

SPLITTING FIELDS

DEFINITION

Let $f(x)$ be a nonconstant polynomial in $K[x]$.

L is called a **splitting field** for f over K if L is the smallest field over which f splits

THEOREM 1 (Existence of a splitting field)

If $f(x)$ is of $\deg n > 0$, then \exists a splitting field L for f over K with $[L:K] \leq n!$

Proof

By induction on n ,

• $n=1$: $f(x)=ax+b$, $a, b \in K \Rightarrow L = K(-\frac{b}{a}) = K \Rightarrow [L:K]=1$

• $n>1$: By Kronecker's Thm, $\exists K_1/K$ and $\alpha \in K_1$, s.t. $f(\alpha)=0$

By division algorithm, $\exists f_1(x) \in K(\alpha)[x]$ with $\deg f_1 = n-1$, s.t. $f(x) = (x-\alpha)f_1(x)$

By induction hypothesis, \exists a splitting field L for f_1 over $K(\alpha)$ with $[L:K(\alpha)] \leq n!$

By def, $f_1(x) = \lambda(x-\alpha_2)(x-\alpha_3)\cdots(x-\alpha_n)$, $\alpha_i \in L$

Hence, $f(x) = \lambda(x-\alpha_1)(x-\alpha_2)\cdots(x-\alpha_n)$ and $L = K(\alpha_1, \alpha_2, \dots, \alpha_n) = K(\alpha_1, \dots, \alpha_n)$

$\therefore [L:K] = [L:K(\alpha_1)][K(\alpha_1):K] \leq (n-1)!n = n! \quad \square$

FACT

$\hookrightarrow K \xrightarrow{\sim} \tau(K)$

Let K, L be two fields and $\tau: K \hookrightarrow L$ be a nontrivial homo. Then, $\exists \bar{\tau}: K[x] \xrightarrow{\sim} \tau(K)[x]$

and if $f(x)$ is irred, then

$$a_n x^n + \dots + a_1 x + a_0 \mapsto \tau(a_n)x^n + \dots + \tau(a_1)x + \tau(a_0)$$

$\bar{\tau}(f)$ is also irred

Proof

• $\tau: K \xrightarrow{\sim} \tau(K)$ since $\ker \tau = \{0\} \Rightarrow \bar{\tau}: K[x] \xrightarrow{\sim} \tau(K)[x]$

• If $\bar{\tau}(f) = gh$ with $\deg g > 0$, $\deg h > 0$, then $f = \bar{\tau}^{-1}(g) \bar{\tau}^{-1}(h) \quad \square$

LEMMA

Given $K(\alpha)/K$ with α being algebraic over K , if $\tau: K \hookrightarrow L$ is nontrivial, then \exists an extension σ of τ from $K(\alpha)$ to $L \Leftrightarrow \exists \beta \in L$, s.t.

$$\bar{\tau}(m_{\alpha, K})(\beta) = 0$$

Proof

" \Rightarrow ": Let $\beta = \sigma(\alpha)$ and $m_{\alpha, K} = x^n + a_{n-1}x^{n-1} + \dots + a_0$

$$\text{Then, } \bar{\tau}(m_{\alpha, K})(\beta) = \bar{\tau}(m_{\alpha, K})(\sigma(\alpha)) = \sigma(\alpha)^n + \tau(a_{n-1})\sigma(\alpha)^{n-1} + \dots + \tau(a_0) = \sigma(\alpha^n + a_{n-1}\alpha^{n-1} + \dots + a_0) = \sigma(0) = 0 \quad \square$$

" \Leftarrow ": First observe that $\bar{\tau}(m_{\alpha, K}) = m_{\beta, \tau(K)}$ since $\bar{\tau}(m_{\alpha, K})(\beta) = 0$ and it is irreducible and monic

