

*Rec'd by VCB  
5/14/25*

## LEGISLATIVE ETHICS COMMISSION

### STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING-BOX 75-ALBANY, NY 12247  
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING-SUITE 1431-ALBANY, NY 12210  
PHONE: (518) 432-7837  
SENATE EXT: 2142 ASSEMBLY EXT: 5218

## RECEIVED

### ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2024

MAY 14 2025

BY

LEG. ETHICS COMM.

1. Name Jo Anne Simon
2. (a) Title of Position Member  
(b) Department, Agency or other Governmental Entity Assembly  
(c) Address of Present Office 341 Smith Street, Brooklyn, NY 11231  
(d) Office Telephone Number 718-246-4889
3. (a) Marital Status married. If married, please give spouse's full name.  
William L. Harris  
(b) Full name of domestic partner (if applicable).  
N/A  
(c) List the names of all unemancipated children.  
N/A

Answer each of the following questions completely, with respect to calendar year 2024, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
Article 81 Guardian	Court Appointed NYS DSS Adult Protect Svcs	
Trustee	Gerald W Arthur Living Trust	N/A
Trustee	Dennis Johnson Supplemental Needs Trust	N/A
General Partner	Simon-Harris Ltd Partnership	N/A
Co-Trustee	The Mohbat Foundation	N/A

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse, domestic partner or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Organization	State or Local Agency
General Partner	Simon-Harrie Ltd Partnership	N/A

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
<u>President Jo Anne Simon, PC Law practice NYS Sup. Ct, App Div</u>			

- (b) If the spouse, domestic partner or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
<u>Not Applicable</u>			

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse, domestic partner or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse, domestic partner or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed

with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse, Domestic Partner or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
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None

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7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

Kings Cty Democratic County Committee, AD52, ED31

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8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

I am an attorney licensed by NY and NJ and President of Jo Anne Simon, PC, concentrating on disability civil rights (anti-discrimination) in high stakes testing and higher education.  
I am in the process of winding down my practice.

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(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT

ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Nature of Services Provided
	<u>NOT APPLICABLE</u>

(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting

individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (In Table I)
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None

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(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (In Table I)
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None

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FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- \* REVIEWED DOCUMENTS AND CORRESPONDENCE;
- \* REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- \* PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- \* CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- \* PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);

- \* REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
- \* COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
- \* PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
- \* COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).

(ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided	Category of Amount (Table I)
N/A	

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure

of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics and lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics and lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days

of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who has directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of

court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics in lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics in lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court

administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Description of Matter	Category of Amount (In Table 1)
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none

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(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse or domestic partner had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

none

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9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse, domestic partner or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Self, Spouse, Domestic Partner Or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
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none

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10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

Source	Description
none	

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Identity	Category of Value* (In Table II)
see attached documents	

\* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

none


(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

none

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse or domestic partner for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse or Domestic Partner	Source	Nature	Category of Amount (In Table I)
Self	Jo Anne Simon, PC	Profit	E
Self & Spouse	tenants - 393 Pacific	Profit	G
See attached documents			

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
none	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
none		

16. List below the type and market value of securities held by the reporting individual or such individual's spouse or domestic partner from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such

individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse or domestic partner holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse or domestic partner.

Self/ Spouse Issuing Entity or Domestic Partner	Type of Security	Percentage of corporate stock owned or controlled (if more than 5% of publicly traded stock, or more than 10% if stock not publicly traded, is held)	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
<u>see attached account statements</u>			

16-a. List below the name and market value of digital assets held by the reporting individual or such individual's spouse or domestic partner in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing. Whenever an interest in digital assets exists through a beneficial interest in a trust, the digital assets held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such digital assets shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. The digital assets of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domestic partner has no beneficial interest shall not be listed. Also list digital assets owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. For purposes of this subdivision, the following terms shall have the following meanings:

(a) "Digital asset" shall mean an asset that is issued, transferred, or both, using distributed ledger or blockchain technology, including, but not limited to, digital currencies, digital coins, digital non-fungible tokens or other similar assets.

(b) "Digital currency" shall mean any type of digital unit that is used as a medium of exchange or a form of digitally stored value. Virtual currency shall be broadly construed to include digital units of exchange that: (i) have a centralized repository or administrator; (ii) are decentralized and have no centralized repository or administrator; or (iii) may be created or obtained by computing, manufacturing, or other similar effort.

(c) "Distributed ledger or blockchain technology" shall mean a ledger or database that stores shared state by maintaining it across a multiplicity of devices belonging to different entities and securing it through a combination of cryptographic and consensus protocols, where the shared state serves to authenticate, record, share, and/or synchronize transactions involving digital assets or virtual currencies.

Self/ Spouse or Domestic Partner	Type of Digital Asset	Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
none		

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse or domestic partner. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse or domestic partner. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse or domestic partner, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Domestic Partner/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	Category of Market Value In Table II)
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none

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18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Name of Debtor	Type of Obligation, Date Due, and Nature of Collateral, if any	Category of Amount (In Table II)
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Frank Hoornbeck	loan by spouse	H
Robert Dunlop	loan by spouse	G

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19. List below all liabilities of the reporting individual and such individual's spouse or domestic partner, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or

domestic partner or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse or domestic partner has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse or domestic partner. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
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none

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

[Redacted]  
(Signature of Reporting Individual)

May 6, 2025  
Date (month/day/year)



**Janney Montgomery Scott Inc.**  
Member: NYSE • FINRA • SIPC

Account number: [REDACTED]

Investment Objective: Growth & Income/Moderate

*Your Financial Advisor*

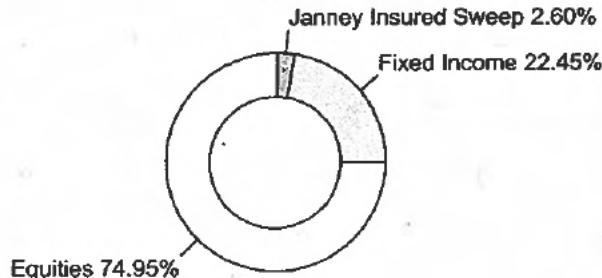
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN BFS8  
TO ANNE SIMON  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 11/30/24	As of 12/31/24
Janney Insured Sweep**	1,566.63	2,056.98
Equities - Stocks and Options	804.00	792.16
Equities - Mutual Funds, ETFs, UITs	61,627.31	59,651.98
Fixed Income - Mutual Funds, ETFs, UITs	18,572.86	18,094.34
<b>TOTAL ACCOUNT VALUE</b>	<b>82,570.80</b>	<b>80,595.46</b>

**ACCOUNT ALLOCATION**



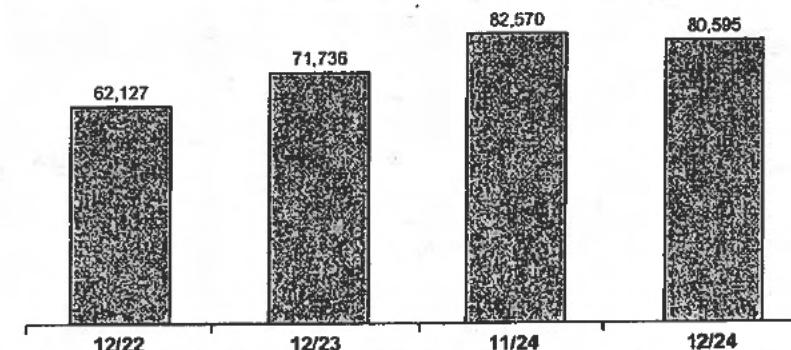
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	487.96	1,641.98
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	2.39	33.11
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>490.35</b>	<b>1,675.09</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

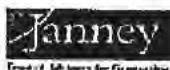
**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	(44,001.35)
Securities Sold	0.00	43,839.05
Net Income (Expense)	490.35	521.13
Other Activity	0.00	0.37
Money Market Summary	0.00	0.00
<b>Janney Insured Sweep Activity**</b>	<b>(490.35)</b>	<b>(359.20)</b>
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

December 1 - December 31, 2024

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

Page 2 of 9

### PORTFOLIO DETAILS

#### JANNEY INSURED SWEEP\*\*

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				2,056.98		N/A	2,056.98				1.62%	2.6%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>2,056.98</b>					<b>2.6%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES GOLD TRUST	IAU	16	c11/8/24	812.64	50.7900	49.5100	792.16	(20.48) ST				1.0%
<b>TOTAL EQUITIES - STOCKS &amp; OPTIONS</b>				<b>812.64</b>			<b>792.16</b>	<b>(20.48)</b>				<b>1.0%</b>

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
BLACKROCK ISHARES U.S. EQUITY FACTOR ROTATION ACTIVE ETF	DYNF	62	c1/25/24	2,540.76	40.9800	51.2500	3,177.50	636.74 ST		18.56	0.58%	
		64	c3/14/24	2,798.08	43.7200	51.2500	3,280.00	481.92 ST		19.15	0.58%	
		126		5,338.84			6,457.50	1,118.66		37.71	0.58%	8.0%
ISHARES CORE S&P 500 ETF	IVV	18	c11/12/19	5,599.25	311.0694	588.6800	10,596.24	4,996.99 LT		133.85	1.26%	
		1	c3/12/20	253.99	253.9900	588.6800	588.68	334.69 LT		7.44	1.26%	
		1	c3/12/20	284.52	284.5200	588.6800	588.68	304.16 LT		7.44	1.26%	
		2	c7/20/23	913.50	456.7500	588.6800	1,177.36	263.86 LT		14.87	1.26%	
		2	c10/19/23	862.12	431.0600	588.6800	1,177.36	315.24 LT		14.87	1.26%	
		3	c1/25/24	1,466.54	488.8466	588.6800	1,766.04	299.50 ST		22.30	1.26%	
		27		9,379.92			15,894.36	6,514.44		200.77	1.26%	19.7%
ISHARES TECHNOLOGY ETF	IYW	8	c10/20/22	598.56	74.8200	159.5200	1,276.16	677.60 LT		2.68	0.21%	
		3	c10/19/23	323.64	107.8800	159.5200	478.56	154.92 LT		1.00	0.21%	
		4	c3/14/24	538.28	134.5700	159.5200	638.08	99.80 ST		1.34	0.21%	
		15		1,460.48			2,392.80	932.32		5.02	0.21%	3.0%



Janney Montgomery Scott LLC

Member: NASD • FINRA • SIPC

## Client Account Summary

December 1 - December 31, 2024

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

Page 3 of 9

## PORTFOLIO DETAILS

## EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES MSCI USA QUALITY FACTOR ETF	QUAL	24	c3/17/23	2,859.24	119.1350	178.0800	4,273.92	1,414.68	LT		43.41	1.01%	
		13	c3/14/24	2,119.65	163.0500	178.0800	2,315.04	195.39	ST		23.51	1.01%	
		37		4,978.89			6,588.96	1,610.07			66.92	1.01%	8.2%
ISHARES MSCI USA MOMENTUM FACTOR ETF	MTUM	10	c11/8/24	2,133.40	213.3400	206.9200	2,069.20	(64.20)	ST		11.59	0.56%	2.6%
ISHARES MSCI EMERGING MARKETS EX CHINA ETF	EMXC	13	c10/19/23	642.46	49.4200	55.4500	720.85	78.39	LT		14.67	2.03%	
		20	c3/14/24	1,146.00	57.3000	55.4500	1,109.00	(37.00)	ST		22.56	2.03%	
		14	c9/5/24	833.00	59.5000	55.4500	776.30	(56.70)	ST		15.79	2.03%	
		47		2,621.46			2,606.15	(15.31)			53.02	2.03%	3.2%
ISHARES CORE MSCI EMERGING MARKETS ETF	IEMG	8	c10/19/23	374.16	46.7700	52.2200	417.76	43.60	LT		11.89	2.84%	
		14	c6/6/24	747.88	53.4200	52.2200	731.08	(16.80)	ST		20.82	2.84%	
		22		1,122.04			1,148.84	26.80			32.71	2.84%	1.4%
ISHARES MSCI EAFE VALUE ETF	EFV	15	c10/20/22	595.56	39.7040	52.4700	787.05	191.49	LT		37.40	4.75%	
		19	c7/20/23	964.08	50.7410	52.4700	996.93	32.85	LT		47.37	4.75%	
		71	c9/5/24	3,999.43	56.3300	52.4700	3,725.37	(274.06)	ST		177.01	4.75%	
		105		5,559.07			5,509.35	(49.72)			261.78	4.75%	6.8%
ISHARES MSCI EAFE GROWTH ETF	EFG	19	c4/9/20	1,410.45	74.2342	96.8300	1,839.77	429.32	LT		29.36	1.59%	
		5	c7/21/22	417.72	83.5440	96.8300	484.15	66.43	LT		7.73	1.59%	
		2	c3/17/23	175.86	87.9300	96.8300	193.66	17.80	LT		3.09	1.59%	
		6	c1/25/24	577.74	96.2900	96.8300	580.98	3.24	ST		9.27	1.59%	
		14	c6/6/24	1,485.40	106.1000	96.8300	1,355.62	(129.78)	ST		21.64	1.59%	
		11	c11/8/24	1,112.65	101.1500	96.8300	1,065.13	(47.52)	ST		17.00	1.59%	
		57		5,179.82			5,519.31	339.49			68.09	1.59%	6.8%
ISHARES S&P 500 GROWTH ETF	IVW	54	c3/14/24	4,517.64	83.6600	101.5300	5,482.62	964.98	ST		27.81	0.50%	
		15	c6/6/24	1,333.50	88.9000	101.5300	1,522.95	189.45	ST		7.72	0.50%	
		69		5,851.14			7,005.57	1,154.43			35.53	0.50%	8.7%
ISHARES S&P 500	IVE	19	c1/25/24	3,292.70	173.3000	190.8800	3,626.72	334.02	ST		68.81	1.89%	4.5%



## Client Account Summary

December 1 - December 31, 2024

Page 4 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VALUE ETF												
ISHARES TRUST US INFRASTRUCTURE ETF	IFRA	18	05/18/22	676.44	37.5800	46.2900	833.22	156.78	LT	14.68	1.76%	1.0%
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITs</b>				<b>47,594.20</b>			<b>59,651.98</b>	<b>12,057.78</b>		<b>876.63</b>	<b>1.46%</b>	<b>74.0%</b>

#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
BLACKROCK ISHARES FLEXIBLE INCOME ACTIVE ETF	BINC	21	03/14/24	1,096.62	52.2200	52.0200	1,092.42	(4.20)	ST	67.04	6.13%	
		16	09/5/24	848.64	53.0400	52.0200	832.32	(16.32)	ST	51.07	6.13%	
		37		<b>1,945.26</b>			<b>1,924.74</b>	<b>(20.52)</b>		<b>118.11</b>	<b>6.13%</b>	<b>2.4%</b>
ISHARES 20+ YR TREASURY BOND ETF	TLT	13	07/20/23	1,320.60	101.5846	87.3300	1,135.28	(185.31)	LT	44.25	3.89%	
		3	010/19/23	252.45	84.1500	87.3300	261.99	9.54	LT	10.21	3.89%	
		16		<b>1,573.05</b>			<b>1,397.28</b>	<b>(175.77)</b>		<b>54.48</b>	<b>3.89%</b>	<b>1.7%</b>
ISHARES MBS ETF	MBB	26	010/20/22	2,311.92	88.9200	91.6800	2,383.68	71.76	LT	86.16	3.61%	
		3	03/14/24	275.37	91.7900	91.6800	275.04	(0.33)	ST	9.94	3.61%	
		29		<b>2,587.29</b>			<b>2,658.72</b>	<b>71.43</b>		<b>96.10</b>	<b>3.61%</b>	<b>3.3%</b>
ISHARES CORE TOTAL USD BOND MARKET ETF	IUSB	89	01/14/21	4,836.26	54.3400	45.2000	4,022.80	(813.46)	LT	148.01	3.67%	
		16	03/23/21	844.32	52.7700	45.2000	723.20	(121.12)	LT	26.61	3.67%	
		17	05/26/21	904.57	53.2100	45.2000	768.40	(136.17)	LT	28.27	3.67%	
		15	07/23/21	807.45	53.8300	45.2000	678.00	(129.45)	LT	24.94	3.67%	
		8	010/21/21	422.72	52.8400	45.2000	361.60	(61.12)	LT	13.30	3.67%	
		5	01/27/22	259.15	51.8300	45.2000	226.00	(33.15)	LT	8.32	3.67%	
		20	010/20/22	868.80	43.4400	45.2000	904.00	35.20	LT	33.26	3.67%	
		22	01/11/23	1,012.00	46.0000	45.2000	994.40	(17.60)	LT	36.58	3.67%	
		8	07/20/23	362.88	45.3600	45.2000	361.60	(1.28)	LT	13.30	3.67%	
		55	01/25/24	2,506.35	45.5700	45.2000	2,486.00	(20.35)	ST	91.47	3.67%	
		13	03/14/24	588.12	45.2400	45.2000	587.60	(0.52)	ST	21.62	3.67%	
		268		<b>13,412.62</b>			<b>12,113.60</b>	<b>(1,299.02)</b>		<b>445.68</b>	<b>3.67%</b>	<b>15.0%</b>



Janney Montgomery Scott  
Member: NYSE • FINRA • SIPC

## Client Account Summary

December 1 - December 31, 2024

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

Page 5 of 9

### PORTFOLIO DETAILS

#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
<b>TOTAL FIXED INCOME - MUTUAL FUNDS, ETFs &amp; UITs</b>				<b>19,518.22</b>			<b>18,094.34</b>	<b>(1,423.88)</b>			<b>714.35</b>	<b>3.94%</b>	<b>22.5%</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>69,982.04</b>			<b>80,595.46</b>	<b>10,613.42</b>			<b>1,590.98</b>	<b>1.97%</b>	<b>100.0%</b>

### CASH ACTIVITY DETAILS

#### INCOME AND EXPENSE

##### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/05	DIVIDEND	BINC	BLACKROCK ISHARES FLEXIBLE INCOME ACTIVE ETF 120524 37	8.79	CASH
12/05	DIVIDEND	TLT	ISHARES 20+ YR TREASURY BOND ETF 120524 16	5.20	CASH
12/05	DIVIDEND	MBB	ISHARES MBS ETF 120524 29	8.91	CASH
12/05	DIVIDEND	IUSB	ISHARES CORE TOTAL USD BOND MARKET ETF 120524 268	42.68	CASH
12/20	DIVIDEND	DYNF	BLACKROCK ISHARES U S EQUITY FACTOR ROTATION ACTIVE ETF 122024 126	15.82	CASH
12/20	DIVIDEND	MTUM	ISHARES MSCI USA MOMENTUM FACTOR ETF 122024 10	7.48	CASH
12/20	DIVIDEND	IEMG	ISHARES CORE MSCI EMERGING MARKETS ETF 122024 22	25.58	CASH



## Client Account Summary

December 1 - December 31, 2024

Page 6 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### INCOME AND EXPENSE - Continued

#### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/20	DIVIDEND	QUAL	ISHARES MSCI USA QUALITY FACTOR ETF 122024 37	20.26	CASH
12/20	DIVIDEND	IVV	ISHARES CORE S&P 500 ETF 122024 27	57.62	CASH
12/20	DIVIDEND	IYW	ISHARES TECHNOLOGY ETF 122024 15	0.99	CASH
12/20	DIVIDEND	EFV	ISHARES MSCI EAFE VALUE ETF 122024 105	95.72	CASH
12/20	DIVIDEND	EFG	ISHARES MSCI EAFE GROWTH ETF 122024 57	39.61	CASH
12/20	DIVIDEND	IVE	ISHARES S&P 500 VALUE ETF 122024 19	20.22	CASH
12/20	DIVIDEND	IVW	ISHARES S&P 500 GROWTH ETF 122024 69	9.20	CASH
12/20	DIVIDEND	EMXC	ISHARES MSCI EMERGING MARKETS EX CHINA ETF 122024 47	47.00	CASH
12/20	DIVIDEND	IFRA	ISHARES TRUST US INFRASTRUCTURE ETF 122024 18	4.09	CASH
12/23	DIVIDEND	BINC	BLACKROCK ISHARES FLEXIBLE INCOME ACTIVE ETF 122324 37	21.61	CASH
12/23	DIVIDEND	TLT	ISHARES 20+ YR TREASURY BOND ETF 122324 16	5.62	CASH
12/23	DIVIDEND	MBB	ISHARES MBS ETF 122324 29	8.54	CASH
12/23	DIVIDEND	IUSB	ISHARES CORE TOTAL USD BOND MARKET ETF 122324 268	43.02	CASH



**Client Account Summary**  
December 1 - December 31, 2024

Page 7 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE - Continued**

**Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/31	INTEREST	09999410	JANNEY INSURED SWEEP 123124 2,056	2.39	CASH
<b>TOTAL INCOME</b>					<b>490.35</b>
<b>NET INCOME (EXPENSE)</b>					<b>490.35</b>

**JANNEY INSURED SWEEP\*\* ACTIVITY**

Date	Transaction Type	Description	Amount
12/06/2024	PURCHASE	JANNEY INSURED SWEEP	(\$65.58)
12/23/2024	PURCHASE	JANNEY INSURED SWEEP	(\$343.59)
12/24/2024	PURCHASE	JANNEY INSURED SWEEP	(\$78.79)
12/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$2.39)
<b>TOTAL JANNEY INSURED SWEEP</b>			<b>\$2,856.98</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

**REALIZED GAIN (LOSS) INFORMATION**

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2021/01/21	2024/01/25	6	USMV	ISHARES	461.54	477.23	15.69	LONG
2022/07/21	2024/01/25	4	USMV	ISHARES	284.68	318.16	33.48	LONG
2023/01/11	2024/01/25	3	USMV	ISHARES	219.93	238.62	18.69	LONG
2020/04/09	2024/01/25	8	ESGU	ISHARES	500.44	857.19	356.75	LONG
2023/01/11	2024/01/25	12	IEMG	ISHARES CORE MSCI	600.36	585.95	(14.41)	LONG
2023/03/17	2024/01/25	16	QUAL	ISHARES	1,906.17	2,423.50	517.33	SHORT
2023/10/19	2024/01/25	8	OEF	ISHARES S&P 100	1,620.46	1,848.94	228.48	SHORT
2022/10/20	2024/01/25	2	IYW	ISHARES	149.64	262.17	112.53	LONG
2023/07/20	2024/01/25	4	TLT	ISHARES	406.34	374.59	(31.75)	SHORT
2023/07/20	2024/01/25	10	LQD	ISHARES IBOXX	1,076.40	1,091.18	14.78	SHORT
2023/10/19	2024/01/25	3	LQD	ISHARES IBOXX	296.61	327.36	30.75	SHORT
2023/07/20	2024/01/25	19	IVV	ISHARES S&P 500	1,367.62	1,494.14	126.52	SHORT
2023/07/20	2024/01/25	12	TFLO	ISHARES	607.38	607.85	0.47	SHORT
2020/03/12	2024/03/14	4	ESGU	ISHARES	225.65	452.31	226.66	LONG
2020/04/09	2024/03/14	16	ESGU	ISHARES	1,000.88	1,809.27	808.39	LONG
2023/01/11	2024/03/14	22	IEMG	ISHARES CORE MSCI	1,100.66	1,133.43	32.77	LONG
2021/10/21	2024/03/14	3	IVV	ISHARES	1,361.40	1,551.09	189.69	LONG



## Client Account Summary

December 1 - December 31, 2024

Page 8 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
01/27/22	03/14/24	5	IVV	ISHARES	2,180.84	2,585.12	404.28	LONG
03/11/22	03/14/24	4	IVV	ISHARES	1,703.52	2,068.10	364.58	LONG
07/21/22	03/14/24	2	IVV	ISHARES	791.98	1,034.05	242.07	LONG
01/14/21	03/14/24	32	EFV	ISHARES MSCI EAFE	1,590.31	1,897.26	106.95	LONG
07/21/22	03/14/24	5	EFG	ISHARES MSCI EAFE	417.73	518.64	100.91	LONG
07/20/23	03/14/24	36	TFLO	ISHARES	1,822.14	1,821.27	(0.87)	SHORT
11/12/19	06/06/24	3	IVV	ISHARES	933.21	1,610.08	676.87	LONG
11/05/20	06/06/24	3	IVV	ISHARES	1,058.08	1,610.08	552.00	LONG
07/21/22	06/06/24	1	IVV	ISHARES	395.99	536.70	140.71	LONG
10/20/22	06/06/24	2	IYW	ISHARES	149.64	286.57	136.93	LONG
10/19/23	06/06/24	16	IXC	ISHARES GLOBAL	672.80	671.02	(1.78)	SHORT
01/14/21	06/06/24	29	EFV	ISHARES MSCI EAFE	1,441.21	1,626.56	185.35	LONG
03/14/24	06/06/24	12	HEFA	ISHARES	407.76	430.90	23.14	SHORT
01/25/24	06/06/24	9	IUSB	ISHARES	410.13	407.77	(2.36)	SHORT
03/14/24	09/05/24	5	DYNF	BLACKROCK	218.60	236.29	17.69	SHORT
01/11/23	09/05/24	7	IEMG	ISHARES CORE MSCI	350.21	373.92	23.71	LONG
06/06/24	09/05/24	14	IEMG	ISHARES CORE MSCI	747.88	747.86	(0.02)	SHORT
07/20/23	09/05/24	4	IVV	ISHARES	1,827.02	2,204.37	377.35	LONG
07/20/23	09/05/24	3	TLT	ISHARES	304.76	297.56	(7.20)	LONG
06/06/24	09/05/24	5	EFG	ISHARES MSCI EAFE	530.50	516.48	(14.02)	SHORT
06/06/24	09/05/24	13	IWV	ISHARES S&P 500	1,155.70	1,169.44	13.74	SHORT
03/14/24	09/05/24	32	HEFA	ISHARES	1,087.36	1,103.64	16.28	SHORT
03/14/24	11/08/24	7	DYNF	BLACKROCK ISHARES	306.04	366.15	60.11	SHORT
07/20/23	11/08/24	1	IVV	ISHARES	456.76	600.73	143.97	LONG
10/19/23	11/08/24	3	IYW	ISHARES	323.64	482.02	158.38	LONG
06/06/24	11/08/24	5	IVW	ISHARES S&P 500	444.50	505.23	60.73	SHORT
01/14/21	11/08/24	13	IUSB	ISHARES	708.42	596.62	(109.80)	LONG
09/05/24	11/08/24	41	IUSB	ISHARES	1,922.49	1,881.64	(40.85)	SHORT
<b>TOTAL REALIZED GAIN (LOSS)</b>					<b>37,543.38</b>	<b>43,839.05</b>	<b>6,295.67</b>	
<b>LONG TERM GAIN (LOSS)</b>					<b>20,536.50</b>	<b>25,813.80</b>	<b>5,277.30</b>	
<b>SHORT TERM GAIN (LOSS)</b>					<b>17,006.88</b>	<b>18,025.25</b>	<b>1,018.37</b>	

### JANNEY INSURED SWEEP\*\* - Deposit Allocation

Description	Current Value
US Bank National Association	\$2,056.98
<b>TOTAL JANNEY INSURED SWEEP</b>	<b>\$2,056.98</b>



Janney Montgomery Scott Inc.  
Member NYSE • FINRA • SIPC

## Client Account Summary

December 1 - December 31, 2024

Page 9 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### JANNEY INSURED SWEEP\*\* - Deposit Allocation - Continued

Description	Current Value
**Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to <a href="http://www.janney.com/cash">www.janney.com/cash</a> . The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.	

## ***Client Household Summary***

December 1 - December 31, 2024

VNNNNN BFS8

JOANNE SIMON TTEE  
JO ANNE SIMON, PC 401 (K)  
FBO JO ANNE SIMON  


### *Your Financial Advisor*

HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO  
2385 NW EXECUTIVE CENTER DRIVE  
SUITE 200  
BOCA RATON FL 33431  
914-269-2242  
[www.janney.com](http://www.janney.com)

### **FOR YOUR INFORMATION**

Enroll in eDelivery of your tax documents on Online Access, Janney's secure client portal, for easy access to your 2024 tax documents. In addition to added convenience, eDelivery reduces the amount of sensitive financial information sent via mail-helping to prevent fraud and identity theft. To enroll today, go to [myjanney.com](http://myjanney.com).

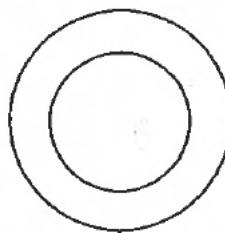


Janney Montgomery Scott LLC  
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## Client Household Summary

December 1 - December 31, 2024

### HOUSEHOLD ALLOCATION



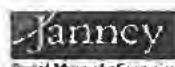
Annuity & Insurance 100.00%

### MARKET INDICES

	12/21	12/22	12/23	12/24
DJIA	36,338.30	33,147.25	37,689.54	42,544.22
S&P 500	4,766.18	3,839.50	4,769.83	5,881.63
NASDAQ	15,644.97	10,466.48	15,011.35	18,680.12
10 YR. T Bonds	1.51%	3.88%	3.87%	0.00%
Russell 1000	2,645.91	2,105.90	2,622.14	3,221.05
Russell 2000	2,245.31	1,761.25	2,027.07	2,230.16

### HOUSEHOLD SUMMARY

Account Number	Account Name	Account Type	Account Value 9/30/24	Account Value 12/31/24	Income Current Period	Income Year-to-Date
[REDACTED]	JOANNE SIMON TTEE	ERISA	\$153,921.02	\$151,832.81	\$0.00	\$0.00



Account number: [REDACTED]

Investment Objective: Growth & Income/Aggressive

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HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

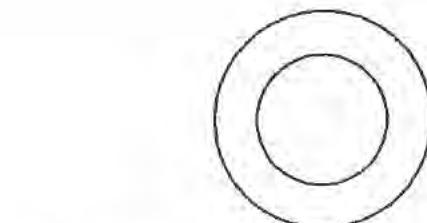
YNNNNN BFB  
JOANNE SIMON TTEE  
JO ANNE SIMON, PC 401 (K)  
EBO JO ANNE SIMON  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 9/30/24	As of 12/31/24
Janney Insured Sweep**	0.58	0.58
Annuity & Insurance	153,920.44	151,832.23
<b>TOTAL ACCOUNT VALUE*</b>	<b>153,921.02</b>	<b>151,832.81</b>

\*Please refer to the Portfolio Details section.

**ACCOUNT ALLOCATION**



Annuity & Insurance 100.00%

**Client Account Summary**

October 1 - December 31, 2024

Page 1 of 3

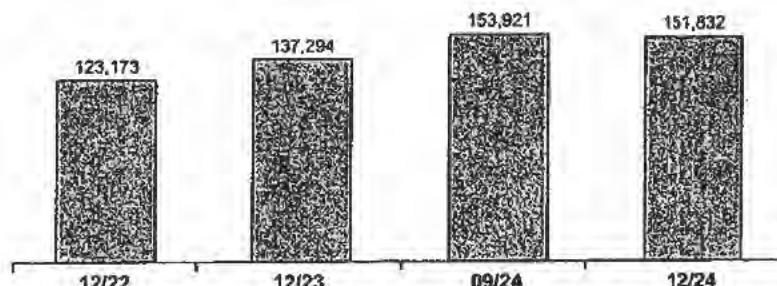
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	0.00	0.00
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>0.00</b>	<b>0.00</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	0.00	0.00
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



**Janney Montgomery Scott Inc.**  
Member: NASD • FINRA • SIPC

**Client Account Summary**  
October 1 - December 31, 2024

Page 2 of 3

JOANNE SIMON TTEE

ACCOUNT NUMBER [REDACTED]

**PORTFOLIO DETAILS**

**JANNEY INSURED SWEEP\*\***

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				0.58		N/A	0.58						
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>0.58</b>						

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

**ANNUITY & INSURANCE**

Description	Symbol/ CUSIP	Original Investment	Original Purchase Date	Current Value	% of Port.
NATIONWIDE LIFE INS CO*	63890A165	96,091	12/9/13	151,832.23	
DESTINATION B NY 2.0					100.0%
VAR ANNUITY					
CONTR# 017579916					
VALUE AS OF 12/30/24					

<b>TOTAL ANNUITY &amp; INSURANCE</b>	<b>151,832.23</b>	<b>100.0%</b>
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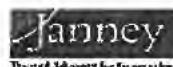
\*Annuity and Insurance assets are held at the issuing insurance carrier. Janney relies on these carriers to provide values for these assets. The timing, frequency and level of data provided varies by carrier. The accuracy of this information is not guaranteed by Janney. For more detailed and timely information about your annuity or insurance contract, including benefits, current value and surrender value, please refer to the issuing carrier's statement. Please contact your Janney Financial Advisor with any questions.

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
<b>TOTAL ACCOUNT VALUE</b>				<b>0.58</b>			<b>151,832.81</b>						<b>100.0%</b>

\*These assets are held at custodians other than Janney. These assets are not covered by Janney's SIPC coverage or excess SIPC protection. Where available, values are provided but may not be current because they are derived from external sources for which Janney is not responsible and are shown as a service only. The custodian of these assets is responsible for providing year-end tax reporting and separate periodic statements (some exceptions may apply to certain IRA accounts). The assets are not listed on an exchange, are generally illiquid and if you are able to sell the assets, the price received may be less than the per share estimated value provided in the account statement.

**JANNEY INSURED SWEEP\*\* - Deposit Allocation**

Description	Current Value
US Bank National Association	\$0.58
<b>TOTAL JANNEY INSURED SWEEP</b>	<b>\$0.58</b>



**Janney Montgomery Scott**  
Member NYSE • FINRA • SIPC

## **Client Account Summary**

October 1 - December 31, 2024

Page 3 of 3

JOANNE SIMON TTEE

ACCOUNT NUMBER: [REDACTED]

### **JANNEY INSURED SWEEP\*\* - Deposit Allocation - Continued**

Description	Current Value
**Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC Insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to <a href="http://www.janney.com/cash">www.janney.com/cash</a> . The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.	



