Site: WWW.NORTHWESTERNMUTUAL.COM

Supporting Representative(s):

CLIENT SERVICE CTR

Your Account Information

INVESTMENT OBJECTIVE

Investment Objective: GROWTH

Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Representative.

RETIREMENT INFORMATION

Year-end Fair Market Value (12/31/2024): \$11,630.95

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2024.





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October 1, 2024 - December 31, 2024
IRA FBO PAULA ELAINE DRAPKIN

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: Average Cost (Using First In First Out)

Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out

Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes

Accrual market discount method for all other bond types: Constant Yield Method

Include market discount in income annually: No

ELECTRONIC DELIVERY

Congratulations! All your documents are enrolled for electronic delivery.

Please log in to your account or contact your Financial Representative to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

[REDACTED] is on file for these documents

The above e-mail address is partially masked for your security.
Please log in to your account to review the full e-mail address.

Client Account Beneficiary Information

Beneficiary Name:

Primary/Beneficiary:

The beneficiary information displayed is a summary of information you have provided and should accurately reflect the most recent beneficiary information received. An agreement you executed to designate any beneficiaries displayed controls distribution to such parties. If differences exist between information here, and the signed beneficiary agreement, the agreement will control. Please contact your Financial Representative to update your beneficiary information if necessary or to review more detailed information concerning your designations.

Retirement Account Transactions

	Tax Year - 2024		Tax Year - 2023	
	This Period	Year-to-Date	This Period	Year-to-Date
Total Contributions	\$0.00	\$0.00	\$0.00	\$0.00

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#11 #11

Retirement Account Transactions (continued)

	Tax Year - 2024		Tax Year - 2023	
Total Distributions	This Period	Year-to-Date	This Period	Year-to-Date
	\$0.00	\$0.00	\$0.00	\$0.00

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 1.00% of Portfolio									
FDIC Eligible Bank Deposits									
NM FDIC INSURED DEPOSIT PROGRAM									
10/01/24	1.0600	N/A	12/31/24	1.06	1.06	0.00	0.00	N/A	N/A
Total FDIC Eligible Bank Deposits				\$1.06	\$1.06	\$0.00	\$0.00		
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS									
				\$1.06	\$1.06	\$0.00	\$0.00		
Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield	
MUTUAL FUNDS 99.00% of Portfolio									
AMERICAN BALANCED FUND CLASS A									
Open End Fund				Security Identifier: ABALX CUSIP: 024071102					
Dividend Option: Reinvest; Capital Gains Option: Reinvest									
Average*	338,6690	33.8550	11,465.73	34.3400	11,629.89	164.16	149.01	1.28%	
TOTAL MUTUAL FUNDS			\$11,465.73		\$11,629.89	\$164.16		\$149.01	
			Current Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	
Total Portfolio Holdings			\$11,466.79		\$11,630.95	\$164.16	\$0.00	\$149.01	

* Noncovered under the cost basis rules as defined below.

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Portfolio Holdings Disclosures

Pricing
 This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market