Then, σ comes from the following diagram:

$$\begin{array}{ccc} K[x] & \xrightarrow{\bar{\tau}} & \tau(K)[x] \\ \downarrow & & \downarrow \\ K[x]/\langle m_{\alpha, K} \rangle & \xrightarrow{\sim} & \tau(K)[x]/\langle \bar{\tau}(m_{\alpha, K}) \rangle = \tau(K)[x]/\langle m_{\beta, \tau(K)} \rangle \\ \downarrow \text{eval}_\alpha & \searrow \exists \checkmark & \downarrow \text{eval}_\beta \\ K(\alpha) & & K(\beta) \end{array}$$

(evaluation map (は " $x \leftarrow \beta$ " の意味)) $\text{eval}_\beta(f(x)) = f(\beta)$

REMARK

" \Leftarrow " because we can have repeated roots

The number of extensions $\leq \deg m_{\alpha, K}$. In particular, " $=$ " holds if all roots of $m_{\alpha, K}$ are distinct (\because extension \Rightarrow need to "grab" a root)

THEOREM 2 (Uniqueness of a Splitting Field)

Shun/翔海 (@shun4midx)

Let $\tau: K \xrightarrow{\sim} K'$ be an isomorphism of fields. Let $f(x) \in K[x]$ with a splitting field L over K . Then, τ can be extended to an isomorphism $\sigma: L \xrightarrow{\sim} L'$ and $\tau(f(x)) \in K'[x]$ with a splitting field L' over K' .

Proof

By induction on $n = \deg f$,

• $n=1$: $L=K$ and $L'=K'$, so set $\sigma=\tau$

• $n>1$: Assume $f(x)=0$. Since $m_{\alpha,K} \mid f \Rightarrow \tau(m_{\alpha,K}) \mid \tau(f)$, $\exists \beta \in L'$, s.t. $\tau(m_{\alpha,K})(\beta)=0$ and $\tau(m_{\alpha,K})=m_{\beta,K'}$

By lemma, $\exists \tau_1: K(\alpha) \xrightarrow{\sim} K'(\beta)$ which extends τ

On one hand, we can write $f(x)=(x-\alpha)f_1(x)$, $f_1(x) \in K(\alpha)[x]$. Note, L is a splitting field for f_1 over $K(\alpha)$.

On the other hand, $\tau(f(x))=\tau_1(f(x))=(x-\tau_1(\alpha))(\tau_1(f_1(x)))=(x-\beta)\tau_1(f_1(x)) \Rightarrow L$ is a splitting field for $\tau_1(f_1)$ over $K'(\beta)$

\therefore By induction hypothesis, τ_1 is extended to an isom $\sigma: L \xrightarrow{\sim} L'$ which is also an extension of τ \square

REMARK

The number of such extensions of τ is $\leq [L:K]$

of $\tau_i \leq \deg m_{\alpha,K} = [K(\alpha):K]$

By induction hypothesis, # of σ over $\tau_i \leq [L:K(\alpha)]$ \therefore Total $\leq [L:K(\alpha)][K(\alpha):K] = [L:K]$

THEOREM 3

If K_1 is algebraic and $\sigma: K_1 \rightarrow K_2$ with K_2 algebraically closed, then τ can be extended to $\sigma: L \rightarrow K_2$

Proof

Set $S = \{(M, \theta) \mid M \text{ is a field s.t. } K \subseteq M \subseteq L, \theta: M \rightarrow K_2 \text{ is an extension of } \tau\}$

Since $(K, \tau) \in S$, thus $S \neq \emptyset$

Define partial order " $(M_1, \theta_1) \leq (M_2, \theta_2)$ iff $M_1 \subseteq M_2$ and $\theta_2|_{M_1} = \theta_1$,"

Given a chain $\{(M_i, \theta_i) \mid i \in \mathbb{N}\}$ in S , consider $N = \bigcup_{i \in \mathbb{N}} M_i$, which is a field, and $\phi: N \rightarrow K_2$
 $M_i \ni \alpha \mapsto \theta_i(\alpha)$

Then, (N, ϕ) is a least upper bound for this chain.

