

9-23-25 (WEEK 4)

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THEOREM (UNIQUENESS THEOREM)

Say D is a region (i.e. open connected) and f is an analytic function on D .

Suppose that \exists seq of distinct zeros of D $\{z_n\}$, s.t. $\lim_{n \rightarrow \infty} z_n = z_0 \in D$, where we say the seq $\{z_n\}$ has an acc pt in D .
Then, $f \equiv 0$ on D .

Proof

f ann $\Rightarrow f$ conti

\therefore By def, $f(z_0) = \lim_{n \rightarrow \infty} f(z_n) = 0$

We define $A := \{z \in D \mid z \text{ is an acc pt of zeros of } f \text{ in } D\}$.

Claim: A is open

Proof

By uniqueness of power series, $f \equiv 0$ in some disk $D(z, \delta_2) \subset D \forall z \in A$ ✓

Claim: $D \setminus A$ is open

Proof

z is NOT acc pt of zeros $\Rightarrow \exists$ open nbd U of z in D s.t. $f(z)$ has NO zeros in $U \setminus \{z\}$.

f conti $\Rightarrow \forall y \in U \setminus \{z\}$, \exists open nbd $V_y \subset D$ of y , s.t. $f \neq 0$ on $V_y \Rightarrow y \in D \setminus A$ ✓

$\therefore D = A \cup B$, A, B both open, $A \cap B = \emptyset$ ($B = D \setminus A$)

As $z_0 \in A$ and D is a region, $D = A$ □

COROLLARY

Say f, g are analytic on a region D .

If f and g agree at a set of pts with an acc pt, then $f \equiv g$ on D .

Proof

Set $h = f - g$, then apply thm above □

THEOREM

If f is entire and $f \rightarrow \infty$ as $z \rightarrow \infty$, then f is a polynomial

Proof

By def, $\forall M \in \mathbb{R}_{>0}$, $\exists \delta$, s.t. $\forall |z| > \delta$, $|f(z)| > M$

Let $M=1$.

$\therefore \exists \delta$, s.t. $\forall |z| > \delta$, $|f(z)| > 1$

By our assumption, f is NOT a constant

Claim: f has finitely many zeros

Proof

If NOT, by δ , all zeros in f are in $\overline{D(0, \delta)}$, otherwise, $|f(z)| \neq 0$.

As $\overline{D(0, \delta)}$ is compact, \exists acc pt of zeros in $\overline{D(0, \delta)}$ ✓

\hookrightarrow Suppose not. Then, $\forall x \in \overline{D(0, \delta)}$, \exists an open nbd U_x , s.t. f has no zeros in $U_x \setminus \{x\}$

$U_x \setminus \{x\}$ is an open cover of $\overline{D(0, \delta)}$ $\Rightarrow \exists x_1, \dots, x_n$ s.t. $\{U_{x_i}\}$ is an open cover of $\overline{D(0, \delta)}$ (by cpt)

However, each U_{x_i} has at most 1 zero $\Rightarrow \overline{D(0, \delta)}$ has at most n zeros ✗

By thm, $f \equiv 0$ on $D(0, \delta')$ for all $\delta' > \delta$
 \nearrow cover boundary at δ

However, δ' can extend to ∞

We consider within $\bar{D}(0, \delta)$.

Let $\alpha_1, \dots, \alpha_n$ be zeros of f (counting by multiplicity)

Then, $g(z) = \frac{f(z)}{\prod_{i=1}^n (z - \alpha_i)}$ is entire and has no zeros on \mathbb{C}

Set $h := \frac{1}{g(z)}$, then h is entire, h has no zeros in $\mathbb{C} \Rightarrow h$ is bounded in disk

By Extended Liouville's Thm, $|h| < A + B|z|^n \forall |z| > \delta$ and $\forall |z| \leq \delta \Rightarrow h$ is a poly

However, h has no zeros in $\mathbb{C} \Rightarrow h = \text{const}$

$\therefore \exists c \in \mathbb{C}^* \text{ s.t. } f(z) = c \prod_{i=1}^n (z - \alpha_i) \square$

REMARK

Say f, g are ana on region D , to check $f \equiv g$, we may apply the theorem above over \mathbb{R} without needing to consider \mathbb{C} .

THEOREM (MEAN VALUE THEOREM)

Let D be a region, f analytic on D , $\alpha \in D$.

