

Algorithm Design and Analysis

David N. JANSEN, Bohua ZHAN

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算法设计与分析

詹博华，杨大卫

This week's content

- Today Wednesday:
 - Introduction of teachers
 - Grading rules
 - Why study algorithms?
Chapter 3: Growth of functions
 - Exercises
- Tomorrow Thursday:
 - Exercise solutions
 - Chapter 4: Divide and Conquer
Introduction of the remaining content

这周的内容

- 今天周三：
 - 老师介绍
 - 课程评分规则
 - 为什么学习算法?
第三章：函数的增长
 - 函数的增长的练习
- 明天周四：
 - 练习题解答
 - 第四章：分治策略
以后内容的介绍

Exercises 练习

- 1.2-2
Suppose we are comparing implementations of insertion sort and merge sort on the same machine. For inputs of size n , insertion sort runs in $8n^2$ steps, while merge sort runs in $64n \lg n$ steps. For which values of n does insertion sort beat merge sort?
- 假设我们正比较插入排序与合并排序在相同机器上的实现。对规模为 n 的输入，插入排序运行 $8n^2$ 步，而合并排序运行 $64n \lg n$ 步。问对哪些 n 值，插入排序优于合并排序？

Solution 1.2-2

- Note that in this book $\lg n = \log_2 n$.
- Insertion sort is faster if $8n^2 < 64n \lg n \Leftrightarrow n < 8 \lg n$.
- From the lecture, we know: $n < 10 \lg n \Leftrightarrow n < 59$.
- When is $n < 8 \lg n$? Guess a few values:
 - $n = 40$? $40 < 8 \cdot \lg 40 \approx 42.6 \Rightarrow$ The boundary might be approximately 42.
 - $n = 45$? $45 \nless 8 \cdot \lg 45 \approx 43.9$
 - $n = 42$? $42 < 8 \cdot \lg 42 \approx 43.1 \Rightarrow 43 < 8 \cdot \lg 42 < 8 \cdot \lg 43$
 - $n = 44$? $44 \nless 8 \cdot \lg 44 \approx 43.7$
- Answer: This implementation of insertion sort is faster if and only if the input size $n \leq 43$.

Exercises 练习

Problem 1-1: Comparison of running times

For each function $f(n)$ and time t in the following table, determine the largest size n of a problem that can be solved in time t , assuming that the algorithm to solve the problem takes $f(n)$ microseconds (μsec).

思考题 1-1: 运行时间的比较

假设求解问题的算法需要 $f(n)$ 微妙，对下表中的每个函数 $f(n)$ 和时间 t ，确定可以在时间 t 内求解的问题的最大规模 n 。

$t = 1 \text{ sec}, 1 \text{ min}, 1 \text{ hour}, 1 \text{ day}, 1\text{month/月}, 1 \text{ year}, 100 \text{ years}$

$f(n) = \lg n, \sqrt{n}, n, n \lg n, n^2, n^3, 2^n, n! \quad (\lg n = \log_2 n)$

Exercises 练习

	1秒	1分	1小时	1天	1月	1年	1世纪
$\lg n$							
\sqrt{n}							
n							
$n \lg n$							
n^2							
n^3							
2^n							
$n!$							

If $f(n) = \sqrt{n}$ microseconds,
find n such that $f(n) = 1$ month.

Exercises 练习

	1秒	1分	1小时	1天	1月	1年	1世纪
$\lg n$							
\sqrt{n}							
n	10^6	$6 \cdot 10^7$	$3.6 \cdot 10^9$	$8.6 \cdot 10^{10}$	$2.6 \cdot 10^{12}$	$3.2 \cdot 10^{13}$	$3.2 \cdot 10^{15}$
$n \lg n$							
n^2							
n^3							
2^n							
$n!$							

$$2^{10^6} = 10^{\log_{10} 2^{10^6}}$$

$$= 10^{\lg 2^{10^6} / \lg 10} = 10^{10^6 / \lg 10}$$

$$\approx 10^{301030}$$

ises 练习

	1分	1小时	1天	1月	1年	1世纪	
$\lg n$	10^{301030}			$10^{8 \cdot 10^{11}}$			
\sqrt{n}	10^{12}			$6.9 \cdot 10^{24}$			
n	10^6	$6 \cdot 10^7$	$3.6 \cdot 10^9$	$8.6 \cdot 10^{10}$	$2.6 \cdot 10^{12}$	$3.2 \cdot 10^{13}$	$3.2 \cdot 10^{15}$
$n \lg n$	$6.27 \cdot 10^5$			$7.3 \cdot 10^{10}$			
n^2	10^3			$1.6 \cdot 10^6$			
n^3	10^2			$1.4 \cdot 10^4$			
2^n	19			41	44	51	
$n!$	9			15	16	17	

$\lg 10^{12} \approx 40$;
therefore guess values
around $2.6 \cdot 10^{12} / 40 \approx 6.6 \cdot 10^{10}$.
Or, even better, around
 $6.6 \cdot 10^{12} / 10 \approx 6.6 \cdot 10^{11}$.

$\lg 10^{12} \approx 40$;
 therefore guess values
 around $2.6 \cdot 10^{12} / 40 \approx 6.6 \cdot 10^{10}$.
 Or, even better, around
 $2.6 \cdot 10^{12} / \lg 6.6 \cdot 10^{10}$.

Exercises 练习

- 2.1-3

Consider the searching problem:

Input: A sequence of n numbers $A = (a_1, a_2, \dots, a_n)$ and a value v .

Output: An index i such that $v = A[i]$, or the special value NIL if v does not appear in A .

Write pseudocode for linear search, which scans through the sequence, looking for v .

Using a loop invariant, prove that your algorithm is correct. Make sure that your loop invariant fulfills the three necessary properties.

- 考虑以下查找问题：

输入： n 个数的一个序列 $A = (a_1, a_2, \dots, a_n)$ 和一个值 v 。

输出： 下标 i 使得 $v = A[i]$ 或者当 v 不在 A 中出现时，特殊值 NIL。

写出线性查找的伪代码，它扫描整个序列来查找 v 。使用一个循环不变式来证明你的算法的正确性。确保你的循环不变式满足三条必要的性质。

Linear search

线性查找

- specification: find an index i in the sequence (a_1, a_2, \dots, a_n) such that $v = a_i$. If v is not in the sequence, return NIL.
- 需求：在序列 (a_1, a_2, \dots, a_n) 里，找到下标 i 使得 $v = a_i$ 。
当 v 不在序列中出现时，特殊值NIL。

sequence (a_1, \dots, a_{i-1})
does not contain v

check whether $A[i] = v$

sequence (a_1, \dots, a_i)
does not contain v

```
SEARCH( $a_1, a_2, \dots, a_n$  : numbers;  $v$  : number)
for  $i = 1$  to  $n$ 
    if  $v == a_i$ 
        return  $i$ 
return NIL
```

2.1-3

- Loop invariant: sequence (a_1, \dots, a_{i-1}) does not contain v .
 - Initialisation: When the loop starts ($i = 1$), the invariant holds (because the sequence is empty).
 - Maintenance: If the sequence (a_1, \dots, a_{i-1}) does not contain v at the beginning of iteration i , then sequence (a_1, \dots, a_i) does not contain v at the end of iteration i . This is true because the test $v = a_i$ and the **return** statement ensure that the end of iteration i is only reached if $v \neq a_i$.
 - Termination: When the loop ends ($i = n$), then (a_1, \dots, a_n) does not contain v .
- Prove the specification using the loop invariant termination:
 - If v is in the sequence, then “return i ” outputs a correct value.
 - The loop terminates if and only if v is not in the sequence, and “return NIL” outputs a correct value.

Exercises 练习

- 3-2.3
Prove / 证明
 - $n! = \omega(2^n)$
 - $n! = o(n^n)$
 - $\log(n!) = \Theta(n \log n)$
- You may use Stirling's approximation / 可以使用斯特林近似公式:
$$n! = \sqrt{2\pi n} (n/e)^n (1 + \Theta(1/n))$$

3.2-3

- $n! = \sqrt{2\pi n} (n/e)^n (1 + \Theta(1/n))$ means that we can use the following two inequalities:
- $n! = \sqrt{2\pi n} (n/e)^n (1 + O(1/n))$,
so there exist n_1 and c_1 such that $n! \leq \sqrt{2\pi n} (n/e)^n (1 + c_1/n)$ if $n \geq n_1$.
- $n! = \sqrt{2\pi n} (n/e)^n (1 + \Omega(1/n))$,
so there exist n_1 and c_2 such that $\sqrt{2\pi n} (n/e)^n (1 + c_2/n) \leq n!$ if $n \geq n_2$.

3.2-3

- Assume that n is large.
- $\log (n!) \leq \log [\sqrt{2\pi n} (n/e)^n (1 + c_1/n)]$
 $= \frac{1}{2}\log (2\pi) + \frac{1}{2}\log n + n \log n - n \log e + \log (1 + c_1/n)$
 $\leq 2 n \log n,$
 so $\log (n!) = O(n \log n).$
- Other parts of the exercise are proven similarly.

