Software Requirements Document

Version 1.0.0



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Abstract

This document contains the software requirements for the Deep Learning (DL) Extension Library for OpenML, which is developed by the team OpenML Support Squad. The requirements in this Software Requirements Document (SRD) satisfy the requirements in the User Requirements Document (URD) [1] of the DL Extension Library. This document complies with the Software Engineering Standard, defined by the European Space Agency (ESA) [2].

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Document Status Sheet

Document Title: Software Requirements Document

Identification: SRD/1.0.0

Version: 1.0.0

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Document History

Version	Date	Author(s)	Summary
0.0.1	06-05-2019	T.Zahariev, L.A.Hijl	Created initial document
0.0.2	07-05-2019	B.Enache, S.Zarkova, G.Goncheva, T.Nguyen, T.Zahariev, A.Danila	Added first draft of abstract, sections 1.1, 2.1, 2.2, 2.3, 2.4, 2.5, 2.6
0.0.3	11-05-2019	All authors	Added first draft for section 2.7 only for Keras
0.0.5	13-05-2019	All authors	Implement first internal feedback on all section until section 3
0.0.4	15-05-2019	All authors	Added first draft for sections 3.1.1, 3.1.2
0.0.5	15-05-2019	All authors	Implemented first internal feedback for sections 3.1.1, 3.1.2
0.1.0	20-05-2019	All authors	Implemented first feedback from su- pervisor in the functional require- ments and description of sequence diagrams
0.1.1	10-06-2019	All authors	Implemented second internal feed- back on everything
0.2.0	10-06-2019	All authors	Implemented second feedback from supervisor
0.3.0	21-06-2019	All authors	Implemented third feedback from su- pervisor
1.0.0	30-06-2019	All authors	Final edit

1 Introduction

1.1 Purpose

This document contains the software requirements for the DL Extension Library, which represents an extension for the OpenML platform. These requirements are a translation of the user requirements found in Section 3 of the User Requirements Document (URD) [1].

Whereas the URD specifies the required functionalities, the Software Requirements Document (SRD) defines the manner according to which these are to be implemented.

The two documents are written by the OpenML Support Squad in accordance to Joaquin Vanschoren's preferences and guidance.

The purpose of project "Sharing deep learning models" is to create an extension for the already existent OpenML Python Application Programming Interface (API) and provide a visualization module. The extension, called DL Extension Library, represents the biggest part of the product that OpenML Support Squad has to deliver. DL Extension Library will enable sharing deep learning models to the OpenML platform. The visualization module allows visualization of a model's structure and performance metrics in Dash.

1.2 Scope

OpenML is an open-source online machine learning platform for sharing and organizing data, machine learning algorithms and experiments. It allows people all over the world to collaborate and build on each other's latest findings, data or results. OpenML is designed to create a seamless network that can be integrated into existing environments, regardless of the tools and infrastructure used. It allows users to upload datasets, models (called OpenML flows) and tasks for OpenML flows. It further provides support for uploading runs that combine a model with a task for it, to allow users to compare results.

The DL Extension Library is part of the OpenML Python API that will be imported by the users in their Python project. The OpenML Python API handles all interactions with the OpenML platform. Whenever necessary, the OpenML Python API calls the DL Extension Library in order to convert the deep learning model to an OpenML flow, the format supported by the OpenML platform. The OpenML Support Squad is not responsible for the development and maintenance of the OpenML Python API. The OpenML Support Squad will not modify the OpenML Python API since it is outside of the scope of the project "Sharing deep learning models".

The contribution of OpenML Support Squad consists of two parts: creating the DL Extension Library and the visualization module.

The DL Extension Library is built to provide support for the following deep learning libraries and specifications on the OpenML platform: Keras, PyTorch, Apache MXNet (MXNet) and Open Neural Network eXchange (ONNX). These libraries have already been created by their respective developers and, as such, are not part of this project and are not under the responsibility of OpenML Support Squad.

In the implementation of these extension packages, the OpenML Support Squad needs to take into consideration the already existent OpenML extension interface that the OpenML Python API provides. This extension interface can be seen in figure 2.7. The OpenML Support Squad is not responsible for the structure and design of this interface.

The visualization module is built to serve as a prototype which can be integrated into the website. It will allow users to visualize their models and model results.

An overview of the environment for this project can be seen in figure 1.1.

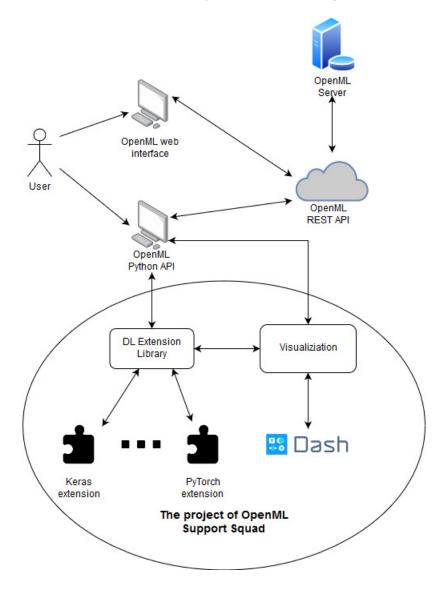


Figure 1.1: Overview diagram of the environment

1.3 Definitions and Abbreviations

1.3.1 Definitions

DL Extension Library	The product to be created in order to convert the deep learning models and the model transfer specifications (i.e. ONNX and MLflow) into a format supported by the OpenML platform.
Keras extension class	This implements OpenML extension interface and is part of the DL Extension Library.
MXNet extension class	This implements OpenML extension interface and is part of the DL Extension Library.
ONNX extension class	This implements OpenML extension interface and is part of the DL Extension Library.
OpenML Python API	"A connector to the collaborative machine learning platform OpenML.org. The OpenML Python package allows to use datasets and tasks from OpenML together with scikit-learn and share the results online" [3].
OpenML flow	The representation of untrained machine learning models in the OpenML platform.
PyTorch extension class	This implements OpenML extension interface and is part of the DL Extension Library.
Apache MXNet	"Apache MXNet is an open-source deep learning software framework, used to train and deploy deep neural networks. It is scalable, allowing for fast model training, and supports multiple programming languages (C++, Python, Julia, Matlab, JavaScript, Go, R, Scala, Perl, and Wolfram Language)" [4].
Caffe2	"CAFFE2 (Convolutional Architecture for Fast Feature Embedding) is a open-source deep learning framework" [5]. "Caffe2 comes with Python and C++ APIs" [6].
Classification task	"Classification is the problem of identifying to which of a set of categories (sub-populations) a new observation belongs, on the basis of a training set of data containing observations (or instances) whose category membership is known" [7].
Cross-validation	"Cross-validation or 'k-fold cross-validation' is when the dataset is randomly split up into 'k' groups (folds). One of the groups is used as the test set and the rest are used as the training set. The model is trained on the training set and scored on the test set. Then the process is repeated until each unique group as been used as the test set" [8].
Dash	"Dash is a user interface library for creating analytical web applications" [9].

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Dataset	"Datasets are pretty straight-forward. They simply consist of a number of rows, also called instances, usually in tabular form" [3].
Deep learning model	A neural network with more than three layers. Deep learning is a subset of machine learning.
Direct conversion	A conversion from a deep learning library to the OpenML Flow format, which does not require an in-between conversion to a model transfer specification (such as ONNX).
Fold	A subset of the training data.
Hold-out	"Hold-out is when a dataset is split into a 'train' and 'test' set. The training set is what the model is trained on, and the test set is used to see how well that model performs on unseen data. A common split when using the hold-out method is using 80 % of data for training and the remaining 20 % of the data for testing" [8].
Hyperparameter	A hyperparameter is a parameter of a machine learning model that is set before training the model. Such parameters can be layers, seeds, etc.
Joaquin Vanschoren	Client of this project. Founder of OpenML.
JSON	"JSON (JavaScript Object Notation) is a lightweight data-interchange format" [10].
Keras	"Keras is a high-level neural networks API, written in Python and capable of running on top of TensorFlow, CNTK, or Theano" [11].
Keras**	A placeholder for all implemented libraries. Can represent frameworks and specifications. Can be replaced with specific instances of Keras, PyTorch, ONNX and MXNet. Used for abstraction.
Machine learning model	A model that performs a "task without explicit instructions, relying on patterns and inference" [12] through learning from data.
Method Descriptor	A method descriptor is a reference, used by Python, to a function written in another programming language, e.g. <i>C</i> .
MLFlow specification	"An MLflow Model is a standard format for packaging machine learning models that can be used in a variety of downstream tools — for example, real-time serving through a REST API or batch inference on Apache Spark" [13].
Model	"A mathematical representation of a real-world process" [14].
ModuleDict container	A class from PyTorch - torch.nn.ModuleList(modules=None). "Holds submodules in a list. ModuleList can be indexed like a regular Python list, but modules it contains are properly registered, and will be visible by all Module methods" [8].

ModuleList container

A class from PyTorch - torch.nn.ModuleDict(modules=None). "Holds submodules in a dictionary. ModuleDict can be indexed like a regular Python dictionary, but modules it contains are properly registered, and will be visible by all Module methods" [8].

Neural Network

"Computing systems vaguely inspired by the biological neural networks that constitute animal brains." It is "a framework for many different machine learning algorithms" [15].

Non-redundant dependencies

The dependencies should be library-specific (based on the type of model used), only necessary dependencies being required for the proper operation of a certain type of a deep learning model.

Numpy

"NumPy is the fundamental package for scientific computing with Python" [16].

OpenML

An online machine learning platform for sharing and organizing data, machine learning algorithms and data experiments.

OpenML extension interface

This is the interface that the Keras extension class, PyTorch extension class and ONNX extension class concrete classes implement.

Tace

Name of the development team.

OpenML Support Squad Project "Sharing deep learning models"

The project on which the OpenML Support Squad is working.

Protobuf

"Protocol Buffers (Protobuf) is a method of serializing structured data. It is useful in developing programs to communicate with each other over a wire or for storing data. The method involves an interface description language that describes the structure of some data and a program that generates source code from that description for generating or parsing a stream of bytes that represents the structured data" [17].

PyTorch

"An open source deep learning platform that provides a seamless path from research prototyping to product deployment" [18].

Regression task

A statistical process that "attempts to determine the strength of the relationship between one dependent variable (usually denoted by Y) and a series of other changing variables (known as independent variables)" [19].

Run

"A run is a particular flow, that is algorithm, with a particular parameter setting, applied to a particular task" [3].

Sequential container

A class from PyTorch - torch.nn.Sequential(*args). "A sequential container. Modules will be added to it in the order they are passed in the constructor. Alternatively, an ordered dict of modules can also be passed in" [8].

Task	"A task consists of a dataset, together with a machine learning task to perform, such as classification or clustering and an evaluation method. For supervised tasks, this also specifies the target column in the data" [3].
Tensor	"A tensor (torch.Tensor) is a multi-dimensional matrix containing elements of a single data type" [20].

1.3.2 Abbreviations

API	Application Programming Interface.
CV	Cross-validation.
DL	Deep Learning.
ESA	European Space Agency.
MXNet	Apache MXNet.
ONNX	Open Neural Network eXchange.
SRD	Software Requirements Document.
SRF	Software Requirements Functional.
UML	Unified Modeling Language.
URD	User Requirements Document.

1.4 List of references

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1.5 Overview

The Software Requirements Document contains four chapters plus two appendices.

Chapter 1 is "Introduction". In Section 1.1, the purpose of this project is described. Section 1.2 presents the scope of our project and the context in which it is situated. In Section 1.3, a list of all definitions and abbreviations used in this document. Section 1.4 is a list of all references that are used in this document. Section 1.5 is the current section and describes the overview of this document.

Chapter 2 is "General Description". In Section 2.1, the context in relation to current projects is described. In Section 2.2, the correspondence to past/future project is depicted . In Section 2.3, a general overview of the purpose and function of the product can be found. Section 2.4 follows with environment description of the target and development system. Section 2.5 and 2.6 correspondingly outline the relation to other systems and the general constraints. Section 2.7 contains the logical description of the model.

Chapter 3 is "Specific Requirements". Section 3.1 depicts the list of all functional requirements related to the Extension interface. Section 3.2 depicts the list of all functional requirements related to the Keras extension. Section 3.3 depicts the list of all functional requirements related to the PyTorch extension. Section 3.4 depicts the list of all functional requirements related

to the ONNX extension. Section 3.5 depicts the list of all functional requirements related to the MXNet extension. Section 3.6 depicts the list of all functional requirements related to the Visualization.

Chapter 4 is "Requirements traceability matrix". Section 4.1 contains a table with the translation from software requirements to user requirements. Section 4.2 contains a table with the translation from user requirements to software requirements.

There are the two appendices User Interface Mockups, and Transition Diagrams.

2 | General Description

2.1 Relation to Current Projects

The DL Extension Library serves as an extension of the OpenML Python API. At the beginning of this project, more precisely April 2019, OpenML did not support sharing of deep learning models on the platform. Hence, the aim of the project is implementing this functionality by adding new frameworks to the OpenML extension interface with the intention of sharing models and runs.

2.2 Relation to Predecessor and Successor Projects

This project has no predecessors. The successor of this project can extend the DL Extension Library's functionality. It is also possible that other libraries are created in the future which become more popular. These can then also be added to the DL Extension Library. This can be done by just converting from one format to another or by creating a new extension which holds the capabilities for conversion. Additional tasks for the successor could include compatibility issues that will arise, such as adapting to newer versions of Python.