**Janney Montgomery Scott LLC**  
Member: NYSE • FINRA • SIPC

Account number: [REDACTED]

Investment Objective: Growth & Income/Aggressive

*Your Financial Advisor*

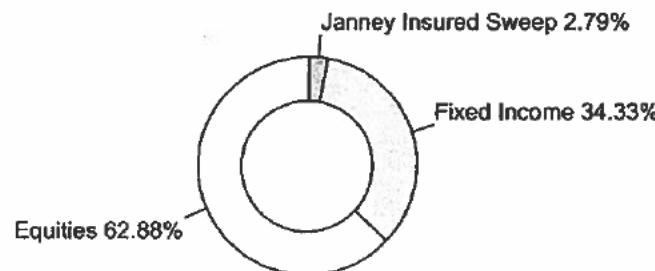
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN BFS8  
JO ANNE SIMON (SEP-IRA)  
TTCI CUST PRO  
[REDACTED]  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 11/30/24	As of 12/31/24
Janney Insured Sweep**	9,071.70	12,162.17
Equities - Mutual Funds, ETFs, UITs	290,516.59	278,733.04
Fixed Income - Mutual Funds, ETFs, UITs	156,176.78	152,113.88
<b>TOTAL ACCOUNT VALUE</b>	<b>455,765.07</b>	<b>443,009.09</b>

**ACCOUNT ALLOCATION**



**Client Account Summary**

December 1 - December 31, 2024

Page 1 of 10

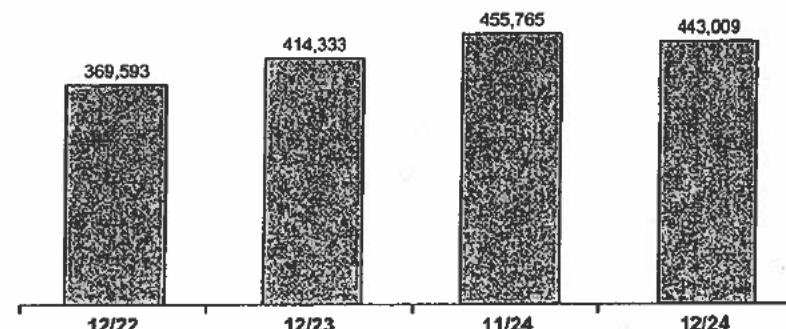
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	3,067.19	11,029.46
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	23.28	299.46
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>3,090.47</b>	<b>11,328.92</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	(133,176.16)
Securities Sold	0.00	129,814.00
Net Income (Expense)	3,090.47	4,408.87
Other Activity	0.00	2.03
Money Market Summary	0.00	0.00
<b>Janney Insured Sweep Activity**</b>	<b>(3,090.47)</b>	<b>(1,048.74)</b>
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

December 1 - December 31, 2024

Page 2 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### JANNEY INSURED SWEEP\*\*

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY ADVISORY RETIREMENT INSURED SWEEP				12,162.17		N/A	12,162.17			329.59	2.71%	2.8%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>12,162.17</b>			<b>329.59</b>	<b>2.70%</b>	<b>2.8%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF	XLY	6	c1/28/20	753.35	125.5583	224.3500	1,346.10	592.75 LT		9.02	0.67%	
		53	c12/7/20	8,332.62	157.2192	224.3500	11,690.55	3,557.93 LT		79.71	0.67%	
		9	c1/20/21	1,520.66	168.9622	224.3500	2,019.15	498.49 LT		13.54	0.67%	
		5	c5/19/22	718.55	143.7100	224.3500	1,121.75	403.20 LT		7.52	0.67%	
		12	c1/12/23	1,650.48	137.5400	224.3500	2,692.20	1,041.72 LT		18.05	0.67%	
		3	c2/9/24	537.78	179.2600	224.3500	673.05	135.27 ST		4.51	0.67%	
		6	c8/7/24	1,024.92	170.8200	224.3500	1,346.10	321.18 ST		9.02	0.67%	
		94		14,538.36			21,088.90	6,550.54		141.37	0.67%	4.8%
ISHARES U.S. BROKER-DEALERS & SECURITIES EXCHANGES ETF	IAI	69	c4/9/24	7,939.83	115.0700	144.1000	9,942.90	2,003.07 ST		104.60	1.05%	2.2%
ISHARES CORE MSCI EUROPE ETF	IEUR	73	c11/9/21	4,369.00	59.8493	53.9800	3,940.54	(428.46) LT		128.48	3.26%	
		32	c3/9/22	1,638.08	51.1900	53.9800	1,727.36	89.28 LT		56.32	3.26%	
		1	c8/16/22	47.54	47.5400	53.9800	53.98	6.44 LT		1.76	3.26%	
		1	c6/1/23	52.23	52.2300	53.9800	53.98	1.75 LT		1.76	3.26%	
		1	c10/12/23	50.03	50.0300	53.9800	53.98	3.95 LT		1.76	3.26%	
		5	c2/9/24	272.35	54.4700	53.9800	269.90	(2.45) ST		8.80	3.26%	
		4	c8/7/24	223.52	55.8800	53.9800	215.92	(7.60) ST		7.04	3.26%	
		10	c11/8/24	567.20	56.7200	53.9800	539.80	(27.40) ST		17.60	3.26%	
		127		7,219.95			6,855.46	(364.49)		223.52	3.26%	1.5%
ISHARES EXPANDED TECH SOFTWARE SECTOR ETF	IGV	50	c11/8/24	5,059.00	101.1800	100.1200	5,006.00	(53.00) ST				1.1%



**Janney Montgomery Scott Inc.**  
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## Client Account Summary

December 1 - December 31, 2024

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

Page 3 of 10

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
SECTOR CONSUMER STAPLES	XLP	45	c9/9/22	3,325.49	73.8997	78.6100	3,537.45	211.96	LT	94.95	2.68%	
SELECT SECTOR SPDR ETF		42	c11/2/22	3,037.44	72.3200	78.6100	3,301.62	264.18	LT	88.62	2.68%	
		45	c1/12/23	3,352.50	74.5000	78.6100	3,537.45	184.95	LT	94.95	2.68%	
		19	c6/1/23	1,379.00	72.5789	78.6100	1,493.59	114.59	LT	40.09	2.68%	
		19	c10/12/23	1,258.54	66.2389	78.6100	1,493.59	235.05	LT	40.09	2.68%	
		45	c8/7/24	3,536.10	78.5800	78.6100	3,537.45	1.35	ST	94.95	2.68%	
		34	c11/8/24	2,750.59	80.8997	78.6100	2,672.74	(77.85)	ST	71.74	2.68%	
		249		18,639.66			19,573.89	934.23		525.39	2.68%	4.4%
SECTOR FINANCIAL SELECT	XLF	320	c2/9/24	12,476.80	38.9900	48.3300	15,465.60	2,988.80	ST	212.48	1.37%	
SECTOR SPDR ETF		86	c4/9/24	3,568.14	41.4900	48.3300	4,156.38	588.24	ST	57.10	1.37%	
		203	c8/8/24	8,544.27	42.0900	48.3300	9,810.99	1,266.72	ST	134.79	1.37%	
		209	c11/8/24	10,312.06	49.3400	48.3300	10,100.97	(211.09)	ST	138.78	1.37%	
		818		34,901.27			39,533.94	4,632.67		543.15	1.37%	8.9%
SECTOR HEALTHCARE	XLV	1	c12/19/19	102.30	102.3000	137.5700	137.57	35.27	LT	2.27	1.65%	
SELECT SECTOR SPDR ETF		36	c3/2/20	3,396.24	94.3400	137.5700	4,952.52	1,556.28	LT	81.72	1.65%	
		16	c3/16/20	1,352.91	84.5568	137.5700	2,201.12	848.21	LT	36.32	1.65%	
		13	c1/20/21	1,535.80	118.1384	137.5700	1,788.41	252.61	LT	29.51	1.65%	
		1	c5/7/21	124.24	124.2400	137.5700	137.57	13.33	LT	2.27	1.65%	
		4	c11/9/21	532.19	133.0475	137.5700	550.28	18.09	LT	9.08	1.65%	
		56	c3/9/22	7,277.74	129.9596	137.5700	7,703.92	426.18	LT	127.12	1.65%	
		15	c5/19/22	1,912.65	127.5100	137.5700	2,063.55	150.90	LT	34.05	1.65%	
		31	c6/30/22	3,972.03	128.1300	137.5700	4,264.67	292.64	LT	70.37	1.65%	
		8	c8/16/22	1,071.68	133.9600	137.5700	1,100.56	28.88	LT	18.16	1.65%	
		25	c6/1/23	3,218.75	128.7500	137.5700	3,439.25	220.50	LT	56.75	1.65%	
		17	c10/12/23	2,210.67	130.0394	137.5700	2,338.69	128.02	LT	38.59	1.65%	
		9	c2/9/24	1,293.56	143.7288	137.5700	1,238.13	(55.43)	ST	20.43	1.65%	
		36	c11/8/24	5,427.36	150.7600	137.5700	4,952.52	(474.84)	ST	81.72	1.65%	
		268		33,428.12			36,868.76	3,440.64		608.36	1.65%	8.3%
SECTOR TECHNOLOGY	XLK	25	c3/2/20	2,270.99	90.8396	232.5200	5,813.00	3,542.01	LT	39.00	0.67%	
SELECT SECTOR SPDR ETF		35	c1/20/21	4,607.75	131.6500	232.5200	8,138.20	3,530.45	LT	54.60	0.67%	
		65	c5/7/21	9,029.77	138.9195	232.5200	15,113.80	6,084.03	LT	101.40	0.67%	
		25	c9/16/21	3,947.96	157.9184	232.5200	5,813.00	1,865.04	LT	39.00	0.67%	
		34	c5/19/22	4,464.54	131.3100	232.5200	7,905.68	3,441.14	LT	53.04	0.67%	
		3	c9/9/22	410.61	136.8700	232.5200	697.56	286.95	LT	4.68	0.67%	
		4	c4/9/24	825.48	206.3700	232.5200	930.08	104.60	ST	8.24	0.67%	
		191		25,557.10			44,411.32	18,854.22		297.96	0.67%	10.0%



**Client Account Summary**  
December 1 - December 31, 2024

Page 4 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER [REDACTED]

**PORTFOLIO DETAILS**

**EQUITIES - MUTUAL FUNDS, ETFs, UITs**

Description	Symbol/CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
SELECT SECTOR COMMUNICATION SERVICES	XLC	13	c11/9/21	1,054.41	81.1084	96.8100	1,258.53	204.12	LT		12.50	0.99%	
		160	c5/19/22	9,315.18	58.2198	96.8100	15,489.60	6,174.42	LT		153.90	0.99%	
SELECT SECTOR SPDR ETF		7	c1/12/23	363.93	51.9900	96.8100	677.67	313.74	LT		6.73	0.99%	
		23	c10/12/23	1,565.38	68.0600	96.8100	2,226.63	661.25	LT		22.13	0.99%	
		7	c11/8/24	673.47	96.2100	96.8100	677.67	4.20	ST		6.73	0.99%	
		210		12,972.37			20,330.10	7,357.73			201.99	0.99%	4.6%
VANGUARD FTSE ALL WORLD EX US ETF	VEU	154	c11/13/19	8,017.22	52.0598	57.4100	8,841.14	823.92	LT		271.65	3.07%	
		135	c12/19/19	7,291.34	54.0099	57.4100	7,750.35	459.01	LT		238.14	3.07%	
		12	c1/28/20	636.97	53.0808	57.4100	688.92	51.95	LT		21.17	3.07%	
		95	c3/20/20	3,525.49	37.1104	57.4100	5,453.95	1,928.46	LT		167.58	3.07%	
		6	c1/20/21	366.68	61.1133	57.4100	344.46	(22.22)	LT		10.58	3.07%	
		8	c5/7/21	510.32	63.7900	57.4100	459.28	(51.04)	LT		14.11	3.07%	
		34	c7/27/21	2,097.46	61.6900	57.4100	1,951.94	(145.52)	LT		59.98	3.07%	
		3	c11/9/21	190.35	63.4500	57.4100	172.23	(18.12)	LT		5.29	3.07%	
		39	c3/9/22	2,170.74	55.6600	57.4100	2,238.99	68.25	LT		68.80	3.07%	
		16	c8/16/22	838.88	52.4300	57.4100	918.56	79.68	LT		28.22	3.07%	
		19	c6/1/23	1,014.79	53.4100	57.4100	1,090.79	76.00	LT		33.52	3.07%	
		49	c2/9/24	2,729.79	55.7100	57.4100	2,813.09	83.30	ST		86.43	3.07%	
		27	c8/7/24	1,541.70	57.1000	57.4100	1,550.07	8.37	ST		47.63	3.07%	
		597		30,931.73			34,273.77	3,342.04			1,053.10	3.07%	7.8%
VANGUARD FTSE PACIFIC ETF	VPL	3	c9/27/18	213.89	71.2966	71.0400	213.12	(0.77)	LT		6.89	3.23%	
		7	c3/13/19	458.34	65.4771	71.0400	497.28	38.94	LT		16.08	3.23%	
		27	c11/13/19	1,866.24	69.1200	71.0400	1,918.08	51.84	LT		62.02	3.23%	
		23	c12/19/19	1,625.41	70.6700	71.0400	1,633.92	8.51	LT		52.83	3.23%	
		4	c1/28/20	275.82	68.9550	71.0400	284.16	8.34	LT		9.19	3.23%	
		8	c3/2/20	496.97	62.1212	71.0400	568.32	71.35	LT		18.38	3.23%	
		11	c3/20/20	553.27	50.2972	71.0400	781.44	228.17	LT		25.27	3.23%	
		4	c9/1/20	272.18	68.0450	71.0400	284.16	11.98	LT		9.19	3.23%	
		6	c5/7/21	503.94	83.9900	71.0400	426.24	(77.70)	LT		13.78	3.23%	
		101	c7/27/21	8,212.20	81.3089	71.0400	7,175.04	(1,037.16)	LT		231.99	3.23%	
		104	c11/9/21	8,482.24	81.5600	71.0400	7,388.16	(1,094.08)	LT		238.89	3.23%	
		15	c3/9/22	1,071.59	71.4393	71.0400	1,065.60	(5.99)	LT		34.45	3.23%	
		170	c6/30/22	10,733.80	63.1400	71.0400	12,076.80	1,343.00	LT		390.49	3.23%	
		2	c8/16/22	135.34	67.6700	71.0400	142.08	6.74	LT		4.59	3.23%	
		1	c10/12/23	66.99	66.9900	71.0400	71.04	4.05	LT		2.30	3.23%	
		28	c2/9/24	2,014.87	71.9596	71.0400	1,989.12	(25.75)	ST		64.31	3.23%	



**Janney Montgomery Scott**  
Member: NFA • FINRA • SIPC  
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## Client Account Summary

December 1 - December 31, 2024

Page 5 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		40	c8/7/24	2,823.60	70.5900	71.0400	2,841.60	18.00	ST		91.88	3.23%	
		21	c11/6/24	1,577.94	75.1400	71.0400	1,491.84	(86.10)	ST		48.24	3.23%	
		575		41,384.63			40,848.00	(536.63)			1,320.77	3.23%	9.2%
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFs, UITs</b>				<b>232,572.02</b>			<b>278,733.04</b>	<b>46,161.02</b>			<b>5,020.21</b>	<b>1.80%</b>	<b>62.9%</b>

#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES TLT	TLT	113	c9/9/22	12,266.14	108.5499	87.3300	9,868.29	(2,397.85)	LT		384.65	3.89%	
20+ YR TREASURY BOND ETF		107	c1/12/23	11,330.21	105.8898	87.3300	9,344.31	(1,985.90)	LT		364.22	3.89%	
		19	c6/1/23	1,952.63	102.7700	87.3300	1,659.27	(293.36)	LT		64.68	3.89%	
		47	c10/12/23	4,065.96	86.5097	87.3300	4,104.51	38.55	LT		159.99	3.89%	
		11	c4/9/24	1,014.75	92.2500	87.3300	960.63	(54.12)	ST		37.44	3.89%	
		297		30,629.69			25,937.01	(4,692.68)			1,010.98	3.89%	5.8%
ISHARES IEF	IEF	130	c10/12/23	11,798.80	90.7600	92.4500	12,018.50	219.70	LT		395.20	3.28%	
7-10YR TREASURY BOND ETF		118	c2/9/24	11,145.10	94.4500	92.4500	10,909.10	(236.00)	ST		358.72	3.28%	
		9	c4/9/24	839.88	93.3200	92.4500	832.05	(7.83)	ST		27.36	3.28%	
		257		23,783.78			23,759.65	(24.13)			781.28	3.28%	5.4%
ISHARES IEI	IEI	223	c6/30/22	26,646.27	119.4900	115.5500	25,767.65	(878.62)	LT		745.71	2.89%	
3-7YR TREASURY BOND ETF		15	c8/16/22	1,796.83	119.7886	115.5500	1,733.25	(63.58)	LT		50.16	2.89%	
		105	c9/9/22	12,329.10	117.4200	115.5500	12,132.75	(196.35)	LT		351.12	2.89%	
		30	c1/12/23	3,515.10	117.1700	115.5500	3,466.50	(48.60)	LT		100.32	2.89%	
		11	c6/1/23	1,286.23	116.9300	115.5500	1,271.05	(15.18)	LT		36.78	2.89%	
		5	c10/12/23	563.85	112.7700	115.5500	577.75	13.90	LT		16.72	2.89%	
		8	c4/9/24	918.72	114.8400	115.5500	924.40	5.68	ST		26.75	2.89%	
		2	c11/8/24	232.58	116.2900	115.5500	231.10	(1.48)	ST		6.69	2.89%	
		399		47,288.68			46,104.45	(1,184.23)			1,334.25	2.89%	10.4%
VANGUARD INTERMEDIATE TERM CORP BOND ETF	VCIT	158	c7/13/21	15,021.84	95.0749	80.2700	12,682.66	(2,339.18)	LT		559.00	4.40%	
		39	c11/9/21	3,682.77	94.4300	80.2700	3,130.53	(552.24)	LT		137.98	4.40%	
		9	c5/19/22	734.03	81.5588	80.2700	722.43	(11.60)	LT		31.84	4.40%	
		20	c8/16/22	1,647.80	82.3900	80.2700	1,605.40	(42.40)	LT		70.76	4.40%	
		6	c10/12/23	452.94	75.4900	80.2700	481.62	28.68	LT		21.23	4.40%	



**Client Account Summary**  
December 1 - December 31, 2024

Page 5 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

**PORTFOLIO DETAILS**

**FIXED INCOME - MUTUAL FUNDS, ETFs & UITs**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		5	c4/9/24	398.35	79.6700	80.2700	401.35	3.00	ST		17.69	4.40%	
		237		21,937.73			19,023.99	(2,913.74)			838.50	4.40%	4.3%
VANGUARD SHORT TERM CORP BOND ETF	VCSH	230	c2/9/24	17,739.90	77.1300	78.0100	17,942.30	202.40	ST		705.41	3.93%	
		3	c4/9/24	230.79	76.9300	78.0100	234.03	3.24	ST		9.20	3.93%	
		245	c11/8/24	19,203.10	78.3800	78.0100	19,112.45	(90.65)	ST		751.41	3.93%	
		478		37,173.79			37,288.78	114.99			1,466.02	3.93%	8.4%
<b>TOTAL FIXED INCOME - MUTUAL FUNDS, ETFs &amp; UITs</b>				<b>160,813.67</b>			<b>152,113.88</b>	<b>(8,699.79)</b>			<b>5,431.03</b>	<b>3.57%</b>	<b>34.3%</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>405,547.86</b>			<b>443,009.09</b>	<b>37,461.23</b>			<b>10,780.83</b>	<b>2.43%</b>	<b>100.0%</b>

**CASH ACTIVITY DETAILS**

**INCOME AND EXPENSE**

**Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/04	DIVIDEND	VCSH	VANGUARD SHORT TERM CORP BOND ETF 120424 478	130.45	CASH
12/04	DIVIDEND	VCIT	VANGUARD INTERMEDIATE TERM CORP BOND ETF 120424 237	70.91	CASH
12/05	DIVIDEND	TLT	ISHARES 20+ YR TREASURY BOND ETF 120524 297	96.53	CASH
12/05	DIVIDEND	IEF	ISHARES 7-10YR TREASURY BOND ETF 120524 257	75.05	CASH
12/05	DIVIDEND	IEI	ISHARES 3-7YR TREASURY BOND ETF 120524 399	124.12	CASH
12/20	DIVIDEND	IAI	ISHARES U S BROKER-DEALERS & SECURITIES EXCHANGES ETF 122024 69	29.94	CASH



**Client Account Summary**  
December 1 - December 31, 2024

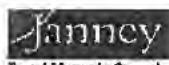
Page 7 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE - Continued**

Income					
Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/20	DIVIDEND	IEUR	ISHARES CORE MSCI EUROPE ETF 122024 127	91.17	CASH
12/23	DIVIDEND	TLT	ISHARES 20+ YR TREASURY BOND ETF 122324 297	104.26	CASH
12/23	DIVIDEND	IEF	ISHARES 7-10YR TREASURY BOND ETF 122324 257	79.03	CASH
12/23	DIVIDEND	IEI	ISHARES 3-7YR TREASURY BOND ETF 122324 399	131.77	CASH
12/24	DIVIDEND	VEU	VANGUARD FTSE ALL WORLD EX US ETF 122424 597	561.36	CASH
12/24	DIVIDEND	VPL	VANGUARD FTSE PACIFIC ETF 122424 575	711.79	CASH
12/26	DIVIDEND	XLV	SECTOR HEALTHCARE SELECT SECTOR SPDR ETF 122624 268	166.60	CASH
12/26	DIVIDEND	XLC	SELECT SECTOR COMMUNICATION SERVICES SELECT SECTOR SPDR ETF 122624 210	53.42	CASH
12/26	DIVIDEND	XLP	SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF 122624 249	149.99	CASH
12/26	DIVIDEND	XLK	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF 122624 191	73.72	CASH
12/26	DIVIDEND	XLF	SECTOR FINANCIAL SELECT SECTOR SPDR ETF 122624 818	168.71	CASH
12/26	DIVIDEND	XLY	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF 122624 94	40.71	CASH
12/27	DIVIDEND	VCSH	VANGUARD SHORT TERM CORP BOND ETF 122724 478	133.74	CASH
12/27	DIVIDEND	VCIT	VANGUARD INTERMEDIATE TERM CORP BOND ETF 122724 237	73.92	CASH



## Client Account Summary

December 1 - December 31, 2024

Page 8 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

### INCOME AND EXPENSE - Continued

#### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/31	INTEREST	09999137	JANNEY ADVISORY RETIREMENT INSURED SWEEP 123124 12,162	23.28	CASH
	<b>NET INCOME (EXPENSE)</b>			<b>3,090.47</b>	
	<b>TOTAL INCOME</b>			<b>3,090.47</b>	

#### JANNEY INSURED SWEEP\*\* ACTIVITY

Date	Transaction Type	Description	Amount
12/05/2024	PURCHASE	JANNEY ADVISORY	(\$201.36)
12/06/2024	PURCHASE	JANNEY ADVISORY	(\$295.70)
12/23/2024	PURCHASE	JANNEY ADVISORY	(\$121.11)
12/24/2024	PURCHASE	JANNEY ADVISORY	(\$315.06)
12/26/2024	PURCHASE	JANNEY ADVISORY	(\$1,273.15)
12/27/2024	PURCHASE	JANNEY ADVISORY	(\$653.15)
12/30/2024	PURCHASE	JANNEY ADVISORY	(\$207.66)
12/31/2024	INTEREST REINVEST	JANNEY ADVISORY	(\$23.28)
	<b>TOTAL JANNEY INSURED SWEEP</b>		<b>\$12,162.17</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/06/22	2024/02/09	16	TLT	ISHARES	1,823.68	1,500.78	(322.90)	LONG
2023/08/30	2024/02/09	2	IEI	ISHARES	-238.98	231.87	(7.31)	LONG
2023/09/09	2024/02/09	312	TFLO	ISHARES	15,727.92	15,771.46	43.54	LONG
2023/11/01	2024/02/09	24	TFLO	ISHARES	1,211.52	1,213.19	1.67	LONG
2023/06/01	2024/02/09	11	TFLO	ISHARES	555.06	556.05	0.99	SHORT
2023/12/19	2024/02/09	7	XLC	SELECT SECTOR	376.39	564.67	178.28	LONG
2023/09/09	2024/02/09	159	XLP	SECTOR CONSUMER STAPLES	11,750.09	11,618.08	(132.01)	LONG
2023/12/19	2024/02/09	8	XLK	SECTOR TECHNOLOGY	723.83	1,660.70	936.87	LONG
2024/03/02	2024/02/09	7	XLK	SECTOR TECHNOLOGY	635.88	1,453.12	817.24	LONG
2023/06/06	2024/02/09	61	VCLT	VANGUARD LONG TERM	5,089.23	4,715.87	(373.36)	LONG
2023/06/30	2024/02/09	45	VCLT	VANGUARD LONG TERM	3,867.05	3,478.92	(188.13)	LONG
2023/08/16	2024/02/09	13	VCLT	VANGUARD LONG TERM	1,096.16	1,005.02	(91.14)	LONG



**Client Account Summary**

December 1 - December 31, 2024

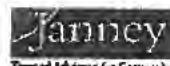
Page 9 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

**REALIZED GAIN (LOSS) INFORMATION - Continued**

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2011/12/23	02/09/24	17	VCLT	VANGUARD LONG TERM	1,368.84	1,314.26	(54.58)	LONG
2006/01/23	02/09/24	22	VCLT	VANGUARD LONG TERM	1,701.26	1,700.81	(0.45)	SHORT
2007/13/21	02/09/24	9	VCIT	VANGUARD INTERMEDIATE	855.68	719.36	(136.32)	LONG
2011/09/21	04/09/24	4	IEUR	ISHARES	239.40	229.71	(9.69)	LONG
2010/15/19	04/09/24	13	XLV	SECTOR HEALTHCARE	1,193.40	1,855.60	662.20	LONG
2011/13/19	04/09/24	56	XLV	SECTOR HEALTHCARE	5,343.51	7,993.37	2,649.86	LONG
2012/19/19	04/09/24	12	XLV	SECTOR HEALTHCARE	1,227.60	1,712.87	485.27	LONG
2012/19/19	04/09/24	5	XLC	SELECT SECTOR	268.85	412.36	143.51	LONG
2003/02/20	04/09/24	3	XLC	SELECT SECTOR	153.90	247.42	93.52	LONG
2008/16/22	04/09/24	10	XLU	SELECT UTILITIES SELECT	776.20	658.99	(117.21)	LONG
2006/09/15	04/09/24	31	VEU	VANGUARD	1,531.07	1,819.68	288.61	LONG
2009/27/18	04/09/24	19	VPL	VANGUARD FTSE PACIFIC	1,354.70	1,436.00	81.30	LONG
2006/06/22	08/07/24	11	TLT	ISHARES	1,253.78	1,053.00	(200.78)	LONG
2006/01/23	08/07/24	10	IEF	ISHARES	980.30	965.07	(15.23)	LONG
2004/09/24	08/07/24	1	IAI	ISHARES	115.07	118.11	3.04	SHORT
2006/30/22	08/07/24	8	IEI	ISHARES	955.92	945.33	(10.59)	LONG
2012/19/19	08/07/24	33	XLV	SECTOR HEALTHCARE	3,375.90	4,824.13	1,448.23	LONG
2003/02/20	08/07/24	12	XLK	SECTOR TECHNOLOGY	1,090.08	2,386.01	1,295.93	LONG
2008/16/22	08/07/24	12	XLU	SELECT UTILITIES SELECT	931.44	881.01	(50.43)	LONG
2002/09/24	08/07/24	2	VCSH	VANGUARD SHORT TERM CORP.	154.26	156.40	2.14	SHORT
2007/13/21	08/07/24	4	VCIT	VANGUARD INTERMEDIATE	380.30	326.15	(54.15)	LONG
2003/02/20	08/08/24	44	XLC	SELECT SECTOR	2,257.13	3,687.97	1,430.84	LONG
2003/16/20	08/08/24	7	XLC	SELECT SECTOR	295.00	586.72	291.72	LONG
2001/20/21	08/08/24	46	XLC	SELECT SECTOR	3,138.58	3,855.61	717.03	LONG
2011/09/21	08/08/24	6	XLC	SELECT SECTOR	486.66	502.91	16.25	LONG
2006/06/22	11/08/24	58	TLT	ISHARES	6,610.82	5,340.48	(1,270.34)	LONG
2006/30/22	11/08/24	8	TLT	ISHARES	925.36	736.62	(188.74)	LONG
2008/16/22	11/08/24	6	TLT	ISHARES	694.50	552.47	(142.03)	LONG
2009/09/22	11/08/24	1	TLT	ISHARES	108.55	92.08	(16.47)	LONG
2006/01/23	11/08/24	92	IEF	ISHARES	9,018.76	8,658.79	(359.97)	LONG
2010/12/23	11/08/24	26	IEF	ISHARES	2,359.76	2,447.06	87.30	LONG
2004/09/24	11/08/24	3	IAI	ISHARES	345.21	439.00	93.79	SHORT
2003/02/20	11/08/24	1	XLK	SECTOR TECHNOLOGY	90.84	236.98	146.14	LONG
2001/28/20	11/08/24	5	XLY	CONSUMER DISCRETIONARY	627.80	1,075.37	447.57	LONG
2008/16/22	11/08/24	5	XLU	SELECT UTILITIES SELECT	388.10	396.03	7.93	LONG
2011/02/22	11/08/24	66	XLU	SELECT UTILITIES SELECT	4,447.74	5,227.71	779.97	LONG
2006/01/23	11/08/24	30	XLU	SELECT UTILITIES SELECT	1,926.90	2,376.24	449.34	LONG



## Client Account Summary

December 1 - December 31, 2024

Page 10 of 10

JO ANNE SIMON (SEP-IRA)

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/09/24	2023/08/24	15	XLU	SELECT UTILITIES SELECT	899.40	1,188.12	288.72	SHORT
2023/08/24	2023/08/24	102	XLRE	SELECT SECTOR TR	4,218.72	4,479.71	260.99	SHORT
2023/09/15	2023/08/24	55	VEU	VANGUARD	2,716.41	3,314.75	598.34	LONG
2023/05/15	2023/08/24	13	VEU	VANGUARD	630.98	783.48	152.50	LONG
2023/08/16	2023/08/24	61	VEU	VANGUARD	2,383.88	3,676.37	1,292.49	LONG
2023/22/18	2023/08/24	6	VEU	VANGUARD	323.93	361.61	37.68	LONG
2023/13/18	2023/08/24	8	VEU	VANGUARD	435.96	482.14	46.18	LONG
2023/27/18	2023/08/24	33	VEU	VANGUARD	1,735.87	1,988.86	252.99	LONG
2023/13/19	2023/08/24	18	VEU	VANGUARD	898.56	1,084.83	186.27	LONG
2023/11/13/19	2023/08/24	8	VEU	VANGUARD	416.48	482.16	65.68	LONG
2023/07/13/21	2023/08/24	3	VCIT	VANGUARD INTERMEDIATE	285.23	244.76	(40.47)	LONG
<b>TOTAL REALIZED GAIN (LOSS)</b>					<b>116,814.38</b>	<b>129,814.00</b>	<b>12,999.62</b>	
<b>LONG TERM GAIN (LOSS)</b>					<b>108,825.40</b>	<b>121,175.80</b>	<b>12,350.40</b>	
<b>SHORT TERM GAIN (LOSS)</b>					<b>7,988.98</b>	<b>8,638.20</b>	<b>649.22</b>	

### JANNEY TRUST CO IRA REPORTING SUMMARY

Tax Year	IRA Contributions	Other Contributions	Rollovers	Distributions	Withholdings

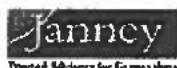
\*JTC hereby provides this statement to you as custodian of some or all of your assets. The cash and securities positions reported on this statement are the cash and securities positions held by JTC as your custodian at Janney Montgomery Scott, which is all of the cash and securities JTC holds for you. JTC records this cash and securities to an account it maintains in your name, and this statement should be read as a statement of such account. The fees reported in this statement are fees that Janney Montgomery Scott charges to JTC and that we pass on to you, provided that JTC retains a portion of these fees as our custodial fee under this agreement with you. Interest and other reported income is income and interest for your account at JTC.

### JANNEY INSURED SWEEP\*\* - Deposit Allocation

Description		Current Value
US Bank National Association	Cincinnati, OH	\$12,162.17
<b>TOTAL JANNEY INSURED SWEEP</b>		<b>\$12,162.17</b>

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.

This is your annual custodian report. Securities with no known regular market have been valued using matrices, secondary market bids or appraisals provided by the issuing company or a third party which we do not warrant the accuracy of the valuation. Contributions and values are being reported to the IRS by May 31, 2025.