Divide and Conquer

Latin: Divide et Impera

- Julius Caesar's maxim 凯撒的格言 for winning wars:
divide the enemies into small groups
and then win one small battle at a time.
- Computer scientist's principle for solving problems:
divide the problem into smaller subproblems
and then solve them one at a time.

Example: Merge Sort 合并排序

How to sort a sequence with n elements:

- If $n \geq 2$ then
 - Divide the sequence to be sorted into two halves with $n/2$ elements
 - Sort the two parts independently
(using merge sort for shorter sequences)
 - Combine the sorted parts into one sequence

recursion: ok
because $n/2 < n$

Example: Merge Sort 合并排序

How to sort a sequence with n elements:

- If $n \geq 2$ then
 - Divide the sequence to be sorted into two halves with $\leq (n+1)/2$ elements
 - Sort the two parts independently
(using merge sort for shorter sequences)
 - Combine the sorted parts into one sequence

recursion: ok
because $(n+1)/2 < n$

Example: Merge Sort

- How do we combine two sorted sequences into one?
- The smallest element in both sequences together is always at the beginning of one of the two sequences.
 - ↳ only need to compare the beginning of the two sequences

Example: Merge Sort

MERGE(L, n_L, R, n_R, A) // merge the sorted sequence in L (with n_L elements)
// with the sorted sequence in R (with n_R elements)
// and store the result in A

$L[n_L + 1] = \infty ; R[n_R + 1] = \infty$ // an element that is larger than anything else

$i = 1 ; j = 1$

for $k = 1$ **to** $n_L + n_R$

if $L[i] \leq R[j]$

$A[k] = L[i]$

$i = i + 1$

else

$A[k] = R[j]$

$j = j + 1$

Merge Example

- Merge the sequences (2, 4, 5, 7) and (1, 2, 3, 6).

Merge Sort: Main Algorithm

MERGE-SORT(A, n_A)

if $n_A > 1$

$q = \lfloor n_A / 2 \rfloor$

 MERGE-SORT($A[1] \dots A[q], q$)

 MERGE-SORT($A[q + 1] \dots A[n_A], n_A - q$)

 Copy $A[1] \dots A[q]$ to a new array L (with $q + 1$ elements)

 Copy $A[q + 1] \dots A[n_A]$ to a new array R (with $n_A - q + 1$ elements)

 MERGE($L, q, R, n_A - q, A$)

Merge Sort Example

- Sort the sequence (5, 2, 4, 7, 1, 3, 2, 6) according to merge sort.

Timing Analysis

- Recursive algorithms are often analyzed using a **recurrence relation** 递归式 (a function where $T(n)$ is defined using the value of $T(m)$, for some $m < n$)
- Let $T(n)$ = running time of MERGE-SORT(A, n)
- $T(n) = T(\lfloor n / 2 \rfloor) + T(n - \lfloor n / 2 \rfloor) + \Theta(n)$ if $n > 1$
 $T(1) = 1$
- Solution: $T(n) = O(n \log n)$

How to solve a recurrence

Three methods:

- (Substitution method 代入法) Guess and verify
- Recursion tree method 递归树法
- Master method 主方法

Guess and verify

- Merge sort recurrence: $T(n) = T(\lfloor n / 2 \rfloor) + T(n - \lfloor n / 2 \rfloor) + \Theta(n)$ if $n > 1$
 $T(1) = 1$
- Guess: $T(n) = O(n \log n)$
- Verify: Assume that $T(n) \leq c_1 n \lg n + 1$ (for some constant $c_1 > 0$)
and prove this claim by strong induction.
- Induction base: $T(1) = 1 \leq c_1 \cdot 1 \lg 1 + 1 = 1$ ✓.

Guess and verify

Induction step: Assume that $T(n') \leq c_1 n' \lg n' + 1$ for all $n' < n$.

We shall prove $T(n) \leq c_1 n \lg n + 1$.

If $n \geq 2$ is even, $T(n) = 2T(n/2) + \Theta(n)$

$\leq 2c_1 n/2 \lg (n/2) + 2 + an + b$, for some constants a and b ,

$= c_1 n (\lg n - 1) + 2 + an + b$

$= c_1 n \lg n + 1 - c_1 n + 1 + an + b$

$\leq c_1 n \lg n + 1$ if $c_1 \geq \frac{1}{2} + a + b/2$.

If $n \geq 3$ is odd, $T(n) \leq T((n-1)/2) + T((n+1)/2) + \Theta(n)$

$\leq c_1 (n-1)/2 \lg ((n-1)/2) + 1 + c_1 (n+1)/2 \lg ((n+1)/2) + 1 + an + b$

$\leq c_1 (n-1)/2 (\lg n - 1) + c_1 (n+1)/2 (\lg n + \lg 4 - \lg 3 - 1) + 2 + an + b$

$= c_1 n \lg n + c_1 n (-1 + \lg \frac{2}{3})/2 + c_1 (1 + \lg \frac{2}{3})/2 + 2 + an + b$

$\leq c_1 n \lg n + 1 - c_1 n (3 \lg 3 - \lg \frac{4}{3})/6 + 1 + an + b$

$\leq c_1 n \lg n + 1$ if $c_1 \geq (2 + 6a + 2b)/(3 \lg 3 - \lg \frac{4}{3})$
 $\approx 0.46 + 1.38a + 0.46b$

$\Theta(n)$ can
be bounded by
 $an + b$

$\lg 4 - \lg 3 - 1$
 $= \lg (4 / 3 / 2) =$
 $\lg \frac{2}{3}$

Recursion tree method

- Sketch the tree of recursive function calls
- sum (an upper bound of) the work at every level.
Do not use $O(\dots)$ during this summation (to avoid mixing different function estimates).
- Example: merge sort again

Recursion tree method

$$T(n) = \quad + an + b$$

$$T(n/2) = \quad + a^{n/2} + b$$

$$T(n/2) = \quad + a^{n/2} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/8)$$

$$T(n/8)$$

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$$T(1)$$

Recursion tree method

sum the work
per level

$\lceil \lg n \rceil$ levels

$$T(n) = \quad + an + b$$

$$an + b$$

$$T(n/2) = \quad + a^{n/2} + b$$

$$T(n/2) = \quad + a^{n/2} + b$$

$$an + 2b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$T(n/4) = \quad + a^{n/4} + b$$

$$an + 4b$$

$$T(n/8)$$

$$T(n/8)$$

$$T(n/8)$$

$$T(n/8)$$

$$T(n/8)$$

$$T(n/8)$$

$$T(n/8)$$

$$T(n/8)$$

$$an + 8b$$

$$T(1)$$

$$T(1)$$

$$T(1)$$

$$T(1)$$

$$T(1)$$

$$T(1)$$

$$T(1)$$

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$$T(1)$$

$$T(1)$$

$$T(1)$$

$$T(1)$$

$$nT(1)$$

Recursion tree method

- Sketch the tree of recursive function calls
- sum (an upper bound of) the work at every level.
Do not use $O(\dots)$ during this summation (to avoid mixing different function estimates).
- Example: merge sort again
Sum of the work per level:

$$\sum_{i=1}^{\lceil \lg n \rceil} an + 2^{i-1} b + nT(1) = an \lceil \lg n \rceil + \underbrace{b(2^{\lceil \lg n \rceil} - 1)}_{\approx n} + nT(1) = O(n \log n)$$

Master method

Theorem 4.1: Let $a \geq 1$ and $b \geq 1$ be constants, let $f(n)$ be an asymptotically positive function, and let $T(n)$ be defined by the recurrence

$$T(n) = aT(n/b) + f(n) \quad (\text{where “}n/b\text{” can mean either } \lfloor n/b \rfloor \text{ or } \lceil n/b \rceil).$$

Then $T(n)$ has the following asymptotic bounds:

1. If $f(n) = O(n^{\log_b a - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = O(n^{\log_b a})$.
2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$.
3. If $f(n) = \Omega(n^{\log_b a + \varepsilon})$ for some constant $\varepsilon > 0$ and $af(n/b) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$.

Example: Merge sort

- $T(n) = 2T(n/2) + \Theta(n)$, so $a = 2$ and $b = 2$.
- Choose the case: $n^{\log_b a} = n^{\log_2 2} = n^1 = n$. We have the 2nd case.
- So, $T(n) = O(n^{\log_b a} \log n) = O(n \log n)$.

Example: Strassen's Matrix Multiplication

- Assume given two $n \times n$ -matrices A and B . Compute the product $C = AB$:

$$c_{ij} = \sum a_{ik} b_{kj}$$

- This requires n^3 multiplications.
- Strassen found an algorithm with $\Theta(n^{\lg 7})$ multiplications ($\lg 7 \approx 2.81$).