2.3 Function and Purpose

The software product that the OpenML Support Squad will create, represents an extension library for the OpenML Python API, called DL Extension Library. The main reason for creating the DL Extension Library is to further increase the functionality of the OpenML platform. This is due to the lack of support that OpenML had for DL models at the start of the project. The main objective of the DL Extension Library is to convert Keras and PyTorch deep learning models into OpenML flow. After this task is completed, MXNet will be the next framework in line for implementation. Once such a conversion into OpenML flow is applied to deep learning models all current operations that the platform supports on non-deep learning models, such as downloading models, uploading models, running models on tasks and comparing the results of different models on the same task, will work on deep learning models as well. This is possible because these functions are supported by default in the OpenML flow format.

Another main task of the DL Extension Library is to convert an ONNX format to an OpenML flow. This way, the number of supported deep learning frameworks is increased. Furthermore, the majority of these frameworks can be transformed into an ONNX format by using some other external libraries, outside the scope of OpenML and the DL Extension Library. Thus, a framework can be converted into ONNX and afterwards into an OpenML flow in order to be used on the OpenML platform. If time allows, additional deep learning model frameworks such as Caffe2 and other formats such as MLFlow specification will be supported by the DL Extension Library.

2.4 Environment

Conversion of a deep learning model to an OpenML flow and vice versa, as well as conversion using ONNX specification require at least Python 3.5 to be installed on the user machine. Furthermore, a Python IDE and the OpenML Python API are also needed. Uploading or downloading OpenML flows requires interaction with the OpenML Python API or with the website of OpenML through a web browser. Running OpenML flows on tasks and publishing results is done via the OpenML Python API. The dependencies vary, depending on the deep learning framework used for creating the models and the task performed. These are depicted on figure 2.1 and are defined below as follows:

- Conversion of Keras deep learning models requires installing Keras
- Conversion of PyTorch deep learning models requires installing PyTorch
- Conversion of MXNet deep learning models requires installing MXNet
- Using the full functionality of ONNX extension requires the installation of the MXNet library, used for running the specification, beside the ONNX library
- Visualization of a OpenML flow or run requires installing Dash

The types of users are distinguished in terms of their preferred deep learning framework. These include the following categories:

- Keras users they install and import only the Keras extension from the DL Extension Library
- PyTorch users they install and import only the PyTorch extension from the DL Extension Library
- Apache MXNet users they install and import only the Apache MXNet extension from the DL Extension Library
- Users of ONNX they install and import the ONNX extension of the DL Extension Library

Users can be further split into categories regarding the tasks that they want to perform with the given models:

- Users that want to run an OpenML flow of a deep learning model on a specific task
- Users that want to upload a run of a deep learning model
- Users that want to download an OpenML flow of deep learning model
- Users that want to visualize a given OpenML flow
- Users that want to visualize a given run

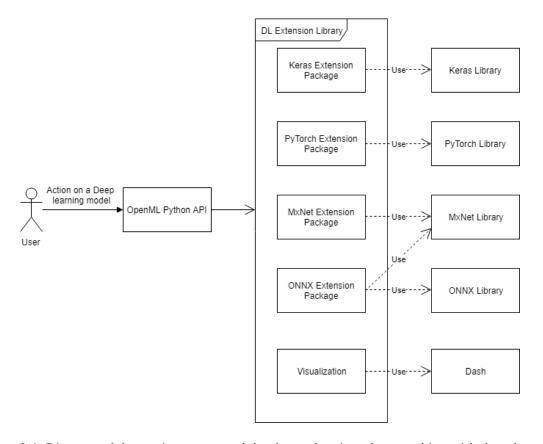


Figure 2.1: Diagram of the environment and the dependencies when working with deep learning models

2.5 Relation to Other Systems

Due to the nature of the DL Extension Library, to serve as a bridge between OpenML and deep learning models, this project has several related systems. First of which and most importantly is the OpenML Python API. It is an integral and mandatory part in order to use the DL Extension Library since all current operations on OpenML flow are done through the OpenML Python API . Other libraries are required depending on user preferences. For example, trying to convert a Keras deep learning model requires the Keras library. Following this rationale, other systems could be needed, such as: Keras, PyTorch, Apache MXNet and ONNX.

2.5.1 OpenML Python API

OpenML Python API is the Python API that has already been created and provided to our team. It allows users to download OpenML flows and datasets from the platform in order to edit or run them. It also allows the user to upload their OpenML flow and results of the run. Furthermore, this API enables the DL Extension Library to use additional operations such as running models on tasks and comparing the results of different models on the same task for the deep learning models.

2.5.2 Deep learning frameworks

The list of all deep learning libraries that DL Extension Library supports includes: Keras, PyTorch and MXNet. For the purpose of this project, these libraries all serve the same function, providing formats that DL Extension Library needs to convert into OpenML flow. While the conversion process can vary significantly from one format to another, for the purposes of this subsection, we will generalize all of them as deep learning libraries. It is important to emphasize that the user only needs to import in the code the packages (extensions) from DL Extension Library for the specific libraries they are using, as explained in 2.4.

2.5.3 Deep learning specifications

The specification that DL Extension Library implements is ONNX. This is the result of its ubiquity and the client's preferences. At the same time, it facilitates a possible intermediary step for transformations from one format to another.

2.6 General Constraints

The DL Extension Library that extends the functionality of OpenML needs to respect the following constraints which are discussed in the subsequent subsections.

2.6.1 Visualization

In order to visualize the structure of the deep learning models, the OpenML Python API has to utilize the Dash user interface library, as requested by the client. Hence, all layers (i.e. the hyperparameters) of the deep learning models will have to be present in the OpenML flow objects with which OpenML interacts. In this way, the user will be able to visualize OpenML flows created by the DL Extension Library since all the needed information will already be found in the OpenML flow. By using Dash, a local web server will be created on the local machine, which can be accessed by the user to see the structure of the layers of the deep learning models. Furthermore, the run will be visualized in terms of its loss and accuracy, if it was a classification task. If it was the run of a regression task, the loss, mean square error, mean absolute error and root mean square error graphs will be available for viewing. This is only available if the run has available training data to plot the graphs.

2.6.2 Data Reliability

Since the DL Extension Library converts a deep learning model into an OpenML flow and vice versa, the conversion should be done correctly i.e. lossless transformation. To achieve this goal, all the relevant data of a deep learning model should be stored in the OpenML flow as (hyper)parameters. The relevant data of a deep learning model consists of the layers (and other parameters which were used to create it). All this data does not need to be changed when converting, hence the user can always convert back from an OpenML flow to the initial deep learning model.

2.6.3 Environment constraints

The DL Extension Library extends OpenML Python API to support deep learning models. In this way, operations such as uploading, deleting and running flows on tasks, are supported on deep learning models as well, by using the already existing OpenML Python API. The OpenML platform supports only the OpenML flow format for machine learning models, so all interactions with the platform will need to be done taking this format into consideration. Since the deep learning models are created using deep learning libraries, such as Keras and PyTorch, the DL Extension Library has to support them.

2.6.4 Minimizing dependencies and storage space

To provide the users with the desired functionality, without having to download all supported deep learning libraries (i.e. Keras, PyTorch etc.), each library is implemented in a separate package in the DL Extension Library. Consequently, users are required to install and import only the package that provides support for their desired deep learning library. For example, if the user is interested in working with a Keras model, they will need to install and import only the package that provides conversion between Keras models and OpenML flows.

As deep learning models that the DL Extension Library supports can easily become very complex, it is important that there are constraints on the maximum size of these models. In the implementation for OpenML, there are existing constrains on the size of the models which can be published on the platform. Therefore, the DL Extension Library is limited to deep learning models of size at most 1GB and complexity of at most 30 layers.

2.7 Model Description

The following subsections depict the abstract structure of the DL Extension Library and of the deep learning libraries used. This is done by using Unified Modeling Language (UML) class diagrams. Furthermore, the interaction between the components is illustrated using UML abstract sequence diagrams and low-level activity diagrams.

2.7.1 Abstract class diagrams

The abstracted view of the DL Extension Library is depicted in figure 2.2. The DL Extension Library is composed of the Converter class, the Serializer and Deserializer classes as well as the Run class. This diagrams depicts the logical view of the project.

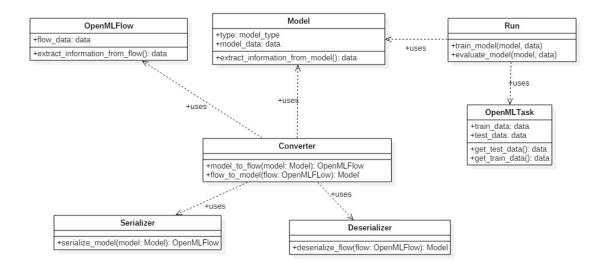


Figure 2.2: Abstract Class Diagram of the DL Extension Library

- OpenML Flow: Contains the information of an OpenML flow. The data can be extracted using the method extract_information_from_flow.
- Model: Contains the information of a Deep learning model. The data can be extracted
 using the method extract_information_from_model. The library used by the model is saved
 in the variable attribute type.
- OpenML Task: Contains the data necessary to run a model. The data consists of a training data and the test data stored respectively in the variables train_data and test_data. The data can be fetched using the methods get_test_data and get_train_data.
- Run: Provides functionality to run a given model on a given OpenML Task. This functionality can be invoked by calling the method run_model with taking as parameters the model and the OpenML task.
- Converter: Allows conversion between Models and OpenML flows. the conversion is done using the methods model_to_flow and flow_to_model.
- Serializer: Serializes a Model object. The conversion is done using the serialize_model function with the Model object passed in as a parameter. The function outputs an OpenMLFlow object.
- Deserializer: Deserializes an OpenMLFlow object. The conversion is done using the deserialize_flow function with the OpenMLFlow object passed in as a parameter. The function outputs a Model object.

2.7.2 Abstract Keras model diagrams

This subsection illustrates what components (i.e. variables, layers) can be found inside of a Keras model, and which of them we extract and store in the OpenML flow. Figure 2.3 depicts all the needed information by abstracting from the implementation details. This diagram only displays two layer for convenience purposes, as they are tens more.

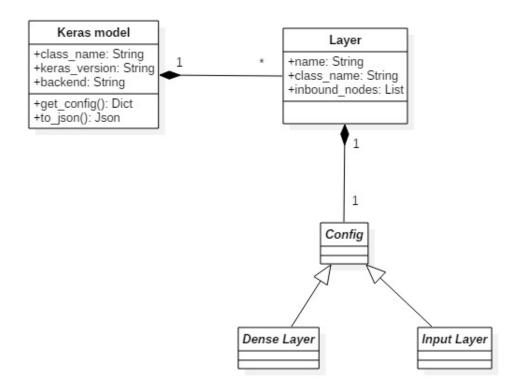


Figure 2.3: Abstract Keras Model

- *Keras model*: the deep learning model containing the needed variables and layers to be serialized/deserialized.
- Layer: the layers that the Keras model contains which need to be serialized/deserialized. Each layer contains a name, a class name, a list of incoming nodes and a configuration.
- Config: a dictionary which is specific for different types of layers, such as Dense layer, Input layer, etc, which are already available in the Keras library. Inside the dictionary, there are specific hyperparameters stored such as name, trainable, momentum etc.

Converting from a Keras model into an OpenML flow implies copying all the data that can be found inside the model and storing it into the variables of the OpenML flow. The data needed is class_name, keras_version, backend as well as the content of each layer (name, class_name, inbound_nodes and the config dictionary sepcific for each type of layer). The weights of the model are not stored in the OpenML flow in order to save storage space.

Converting from an OpenML flow into a Keras model implies copying all the data that can be found inside the OpenML flow and storing it into the variables of the Keras model.

2.7.3 Abstract PyTorch model diagrams

This subsection illustrates what components (i.e. variables, layers) can be found inside of a PyTorch model, and which of them we extract and store in the OpenML flow. Figure 2.4 depicts

all the needed information by abstracting from the implementation details. This diagram only displays two layer for convenience purposes, as they are tens more.

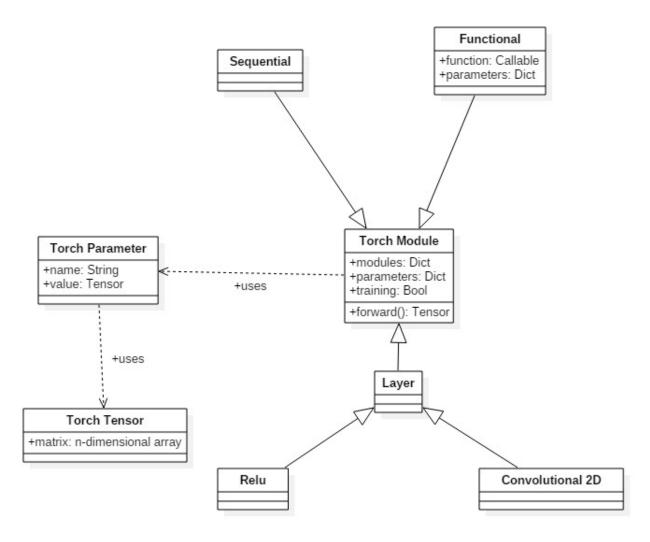


Figure 2.4: Abstract PyTorch Model

- *Torch module:* the general structure of a module in the PyTorch environment. It contains the needed variables, parameters and layers to be serialized/deserialized.
- *Torch parameter*: The parameters that a PyTorch model uses. Each parameter contains a Tensor component.
- *Torch tensor*: an n-dimensional array (i.e. a matrix) representing a geometric object that contains multiple vectors and scalars.
- Sequential: is a container that uses the forward function which it inherits from Torch Module to go layer by layer. In essence, it contains all the layers of the model.