**Janney Montgomery Scott Inc.**  
Member: NASD • SISRA • SIPC

Account number: [REDACTED]

Investment Objective: Growth & Income/Aggressive

*Your Financial Advisor*

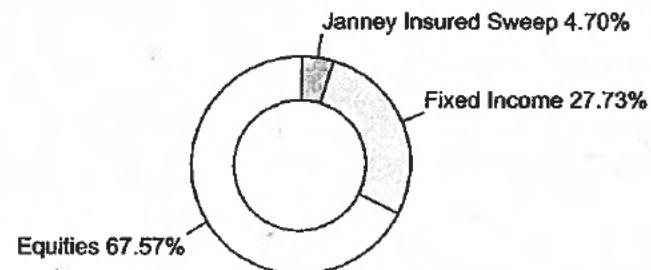
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN 8F58  
WILLIAM HARRIS &  
JO ANNE SIMON JT-TEN  
[REDACTED]  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 11/30/24	As of 12/31/24
Janney Insured Sweep**	12,411.20	15,390.68
Equities - Mutual Funds, ETFs, UITs	232,751.83	223,805.73
Fixed Income - Mutual Funds, ETFs, UITs	94,064.96	91,721.09
<b>TOTAL ACCOUNT VALUE</b>	<b>339,227.99</b>	<b>330,917.50</b>

**ACCOUNT ALLOCATION**



**Client Account Summary**

December 1 - December 31, 2024

Page 1 of 6

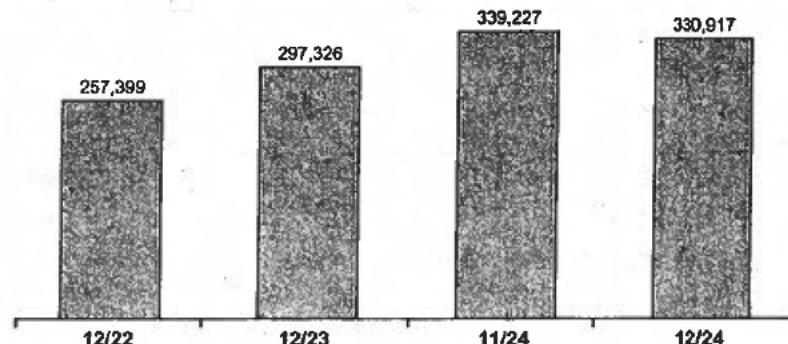
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	2,961.54	7,938.67
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	17.94	167.30
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>2,979.48</b>	<b>8,105.97</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

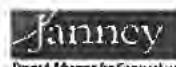
**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	4,209.79
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	(22,064.85)
Securities Sold	0.00	19,321.49
Net Income (Expense)	2,979.48	3,392.21
Other Activity	0.00	1.49
Money Market Summary	0.00	0.00
Janney Insured Sweep Activity**	(2,979.48)	(4,860.13)
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



**Janney Montgomery Scott**  
Member: NYSE • FINRA • SIPC

## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 2 of 6

### PORTFOLIO DETAILS

#### JANNEY INSURED SWEEP\*\*

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				15,390.68		N/A	15,390.68					1.62%	4.7%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>15,390.68</b>						<b>4.7%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VANGUARD FTSE DEVELOPED MARKETS ETF	VEA	646	c12/3/15	24,186.17	37.4398	47.8200	30,891.72	6,705.55	LT		969.64	3.13%	
		211	c2/1/16	7,271.04	34.4599	47.8200	10,090.02	2,818.98	LT		316.71	3.13%	
		117	c3/3/17	4,495.13	38.4199	47.8200	5,594.94	1,099.81	LT		175.62	3.13%	
		41	c7/9/18	1,781.45	43.4500	47.8200	1,960.62	179.17	LT		61.54	3.13%	
		29	c1/11/19	1,121.72	38.6800	47.8200	1,385.78	265.06	LT		43.53	3.13%	
		113	c11/12/19	4,872.56	43.1200	47.8200	5,403.66	531.10	LT		169.61	3.13%	
		16	c1/10/20	707.20	44.2000	47.8200	765.12	57.92	LT		24.02	3.13%	
		64	c12/29/21	3,278.72	51.2300	47.8200	3,060.48	(218.24)	LT		96.06	3.13%	
		31	c1/9/24	1,465.06	47.2600	47.8200	1,482.42	17.36	ST		46.53	3.13%	
		1,268		49,179.05			60,635.76	11,456.71			1,903.26	3.13%	18.3%
VANGUARD FTSE EMERGING MARKETS ETF	VWO	190	c12/3/15	6,399.46	33.6613	44.0400	8,367.60	1,968.14	LT		229.52	2.74%	
		48	c2/1/16	1,457.34	30.3612	44.0400	2,113.92	656.58	LT		57.98	2.74%	
		10	c3/3/17	387.90	38.7900	44.0400	440.40	52.50	LT		12.08	2.74%	
		5	c6/8/17	206.25	41.2500	44.0400	220.20	13.95	LT		6.04	2.74%	
		35	c7/9/18	1,498.73	42.8208	44.0400	1,541.40	42.67	LT		42.28	2.74%	
		57	c11/12/19	2,419.82	42.4529	44.0400	2,510.28	90.46	LT		68.86	2.74%	
		37	c12/29/21	1,812.26	48.9800	44.0400	1,629.48	(182.78)	LT		44.69	2.74%	
		28	c1/12/22	1,408.82	50.3150	44.0400	1,233.12	(175.70)	LT		33.82	2.74%	
		40	c1/12/23	1,668.23	41.7057	44.0400	1,761.60	93.37	LT		48.32	2.74%	
		62	c1/9/24	2,488.06	40.1300	44.0400	2,730.48	242.42	ST		74.90	2.74%	
VANGUARD RUSSELL 1000 GROWTH INDEX FD ETF SHS	VONG	512		19,746.87			22,548.48	2,801.61			616.49	2.74%	6.8%
		684	c12/3/15	17,477.79	25.5523	103.3000	70,657.20	53,179.41	LT		410.40	0.58%	
		16	c2/1/16	384.20	24.0125	103.3000	1,652.80	1,268.60	LT		9.60	0.58%	
		700		17,861.99			72,310.00	54,448.01			420.00	0.58%	21.9%



**Janney Montgomery Scott**  
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**Client Account Summary**  
December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 3 of 6

**PORTFOLIO DETAILS**

**EQUITIES - MUTUAL FUNDS, ETFs, UITs**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VANGUARD RUSSELL 1000 VALUE INDEX FD ETF SHS	VONV	664	c12/3/15	28,734.39	43.2746	81.2500	53,950.00	25,215.61	LT		1,077.01	1.99%	
		62	c7/10/20	3,064.89	49.4337	81.2500	5,037.50	1,972.61	LT		100.56	1.99%	
		29	c8/22/24	2,336.82	80.5800	81.2500	2,356.25	19.43	ST		47.04	1.99%	
		755		34,136.10			61,343.75	27,207.65			1,224.61	1.99%	18.5%
VANGUARD RUSSELL 2000 INDEX FD ETF SHARES	VTWO	72	c12/3/15	3,354.83	46.5948	89.3300	6,431.76	3,076.93	LT		82.94	1.28%	
		6	c2/1/16	245.10	40.8500	89.3300	535.98	290.88	LT		6.91	1.28%	
		78		3,599.93			6,967.74	3,367.81			89.85	1.28%	2.1%
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITs</b>				<b>124,523.94</b>			<b>223,805.73</b>	<b>99,281.79</b>			<b>4,256.21</b>	<b>1.90%</b>	<b>67.6%</b>

**FIXED INCOME - MUTUAL FUNDS, ETFs & UITs**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VANGUARD INTERMEDIATE TERM BOND ETF	BIV	141	c1/19/23	10,873.92	77.1200	74.7300	10,536.93	(336.99)	LT		399.28	3.78%	
		10	c1/9/24	758.38	75.8380	74.7300	747.30	(11.08)	ST		28.32	3.78%	
		16	c8/22/24	1,241.08	77.5675	74.7300	1,195.68	(45.40)	ST		45.31	3.78%	
		167		12,873.38			12,479.91	(393.47)			472.91	3.78%	3.8%
VANGUARD LONG TERM BOND INDEX ETF	BLV	136	c1/19/23	10,607.32	77.9950	68.4300	9,306.48	(1,300.84)	LT		435.82	4.68%	
		24	c1/9/24	1,760.40	73.3500	68.4300	1,642.32	(118.08)	ST		76.91	4.68%	
		18	c8/22/24	1,338.26	74.3477	68.4300	1,231.74	(106.52)	ST		57.68	4.68%	
		178		13,705.98			12,180.54	(1,525.44)			570.41	4.68%	3.7%
VANGUARD MORTGAGE BACKED SECURITIES ETF	VMBS	242	c1/19/23	11,417.49	47.1797	45.3400	10,972.28	(445.21)	LT		431.97	3.93%	
		32	c1/9/24	1,472.96	46.0300	45.3400	1,450.88	(22.08)	ST		57.12	3.93%	
		274		12,890.45			12,423.16	(467.29)			489.09	3.93%	3.8%
VANGUARD SHORT TERM BOND ETF	BSV	285	c1/19/23	21,747.64	76.3075	77.2700	22,021.95	274.31	LT		744.92	3.38%	
		36	c1/9/24	2,765.88	76.8300	77.2700	2,781.72	15.84	ST		94.10	3.38%	
		23	c8/22/24	1,795.76	78.0765	77.2700	1,777.21	(18.55)	ST		60.12	3.38%	
		344		26,309.28			26,580.88	271.60			899.14	3.38%	8.0%
VANGUARD TOTAL INTL BOND	BNDX	478	c1/19/23	23,401.83	48.9578	49.0500	23,445.90	44.07	LT		539.65	2.30%	



**Janney Montgomery Scott Inc.**  
Member: SIPC • FINRA • NYSE

## Client Account Summary

December 1 - December 31, 2024

Page 4 of 6

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
INDEX ETF		49	01/9/24	2,400.51	48.9900	49.0500	2,403.45	2.94	ST		55.32	2.30%	
		45	08/22/24	2,241.68	49.8151	49.0500	2,207.25	(34.43)	ST		50.81	2.30%	
		572		26,044.02			28,056.60	12.58			645.78	2.30%	8.5%
<b>TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS &amp; UITs</b>				<b>93,823.11</b>			<b>91,721.09</b>	<b>(2,102.02)</b>			<b>3,077.33</b>	<b>3.35%</b>	<b>27.7%</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>233,737.73</b>			<b>330,917.50</b>	<b>97,179.77</b>			<b>7,333.54</b>	<b>2.21%</b>	<b>100.0%</b>

### CASH ACTIVITY DETAILS

#### INCOME AND EXPENSE

##### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/04	DIVIDEND	BNDX	VANGUARD TOTAL INTL BOND INDEX ETF 120424 572	56.17	CASH
12/04	DIVIDEND	BIV	VANGUARD INTERMEDIATE TERM BOND ETF 120424 167	40.28	CASH
12/04	DIVIDEND	BLV	VANGUARD LONG TERM BOND INDEX ETF 120424 178	47.24	CASH
12/04	DIVIDEND	BSV	VANGUARD SHORT TERM BOND ETF 120424 344	79.61	CASH
12/04	DIVIDEND	VMBS	VANGUARD MORTGAGE BACKED SECURITIES ETF 120424 274	41.21	CASH
12/24	DIVIDEND	VWO	VANGUARD FTSE EMERGING MARKETS ETF 122424 512	545.59	CASH
12/24	DIVIDEND	VEA	VANGUARD FTSE DEVELOPED MKTS ETF 122424 1,268	903.58	CASH
12/26	DIVIDEND	VONV	VANGUARD RUSSELL 1000 VALUE INDEX FD ETF SHS 122624 755	329.94	CASH



Janney Montgomery Scott Inc.  
Member: NASD • FINRA • SIPC

**Client Account Summary**  
December 1 - December 31, 2024

Page 5 of 6

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE - Continued**

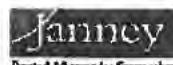
**Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/26	DIVIDEND	VONG	VANGUARD RUSSELL 1000 GROWTH INDEX FD ETF SHS 122624 700	96.46	CASH
12/26	DIVIDEND	VTWO	VANGUARD RUSSELL 2000 INDEX FD ETF SHARES 122624 78	25.85	CASH
12/27	DIVIDEND	BNDX	VANGUARD TOTAL INTL BOND INDEX ETF 122724 572	579.95	CASH
12/27	DIVIDEND	BIV	VANGUARD INTERMEDIATE TERM BOND ETF 122724 167	42.29	CASH
12/27	DIVIDEND	BLV	VANGUARD LONG TERM BOND INDEX ETF 122724 178	48.98	CASH
12/27	DIVIDEND	BSV	VANGUARD SHORT TERM BOND ETF 122724 344	82.69	CASH
12/27	DIVIDEND	VMBS	VANGUARD MORTGAGE BACKED SECURITIES ETF 122724 274	41.70	CASH
12/31	INTEREST	09999410	JANNEY INSURED SWEEP 123124 15,390	17.94	CASH
<b>NET INCOME (EXPENSE)</b>				<b>2,979.48</b>	
<b>TOTAL INCOME</b>				<b>2,979.48</b>	

**JANNEY INSURED SWEEP\*\* ACTIVITY**

Date	Transaction Type	Description	Amount
12/05/2024	PURCHASE	JANNEY INSURED SWEEP	(\$264.51)
12/26/2024	PURCHASE	JANNEY INSURED SWEEP	(\$1,449.17)
12/27/2024	PURCHASE	JANNEY INSURED SWEEP	(\$452.25)
12/30/2024	PURCHASE	JANNEY INSURED SWEEP	(\$795.61)
12/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$17.94)
<b>TOTAL JANNEY INSURED SWEEP</b>			<b>\$15,390.68</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

December 1 - December 31, 2024

Page 6 of 6

**WILLIAM HARRIS**

**ACCOUNT NUMBER:** [REDACTED]

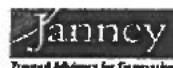
### REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/10/20	2024/01/09	24	VONV	VANGUARD RUSSELL 1000	1,186.41	1,737.10	550.69	LONG
2023/03/15	2024/01/09	79	VONG	VANGUARD RUSSELL 1000	2,018.64	6,134.30	4,115.66	LONG
2021/12/22	2024/01/09	13	VONG	VANGUARD RUSSELL 1000	986.83	1,009.44	22.61	LONG
2023/03/15	2024/01/09	10	VTWO	VANGUARD RUSSELL 2000	465.95	788.79	322.84	LONG
2023/03/15	2024/08/22	37	VONG	VANGUARD RUSSELL 1000	945.44	3,467.18	2,521.74	LONG
2021/12/23	2024/08/22	66	VONG	VANGUARD RUSSELL 1000	3,760.02	6,184.68	2,424.66	LONG
<b>TOTAL REALIZED GAIN (LOSS)</b>					<b>9,363.29</b>	<b>19,321.49</b>	<b>9,958.20</b>	
<b>LONG TERM GAIN (LOSS)</b>					<b>9,363.29</b>	<b>19,321.49</b>	<b>9,958.20</b>	

### JANNEY INSURED SWEEP\*\* - Deposit Allocation

Description	Current Value
US Bank National Association	\$15,390.68
<b>TOTAL JANNEY INSURED SWEEP</b>	<b>\$15,390.68</b>

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.



Account number: [REDACTED]

Investment Objective: Growth & Income/Moderate

**Your Financial Advisor**

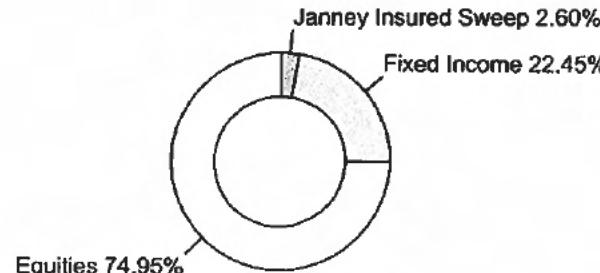
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN BFS8  
TO ANNIE STIMON  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 11/30/24	As of 12/31/24
Janney Insured Sweep**	1,566.63	2,056.98
Equities - Stocks and Options	804.00	792.16
Equities - Mutual Funds, ETFs, UITs	61,627.31	59,651.98
Fixed Income - Mutual Funds, ETFs, UITs	18,572.86	18,094.34
<b>TOTAL ACCOUNT VALUE</b>	<b>82,570.80</b>	<b>80,595.46</b>

**ACCOUNT ALLOCATION**



**Client Account Summary**

December 1 - December 31, 2024

Page 1 of 9

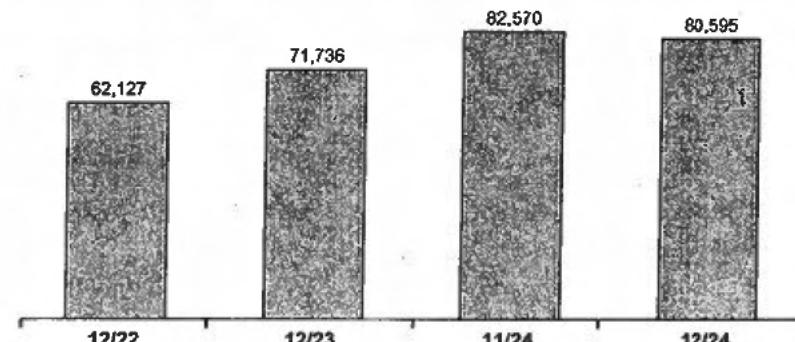
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	487.96	1,641.98
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	2.39	33.11
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>490.35</b>	<b>1,675.09</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	(44,001.35)
Securities Sold	0.00	43,839.05
Net Income (Expense)	490.35	521.13
Other Activity	0.00	0.37
Money Market Summary	0.00	0.00
Janney Insured Sweep Activity**	(490.35)	(359.20)
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

December 1 - December 31, 2024

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

Page 2 of 9

### PORTFOLIO DETAILS

#### JANNEY INSURED SWEEP<sup>\*\*</sup>

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				2,056.98		N/A	2,056.98				1.62%	2.6%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>2,056.98</b>					<b>2.6%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES GOLD TRUST	IAU	16	c11/8/24	812.64	50.7900	49.5100	792.16	(20.48)	ST			1.0%
<b>TOTAL EQUITIES - STOCKS &amp; OPTIONS</b>				<b>812.64</b>			<b>792.16</b>	<b>(20.48)</b>				<b>1.0%</b>

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
BLACKROCK ISHARES U.S. EQUITY FACTOR ROTATION ACTIVE ETF	DYNF	62	c1/25/24	2,540.76	40.9800	51.2500	3,177.50	636.74	ST		18.58	0.58%
		64	c3/14/24	2,798.08	43.7200	51.2500	3,280.00	481.92	ST		19.15	0.58%
		126		<b>5,338.84</b>			<b>6,457.50</b>	<b>1,118.66</b>			<b>37.71</b>	<b>0.58%</b>
ISHARES CORE S&P 500 ETF	IVV	18	c11/12/19	5,599.25	311.0694	588.6800	10,596.24	4,996.99	LT		133.85	1.26%
		1	c3/12/20	253.99	253.9900	588.6800	588.68	334.69	LT		7.44	1.26%
		1	c3/12/20	284.52	284.5200	588.6800	588.68	304.16	LT		7.44	1.26%
		2	c7/20/23	913.50	456.7500	588.6800	1,177.36	263.86	LT		14.87	1.26%
		2	c10/19/23	862.12	431.0600	588.6800	1,177.36	315.24	LT		14.87	1.26%
		3	c1/25/24	1,466.54	488.8466	588.6800	1,766.04	299.50	ST		22.30	1.26%
		27		<b>9,379.92</b>			<b>15,894.36</b>	<b>6,514.44</b>			<b>200.77</b>	<b>1.26%</b>
ISHARES TECHNOLOGY ETF	IYW	8	c10/20/22	598.56	74.8200	159.5200	1,276.16	677.60	LT		2.68	0.21%
		3	c10/19/23	323.64	107.8800	159.5200	478.56	154.92	LT		1.00	0.21%
		4	c3/14/24	538.28	134.5700	159.5200	638.08	99.80	ST		1.34	0.21%
		15		<b>1,460.48</b>			<b>2,392.80</b>	<b>932.32</b>			<b>5.62</b>	<b>0.21%</b>
												<b>3.0%</b>



Janney Montgomery Scott

Member: NASD • FINRA • SIPC

**Client Account Summary**

December 1 - December 31, 2024

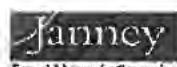
Page 3 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

**PORTFOLIO DETAILS****EQUITIES - MUTUAL FUNDS, ETFs, UITs**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES MSCI USA QUALITY FACTOR ETF	QUAL	24	c3/17/23	2,859.24	119.1350	178.0800	4,273.92	1,414.68	LT		43.41	1.01%	
		13	c3/14/24	2,119.65	163.0500	178.0800	2,315.04	195.39	ST		23.51	1.01%	
		37		4,978.89			6,588.96	1,610.07			66.92	1.01%	8.2%
ISHARES MSCI USA MOMENTUM FACTOR ETF	MTUM	10	c11/8/24	2,133.40	213.3400	206.9200	2,069.20	(64.20)	ST		11.59	0.56%	2.6%
ISHARES MSCI EMERGING MARKETS EX CHINA ETF	EMXC	13	c10/19/23	642.46	49.4200	55.4500	720.85	78.39	LT		14.67	2.03%	
		20	c3/14/24	1,146.00	57.3000	55.4500	1,109.00	(37.00)	ST		22.56	2.03%	
		14	c9/5/24	833.00	59.5000	55.4500	776.30	(56.70)	ST		15.79	2.03%	
		47		2,621.46			2,606.15	(15.31)			53.02	2.03%	3.2%
ISHARES CORE MSCI EMERGING MARKETS ETF	IEMG	8	c10/19/23	374.16	46.7700	52.2200	417.76	43.60	LT		11.89	2.84%	
		14	c6/6/24	747.88	53.4200	52.2200	731.08	(16.80)	ST		20.82	2.84%	
		22		1,122.04			1,148.84	26.80			32.71	2.84%	1.4%
ISHARES MSCI EAFE VALUE ETF	EFV	15	c10/20/22	595.56	39.7040	52.4700	787.05	191.49	LT		37.40	4.75%	
		19	c7/20/23	964.08	50.7410	52.4700	996.93	32.85	LT		47.37	4.75%	
		71	c9/5/24	3,999.43	56.3300	52.4700	3,725.37	(274.06)	ST		177.01	4.75%	
		105		5,559.07			5,509.35	(49.72)			261.78	4.75%	6.8%
ISHARES MSCI EAFE GROWTH ETF	EFG	19	c4/9/20	1,410.45	74.2342	96.8300	1,839.77	429.32	LT		29.36	1.59%	
		5	c7/21/22	417.72	83.5440	96.8300	484.15	66.43	LT		7.73	1.59%	
		2	c3/17/23	175.86	87.9300	96.8300	193.66	17.80	LT		3.09	1.59%	
		6	c1/25/24	577.74	96.2900	96.8300	580.98	3.24	ST		9.27	1.59%	
		14	c6/6/24	1,485.40	106.1000	96.8300	1,355.82	(129.78)	ST		21.64	1.59%	
		11	c11/8/24	1,112.65	101.1500	96.8300	1,065.13	(47.52)	ST		17.00	1.59%	
		57		5,179.82			5,519.31	339.49			88.09	1.59%	6.8%
ISHARES S&P 500 GROWTH ETF	IVW	54	c3/14/24	4,517.64	83.6600	101.5300	5,482.62	964.98	ST		27.81	0.50%	
		15	c6/6/24	1,333.50	88.9000	101.5300	1,522.95	189.45	ST		7.72	0.50%	
		69		5,851.14			7,005.57	1,154.43			35.53	0.50%	8.7%
ISHARES S&P 500	IVE	19	c1/25/24	3,292.70	173.3000	190.8800	3,626.72	334.02	ST		68.81	1.89%	4.5%



## Client Account Summary

December 1 - December 31, 2024

Page 4 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VALUE ETF													
ISHARES TRUST US INFRASTRUCTURE ETF	IFRA	18	05/18/22	676.44	37.5800	46.2900	833.22	156.78	LT		14.68	1.76%	1.0%
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITs</b>				<b>47,594.20</b>			<b>59,651.98</b>	<b>12,057.78</b>			<b>876.83</b>	<b>1.46%</b>	<b>74.0%</b>

#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
BLACKROCK	BINC	21	c3/14/24	1,096.62	52.2200	52.0200	1,092.42	(4.20)	ST		67.04	6.13%	
ISHARES FLEXIBLE INCOME ACTIVE ETF		16	c9/5/24	848.64	53.0400	52.0200	832.32	(16.32)	ST		51.07	6.13%	
		37		<b>1,945.26</b>			<b>1,924.74</b>	<b>(20.52)</b>			<b>118.11</b>	<b>6.13%</b>	<b>2.4%</b>
ISHARES 20+ YR TREASURY BOND ETF	TLT	13	c7/20/23	1,320.60	101.5846	87.3300	1,135.29	(185.31)	LT		44.25	3.89%	
		3	c10/19/23	252.45	84.1500	87.3300	261.99	9.54	LT		10.21	3.89%	
		16		<b>1,573.05</b>			<b>1,397.28</b>	<b>(175.77)</b>			<b>54.48</b>	<b>3.89%</b>	<b>1.7%</b>
ISHARES MBS ETF	MBB	26	c10/20/22	2,311.92	88.9200	91.6800	2,383.68	71.76	LT		86.16	3.61%	
		3	c3/14/24	275.37	91.7900	91.6800	275.04	(0.33)	ST		9.94	3.61%	
		29		<b>2,587.29</b>			<b>2,658.72</b>	<b>71.43</b>			<b>96.10</b>	<b>3.61%</b>	<b>3.3%</b>
ISHARES CORE TOTAL USD BOND MARKET ETF	IUSB	89	c1/14/21	4,836.26	54.3400	45.2000	4,022.80	(813.46)	LT		148.01	3.67%	
		16	c3/23/21	844.32	52.7700	45.2000	723.20	(121.12)	LT		26.61	3.67%	
		17	c5/26/21	904.57	53.2100	45.2000	768.40	(136.17)	LT		28.27	3.67%	
		15	c7/23/21	807.45	53.8300	45.2000	678.00	(129.45)	LT		24.94	3.67%	
		8	c10/21/21	422.72	52.8400	45.2000	361.60	(61.12)	LT		13.30	3.67%	
		5	c1/27/22	259.15	51.8300	45.2000	226.00	(33.15)	LT		8.32	3.67%	
		20	c10/20/22	868.80	43.4400	45.2000	904.00	35.20	LT		33.26	3.67%	
		22	c1/11/23	1,012.00	46.0000	45.2000	994.40	(17.60)	LT		36.58	3.67%	
		8	c7/20/23	362.88	45.3600	45.2000	361.60	(1.28)	LT		13.30	3.67%	
		55	c1/25/24	2,506.35	45.5700	45.2000	2,486.00	(20.35)	ST		91.47	3.67%	
		13	c3/14/24	588.12	45.2400	45.2000	587.60	(0.52)	ST		21.62	3.67%	
		268		<b>13,412.62</b>			<b>12,113.60</b>	<b>(1,299.02)</b>			<b>445.68</b>	<b>3.67%</b>	<b>15.0%</b>



Janney Montgomery Scott Inc.  
Member: NASD • SIPC

## Client Account Summary

December 1 - December 31, 2024

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

Page 5 of 9

### PORTFOLIO DETAILS

#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
<b>TOTAL FIXED INCOME - MUTUAL FUNDS, ETFs &amp; UITs</b>				19,518.22			18,094.34	(1,423.88)			714.35	3.94%	22.5%
<b>TOTAL ACCOUNT VALUE</b>				69,982.04			80,595.46	10,613.42			1,590.98	1.97%	100.0%

### CASH ACTIVITY DETAILS

#### INCOME AND EXPENSE

##### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/05	DIVIDEND	BINC	BLACKROCK ISHARES FLEXIBLE INCOME ACTIVE ETF 120524 37	8.79	CASH
12/05	DIVIDEND	TLT	ISHARES 20+ YR TREASURY BOND ETF 120524 16	5.20	CASH
12/05	DIVIDEND	MBB	ISHARES MBS ETF 120524 29	8.91	CASH
12/05	DIVIDEND	IUSB	ISHARES CORE TOTAL USD BOND MARKET ETF 120524 268	42.68	CASH
12/20	DIVIDEND	DYNF	BLACKROCK ISHARES U S EQUITY FACTOR ROTATION ACTIVE ETF 122024 126	15.82	CASH
12/20	DIVIDEND	MTUM	ISHARES MSCI USA MOMENTUM FACTOR ETF 122024 10	7.48	CASH
12/20	DIVIDEND	IEMG	ISHARES CORE MSCI EMERGING MARKETS ETF 122024 22	25.58	CASH



## Client Account Summary

December 1 - December 31, 2024

Page 6 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### INCOME AND EXPENSE - Continued

#### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/20	DIVIDEND	QUAL	ISHARES MSCI USA QUALITY FACTOR ETF 122024 37	20.26	CASH
12/20	DIVIDEND	IVV	ISHARES CORE S&P 500 ETF 122024 27	57.62	CASH
12/20	DIVIDEND	IYW	ISHARES TECHNOLOGY ETF 122024 15	0.99	CASH
12/20	DIVIDEND	EFV	ISHARES MSCI EAFE VALUE ETF 122024 105	95.72	CASH
12/20	DIVIDEND	EFG	ISHARES MSCI EAFE GROWTH ETF 122024 57	39.61	CASH
12/20	DIVIDEND	IVE	ISHARES S&P 500 VALUE ETF 122024 19	20.22	CASH
12/20	DIVIDEND	IVW	ISHARES S&P 500 GROWTH ETF 122024 69	9.20	CASH
12/20	DIVIDEND	EMXC	ISHARES MSCI EMERGING MARKETS EX CHINA ETF 122024 47	47.00	CASH
12/20	DIVIDEND	IFRA	ISHARES TRUST US INFRASTRUCTURE ETF 122024 18	4.09	CASH
12/23	DIVIDEND	BINC	BLACKROCK ISHARES FLEXIBLE INCOME ACTIVE ETF 122324 37	21.61	CASH
12/23	DIVIDEND	TLT	ISHARES 20+ YR TREASURY BOND ETF 122324 16	5.62	CASH
12/23	DIVIDEND	MBB	ISHARES MBS ETF 122324 29	8.54	CASH
12/23	DIVIDEND	IUSB	ISHARES CORE TOTAL USD BOND MARKET ETF 122324 268	43.02	CASH



**Client Account Summary**  
December 1 - December 31, 2024

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

Page 7 of 9

**INCOME AND EXPENSE - Continued**

**Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/31	INTEREST	09999410	JANNEY INSURED SWEEP 123124 2,056	2.39	CASH
<b>TOTAL INCOME</b>				<b>490.35</b>	
<b>NET INCOME (EXPENSE)</b>				<b>490.35</b>	

**JANNEY INSURED SWEEP\*\* ACTIVITY**

Date	Transaction Type	Description	Amount
12/06/2024	PURCHASE	JANNEY INSURED SWEEP	(\$65.58)
12/23/2024	PURCHASE	JANNEY INSURED SWEEP	(\$343.59)
12/24/2024	PURCHASE	JANNEY INSURED SWEEP	(\$78.79)
12/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$2.39)
<b>TOTAL JANNEY INSURED SWEEP</b>			<b>\$2,056.98</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

**REALIZED GAIN (LOSS) INFORMATION**

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2021/10/21	2024/01/25	6	USMV	ISHARES	461.54	477.23	15.69	LONG
2022/07/21	2024/01/25	4	USMV	ISHARES	284.68	318.16	33.48	LONG
2023/01/11	2024/01/25	3	USMV	ISHARES	219.93	238.62	18.69	LONG
2020/04/09	2024/01/25	8	ESGU	ISHARES	500.44	857.19	356.75	LONG
2023/01/11	2024/01/25	12	IEMG	ISHARES CORE MSCI	600.36	585.95	(14.41)	LONG
2023/03/17	2024/01/25	16	QUAL	ISHARES	1,906.17	2,423.50	517.33	SHORT
2023/10/19	2024/01/25	8	OEF	ISHARES S&P 100	1,620.46	1,848.94	228.48	SHORT
2022/10/20	2024/01/25	2	IYW	ISHARES	149.64	262.17	112.53	LONG
2023/07/20	2024/01/25	4	TLT	ISHARES	406.34	374.59	(31.75)	SHORT
2023/07/20	2024/01/25	10	LQD	ISHARES IBOXX	1,076.40	1,091.18	14.78	SHORT
2023/10/19	2024/01/25	3	LQD	ISHARES IBOXX	296.61	327.36	30.75	SHORT
2023/07/20	2024/01/25	19	IVW	ISHARES S&P 500	1,367.62	1,494.14	126.52	SHORT
2023/07/20	2024/01/25	12	TFLO	ISHARES	607.38	607.85	0.47	SHORT
2020/03/12	2024/03/14	4	ESGU	ISHARES	225.65	452.31	226.66	LONG
2020/04/09	2024/03/14	16	ESGU	ISHARES	1,000.88	1,809.27	808.39	LONG
2023/01/11	2024/03/14	22	IEMG	ISHARES CORE MSCI	1,100.66	1,133.43	32.77	LONG
2021/10/21	2024/03/14	3	IVV	ISHARES	1,361.40	1,551.09	189.69	LONG



## Client Account Summary

December 1 - December 31, 2024

Page 8 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2021/27/22	03/14/24	5	IVV	ISHARES	2,180.84	2,585.12	404.28	LONG
2023/11/22	03/14/24	4	IVV	ISHARES	1,703.52	2,068.10	364.58	LONG
2027/21/22	03/14/24	2	IVV	ISHARES	791.98	1,034.05	242.07	LONG
2021/14/21	03/14/24	32	EFV	ISHARES MSCI EAFE	1,590.31	1,897.26	106.95	LONG
2027/21/22	03/14/24	5	EFG	ISHARES MSCI EAFE	417.73	518.64	100.91	LONG
2027/20/23	03/14/24	36	TFLO	ISHARES	1,822.14	1,821.27	(0.87)	SHORT
2021/12/19	06/06/24	3	IVV	ISHARES	933.21	1,610.08	676.87	LONG
2021/05/20	06/06/24	3	IVV	ISHARES	1,058.08	1,610.08	552.00	LONG
2027/21/22	06/06/24	1	IVV	ISHARES	395.99	536.70	140.71	LONG
2021/10/22	06/06/24	2	IYW	ISHARES	149.64	286.57	136.93	LONG
2021/10/19/23	06/06/24	16	IXC	ISHARES GLOBAL	672.80	671.02	(1.78)	SHORT
2021/14/21	06/06/24	29	EFV	ISHARES MSCI EAFE	1,441.21	1,626.56	185.35	LONG
2023/14/24	06/06/24	12	HEFA	ISHARES	407.76	430.90	23.14	SHORT
2021/25/24	06/06/24	9	IUSB	ISHARES	410.13	407.77	(2.36)	SHORT
2023/14/24	09/05/24	5	DYNF	BLACKROCK	218.60	236.29	17.69	SHORT
2021/11/23	09/05/24	7	IEMG	ISHARES CORE MSCI	350.21	373.92	23.71	LONG
2026/06/24	09/05/24	14	IEMG	ISHARES CORE MSCI	747.88	747.86	(0.02)	SHORT
2027/20/23	09/05/24	4	IVV	ISHARES	1,827.02	2,204.37	377.35	LONG
2027/20/23	09/05/24	3	TLT	ISHARES	304.76	297.56	(7.20)	LONG
2026/06/24	09/05/24	5	EFG	ISHARES MSCI EAFE	530.50	516.48	(14.02)	SHORT
2026/06/24	09/05/24	13	IWW	ISHARES S&P 500	1,155.70	1,169.44	13.74	SHORT
2020/3/14/24	09/05/24	32	HEFA	ISHARES	1,087.36	1,103.64	16.28	SHORT
2020/3/14/24	11/08/24	7	DYNF	BLACKROCK ISHARES	306.04	366.15	60.11	SHORT
2020/7/20/23	11/08/24	1	IVV	ISHARES	456.76	600.73	143.97	LONG
2021/10/19/23	11/08/24	3	IYW	ISHARES	323.64	482.02	158.38	LONG
2026/06/24	11/08/24	5	IVW	ISHARES S&P 500	444.50	505.23	60.73	SHORT
2021/14/21	11/08/24	13	IUSB	ISHARES	706.42	596.62	(109.80)	LONG
2029/05/24	11/08/24	41	IUSB	ISHARES	1,922.49	1,881.64	(40.85)	SHORT
<b>TOTAL REALIZED GAIN (LOSS)</b>					37,543.38	43,839.05	6,295.67	
<b>LONG TERM GAIN (LOSS)</b>					20,536.50	25,813.80	5,277.30	
<b>SHORT TERM GAIN (LOSS)</b>					17,006.88	18,025.25	1,018.37	

### JANNEY INSURED SWEEP\*\* - Deposit Allocation

Description		Current Value
US Bank National Association	Cincinnati, OH	\$2,056.98
<b>TOTAL JANNEY INSURED SWEEP</b>		<b>\$2,056.98</b>



**Janney Montgomery Scott Inc.**  
Member: NASD • FINRA • SIPC

## **Client Account Summary**

December 1 - December 31, 2024

Page 9 of 9

JO ANNE SIMON

ACCOUNT NUMBER: [REDACTED]

### **JANNEY INSURED SWEEP\*\* - Deposit Allocation - Continued**

Description	Current Value
**Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to <a href="http://www.janney.com/cash">www.janney.com/cash</a> . The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.	