Simple Recursive Matrix Multiplication

- If $A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}$ and $B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$, then the product can be written as:

$$AB = \begin{pmatrix} A_{11} \cdot B_{11} + A_{12} \cdot B_{21} & A_{11} \cdot B_{12} + A_{12} \cdot B_{22} \\ A_{21} \cdot B_{11} + A_{22} \cdot B_{21} & A_{21} \cdot B_{12} + A_{22} \cdot B_{22} \end{pmatrix}$$

- requires 8 multiplications of $n/2 \times n/2$ -matrices and n^2 additions.
- Recurrence for timing analysis: $T(n) = 8T(n/2) + O(n^2)$

Simple Recursive Matrix Multiplication

- Recurrence for timing analysis: $T(n) = 8T(n/2) + O(n^2)$
- Master method: $a = 8, b = 2$
 $f(n) = O(n^2) = O(n^{\log_b a - \varepsilon})$ for $\varepsilon = 1$, so case 1 applies.
 $\hookrightarrow T(n) = O(n^{\log_b a}) = O(n^3)$.

Strassen's recursive matrix multiplication

- Make more additions but only 7 multiplications.
- Recurrence for timing analysis: $T(n) = 7T(n/2) + O(n^2)$
- Master method: $a = 7, b = 2$
 $f(n) = O(n^2) = O(n^{\log_b a - \varepsilon})$ for $\varepsilon \approx 0.2$, so case 1 applies. $\lg 7 \approx 2.81$
 $\hookrightarrow T(n) = O(n^{\log_b a}) = O(n^{\lg 7})$.

Strassen's recursive matrix multiplication

1. Divide the input matrices A and B into $n/2 \times n/2$ -submatrices.
2. Create matrices S_1, \dots, S_{10} by adding or subtracting some of these submatrices. Requires work $O(n^2)$.
3. Recursively compute 7 product matrices P_1, \dots, P_7 from the submatrices $A_{11}, \dots, A_{22}, B_{11}, \dots, B_{22}, S_1, \dots, S_{10}$. Requires work $7T(n/2)$.
4. Add or subtract some of the product matrices P_1, \dots, P_7 to calculate the parts of the product matrix AB . Requires work $O(n^2)$.

Proof Sketch of the Master Theorem

- To present the proof idea simply, assume $f(n) = n^c$ for some constant c .
- Look at the recursion tree.

Proof sketch of the master theorem

$$T(n) = aT(n/b) + n^c$$

$T(n)$ requires time n^c + recursive calls

Proof sketch of the master theorem

$$T(n) = \quad + n^c$$

$$T(n/b) = aT(n/b^2) + (n/b)^c$$

$$T(n/b) = aT(n/b^2) + (n/b)^c$$

$$T(n/b) = aT(n/b^2) + (n/b)^c$$



a times $T(n/b)$ requires time $(a/b^c) n^c + \text{recursive calls}$

Proof sketch of the master theorem

$$T(n) = \quad + n^c$$

$$T(n/b) = \quad + (n/b)^c$$

$$T(n/b) = \quad + (n/b)^c$$

$$T(n/b) = \quad + (n/b)^c$$

$$T(n/b^2) = aT(n/b^3) + (n/b^2)^c$$

...

$$T(n/b^2) = aT(n/b^3) + (n/b^2)^c$$

$$T(n/b^2) = aT(n/b^3) + (n/b^2)^c$$

...

$$T(n/b^2) = aT(n/b^3) + (n/b^2)^c$$

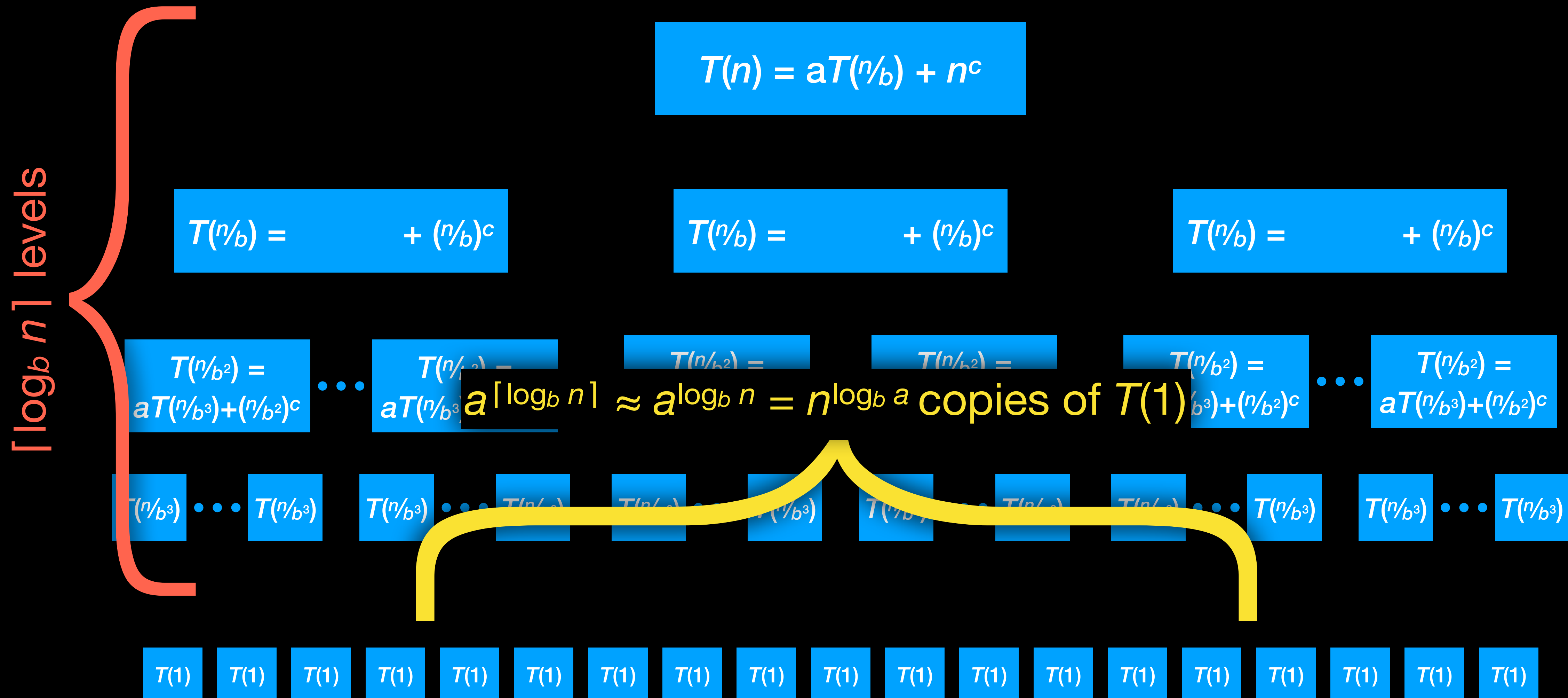
$$T(n/b^2) = aT(n/b^3) + (n/b^2)^c$$

...

$$T(n/b^2) = aT(n/b^3) + (n/b^2)^c$$

a^2 times $T(n/b^2)$ requires time $(a/b^c)^2 n^c + \text{recursive calls}$

Proof sketch of the master theorem



Proof sketch of the master theorem

- Summing up the work on every level: $T(n) = O(n^{\log_b a}) + n^c \sum_{i=0}^{\lceil \log_b n \rceil} (a/b^c)^i$
- If $n^{\log_b a} > n^c = f(n) \Leftrightarrow \log_b a > c \Leftrightarrow a > b^c \Leftrightarrow a/b^c > 1$, then $T(n)$ is dominated by the last summand $n^c (a/b^c)^{\lceil \log_b n \rceil} \approx n^c (a/b^c)^{\log_b n} = n^c n^{\log_b a} / n^{\log_b b^c} = n^c n^{\log_b a} / n^c = n^{\log_b a}$, so $T(n) = O(n^{\log_b a})$.
- If $n^{\log_b a} = n^c = f(n) \Leftrightarrow \log_b a = c \Leftrightarrow a = b^c \Leftrightarrow a/b^c = 1$, then every summand is 1, so $T(n) = O(n^{\log_b a}) + n^c \lceil \log_b n \rceil = \Theta(n^{\log_b a} \log n)$.
- If $n^{\log_b a} < n^c = f(n) \Leftrightarrow \log_b a < c \Leftrightarrow a < b^c \Leftrightarrow a/b^c < 1$ then the sum is a geometric series and is bounded by $1 / (1 - a/b^c)$, so $T(n) = O(n^{\log_b a} + n^c / (1 - a/b^c)) = O(n^c) = O(f(n))$.

Proof sketch of the master theorem

In general, we cannot assume $f(n) = n^c$.

Still, we can say that:

- If $f(n) = O(n^{\log_b a - \varepsilon})$,
then there exists c_1 such that $f(n) \leq c_1 n^{\log_b a - \varepsilon}$ for large n ,
so there exists c'_1 such that $T(n) = aT(n/b) + f(n) \leq c'_1 T'(n)$ for large n ,
where $T'(n) = aT'(n/b) + n^{\log_b a - \varepsilon}$.
So $T'(n) = O(n^{\log_b a})$ and therefore $T(n) = O(n^{\log_b a})$.
- If $f(n) = \Theta(n^{\log_b a})$,
then there exist c_1 and c_2 such that $c_1 n^{\log_b a} \leq f(n) \leq c_2 n^{\log_b a}$ for large n ,
similarly we can construct $T'(n) = aT'(n/b) + n^{\log_b a}$
and get $T(n) = \Theta(T'(n)) = \Theta(n^{\log_b a} \log n)$.