- Functional: a layer that applies a given function (i.e. reshape) to the input Tensor with the provided parameters list. It is used to allow Tensor operations that generally would require a custom, non-serializable, layer.
- Layer: a component which contains different types of layers depending on the purpose of the PyTorch model. It includes types such as Relu, Convolutional 2D, etc, that are already available in the PyTorch library.

Converting from a PyTorch model into an OpenML flow implies copying all the data that can be found inside the model and storing it into the variables of the OpenML flow. All the data is the one represented in the diagram 2.4. The weights of the model are not stored in the OpenML flow in order to save storage space.

Converting from an OpenML flow into a PyTorch model implies copying all the data that can be found inside the OpenML flow and storing it into the variables of the PyTorch model.

2.7.4 Abstract ONNX specification diagrams

This subsection illustrates what components (i.e. parameters, layers) can be found inside of an ONNX specification, and which of them we extract and store in the OpenML flow. Figure 2.5 depicts all the needed information by abstracting from the implementation details.

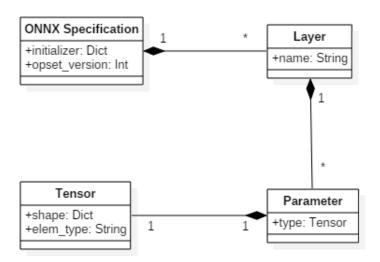


Figure 2.5: Abstract ONNX Model

- ONNX Specification: the specification of a deep learning model, containing the initializer and the version of ONNX Specification used.
- Layer: contains the type of layer used.
- Parameter: contains the type of tensor used.
- Tensor: an n-dimensional array, expressed in the shape dictionary with elements of type elem_type.

Converting from an ONNX specification into an OpenML flow implies copying all the data that can be found inside the specification and storing it into the variables of the OpenML flow.

Converting from a OpenML flow into an ONNX specification implies copying all the data that can be found inside the OpenML flow and storing it into the variables of the ONNX specification.

2.7.5 Abstract MXNet model diagrams

This subsection illustrates what components (i.e. parameters, layers) can be found inside of a MXNet model, and which of them we extract and store in the OpenML flow. Figure 2.6 depicts all the needed information by abstracting from the implementation details. This diagram only displays two layer for convenience purposes, as they are tens more.

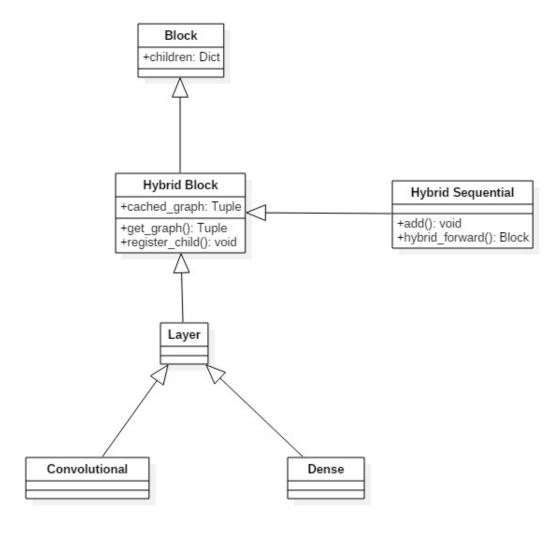


Figure 2.6: Abstract MXNet Model

• *Block*: is the most simple structure of data in an MXNet. It holds a dictionary containing all the subsequent blocks in the model.

- Hybrid Block: is a block that has the ability to store and retrieve a graph and add new elements to the children dictionary of the block.
- Hybrid Sequential: is the structure which contains a list of layers through which it goes one by one.
- Layer: a component which contains different types of layers depending on the purpose of the MXNet model. It includes types such as Convolutional, Dense etc, that are already available in the MXNet library.

Converting from an MXNet model into an OpenML flow implies copying all the data that can be found inside the specification and storing it into the variables of the OpenML flow.

Converting from a OpenML flow into an MXNet model implies copying all the data that can be found inside the OpenML flow and storing it into the variables of the MXNet model.

2.7.6 Class diagrams

The logical view of the DL Extension Library is depicted in figure 2.7. It depicts the interface of OpenML Python API that we need to implement.

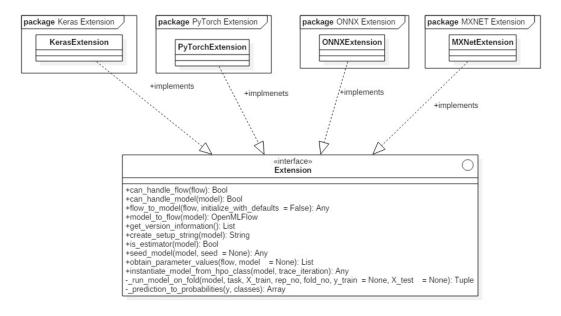


Figure 2.7: Top level class diagram

All the components in the class diagrams, together with their functions and corresponding attributes are described in the following subsections.

OpenML extension interface

The OpenML extension interface is an interface that allows to connect machine learning libraries to the OpenML Python API. The OpenML Support Squad has to use this extension interface when implementing all the other extension packages (Keras, PyTorch, ONNX, MXNet) since it is

the only one supported by the OpenML Python API. This extension interface was not created by the OpenML Support Squad but was provided already by the OpenML Python API before the start of the project "Sharing deep learning models". The OpenML Support Squad is not responsible for the structure and design of this interface.

- can_handle_flow(flow: OpenMLFlow): Boolean Checks whether a given OpenML flow describes a neural network.
- can_handle_model(model: Any): Boolean
 Checks whether the OpenML extension interface can handle the framework of the given model.
- flow_to_model(flow: OpenMLFlow, initialize_with_defaults = False: Boolean): Any Initializes a model based on an OpenML flow.
- model_to_flow(model: Any): OpenMLFlow
 Transforms a model to an OpenML flow which can then be uploaded to OpenML.
- get_version_information(): List
 Lists versions of libraries required by the OpenML flow.
- create_setup_string(model: Any): String
 Creates a string from the model which can be used to re-instantiate the given model.
- is_estimator(model: Any): Boolean Checks whether the given model is a framework-specific neural network.
- seed_model(model: Any, seed = None: int): Any
 Set the seed of all the unseeded components of a model and return the seeded model.
 (Not applicable for Keras since Keras has no notion of RandomState)
- obtain_parameter_values(flow: OpenMLFlow, model = None: Any): List[Dict[String, Any]] Extracts all parameter settings required for the OpenML flow from the model.
- instantiate_model_from_hpo_class(model: Any, trace_iteration: OpenMLTraceIteration): Any Instantiates a base model which can be searched over by the hyperparameter optimization model.
- _run_model_on_fold(model: Any, task: OpenMLTask, X_train: Union[np.ndarray, scipy.sparse.spmatrix, pd.DataFrame], rep_no: int, fold_no: int, y_train = None: Array, X_test = None: Union[np.ndarray, scipy.sparse.spmatrix, pd.DataFrame]): Tuple[np.ndarray, np.ndarray, OrderedDict[String, float], Optional[OpenMLTrace]]
 Runs a model on a task and return prediction information. Returns the data that is necessary to construct the OpenML run object.

Keras extension class

The Keras extension class extends the OpenML extension interface as depicted in figure 2.8, hence it inherits all functionality from the interface and extends it further with the functions described below. The Keras extension class provides the necessary functionality to convert Keras models to an OpenML flow and vice versa.

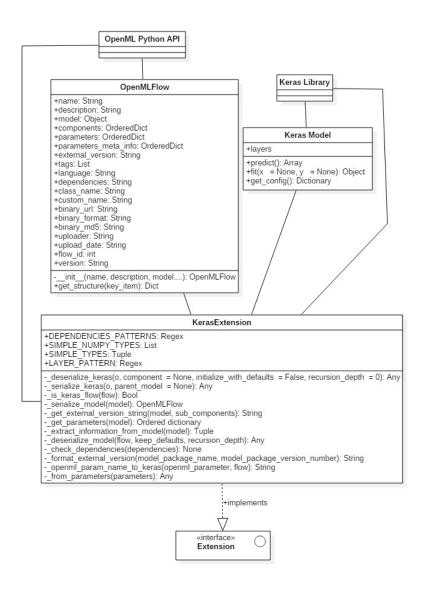


Figure 2.8: Class KerasExtension diagram

Some of the methods in the class diagram will not be present in the sequence diagrams. The reason being that some of these functions are not related to the requirements specified in the URD [1]. They are only present in the Keras extension class since they must be implemented, because the Keras extension class implements the OpenML extension interface.

- DEPENDENCIES_PATTERNS: Regex
 Regex pattern of the required dependencies.
- SIMPLE_NUMPY_TYPES: List [Type]
 List that holds numpy types except types in the category "others".
- SIMPLE_TYPES: List [Type]
 List that holds SIMPLE_NUMPY_TYPES and simple types.

- LAYER_PATTERNS: Regex
 Regex pattern that describes how a Keras layer is formatted.
- _deserialize_keras(o: Any, components = None: Dict, initialize_with_defaults = False: Boolean, recursion_depth = 0: int): Any
 Recursive function that serializes a Keras model into an OpenML flow.
- _serialize_keras(o: Any, parent_model = None: Any): Any
 Recursive function that deserializes an OpenML flow into a Keras model.
- _is_keras_flow(flow: OpenMLFlow): Boolean Checks if the OpenML flow is a Keras flow.
- _serialize_model(model: Any): OpenMLFlow Serializes Keras model to OpenML flow
- _get_external_version_string(model: Any, sub_components: Dict): String
 Gets the dependencies and their external versions required to produce the OpenML flow
 from a Keras model.
- _get_parameters(model: Any): OrderedDict
 Constructs a dictionary from the parameters of the Keras model.
- _extract_information_from_model(model: Any): Tuple Extracts parameters and sub-components from the Keras model.
- _deserialize_model(flow: OpenMLFlow, keep_defaults: Boolean, recursion_depth: int): Any Deserializes an OpenML flow into a Keras model.
- _check_dependencies(dependencies: String): None
 Checks whether the dependencies required for the deserialization of an OpenML flow are met.
- _format_external_version(model_package_name: String, model_package_version_number: String): String
 Returns a formatted string representing the required dependencies for an OpenML flow.
- _openml_param_name_to_keras(openml_paramter: OpenMLParamter, flow: OpenMLFlow): String Converts the name of an OpenMLParameter into the Keras name, given an OpenML flow.
- _from_parameters(parameters: OrderedDict): Any Get a Keras model from OpenML flow parameters.

PyTorch extension class

The PyTorch extension class extends OpenML extension interface as depicted in figure 2.9. It inherits all its functionality from the interface and extends it further with the functions described below. The PyTorch extension class provides the necessary functionality to convert PyTorch models to OpenML flow and vice versa. Furthermore, the PyTorch extension class relies on the Configuration package for PyTorch. The purpose of the Configuration package is to create global variables for later use. It also sets their default values or default functions

depending on the type of the specific variable. The user still has the possibility to change these default values and function if they desire. This will be presented in more details below in the variable and function descriptions.

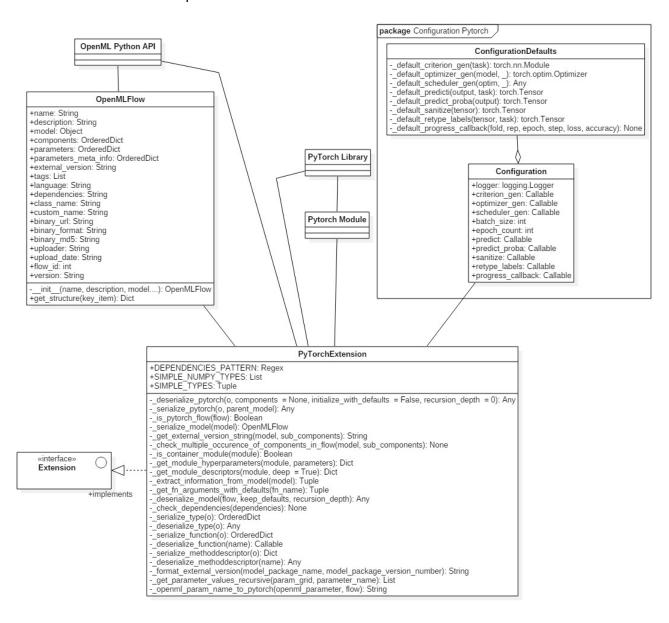


Figure 2.9: Class PytorchExtension diagram

Some of the methods in the class diagram will not be present in the sequence diagrams. The reason being that some of these functions are not related to the requirements specified in [1]. They are present in the PyTorch extension class since they must be implemented, due the fact that PyTorch extension class implements the OpenML extension interface.

• _deserialize_pytorch(o: Any, components = None: Dict, initialize_with_defaults = False: Boolean, recursion_depth = 0: int): Any

Recursive function to deserialize an OpenML flow into a PyTorch model.