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.



Account number: [REDACTED]

Investment Objective: Growth & Income/Aggressive

**Your Financial Advisor**

HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

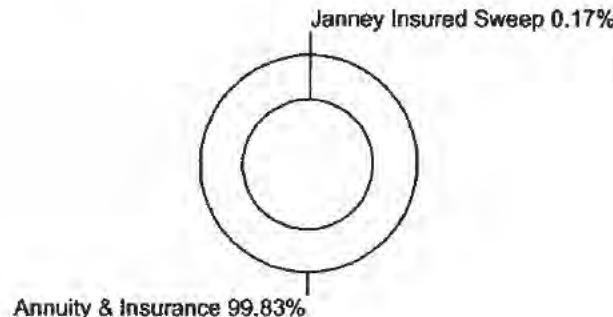
YNNNNN BFS8  
**WILLIAM HARRIS AND  
JO ANNE SIMON JT-TEN**  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 9/30/24	As of 12/31/24
Janney Insured Sweep**	1,186.33	1,191.42
Annuity & Insurance	981,442.34	974,047.87
<b>TOTAL ACCOUNT VALUE*</b>	<b>982,628.67</b>	<b>975,239.29</b>

\*Please refer to the Portfolio Details section.

**ACCOUNT ALLOCATION**



**Client Account Summary**

October 1 - December 31, 2024

Page 1 of 3

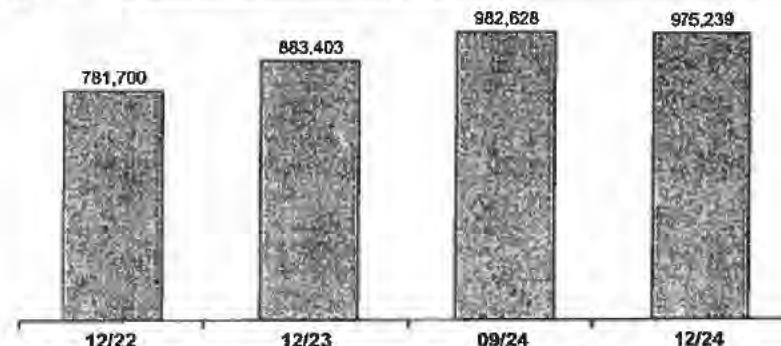
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	0.00	0.00
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	5.09	25.15
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>5.09</b>	<b>25.15</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	0.00	0.00
Securities Sold	0.00	0.00
Net Income (Expense)	5.09	25.15
Other Activity	0.00	0.00
Money Market Summary	0.00	0.00
<b>Janney Insured Sweep Activity**</b>	<b>(5.09)</b>	<b>(25.15)</b>
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



**Client Account Summary**  
October 1 - December 31, 2024

Page 2 of 3

WILLIAM HARRIS AND

ACCOUNT NUMBER: [REDACTED]

**PORTFOLIO DETAILS**

**JANNEY INSURED SWEEP\*\***

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				1,191.42		N/A	1,191.42				1.62%	0.2%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>1,191.42</b>					<b>0.2%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

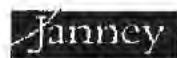
**ANNUITY & INSURANCE**

Description	Symbol/ CUSIP	Original Investment	Original Purchase Date	Current Value	% of Port.
NATIONWIDE LIFE INS CO*	63866W167	375,000	3/7/12	648,000.65	66.5%
DESTINATION L VAR ANNUITY CONTR# 017549402 VALUE AS OF 12/30/24					
NATIONWIDE LIFE INS CO*	63890A165	200,000	12/17/15	326,047.22	33.4%
DESTINATION B NY 2.0 VAR ANNUITY CONTR# 016820400 VALUE AS OF 12/30/24					
<b>TOTAL ANNUITY &amp; INSURANCE</b>				<b>974,047.87</b>	<b>99.9%</b>

\*Annuity and Insurance assets are held at the issuing insurance carrier. Janney relies on these carriers to provide values for these assets. The timing, frequency and level of data provided varies by carrier. The accuracy of this information is not guaranteed by Janney. For more detailed and timely information about your annuity or insurance contract, including benefits, current value and surrender value, please refer to the issuing carrier's statement. Please contact your Janney Financial Advisor with any questions.

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
<b>TOTAL ACCOUNT VALUE</b>				<b>1,191.42</b>			<b>975,239.29</b>					<b>100.0%</b>

\*These assets are held at custodians other than Janney. These assets are not covered by Janney's SIPC coverage or excess SIPC protection. Where available, values are provided but may not be current because they are derived from external sources for which Janney is not responsible and are shown as a service only. The custodian of these assets is responsible for providing year-end tax reporting and separate periodic statements (some exceptions may apply to certain IRA accounts). The assets are not listed on an exchange, are generally illiquid and if you are able to sell the assets, the price received may be less than the per share estimated value provided in the account statement.

**Client Account Summary**

October 1 - December 31, 2024

Page 3 of 3

WILLIAM HARRIS AND

ACCOUNT NUMBER: [REDACTED]

**CASH ACTIVITY DETAILS****INCOME AND EXPENSE****Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
10/31	INTEREST	09999410	JANNEY INSURED SWEEP 103124 1,188	1.80	CASH
11/29	INTEREST	09999410	JANNEY INSURED SWEEP 112924 1,189	1.66	CASH
12/31	INTEREST	09999410	JANNEY INSURED SWEEP 123124 1,191	1.63	CASH
<b>TOTAL INCOME</b>				<b>5.09</b>	
<b>NET INCOME (EXPENSE)</b>				<b>5.09</b>	

**JANNEY INSURED SWEEP\*\* ACTIVITY**

Date	Transaction Type	Description	Amount
10/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$1.80)
11/29/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$1.66)
12/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$1.63)
<b>TOTAL JANNEY INSURED SWEEP</b>			<b>\$1,191.42</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

**JANNEY INSURED SWEEP\*\* - Deposit Allocation**

Description	Current Value
US Bank National Association	\$1,191.42
<b>TOTAL JANNEY INSURED SWEEP</b>	<b>\$1,191.42</b>

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.



Account number: [REDACTED]

Investment Objective: Growth/Aggressive

*Your Financial Advisor*

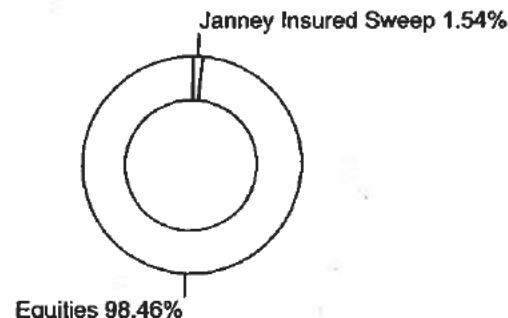
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN BFS8  
WILLIAM L HARRIS (IRA)  
JTC CUST FBO  
[REDACTED]  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 10/31/24	As of 12/31/24
Janney Insured Sweep**	439.98	1,146.40
Equities - Stocks and Options	4,563.18	4,358.34
Equities - Mutual Funds, ETFs, UITs	72,529.80	71,360.61
<b>TOTAL ACCOUNT VALUE</b>	<b>77,532.96</b>	<b>76,865.35</b>

**ACCOUNT ALLOCATION**



**Client Account Summary**

November 1 - December 31, 2024

Page 1 of 8

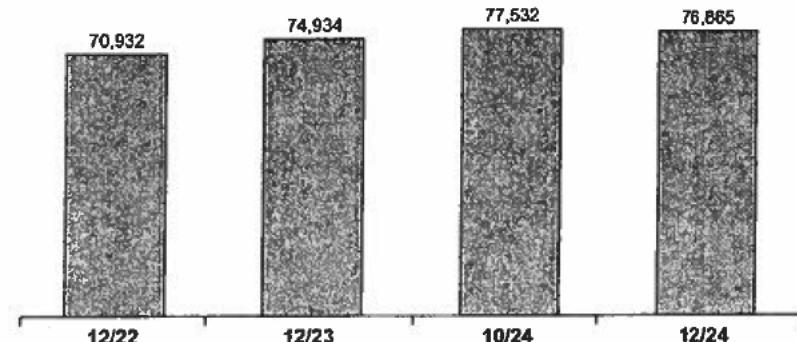
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	704.02	1,562.79
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	2.40	131.97
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>706.42</b>	<b>1,694.76</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>0.00</b>

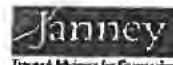
**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	0.00
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	(4,209.79)
Securities Bought	0.00	(17,336.87)
Securities Sold	0.00	17,098.43
Net Income (Expense)	706.42	508.03
Other Activity	0.00	0.36
Money Market Summary	0.00	0.00
<b>Janney Insured Sweep Activity**</b>	<b>(706.42)</b>	<b>3,939.84</b>
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

November 1 - December 31, 2024

Page 2 of 8

WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### JANNEY INSURED SWEEP\*\*

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY ADVISORY RETIREMENT INSURED SWEEP				1,146.40		N/A	1,146.40			31.06	2.71%	1.5%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>1,146.40</b>			<b>31.06</b>	<b>2.70%</b>	<b>1.5%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
SPDR GOLD TRUST GOLD SHARES	GLD	9	c3/2/23	1,535.39	170.5988	242.1300	2,179.17	643.78 LT				
		7	c3/23/23	1,298.70	185.5285	242.1300	1,694.91	396.21 LT				
		2	c12/13/23	367.16	183.5800	242.1300	484.26	117.10 LT				
		18		3,201.25			4,358.34	1,157.09				5.7%
<b>TOTAL EQUITIES - STOCKS &amp; OPTIONS</b>				<b>3,201.25</b>			<b>4,358.34</b>	<b>1,157.09</b>				<b>5.7%</b>

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
INVESCO	PDBC	7	c9/24/21	145.81	20.8300	12.9900	90.93	(54.88) LT		3.92	4.31%	
OPTIMUM YLD DIVERSIFIED		142	c3/8/22	2,652.50	18.6795	12.9900	1,844.58	(807.92) LT		79.52	4.31%	
COMMODITY STR K1 ETF		19	c3/23/23	263.29	13.8573	12.9900	246.81	(16.48) LT		10.64	4.31%	
		25	c12/13/23	338.73	13.5492	12.9900	324.75	(13.98) LT		14.00	4.31%	
		193		3,400.33			2,507.07	(893.26)		108.08	4.31%	3.3%
ISHARES CORE MSCI EAFE ETF	IEFA	15	c7/8/19	925.35	61.6900	70.2800	1,054.20	128.85 LT		34.16	3.24%	
		16	c10/30/19	1,009.12	63.0700	70.2800	1,124.48	115.36 LT		36.44	3.24%	
		119	c11/12/19	7,636.23	64.1700	70.2800	8,363.32	727.09 LT		271.01	3.24%	
		62	c7/8/21	4,613.42	74.4100	70.2800	4,357.36	(256.06) LT		141.20	3.24%	
		18	c11/4/21	1,395.23	77.5127	70.2800	1,265.04	(130.19) LT		40.99	3.24%	
		34	c12/13/23	2,319.06	66.2076	70.2800	2,389.52	70.46 LT		77.43	3.24%	
		264		17,898.41			18,553.92	655.51		601.23	3.24%	24.1%



Janney Montgomery Scott LLC  
Member: NYSE • FINRA • SIPC

## Client Account Summary

November 1 - December 31, 2024

WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: [REDACTED]

Page 3 of 8

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES RUSSELL 2000 ETF	IWM	11	c11/24/20	2,027.30	184.3000	220.9600	2,430.56	403.26 LT		28.27	1.16%	
		8	c11/4/21	1,909.84	238.7300	220.9600	1,767.68	(142.16) LT		20.56	1.16%	
		3	c11/8/22	536.79	178.9300	220.9600	662.88	126.09 LT		7.71	1.16%	
		7	c2/10/23	1,330.28	190.0400	220.9600	1,546.72	216.44 LT		17.99	1.16%	
		4	c12/13/23	743.03	185.7575	220.9600	883.84	140.81 LT		10.28	1.16%	
		33		6,547.24			7,291.68	744.44		84.81	1.16%	9.5%
ISHARES U.S. AEROSPACE & DEFENSE ETF	ITA	13	c11/8/22	1,419.93	109.2253	145.3500	1,889.55	469.62 LT		15.93	0.84%	
		17	c2/10/23	1,955.65	115.0382	145.3500	2,470.95	515.30 LT		20.82	0.84%	
		4	c12/13/23	496.96	124.2400	145.3500	581.40	84.44 LT		4.90	0.84%	
		34		3,872.54			4,941.90	1,069.36		41.65	0.84%	6.4%
SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF	XLP	39	c8/22/24	3,180.38	81.5482	78.6100	3,065.79	(114.59) ST		82.29	2.68%	4.0%
SECTOR ENERGY SELECT SECTOR SPDR ETF	XLE	15	c4/19/22	1,209.94	80.6626	85.6600	1,284.90	74.96 LT		44.67	3.47%	
		3	c12/13/23	243.47	81.1566	85.6600	256.98	13.51 LT		8.93	3.47%	
		16	c5/3/24	1,477.25	92.3281	85.6600	1,370.56	(106.69) ST		47.65	3.47%	
		34		2,930.66			2,912.44	(18.22)		101.25	3.47%	3.8%
SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	XLV	21	c8/22/24	3,245.29	154.5376	137.5700	2,888.97	(356.32) ST		47.67	1.65%	3.8%
SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF	XLK	20	c7/8/21	3,000.99	150.0495	232.5200	4,650.40	1,649.41 LT		31.20	0.67%	
		5	c11/16/23	915.19	183.0380	232.5200	1,162.60	247.41 LT		7.80	0.67%	
		4	c12/13/23	758.71	189.6775	232.5200	930.08	171.37 LT		6.24	0.67%	
		29		4,674.89			6,743.08	2,068.19		45.24	0.67%	8.8%
SELECT SECTOR COMMUNICATION SERVICES SELECT SECTOR SPDR ETF	XLC	53	c2/10/23	2,927.64	55.2384	96.8100	5,130.93	2,203.29 LT		50.98	0.99%	
		12	c11/16/23	837.56	69.7966	96.8100	1,161.72	324.16 LT		11.54	0.99%	
		10	c12/13/23	701.48	70.1480	96.8100	968.10	266.62 LT		9.62	0.99%	
		75		4,466.68			7,260.75	2,794.07		72.14	0.99%	9.5%
SELECT SECTOR TR REAL ESTATE SPDR ETF	XLRE	2	c4/7/22	98.78	49.3900	40.6700	81.34	(17.44) LT		2.77	3.40%	
		40	c7/11/23	1,530.34	38.2585	40.6700	1,626.80	96.46 LT		55.32	3.40%	
		13	c12/13/23	495.94	38.1492	40.6700	528.71	32.77 LT		17.98	3.40%	



**Janney Montgomery Scott LLC**  
Member: NYSE • FINRA • SIPC

## Client Account Summary

November 1 - December 31, 2024

Page 4 of 8

WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		52	c8/22/24	2,210.44	42.5084	40.6700	2,114.84	(95.60)	ST	71.91	3.40%	
		107		4,335.50			4,351.69	16.19		147.98	3.40%	5.7%
SELECT UTILITIES SELECT SECTOR SPDR ETF	XLU	50	c5/3/24	3,411.37	68.2274	75.6900	3,784.50	373.13	ST	110.55	2.92%	4.9%
SPDR S&P BANK ETF	KBE	82	c5/3/24	3,812.14	46.4895	55.4700	4,548.54	736.40	ST	107.33	2.35%	5.9%
VANGUARD FTSE EMERGING MARKETS ETF	VWO	50	c1/12/21	2,626.00	52.5200	44.0400	2,202.00	(424.00)	LT	60.39	2.74%	
		7	c12/13/23	280.33	40.0471	44.0400	308.28	27.95	LT	8.46	2.74%	
		57		2,906.33			2,510.28	(396.05)		68.85	2.74%	3.3%
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFs, UITs</b>				<b>64,681.76</b>			<b>71,360.61</b>	<b>6,678.85</b>		<b>1,619.07</b>	<b>2.26%</b>	<b>92.8%</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>69,029.41</b>			<b>76,865.35</b>	<b>7,835.94</b>		<b>1,650.13</b>	<b>2.14%</b>	<b>100.0%</b>

### CASH ACTIVITY DETAILS

#### INCOME AND EXPENSE

##### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
11/29	INTEREST	09999137	JANNEY ADVISORY RETIREMENT INSURED SWEEP 112924 441	1.03	CASH
12/20	DIVIDEND	IEFA	ISHARES CORE MSCI EAFE ETF 122024 264	298.45	CASH
12/20	DIVIDEND	ITA	ISHARES U.S. AEROSPACE & DEFENSE ETF 122024 34	14.85	CASH
12/20	DIVIDEND	IWM	ISHARES RUSSELL 2000 ETF 122024 33	22.90	CASH



Janney Montgomery Scott LLC  
Member: NYSE • FINRA • SIPC

## Client Account Summary

November 1 - December 31, 2024

Page 5 of 8

WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: [REDACTED]

### INCOME AND EXPENSE - Continued

#### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/24	DIVIDEND	VWO	VANGUARD FTSE EMERGING MARKETS ETF 122424 57	60.74	CASH
12/26	DIVIDEND	XLV	SECTOR HEALTHCARE SELECT SECTOR SPDR ETF 122624 21	13.05	CASH
12/26	DIVIDEND	XLC	SELECT SECTOR COMMUNICATION SERVICES SELECT SECTOR SPDR ETF 122624 75	19.08	CASH
12/26	DIVIDEND	XLP	SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF 122624 39	23.49	CASH
12/26	DIVIDEND	XLK	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF 122624 29	11.19	CASH
12/26	DIVIDEND	XLE	SECTOR ENERGY SELECT SECTOR SPDR ETF 122624 34	23.80	CASH
12/26	DIVIDEND	XLU	SELECT UTILITIES SELECT SECTOR SPDR ETF 122624 50	31.40	CASH
12/26	DIVIDEND	XLRE	SELECT SECTOR TR REAL ESTATE SPDR ETF 122624 107	46.40	CASH
12/26	DIVIDEND	KBE	SPDR S&P BANK ETF 122624 82	27.75	CASH
12/27	DIVIDEND	PDBC	INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STR K1 ETF 122724 193	110.92	CASH
12/31	INTEREST	09999137	JANNEY ADVISORY RETIREMENT INSURED SWEEP 123124 1,146	1.37	CASH
<b>TOTAL INCOME</b>					<b>706.42</b>
<b>NET INCOME (EXPENSE)</b>					<b>706.42</b>

#### JANNEY INSURED SWEEP\*\* ACTIVITY

Date	Transaction Type	Description	Amount
11/29/2024	INTEREST REINVEST	JANNEY ADVISORY	(\$1.03)



**Janney Montgomery Scott**  
Member NASD • FINRA • SIPC  
Fiduciary Advisor for Generations

## Client Account Summary

November 1 - December 31, 2024

Page 6 of 8

WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: [REDACTED]

### JANNEY INSURED SWEEP\*\* ACTIVITY - Continued

Date	Transaction Type	Description	Amount
12/23/2024	PURCHASE	JANNEY ADVISORY	(\$336.20)
12/26/2024	PURCHASE	JANNEY ADVISORY	(\$60.74)
12/27/2024	PURCHASE	JANNEY ADVISORY	(\$196.16)
12/30/2024	PURCHASE	JANNEY ADVISORY	(\$110.92)
12/31/2024	INTEREST REINVEST	JANNEY ADVISORY	(\$1.37)
<b>TOTAL JANNEY INSURED SWEEP</b>			<b>\$1,146.40</b>

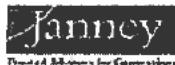
\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

### REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2021/10/23	2024/05/03	52	IEUS	ISHARES	2,821.00	2,940.57	119.57	LONG
2021/12/13/23	2024/05/03	8	IEUS	ISHARES	421.05	452.40	31.35	SHORT
2022/03/30/21	2024/05/03	4	XLRE	SELECT SECTOR TR	159.02	148.05	(10.97)	LONG
2022/06/04/21	2024/05/03	26	XLRE	SELECT SECTOR TR	1,157.70	982.38	(195.32)	LONG
2022/04/07/22	2024/05/03	10	XLRE	SELECT SECTOR TR	493.94	370.15	(123.79)	LONG
2022/09/22/23	2024/05/03	66	KIE	SPDR S&P INSURANCE ETF	2,870.77	3,256.43	385.66	SHORT
2022/12/13/23	2024/05/03	9	KIE	SPDR S&P INSURANCE ETF	412.09	444.06	31.97	SHORT
2023/03/23/23	2024/08/22	19	XLY	CONSUMER DISCRETIONARY	2,729.33	3,524.97	795.64	LONG
2023/11/16/23	2024/08/22	2	XLY	CONSUMER DISCRETIONARY	332.67	371.05	38.38	SHORT
2023/12/13/23	2024/08/22	4	XLY	CONSUMER DISCRETIONARY	691.59	742.11	50.52	SHORT
2024/02/10/23	2024/08/22	12	XES	SPDR SERIES TRUST	1,060.85	1,019.72	(41.13)	LONG
2024/12/13/23	2024/08/22	2	XES	SPDR SERIES TRUST	156.68	169.96	13.28	SHORT
2024/02/24/21	2024/08/22	36	URNM	SPROTT	1,002.30	1,448.90	446.60	LONG
2024/12/08/21	2024/08/22	22	URNM	SPROTT	907.71	885.45	(22.26)	LONG
2024/12/13/23	2024/08/22	9	URNM	SPROTT	424.59	362.23	(62.36)	SHORT
<b>TOTAL REALIZED GAIN (LOSS)</b>					<b>15,641.29</b>	<b>17,098.43</b>	<b>1,457.14</b>	
<b>LONG TERM GAIN (LOSS)</b>					<b>10,331.85</b>	<b>11,300.19</b>	<b>968.34</b>	
<b>SHORT TERM GAIN (LOSS)</b>					<b>5,309.44</b>	<b>5,798.24</b>	<b>488.80</b>	

### JANNEY TRUST CO IRA REPORTING SUMMARY

Tax Year	IRA Contributions	Other Contributions	Rollovers	Distributions	Withholdings



**Client Account Summary**

November 1 - December 31, 2024

Page 7 of 8

WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: [REDACTED]

**JANNEY TRUST CO IRA REPORTING SUMMARY - Continued**

2024 for 2024	0.00	0.00	0.00	(4,209.79)	0.00
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\*JTC hereby provides this statement to you as custodian of some or all of your assets. The cash and securities positions reported on this statement are the cash and securities positions held by JTC as your custodian at Janney Montgomery Scott, which is all of the cash and securities JTC holds for you. JTC records this cash and securities to an account it maintains in your name, and this statement should be read as a statement of such account. The fees reported in this statement are fees that Janney Montgomery Scott charges to JTC and that we pass on to you, provided that JTC retains a portion of these fees as our custodial fee under this agreement with you. Interest and other reported income is income and interest for your account at JTC.

**REQUIRED MINIMUM DISTRIBUTION**

Description	Amount
Required Minimum Distribution	4,209.79
YTD Distributions Taken	4,209.79

**JANNEY INSURED SWEEP\*\* - Deposit Allocation**

Description	Current Value
US Bank National Association	\$1,146.40
<b>TOTAL JANNEY INSURED SWEEP</b>	<b>\$1,146.40</b>

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.

This is your annual custodian report. Securities with no known regular market have been valued using matrices, secondary market bids or appraisals provided by the issuing company or a third party which we do not warrant the accuracy of the valuation. Contributions and values are being reported to the IRS by May 31, 2025.



WILLIAM L HARRIS (IRA)

ACCOUNT NUMBER: XXXXXXXXXX

Page 8 of 8

**ANNUAL BLANKET NOTICE OF WITHHOLDING**

Thank you for choosing Janney for your investment and financial needs. The important information contained in this notice relates to the tax withholding associated with your Janney Custodial IRA.

You are receiving this notification because your account is currently set up for automatic periodic distributions and/or check writing. During the course of setting up the periodic distribution, you elected either to:

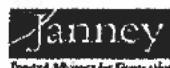
- have federal income taxes withheld from your distributions, or
- not have federal income taxes withheld

Clients who have check writing access to their Janney IRA do not automatically have taxes withheld on IRA distributions made by check.

**If federal income taxes are being withheld**, from the distributions you are receiving from your Janney IRA account and you do not wish to have taxes withheld, please notify your Janney Financial Advisor who will assist you in making this change. For those accounts set up with check writing, you can elect to have federal income tax withheld from your IRA at any time by notifying your Janney Financial Advisor. The federal withholding will be processed separately from the distribution issued by writing a check. Please be aware that if you elect to not have withholding apply to your distributions, or you do not have enough federal income tax withheld from your distributions, you may be responsible for payment of estimated taxes. You may also incur penalties if your withholding and estimated tax payments are not sufficient.

**If federal income taxes are not being withheld**, from your distributions (because you have elected to not have withholding apply), and you wish to revoke that election and have federal income taxes withheld, please notify your Janney Financial Advisor who will assist you in making this change.

Please feel free to contact your Financial Advisor with any questions or concerns you may have. Thank you again for allowing Janney the opportunity to service your Janney Custodial IRA.



Account number: [REDACTED]

Investment Objective: Growth & Income/Aggressive

**Your Financial Advisor**

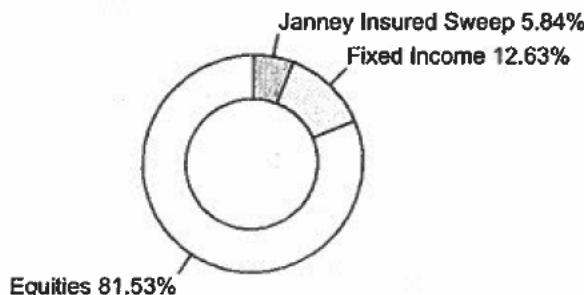
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN BFS8  
WILLIAM HARRIS LIVING TRUST  
JO ANNE SIMON TTEE  
DTD 09/21/02  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 11/30/24	As of 12/31/24
Janney Insured Sweep**	13,826.90	15,236.68
Equities - Mutual Funds, ETFs, UITs	219,618.52	213,047.79
Fixed Income - Mutual Funds, ETFs, UITs	33,281.67	33,016.73
<b>TOTAL ACCOUNT VALUE</b>	<b>266,728.09</b>	<b>261,301.20</b>

**ACCOUNT ALLOCATION**



**Client Account Summary**

December 1 - December 31, 2024

Page 1 of 6

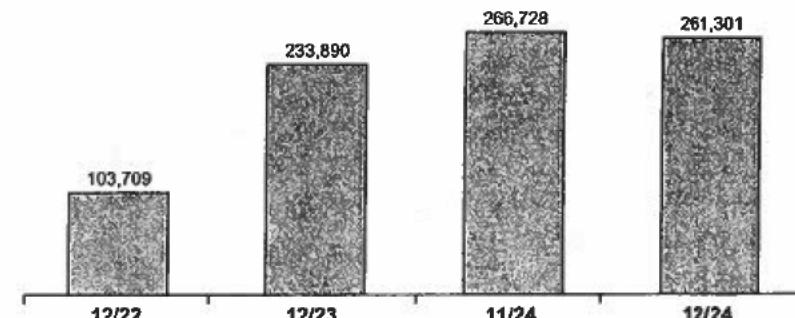
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	1,453.82	5,200.72
Tax-Exempt Dividends	0.00	62.34
Taxable Interest	0.00	131.25
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	19.48	228.12
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	0.00	0.00
<b>TOTAL INCOME</b>	<b>1,473.30</b>	<b>5,622.43</b>
Tax Withheld	0.00	0.00
Margin Interest Expense	0.00	(1,713.30)
<b>TOTAL EXPENSES</b>	<b>0.00</b>	<b>(1,713.30)</b>

**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	0.00	(88,804.82)
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	(63.52)	(803.93)
Securities Sold	0.00	105,000.00
Net Income (Expense)	1,473.30	(155.76)
Other Activity	0.00	1.19
Money Market Summary	0.00	0.00
Janney Insured Sweep Activity**	(1,409.78)	(15,236.68)
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>0.00</b>	<b>0.00</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

December 1 - December 31, 2024

Page 2 of 6

WILLIAM HARRIS LIVING TRUST

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

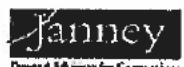
#### JANNEY INSURED SWEEP\*\*

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				15,236.68		N/A	15,236.68					1.62%	5.9%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>15,236.68</b>						<b>5.9%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF	XLY	99	c12/14/18	10,195.01	102.9798	224.3500	22,210.65	12,015.64	LT		148.89	0.67%	8.5%
ISHARES U.S. FINANCIAL SERVICES ETF	IYG	258	c12/14/18	9,992.31	38.7298	77.8100	20,074.98	10,082.67	LT		236.58	1.17%	7.7%
ISHARES CORE MSCI EUROPE ETF	IEUR	122	c12/14/18	5,161.82	42.3100	53.9800	6,585.56	1,423.74	LT		214.72	3.26%	2.5%
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF	AAXJ	42	c12/14/18	2,742.18	65.2900	72.1800	3,031.56	289.38	LT		57.70	1.90%	1.2%
SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF	XLP	215	c12/14/18	11,747.58	54.6399	78.6100	16,901.15	5,153.57	LT		453.65	2.68%	6.5%
SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	XLV	205	c12/14/18	18,308.53	89.3099	137.5700	28,201.85	9,893.32	LT		465.35	1.65%	10.8%
SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF	XLK	207	c12/14/18	13,457.05	65.0099	232.5200	48,131.64	34,674.59	LT		322.92	0.67%	
		75	c12/17/20	9,630.66	128.4088	232.5200	17,439.00	7,808.34	LT		117.00	0.67%	
		282		23,087.71			65,570.64	42,482.93			439.92	0.67%	25.1%
SELECT SECTOR COMMUNICATION SERVICES SELECT SECTOR SPDR ETF	XLC	158	c12/14/18	6,933.01	43.8798	96.8100	15,295.98	8,362.97	LT		151.98	0.99%	5.9%



Janney Montgomery Scott Inc.

Montague, N.H., & W.H.B., p. 119.