Proof sketch of the master theorem

In general, we cannot assume $f(n) = n^c$.

Still, we can say that:

- If $f(n) = \Omega(n^{\log_b a + \varepsilon})$ and $af(n/b) \leq cf(n)$ for large n , for some constant $c < 1$, then the sum of the work on every level becomes

$$\begin{aligned} T(n) = n^{\log_b a} T(1) + \sum_{i=0}^{\lceil \log_b n \rceil} a^i f(n/b^i) &\leq n^{\log_b a} T(1) + \sum_{i=0}^{\lceil \log_b n \rceil} c^i f(n) \\ &\leq n^{\log_b a} T(1) + f(n) / (1-c) = \Theta(f(n)) \end{aligned}$$

(For small $n' = n/b^i$, it may be that $af(n'/b) \not\leq cf(n')$,
but the terms for small n' do not contribute much to $T(n)$.)

Content Overview

Growth of Functions / Divide and Conquer

- divide and conquer = solve a problem by recursively solving smaller problems of the same kind.
- Also: calculate the speed of a recursive algorithm.

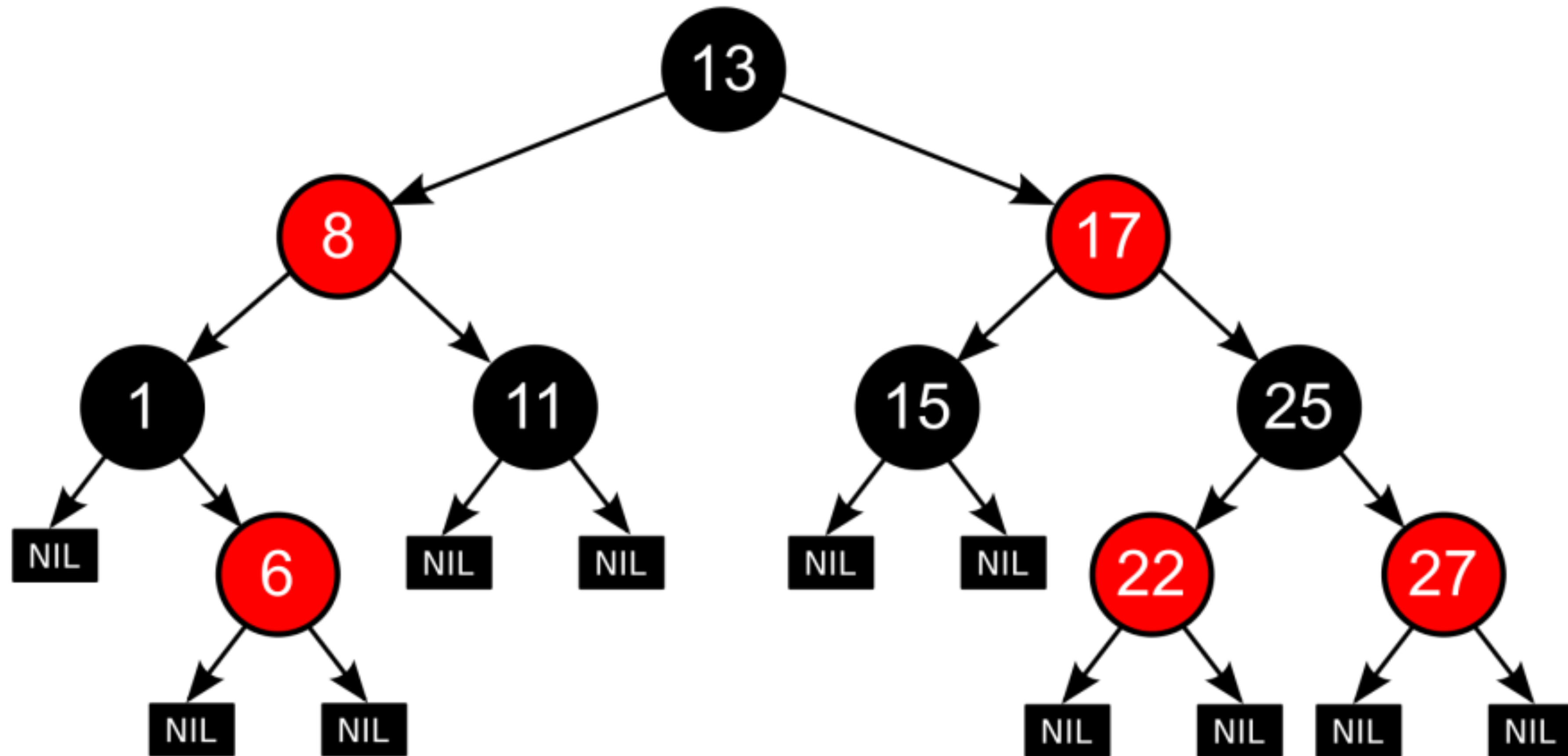
Sorting

- first large-scale use of tabulating machines (end 19th century): count census data, sort punched cards.
- census offices needed faster data analysis because population grew in many countries

Sorting

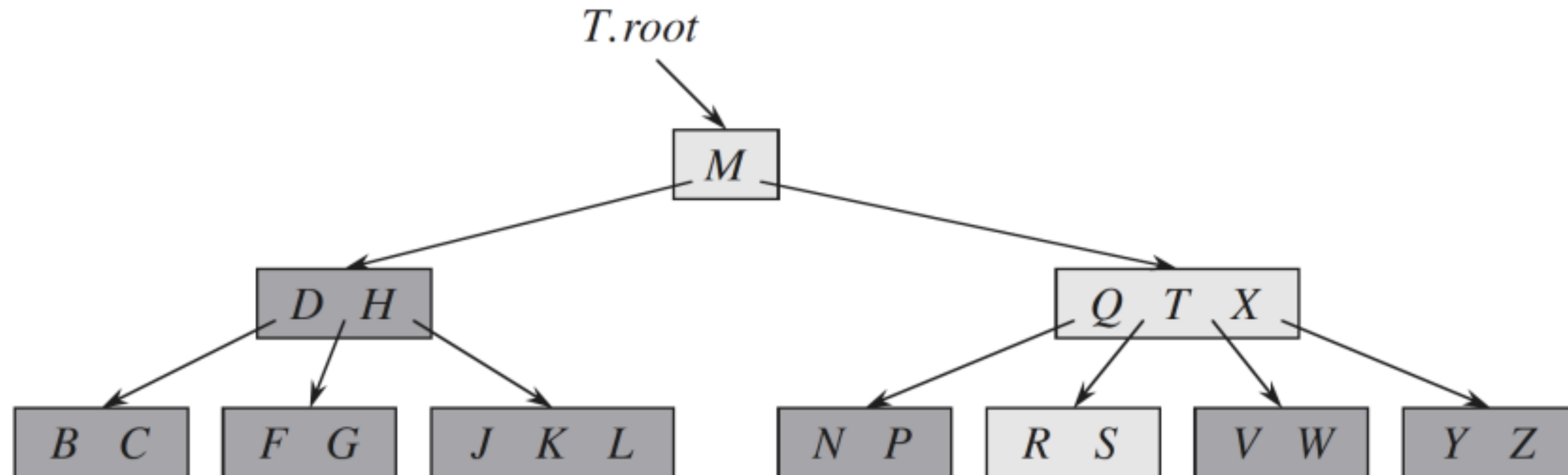
- multiple “fast” sorting algorithms: merge sort, heapsort, quicksort
- even faster algorithms for special situations: counting sort, bucket sort
- selection of the n th-smallest element

Red-black trees



B-trees

- B-tree where each node below the root has 2 or 3 entries.