- _serialize_pytorch(o: Any, parent_model = None: Any): Any
 Recursive function to serialize a PyTorch model into a OpenML flow.
- _is_pytorch_flow(flow: OpenMLFlow): Boolean
 Checks whether the flow has been a PyTorch model originally
- _serialize_model(model: Any): OpenMLFlow Create an OpenMLFlow object from a PyTorch model.
- _get_external_version_string(model: Any, sub_components: Dict[String, OpenMLFlow]): String Gets the dependencies and their external versions required to produce the OpenML flow from a PyTorch model.
- _check_multiple_occurence_of_components_in_flow(model: Any, sub_components: Dict[String, OpenMLFlow]): None
 Checks if there is more than one occurrence of a component in a flow.
- _is_container_module(module: torch.nn.Module): Boolean
 Checks if a module is a Sequential container, ModuleDict container or a ModuleDict container.
- _get_module_hyperparameters(module: torch.nn.Module, parameters: Dict[String, torch.nn.ParametersDict[String, Any]
 Finds the hyperparameters of a module.
- _get_module_descriptors(module: torch.nn.Module, deep = True: Boolean): Dict[String, Any]
 Finds the descriptors of a module.
- _extract_information_from_model(model: Any): Tuple[OrderedDict[String, Optional[String]],
 OrderedDict[String, Optional[Dict], OrderedDict[String, OpenMLFlow]]
 Extracts all necessary information (parameters and sub-components) for the OpenMLFlow
 object from the model.
- _get_fn_arguments_with_defaults(fn_name: Callable): Tuple[Dict, Set]
 Obtains all the parameter names of a function together with their default values.
- _deserialize_model(flow: OpenMLFlow, keep_defaults: Boolean, recursion_depth: int): Any Deserializes an OpenML flow into a PyTorch model.
- _check_dependencies(dependencies: String): None
 Checks whether the dependencies required for the deserialization of an OpenMLFlow object are met.
- _serialize_type(o: Any): OrderedDict[String, String]
 Converts a given type into a string representation of it.
- _deserialize_type(o: String): Any
 Converts a string representation of a type into an instance of that type.

- _serialize_function(o: Callable): OrderedDict[String, String]
 Converts a given function o into a string representation of that function.
- _deserialize_function(name: String): Callable
 Converts a given string name of a function into the function.
- _serialize_methoddescriptor(o: Any): OrderedDict[String, String] Creates a string representation of a method descriptor.
- _deserialize_methoddescriptor(name: String): OrderedDict[String, String]
 Turns a string representation of a method descriptor to a valid method descriptor.
- _format_external_version(model_package_name: String, model_package_version_number: String): String
 Returns a formatted string representing the necessary dependencies for a flow.
- _get_parameter_values_recursive(param_grid: Union[Dict, List[dict]], parameter_name: String): List[Any]
 Creates a list of values for a given hyperparameter, encountered recursively throughout the flow. (e.g., n jobs can be defined for various flows).
- _openml_param_name_to_pytorch(openml_parameter: openml.setups.OpenMLParameter, flow: OpenMLFlow): String
 Converts the name of an OpenMLParameter into the pytorch name, given a flow.

Below are the descriptions of the variables and functions in the Configuration PyTorch package from the diagram represented in Figure 2.9. The main use of the package is to export the variables represented in the Configuration class as global ones and give them default values. The functions represented in the Configuration Default class are the default values for all variables in the package of type Callable. The rest of the values are simple types. All default values for each variable are represented below.

- logger: logging.Logger
 Default logger for the PyTorch extension
- _default_criterion_gen(task: OpenMLTask): torch.nn.Module

 Default implementation, returns the criterion based on the task type.
- criterion_gen: Callable
 Stores the result from_default_criterion_gen. Can be overridden by the user if they wish, but
 the new function should have an OpenMLTask parameter and return a torch.nn.Module.
- _default_optimizer_gen(model: torch.nn.Module,_: OpenMLTask): torch.optim.Optimizer Default implementation, returns an optimizer for the given model. The "_" variable is there in order to respect the function signature, but is ignored post that in the default implementation. The reasoning for which it was added is in case some user wants to create their own optimizer generator, they can take into account the type of task.
- optimizer_gen: Callable
 Stores the result from _default_optimizer_gen. Can be overridden by the user if they wish,
 but the new function should have a torch.nn.Module and a OpenMLTask parameter and
 return a torch.optim.Optimizer.

- _default_scheduler_gen(model: torch.nn.Module,_: OpenMLTask): Any

 Default implementation, returns a scheduler for the given optimizer. The "_" variable is
 there in order to respect the function signature, but is ignored in the default implementation. The reasoning for which it was added is in case some user wants to create their own
 scheduler generator, they can take into account the type of task.
- scheduler_gen: Callable
 Stores the result from _default_scheduler_gen. Can be overridden by the user if they wish,
 but the new function should have a torch.nn.Module and a OpenMLTask parameter and
 return a Any.
- batch_size: int
 Represents the processing batch size for training. Default value is 64.
- epoch_count: int
 Represents he number of epochs the model should be trained for. Default value is 32.
- _default_predict(output: torch.Tensor, task: OpenMLTask): torch.Tensor Default implementation, returns predictions given the outputs of the model.
- predict: Callable
 Stores the result from _default_predict. Can be overridden by the user if they wish, but the new function should have a torch. Tensor and a OpenMLTask as parameters and return a torch.nn.Tensor.
- _default_predict_proba(output: torch.Tensor): torch.Tensor
 Default implementation, returns predictions given the outputs of the model into probabilities for each class.
- predict_proba: Callable
 Stores the result from _default_predict_proba. Can be overridden by the user if they wish, but the new function should have a torch. Tensor parameter and return a torch. Tensor.
- _default_sanitize(tensor: torch.Tensor): torch.Tensor
 Default implementation, sanitizes the input data in order to ensure that models can be trained safely.
- sanitize: Callable
 Stores the result from _default_sanitize. Can be overridden by the user if they wish, but the new function should have a torch. Tensor parameter and return a torch. Tensor.
- _default_retype_labels(tensor: torch.Tensor, task: OpenMLTask): torch.Tensor
 Default implementation, changes the types of the labels in order to ensure type compatibility.
- retype_labels: Callable
 Stores the result from _default_retype_labels. Can be overridden by the user if they wish,
 but the new function should have a torch. Tensor and a OpenMLTask as parameters and
 return a torch. Tensor.

- _default_progress_callback(fold: int, rep: int, epoch: int, step: int, loss: float, accuracy: float): None

 Default implementation, reports the current progress when a training step is finished.
- progress_callback: Callable
 Stores the result from _default_progress_callback. Can be overridden by the user if they wish, but the new function should have three int parameters followed by two float parameters and return a None.

ONNX extension class

The ONNX extension class extends the OpenML extension interface as depicted in figure 2.10. It inherits all functionality from the interface and extends it further with the functions described below. The ONNX extension class provides the necessary functionality to convert ONNX specification to an OpenML flow and vice versa. Furthermore, the ONNX extension class relies on the Configuration package for ONNX. The purpose of the Configuration package is to create global variables for later use. It also sets their default values or default functions depending on the type of the specific variable. The user still has the possibility to change these default values and function if they desire. This will be presented in more details below in the variable and function descriptions

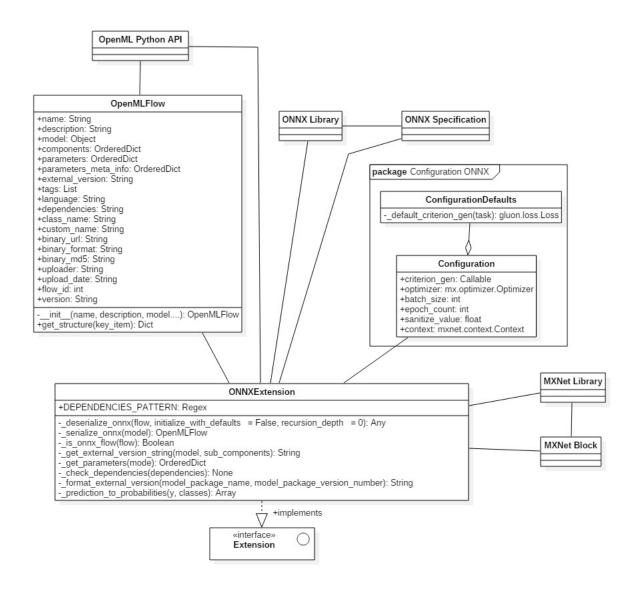


Figure 2.10: Class ONNXExtension diagram

Some of the methods in the class diagram will not be present in the sequence diagrams. The reason being that some of these functions are not related to the requirements specified in the URD [1]. They are present in the ONNX extension class since they must be implemented, due the fact that ONNX extension class implements the OpenML extension interface.

- DEPENDENCIES_PATTERNS: Regex
 Regex pattern of the required dependencies.
- ONNX_FILE_PATH: String
 Contains the path to the ONNX labrary.
- ONNX_ATTR_TYPES: Dictionary
 A dictionary containing the ONNX attribute tasks.

- _deserialize_onnx(flow: OpenMLFlow, initialize_with_defaults = False: Boolean, recursion_depth = 0: int): Any
 - Deserializes an OpenMLFlow object into an ONNX model.
- _serialize_onnx(model: Any): OpenMLFlow
 Serializes the ONNX model to a an OpenML flow.
- _is_onnx_flow(flow: OpenMLFlow): Boolean Checks if the OpenML flow is a ONNX flow.
- _get_external_version_string(model: Any, sub_components: Dict): String
 Gets the dependencies and their external versions required to produce the OpenML flow from an ONNX model.
- _get_parameters(model: Any): OrderedDict
 Constructs a dictionary from the parameters of the ONNX model.
- _check_dependencies(dependencies: String): None
 Checks whether the dependencies required for the deserialization of an OpenML flow are met.
- _format_external_version(model_package_name: String, model_package_version_number: String): String
 Returns a formatted string representing the required dependencies for an OpenML flow.
- _to_ordered(o: Any): Any
 Recursively orders all the dictionaries (included nested dictionaries) in o by keys.

Below are the descriptions of the variables and functions in the Configuration ONNX package from the diagram represented in Figure 2.10. The main use of the package is to export the variables represented in the Configuration class as global ones and give them default values. The function represented in the Configuration Default class is the default value the variable in the package of type Callable. The rest of the values are simple types or specific MXNet classes. All default values for each variable are represented below.

- _default_criterion_gen(task: OpenMLTask): gluon.loss.Loss
 Default implementation, returns the criterion based on the task type.
- criterion_gen: Callable
 Stores the result from _default_criterion_gen. Can be overridden by the user if they wish, but
 the new function should have an OpenMLTask parameter and return a gluon.loss.Loss.
- optimizer: mx.optimizer.Optimizer
 Is an instance of MXNet's Optimizer class. represents the optimizer to be used during training of the model
- batch_size: int
 Represents the processing batch size for training. Default value is 64.
- epoch_count: int
 Represents he number of epochs the model should be trained for. Default value is 32

- sanitize_value: Float
 Represents the number that will be used to replace NaNs in train and test data.
- context: mx.cpu
 Is an instance of MXNet's Context class (mx.context.Context). Represents the context of the MXNet model by default it will use the CPU.

MXNet extension class

The MXNet extension class extends OpenML extension interface as depicted in figure 2.11. It inherits all its functionality from the interface and extends it further with the functions described below. The MXNet extension class provides the necessary functionality to convert MXNet models to OpenML flow and vice versa. Furthermore, the MXNet extension class relies on the Configuration package for MXNet. The purpose of the Configuration package is to create global variables for later use. It also sets their default values or default functions depending on the type of the specific variable. The user still has the possibility to change these default values and function if they desire. This will be presented in more details below in the variable and function descriptions.

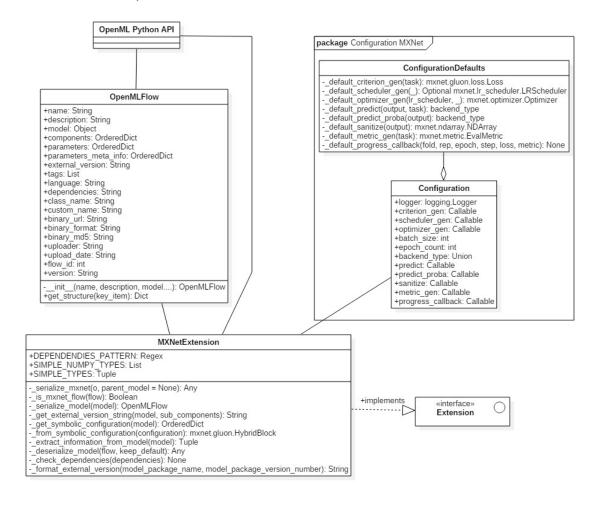


Figure 2.11: Class MXNetExtension diagram

Some of the methods in the class diagram will not be present in the sequence diagrams. The reason being that some of these functions are not related to the requirements specified in [1]. They are present in the PyTorch extension class since they must be implemented, due the fact that PyTorch extension class implements the OpenML extension interface.

- DEPENDENCIES_PATTERNS: Regex
 Regex pattern of the required dependencies.
- SIMPLE_NUMPY_TYPES: List [Type]
 List that holds numpy types except types in the category "others".
- SIMPLE_TYPES: List [Type]
 List that holds SIMPLE_NUMPY_TYPES and simple types.
- _serialize_mxnet(o: Any, parent_model = None: Any): Any Recursive function to serialize a MXNet model object.
- _is_mxnet_flow(flow: OpenMLFlow): Boolean Checks whether the flow has been a MXNet model originally
- _serialize_model(model: Any): OpenMLFlow Create an OpenMLFlow object from a MXNet model.
- _get_external_version_string(model: Any, sub_components: Dict[String, OpenMLFlow]): String
 Gets the dependencies and their external versions required to produce the OpenML flow
 from a MXNet model.
- _get_symbolic_configuration(model: mxnet.gluon.HybridBlock): OrderedDicti[String, Any]
 Extracts the nodes of an MXNet model and their order and creates a configuration of the model in the form of an ordered dictionary.
- _from_symbolic_configuration(configuration: Dict[String, Any]): mxnet.gluon.HybridBlock Retrieves the MXNet model from its the configuration.
- _extract_information_from_model(model: Any): Tuple[OrderedDict[String, Optional[String]],
 OrderedDict[String, Optional[Dict], OrderedDict[String, OpenMLFlow]]
 Extracts all necessary information (parameters and sub-components) for the OpenMLFlow
 object from the model.
- _deserialize_model(flow: OpenMLFlow, keep_defaults: Boolean): Any Deserializes an OpenML flow into a MXNet model.
- _check_dependencies(dependencies: String): None
 Checks whether the dependencies required for the deserialization of an OpenMLFlow object are met.
- _format_external_version(model_package_name: String, model_package_version_number: String): String
 - Returns a formatted string representing the necessary dependencies for a flow.