## **Client Account Summary**

December 1 - December 31, 2024

Page 3 of 6

WILLIAM HARRIS | LIVING TRUST

**ACCOUNT NUMBER:**

## **PORTFOLIO DETAILS**

**EQUITIES - MUTUAL FUNDS, ETCs, UTS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VANGUARD FTSE ALL WORLD EX US ETF	VEU	510	c12/14/18	23,912.27	46.8868	57.4100	29,279.10	5,366.83	LT		899.64	3.07%	11.2%
VANGUARD FTSE PACIFIC ETF	VPL	83	c12/14/18	5,243.09	63.1697	71.0400	5,896.32	653.23	LT		190.65	3.23%	2.3%
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS</b>				<b>117,323.51</b>			<b>213,047.79</b>	<b>95,724.28</b>			<b>3,259.08</b>	<b>1.52%</b>	<b>81.5%</b>

FIXED INCOME - MUTUAL FUNDS, ETFs & UITS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est Yield	% of Port.
EATON VANCE TOTAL RETURN BOND CL I	EIBAX	1,159.219 287.672 Reinvestments	2/2/10	11,732.76 3,133.70	10.1212 10.8933	10.2300 N/A	11,858.82 2,942.87	126.05 (190.81)	LT		658.45 163.38	5.55% 5.55%	
		1,446.891		14,866.46			14,801.69	(64.76)			821.83	5.55%	5.7%
				Fund Investment Gain/(Loss)				3,068.93					
ISHARES FLOATING RATE BOND ETF	FLOT	358	c12/14/18	18,061.06	50.4498	50.8800	18,215.04	153.98	LT		1,067.19	5.85%	7.0%
<b>TOTAL FIXED INCOME - MUTUAL FUNDS, ETF'S &amp; UIT'S</b>				32,927.52			33,016.73	89.22			1,889.02	5.72%	12.6%
<b>TOTAL ACCOUNT VALUE</b>				165,487.71			261,301.20	95,813.50			5,148.18	1.97%	100.0%

**CASH ACTIVITY DETAILS**

**SECURITIES BOUGHT**



**Client Account Summary**  
December 1 - December 31, 2024

Page 4 of 6

WILLIAM HARRIS LIVING TRUST

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE**

**Income**

Date	Transaction Type	Symbol/CUSIP	Description	Amount	Acct Type
12/02	DIVIDEND	EIBAX	EATON VANCE TOTAL RETURN BOND CLI 112924 1,440.78900	63.52	MARGIN
12/05	DIVIDEND	FLOT	ISHARES FLOATING RATE BOND ETF 120524 358	79.34	MARGIN
12/20	DIVIDEND	AAXJ	ISHARES MSCI ALL COUNTRY ASIA EX JAPAN ETF 122024 42	45.10	MARGIN
12/20	DIVIDEND	IYG	ISHARES U S FINANCIAL SERVICES ETF 122024 258	65.87	MARGIN
12/20	DIVIDEND	IEUR	ISHARES CORE MSCI EUROPE ETF 122024 122	87.58	MARGIN
12/23	DIVIDEND	FLOT	ISHARES FLOATING RATE BOND ETF 122324 358	81.24	MARGIN
12/24	DIVIDEND	VEU	VANGUARD FTSE ALL WORLD EX US ETF 122424 510	479.55	MARGIN
12/24	DIVIDEND	VPL	VANGUARD FTSE PACIFIC ETF 122424 83	102.75	MARGIN
12/26	DIVIDEND	XLV	SECTOR HEALTHCARE SELECT SECTOR SPDR ETF 122624 205	127.44	MARGIN
12/26	DIVIDEND	XLC	SELECT SECTOR COMMUNICATION SERVICES SELECT SECTOR SPDR ETF 122624 158	40.20	MARGIN
12/26	DIVIDEND	XLP	SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF 122624 215	129.51	MARGIN
12/26	DIVIDEND	XLK	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF 122624 282	108.84	MARGIN
12/26	DIVIDEND	XLY	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF 122624 99	42.88	MARGIN
12/31	INTEREST	09999410	JANNEY INSURED SWEEP 123124 15,236	19.48	CASH



Janney Montgomery Scott  
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## Client Account Summary

December 1 - December 31, 2024

Page 5 of 6

WILLIAM HARRIS LIVING TRUST

ACCOUNT NUMBER: [REDACTED]

### INCOME AND EXPENSE - Continued

#### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
	NET INCOME (EXPENSE)			1,473.30	
	TOTAL INCOME			1,473.30	

#### OTHER ACTIVITY

Date	Transaction Type	Quantity	Symbol/ CUSIP	Description	Amount	Acct Type
	NET JOURNALS			TRF TO CASH ACCT	1,390.30	CASH
	NET JOURNALS			TRF FRM MARGIN ACCT	(1,390.30)	MARGIN
	TOTAL OTHER ACTIVITY				0.00	

#### JANNEY INSURED SWEEP\*\* ACTIVITY

Date	Transaction Type	Description			Amount
12/06/2024	PURCHASE	JANNEY INSURED SWEEP			(\$79.34)
12/23/2024	PURCHASE	JANNEY INSURED SWEEP			(\$198.55)
12/24/2024	PURCHASE	JANNEY INSURED SWEEP			(\$81.24)
12/26/2024	PURCHASE	JANNEY INSURED SWEEP			(\$582.30)
12/27/2024	PURCHASE	JANNEY INSURED SWEEP			(\$448.87)
12/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP			(\$19.48)
	TOTAL JANNEY INSURED SWEEP				\$15,236.68

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
03/07/23	03/15/24	105,000	91282CBR1	U.S. TREASURY NOTE	105,000.00	105,000.00	0.00	LONG
					105,000.00	105,000.00	0.00	
					105,000.00	105,000.00	0.00	



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## Client Account Summary

December 1 - December 31, 2024

Page 6 of 6

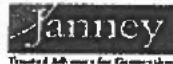
WILLIAM HARRIS LIVING TRUST

ACCOUNT NUMBER: [REDACTED]

### JANNEY INSURED SWEEP\*\* - Deposit Allocation

Description		Current Value
US Bank National Association	Cincinnati, OH	\$15,236.68
<b>TOTAL JANNEY INSURED SWEEP</b>		<b>\$15,236.68</b>

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.



**Janney Montgomery Scott LLC**  
Member NASD • FINRA • SIPC  
Trusted Advisors for Generations

Account number: [REDACTED]

Investment Objective: Growth & Income/Aggressive

**Your Financial Advisor**

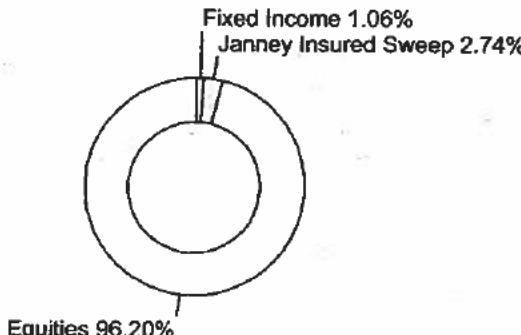
HERITAGE WEALTH MANAGEMENT GROUP  
JOHN RUGGIERO

YNNNNN BFS8  
WILLIAM HARRIS &  
JO ANNE SIMON TTEES  
W HARRIS & J SIMON REV TR JTC  
[REDACTED]  
[REDACTED]

**PORTFOLIO SUMMARY**

	As of 11/30/24	As of 12/31/24
Janney Insured Sweep**	25,261.14	21,005.40
Credit/(Debit) Balance	(1,359.58)	203.95
Equities - Stocks and Options	641,959.92	608,678.60
Equities - Mutual Funds, ETFs, UITs	150,528.37	142,033.08
Fixed Income - Mutual Funds, ETFs, UITs	8,287.87	8,258.25
<b>TOTAL ACCOUNT VALUE</b>	<b>824,677.72</b>	<b>780,179.28</b>

**ACCOUNT ALLOCATION**



**Client Account Summary**

December 1 - December 31, 2024

Page 1 of 37

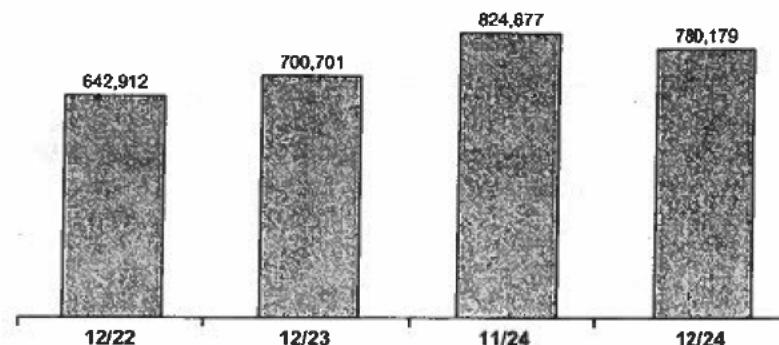
**INCOME AND EXPENSE SUMMARY**

	Current Period	Year-to-Date
Taxable Dividends	4,618.63	15,017.69
Tax-Exempt Dividends	0.00	0.00
Taxable Interest	0.00	0.00
Tax-Exempt Interest	0.00	0.00
Janney Insured Sweep Interest**	31.03	324.70
Capital Gain Distributions	0.00	0.00
Partnership Distributions	0.00	0.00
Other Income	22.19	22.81
<b>TOTAL INCOME</b>	<b>4,671.85</b>	<b>15,365.20</b>
Tax Withheld	(0.47)	(35.49)
Margin Interest Expense	0.00	0.00
<b>TOTAL EXPENSES</b>	<b>(0.47)</b>	<b>(35.49)</b>

**CASH ACTIVITY SUMMARY**

	Current Period	Year-to-Date
Opening Credit/(Debit) Balance	(1,359.58)	222.65
Cash Deposits	0.00	0.00
Cash Withdrawals	0.00	0.00
Securities Bought	(37,192.02)	(256,760.93)
Securities Sold	29,843.43	258,483.82
Net Income (Expense)	4,634.19	4,034.96
Other Activity	22.19	53.77
Money Market Summary	0.00	0.00
<b>Janney Insured Sweep Activity**</b>	<b>4,255.74</b>	<b>(5,830.32)</b>
<b>CLOSING CREDIT/(DEBIT) BALANCE</b>	<b>203.95</b>	<b>203.95</b>

**ACCOUNT VALUE COMPARISON**



\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.



## Client Account Summary

December 1 - December 31, 2024

Page 2 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### JANNEY INSURED SWEEP\*\*

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JANNEY INSURED SWEEP				21,005.40		N/A	21,005.40				1.62%	2.7%
<b>TOTAL JANNEY INSURED SWEEP</b>							<b>21,005.40</b>					<b>2.7%</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

#### CREDIT/(DEBIT) BALANCE

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CASH				203.95			203.95					
<b>TOTAL CREDIT/(DEBIT) BALANCE</b>				<b>203.95</b>			<b>203.95</b>					

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
3M COMPANY	MMM	15	c1/18/24	1,338.55	89.2366	129.0900	1,936.35	597.80 ST		42.00	2.16%	
		7	c1/25/24	567.23	81.0328	129.0900	903.63	336.40 ST		19.60	2.16%	
		5	c2/6/24	395.81	79.1620	129.0900	645.45	249.64 ST		14.00	2.16%	
		6	c3/14/24	521.53	86.9216	129.0900	774.54	253.01 ST		16.80	2.16%	
		1	c11/15/24	129.84	129.8400	129.0900	129.09	(0.75) ST		2.80	2.16%	
		34		2,952.96			4,389.06	1,436.10		95.20	2.16%	0.6%
A O SMITH	AOS	25	c1/7/19	1,102.44	44.0976	68.2100	1,705.25	602.81 LT		34.00	1.99%	
		18	c8/5/19	777.60	43.2000	68.2100	1,227.78	450.18 LT		24.48	1.99%	
		43		1,880.04			2,933.03	1,052.99		58.48	1.99%	0.4%
ABBOTT LABORATORIES	ABT	25	c12/20/24	2,867.64	114.7056	113.1100	2,827.75	(39.89) ST		59.00	2.08%	0.4%
ABBVIE INC	ABBV	5	c1/29/21	512.87	102.5740	177.7000	888.50	375.63 LT		32.80	3.69%	
		6	c7/24/23	859.55	143.2583	177.7000	1,066.20	206.65 LT		39.36	3.69%	
		4	c11/8/23	571.93	142.9825	177.7000	710.80	138.87 LT		26.24	3.69%	
		1	c1/11/24	163.69	163.6900	177.7000	177.70	14.01 ST		6.56	3.69%	



## **Client Account Summary**

December 1 - December 31, 2024

WILLIAM HARRIS

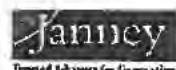
ACCOUNT NUMBER: [REDACTED]

Page 3 of 37

### **PORTFOLIO DETAILS**

#### **EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		5	c1/11/24	820.04	164.0080	177.7000	888.50	68.46	LT		32.80	3.69%	
		1	c5/29/24	154.24	154.2400	177.7000	177.70	23.46	ST		6.56	3.69%	
		6	c6/27/24	1,008.07	168.0116	177.7000	1,066.20	58.13	ST		39.36	3.69%	
		1	c11/15/24	165.34	165.3400	177.7000	177.70	12.36	ST		6.56	3.69%	
		29		4,255.73			5,153.30	897.57			190.24	3.69%	0.7%
ALCOA CORP	AA	25	c3/4/24	681.71	27.2684	37.7800	944.50	262.79	ST		10.00	1.05%	
		2	c8/9/24	61.12	30.5600	37.7800	75.56	14.44	ST		0.80	1.05%	
		27		742.83			1,020.06	277.23			10.80	1.05%	0.1%
ALLIANT ENERGY CORP	LNT	41	c3/23/21	2,126.65	51.8695	59.1400	2,424.74	298.09	LT		78.72	3.24%	
		21	c1/30/23	1,136.14	54.1019	59.1400	1,241.94	105.80	LT		40.32	3.24%	
		2	c4/24/23	110.95	55.4750	59.1400	118.28	7.33	LT		3.84	3.24%	
		64		3,373.74			3,784.96	411.22			122.88	3.24%	0.5%
ALPHABET INC CL A	GOOGL	41	c2/4/16	1,484.32	36.2029	189.3000	7,761.30	6,276.98	LT		32.80	0.42%	
		4	c9/20/24	650.18	162.5450	189.3000	757.20	107.02	ST		3.20	0.42%	
		6	c10/9/24	968.86	161.4766	189.3000	1,135.80	166.94	ST		4.80	0.42%	
		51		3,103.36			9,654.30	6,550.94			40.80	0.42%	1.2%
AMAZON.COM INC	AMZN	32	c1/17/23	3,085.69	96.4278	219.3900	7,020.48	3,934.79	LT				
		23	c3/8/23	2,147.22	93.3573	219.3900	5,045.97	2,898.75	LT				
		6	c10/9/24	1,103.51	183.9183	219.3900	1,316.34	212.83	ST				
		61		6,336.42			13,382.79	7,046.37					1.7%
AMERICAN INTL GROUP INC	AIG	30	c1/14/19	1,261.95	42.0650	72.8000	2,184.00	922.05	LT		48.00	2.19%	
		23	c5/8/20	599.58	26.0686	72.8000	1,674.40	1,074.82	LT		36.80	2.19%	
		8	c4/3/23	402.23	50.2787	72.8000	582.40	180.17	LT		12.80	2.19%	
		2	c5/29/24	155.09	77.5450	72.8000	145.60	(9.49)	ST		3.20	2.19%	
		11	c10/14/24	855.55	77.7772	72.8000	800.80	(54.75)	ST		17.60	2.19%	
		74		3,274.40			5,387.20	2,112.80			118.40	2.19%	0.7%
ANALOG DEVICES INC	ADI	1	6/24/09	23.81	23.8100	212.4600	212.46	188.65	LT		3.68	1.73%	
		10	3/5/10	295.00	29.5000	212.4600	2,124.60	1,829.60	LT		36.80	1.73%	
		6	c9/13/22	899.82	149.9700	212.4600	1,274.76	374.94	LT		22.08	1.73%	
		17		1,218.63			3,611.82	2,393.19			62.56	1.73%	0.5%



## Client Account Summary

December 1 - December 31, 2024

Page 4 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
APPLE INC	AAPL	12	c1/28/14	217.86	18.1550	250.4200	3,005.04	2,787.18	LT		12.00	0.39%	
		18	c2/19/16	432.85	24.0472	250.4200	4,507.56	4,074.71	LT		18.00	0.39%	
		4	c3/30/20	252.24	63.0600	250.4200	1,001.68	749.44	LT		4.00	0.39%	
		5	c5/8/20	382.71	76.5420	250.4200	1,252.10	869.39	LT		5.00	0.39%	
		10	c5/10/24	1,824.05	182.4050	250.4200	2,504.20	680.15	ST		10.00	0.39%	
				49	3,109.71		12,270.58	9,160.87			49.00	0.39%	1.6%
ARTHUR J GALLAGHER & COMPANY	AJG	8	c4/15/24	1,883.82	235.4775	283.8500	2,270.80	386.98	ST		19.20	0.84%	
		3	c5/8/24	736.88	245.6268	283.8500	851.55	114.67	ST		7.20	0.84%	
				11	2,620.70		3,122.35	501.65			26.40	0.84%	0.4%
AUTODESK INC	ADSK	10	c1/31/23	2,131.07	213.1070	295.5700	2,955.70	824.63	LT				0.4%
AVERY DENNISON CORP	AVY	13	c8/26/11	355.91	27.3776	187.1300	2,432.69	2,076.78	LT		45.76	1.88%	0.3%
BALL CORP	BALL	2	c3/14/23	105.43	52.7150	55.1300	110.26	4.83	LT		1.60	1.45%	
		9	c5/15/23	509.14	56.5711	55.1300	496.17	(12.97)	LT		7.20	1.45%	
		10	c6/8/23	527.12	52.7120	55.1300	551.30	24.18	LT		8.00	1.45%	
		14	c10/13/23	616.50	44.0357	55.1300	771.82	155.32	LT		11.20	1.45%	
		5	c11/15/24	305.43	61.0860	55.1300	275.65	(29.78)	ST		4.00	1.45%	
				40	2,063.62		2,205.20	141.58			32.00	1.45%	0.3%
BANK OF AMERICA CORP	BAC	36	c11/15/24	1,682.88	46.7466	43.9500	1,582.20	(100.68)	ST		37.44	2.36%	
		47	c12/6/24	2,188.19	46.5572	43.9500	2,065.65	(122.54)	ST		48.88	2.36%	
		38	c12/20/24	1,677.97	44.1571	43.9500	1,670.10	(7.87)	ST		39.52	2.36%	
				121	5,549.04		5,317.95	(231.09)			125.84	2.36%	0.7%
BERKLEY W R CORP	WRB	41,770	c5/2/23	1,626.77	38.9454	58.5200	2,444.41	817.64	LT		13.37	0.54%	
		20,885	c6/1/23	774.14	37.0663	58.5200	1,222.20	448.06	LT		6.68	0.54%	
		10,393	c7/17/23	419.69	40.3802	58.5200	608.23	188.53	LT		3.33	0.54%	
		8,951	c1/10/24	429.77	48.0145	58.5200	523.80	94.03	ST		2.86	0.54%	
				82	3,250.37		4,798.64	1,548.26			26.24	0.54%	0.6%
BIO RAD LABS INC CL A	BIO	6	c7/7/23	2,315.66	385.9433	328.5100	1,971.06	(344.60)	LT				
		4	c11/18/24	1,240.08	310.0200	328.5100	1,314.04	73.96	ST				



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## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 5 of 37

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		10		3,555.74			3,285.10	(270.64)				0.4%
BJS WHOLESALE CLUB HOLDINGS INC.	BJ	16	c3/10/22	959.63	59.9768	89.3500	1,429.60	469.97	LT			
		1	c5/23/23	64.10	64.1000	89.3500	89.35	25.25	LT			
		10	c7/5/23	631.57	63.1570	89.3500	893.50	261.93	LT			
		11	c4/8/24	841.35	76.4863	89.3500	982.85	141.50	ST			
		2	c5/29/24	170.97	85.4850	89.3500	178.70	7.73	ST			
		40		2,667.62			3,574.00	906.38				0.5%
BOOZ ALLEN HAMILTON HOLDING CORP CL A	BAH	10	c3/14/18	386.94	38.6940	128.7000	1,287.00	900.06	LT	20.40	1.58%	
		4	c11/18/24	570.10	142.5250	128.7000	514.80	(55.30)	ST	8.16	1.58%	
		14		957.04			1,801.80	844.76		28.56	1.58%	0.2%
BOSTON SCIENTIFIC CORP	BSX	4	c1/26/12	23.80	5.9500	89.3200	357.28	333.48	LT			
		24	c1/26/12	198.00	8.2500	89.3200	2,143.68	1,945.68	LT			
		14	c1/26/12	99.96	7.1400	89.3200	1,250.48	1,150.52	LT			
		14	c6/26/18	450.10	32.1500	89.3200	1,250.48	800.38	LT			
		3	c5/1/20	107.70	35.9000	89.3200	267.96	160.26	LT			
		18	c11/11/22	773.58	42.9766	89.3200	1,607.76	834.18	LT			
		77		1,653.14			6,877.64	5,224.50				0.9%
BRISTOL MYERS SQUIBB COMPANY	BMY	50	c11/24/21	2,846.79	56.9358	56.5600	2,828.00	(18.79)	LT	124.00	4.38%	
		3	c5/23/23	200.19	66.7300	56.5600	169.68	(30.51)	LT	7.44	4.38%	
		15	c7/18/23	930.57	62.0380	56.5600	848.40	(82.17)	LT	37.20	4.38%	
		2	c4/8/24	102.33	51.1650	56.5600	113.12	10.79	ST	4.96	4.38%	
		9	c6/12/24	384.73	42.7477	56.5600	509.04	124.31	ST	22.32	4.38%	
		10	c8/9/24	468.08	46.8080	56.5600	565.60	97.52	ST	24.80	4.38%	
		5	c8/9/24	325.02	65.0040	56.5600	282.80	(42.22)	LT	12.40	4.38%	
		10	c10/7/24	539.89	53.9890	56.5600	565.60	25.71	ST	24.80	4.38%	
		104		5,797.60			5,882.24	84.64		257.92	4.38%	0.8%
BROADRIDGE FINANCIAL SOLUTIONS INC	BR	13	6/24/09	206.18	15.8600	226.0900	2,939.17	2,732.99	LT	45.76	1.55%	0.4%
BWX TECHNOLOGIES INC	BWXT	24	8/5/10	414.30	17.2625	111.3900	2,673.36	2,259.06	LT	23.04	0.86%	0.3%
CASEY'S GENL STORES INC	CASY	9	c11/9/17	1,022.12	113.5688	396.2300	3,566.07	2,543.95	LT	18.00	0.50%	



**Client Account Summary**  
December 1 - December 31, 2024

Page 6 of 37

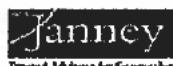
WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**PORTFOLIO DETAILS**

**EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		1	c4/3/18	109.87	109.8700	396.2300	396.23	286.36	LT	2.00	0.50%	
		10		1,131.99			3,962.30	2,830.31		20.00	0.50%	0.5%
CBOE GLOBAL MARKETS INC	CBOE	29	c2/23/21	2,823.64	97.3668	195.4000	5,666.60	2,842.96	LT	73.08	1.28%	
		1	c11/22/23	179.10	179.1000	195.4000	195.40	16.30	LT	2.52	1.28%	
		2	c5/1/24	361.46	180.7300	195.4000	390.80	29.34	ST	5.04	1.28%	
		4	c8/20/24	685.46	171.3650	195.4000	781.60	96.14	ST	10.08	1.28%	
		36		4,049.66			7,034.40	2,984.74		90.72	1.28%	0.9%
CHARLES RIVER LAB INTL INC	CRL	9	c4/25/23	1,666.70	185.1888	184.6000	1,661.40	(5.30)	LT			0.2%
CHEVRON CORP	CVX	22	c3/30/20	1,558.47	70.8395	144.8400	3,186.48	1,628.01	LT	143.44	4.50%	0.4%
CHORD ENERGY CORP	CHRD	15	c4/3/24	2,721.84	181.4560	116.9200	1,753.80	(968.04)	ST	152.25	8.68%	
		3	c8/8/24	455.83	151.9433	116.9200	350.76	(105.07)	ST	30.45	8.68%	
		5	c11/18/24	656.30	131.2600	116.9200	584.60	(71.70)	ST	50.75	8.68%	
		23		3,833.97			2,689.16	(1,144.81)		233.45	8.68%	0.3%
CHUBB LTD	CB	43	c12/12/24	11,889.52	276.5004	276.3000	11,880.90	(8.62)	ST	156.52	1.31%	1.5%
CISCO SYSTEMS INC	CSCO	58	c7/18/24	2,810.39	48.4550	59.2000	3,433.60	623.21	ST	92.80	2.70%	
		10	c8/1/24	478.75	47.8750	59.2000	592.00	113.25	ST	16.00	2.70%	
		11	c8/9/24	502.12	45.6472	59.2000	651.20	149.08	ST	17.60	2.70%	
		9	c9/20/24	469.44	52.1600	59.2000	532.80	63.36	ST	14.40	2.70%	
		5	c11/15/24	286.98	57.3960	59.2000	296.00	9.02	ST	8.00	2.70%	
		93		4,547.68			5,505.60	957.92		148.80	2.70%	0.7%
CLOROX COMPANY	CLX	11	c10/13/23	1,350.69	122.7900	162.4100	1,786.51	435.82	LT	53.68	3.00%	
		7	c12/11/23	980.00	140.0000	162.4100	1,136.87	156.87	LT	34.16	3.00%	
		2	c4/12/24	285.22	142.6100	162.4100	324.82	39.60	ST	9.76	3.00%	
		2	c4/12/24	292.86	146.4300	162.4100	324.82	31.96	ST	9.76	3.00%	
		1	c11/15/24	167.69	167.6900	162.4100	162.41	(5.28)	ST	4.88	3.00%	
		23		3,076.46			3,735.43	658.97		112.24	3.00%	0.5%
CMS ENERGY CORP	CMS	17	c5/23/17	739.56	43.5035	66.6500	1,133.05	393.49	LT	35.02	3.09%	
		4	c5/15/23	239.90	59.9750	66.6500	266.60	26.70	LT	8.24	3.09%	



**Client Account Summary**  
December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 7 of 37

**PORTFOLIO DETAILS**

**EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		12	c9/19/23	675.59	56.2991	66.6500	799.80	124.21	LT		24.72	3.09%	
		8	c6/12/24	477.92	59.7400	66.6500	533.20	55.28	ST		16.48	3.09%	
		8	c11/15/24	544.52	68.0650	66.6500	533.20	(11.32)	ST		16.48	3.09%	
				2,677.49			3,265.85	588.36			100.94	3.09%	0.4%
CNH INDUSTRIAL N V	CNH	213	c4/16/24	2,668.42	12.5277	11.3300	2,413.29	(255.13)	ST		100.11	4.14%	
		60	c11/18/24	657.53	10.9588	11.3300	679.80	22.27	ST		28.20	4.14%	
				3,325.95			3,093.09	(232.86)			128.31	4.14%	0.4%
CONOCOPHILLIPS	COP	28	c9/7/21	1,560.99	55.7496	99.1700	2,776.76	1,215.77	LT		87.36	3.14%	
		4	c5/15/23	401.04	100.2600	99.1700	396.68	(4.36)	LT		12.48	3.14%	
		4	c8/7/24	433.44	108.3600	99.1700	396.68	(36.76)	ST		12.48	3.14%	
				2,395.47			3,570.12	1,174.65			112.32	3.14%	0.5%
CONSTELLATION BRANDS INC CL A	STZ	13	c3/10/22	2,736.58	210.5061	221.0000	2,873.00	136.42	LT		52.52	1.82%	
		1	c11/15/24	236.83	236.8300	221.0000	221.00	(15.83)	ST		4.04	1.82%	
				2,973.41			3,094.00	120.59			56.56	1.82%	0.4%
CORPAY INC	CPAY	6	c4/3/24	1,894.76	315.7933	338.4200	2,030.52	135.76	ST				0.3%
CORTEVA INC	CTVA	48	c10/28/24	2,922.24	60.8800	56.9600	2,734.08	(188.16)	ST		32.64	1.19%	0.4%
CRH PLC	CRH	38	c1/29/24	2,685.34	70.6668	92.5200	3,515.76	830.42	ST		53.20	1.51%	
		2	c3/7/24	164.98	82.4900	92.5200	185.04	20.06	ST		2.80	1.51%	
				2,850.32			3,700.80	850.48			56.00	1.51%	0.5%
CROWN CASTLE INC	CCI	24	c11/24/23	2,471.80	102.9916	90.7600	2,178.24	(293.56)	LT		150.24	6.89%	
		12	c10/25/24	1,311.91	109.3258	90.7600	1,089.12	(222.79)	ST		75.12	6.89%	
				3,783.71			3,267.36	(516.35)			225.36	6.89%	0.4%
CSX CORP	CSX	48	c5/1/23	1,511.38	31.4870	32.2700	1,548.96	37.58	LT		23.04	1.48%	
		15	c5/15/23	483.29	32.2193	32.2700	484.05	0.76	LT		7.20	1.48%	
		20	c6/8/23	648.14	32.4070	32.2700	645.40	(2.74)	LT		9.60	1.48%	
		13	c6/13/23	426.51	32.8084	32.2700	419.51	(7.00)	LT		6.24	1.48%	
		24	c6/12/24	785.21	32.7170	32.2700	774.48	(10.73)	ST		11.52	1.48%	
				120	3,854.53		3,872.40	17.87			57.60	1.48%	0.5%



**Client Account Summary**  
December 1 - December 31, 2024

Page 8 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**PORTFOLIO DETAILS**

**EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
DIAMONDBACK ENERGY INC	FANG	14	c1/20/22	1,793.71	128.1221	163.8300	2,293.62	499.91	LT	116.06	5.06%	
		8	c1/27/22	1,009.67	126.2087	163.8300	1,310.64	300.97	LT	66.32	5.06%	
		5	c11/18/24	913.07	182.6140	163.8300	819.15	(93.92)	ST	41.45	5.06%	
				3,716.45			4,423.41	706.96		223.83	5.06%	0.6%
ELECTRONIC ARTS INC	EA	19	c11/5/24	2,937.78	154.6200	146.3000	2,779.70	(158.08)	ST	14.44	0.51%	0.4%
EMERSON ELECTRIC CO	EMR	19	c2/13/24	1,985.50	104.5000	123.9300	2,354.67	369.17	ST	40.09	1.70%	
		5	c3/4/24	547.35	109.4700	123.9300	619.65	72.30	ST	10.55	1.70%	
		7	c5/1/24	753.78	107.6828	123.9300	867.51	113.73	ST	14.77	1.70%	
		5	c5/14/24	571.51	114.3020	123.9300	619.65	48.14	ST	10.55	1.70%	
		1	c5/29/24	110.96	110.9600	123.9300	123.93	12.97	ST	2.11	1.70%	
		5	c8/9/24	516.60	103.3200	123.9300	619.65	103.05	ST	10.55	1.70%	
		1	c11/15/24	126.70	126.7000	123.9300	123.93	(2.77)	ST	2.11	1.70%	
				4,612.40			5,328.99	716.59		90.73	1.70%	0.7%
EOG RESOURCES INC	EOG	3	c8/26/20	131.54	43.8466	122.5800	367.74	236.20	LT	11.70	3.18%	
		4	c3/26/24	503.20	125.8000	122.5800	490.32	(12.88)	ST	15.60	3.18%	
		1	c5/29/24	121.50	121.5000	122.5800	122.58	1.08	ST	3.90	3.18%	
		1	c6/12/24	121.00	121.0000	122.5800	122.58	1.58	ST	3.90	3.18%	
		4	c8/7/24	499.74	124.9350	122.5800	490.32	(9.42)	ST	15.60	3.18%	
				1,376.98			1,593.54	216.56		50.70	3.18%	0.2%
EQT CORP	EQT	34	c10/21/24	1,228.49	36.1320	46.1100	1,567.74	339.25	ST	21.42	1.36%	0.2%
EVERSOURCE ENERGY	ES	34	c6/6/23	2,408.80	70.8470	57.4300	1,952.62	(456.18)	LT	97.24	4.97%	
		22	c11/22/23	1,302.18	59.1900	57.4300	1,263.46	(38.72)	LT	62.92	4.97%	
				3,710.98			3,216.08	(494.90)		160.16	4.97%	0.4%
EXPEDITORS INT'L WASH INC	EXPD	22	c1/27/14	918.05	41.7295	110.7700	2,436.94	1,518.89	LT	32.12	1.31%	
		3	c1/9/19	207.23	69.0766	110.7700	332.31	125.08	LT	4.38	1.31%	
		7	c3/24/20	417.06	59.5800	110.7700	775.39	358.33	LT	10.22	1.31%	
				1,542.34			3,544.64	2,002.30		46.72	1.31%	0.5%
FERGUSON ENTERPRISES INC	FERG	9	c12/18/23	1,692.08	188.0088	173.5700	1,562.13	(129.95)	LT	29.88	1.91%	
		5	c11/29/24	1,081.46	216.2920	173.5700	867.85	(213.61)	ST	16.60	1.91%	



**Client Account Summary**  
December 1 - December 31, 2024

Page 9 of 37

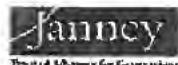
WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**PORTFOLIO DETAILS**

**EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		14		2,773.54			2,429.98	(343.56)			46.48	1.91%	0.3%
FIDELITY NATIONAL INFORMATION SERVICES INC	FIS	34	c1/29/24	2,167.45	63.7485	80.7700	2,746.18	578.73	ST		48.96	1.78%	0.4%
FIRST AMERICAN FINANCIAL CORP	FAF	32	12/13/10	480.19	15.0059	62.4400	1,998.08	1,517.89	LT		69.12	3.45%	0.3%
FIRST INDUSTRIAL REALTY TRUST	FR	20	c11/25/22	1,004.91	50.2455	50.1300	1,002.60	(2.31)	LT		29.60	2.95%	
		9	c12/20/22	432.19	48.0211	50.1300	451.17	18.98	LT		13.32	2.95%	
		10	c9/27/23	477.80	47.7800	50.1300	501.30	23.50	LT		14.80	2.95%	
		9	c8/9/24	482.27	53.5855	50.1300	451.17	(31.10)	ST		13.32	2.95%	
		10	c9/3/24	570.05	57.0050	50.1300	501.30	(68.75)	ST		14.80	2.95%	
		58		2,967.22			2,907.54	(59.68)			85.84	2.95%	0.4%
FLUOR CORP	FLR	76	c12/2/20	1,348.28	17.7405	49.3200	3,748.32	2,400.04	LT				0.5%
FMC CORP	FMC	12	c9/2/22	1,298.04	108.1700	48.6100	583.32	(714.72)	LT		27.84	4.77%	
		5	c6/28/23	519.32	103.8640	48.6100	243.05	(276.27)	LT		11.60	4.77%	
		8	c9/19/23	580.36	72.5450	48.6100	388.88	(191.48)	LT		18.56	4.77%	
		8	c9/27/23	529.60	68.2000	48.6100	388.88	(140.72)	LT		18.56	4.77%	
		6	c5/14/24	398.59	66.4316	48.6100	291.66	(106.93)	ST		13.92	4.77%	
		5	c11/15/24	272.46	54.4920	48.6100	243.05	(29.41)	ST		11.60	4.77%	
		9	c12/20/24	456.03	50.6700	48.6100	437.49	(18.54)	ST		20.88	4.77%	
		53		4,054.40			2,576.33	(1,478.07)			122.96	4.77%	0.3%
GARTNER INC	IT	1	c3/2/20	130.17	130.1700	484.4700	484.47	354.30	LT				
		5	c5/8/20	619.00	123.8000	484.4700	2,422.35	1,803.35	LT				
		6		749.17			2,906.82	2,157.65					0.4%
GE HEALTHCARE TECHNOLOGIES INC	GEHC	23	c7/15/24	1,847.80	80.3391	78.1800	1,798.14	(49.66)	ST		3.22	0.17%	
		10	c10/25/24	885.10	88.5100	78.1800	781.80	(103.30)	ST		1.40	0.17%	
		33		2,732.90			2,579.94	(152.96)			4.62	0.17%	0.3%
GENERAL MILLS INC	GIS	6	c3/26/24	411.53	68.5883	63.7700	382.62	(28.91)	ST		14.40	3.76%	
		5	c6/12/24	327.69	65.5380	63.7700	318.85	(8.84)	ST		12.00	3.76%	



## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

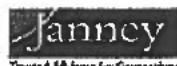
ACCOUNT NUMBER: [REDACTED]

Page 10 of 37

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% Port.
		9	c11/15/24	566.87	62.9855	63.7700	573.93	7.06	ST		21.60	3.76%	
		10	c12/6/24	702.63	70.2630	63.7700	637.70	(64.93)	ST		24.00	3.76%	
				2,008.72			1,913.10	(95.62)			72.00	3.76%	0.2%
GENUINE PARTS CO	GPC	19	c1/11/24	2,624.97	138.1563	116.7600	2,218.44	(406.53)	ST		76.00	3.42%	
		5	c11/18/24	622.17	124.4340	116.7600	583.80	(38.37)	ST		20.00	3.42%	
				3,247.14			2,802.24	(444.90)			96.00	3.42%	0.4%
GILEAD SCIENCES INC	GILD	24	c10/7/24	2,047.22	85.3008	92.3700	2,216.88	169.66	ST		73.92	3.33%	
		7	c11/15/24	618.73	88.3900	92.3700	646.59	27.86	ST		21.56	3.33%	
				2,665.95			2,863.47	197.52			95.48	3.33%	0.4%
GLOBUS MEDICAL INC CLA	GMED	32	c1/24/24	1,733.11	54.1596	82.7100	2,646.72	913.61	ST				
		9	c8/15/24	624.15	69.3500	82.7100	744.39	120.24	ST				
				2,357.26			3,391.11	1,033.85					0.4%
GOLDMAN SACHS GROUP INC	GS	22	c5/10/24	10,034.42	456.1100	572.6200	12,597.84	2,563.22	ST		264.00	2.09%	1.6%
HALLIBURTON COMPANY	HAL	13	c10/5/22	375.81	28.9084	27.1900	353.47	(22.34)	LT		8.84	2.50%	
		19	c10/11/22	567.34	29.8600	27.1900	516.61	(50.73)	LT		12.92	2.50%	
		15	c5/23/23	457.92	30.5280	27.1900	407.85	(50.07)	LT		10.20	2.50%	
		18	c11/18/24	547.89	30.4272	27.1900	489.42	(58.27)	ST		12.24	2.50%	
				1,948.76			1,767.35	(181.41)			44.20	2.50%	0.2%
HASBRO INC	HAS	10	c12/4/23	489.94	48.9940	55.9100	559.10	69.16	LT		28.00	5.00%	
		14	c2/20/24	701.68	50.1200	55.9100	782.74	81.06	ST		39.20	5.00%	
		10	c3/14/24	527.10	52.7100	55.9100	559.10	32.00	ST		28.00	5.00%	
		11	c4/12/24	617.54	56.1400	55.9100	615.01	(2.53)	ST		30.80	5.00%	
		2	c5/29/24	120.87	60.3350	55.9100	111.82	(8.85)	ST		5.60	5.00%	
				2,456.93			2,627.77	170.84			131.60	5.00%	0.3%
HERSHEY COMPANY	HSY	5	c11/30/15	432.60	86.5200	169.3500	846.75	414.15	LT		27.40	3.23%	
		7	c2/10/22	1,424.50	203.5000	169.3500	1,185.45	(239.05)	LT		38.36	3.23%	
		12	c2/15/22	2,432.52	202.7100	169.3500	2,032.20	(400.32)	LT		65.76	3.23%	
		1	c3/10/22	204.55	204.5500	169.3500	169.35	(35.20)	LT		5.48	3.23%	
		1	c3/7/24	202.03	202.0300	169.3500	169.35	(32.68)	LT		5.48	3.23%	
		1	c11/15/24	170.75	170.7500	169.3500	169.35	(1.40)	ST		5.48	3.23%	



Janney Montgomery Scott Inc.

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**Client Account Summary**

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 11 of 37

**PORTFOLIO DETAILS****EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		4	c11/18/24	679.36	169.8400	169.3500	677.40	(1.96)	ST		21.92	3.23%	
		31		5,546.31			5,249.85	(296.46)			169.88	3.23%	0.7%
HUBBELL INC	HUBB	9	c1/11/19	929.76	103.3066	418.8900	3,770.01	2,840.25	LT		47.52	1.26%	0.5%
HUNTINGTON INGALLS INDUSTRIES INC	HII	4	c8/19/20	651.80	162.9500	188.9700	755.88	104.08	LT		21.60	2.85%	
		3	c9/29/20	424.02	141.3400	188.9700	566.91	142.89	LT		16.20	2.85%	
		4	c1/11/21	679.37	169.8425	188.9700	755.88	76.51	LT		21.60	2.85%	
		11		1,755.19			2,078.67	323.48			59.40	2.85%	0.3%
INGERSOLL RAND INC	IR	17	c10/7/24	1,708.20	100.4823	90.4600	1,537.82	(170.38)	ST		1.36	0.08%	0.2%
INTERACTIVE BROKERS GROUP INC CLA	IBKR	9	c1/11/24	790.22	87.8022	176.6700	1,590.03	799.81	ST		9.00	0.56%	
		5	c2/13/24	503.70	100.7400	176.6700	883.35	379.65	ST		5.00	0.56%	
		4	c8/9/24	465.94	116.4850	176.6700	706.68	240.74	ST		4.00	0.56%	
		18		1,759.86			3,180.06	1,420.20			18.00	0.56%	0.4%
INVITATION HOMES INC	INVH	77	c1/17/23	2,434.79	31.6206	31.9700	2,461.69	26.90	LT		89.32	3.62%	
		19	c9/19/23	646.90	34.0473	31.9700	607.43	(39.47)	LT		22.04	3.62%	
		1	c1/25/24	33.11	33.1100	31.9700	31.97	(1.14)	ST		1.16	3.62%	
		3	c4/8/24	105.59	35.1966	31.9700	95.91	(9.68)	ST		3.48	3.62%	
		4	c5/29/24	134.80	33.7000	31.9700	127.88	(6.92)	ST		4.64	3.62%	
		5	c6/12/24	176.88	35.3760	31.9700	159.85	(17.03)	ST		5.80	3.62%	
		109		3,532.07			3,484.73	(47.34)			126.44	3.62%	0.5%
JOHNSON CONTROLS INTL PLC	JCI	6	c10/22/20	265.61	44.2683	78.9300	473.58	207.97	LT		8.88	1.87%	
		12	c10/29/20	501.10	41.7583	78.9300	947.16	446.06	LT		17.76	1.87%	
		27	c11/4/20	1,132.65	41.9500	78.9300	2,131.11	998.46	LT		39.96	1.87%	
		2	c8/15/23	118.39	59.1950	78.9300	157.86	39.47	LT		2.96	1.87%	
		2	c5/29/24	142.51	71.2550	78.9300	157.86	15.35	ST		2.96	1.87%	
		3	c6/12/24	213.70	71.2333	78.9300	236.79	23.09	ST		4.44	1.87%	
		52		2,373.96			4,104.36	1,730.40			76.96	1.87%	0.5%
JPMORGAN CHASE & CO	JPM	52	c8/7/24	10,481.64	201.5700	239.7100	12,464.92	1,983.28	ST		260.00	2.08%	
		1	c10/9/24	213.73	213.7300	239.7100	239.71	25.98	ST		5.00	2.08%	
		53		10,695.37			12,704.63	2,009.26			265.00	2.08%	1.6%



## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 12 of 37

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
LAMAR ADVERTISING COMPANY NEW CL A	LAMR	24	c1/17/23	2,473.30	103.0541	121.7400	2,921.76	448.46	LT	134.40	4.59%	0.4%
LINDE PLC	LIN	6	c7/19/22	1,710.24	285.0400	418.6700	2,512.02	801.78	LT	33.36	1.32%	
		1	c6/12/24	435.92	435.9200	418.6700	418.67	(17.25)	ST	5.56	1.32%	
		7		2,146.16			2,930.69	784.53		38.92	1.32%	0.4%
LITHIA MOTORS INC	LAD	5	c1/17/23	1,164.14	232.8280	357.4300	1,787.15	623.01	LT	10.60	0.59%	
		2	c12/19/23	632.09	316.0450	357.4300	714.86	82.77	LT	4.24	0.59%	
		2	c7/18/24	534.53	267.2650	357.4300	714.86	180.33	ST	4.24	0.59%	
		1	c11/15/24	373.16	373.1600	357.4300	357.43	(15.73)	ST	2.12	0.59%	
		10		2,703.92			3,574.30	870.38		21.20	0.59%	0.5%
M&T BANK CORP	MTB	14	c1/17/23	2,087.40	149.1000	188.0100	2,632.14	544.74	LT	75.60	2.87%	
		11	c3/26/24	1,561.33	141.9390	188.0100	2,068.11	506.78	ST	59.40	2.87%	
		25		3,648.73			4,700.25	1,051.52		135.00	2.87%	0.6%
MARKEL GROUP INC	MKL	1	c1/14/19	1,038.88	1,038	1,726.2300	1,726.23	687.35	LT			
		1	c5/8/20	857.52	857.5200	1,726.2300	1,726.23	868.71	LT			
		2		1,896.40			3,452.46	1,556.06				0.4%
MASTERCARD INC CL A	MA	10	c7/1/15	943.66	94.3660	526.5700	5,285.70	4,322.04	LT	30.40	0.57%	
		12	c7/23/15	1,142.37	95.1975	526.5700	6,318.84	5,176.47	LT	36.48	0.57%	
		22		2,086.03			11,584.54	9,498.51		66.88	0.57%	1.5%
MCDONALDS CORP	MCD	6	c8/1/24	1,588.79	264.7983	289.8900	1,739.34	150.55	ST	42.48	2.44%	
		4	c8/7/24	1,087.32	271.8300	289.8900	1,159.56	72.24	ST	28.32	2.44%	
		2	c9/20/24	590.92	295.4600	289.8900	579.78	(11.14)	ST	14.16	2.44%	
		2	c12/6/24	601.01	300.5050	289.8900	579.78	(21.23)	ST	14.16	2.44%	
		14		3,868.04			4,058.46	190.42		99.12	2.44%	0.5%
MCKESSON CORP	MCK	5	c2/9/22	1,402.66	280.5320	569.9100	2,849.55	1,446.89	LT	14.20	0.49%	
		4	c3/10/22	1,106.36	276.5900	569.9100	2,279.64	1,173.28	LT	11.36	0.49%	
		4	c7/13/23	1,642.35	410.5875	569.9100	2,279.64	637.29	LT	11.36	0.49%	
		13		4,151.37			7,408.83	3,257.46		36.92	0.49%	1.0%



**Client Account Summary**  
December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 13 of 37

**PORTFOLIO DETAILS**

**EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
MEDTRONIC PLC	MOT	91	c1/17/23	7,269.01	79.8792	79.8800	7,269.08	0.07	LT		254.80	3.50%	
		11	c3/8/23	860.39	78.2172	79.8800	878.68	18.29	LT		30.80	3.50%	
		25	c5/10/24	2,067.73	82.7092	79.8800	1,997.00	(70.73)	ST		70.00	3.50%	
		127		10,197.13			10,144.76	(52.37)			355.60	3.50%	1.3%
MERCK & COMPANY INC	MRK	71	c9/2/22	6,216.60	87.5577	99.4800	7,063.08	846.48	LT		230.04	3.25%	
		1	c9/27/22	86.84	86.8400	99.4800	99.48	12.64	LT		3.24	3.25%	
		9	c7/24/23	981.34	109.0377	99.4800	895.32	(86.02)	LT		29.16	3.25%	
		22	c10/9/24	2,401.20	109.1454	99.4800	2,188.56	(212.64)	ST		71.28	3.25%	
		103		9,685.98			10,246.44	560.46			333.72	3.25%	1.3%
MERITAGE HOMES CORP	MTH	6	c11/15/24	1,076.18	179.3633	153.8200	922.92	(153.26)	ST		18.00	1.95%	0.1%
META PLATFORMS INC	META	9	c4/13/23	1,970.23	218.9144	585.5100	5,269.59	3,299.36	LT		18.00	0.34%	
CLA		10	c12/12/24	6,343.43	634.3430	585.5100	5,855.10	(488.33)	ST		20.00	0.34%	
		19		8,313.66			11,124.69	2,811.03			38.00	0.34%	1.4%
MICRON TECHNOLOGY INC	MU	9	c8/15/23	597.65	66.4055	84.1600	757.44	159.79	LT		4.14	0.54%	
		41	c12/12/23	3,186.80	77.7268	84.1600	3,450.56	263.76	LT		18.86	0.54%	
		50		3,784.45			4,208.00	423.55			23.00	0.54%	0.5%
MICROSOFT CORP	MSFT	25	c12/5/16	1,501.25	60.0500	421.5000	10,537.50	9,036.25	LT		83.00	0.78%	
		2	c10/9/24	838.24	419.1200	421.5000	843.00	4.76	ST		6.64	0.78%	
		27		2,339.49			11,380.50	9,041.01			89.64	0.78%	1.5%
MID-AMERICA APARTMENT COMMUNITIES	MAA	9	c1/14/19	869.39	96.5988	154.5700	1,391.13	521.74	LT		54.54	3.92%	
		2	c5/11/20	227.84	113.9200	154.5700	309.14	81.30	LT		12.12	3.92%	
		2	c3/14/23	301.55	150.7750	154.5700	309.14	7.59	LT		12.12	3.92%	
		3	c9/5/23	426.66	142.2200	154.5700	463.71	37.05	LT		18.18	3.92%	
		20	c11/22/23	2,454.36	122.7180	154.5700	3,091.40	637.04	LT		121.20	3.92%	
		6	c12/26/23	805.32	134.2200	154.5700	927.42	122.10	LT		36.36	3.92%	
		3	c1/11/24	400.57	133.5233	154.5700	463.71	63.14	ST		18.18	3.92%	
		2	c5/29/24	255.74	127.8700	154.5700	309.14	53.40	ST		12.12	3.92%	
		47		5,741.43			7,264.79	1,523.36			284.82	3.92%	0.9%



## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 14 of 37

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
NATIONAL STORAGE AFFILIATES TRUST SHS BEN INT	NSA	64	c6/5/23	2,385.55	37.2742	37.9100	2,426.24	40.69	LT		145.92	6.01%	0.3%
NEUROCRINE BIOSCNCS INC.	NBIX		4 c9/2/22	423.52	105.8800	136.5000	546.00	122.48	LT				
			4 c4/13/23	417.87	104.4675	136.5000	546.00	128.13	LT				
			2 c5/29/24	266.36	133.1800	136.5000	273.00	6.64	ST				
			7 c12/20/24	954.74	136.3914	136.5000	955.50	0.76	ST				
		17		2,062.49			2,320.50	258.01					0.3%
NEXTERA ENERGY INC	NEE		16 c9/5/14	390.76	24.4225	71.6900	1,147.04	756.28	LT		32.96	2.87%	
			21 c5/17/22	1,487.55	70.8357	71.6900	1,505.49	17.94	LT		43.26	2.87%	
			16 c3/4/24	871.19	54.4493	71.6900	1,147.04	275.85	ST		32.96	2.87%	
			7 c3/14/24	415.36	59.3371	71.6900	501.83	86.47	ST		14.42	2.87%	
		60		3,164.86			4,301.40	1,136.54			123.60	2.87%	0.6%
NICE LTD SPON ADR	NICE		8 c10/14/24	1,385.34	173.1675	169.8400	1,358.72	(26.62)	ST				
			3 c11/15/24	513.93	171.3100	169.8400	509.52	(4.41)	ST				
		11		1,899.27			1,868.24	(31.03)					0.2%
NORFOLK SOUTHERN CORP	NSC	11	c7/5/23	2,497.35	227.0318	234.7000	2,581.70	84.35	LT		59.40	2.30%	0.3%
NVIDIA CORP	NVDA	42	c9/27/22	531.92	12.6647	134.2900	5,640.18	5,108.26	LT		1.68	0.02%	0.7%
ON SEMICONDUCTOR CORP	ON		43 c12/4/23	3,129.59	72.7811	63.0500	2,711.15	(418.44)	LT				
			3 c5/29/24	215.01	71.6700	63.0500	189.15	(25.86)	ST				
			11 c9/20/24	763.95	69.4500	63.0500	693.55	(70.40)	ST				
		57		4,108.55			3,593.85	(514.70)					0.5%
PEPSICO INC	PEP		46 c9/16/22	7,641.28	166.1147	152.0600	6,994.76	(646.52)	LT		249.32	3.56%	
			2 c7/24/23	381.70	190.8500	152.0600	304.12	(77.58)	LT		10.84	3.56%	
			11 c5/10/24	1,967.23	178.8390	152.0600	1,672.66	(294.57)	ST		59.62	3.56%	
			6 c10/9/24	1,032.83	172.1383	152.0600	912.36	(120.47)	ST		32.52	3.56%	
		65		11,023.04			9,683.90	(1,139.14)			352.30	3.56%	1.3%
PNC FINANCIAL SERVICES GROUP INC	PNC	19	c11/22/23	2,429.31	127.8584	192.8500	3,664.15	1,234.84	LT		121.60	3.31%	0.5%



**Client Account Summary**  
December 1 - December 31, 2024

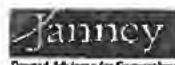
WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 15 of 37

**PORTFOLIO DETAILS****EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
POR	POR	27	c6/3/20	1,296.81	48.0300	43.6200	1,177.74	(119.07)	LT		54.00	4.58%	
		36	c11/6/20	1,484.09	41.2247	43.6200	1,570.32	86.23	LT		72.00	4.58%	
		63		2,780.90			2,748.06	(32.84)			126.00	4.58%	0.4%
PRUDENTIAL PLC ADR	PUK	44	c2/13/24	879.43	19.9870	15.9400	701.36	(178.07)	ST		25.02	3.56%	
		27	c3/28/24	520.54	19.2792	15.9400	430.38	(90.16)	ST		15.36	3.56%	
		29	c5/1/24	521.41	17.9796	15.9400	462.26	(59.15)	ST		16.49	3.56%	
		100		1,921.38			1,594.00	(327.38)			58.87	3.56%	0.2%
QUALCOMM INC	QCOM	27	c1/17/23	3,229.09	119.5959	153.6200	4,147.74	918.65	LT		91.80	2.21%	
		2	c4/13/23	238.73	119.3650	153.6200	307.24	68.51	LT		6.80	2.21%	
		5	c10/9/24	846.15	169.2300	153.6200	768.10	(78.05)	ST		17.00	2.21%	
		34		4,313.97			5,223.08	909.11			115.60	2.21%	0.7%
REINSURANCE GROUP AMERICA INC	RGA	8	c3/24/20	518.56	64.8200	213.6300	1,709.04	1,190.48	LT		28.48	1.66%	
		3	c4/1/20	242.12	80.7066	213.6300	640.89	398.77	LT		10.68	1.66%	
		6	c3/14/23	768.77	128.1283	213.6300	1,281.78	513.01	LT		21.36	1.66%	
		6	c4/3/23	802.03	133.6716	213.6300	1,281.78	479.75	LT		21.36	1.66%	
		1	c5/29/24	208.19	208.1900	213.6300	213.63	5.44	ST		3.56	1.66%	
		24		2,539.67			5,127.12	2,587.45			85.44	1.66%	0.7%
ROBERT HALF INC	RHI	12	c7/13/23	986.76	82.2300	70.4600	845.52	(141.24)	LT		25.44	3.00%	
		8	c7/28/23	595.04	74.3800	70.4600	563.68	(31.36)	LT		16.96	3.00%	
		8	c9/19/23	600.20	75.0250	70.4600	563.68	(36.52)	LT		16.96	3.00%	
		6	c1/11/24	485.22	80.8700	70.4600	422.76	(62.46)	ST		12.72	3.00%	
		5	c2/13/24	397.83	79.5660	70.4600	352.30	(45.53)	ST		10.60	3.00%	
		12	c5/1/24	838.50	69.8750	70.4600	845.52	7.02	ST		25.44	3.00%	
		5	c11/15/24	367.47	73.4940	70.4600	352.30	(15.17)	ST		10.60	3.00%	
		56		4,271.02			3,945.76	(325.26)			118.72	3.00%	0.5%
RYAN SPECIALTY HOLDINGS INC CL A	RYAN	20	c10/7/24	1,386.99	69.3495	64.1600	1,283.20	(103.79)	ST		8.80	0.68%	0.2%
SALESFORCE INC	CRM	38	c1/17/23	5,577.76	146.7831	334.3300	12,704.54	7,126.78	LT		60.80	0.47%	1.6%
SCHLUMBERGER LTD	SLB	22	c3/14/24	1,176.01	53.4550	38.3400	843.48	(332.53)	ST		24.20	2.86%	



## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 16 of 37

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		17	c5/29/24	772.91	45.4652	38.3400	651.78	(121.13)	ST		18.70	2.86%	
		11	c8/7/24	483.42	43.9472	38.3400	421.74	(61.68)	ST		12.10	2.86%	
		50		2,432.34			1,917.00	(515.34)			55.00	2.86%	0.2%
SCHWAB CHARLES CORP	SCHW	27	c9/2/22	1,938.57	71.7988	74.0100	1,998.27	59.70	LT		27.00	1.35%	
		18	c3/14/23	1,039.11	57.7283	74.0100	1,332.18	293.07	LT		18.00	1.35%	
		45		2,977.68			3,330.45	352.77			45.00	1.35%	0.4%
SEMPRA	SRE	6	c8/12/15	314.49	52.4150	87.7200	526.32	211.83	LT		14.88	2.82%	
		10	c11/9/15	500.00	50.0000	87.7200	877.20	377.20	LT		24.80	2.82%	
		2	c8/24/16	106.80	53.4000	87.7200	175.44	68.64	LT		4.95	2.82%	
		7	c9/19/23	514.56	73.5085	87.7200	614.04	99.48	LT		17.36	2.82%	
		1	c1/25/24	70.56	70.5600	87.7200	87.72	17.16	ST		2.48	2.82%	
		5	c6/12/24	379.25	75.8500	87.7200	438.60	59.35	ST		12.40	2.82%	
		2	c11/15/24	183.68	91.8400	87.7200	175.44	(8.24)	ST		4.96	2.82%	
		33		2,069.34			2,894.76	825.42			81.84	2.82%	0.4%
SOLVENTUM CORP	SOLV	47	c7/24/24	2,787.10	59.3000	66.0600	3,104.82	317.72	ST				
		8	c9/12/24	555.18	69.3975	66.0600	528.48	(26.70)	ST				
		55		3,342.28			3,633.30	291.02					0.5%
SS&C TECHNOLOGIES HOLDINGS INC	SSNC	34	c8/20/19	1,547.34	45.5100	75.7800	2,576.52	1,029.18	LT		34.00	1.31%	
		7	c9/6/19	343.84	49.1200	75.7800	530.46	186.62	LT		7.00	1.31%	
		41		1,891.18			3,106.98	1,215.80			41.00	1.31%	0.4%
SUN COMMUNITIES INC	SUI	10	c1/12/12	223.58	22.3580	122.9700	1,229.70	1,006.12	LT		37.60	3.05%	
		13	c2/2/12	347.68	26.7446	122.9700	1,598.61	1,250.93	LT		48.88	3.05%	
		2	c9/25/12	61.94	30.9700	122.9700	245.94	184.00	LT		7.52	3.05%	
		25		633.20			3,074.25	2,441.05			94.00	3.05%	0.4%
T-MOBILE US INC	TMUS	53	c6/23/22	7,159.11	135.0775	220.7300	11,698.69	4,539.58	LT		186.56	1.59%	1.5%
TARGA RESOURCES CORP	TRGP	7	c10/7/24	1,110.63	158.6614	178.5000	1,249.50	138.87	ST		21.00	1.68%	0.2%
TD SYNNEX CORP	SNX	25	c1/26/24	2,631.50	105.2600	117.2800	2,932.00	300.50	ST		40.00	1.36%	
		7	c4/3/24	822.89	117.5557	117.2800	820.96	(1.93)	ST		11.20	1.36%	



**Client Account Summary**  
December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 17 of 37

**PORTFOLIO DETAILS**

**EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		32		3,454.39			3,752.96	298.57			51.20	1.36%	0.5%
TE CONNECTIVITY PLC	TEL	20	c1/20/23	2,432.60	121.6300	142.9700	2,859.40	426.80	LT		52.00	1.81%	0.4%
TECK RESOURCES LTD CL B	TECK	36	c5/10/24	1,856.60	51.5722	40.5300	1,459.08	(397.52)	ST		12.85	0.88%	0.2%
TEXAS INSTRUMENTS INC	TXN	14	c9/2/22	2,357.74	168.4100	187.5100	2,625.14	267.40	LT		76.16	2.90%	
		2	c6/12/24	398.36	199.1800	187.5100	375.02	(23.34)	ST		10.88	2.90%	
		39	c10/9/24	7,995.08	205.0020	187.5100	7,312.89	(682.19)	ST		212.16	2.90%	
		55		10,751.18			10,313.05	(438.13)			299.20	2.90%	1.3%
THERMO FISHER SCIENTIFIC INC	TMO	5	c6/10/20	1,761.46	352.2920	520.2300	2,601.15	839.69	LT		7.80	0.29%	
		1	c4/13/21	483.45	483.4500	520.2300	520.23	36.78	LT		1.56	0.29%	
		2	c5/27/21	933.32	466.6600	520.2300	1,040.46	107.14	LT		3.12	0.29%	
		2	c6/24/21	991.70	495.8500	520.2300	1,040.46	48.76	LT		3.12	0.29%	
		6	c4/19/22	3,434.77	572.4616	520.2300	3,121.38	(313.39)	LT		9.36	0.29%	
		1	c6/28/23	560.23	560.2300	520.2300	520.23	(40.00)	LT		1.56	0.29%	
		2	c7/24/23	1,140.51	570.2550	520.2300	1,040.46	(100.05)	LT		3.12	0.29%	
		1	c7/24/23	569.05	569.0500	520.2300	520.23	(48.82)	LT		1.56	0.29%	
		1	c8/15/23	535.08	535.0800	520.2300	520.23	(14.85)	LT		1.56	0.29%	
		1	c11/9/23	445.23	445.2300	520.2300	520.23	75.00	LT		1.56	0.29%	
		1	c4/8/24	578.61	578.6100	520.2300	520.23	(58.38)	ST		1.56	0.29%	
		2	c5/10/24	1,184.43	592.2150	520.2300	1,040.46	(143.97)	ST		3.12	0.29%	
		2	c5/17/24	1,186.56	593.2800	520.2300	1,040.46	(146.10)	ST		3.12	0.29%	
		1	c10/9/24	597.97	597.9700	520.2300	520.23	(77.74)	ST		1.56	0.29%	
		1	c11/26/24	511.96	511.9600	520.2300	520.23	8.27	ST		1.56	0.29%	
		29		14,914.33			15,086.67	172.34			45.24	0.29%	1.9%
TJX COS INC	TJX	6	c5/15/14	173.86	28.9766	120.8100	724.86	551.00	LT		9.00	1.24%	
		12	c6/12/14	329.04	27.4200	120.8100	1,449.72	1,120.68	LT		18.00	1.24%	
		26	c6/24/14	704.58	27.0992	120.8100	3,141.06	2,436.48	LT		39.00	1.24%	
		53	c4/13/23	4,072.33	76.8364	120.8100	6,402.93	2,330.60	LT		79.50	1.24%	
		1	c5/10/24	98.81	98.8100	120.8100	120.81	22.00	ST		1.50	1.24%	
		98		5,378.62			11,839.38	6,460.76			147.00	1.24%	1.5%
TOLL BROTHERS INC	TOL	15	c5/8/24	1,869.70	124.6466	125.9500	1,889.25	19.55	ST		13.80	0.73%	0.2%



## Client Account Summary

December 1 - December 31, 2024

Page 18 of 37

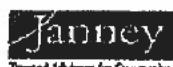
WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
TORO CO	TTC	9	c6/13/23	880.99	97.8877	80.1000	720.90	(160.09)	LT		13.68	1.89%	
		6	c12/19/23	535.15	89.1916	80.1000	480.60	(54.55)	LT		9.12	1.89%	
		6	c12/26/23	598.44	99.7400	80.1000	480.60	(117.84)	LT		9.12	1.89%	
		6	c5/1/24	529.36	88.2266	80.1000	480.60	(48.76)	ST		9.12	1.89%	
		7	c6/12/24	669.15	95.5928	80.1000	560.70	(108.45)	ST		10.64	1.89%	
		2	c11/15/24	162.46	81.2300	80.1000	160.20	(2.26)	ST		3.04	1.89%	
		36		3,375.55			2,883.60	(491.95)			54.72	1.89%	0.4%
TRADEWEB MARKETS INC CL A	TW	9	c12/20/24	1,204.53	133.8366	130.9200	1,178.28	(26.25)	ST		3.60	0.30%	0.2%
TRANE TECHNOLOGIES PLC	TT	7	c7/22/22	967.54	138.2200	369.3500	2,585.45	1,617.91	LT		23.52	0.90%	0.3%
TRAVELERS COS INC	TRV	7	c8/26/24	1,555.72	222.2457	240.8900	1,686.23	130.51	ST		29.40	1.74%	
		2	c9/20/24	477.13	238.5850	240.8900	481.78	4.65	ST		8.40	1.74%	
		7	c12/20/24	1,689.70	241.3857	240.8900	1,686.23	(3.47)	ST		29.40	1.74%	
		16		3,722.55			3,854.24	131.69			67.20	1.74%	0.5%
UNITED PARCEL SERVICE INC CL B	UPS	15	c12/11/24	1,936.41	129.0940	126.1000	1,891.50	(44.91)	ST		97.80	5.17%	0.2%
UNITEDHEALTH GROUP INC	UNH	14	c6/10/16	1,942.92	138.7800	505.8600	7,082.04	5,139.12	LT		117.60	1.66%	
		2	c3/8/23	938.31	469.1560	505.8600	1,011.72	73.41	LT		16.80	1.66%	
		3	c5/10/24	1,528.14	509.3800	505.8600	1,517.58	(10.56)	ST		25.20	1.66%	
		5	c7/18/24	2,828.96	565.7920	505.8600	2,529.30	(299.66)	ST		42.00	1.66%	
		2	c10/7/24	1,170.72	585.3600	505.8600	1,011.72	(159.00)	ST		16.80	1.66%	
		3	c10/21/24	1,724.42	574.8066	505.8600	1,517.58	(206.84)	ST		25.20	1.66%	
		29		10,133.47			14,669.94	4,536.47			243.50	1.66%	1.9%
US FOODS HOLDING CORP	USFD	18	c12/20/24	1,216.26	67.5700	67.4600	1,214.28	(1.98)	ST				
		23	c12/27/24	1,568.69	68.2039	67.4600	1,551.58	(17.11)	ST				
		41		2,784.95			2,765.86	(19.09)					0.4%
WABTEC	WAB	8	c4/22/21	670.53	83.8162	189.5900	1,516.72	846.19	LT		6.40	0.42%	
		9	c5/27/21	742.26	82.4733	189.5900	1,706.31	964.05	LT		7.20	0.42%	
		1	c5/29/24	167.87	167.8700	189.5900	189.59	21.72	ST		0.80	0.42%	