Dynamic programming

Matrix-chain multiplication: Given A_1, A_2, \dots, A_n , how to compute $A_1 A_2 \dots A_n$ using minimum number of scalar multiplications

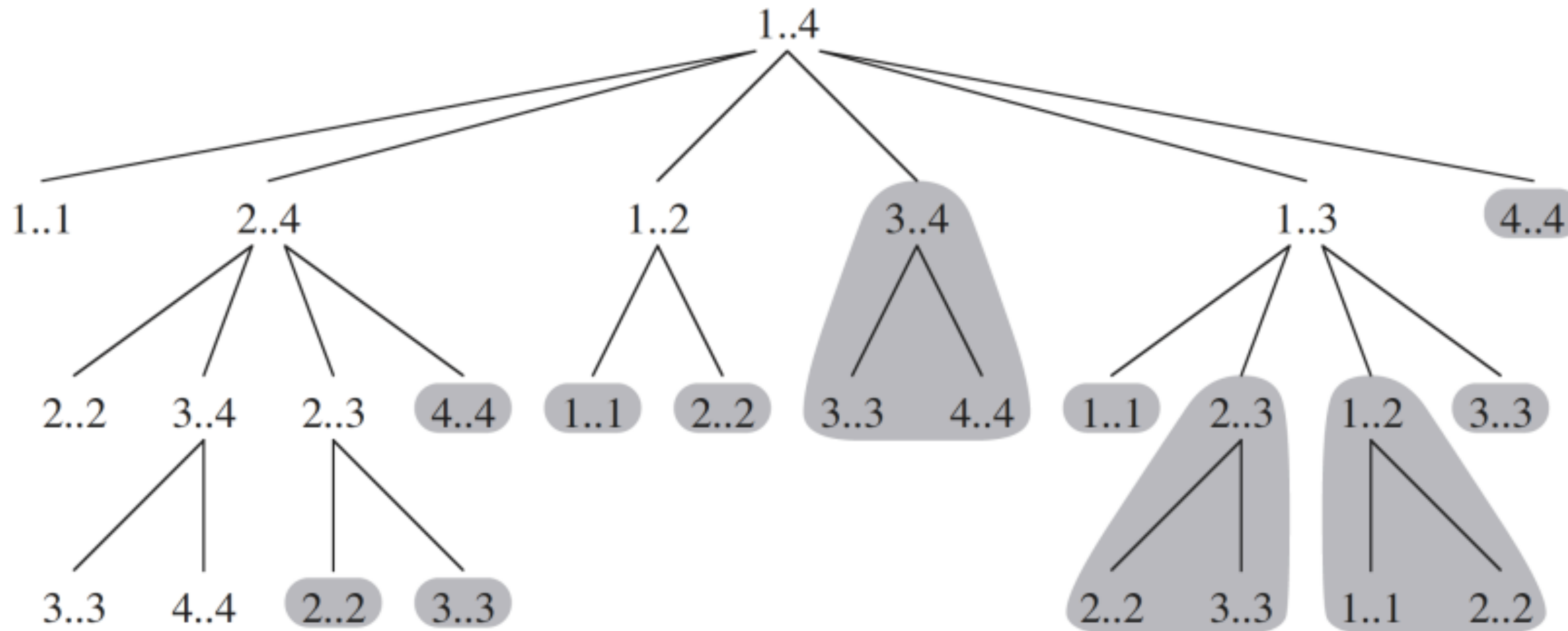
A_1
 2×10

A_2
 10×5

A_3
 5×20

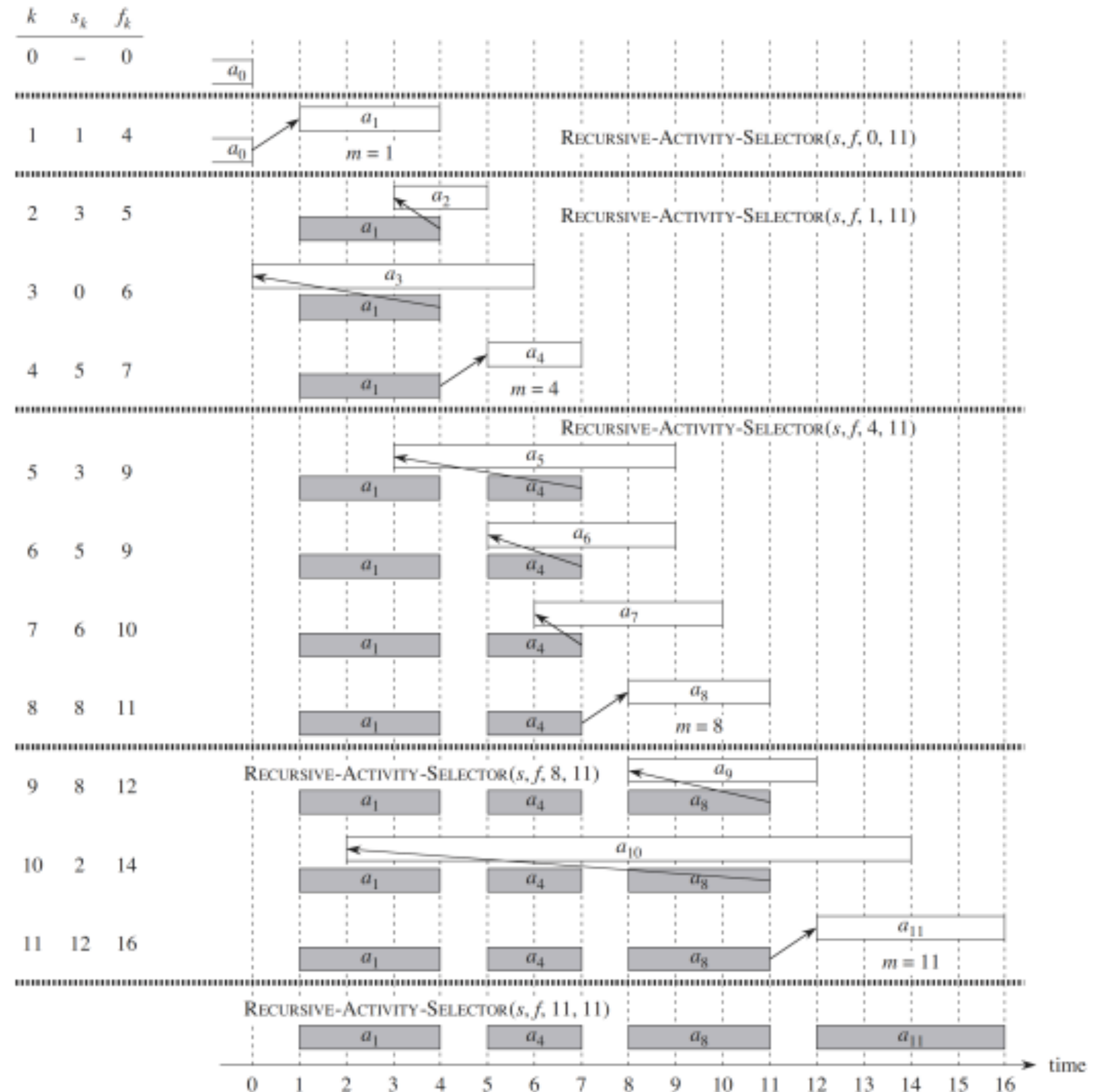
$$(A_1 A_2) A_3: 2 \times 10 \times 5 + 2 \times 5 \times 20 = 300$$