\therefore By Zorn's Lemma, \exists a max element $(M, \sigma) \in S$.

Claim: $M=L$

Proof

Suppose $M \subsetneq L$. Pick $\alpha \in L \setminus M$. Since K_2 is algebraically closed, $\exists \beta \in K_2$, s.t. $\sigma(m_{\alpha,M})(\beta)=0$

Hence, $\exists \sigma_1: M(\alpha) \rightarrow K_2$ with $\sigma_1|_M = \sigma$. Thus, $(M(\alpha), \sigma_1) \notin S$ \times
 $\alpha \mapsto \beta$

COROLLARY

Any two algebraic closures L_1, L_2 of K are isomorphic

Proof

Consider the inclusion homo $\tau: K \rightarrow L_2$

$\therefore L_1/K$ is alg and L_2 is alg closed

$\therefore \exists \sigma: L_1 \rightarrow L_2$, s.t. $\sigma|_K = \text{id}_K$

Note that $\sigma(L_1)$ is alg closed since $L_1 \cong \sigma(L_1)$. Now, $\forall \beta \in L_2$, β is alg over $K \subseteq \sigma(L_1) \Rightarrow \beta \in \sigma(L_1)$, i.e. $L_2 = \sigma(L_1)$ \square

EXAMPLE

$f(x) = x^p - 2$, p : prime (it is irred due to Eisenstein)

• $m_{\alpha, \mathbb{Q}} = f(x)$ since $f(x)$ is irred and monic

• Roots: $\sqrt[p]{2}, \sqrt[p]{2}\zeta_p, \sqrt[p]{2}\zeta_p^2, \dots, \sqrt[p]{2}\zeta_p^{p-1} \Rightarrow L = \mathbb{Q}(\sqrt[p]{2}, \zeta_p) = \mathbb{Q}(\sqrt[p]{2})\mathbb{Q}(\zeta_p)$

We know that $[\mathbb{Q}(\sqrt[p]{2}):\mathbb{Q}] = p$, $[\mathbb{Q}(\zeta_p):\mathbb{Q}] = p-1$

$\therefore [L:\mathbb{Q}] = p(p-1)$ $\because p, p-1$ coprime

ζ_p is not irred (not 1)
 $(x^p - 1)$, associated poly is $x^p - 1 = (x-1)(x^{p-1} + \dots + 1)$ ζ_p is not irred

REMARK

Shun/翔海 (@shun4mide)

Given \mathcal{L}_1 and \mathcal{L}_2 , L_1, L_2 = smallest subfield of L containing L_1 and L_2

Assume $[L_1:K]=m$ and $[L_2:K]=n$ with $\gcd(m,n)=1$. Then, $[L_1, L_2:K]=mn$

Proof

$$m=[L_1:K] \mid [L_1, L_2:K], \quad n=[L_2:K] \mid [L_1, L_2:K] \Rightarrow mn \mid [L_1, L_2:K] \quad \checkmark$$

Now, $L_2=K(\alpha_1, \dots, \alpha_n)$, $L_1, L_2=L_1 K(\alpha_1, \dots, \alpha_n)=L_1(\alpha_1, \dots, \alpha_n)$ since $m_{\alpha_i, L_1} \mid m_{\alpha_i, K}$

$$\Rightarrow [L_1, L_2:K]=[L_1(\alpha_1, \dots, \alpha_n):L_1][L_1:K] \leq mn$$

$$\therefore [L_1, L_2:K]=mn \quad \square$$

REMARK (IMPORTANT)

Let \mathcal{L}_K be algebraic and $\tau: L \rightarrow \underset{K}{L}$ be a monomorphism fixing K . Then, τ is onto

$f(x)=m_{z,K}(x)$, $\deg f=n$, f has roots $z=z_1, \dots, z_n$

Then, $\tau: z_i \mapsto z_j$, i.e. $\tau: \{z_1, \dots, z_n\} \cap L \xrightarrow{\tau^{-1}} \{z_1, \dots, z_n\} \cap L$