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## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 19 of 37

### PORTFOLIO DETAILS

#### EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		1	c11/15/24	195.38	195.3800	189.5900	189.59	(5.79)	ST		0.80	0.42%	
		19		1,776.04			3,602.21	1,826.17			15.20	0.42%	0.5%
WALMART INC	WMT	137	c9/27/22	6,015.20	43.9065	90.3500	12,377.95	6,362.75	LT		113.71	0.91%	
		3	c5/10/24	181.40	60.4686	90.3500	271.05	89.65	ST		2.49	0.91%	
		140		6,196.60			12,649.00	6,452.40			116.20	0.91%	1.6%
WALT DISNEY CO	DIS	19	c1/17/23	1,895.40	99.7578	111.3500	2,115.65	220.25	LT		19.00	0.89%	
		6	c3/8/23	594.35	99.0583	111.3500	668.10	73.75	LT		6.00	0.89%	
		5	c4/13/23	496.65	99.3300	111.3500	556.75	60.10	LT		5.00	0.89%	
		3	c12/4/23	276.39	92.1300	111.3500	334.05	57.66	LT		3.00	0.89%	
		6	c12/19/23	563.33	93.8883	111.3500	668.10	104.77	LT		6.00	0.89%	
		5	c2/13/24	550.28	110.0560	111.3500	556.75	6.47	ST		5.00	0.89%	
		2	c5/29/24	202.71	101.3550	111.3500	222.70	19.99	ST		2.00	0.89%	
		6	c11/15/24	689.55	114.9250	111.3500	668.10	(21.45)	ST		6.00	0.89%	
		52		5,268.66			5,790.20	521.54			52.00	0.89%	0.8%
WATSCO INC CLA	WSO	3	c3/6/20	532.41	177.4700	473.8900	1,421.67	889.26	LT		32.40	2.27%	
		3	c5/8/20	488.33	162.7766	473.8900	1,421.67	933.34	LT		32.40	2.27%	
		6		1,020.74			2,843.34	1,822.60			64.80	2.27%	0.4%
WELLS FARGO & CO	WFC	53	c1/5/21	1,623.91	30.6398	70.2400	3,722.72	2,098.81	LT		84.80	2.27%	
		29	c1/20/21	943.30	32.5275	70.2400	2,036.96	1,093.66	LT		46.40	2.27%	
		6	c10/14/24	374.93	62.4883	70.2400	421.44	46.51	ST		9.60	2.27%	
		88		2,942.14			6,181.12	3,238.98			140.80	2.27%	0.8%
WELLTOWER INC	WELL	45	c8/7/24	5,225.23	116.1162	126.0300	5,671.35	446.12	ST		120.60	2.12%	0.7%
XCEL ENERGY INC	XEL	25	6/24/09	448.50	17.9400	67.5200	1,688.00	1,239.50	LT		54.75	3.24%	
		14	c2/20/24	835.79	59.6992	67.5200	945.28	109.49	ST		30.66	3.24%	
		10	c3/14/24	515.19	51.5190	67.5200	675.20	160.01	ST		21.90	3.24%	
		10	c4/12/24	531.84	53.1840	67.5200	675.20	143.36	ST		21.90	3.24%	
		59		2,331.32			3,983.68	1,652.36			129.21	3.24%	0.5%
YUM BRANDS INC	YUM	22	c11/29/24	3,054.30	138.8318	134.1600	2,951.52	(102.78)	ST		58.96	1.99%	0.4%



## **Janney Montgomery Scott**

## **Client Account Summary**

December 1 - December 31, 2024

Page 20 of 37

WILLIAM HARRIS

ACCOUNT NUMBER:

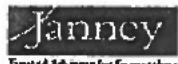
**PORTFOLIO DETAILS**

#### **EQUITIES - STOCKS & OPTIONS**

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ZOETIS INC CL A	ZTS	8	©1/18/23	1,285.71	160.7137	162.9300	1,303.44	17.73	LT		13.82	1.06%	
		4	©1/27/23	664.30	166.0750	162.9300	651.72	(12.58)	LT		6.91	1.06%	
		3	©2/17/23	517.43	172.4766	162.9300	488.79	(28.64)	LT		5.19	1.06%	
		3	©3/14/23	488.79	162.9300	162.9300	488.79		LT		5.18	1.06%	
		2	©5/23/23	346.48	173.2400	162.9300	325.86	(20.62)	LT		3.46	1.06%	
		20		3,302.71			3,258.60	(44.11)			34.56	1.06%	0.4%
<b>TOTAL EQUITIES - STOCKS &amp; OPTIONS</b>				<b>446,710.81</b>			<b>608,678.60</b>		<b>161,967.78</b>		<b>10,968.01</b>	<b>1.80%</b>	<b>78.0%</b>

## EQUITIES - MUTUAL FUNDS, ETFs, UITS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
HARBOR INTL INSTL CL	HAINX	672,361	c1/22/19	23,788.05	35.3798	44.2600	29,758.70	5,970.65	LT		1,052.78	3.53%	
		42,893	c2/21/20	1,679.25	39.1497	44.2600	1,898.44	219.19	LT		67.16	3.53%	
		621,023	c5/8/20	20,015.56	32.2299	44.2600	27,486.48	7,470.92	LT		972.40	3.53%	
		47,281	c12/27/21	2,228.83	47.1400	44.2600	2,092.66	(136.17)	LT		74.03	3.53%	
		83,045	c9/2/22	3,059.38	36.8400	44.2600	3,675.57	616.19	LT		130.03	3.53%	
		47,425	c2/16/24	2,122.73	44.7597	44.2600	2,099.03	(23.70)	ST		74.26	3.53%	
		43,830	c12/30/24	1,942.12	44.3102	44.2600	1,939.91	(2.20)	ST		68.63	3.53%	
		1,557.858		54,835.92			68,950.79	14,114.88			2,439.29	3.53%	8.8%
ISHARES MSCI EAFE ETF	EFA	264	6/24/09	12,025.09	45.5495	75.6100	19,961.04	7,935.95	LT		613.53	3.07%	
		4	6/29/09	185.44	46.3600	75.6100	302.44	117.00	LT		9.30	3.07%	
		4	2/9/10	208.56	52.1400	75.6100	302.44	93.88	LT		9.30	3.07%	
		6	7/1/10	280.26	46.7100	75.6100	453.66	173.40	LT		13.94	3.07%	
		4	c8/5/11	215.96	53.9900	75.6100	302.44	86.48	LT		9.30	3.07%	
		124	c5/8/20	7,035.53	56.7381	75.6100	9,375.64	2,340.11	LT		288.17	3.07%	
		25	c6/15/22	1,570.75	62.8300	75.6100	1,890.25	319.50	LT		58.10	3.07%	
		18	c9/2/22	1,111.12	61.7288	75.6100	1,360.98	249.86	LT		41.83	3.07%	
		6	c10/13/23	410.45	68.4083	75.6100	453.66	43.21	LT		13.94	3.07%	
		6	c7/10/24	482.21	80.3683	75.6100	453.66	(28.55)	ST		13.95	3.07%	
		461		23,525.37			34,856.21	11,330.84			1,071.36	3.07%	4.5%
ISHARES RUSSELL 2000 ETF	IWM	147	6/24/09	7,351.40	50.0095	220.9600	32,481.12	25,129.72	LT		377.79	1.16%	
		26	c5/8/20	3,389.84	130.3784	220.9600	5,744.96	2,355.12	LT		66.82	1.16%	
		173		10,741.24			38,226.08	27,484.84			444.61	1.16%	4.9%



**Janney Montgomery Scott Inc.**  
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## Client Account Summary

December 1 - December 31, 2024

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

Page 21 of 37

### PORTFOLIO DETAILS

#### EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
<b>TOTAL EQUITIES - MUTUAL FUNDS, ETFs, UITs</b>				<b>89,102.53</b>			<b>142,033.08</b>	<b>52,930.56</b>		<b>3,955.26</b>	<b>2.78%</b>	<b>18.2%</b>

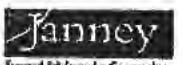
#### FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ISHARES SHORT TREASURY BOND ETF	SHV	51	c5/8/24	5,617.59	110.1488	110.1100	5,615.61	(1.98)	ST	260.41	4.63%	
		2	c8/22/24	220.89	110.4450	110.1100	220.22	(0.67)	ST	10.21	4.63%	
		6	c11/29/24	665.45	110.9083	110.1100	660.66	(4.79)	ST	30.64	4.63%	
		16	c11/29/24	1,772.70	110.7937	110.1100	1,761.76	(10.94)	ST	81.69	4.63%	
		75		<b>8,276.63</b>			<b>8,258.25</b>	<b>(18.38)</b>		<b>382.95</b>	<b>4.63%</b>	<b>1.1%</b>
<b>TOTAL FIXED INCOME - MUTUAL FUNDS, ETFs &amp; UITs</b>				<b>8,276.63</b>			<b>8,258.25</b>	<b>(18.38)</b>		<b>382.95</b>	<b>4.63%</b>	<b>1.1%</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>565,299.32</b>			<b>780,179.28</b>	<b>214,879.96</b>		<b>15,306.22</b>	<b>1.96%</b>	<b>100.0%</b>

### CASH ACTIVITY DETAILS

#### SECURITIES BOUGHT

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol/ CUSIP	Description	Price	Amount	Acct Type
12/06	12/09	PURCHASE	47.000	BAC	BANK OF AMERICA CORP EXCHANGE: 06	46.56	(2,188.19)	CASH
12/06	12/09	PURCHASE	10.000	GIS	GENERAL MILLS INC EXCHANGE: 06	65.58	(655.78)	CASH
12/06	12/09	PURCHASE	2.000	MCD	MCDONALDS CORP EXCHANGE: 06	300.51	(601.01)	CASH
12/11	12/12	PURCHASE	15.000	UPS	UNITED PARCEL SERVICE INC CL B EXCHANGE: 06	129.09	(1,936.41)	CASH
12/12	12/13	PURCHASE	43.000	CB	CHUBB LTD EXCHANGE: 06	276.50	(11,889.52)	CASH
12/12	12/13	PURCHASE	10.000	META	META PLATFORMS INC CL A EXCHANGE: 06	634.34	(6,343.43)	CASH



**Client Account Summary**

December 1 - December 31, 2024

Page 22 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**SECURITIES BOUGHT - Continued**

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol/CUSIP	Description	Price	Amount	Acct Type
12/20	12/23	PURCHASE	25.000	ABT	ABBOTT LABORATORIES EXCHANGE: 06	114.71	(2,867.64)	CASH
12/20	12/23	PURCHASE	38.000	BAC	BANK OF AMERICA CORP EXCHANGE: 06	44.16	(1,677.97)	CASH
12/20	12/23	PURCHASE	9.000	FMC	FMC CORP EXCHANGE: 06	50.67	(456.03)	CASH
12/20	12/23	PURCHASE	7.000	NBIX	NEUROCRINE BIOSCNC INC EXCHANGE: 06	136.39	(954.74)	CASH
12/20	12/23	PURCHASE	7.000	TRV	TRAVELERS COS INC EXCHANGE: 06	241.39	(1,689.70)	CASH
12/20	12/23	PURCHASE	9.000	TW	TRADEWEB MARKETS INC CL A EXCHANGE: 06	133.84	(1,204.53)	CASH
12/20	12/23	PURCHASE	18.000	USFD	US FOODS HOLDING CORP EXCHANGE: 06	67.57	(1,216.26)	CASH
12/27	12/30	PURCHASE	23.000	USFD	US FOODS HOLDING CORP EXCHANGE: 06	68.20	(1,588.69)	CASH
12/30	12/31	PURCHASE	43.830	HAINX	HARBOR INTL INSTL CL EXCHANGE: 01	44.31	(1,942.12)	CASH
<b>TOTAL SECURITIES BOUGHT</b>							<b>(37,192.02)</b>	

**SECURITIES SOLD**

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol/CUSIP	Description	Price	Amount	Acct Type
12/06	12/09	SALE	-10.000	BJS	BJS WHOLESALE CLUB HOLDINGS INC SALE VS PURCHASE TRADE EXCHANGE: 06	95.71	957.07	CASH
12/06	12/09	SALE	-3.000	IBKR	INTERACTIVE BROKERS GROUP INC CL A SALE VS PURCHASE TRADE EXCHANGE: 06	186.53	559.56	CASH
12/11	12/12	SALE	-6.000	WAB	WABTEC EXCHANGE: 06	204.06	1,224.31	CASH
12/12	12/13	SALE	-37.000	GOOGL	ALPHABET INC CL A SALE VS PURCHASE TRADE EXCHANGE: 06	194.61	7,200.35	CASH
12/12	12/13	SALE	-101.000	DUK	DUKE ENERGY CORP EXCHANGE: 06	109.74	11,083.04	CASH



**Janney Montgomery Scott**  
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## Client Account Summary

December 1 - December 31, 2024

Page 23 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

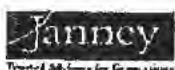
### SECURITIES SOLD - Continued

Trade Date	Settlement Date	Transaction Type	Quantity	Symbol/CUSIP	Description	Price	Amount	Acct Type
12/20	12/23	SALE	-11.000	MTB	M&T BANK CORP SALE VS PURCHASE TRADE EXCHANGE: 06	188.55	2,073.99	CASH
12/20	12/23	SALE	-65.000	SNY	SANOFI SPON ADR EXCHANGE: 06	47.72	3,102.00	CASH
12/20	12/23	SALE	-31.000	SCHW	SCHWAB CHARLES CORP EXCHANGE: 06	74.23	2,301.11	CASH
12/27	12/30	SALE	-21.000	GIS	GENERAL MILLS INC SALE VS PURCHASE TRADE EXCHANGE: 06	63.91	1,342.00	CASH
<b>TOTAL SECURITIES SOLD</b>							<b>29,843.43</b>	

### INCOME AND EXPENSE

#### Income

Date	Transaction Type	Symbol/CUSIP	Description	Amount	Acct Type
12/02	DIVIDEND	COP	CONOCOPHILLIPS 120224 36	28.08	CASH
12/02	DIVIDEND	EQT	EQT CORP 120224 34	5.36	CASH
12/02	DIVIDEND	WFC	WELLS FARGO & CO NEW 120124 88	35.20	CASH
12/03	DIVIDEND	ZTS	ZOETIS INC CL A 120324 20	8.64	CASH
12/04	DIVIDEND	BAH	BOOZ ALLEN HAMILTON HOLDING CORP CL A 120424 24	12.24	CASH
12/04	DIVIDEND	HAS	HASBRO INC 120424 47	32.90	CASH
12/05	DIVIDEND	SHV	ISHARES SHORT TREASURY BOND ETF 120524 75	31.20	CASH
12/05	DIVIDEND	IR	INGERSOLL RAND INC 120524 17	0.34	CASH
12/05	DIVIDEND	TJX	TJX COS INC NEW 120524 98	36.75	CASH
12/06	DIVIDEND	TEL	TE CONNECTIVITY PLC 120624 20	12.94	CASH



**Client Account Summary**

December 1 - December 31, 2024

Page 24 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE - Continued**

**Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/10	DIVIDEND	BWX	BWX TECHNOLOGIES INC 121024 24	5.76	CASH
12/10	DIVIDEND	CVX	CHEVRON CORP 121024 22	35.86	CASH
12/10	DIVIDEND	EMR	EMERSON ELECTRIC CO 121024 42	22.16	CASH
12/12	DIVIDEND	MSFT	MICROSOFT CORP 121224 27	22.41	CASH
12/12	DIVIDEND	CHRD	CHORD ENERGY CORP 121224 23	4.37	CASH
12/12	DIVIDEND	CHRD	CHORD ENERGY CORP 121224 23	28.75	CASH
12/12	DIVIDEND	TMUS	T-MOBILE US INC 121224 53	46.64	CASH
12/12	DIVIDEND	MMM	3M COMPANY 121224 33	23.10	CASH
12/12	DIVIDEND	VNT	VONTIER CORP 121224 89	2.23	CASH
12/13	DIVIDEND	CBOE	CBOE GLOBAL MARKETS INC 121324 36	22.68	CASH
12/13	DIVIDEND	CSX	CSX CORP 121324 120	14.40	CASH
12/13	DIVIDEND	HII	HUNTINGTON INGALLS INDUSTRIES INC 121324 11	14.85	CASH
12/13	DIVIDEND	IBKR	INTERACTIVE BROKERS GROUP INC CL A 121324 21	5.25	CASH
12/13	DIVIDEND	RHI	ROBERT HALF INC 121324 56	29.68	CASH
12/13	DIVIDEND	YUM	YUM BRANDS INC 121324 22	14.74	CASH
12/16	DIVIDEND	GOOGL	ALPHABET INC CL A 121624 88	17.60	CASH
12/16	DIVIDEND	BALL	BALL CORP 121624 40	8.00	CASH
12/16	DIVIDEND	CTVA	CORTEVA INC 121624 48	8.16	CASH
12/16	DIVIDEND	DUK	DUKE ENERGY CORP 121624 101	105.55	CASH



Janney Montgomery Scott LLC

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**Client Account Summary**

December 1 - December 31, 2024

Page 25 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE - Continued****Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/16	DIVIDEND	EXPD	EXPEDITORS INTL WASH INC 121624 32	23.36	CASH
12/16	DIVIDEND	FAF	FIRST AMERICAN FINANCIAL CORP 121624 32	17.28	CASH
12/16	DIVIDEND	HSY	HERSHEY COMPANY 121624 27	36.99	CASH
12/16	DIVIDEND	HUBB	HUBBELL INC 121624 9	11.88	CASH
12/16	DIVIDEND	MCD	MCDONALDS CORP 121624 12	21.24	CASH
12/16	DIVIDEND	NEE	NEXTERA ENERGY INC 121624 60	30.90	CASH
12/16	DIVIDEND	SSNC	SS&C TECHNOLOGIES HOLDINGS INC 121624 41	10.25	CASH
12/17	DIVIDEND	LIN	LINDE PLC 121724 7	9.73	CASH
12/17	DIVIDEND	UNH	UNITEDHEALTH GROUP INC 121724 29	60.90	CASH
12/18	DIVIDEND	AVY	AVERY DENNISON CORP 121824 13	11.44	CASH
12/18	DIVIDEND	CRH	CRH PLC 121824 40	13.92	CASH
12/18	DIVIDEND	EA	ELECTRONIC ARTS INC 121824 19	3.61	CASH
12/19	DIVIDEND	QCOM	QUALCOMM INC 121924 34	28.90	CASH
12/20	DIVIDEND	ADI	ANALOG DEVICES INC 122024 17	15.64	CASH
12/20	DIVIDEND	AJG	ARTHUR J GALLAGHER & COMPANY 122024 11	6.60	CASH
12/20	DIVIDEND	EFA	ISHARES MSCI EAFE ETF 122024 461	487.36	CASH
12/20	DIVIDEND	IWM	ISHARES RUSSELL 2000 ETF 122024 173	120.06	CASH
12/23	DIVIDEND	FIS	FIDELITY NATIONAL INFORMATION SERVICES INC 122324 34	12.24	CASH



**Client Account Summary**

December 1 - December 31, 2024

Page 26 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**INCOME AND EXPENSE - Continued**

**Income**

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/23	DIVIDEND	SHV	ISHARES SHORT TREASURY BOND ETF 122324 75	32.01	CASH
12/24	DIVIDEND	HAINX	HARBOR INTL INSTL CL 122024 1,514.02800	2,587.10	CASH
12/26	DIVIDEND	HAL	HALLIBURTON COMPANY 122624 65	11.05	CASH
12/27	DIVIDEND	BAC	BANK OF AMERICA CORP 122724 36	9.36	CASH
12/27	DIVIDEND	WRB	BERKLEY W R CORP 122724 82	41.00	CASH
12/27	DIVIDEND	WRB	BERKLEY W R CORP 122724 82	6.56	CASH
12/27	DIVIDEND	META	META PLATFORMS INC CL A 122724 19	9.50	CASH
12/27	DIVIDEND	NVDA	NVIDIA CORP 122724 42	0.42	CASH
12/30	DIVIDEND	AIG	AMERICAN INTL GROUP INC 123024 74	29.60	CASH
12/30	DIVIDEND	GILD	GILEAD SCIENCES INC 123024 31	23.87	CASH
12/30	DIVIDEND	GS	GOLDMAN SACHS GROUP INC 123024 22	66.00	CASH
12/30	DIVIDEND	LAMR	LAMAR ADVERTISING COMPANY NEW CL A 123024 24	33.60	CASH
12/30	DIVIDEND	LAMR	LAMAR ADVERTISING COMPANY NEW CL A 123024 24	6.00	CASH
12/31	DIVIDEND	CCI	CROWN CASTLE INC 123124 36	56.34	CASH
12/31	DIVIDEND	ES	EVERSOURCE ENERGY 123124 56	40.04	CASH
12/31	DIVIDEND	MTB	M&T BANK CORP 123124 36	48.60	CASH
12/31	DIVIDEND	MTH	MERITAGE HOMES CORP 123124 6	4.50	CASH



Janney Montgomery Scott LLC  
Member: NYSE • FINRA • SIPC

## Client Account Summary

December 1 - December 31, 2024

Page 27 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### INCOME AND EXPENSE - Continued

#### Income

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/31	DIVIDEND	NSA	NATIONAL STORAGE AFFILIATES TRUST SHS BEN INT 123124 64	36.48	CASH
12/31	DIVIDEND	TRV	TRAVELERS COS INC 123124 9	9.45	CASH
12/31	DIVIDEND	TECK	TECK RESOURCES LTD CL B 123124 36	3.13	CASH
12/31	DIVIDEND	TT	TRANE TECHNOLOGIES PLC 123124 7	5.88	CASH
12/31	INTEREST	09999410	JANNEY INSURED SWEEP 123124 21,006	31.03	CASH
<b>TOTAL INCOME</b>				<b>4,649.66</b>	

#### Expense

Date	Transaction Type	Symbol/ CUSIP	Description	Amount	Acct Type
12/11	FEE		FRT FEE	(15.00)	CASH
12/31	WITHHOLDING	TECK	FRGN-W/H @ SOURCE TECK RESOURCES LTD CL B	(0.47)	CASH
<b>NET INCOME (EXPENSE)</b>				<b>4,634.19</b>	
<b>TOTAL EXPENSE</b>				<b>(15.47)</b>	

#### OTHER ACTIVITY

Date	Transaction Type	Quantity	Symbol/ CUSIP	Description	Amount	Acct Type
12/11	OTHER INCOME			CLASS ACTION MCKESSON C	22.19	CASH
<b>TOTAL OTHER ACTIVITY</b>					<b>22.19</b>	

#### JANNEY INSURED SWEEP\*\* ACTIVITY

Date	Transaction Type	Description	Amount
12/02/2024	SALE	JANNEY INSURED SWEEP	\$1,359.58
12/03/2024	PURCHASE	JANNEY INSURED SWEEP	(\$68.64)
12/04/2024	PURCHASE	JANNEY INSURED SWEEP	(\$8.64)



## Client Account Summary

December 1 - December 31, 2024

Page 28 of 37

**WILLIAM HARRIS**

**ACCOUNT NUMBER: [REDACTED]**

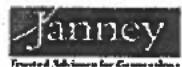
### JANNEY INSURED SWEEP\*\* ACTIVITY - Continued

Date	Transaction Type	Description	Amount
12/05/2024	PURCHASE	JANNEY INSURED SWEEP	(\$45.14)
12/06/2024	PURCHASE	JANNEY INSURED SWEEP	(\$68.29)
12/09/2024	SALE	JANNEY INSURED SWEEP	\$1,915.41
12/11/2024	PURCHASE	JANNEY INSURED SWEEP	(\$63.78)
12/12/2024	SALE	JANNEY INSURED SWEEP	\$704.91
12/13/2024	PURCHASE	JANNEY INSURED SWEEP	(\$177.94)
12/16/2024	PURCHASE	JANNEY INSURED SWEEP	(\$101.60)
12/17/2024	PURCHASE	JANNEY INSURED SWEEP	(\$291.21)
12/18/2024	PURCHASE	JANNEY INSURED SWEEP	(\$70.63)
12/19/2024	PURCHASE	JANNEY INSURED SWEEP	(\$28.97)
12/20/2024	PURCHASE	JANNEY INSURED SWEEP	(\$28.90)
12/23/2024	SALE	JANNEY INSURED SWEEP	\$1,960.11
12/24/2024	PURCHASE	JANNEY INSURED SWEEP	(\$44.25)
12/26/2024	PURCHASE	JANNEY INSURED SWEEP	(\$2,587.10)
12/27/2024	PURCHASE	JANNEY INSURED SWEEP	(\$11.05)
12/30/2024	SALE	JANNEY INSURED SWEEP	\$159.85
12/31/2024	INTEREST REINVEST	JANNEY INSURED SWEEP	(\$31.03)
12/31/2024	SALE	JANNEY INSURED SWEEP	\$1,783.05
<b>TOTAL JANNEY INSURED SWEEP</b>			<b>\$21,005.40</b>

\*\*Janney Insured Sweep balances are FDIC insured, are not covered by Janney or SIPC and are not available for margin purposes.

### REALIZED GAIN (LOSS) INFORMATION

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/10/22	2024/01/10	6	BAH	BOOZ ALLEN HAMILTON	497.80	762.44	264.64	LONG
2023/16/12	2024/01/10	1	BWXT	BWX TECHNOLOGIES INC	19.57	77.84	58.27	LONG
2023/03/15	2024/01/10	8	BWXT	BWX TECHNOLOGIES INC	246.72	622.80	376.08	LONG
2023/30/19	2024/01/10	4	WAT	WATERS CORP	847.80	1,228.12	380.32	LONG
2023/08/20	2024/01/10	3	WAT	WATERS CORP	559.17	921.10	361.93	LONG
2023/18/22	2024/01/11	4	AIG	AMERICAN INTL GROUP INC	244.13	268.84	24.71	LONG
2023/19/20	2024/01/11	8	EIX	EDISON INTL	420.38	568.79	148.41	LONG
2023/22/21	2024/01/11	4	WAB	WABTEC	335.28	507.18	171.90	LONG
2023/23/23	2024/01/11	2	ZTS	ZOETIS INC CL A	346.48	393.45	46.97	SHORT
2023/14/22	2024/01/18	1	AIG	AMERICAN INTL GROUP INC	52.90	66.26	13.36	LONG
2023/14/22	2024/01/18	2	AIG	AMERICAN INTL GROUP INC	99.25	132.50	33.25	LONG
2023/18/22	2024/01/18	4	AIG	AMERICAN INTL GROUP INC	244.12	265.01	20.89	LONG



Janney Montgomery Scott  
Member NYSE • FINRA • SIPC

## Client Account Summary

December 1 - December 31, 2024

Page 29 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2008/19/20	01/18/24	6	EIX	EDISON INTL	315.28	412.07	96.79	LONG
2007/19/22	01/18/24	2	LIN	LINDE PLC NEW	570.08	807.79	237.71	LONG
2004/22/21	01/18/24	4	WAB	WABTEC	335.28	503.31	168.03	LONG
2006/24/09	01/22/24	3	BR	BROADRIDGE FINANCIAL	47.58	618.88	571.30	LONG
2009/23/09	01/22/24	5	BR	BROADRIDGE FINANCIAL	104.09	1,031.46	927.37	LONG
2011/03/23	01/22/24	24	SHV	ISHARES	2,643.34	2,650.10	6.76	SHORT
2007/15/22	01/22/24	2	WSO	WATSCO INC	505.37	809.48	304.11	LONG
2008/19/20	01/23/24	2	EIX	EDISON INTL	105.09	133.96	28.87	LONG
2006/08/23	01/23/24	8	EIX	EDISON INTL	547.73	535.84	(11.89)	SHORT
2010/06/23	01/23/24	8	EIX	EDISON INTL	473.87	535.84	61.97	SHORT
2011/25/22	01/23/24	3	FI	FISERV INC	308.76	422.01	113.25	LONG
2011/24/21	01/23/24	2	HII	HUNTINGTON INGALLS	373.90	509.53	135.63	LONG
2009/05/23	01/25/24	13	SHV	ISHARES	1,431.02	1,436.55	5.53	SHORT
2011/03/23	01/25/24	51	SHV	ISHARES	5,617.08	5,635.71	18.63	SHORT
2003/10/22	02/06/24	1	HSY	HERSHEY COMPANY	195.17	195.17	0.00	LONG
2012/19/23	02/06/24	3	LAD	LITHIA MOTORS INC	948.14	876.95	(71.19)	SHORT
2007/27/20	02/13/24	4.76000	PCAR	PACCAR INC	272.56	500.50	227.94	LONG
2008/03/21	02/13/24	11.84000	PCAR	PACCAR INC	651.83	1,244.96	593.13	LONG
2012/27/22	02/13/24	7.40000	PCAR	PACCAR INC	497.13	778.11	280.98	LONG
2009/19/23	02/13/24	9	PZZA	PAPA JOHNS INTL INC	669.47	643.66	(25.81)	SHORT
2009/01/15	02/13/24	2	ZBH	ZIMMER BIOMET HOLDINGS	197.48	245.53	48.05	LONG
2004/01/16	02/13/24	3	ZBH	ZIMMER BIOMET HOLDINGS	315.03	368.29	53.26	LONG
2004/03/18	02/13/24	3	ZBH	ZIMMER BIOMET HOLDINGS	310.28	368.29	58.01	LONG
2001/22/19	02/13/24	4	ZBH	ZIMMER BIOMET HOLDINGS	404.45	491.06	86.61	LONG
2011/11/22	02/13/24	3	ZBH	ZIMMER BIOMET HOLDINGS	345.93	368.30	22.37	LONG
2001/25/24	02/13/24	1	ZBH	ZIMMER BIOMET HOLDINGS	121.48	122.77	1.29	SHORT
2001/14/19	02/20/24	2	AIG	AMERICAN INTL GROUP INC	84.13	137.75	53.62	LONG
2004/30/19	02/20/24	3	AIG	AMERICAN INTL GROUP INC	141.69	206.63	64.94	LONG
2007/14/22	02/20/24	13	AIG	AMERICAN INTL GROUP INC	645.03	895.36	250.33	LONG
2007/22/22	03/04/24	3	GOOGL	ALPHABET INC	330.78	397.86	67.08	LONG
2002/22/22	03/04/24	16	BJ	BJS WHOLESALE CLUB	998.64	1,136.60	137.96	LONG
2003/10/22	03/04/24	1	BJ	BJS WHOLESALE CLUB	59.98	71.04	11.06	LONG
2009/30/22	03/06/24	2	TT	TRANE TECHNOLOGIES PLC	291.86	572.96	281.10	LONG
2007/24/23	03/14/24	5	HUM	HUMANA INC	2,262.75	1,731.71	(531.04)	SHORT
2001/17/23	03/14/24	3	MU	MICRON TECHNOLOGY INC	170.04	274.72	104.68	LONG
2002/07/23	03/14/24	1	RGA	REINSURANCE GROUP	147.82	183.63	35.81	LONG
2011/11/22	03/14/24	3	WFC	WELLS FARGO & CO	150.80	172.76	21.96	LONG



**Client Account Summary**  
December 1 - December 31, 2024

Page 30 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**REALIZED GAIN (LOSS) INFORMATION - Continued**

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/2/20	2024/3/20	3	ETR	ENTERGY CORP	207.18	309.97	102.79	LONG
2022/9/20	2024/3/25	20	RGLD	ROYAL GOLD INC	1,833.80	2,223.85	390.05	LONG
2023/3/21	2024/3/25	2	RGLD	ROYAL GOLD INC	242.90	222.39	(20.51)	LONG
2022/7/22	2024/3/26	5	GOOGL	ALPHABET INC	551.30	758.34	207.04	LONG
2023/3/14	2024/3/26	6	BALL	BALL CORP	316.30	398.30	82.00	LONG
2023/12/11	2024/3/26	1	CLX	CLOROX COMPANY	140.00	150.76	10.76	SHORT
2024/2/26	2024/3/26	2	CLX	CLOROX COMPANY	301.51	301.51	0.00	SHORT
2022/3/10	2024/3/26	2	HSY	HERSHEY COMPANY	409.10	382.99	(26.11)	LONG
2022/5/23	2024/3/26	12	JCI	JOHNSON CONTROLS INTL	620.25	770.66	150.41	LONG
2022/7/19	2024/3/26	1	LIN	LINDE PLC NEW	285.04	467.29	182.25	LONG
2023/1/17	2024/3/26	17	MU	MICRON TECHNOLOGY INC	963.53	2,039.05	1,075.52	LONG
2021/4/22	2024/3/26	5	WFC	WELLS FARGO & CO	214.20	283.96	69.76	LONG
2021/6/2	2024/3/26	23	WFC	WELLS FARGO & CO	1,076.62	1,306.22	229.60	LONG
2022/11/11	2024/3/26	6	WFC	WELLS FARGO & CO	301.59	340.75	39.16	LONG
2023/1/17	2024/4/3	7	ARE	ALEXANDRIA REAL ESTATE *	1,090.77	861.76	(229.01)	LONG
2015/12/29	2024/4/3	13	ETR	ENTERGY CORP	696.41	1,364.22	667.81	LONG
2017/7/14	2024/4/3	3	ETR	ENTERGY CORP	180.96	314.82	133.86	LONG
2018/4/3	2024/4/3	1	ETR	ENTERGY CORP	63.77	104.94	41.17	LONG
2020/3/23	2024/4/3	1	ETR	ENTERGY CORP	69.05	104.94	35.89	LONG
2019/1/22	2024/4/3	5.21000	HAINX	HARBOR ^	184.48	244.03	59.55	LONG
2019/11/1	2024/4/3	1	HUBB	HUBBELL INC	103.31	422.31	319.00	LONG
2022/2/9	2024/4/3	1	MCK	MCKESSON CORP	280.54	538.37	257.83	LONG
2010/8/5	2024/4/5	5	BWXT	BWX TECHNOLOGIES INC	86.32	504.00	417.68	LONG
2012/3/16	2024/4/5	1	BWXT	BWX TECHNOLOGIES INC	19.56	100.79	81.23	LONG
2023/1/17	2024/4/8	6	MU	MICRON TECHNOLOGY INC	340.07	738.11	398.04	LONG
2022/9/2	2024/4/8	3	NBIX	NEUROCRINE BIOSCIENCES INC	317.64	416.46	98.82	LONG
2023/2/7	2024/4/8	2	RGA	REINSURANCE GROUP	295.63	389.63	94.00	LONG
2024/1/18	2024/4/8	4.50000	SOLV	SOLVENTUM CORP	295.09	302.29	7.20	SHORT
2024/1/25	2024/4/8	1.75000	SOLV	SOLVENTUM CORP	104.21	117.56	13.35	SHORT
2024/2/6	2024/4/8	1.25000	SOLV	SOLVENTUM CORP	72.71	83.97	11.26	SHORT
2024/3/14	2024/4/8	1.50000	SOLV	SOLVENTUM CORP	95.81	100.78	4.97	SHORT
2023/5/15	2024/4/12	6	AA	ALCOA CORP	221.22	212.41	(8.81)	SHORT
2023/12/11	2024/4/12	11	AA	ALCOA CORP	367.34	389.42	22.08	LONG
2023/3/14	2024/4/12	7	BALL	BALL CORP	369.01	459.38	90.37	LONG
2023/8/15	2024/4/12	42	CUZ	COUSINS PROPERTIES INC *	945.07	946.25	1.18	SHORT
2018/10/23	2024/4/12	19	GL	GLOBE LIFE INC	1,579.07	1,014.32	(564.75)	LONG
2020/5/8	2024/4/12	14	GL	GLOBE LIFE INC	1,062.65	747.41	(315.24)	LONG