$$A_1 (A_2 A_3): 10 \times 5 \times 20 + 2 \times 10 \times 20 = 1400$$



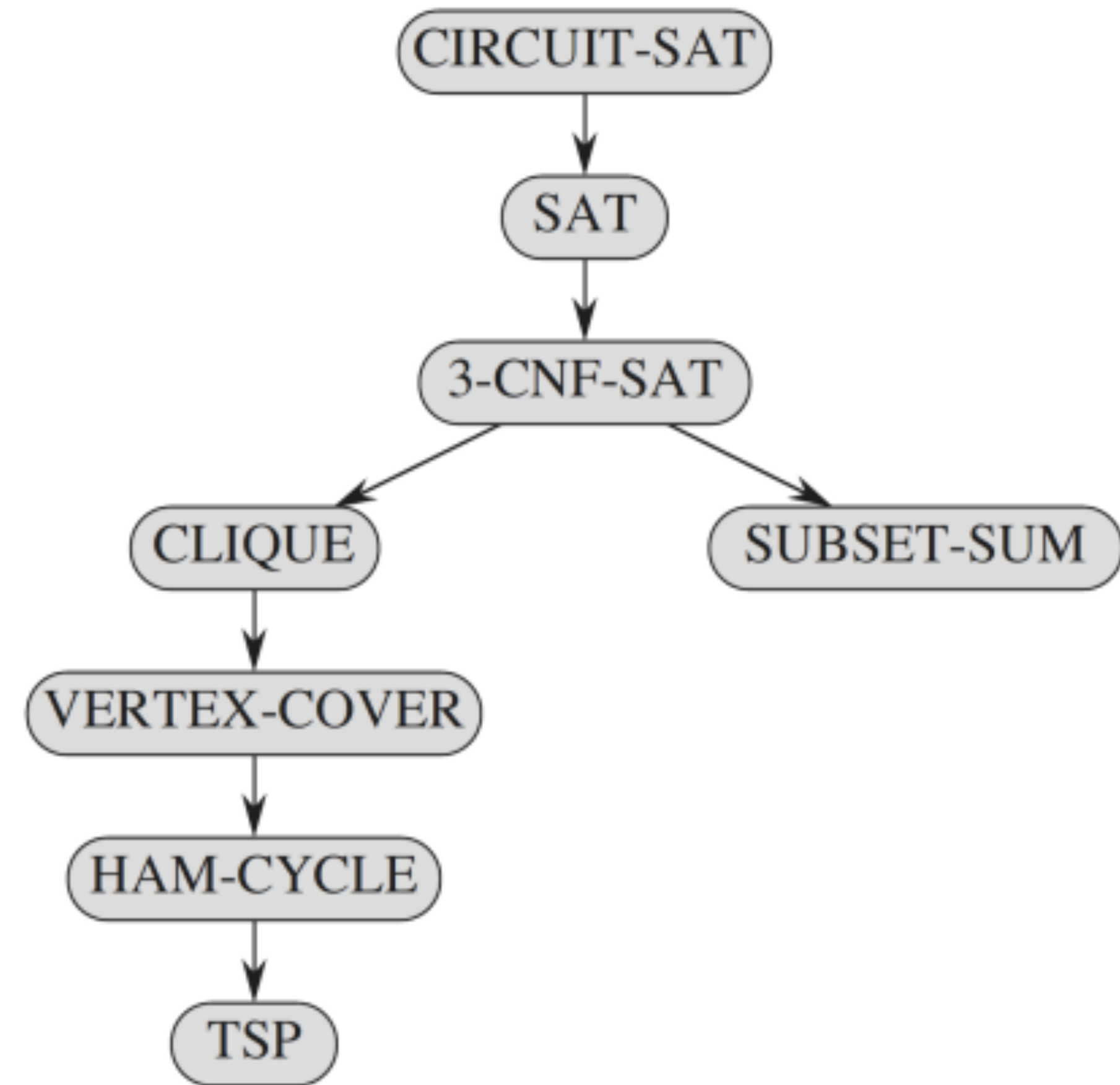
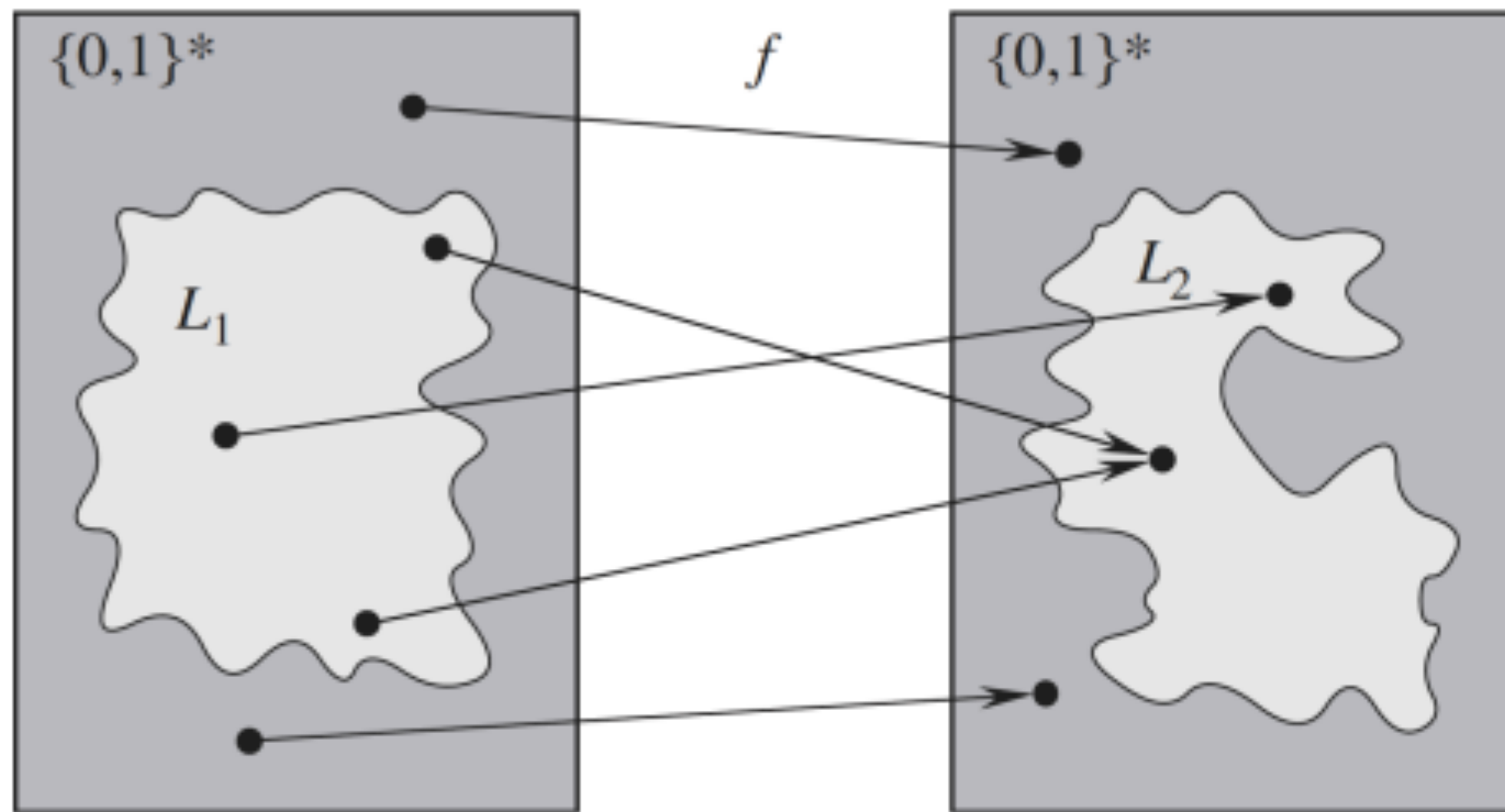
Greedy algorithms

Activity-selection problems: Given a collection of n tasks (s_i, f_i) with start and finish time, select a maximum-size subset of mutually compatible tasks