Then $f(\alpha) = \text{mean value of } f \text{ taken around the boundary of any disk centered at } \alpha \text{ and contained in } D$

Proof

By Cauchy-Integral Formula, $f(\alpha) = \frac{1}{2\pi i} \int_{C_\delta(\alpha)} \frac{f(z)}{z - \alpha} dz$

Say $z = \alpha + \delta e^{i\theta}$, $\theta \in [0, 2\pi]$, we get $f(\alpha) = \frac{1}{2\pi} \int_0^{2\pi} f(\alpha + \delta e^{i\theta}) d\theta \square$

THEOREM (MAXIMUM MODULUS THEOREM)

Say f is nonconst, ana on a region D . Then, $\forall z \in D$ and $\delta \in \mathbb{R}_{>0}$, \exists some $w \in D(z, \delta) \cap D$, s.t. $f(w) > f(z)$

Proof

By MVT, $f(z) = \frac{1}{2\pi} \int_0^{2\pi} f(z + \delta e^{i\theta}) d\theta$ for small enough δ s.t. $D(z, \delta) \subseteq D$

Then, $|f(z)| \leq \frac{1}{2\pi} \int_0^{2\pi} |f(z + \delta e^{i\theta})| d\theta \leq \frac{1}{2\pi} \max_{\theta \in [0, 2\pi]} |f(z + \delta e^{i\theta})| \cdot 2\pi = \max |f(z + \delta e^{i\theta})|$

\therefore When \leq has equality, $|f(z + \delta e^{i\theta})| = \max_{\theta \in [0, 2\pi]} |f(z + \delta e^{i\theta})| \forall \theta \in [0, 2\pi] \Rightarrow f$ is const on $C_\delta(z) \subseteq D$

By cor, hence f is const on D

However, f is nonconst.

$\therefore |f(z)| < \max_{\theta \in [0, 2\pi]} |f(z + \delta e^{i\theta})| \square$

THEOREM (MINIMUM MODULUS THEOREM)

Say f is nonconst, ana on a region D , $\forall z \in D$, $f(z) \neq 0$.

Then, f has no interior min points

Proof

$f(z) \neq 0 \forall z \in D \Rightarrow g(z) = \frac{1}{f(z)}$ is ana, nonconst on D

Then, by max mod thm, we proved it. \square

CAUTION

We can only apply uniqueness thm and its cor when its acc pts $\in D$ (Counterexample: $f(z) = \sin \frac{1}{z}$, $z_n = \frac{1}{n\pi}$)

THEOREM CAN ONLY APPLY TO CIRCLES

Say \bar{D} is a closed disk and f is analytic, nonconst on \bar{D} . f assumes its max value at a boundary point z_0 . Then, $f'(z_0) \neq 0$

Proof

Suppose $f'(z_0) = 0$. As f is ana on \bar{D} , $\exists \delta$ s.t. $\forall |z| < \delta$, $f(z_0 + \delta) = f(z_0) + f'(z_0)\delta + \frac{1}{2}f''(z_0)\delta^2 + \dots$

Assume $f'(z_0) = 0$

Then, $f(z_0 + \delta) \approx f(z_0) + \frac{1}{k!} f^{(k)}(z_0) \delta^k \Rightarrow |f(z_0 + \delta)| = |f(z_0 + \delta)| \cdot \overline{f(z_0 + \delta)} = |f(z_0)|^2 + \frac{2}{k!} \operatorname{Re}(\overline{f(z_0)} f^{(k)}(z_0) \delta^k) + \dots$ for some $k \in \mathbb{N}$

From assumption, thus $k \geq 2$.

Let $e^{i\theta} = \frac{\delta}{|\delta|}$. Then, $\overline{f(z_0)} f^{(k)}(z_0) = A e^{i\alpha} \Rightarrow |f(z_0 + \delta)|^2 = |f(z_0)|^2 + \frac{2}{k!} A |\delta|^k \cos(\alpha + k\theta) + \dots$

For small enough δ , $|f(z_0 + \delta)| - |f(z_0)|$ has the same sign as $\cos(k\theta + \alpha)$

As $|f(z_0)|$ is max, hence $|f(z_0 + \delta)|^2 - |f(z_0)|^2 \leq 0 \forall z_0 + \delta \in D$.

Notice, $\cos(k\theta + \alpha) \leq 0 \Leftrightarrow \frac{\pi}{2} + 2\pi j \leq \alpha + k\theta \leq \frac{3\pi}{2} + 2\pi j$ for $0 \leq j \leq k-1 \Leftrightarrow \frac{(\frac{\pi}{2} - \alpha)}{k} + \frac{2\pi j}{k} \leq \theta \leq \frac{(\frac{3\pi}{2} - \alpha)}{k} + \frac{2\pi j}{k}$ (*) $\leftarrow \Delta$ w/ angle $\frac{\pi}{k}$

— kinda like they alternate 

For a disc, $\exists \delta$, s.t. $z_0 + \delta$ is NOT in any one of the cones (*) since $k \geq 2$, $\frac{\pi}{k} \leq \frac{\pi}{2}$. —X—

REMARK

This argument works for cpt $K \subseteq \mathbb{C}$ s.t. $\forall z_0$ on the boundary of K , K contains a cone $\{z_0 + r e^{i\theta} \mid \theta \in [\alpha, \beta], r \in (0, \varepsilon)\}$ with $\beta - \alpha > \frac{\pi}{2}$

Counterexample of squares:

$f(z) = z^2 + i \Rightarrow |f(z)|$ has min 1 at $z=0$, but $f'(0) = 0$