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## Client Account Summary

December 1 - December 31, 2024

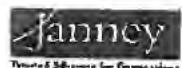
Page 31 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
07/22/22	04/12/24	1	TT	TRANE TECHNOLOGIES PLC	138.22	296.16	157.94	LONG
09/30/22	04/12/24	3	TT	TRANE TECHNOLOGIES PLC	437.77	888.46	450.69	LONG
01/20/22	04/15/24	8	FANG	DIAMONDBACK ENERGY INC	1,024.98	1,663.02	638.04	LONG
02/23/21	04/19/24	4	CBOE	CBOE GLOBAL MARKETS INC	389.47	719.80	330.33	LONG
11/18/16	04/19/24	3	CASY	CASEY'S GENL STORES INC	370.37	924.34	553.97	LONG
05/08/20	04/19/24	1	CASY	CASEY'S GENL STORES INC	147.95	308.12	160.17	LONG
02/09/22	04/19/24	1	MCK	MCKESSON CORP	280.54	523.13	242.59	LONG
03/06/20	04/19/24	1	WSO	WATSCO INC	177.48	403.31	225.83	LONG
07/15/22	04/19/24	1	WSO	WATSCO INC	252.68	403.31	150.63	LONG
01/17/23	04/23/24	15	ARE	ALEXANDRIA REAL ESTATE *	2,337.35	1,808.08	(529.27)	LONG
12/02/20	04/23/24	31	FLR	FLUOR CORP	549.96	1,240.95	690.99	LONG
12/13/21	04/23/24	7	FLR	FLUOR CORP	165.05	280.22	115.17	LONG
11/11/22	04/24/24	4	BSX	BOSTON SCIENTIFIC CORP	171.92	292.15	120.23	LONG
02/17/23	04/24/24	6	BSX	BOSTON SCIENTIFIC CORP	280.31	438.24	157.93	LONG
01/17/23	04/24/24	26	VNT	VONTIER CORP	557.68	1,046.27	488.59	LONG
11/22/23	04/25/24	1	ULTA	ULTA BEAUTY INC	409.15	404.59	(4.56)	SHORT
03/14/23	05/01/24	8	BALL	BALL CORP	421.72	549.17	127.45	LONG
05/08/20	05/01/24	16	HXL	HEXCEL CORP NEW	467.36	1,067.11	599.75	LONG
04/22/21	05/01/24	2	WAB	WABTEC	167.64	322.93	155.29	LONG
12/13/10	05/08/24	8	FAF	FIRST AMERICAN FINANCIAL	120.05	436.52	316.47	LONG
05/08/20	05/08/24	11	AOS	A O SMITH	491.48	939.44	447.96	LONG
04/07/22	05/09/24	75	COLD	AMERICOLD REALTY TRUST *	2,126.86	1,724.72	(402.14)	LONG
03/10/22	05/09/24	4	BAH	BOOZ ALLEN HAMILTON	331.86	615.41	283.55	LONG
07/22/22	05/10/24	8	GOOGL	ALPHABET INC	882.08	1,342.06	459.98	LONG
09/27/22	05/10/24	5	GOOGL	ALPHABET INC	496.30	838.80	342.50	LONG
01/17/23	05/10/24	15	AMZN	AMAZON.COM INC	1,446.43	2,813.44	1,367.01	LONG
04/13/23	05/10/24	5	META	META PLATFORMS INC	1,094.58	2,358.57	1,263.99	LONG
10/01/15	05/10/24	15	JNJ	JOHNSON & JOHNSON	1,387.50	2,242.73	855.23	LONG
10/16/15	05/10/24	10	JNJ	JOHNSON & JOHNSON	978.30	1,495.15	516.85	LONG
10/26/15	05/10/24	6	JNJ	JOHNSON & JOHNSON	599.52	897.09	297.57	LONG
01/28/16	05/10/24	8	JNJ	JOHNSON & JOHNSON	818.40	1,196.12	377.72	LONG
03/24/20	05/10/24	5	JNJ	JOHNSON & JOHNSON	629.16	747.58	118.42	LONG
03/08/23	05/10/24	7	JNJ	JOHNSON & JOHNSON	1,070.21	1,046.61	(23.60)	LONG
07/24/23	05/10/24	2	JNJ	JOHNSON & JOHNSON	343.76	299.04	(44.72)	SHORT
09/02/22	05/10/24	2	MRK	MERCK & COMPANY INC	175.12	260.77	85.65	LONG
03/08/23	05/10/24	1	MSFT	MICROSOFT CORP	252.91	413.21	160.30	LONG
01/17/23	05/10/24	15	MU	MICRON TECHNOLOGY INC	850.17	1,791.44	941.27	LONG



## Client Account Summary

December 1 - December 31, 2024

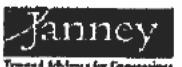
Page 32 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2009/02/22	05/10/24	4	NVDA	NVIDIA CORP	564.25	3,592.43	3,028.18	LONG
2001/17/23	05/10/24	5	QCOM	QUALCOMM INC	597.98	909.82	311.84	LONG
2003/08/23	05/10/24	2	QCOM	QUALCOMM INC	240.86	363.93	123.07	LONG
2001/17/23	05/10/24	2	CRM	SALESFORCE INC	293.57	554.16	260.59	LONG
2009/27/22	05/14/24	1	GOOGL	ALPHABET INC	99.25	170.93	71.68	LONG
2003/08/23	05/14/24	1	GOOGL	ALPHABET INC	94.61	170.93	76.32	LONG
2003/14/23	05/14/24	3	GOOGL	ALPHABET INC	290.41	512.80	222.39	LONG
2009/02/22	05/14/24	7	NBIX	NEUROCRINE BIOSCIENCES INC	741.16	964.43	223.27	LONG
2009/02/22	05/14/24	23	PZZA	PAPA JOHNS INTL INC	1,858.29	1,206.33	(651.96)	LONG
2006/28/23	05/29/24	3	PZZA	PAPA JOHNS INTL INC	223.15	157.35	(65.80)	SHORT
2009/05/23	05/29/24	4	ACN	ACCENTURE PLC IRELAND	1,204.08	1,178.31	(25.77)	SHORT
2009/19/23	05/29/24	2	ACN	ACCENTURE PLC IRELAND	654.94	589.16	(65.78)	SHORT
2012/11/23	05/29/24	2	AA	ALCOA CORP	56.48	87.67	31.19	SHORT
2003/10/22	05/29/24	9	AA	ALCOA CORP	300.54	394.50	93.96	LONG
2011/25/22	05/29/24	4	STZ	CONSTELLATION BRANDS	842.03	985.74	143.71	LONG
2001/27/23	05/29/24	2	STZ	CONSTELLATION BRANDS	514.96	492.86	(22.10)	LONG
2006/28/23	05/29/24	1	STZ	CONSTELLATION BRANDS	228.65	246.44	17.79	LONG
2010/14/22	05/29/24	2	STZ	CONSTELLATION BRANDS	493.95	492.86	(1.09)	SHORT
2011/25/22	05/29/24	7	FI	FISERV INC	663.24	1,037.45	374.21	LONG
2007/17/23	05/31/24	6	FI	FISERV INC	617.52	889.24	271.72	LONG
2009/19/23	06/05/24	11	LKQ	LKQ CORP	645.26	468.77	(176.49)	SHORT
2005/23/23	06/05/24	8	AA	ALCOA CORP	225.90	340.03	114.13	SHORT
2005/23/23	06/05/24	13	IBKR	INTERACTIVE BROKERS	975.44	1,630.98	655.54	LONG
2005/29/24	06/05/24	1	IBKR	INTERACTIVE BROKERS	128.13	125.46	(2.67)	SHORT
2002/03/22	06/12/24	3	NEE	NEXTERA ENERGY INC	218.97	218.97	0.00	LONG
2012/05/22	06/12/24	5	NEE	NEXTERA ENERGY INC	364.95	364.95	0.00	LONG
2005/29/24	06/12/24	3	NEE	NEXTERA ENERGY INC	230.01	218.97	(11.04)	SHORT
2004/22/21	06/20/24	12	WFC	WELLS FARGO & CO	514.08	708.97	194.89	LONG
2001/22/19	07/02/24	5.70000	HAINX	HARBOR ^	201.78	265.99	64.21	LONG
2011/11/22	07/11/24	8	BSX	BOSTON SCIENTIFIC CORP	343.82	616.34	272.52	LONG
2005/02/23	07/16/24	0.22000	WRB	BERKLEY W R CORP	8.94	12.58	3.64	LONG
2006/01/23	07/16/24	0.11000	WRB	BERKLEY W R CORP	4.25	6.29	2.04	LONG
2007/17/23	07/16/24	0.10000	WRB	BERKLEY W R CORP	4.30	5.84	1.54	SHORT
2001/10/24	07/16/24	0.04000	WRB	BERKLEY W R CORP	2.36	2.70	0.34	SHORT
2011/11/22	07/18/24	5	BSX	BOSTON SCIENTIFIC CORP	214.89	378.58	163.69	LONG
2007/18/23	07/18/24	5	BMY	BRISTOL MYERS SQUIBB	219.20	219.20	0.00	SHORT
2008/26/20	07/18/24	1	EOG	EOG RESOURCES INC	43.85	134.10	90.25	LONG



**Janney Montgomery Scott LLC**  
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## Client Account Summary

December 1 - December 31, 2024

Page 33 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
c10/14/22	07/18/24	7	FI	FISERV INC	663.24	1,111.39	448.15	LONG
c06/28/23	07/18/24	4	FI	FISERV INC	491.23	635.08	143.85	LONG
c03/26/24	07/18/24	3	GIS	GENERAL MILLS INC	205.77	199.88	(5.89)	SHORT
c06/20/24	07/18/24	5	NEE	NEXTERA ENERGY INC	426.54	359.20	(67.34)	LONG
c08/24/16	07/18/24	2	SRE	SEMPRA	106.80	157.85	51.05	LONG
c04/13/23	07/18/24	4	TKO	TKO GROUP HLDGS INC	412.29	422.16	9.87	LONG
c06/28/23	07/18/24	3	VRTX	VERTEX PHARMACEUTICALS	1,052.19	1,470.00	417.81	LONG
c09/05/23	07/18/24	2	VRTX	VERTEX PHARMACEUTICALS	698.73	980.01	281.28	SHORT
c04/22/21	07/18/24	8	WFC	WELLS FARGO & CO	342.72	478.50	135.78	LONG
c05/08/24	07/24/24	15	SHV	ISHARES	1,652.24	1,656.44	4.20	SHORT
c09/13/22	07/25/24	1	ADI	ANALOG DEVICES INC	149.97	224.01	74.04	LONG
12/13/10	07/30/24	18	FAF	FIRST AMERICAN FINANCIAL	270.12	1,079.98	809.86	LONG
c01/17/23	08/01/24	3	MTB	M&T BANK CORP	447.30	503.16	55.86	LONG
c05/29/24	08/01/24	6	SCHW	SCHWAB CHARLES CORP NEW	422.25	383.86	(38.39)	SHORT
c06/12/24	08/01/24	2	SCHW	SCHWAB CHARLES CORP NEW	147.74	127.96	(19.78)	SHORT
c01/23/24	08/01/24	2	ZBRA	ZEBRA TECHNOLOGIES CORP	510.19	674.08	163.89	SHORT
c05/08/20	08/07/24	4	AAPL	APPLE INC	306.17	840.98	534.81	LONG
c03/08/23	08/07/24	4	AAPL	APPLE INC	611.03	840.97	229.94	LONG
c06/28/22	08/07/24	3	CVX	CHEVRON CORP	448.16	434.66	(13.50)	LONG
c06/28/23	08/07/24	3	CVX	CHEVRON CORP	463.98	434.67	(29.31)	LONG
c01/25/24	08/07/24	2	CVX	CHEVRON CORP	295.38	289.77	(5.61)	SHORT
c03/04/24	08/07/24	6	CVX	CHEVRON CORP	891.09	869.32	(21.77)	SHORT
c11/11/22	08/07/24	7	HAS	HASBRO INC	432.85	449.52	16.67	LONG
c11/25/22	08/07/24	9	HAS	HASBRO INC	560.21	577.93	17.72	LONG
c12/05/22	08/07/24	1	HAS	HASBRO INC	63.15	64.21	1.06	LONG
c07/19/22	08/07/24	1	LIN	LINDE PLC NEW	285.04	446.58	161.54	LONG
c01/17/23	08/07/24	22	MU	MICRON TECHNOLOGY INC	1,246.90	1,924.97	678.07	LONG
c12/12/23	08/07/24	17	MU	MICRON TECHNOLOGY INC	1,321.36	1,487.49	166.13	SHORT
c05/29/24	08/07/24	5	MMM	3M COMPANY	485.72	625.04	139.32	SHORT
c09/02/22	08/07/24	34	V	VISA INC	6,857.63	8,750.93	1,893.30	LONG
c09/27/22	08/07/24	2	V	VISA INC	363.05	514.76	151.71	LONG
c07/24/23	08/07/24	2	V	VISA INC	480.68	514.77	34.09	LONG
c12/18/23	08/08/24	24	K	KELLANOVA	1,296.69	1,774.39	477.70	SHORT
c11/11/22	08/09/24	7	BSX	BOSTON SCIENTIFIC CORP	300.85	531.92	231.07	LONG
c07/18/23	08/09/24	5	CBOE	CBOE GLOBAL MARKETS INC	701.54	1,010.49	308.95	LONG
c12/27/21	08/09/24	3	CMS	CMS ENERGY CORP	182.64	195.71	13.07	LONG
c02/17/23	08/09/24	8	CMS	CMS ENERGY CORP	484.98	521.92	36.94	LONG



Janney Montgomery Scott

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**Client Account Summary**

December 1 - December 31, 2024

Page 34 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**REALIZED GAIN (LOSS) INFORMATION - Continued**

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/09/22	2023/09/24	3	MAA	MID-AMERICA APARTMENT *	506.79	457.09	(49.70)	LONG
2023/09/22	2023/09/24	7	NBIX	NEUROCRINE BIOSCIENCES INC	741.16	1,030.52	289.36	LONG
2023/09/22	2023/09/24	7	NEE	NEXTERA ENERGY INC	531.30	544.75	13.45	LONG
2023/04/13/23	2023/09/24	5	TKO	TKO GROUP HOLDINGS INC	515.36	587.53	72.17	LONG
2023/01/18/24	2023/09/24	3	MMM	3M COMPANY	267.71	371.38	103.67	SHORT
2023/05/29/24	2023/09/24	1	MMM	3M COMPANY	97.14	123.80	26.66	SHORT
2023/02/20/24	2023/09/24	7	XEL	XCEL ENERGY INC	417.90	404.51	(13.39)	SHORT
2023/12/18/23	2023/08/22/24	25	K	KELLANOVA	1,350.70	2,014.96	664.26	SHORT
2023/03/20/24	2023/08/29/24	22	AAP	ADVANCE AUTO PARTS INC	1,866.24	1,028.47	(837.77)	SHORT
2023/11/11/22	2023/09/03/24	10	HAS	HASBRO INC	618.35	676.43	58.08	LONG
2023/11/11/22	2023/09/03/24	1	MAA	MID-AMERICA APARTMENT *	161.44	164.43	2.99	LONG
2023/12/14/22	2023/09/03/24	2	MAA	MID-AMERICA APARTMENT *	330.48	328.85	(1.63)	LONG
2023/08/07/24	2023/09/10/24	3	COP	CONOCOPHILLIPS	325.08	311.28	(13.80)	SHORT
2023/01/17/23	2023/09/10/24	19	DLTR	DOLLAR TREE INC	2,776.29	1,235.92	(1,540.37)	LONG
2023/03/14/23	2023/09/10/24	4	DLTR	DOLLAR TREE INC	563.55	260.19	(303.36)	LONG
2023/04/08/24	2023/09/10/24	3	DLTR	DOLLAR TREE INC	388.14	195.15	(192.99)	SHORT
2023/04/08/24	2023/09/10/24	10	SLB	SCHLUMBERGER LTD	546.35	392.62	(153.73)	SHORT
2023/10/06/23	2023/09/20/24	2	ZBRA	ZEBRA TECHNOLOGIES CORP	439.72	730.10	290.38	SHORT
2023/01/23/24	2023/09/20/24	2	ZBRA	ZEBRA TECHNOLOGIES CORP	510.19	730.10	219.91	SHORT
2023/01/22/19	2023/10/02/24	4.19000	HAINX	HARBOR *	148.57	208.52	59.95	LONG
2023/05/15/23	2023/10/07/24	6	CMS	CMS ENERGY CORP	359.86	421.19	61.33	LONG
2023/03/30/20	2023/10/07/24	2	CVX	CHEVRON CORP	141.68	303.44	161.76	LONG
2023/06/28/22	2023/10/07/24	3	CVX	CHEVRON CORP	448.14	455.14	7.00	LONG
2023/04/19/23	2023/10/07/24	7	COP	CONOCOPHILLIPS	737.68	804.16	66.48	LONG
2023/05/15/23	2023/10/07/24	2	COP	CONOCOPHILLIPS	200.53	229.77	29.24	LONG
2023/08/26/20	2023/10/07/24	9	EOG	EOG RESOURCES INC	394.65	1,217.29	822.64	LONG
2023/11/11/22	2023/10/07/24	8	HAS	HASBRO INC	494.67	578.57	83.90	LONG
2023/05/08/20	2023/10/07/24	1	HII	HUNTINGTON INGALLS	181.26	257.64	76.38	LONG
2023/11/24/21	2023/10/07/24	1	HII	HUNTINGTON INGALLS	188.95	257.64	70.69	LONG
2023/11/11/22	2023/10/07/24	1	MAA	MID-AMERICA APARTMENT *	161.44	153.11	(8.33)	LONG
2023/03/14/23	2023/10/07/24	2	MAA	MID-AMERICA APARTMENT *	303.01	306.20	3.19	LONG
2023/02/03/22	2023/10/07/24	6	NEE	NEXTERA ENERGY INC	379.50	408.75	29.25	LONG
2023/03/14/24	2023/10/07/24	19	SLB	SCHLUMBERGER LTD	1,015.65	866.66	(148.99)	SHORT
2023/09/02/22	2023/10/07/24	6	SCHW	SCHWAB CHARLES CORP NEW	430.80	389.89	(40.91)	LONG
2023/05/29/24	2023/10/07/24	6	SCHW	SCHWAB CHARLES CORP NEW	422.25	389.90	(32.35)	SHORT
2023/04/19/22	2023/10/07/24	3	TMO	THERMO FISHER	1,717.40	1,791.11	73.71	LONG
2023/06/24/09	2023/10/07/24	4	XEL	XCEL ENERGY INC	71.76	251.92	180.16	LONG



**Client Account Summary**

December 1 - December 31, 2024

Page 35 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

**REALIZED GAIN (LOSS) INFORMATION - Continued**

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
©11/18/14	10/07/24	1	XEL	XCEL ENERGY INC	33.82	62.98	29.16	LONG
©05/08/20	10/07/24	3	XEL	XCEL ENERGY INC	180.57	188.94	8.37	LONG
©01/17/23	10/09/24	1	DUK	DUKE ENERGY CORP	104.87	111.08	6.21	LONG
©04/13/23	10/09/24	2	META	META PLATFORMS INC	437.84	1,174.91	737.07	LONG
©05/10/24	10/09/24	1	GS	GOLDMAN SACHS GROUP INC	456.11	503.95	47.84	SHORT
©04/13/23	10/09/24	1	MA	MASTERCARD INC	364.94	499.03	134.09	LONG
©09/27/22	10/09/24	18	NVDA	NVIDIA CORP	227.97	2,396.81	2,168.84	LONG
©07/24/23	10/09/24	83	NKE	NIKE INC	9,039.53	6,815.12	(2,224.41)	LONG
©05/10/24	10/09/24	29	NKE	NIKE INC	2,666.07	2,381.19	(284.88)	SHORT
©04/13/23	10/09/24	8	TJX	TJX COS INC NEW	614.70	907.99	293.29	LONG
©06/23/22	10/09/24	3	TMUS	T-MOBILE US INC	405.24	637.50	232.26	LONG
©07/24/23	10/09/24	8	TMUS	T-MOBILE US INC	1,135.76	1,700.02	564.26	LONG
©07/24/23	10/09/24	2	UNH	UNITEDHEALTH GROUP INC	1,015.73	1,173.26	157.53	LONG
©09/27/22	10/09/24	31	WMT	WALMART INC	1,361.11	2,485.05	1,123.94	LONG
©07/24/23	10/09/24	3	WMT	WALMART INC	159.40	240.48	81.08	LONG
©05/15/23	10/14/24	4	BJ	BJS WHOLESALE CLUB	282.25	341.67	59.42	LONG
©06/28/23	10/14/24	3	IBKR	INTERACTIVE BROKERS	240.00	454.27	214.27	LONG
©04/03/23	10/14/24	2	RGA	REINSURANCE GROUP	267.35	434.92	167.57	LONG
©10/06/23	10/14/24	3	ZBRA	ZEBRA TECHNOLOGIES CORP	659.58	1,126.24	466.66	LONG
©09/19/23	10/21/24	3	AA	ALCOA CORP	84.70	123.88	39.18	LONG
©08/09/24	10/21/24	10	AA	ALCOA CORP	305.60	412.97	107.37	SHORT
©06/24/09	10/21/24	1	HAS	HASBRO INC	24.08	72.00	47.92	LONG
©05/01/23	10/21/24	4	HAS	HASBRO INC	233.74	288.00	54.26	LONG
©05/29/24	10/21/24	2	HAS	HASBRO INC	120.67	144.01	23.34	SHORT
©08/15/23	10/21/24	1	MCK	MCKESSON CORP	434.45	509.50	75.05	LONG
©08/09/24	10/21/24	1	MCK	MCKESSON CORP	542.19	509.51	(32.68)	SHORT
©03/08/18	10/24/24	6	ULTA	ULTA BEAUTY INC	1,235.03	2,202.89	967.86	LONG
©11/22/23	10/24/24	1	ULTA	ULTA BEAUTY INC	409.15	367.15	(42.00)	SHORT
©04/13/23	10/29/24	4	TKO	TKO GROUP HLDGS INC	412.28	463.32	51.04	LONG
©09/05/23	10/29/24	9	TKO	TKO GROUP HLDGS INC	888.39	1,042.47	154.08	LONG
©10/24/23	10/29/24	5	ZBRA	ZEBRA TECHNOLOGIES CORP	1,021.89	1,907.59	885.70	LONG
©08/22/24	11/05/24	16	SHV	ISHARES	1,762.56	1,762.56	0.00	SHORT
©08/29/24	11/05/24	13	SHV	ISHARES	1,437.26	1,432.08	(5.18)	SHORT
©08/29/24	11/05/24	6	SHV	ISHARES	660.95	660.95	0.00	SHORT
©11/06/23	11/15/24	39	AA	ALCOA CORP	985.14	1,719.84	734.70	LONG
©08/09/24	11/15/24	4	AA	ALCOA CORP	122.24	176.40	54.16	SHORT
©11/11/22	11/15/24	5	BSX	BOSTON SCIENTIFIC CORP	214.89	433.50	218.61	LONG



## Client Account Summary

December 1 - December 31, 2024

Page 36 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2023/21	11/15/24	2	CBOE	CBOE GLOBAL MARKETS INC	194.74	402.08	207.34	LONG
206/28/23	11/15/24	3	IBKR	INTERACTIVE BROKERS	239.99	539.31	299.32	LONG
208/09/24	11/15/24	1	IBKR	INTERACTIVE BROKERS	116.49	179.77	63.28	SHORT
208/15/23	11/15/24	10	JCI	JOHNSON CONTROLS INTL	591.95	844.15	252.20	LONG
201/17/23	11/15/24	5	MTB	M&T BANK CORP	745.50	1,070.87	325.37	LONG
202/03/22	11/15/24	5	NEE	NEXTERA ENERGY INC	379.50	381.69	2.19	LONG
206/20/24	11/15/24	3	NEE	NEXTERA ENERGY INC	225.95	229.01	3.06	LONG
2010/09/24	11/15/24	3	TXN	TEXAS INSTRUMENTS INC	615.01	601.31	(13.70)	SHORT
204/24/23	11/18/24	10	LNT	ALLIANT ENERGY CORP	554.80	616.04	61.24	LONG
201/31/23	11/18/24	2	ADSK	AUTODESK INC	426.22	601.62	175.40	LONG
207/17/23	11/18/24	9	WRB	BERKLEY W R CORP	363.43	546.06	182.63	LONG
2011/11/22	11/18/24	6	BSX	BOSTON SCIENTIFIC CORP	257.86	545.14	287.28	LONG
208/05/10	11/18/24	4	BWXT	BWX TECHNOLOGIES INC	69.06	506.25	437.19	LONG
203/07/24	11/18/24	5	CRH	CRH PLC	412.45	497.82	85.17	SHORT
2011/09/17	11/18/24	2	CASY	CASEY'S GENL STORES INC	227.14	806.98	579.84	LONG
2001/29/24	11/18/24	8	FIS	FIDELITY NATIONAL	509.99	706.71	196.72	SHORT
2012/02/20	11/18/24	14	FLR	FLUOR CORP	248.37	731.30	482.93	LONG
2001/17/23	11/18/24	6	MTB	M&T BANK CORP	894.60	1,287.31	392.71	LONG
2011/09/17	11/18/24	1	MKL	MARKEL GROUP INC	1,072.77	1,705.51	632.74	LONG
2011/22/23	11/18/24	1	PNC	PNC FINANCIAL SERVICES	127.86	207.56	79.70	SHORT
2001/10/24	11/18/24	3	PNC	PNC FINANCIAL SERVICES	464.05	622.69	158.64	SHORT
2009/06/19	11/18/24	7	SSNC	SS&C TECHNOLOGIES	343.84	513.80	169.96	LONG
2001/17/20	11/18/24	6	SSNC	SS&C TECHNOLOGIES	380.16	440.39	60.23	LONG
2005/08/20	11/18/24	7	SSNC	SS&C TECHNOLOGIES	407.32	513.80	106.48	LONG
2007/22/22	11/18/24	2	TT	TRANE TECHNOLOGIES PLC	276.44	820.75	544.31	LONG
2003/06/20	11/18/24	1	WSO	WATSCO INC	177.47	524.29	346.82	LONG
2003/14/18	11/22/24	11	BAH	BOOZ ALLEN HAMILTON	425.64	1,634.23	1,208.59	LONG
2003/10/22	11/22/24	3	BAH	BOOZ ALLEN HAMILTON	248.89	445.69	196.80	LONG
2010/09/24	11/26/24	13	TXN	TEXAS INSTRUMENTS INC	2,665.04	2,601.16	(63.88)	SHORT
2007/26/22	11/29/24	29	LKQ	LKQ CORP	1,515.33	1,138.67	(376.66)	LONG
2007/17/23	11/29/24	3	LKQ	LKQ CORP	175.98	117.79	(58.19)	LONG
2003/06/24	11/29/24	11	LKQ	LKQ CORP	568.04	431.92	(136.12)	SHORT
2001/17/23	11/29/24	89	VNT	VONTIER CORP	1,908.96	3,518.96	1,610.00	LONG
2005/15/23	12/06/24	2	BJ	BJS WHOLESALE CLUB	141.12	191.42	50.30	LONG
2005/23/23	12/06/24	8	BJ	BJS WHOLESALE CLUB	512.88	765.65	252.77	LONG
2008/09/24	12/06/24	3	IBKR	INTERACTIVE BROKERS	349.47	559.56	210.09	SHORT
2004/22/21	12/11/24	6	WAB	WABTEC	502.91	1,224.31	721.40	LONG



**Janney Montgomery Scott Inc.**  
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## Client Account Summary

December 1 - December 31, 2024

Page 37 of 37

WILLIAM HARRIS

ACCOUNT NUMBER: [REDACTED]

### REALIZED GAIN (LOSS) INFORMATION - Continued

Open Date	Close Date	Quantity	Symbol/ CUSIP	Description	Cost	Proceeds	Realized Gain/(Loss)	Term
2024/04/16	12/12/24	6	GOOGL	ALPHABET INC	217.22	1,167.64	950.42	LONG
2024/12/20/22	12/12/24	19	GOOGL	ALPHABET INC	1,686.77	3,697.47	2,010.70	LONG
2024/03/08/23	12/12/24	7	GOOGL	ALPHABET INC	662.23	1,362.22	699.99	LONG
2024/03/14/23	12/12/24	1	GOOGL	ALPHABET INC	93.18	194.61	101.43	LONG
2024/12/04/23	12/12/24	4	GOOGL	ALPHABET INC	516.82	778.41	261.59	LONG
2024/01/17/23	12/12/24	72	DUK	DUKE ENERGY CORP	7,550.44	7,900.78	350.34	LONG
2024/03/08/23	12/12/24	11	DUK	DUKE ENERGY CORP	1,038.92	1,207.06	168.14	LONG
2024/07/24/23	12/12/24	12	DUK	DUKE ENERGY CORP	1,140.57	1,316.79	176.22	LONG
2024/05/10/24	12/12/24	6	DUK	DUKE ENERGY CORP	619.65	658.41	38.76	SHORT
2024/01/17/23	12/20/24	11	MTB	M&T BANK CORP	1,640.10	2,073.99	433.89	LONG
2024/06/17/19	12/20/24	19	SNY	SANOFI	808.83	906.73	97.90	LONG
2024/06/25/19	12/20/24	10	SNY	SANOFI	439.50	477.23	37.73	LONG
2024/09/24/19	12/20/24	14	SNY	SANOFI	653.80	668.12	14.32	LONG
2024/05/08/20	12/20/24	4	SNY	SANOFI	193.60	190.89	(2.71)	LONG
2024/03/02/21	12/20/24	18	SNY	SANOFI	829.58	859.03	29.45	LONG
2024/09/02/22	12/20/24	31	SCHW	SCHWAB CHARLES CORP	2,225.77	2,301.11	75.34	LONG
2024/03/26/24	12/27/24	3	GIS	GENERAL MILLS INC	205.77	191.72	(14.05)	SHORT
2024/03/26/24	12/27/24	10	GIS	GENERAL MILLS INC	639.04	639.04	0.00	SHORT
2024/09/20/24	12/27/24	8	GIS	GENERAL MILLS INC	596.68	511.24	(85.44)	SHORT
<b>TOTAL REALIZED GAIN (LOSS)</b>					<b>200,046.74</b>	<b>258,511.23</b>	<b>58,462.49</b>	
<b>LONG TERM GAIN (LOSS)</b>					<b>148,024.62</b>	<b>203,756.52</b>	<b>57,732.00</b>	
<b>SHORT TERM GAIN (LOSS)</b>					<b>54,024.22</b>	<b>54,754.71</b>	<b>730.49</b>	

### JANNEY INSURED SWEEP\*\* - Deposit Allocation

Description	Current Value
US Bank National Association	\$21,005.40
<b>TOTAL JANNEY INSURED SWEEP</b>	<b>\$21,005.40</b>

\*\*Cash balances in Janney Insured Sweep are obligations of the destination banks and not cash balances held at Janney. These cash balances are FDIC insured up to the FDIC limits per bank for the combined total of all your deposits held in the same insurable capacity at one bank, including deposits outside of the program. These cash balances are not covered by SIPC and are not available for margin purposes. Any money market mutual funds held in Janney Insured Sweep are not FDIC insured but are covered by SIPC per applicable limits. For any questions concerning the bank balances please call (877) 859-1684 or ask for the Janney Insured Sweep customer service representative. For more information go to [www.janney.com/cash](http://www.janney.com/cash). The balance(s) in the bank deposit account(s) and shares of the money market mutual fund in which you have a beneficial interest can be liquidated on your order and the proceeds returned to your securities account or remitted to you.