Below are the descriptions of the variables and functions in the Configuration PyTorch package from the diagram represented in Figure 2.9. The main use of the package is to export the variables represented in the Configuration class as global ones and give them default values. The functions represented in the Configuration Default class are the default values for all variables in the package of type Callable. The rest of the values are simple types. All default values for each variable are represented below.

- logger: logging.Logger
 Default logger for the MXNet extension
- _default_criterion_gen(task: OpenMLTask): mxnet.gluon.loss.Loss
 Default implementation, returns a loss criterion based on the task type.
- criterion_gen: Callable
 Stores the result from _default_criterion_gen. Can be overridden by the user if they wish, but
 the new function should have an OpenMLTask parameter and return a mxnet.gluon.loss.Loss.
- _default_scheduler_gen(_: OpenMLTask): Optional [mxnet.lr_scheduler.LRScheduler] Default implementation, returns the None scheduler for a given task. The "_" variable is there in order to respect the function signature, but is ignored in the default implementation. The reasoning for which it was added is in case some user wants to create their own scheduler generator, they can take into account the type of task.
- scheduler_gen: Callable
 Stores the result from _default_scheduler_gen. Can be overridden by the user if they wish,
 but the new function should have a torch.nn.Module and a OpenMLTask parameter and
 return a Any.
- _default_optimizer_gen(lr_scheduler: mxnet.lr_scheduler.LRScheduler,_: OpenMLTask): mxnet.optimizer.Optimizer

 Default implementation,returns the optimizer for the given task. The "_" variable is there in order to respect the function signature, but is ignored post that in the default implementation. The reasoning for which it was added is in case some user wants to create their own optimizer generator, they can take into account the type of task.
- optimizer_gen: Callable
 Stores the result from _default_optimizer_gen. Can be overridden by the user if they wish,
 but the new function should have a mxnet.lr_scheduler.LRScheduler and a OpenMLTask
 parameter and return a mxnet.optimizer.Optimizer.
- batch_size: int
 Represents the processing batch size for training. Default value is 64.
- epoch_count: int
 Represents he number of epochs the model should be trained for. Default value is 32.
- backend_type: Union[mxnet.ndarray.NDArray, mxnet.symbol.Symbol]
 Represents the possible MXNet backends. This is used in the default implementation below, in order to simplify writing: using backend_type instead of Union[mxnet.ndarray.NDArray, mxnet.symbol.Symbol].

- _default_predict(output: backend_type, task: OpenMLTask): backend_type
 Default implementation, turns the outputs into predictions by returning the argmax of the output.
- predict: Callable
 Stores the result from _default_predict. Can be overridden by the user if they wish, but the new function should have a backend_type and a OpenMLTask as parameters and return a backend_type.
- _default_predict_proba(output: backend_type): backend_type

 Default implementation, turns the outputs into probabilities using softmax.
- predict_proba: Callable
 Stores the result from _default_predict_proba. Can be overridden by the user if they wish, but the new function should have a backend_type parameter and return a backend_type.
- _default_sanitize(output: mxnet .ndarray . NDArray): mxnet .ndarray . NDArray Default implementation, sanitizes the input data in order to ensure that models can be trained safely.
- sanitize: Callable
 Stores the result from _default_sanitize. Can be overridden by the user if they wish, but the new function should have a mxnet.ndarray.NDArray parameter and return a mxnet.ndarray.NDArray.
- _default_metric_gen(task: OpenMLTask): mxnet.metric.EvalMetric

 Default implementation, returns a composite metric composed of accuracy for classification tasks, and composed of mean squared error, mean absolute error, and root mean squared error for regression tasks.
- metric_gen: Callable
 Stores the result from _default_metric_gen. Can be overridden by the user if they wish, but
 the new function should have an OpenMLTask as parameter and return a mxnet.metric. EvalMetric.
- _default_progress_callback(fold: int, rep: int, epoch: int, step: int, loss: mxnet.ndarray.NDArray, metric: mxnet.metric.EvalMetric): None

 Default implementation, reports the current fold, rep, epoch, step, loss and metric for every training iteration to the default logger.
- progress_callback: Callable
 Stores the result from _default_progress_callback. Can be overridden by the user if they wish,
 but the new function should have three int parameters followed by a mxnet.ndarray.NDArray
 and a mmxnet.metric.EvalMetric parameters and return None.

Visualization

The visualization class diagram is depicted in figure 2.12. The Visualizer and the View depend on Dash. The View also contains a *visualizer* so that it can update. The Visualizer uses the OpenML run which is associated with an OpenML task. Alternatively, the Visualizer uses an

OpenML flow. The OpenML flow, OpenML run and OpenML task depend on OpenML Python API. Furthermore, the Visualizer uses the KerasExtension, PytorchExtension, MXNetExtension, ONNXExtension, and depends on the ONNX Library.

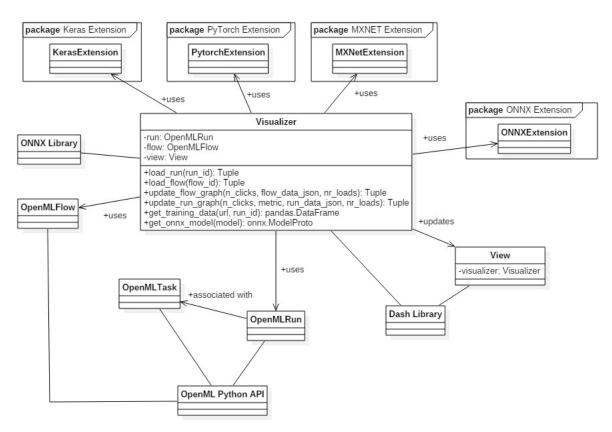


Figure 2.12: Visualization Class diagram

- run: OpenMLRun
 Stores the run that is to be visualized.
- flow: OpenMLFlow
 Stores the flow that is to be visualized.
- view: View
 The corresponding HTML rendered page that is shown for the visualization of the flow and run.
- load_run(run_id): Tuple
 This function gets the run from the OpenML website given the run_id. Then it extracts and stores all the necessary data that is needed to visualize the run.
- load_flow(flow_id): Tuple
 This function gets the flow from the OpenML website given the flow_id. Then it extracts
 and stores all the necessary data that is needed to visualize the flow.

- update_flow_graph(n_clicks, flow_data_json, nr_loads): Tuple Displays the flow in the window for visualization.
- update_run_graph(n_clicks, metric, run_data_json, nr_loads): Tuple Displays a graph for the selected metric in the window for visualization.
- get_training_data(url, run_id): pandas.DataFrame
 Downloads and loads the training data associated with the run with run id = run_id.
- get_onnx_model(model): onnx.ModelProto Extracts the ONNX specification from the model.

2.7.7 High-level sequence diagrams

The following subsections will offer some implementation details about all the use cases that have been described in the URD. The purpose of the high-level sequence diagrams is to illustrate the main idea behind the code implementation. They describe how problems, such as conversions, have been solved from a logical point of view. The solution is described in an abstract way, using natural language. This is done to make it more understandable, without going into technical and implementation details. Such low-level details are shown in 2.7.8. The activity diagrams from 2.7.8 are more representative for the way the code is implemented, but at the same time, harder to understand and follow.

2.7.7.1 Convert from the Keras** model to an OpenML flow

Use cases fulfilled: UC1, UC3

Figure 2.13 depicts a diagram with the abstract, logical idea behind converting a Keras** model to an OpenML flow. In order to do a conversion with non-redundant dependencies, the correct extension first needs to be identified. Hence, the very first call is Decide on the extension from OpenML Python API to Extensions. Once Extensions has identified and returned that the given model is a Keras** model, the actual conversion from a model to a flow begins with the call Convert Keras** model into OpenML flow format. Consequently the initialization parameters and layers from the model are extracted from the given Keras** model and are returned. If it is possible, this is done directly from the model, otherwise it is done manually in the Keras** Extension (step 4 & 5). Afterwards, it stores in OpenML hyperparameters the Keras** model's parameters and layers. In the end the Keras** extension returns the OpenML flow object. More low level details can be found in figure 2.18 for Keras, 2.19 for PyTorch and 2.20 for ONNX.

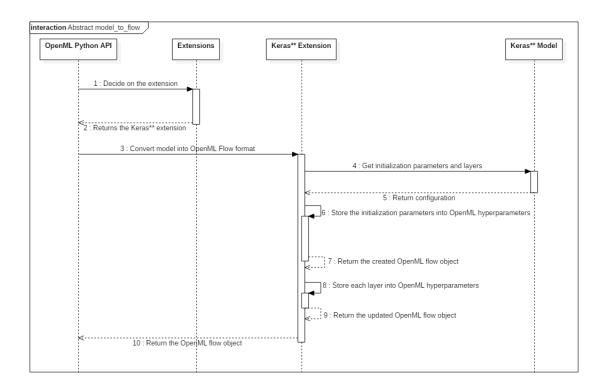


Figure 2.13: Abstracted Sequence Diagram model_to_flow (Keras**)

2.7.7.2 Convert from an OpenML flow to a Keras** model

Use cases fulfilled: UC2, UC3

Figure 2.14 shows a diagram with the conversion process from an OpenML flow to a Keras** model is initiated by the OpenML Python API. It interacts with the Extensions package, which determines the library (Keras, PyTorch, etc.) needed to be used for the conversion. For diagram 2.14, it is known that the Keras** extension was chosen. The process now gets the parameters and the layers from the flow. A dictionary is created with those parameters and layers. Consequently, this dictionary is passed to the Keras** library in order to create a model based on it. The newly created model is now returned to the initial call of the process. More low level details can be found in figure 2.22 for Keras, figure 2.23 for PyTorch, and figure 2.24 for ONNX.

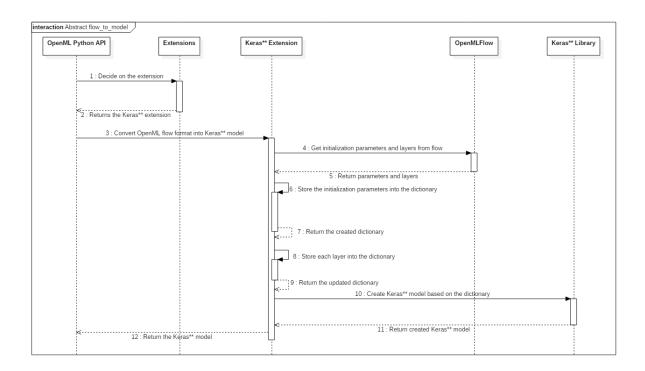


Figure 2.14: Abstracted Sequence Diagram flow_to_model (Keras**)

2.7.7.3 Run OpenML flow on task

Use cases fulfilled: UC1, UC3

Figure 2.15 shows the diagram containing the main idea of how an OpenML flow can be run. In order to run a flow, it has to be converted back into the deep learning model it represents. Note that a flow cannot be run. Only models can be executed. A flow represents either the description of a model of a certain deep learning library/framework, such as Keras or PyTorch, or a specification, such as ONNX.

Firstly, the corresponding library for the flow needs to be found. This library is named X in the diagram. Once X is determined, the appropriate extension package for X needs to be called in order to create the model according to the flow.

There are two options to create a model from a given flow, as depicted in the diagram. (1) If the flow represents a deep learning model, then the corresponding library for that model is called in order to create it. (2) If the flow represents a specification, such as ONNX, then the specification needs to be created before converting it into a model. In essence, a flow can represent a specification which represents a deep learning model. More details about how to create a model from a flow/specification (i.e. convert flow to model) can be found in the low level activity diagrams, in section 2.7.8, for the appropriate extension package.

Once the model is received by the OpenML Python API, it is run using the corresponding extension package. Depending on whether the given task is classification or regression, the appropriate functions from the library of the model are called in order to return the run. More about how a model can be run on a task can be found in the low level activity diagrams, in section

2.7.8, for the appropriate extension package.

Corresponding Extension

Keras

PyTorch

MXNet

ONNX

Corresponding model Library

Keras

PyTorch

MXNet

Corresponding specification Library

ONNX

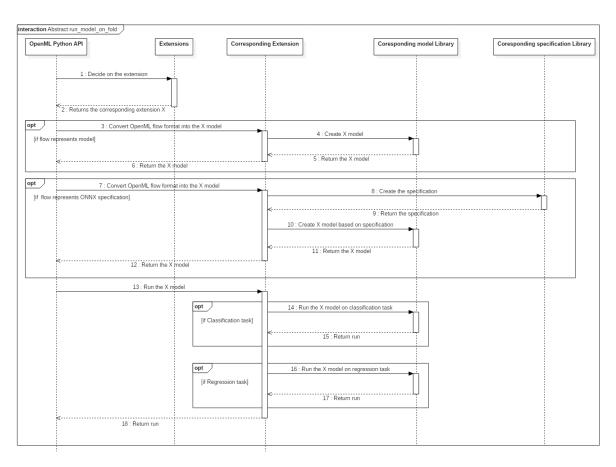


Figure 2.15: Abstracted Sequence Diagram run_model_on_fold

2.7.7.4 Display the learning curve graph of a run

Use cases fulfilled: UC4

Figure 2.16 shows the diagram with the main idea of how an OpenML run can be visualized. The visualization consists of plotting a learning curve graph based on the information from the OpenML run. This graph is created using the Dash framework and is outside of the scope of the project "Sharing deep learning models" since it is not implemented by the OpenML Support Squad.