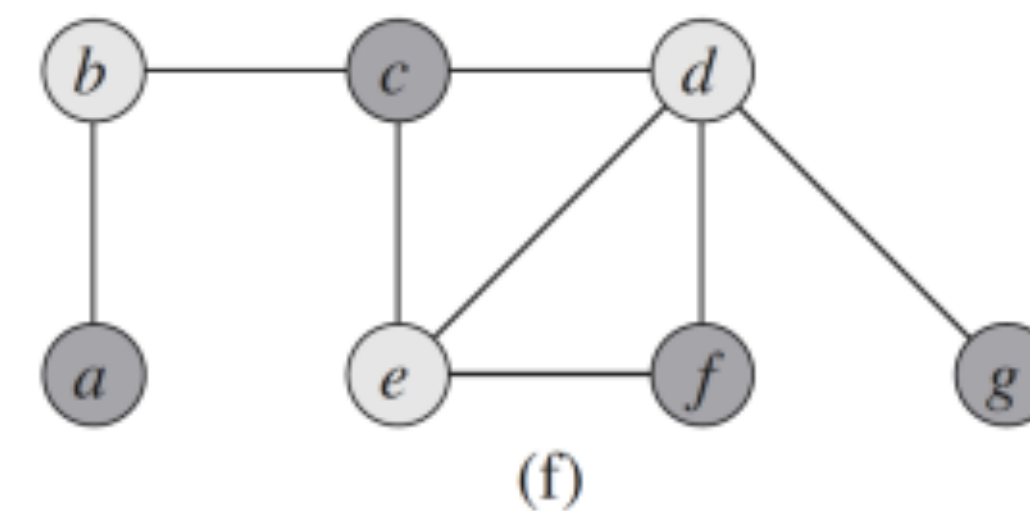
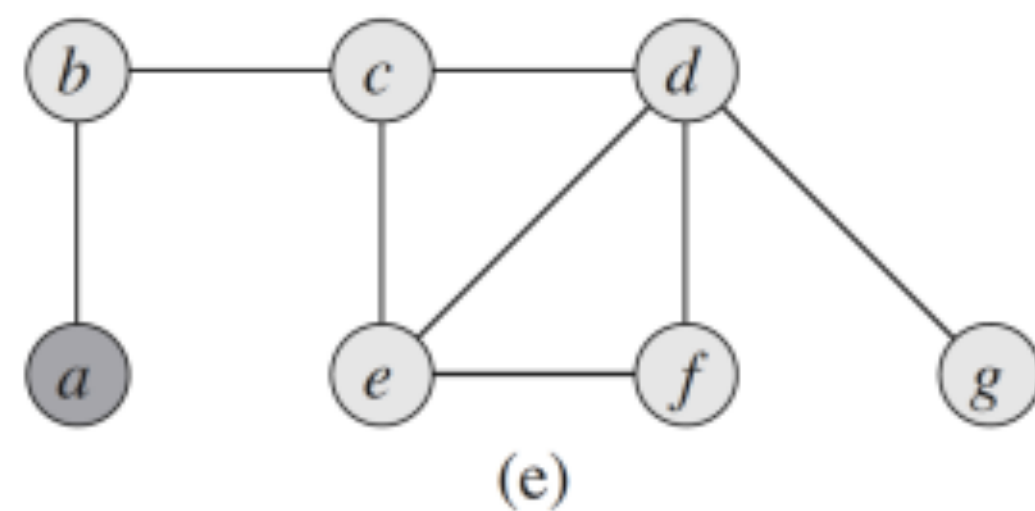
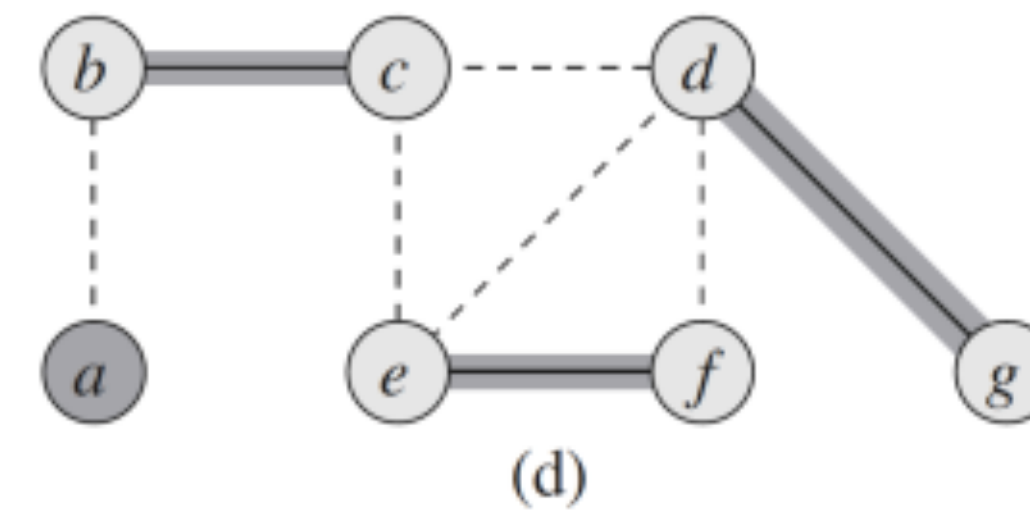
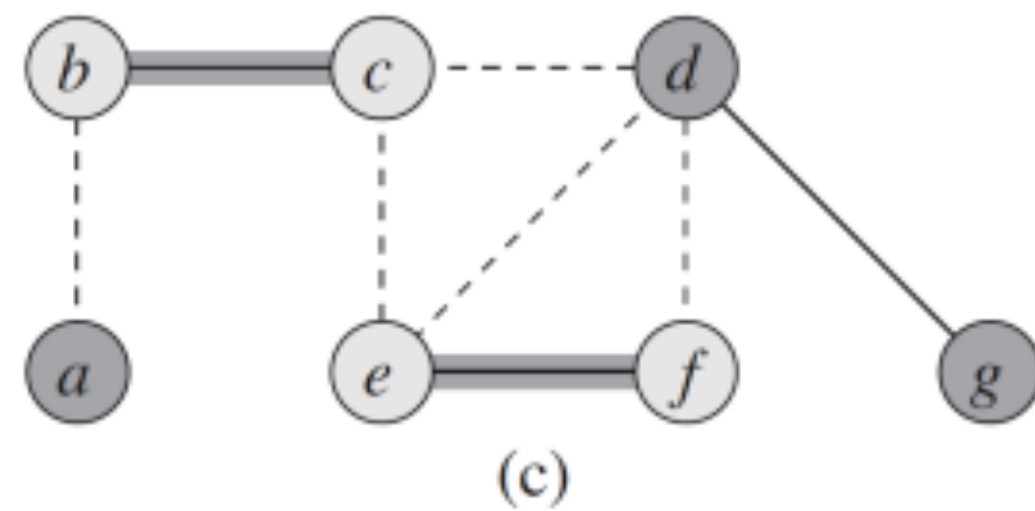
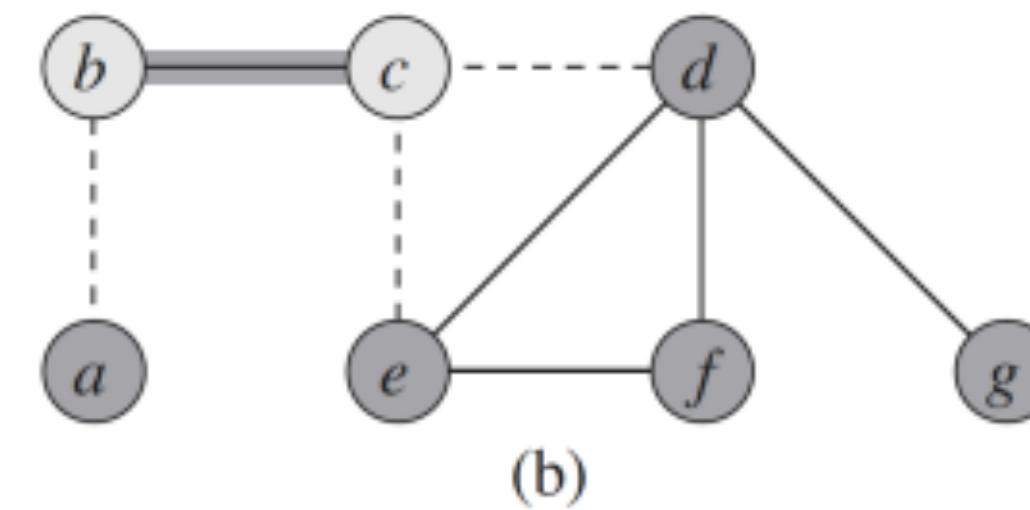
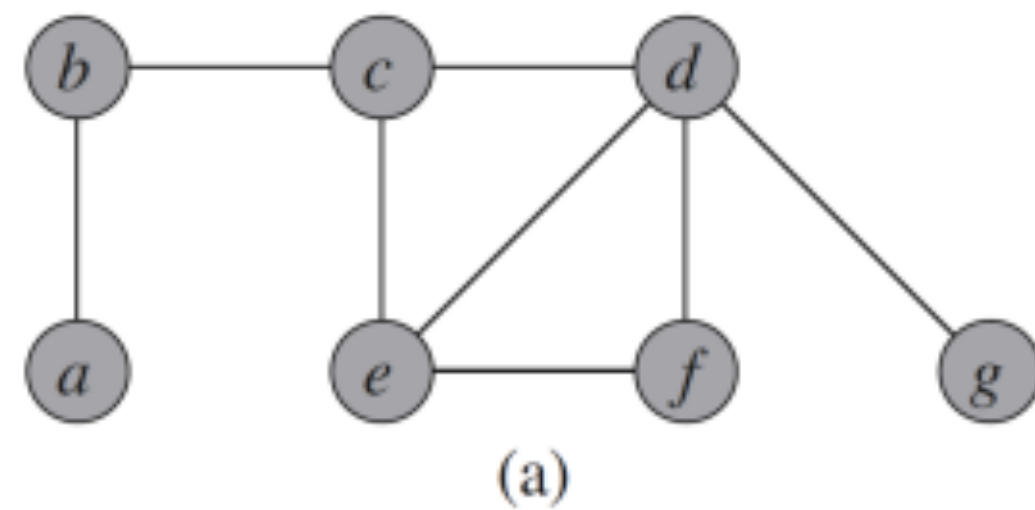
NP completeness

Polynomial-time reduction:



Approximation algorithms

- Approximated vertex cover:

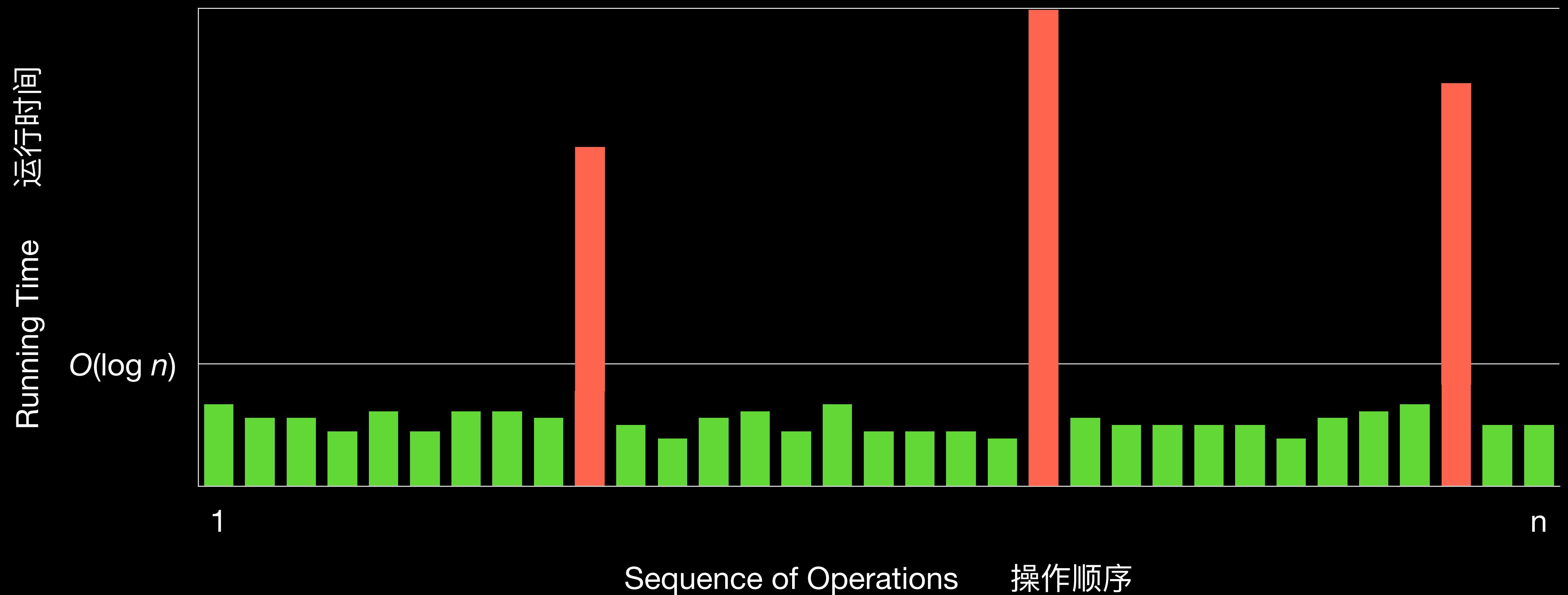


Amortized Analysis

- Timing analysis of a sequence of operations.
If some operations in the sequence are slow,
but we know that only a small number of operations can be slow,
then we can give a better bound of the total runtime
than just “length of sequence \times time of slowest operation”.