In order for a user to see the learning curve graph of a run, it needs to find the id of the run from the OpenML website. This id is inserted in the local Dash website. Once the user types the id, he has to press the "Load run" button found in the local Dash website. The Dash website will call the Visualization package, which is created by the OpenML Support Squad. The package will retrieve the run from the OpenML website by using the OpenML Python API. The OpenML Python API is outside of the scope of project "Sharing deep learning models" since it is not implemented by the OpenML Support Squad. Once the run is received, the Visualization package will parse all the information from the run and will pass the processed information to Dash. Dash will plot the graph based on the received data and display it on the local Dash website of the user. The user can see the graph on the local Dash website. More about how the start the local Dash website in the Software User Manual (SUM).

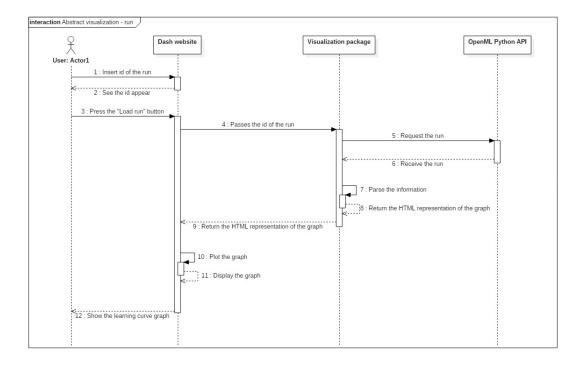


Figure 2.16: Abstracted Sequence Diagram visualization of run

2.7.7.5 Display the neural network structure of a flow

Use cases fulfilled: UC4

Figure 2.17 shows the diagram with the main idea of how the structure of an OpenML flow can be visualized. The visualization consists of plotting the structure of the model that the flow represents. This graph is created using the Dash framework and is outside of the scope of the project "Sharing deep learning models" since it is not implemented by the OpenML Support Squad. Furthermore, the structure of a model is done by using ONNX. The model is converted into an ONNX specification and then the package creates the visual representation of the model.

In order for a user to see the neural network structure of a flow, it needs to find the id of the flow from the OpenML website. This id is inserted in the local Dash website. Once the user types the id, he has to press the "Load flow" button found in the local Dash website. The Dash website will call the Visualization package, which is created by the OpenML Support Squad. The package will retrieve the flow together with its corresponding model from the OpenML website by using the OpenML Python API. The OpenML Python API is outside of the scope of project "Sharing deep learning models" since it is not implemented by the OpenML Support Squad.

Once the model is received, the Visualization package will convert it into an ONNX specification. The package will use the ONNX specification to create the visualized neural network structure of the model. Afterwards, the package will create the HTML representation of the graph (i.e. structure of the model). Dash will plot the graph based on the received HTML and display it on the local Dash website of the user. The user can see the graph on the local Dash website. More about how the start the local Dash website in the Software User Manual (SUM).

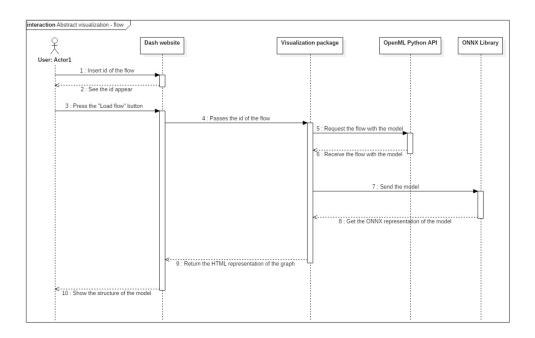


Figure 2.17: Abstracted Sequence Diagram visualization of a flow

2.7.8 Low level activity diagrams

The following subsections will offer low level implementation details about all the use cases that have been described in the URD. They will go into more detail, while keeping them understandable. Each subsection contains an activity diagram, which illustrates the respective process flow (i.e. the main function calls), while also offering a short description of the steps and the purpose of such calls. The diagrams are abstracted from machine learning technicalities since they are hard to understand and outside of the subsections' scope to be present in a diagram. The diagrams are meant to offer some understanding on how the actual code is structured and implemented as well as what are the main functions that are called. In this way maintaining the code will be easier since all the function dependencies of each of the main use cases are known.

2.7.8.1 Convert from a Keras model to an OpenML flow

Use cases fulfilled: UC1, UC3

Figure 2.18 shows the diagram for conversion from a Keras model into an OpenML flow. The method <code>model_to_flow</code>, from the Keras extension package, is used to convert directly from a Keras model into a OpenML flow. The method <code>model_to_flow</code> is invoked by the OpenML Python API. Upon invocation, it retrieves the configuration of the Keras model. This is shown in the corresponding activity "Get configuration of model" in diagram 2.18. This step is made substantially easier in Keras than in other frameworks, such as PyTorch, since the Keras library provides a function <code>get_config</code> that returns a dictionary with all the layers of the model. On a conceptual basis, after getting the config dictionary, the function <code>_serialize_keras</code> recurses through the model component by component to check if all parameters are of a supported type as shown in "Iterate parameters". These are then stored in a dictionary in json format. This dictionary can then be translated into the OpenML flow format. This is depicted in the iterative expansion region of the diagram "Transform to json". Once it is done building the dictionary, an OpenML flow is created and returned to the OpenML Python API.

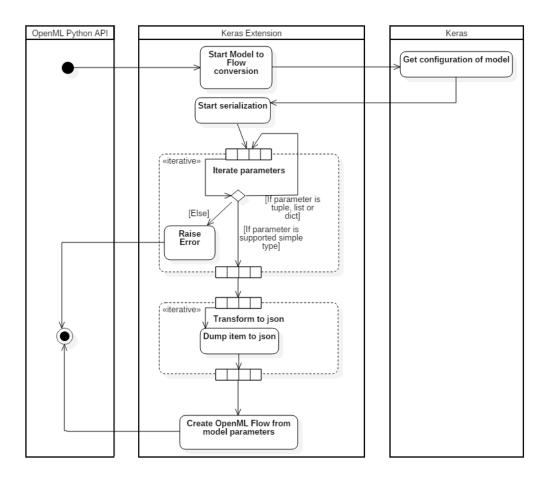


Figure 2.18: Activity Diagram model to flow (Keras)

2.7.8.2 Convert from a PyTorch model to an OpenML flow

Use cases fulfilled: UC1, UC3

Figure 2.19 shows the diagram concerning the conversion from a PyTorch model into an OpenML flow. The method model_to_flow, from the PyTorch extension package, is used to convert directly from a PyTorch model into an OpenML flow. The method model_to_flow is invoked by the OpenML Python API. Upon invocation, it constructs the configuration from the PyTorch model. This is shown in the corresponding activity "Get configuration of model" in diagram 2.19. Unlike with Keras models, obtaining the configuration of PyTorch models needs to be done manually. On a conceptual basis, after getting the config dictionary, the function _serialize_pytorch recurses through the model component by component to check if all parameters are of a supported type as shown in "Iterate parameters". In case that a parameter is a function, the function is replaced by a reference to that function, since a function can not be dumped to a json format. These parameters are then stored in a dictionary in json format. This dictionary can then be translated into the OpenML flow format. This is depicted in the iterative expansion region of the diagram "Transform to json". Once it is done building the dictionary, an OpenML flow is created and returned to the OpenML Python API.

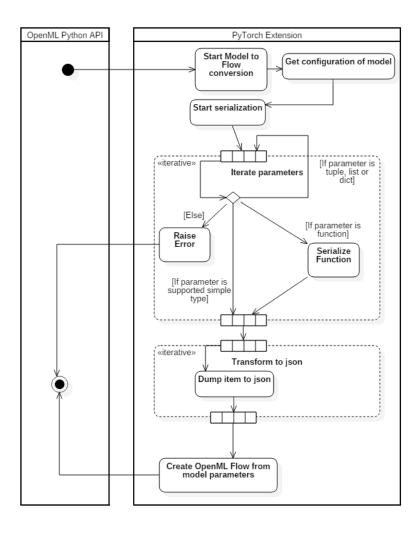


Figure 2.19: Activity Diagram model_to_flow (PyTorch)

2.7.8.3 Convert from an ONNX specification to an OpenML flow

Use cases fulfilled: UC1, UC3

Figure 2.20 displays the diagram with the conversion from an ONNX specification to an OpenML flow. The method model_to_flow is called by the OpenML Python API to serialize an ONNX specification. It firstly obtains the configuration from the ONNX specification. Afterwards, the serialization begins. The first activity is extracting the parameters and iteratively create an ordered dictionary from them. At this point, the dictionary still has to be reformatted. Instead of using recursion, like with Keras, we can simply use a for-loop for this. Inside this for-loop, we serialize each parameter using json inside a well-formatted new "parameter dictionary". If this item is a dictionary, it will recursively be sorted by keys using the _to_ordered function and then dump it as an ordered dictionary. After this is done for each component in the dictionary, all parameters have been obtained and stored in an OpenML-friendly format. Additional steps are done, i.e. getting the external versions of all sub-components and initializing them. With the parameter values the ONNX extension can be turned into an OpenML flow in trivial manner.

This OpenML flow is then returned to the initial model_to_flow call and the method terminates after successfully having serialized the ONNX specification into an OpenML flow.

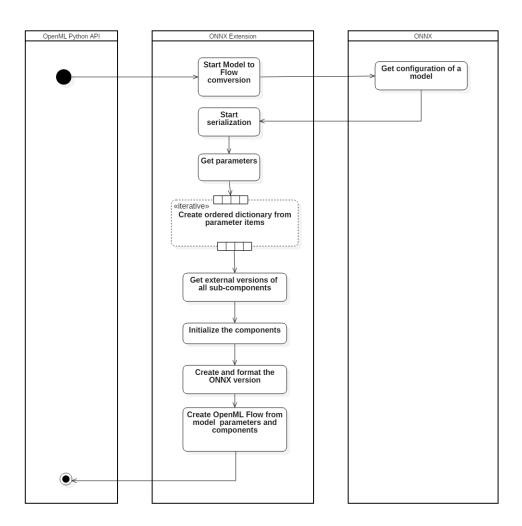


Figure 2.20: Activity Diagram model to flow (ONNX)

2.7.8.4 Convert from an MXNet model to an OpenML flow

Use cases fulfilled: UC1, UC3

Figure 2.21 displays the diagram with the conversion of an Apache MXNet model to an OpenML flow, which is very similar to converting a PyTorch model to an OpenML flow. The conversion starts by calling model_to_flow which belongs to the Apache MXNet extension package of the DL Extension Library. This method is invoked by the OpenML Python API. When the model to flow conversion is initiated the information from the Apache MXNet model is extracted and presented in a JSON format. Afterwards, the serialization is started and the model is serialized component by component, as presented in the iterative expansion region "Iterate components". If there are more components to be serialized then these are serialized. Once all

of the components are serialized an OpenML flow is constructed and returned to the original call.

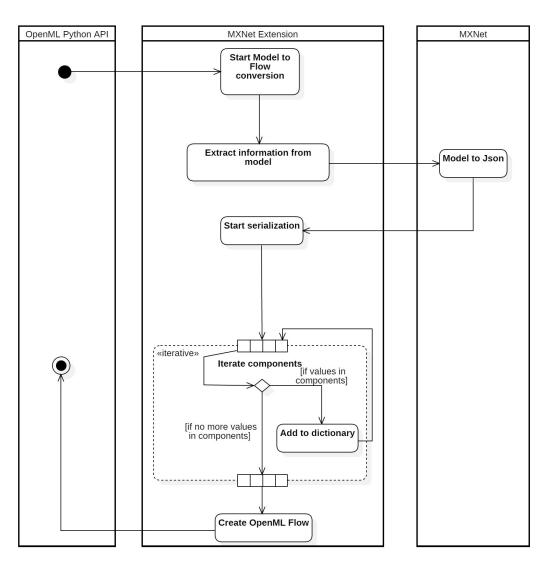


Figure 2.21: Activity Diagram model_to_flow (MXNet)

2.7.8.5 Convert from an OpenML flow to a Keras model

Use cases fulfilled: UC2, UC3

Figure 2.22 shows the diagram with the conversion from a flow representing a Keras model into a Keras model instance. The method flow_to_model is invoked by the OpenML Python API. When invoked, it first retrieves the parameters and components from the OpenML flow. This is shown in the corresponding activity "Get parameters and components from flow". This can be retrieved directly from the flow format which is already handled by OpenML itself. When the components

and parameters have been retrieved, deserialization can begin. On a conceptual basis, after getting these components and parameters, the function _deserialize_keras recurses through the components one by one. For each iteration, the method first checks if it is still in a string format. If this is the case, json tries to load it back into variables. Afterwards it checks what type the parameter is. If the parameter is a list, tuple or dictionary, the method calls itself again. If the parameter is a simple supported type, then it exits the method. This is shown in the expansion region "Transform from json". These are then stored in a dictionary. This dictionary is then transformed into a configuration dictionary which is then used to reinstantiate the model. Finally, the model is returned to the OpenML Python API.