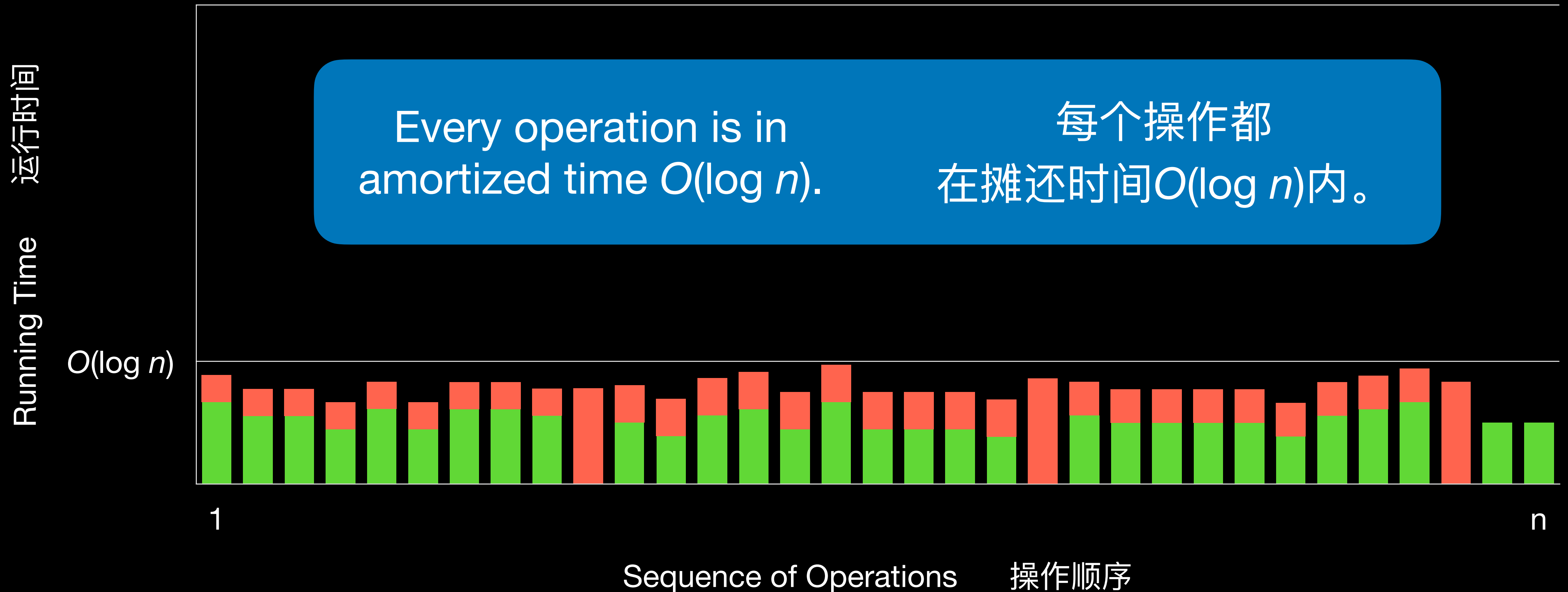
Scapegoat Tree

替罪羊树



Scapegoat Tree

替罪羊树



Graph algorithms

- Breadth-first / depth-first search: visit every vertex of a graph
- Shortest paths
- Network flow

Weighted Graphs 权重的图

Shortest Path 最短路径

Minimum Spanning Tree 最小生成树

weight = length or cost of the edge

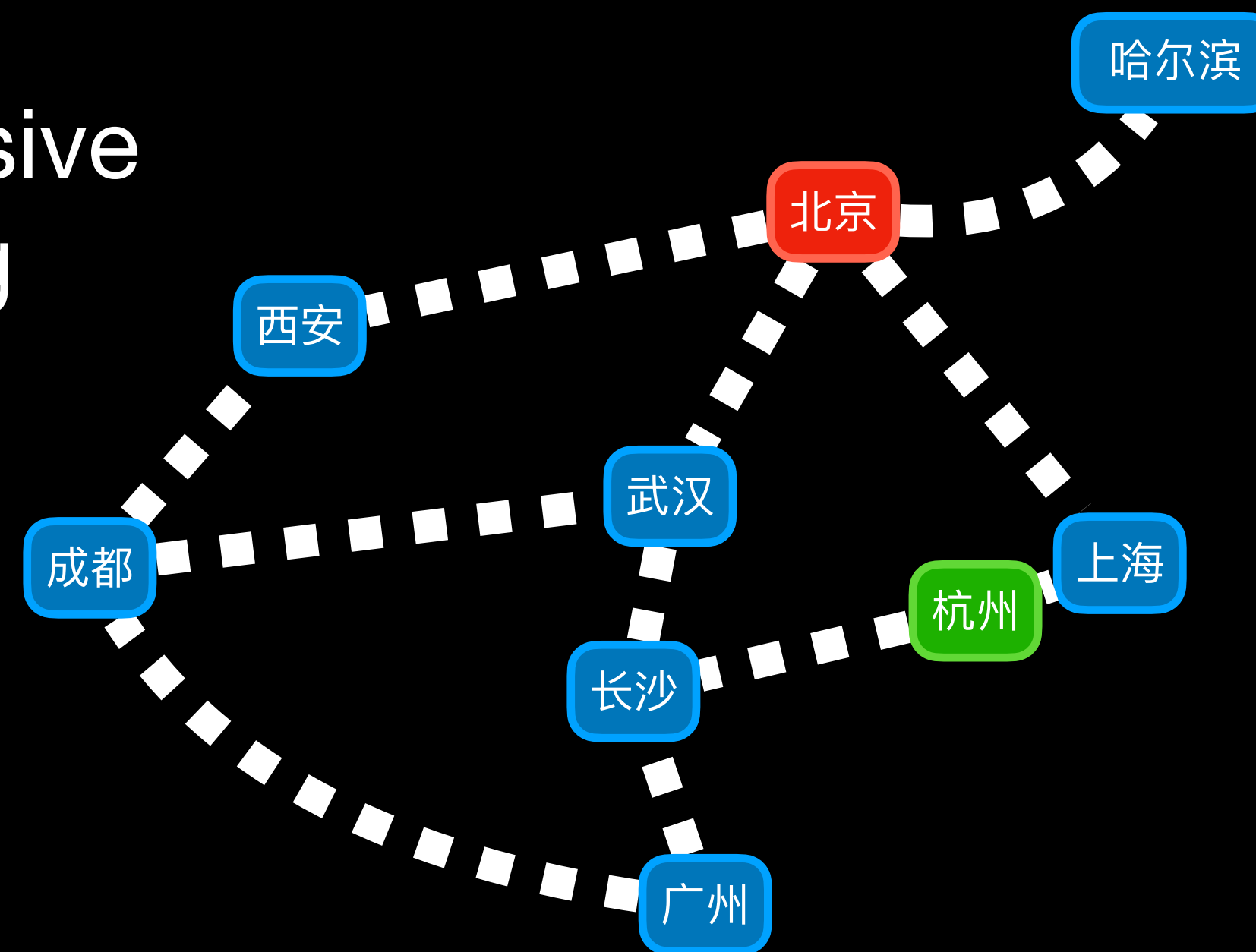
How far / how expensive
is the trip from Beijing
to Hangzhou?

What is the cheapest
connected network?

Maximum Flow 最大流

capacity = width of the edge

How many people
can travel each day
from Beijing
to Guangzhou?



Linear programming

- General method to solve (linear) optimization problems
- example problem: china production plant

Example: Production Planning

- A company offers two products: decorated china and white china.
- If it produces only decorated china, it would need eight employees, and it could produce 2000 pieces per week, which sell at a profit of ¥5/piece (¥1250/employee). However, the company only has six employees.
- If it produces only white china, four employees would be enough, it can produce 3500 pieces per week, and the profit is ¥2/piece (¥1750/employee).
- How many employees should work on which product?



Summary

- Algorithm := sequence of instructions that transform input into output
把输入转换成输出的计算步骤的序列
- Big-O Notation: describe asymptotic rate of growth of functions
大O记号：描写函数的渐近的增长速度
- Divide and Conquer: a method to construct algorithms
divide a problem into smaller problems and solve every one recursively
- Recurrence 递归式: describe runtime of a divide-and-conquer algorithm