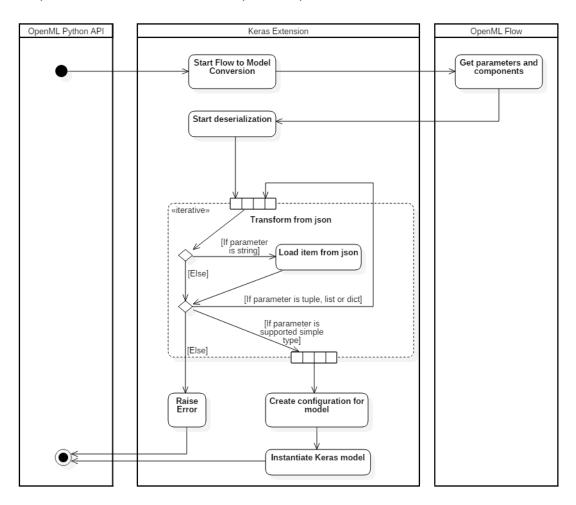


Figure 2.22: Activity Diagram flow_to_model (Keras)

2.7.8.6 Convert from an OpenML flow to a PyTorch model

Use cases fulfilled: UC2, UC3

Figure 2.23 shows the diagram with the conversion from a flow representing a PyTorch model into a PyTorch model instance. The method $flow_to_model$ is invoked by the OpenML Python

API. When invoked, it first retrieves the parameters and components from the OpenML flow. This is shown in the corresponding activity "Get parameters and components from flow". This can be retrieved directly from the flow format which is already handled by OpenML itself. When the components and parameters have been retrieved, deserialization can begin. On a conceptual basis, after getting these components and parameters, the function <code>_deserialize_pytorch</code> recurses through the components one by one. For each iteration, the method first checks if it is still in a string format. If this is the case, json tries to load it back into variables. Afterwards it checks what type the parameter is. If the parameter is a list or a tuple, the method calls itself again. If the parameter is a simple supported type, then it exits the method. If the object is a dictionary, the method has to check if there is a serialized object inside, such as a function reference. In this case, this object has to be deserialized separately. When this is done, it can exit the method. If it is a normal dictionary the method calls itself again. These choices are shown in the expansion region "Transform from json". These are then stored in a dictionary. This dictionary is then transformed into a configuration dictionary which is then used to reinstantiate the model. Finally, the model is returned to the OpenML Python API.

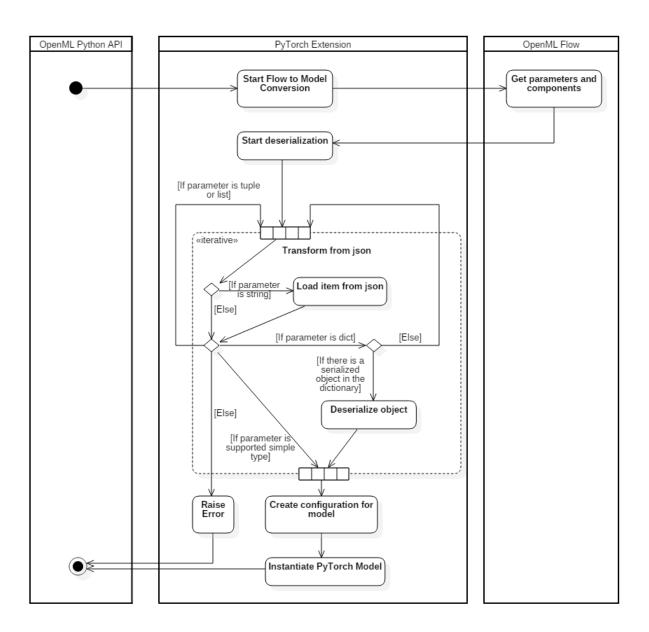


Figure 2.23: Activity Diagram flow_to_model (PyTorch)

2.7.8.7 Convert from an OpenML flow to an ONNX specification

Use cases fulfilled: UC2, UC3

As shown in the diagram depicted on figure 2.24, the conversion from an OpenML flow to an ONNX is somewhat different from Keras. Just like for serialization, no recursion is used for ONNX deserialization. Instead multiple for-loops are used to construct the specification from the flow.

First of all the parameters can then be retrieved from the flow in the form of a parameter dictionary. This dictionary has the wrong format, so it has to be reformatted i.e. construct the model

dictionary. This happens inside the first iteration. An empty dictionary, model_dic, is created to hold the parameters in a format that is usable by ONNX. After this dictionary is built, it can be converted using Google's Protobuf. For this, an empty ONNX specification is created. Then, the Protobuf parses the dictionary and puts its contents into the ONNX specification. Finally, the completed model is returned to the OpenML Python API.

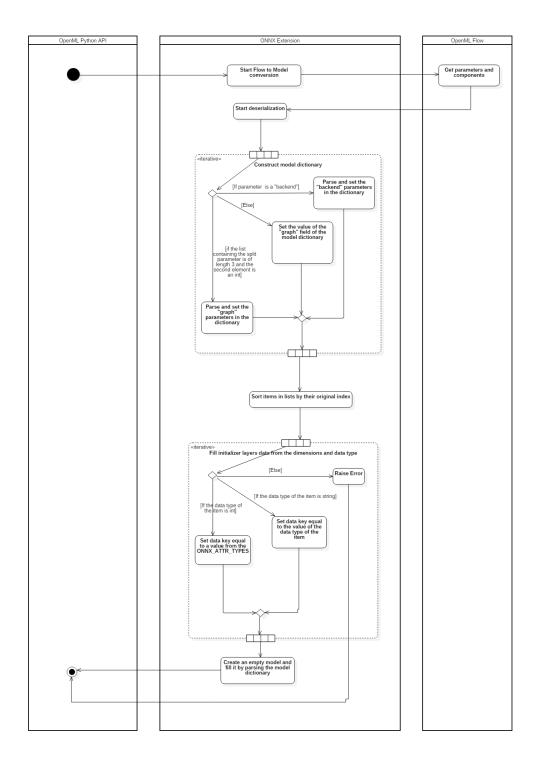


Figure 2.24: Activity Diagram flow_to_model (ONNX)

2.7.8.8 Convert from an OpenML flow to a MXNet model

Use cases fulfilled: UC2, UC3

The activity diagram on figure 2.25 shows the conversion from an OpenML flow into a MXNet model. Firstly, the method from the MXNet extension package flow_to_model is invoked by the OpenML Python API. If the flow is not an MXNet flow, an error is raised. However, if the flow is an MXNet flow the parameters and the components from the OpenML flow are obtained. Then the deserialization is started. The deserialization is depicted in the iterative expansion region "Iterate parameters". While there are parameters to be serialized the values from these are extracted and loaded in a JSON representation. When all the parameters are extracted in a JSON representation, a configuration of the nodes is created. Afterwards all the nodes are iterated, as shown in the iterative expansion region "Iterate nodes". All these are appended in a dictionary. Later from this dictionary the original MXNet model is reconstructed and transferred back to the original call terminating the process.

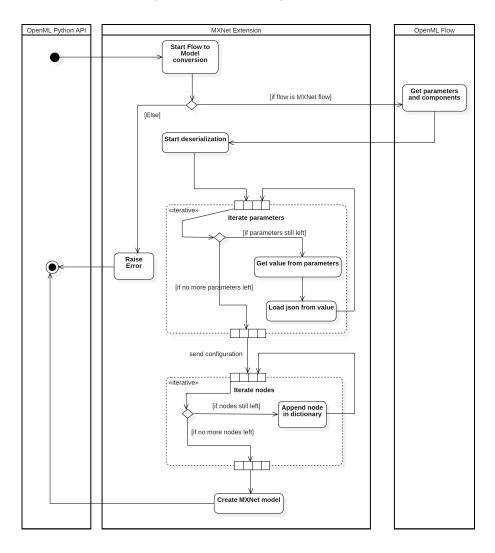


Figure 2.25: Activity Diagram flow_to_model (MXNet)

2.7.8.9 Run a Keras model on fold

Use cases fulfilled: UC1, UC3

Figure 2.26 shows the diagram concernign how a Keras model is run using the method <code>_run_model_on_fold</code> from the Keras extension package. This method is invoked by the OpenML Python API. If the provided task is not supervised, an error will be raised. In other cases, the model can be trained on some provided training data and a prediction on some other data can be made. These datasets can be chosen from those provided by OpenML. When training is completed, a distinction is made between classification and regression tasks. For a regression task, the extension can immediately return the predictions and pass them back to the OpenML Python API. If the tasks is classification, it first needs to get the probabilities for each prediction, which will then be included in the results. After this it can also return the results.

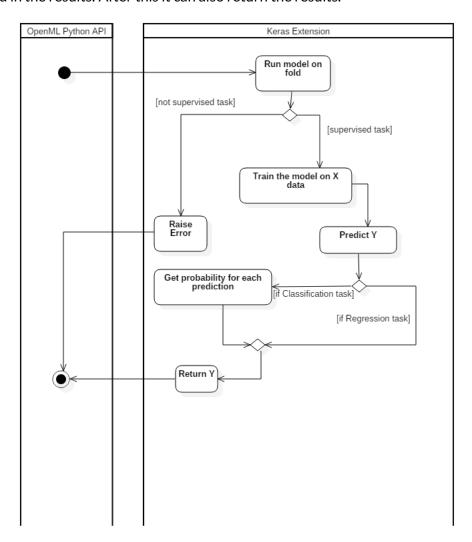


Figure 2.26: Activity Diagram _run_model_on_fold (Keras)

2.7.8.10 Run PyTorch model on fold

Use cases fulfilled: UC1, UC3

Figure 2.27 shows the diagram about how a PyTorch model is run using the method _run_model_on_fold from the PyTorch extension package. It has the exact same structure as the equivalent function from the Keras extension. As such, refer to subsection 2.7.8.9 (Run Keras model on fold).

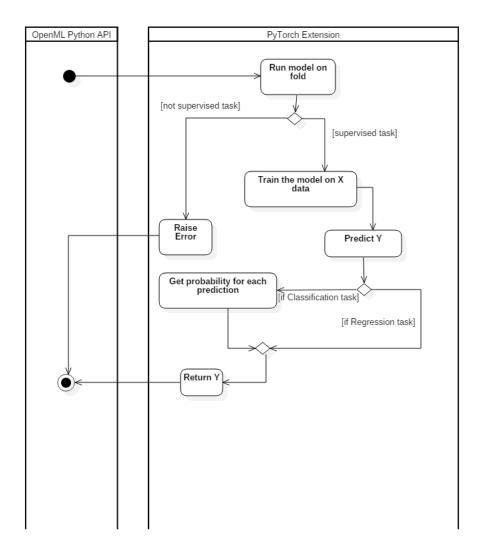


Figure 2.27: Activity Diagram _run_model_on_fold (PyTorch)

2.7.8.11 Run ONNX specification on fold

Use cases fulfilled: UC1, UC3

Figure 2.28 shows the diagram on how a ONNX specification is run using the method <code>_run_model_on_fold</code> from the ONNX extension package. The method <code>_run_model_on_fold</code> in ONNX is almost identical to the structure of the equivalent method in the Keras or PyTorch extension. Only the differences will be elaborated on. For a full picture of the ONNX variant, the explanation for the Keras equivalent should also be read in section 2.7.8.9 (Run Keras model on fold).

Before checking the task type and fitting the model, a conversion to MXNet has to be done. This is necessary because an ONNX specification is not a runnable model. MXNet is the only library that fully supports conversion from and to ONNX, which is why it is preferred. After conversion to MXNet, the same structure as the Keras equivalent is used to train the model.

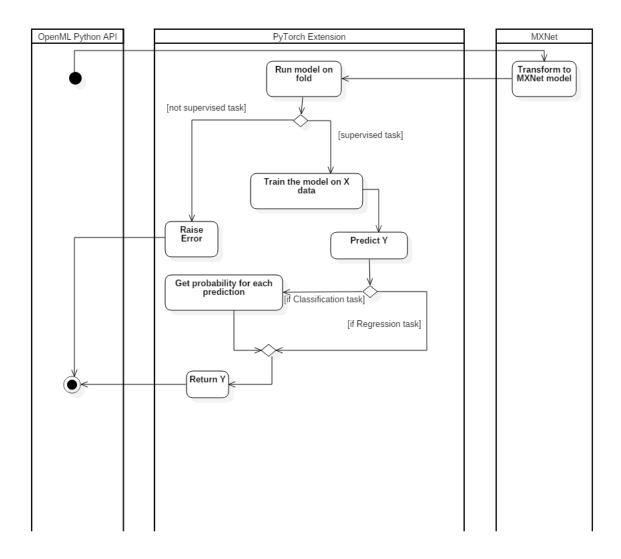


Figure 2.28: Activity Diagram _run_model_on_fold (ONNX)

2.7.8.12 Run MXNet model on fold

Use cases fulfilled: UC1, UC3

Figure 2.29 shows the diagram on how a MXNet model is run using the method _run_model_on_fold from the MXNet extension package. It has the exact same structure as the equivalent function from the Keras extension. As such, refer to subsection 2.7.8.9 (Run Keras model on fold).

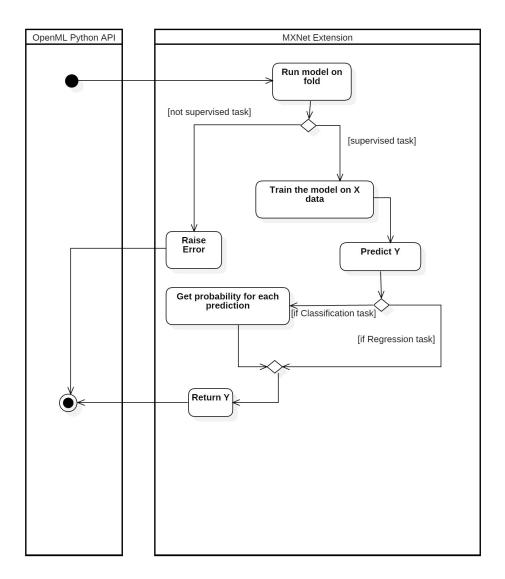


Figure 2.29: Activity Diagram _run_model_on_fold (MXNet)

3 | Specific Requirements

In this chapter a complete list of the software requirements for the project "Sharing deep learning models" is provided. These are *functional* requirements and are denoted by Software Requirements Functional (SRF). They are divided into the following categories: **(to be inserted)**. The MoSCoW method [21] is used to prioritize requirements with the help of four categories. These categories are the following:

- Must have: requirements that must be implemented for the product to function properly. These determine whether the product is a Minimum Usable Subset. [22].
- **Should have**: requirements that are important for the project but are not seen as necessary to be implemented for the project to be successfully completed.
- Could have: requirements that are seen as optional and would be good to have. These requirements can be implemented if time allows and the more important requirements are already realized.
- Won't have: requirements that will not be implemented by the development team in the current project and hence are not part of the scope of this project. They may be fulfilled in future projects.

In total, this chapter contains **101** requirements.

3.1 Extension «interface»

SRF - 1.1 Must have

can_handle_flow(flow): Boolean

Checks whether a given OpenML flow is a valid description of a neural network.

Parameters: flow: OpenMLFlow - The flow that is being checked.

Returns: Boolean value indicating whether flow is a valid descrip-

tion of a neural network or not.

Precondition: -

Postcondition: True is returned if flow can be handled and False other-

wise.

SRF - 1.2 Must have

can_handle_model(model): Boolean

Checks whether a given machine learning model is an instance of this specific framework model.

Parameters: *model*: Any - The model to be checked.

Returns: Boolean value indicating whether *model* is an instance

of a model of the specific framework.

Precondition: -

Postcondition: True is returned if model is an instance of a model from

that specific framework and False otherwise.

SRF - 1.3 Must have

flow_to_model(flow, initialize_with_defaults = False): Any

Initializes a machine learning model from the given OpenMLFlow object.

Parameters: flow: OpenMLFlow - The object to deserialize (can be

flow object, or any serialized parameter value that is

accepted).

initialize_with_defaults: Optional[Boolean] = False - If this flag is set, the hyperparameter values of flows will be ignored and a flow with its defaults is returned.

Returns: A model of the specific framework.

Precondition: can handle flow(flow) = True

Postcondition: The returned model is a correct machine learning model

from the corresponding framework.

SRF - 1.4 Must have

model_to_flow(model): OpenMLFlow

Transforms a machine learning model to an OpenMLFlow object which can be uploaded to OpenML.

Parameters: model: Any - The machine learning model to be serial-

ized to an OpenMLFlow object.

Returns: Returns an OpenMLFlow object from *model*.

Precondition: can_handle_model(model) = True

Postcondition: The created flow is a valid flow that can be uploaded to

OpenML.

SRF - 1.5 Must have

get_version_information(): List

Lists the versions of libraries required by an OpenMLFlow object in order for it to be converted into a machine learning model.

Parameters: -

Returns: A List object of library versions.

Precondition: -

Postcondition: The created list contains the correct version for all nec-

essary libraries.

SRF - 1.6 Must have

create_setup_string(model): String

Creates a string which can be used to re-instantiate the given model.

Parameters: model: Any - The machine learning model of which the

setup string is created.

Returns: A String containing information about *model*.

Precondition: can_handle_model(model) = True.

Postcondition: The machine learning model can be re-instantiated

from the returned string.

SRF - 1.7 Must have

is estimator(model): Boolean

Checks whether the given model is a framework-specific neural network.

Parameters: *model*: Any - The model to be checked.

Returns: Boolean value indicating whether *model* is an instance

of a model of the specific framework.

Precondition: -

Postcondition: True is returned if model is an instance of a model from

that specific framework and False otherwise.

SRF - 1.8 Must have

seed model(model, seed = None): Any

Sets the random state of all the unseeded components of a model and returns the seeded model. (Not applicable for Keras and ONNX since both have no notion of RandomState)

Parameters: model: Any - The machine learning model to be seeded.

> seed: Optional[int] = None - The seed to initialize the RandomState with. Unseeded sub-components will be seeded with a random number from the RandomState.

A model of the specific framework with is unseeded Returns:

components set with a random state.

Precondition: can_handle_model(model) = True.

Postcondition: The random state of all unseeded components of the

model are set correctly.

SRF - 1.9 Must have

obtain_parameter_values(flow, model = None): List[Dict[String, Any]] Extracts all parameter settings required for the OpenMLFlow object from the model.

Parameters: flow: OpenMLFlow - OpenMLFlow object (containing

> flow ids, i.e., it has to be downloaded from the server). model: Optional[Any] = None - The machine learning model from which to obtain the parameter values.

Returns: A List of dictionaries containing parameter values. **Precondition:**

The model must match the flow signature. If None, use

the model specified in OpenMLFlow.model.

Postcondition: The list of dictionaries contains the correct parameter

values required by the OpenMLFlow object from the

specific model.

SRF - 1.10 Must have

instantiate_model_from_hpo_class(model, trace_iteration): Any Instantiates a base model, which can be scanned over by the hyperparameter optimization model.

Parameters: model: Any - A hyperparameter optimization model

which defines the machine learning model to be instan-

tiated.

trace_iteration: OpenMLTraceIteration - Describes the

hyperparameter settings to instantiate.

Returns: A model of the specific framework that is instantiated

from the given trace_iteration.

Precondition: can_handle_model(model) = True

Postcondition: The instantiated base model is valid and can be scanned

over through the hyperparameter optimization model.

SRF - 1.11 Must have

_run_model_on_fold(model, task, X_train, rep_no, fold_no, y_train = None, X_test =

None): Tuple

Run a model on a task and return prediction information.

Parameters: model: Any - The untrained machine learning model to

run. The model instance will be copied and not altered.

 $\textit{task} \colon \mathtt{OpenMLTask} \cdot \mathsf{The} \; \mathsf{task} \; \mathsf{to} \; \mathsf{run} \; \mathsf{the} \; \mathsf{model} \; \mathsf{on}.$

X_train: Union[numpy.ndarray, scipy.sparse.

spmatrix, pandas.DataFrame] = None-Training data

for the given repetition and fold.

 $\textit{rep_no}$: int - The repeat of the experiment (0-based; in

case of 1 time Cross-validation (CV), always 0)

fold_no: int - The fold number of the experiment (0-

based; in case of hold-out, always 0)

y_train: Optional[numpy.ndarray] = None - Target attributes for supervised tasks. In case of classification, these are integer indices to the potential classes speci-

fied by dataset.

X_test: Optional[Union[numpy.ndarray, scipy.

sparse.spmatrix, pandas.DataFrame]] = None-Test attributes to test for generalization in supervised tasks.

Returns: A tuple of: 1.np.ndarray - Model predictions;

2.np.ndarray - Predicted probabilities (only applicable for supervised classification tasks); 3.OrderedDict[String, float] - User defined measures that were generated on this fold; 4.Optional[OpenMLRunTrace] - Hyperparameter optimization trace (only applicable for supervised tasks

with hyperparameter optimization).

Precondition: y train and X test are set, can handle model(model) =

True, task is a classification task or a regression task.

Postcondition: The data returned is sufficient to construct the

OpenML run object.

3.2 KerasExtension

SRF - 2.1 Must have

DEPENDENCIES_PATTERN: Regex

Regex pattern of the required dependencies.

SRF - 2.2 Must have

SIMPLE_NUMPY_TYPES: List[Type]

List that holds numpy types except types in the category "others".

SRF - 2.3 Must have

SIMPLE_TYPES: Tuple(Type)

Tuple that holds SIMPLE_NUMPY_TYPES and simple types such as String, int, Boolean.

SRF - 2.4 Must have

LAYER_PATTERN: Regex

Regex pattern that describes how a Keras layer is formatted.

SRF - 2.5 Must have

_deserialize_keras(o, components = None, initialize_with_defaults = False, recursion_depth = 0): Any

Recursive function to deserialize an OpenMLFlow corresponding to a Keras machine learning model. This function delegates all work to the respective functions to deserialize special data structures etc.

Parameters: o: Any - The object to deserialize (can be flow object, or

any serialized parameter value that is accepted). components: Dictionary - Describes the components. initialize_with_defaults: Optional[Boolean] = False - If this flag is set, the hyperparameter values of flows will be ignored and a flow with its defaults is returned. recursion_depth: Optional[int] = 0 - The depth at which

this flow is called, mostly for debugging purposes.

Returns: A Keras model.

Precondition: o must be an OpenMLFlow object or any serialized pa-

rameter value that is accepted.

Postcondition: The OpenMLFlow object is correctly describilized into

a Keras model.

SRF - 2.6 Must have

_serialize_keras(o, parent_model): Any

Recursive function to serialize a Keras model object.

Parameters: o: Any - The object to serialize (can be a model object,

or any deserialized parameter value that is accepted). parent_model: Optional[Any] = None - A reference to

the parent model.

Returns: A serialized model.

Precondition: can_handle_model(o) = True

Postcondition: The model is correctly serialized and returned.

SRF - 2.7 Must have

_is_keras_flow(flow): Boolean

Checks whether the flow was originally a Keras model.

Parameters: flow: OpenMLFlow - The OpenMLFlow object which is

being checked.

Returns: A Boolean value depending on whether the flow has

been originally a Keras model.

Precondition: can_handle_flow(flow) = True

Postcondition: True is returned if the flow was originally a Keras model

and False otherwise.

SRF - 2.8 Must have

_serialize_model(model): OpenMLFlow

Calls *model_to_flow* (for Keras) recursively (mutual recursion) to properly serialize the parameters to strings and the components (other models) to OpenML flows.

Parameters: model: Any - Keras machine learning model.

Returns: An OpenMLFlow object

Precondition: can handle model(model) = True.

Postcondition: A valid OpenMLFlow object is returned.

SRF - 2.9 Must have

_get_external_version_string(model, sub_components): String

Creates the external version string for a flow, given the model and the already parsed dictionary of sub-components. Retrieves the external version of all sub-components, which themselves already contain all requirements for their sub-components. The external version string is a sorted concatenation of all modules which are present in the run.

Parameters: model: Any - The model from which the string is being

created.

sub_components: Dict[String, OpenMLFlow] - A dictionary containing the sub-components of the model.

A String containing the external version of all sub-

Returns: A String containing the external version of all sub-

components of the model.

Precondition: can_handle_model(model) = True.

Postcondition: The string is correctly created for the flow from the

model and its sub-components.

SRF - 2.10 Must have

_get_parameters(model): OrderedDict

Constructs a dictionary with the parameters of the Keras model.

Parameters: model: Any - The Keras machine learning model from

which the parameters are obtained.

Returns: An OrderedDict containing the parameters of the

model.

Precondition: can_handle_model(model) = True.

Postcondition: The parameters of the serialized Keras model are re-

turned in an ordered dictionary format.

SRF - 2.11 Must have

_extract_information_from_model(model): Tuple

Extracts all necessary information (parameters and sub-components) for the OpenMLFlow object from the model.

Parameters: model: Any - The Keras machine learning model from

which the information is being extracted.

Returns: A Tuple of 4 elements: 1) Ordered dictionary with string

key and optional string value, 2) ordered dictionary with string key and optional dictionary value, 3) ordered dictionary with string key and OpenMLFlow, 4) a Set.

Precondition: can_handle_model(model) = True.

Postcondition: The information returned is sufficient for the Open-

MLFlow object creation or model to flow conversion.

SRF - 2.12 Must have

_deserialize_model(flow, keep_defaults, recursion_depth): Any

Deserializes an OpenML flow into a Keras model.

Parameters: flow: OpenMLFlow - OpenML flow being describilized.

keep_defaults: Boolean - Indicates whether default val-

ues should be kept or not.

recursion_depth: int - Stores the recursion depth.

Returns: A Keras model.

Precondition: *can_handle_flow(flow) = True*

Postcondition: *flow* is correctly deserialized to a Keras model.

SRF - 2.13 Must have

_check_dependencies(dependencies): None

Checks whether the dependencies required for the deserialization of an OpenMLFlow object are met.

Parameters: dependencies: String - A string representing the re-

quired dependencies.

Returns: None

Precondition: -

Postcondition: Raises an error if the dependencies cannot be read, the

current versions are lower than required or the depen-

dencies are not present or installed.

SRF - 2.14 Must have

_format_external_version(model_package_name, model_package_version_number): String

Returns a formatted string representing the necessary dependencies for a flow.

Parameters: model_package_name: String - The name of the re-

quired package.

model_package_version_number: String - The version of

the required package.

Returns: A String representing the necessary dependencies for

an OpenMLFlow object.

Precondition: -

Postcondition: The returned string contains the required dependen-

cies for a flow.

SRF - 2.15 Must have

_openml_param_name_to_keras(openml_parameter, flow): String

Converts the name of an OpenMLParameter into the Keras name, given a flow.

Parameters: openml_parameter: OpenMLParameter - The parameter

under consideration.

flow: OpenMLFlow - The flow that provides context.

Returns: A String containing the name of the structure of the

flow and the name of the openml_parameter.

Precondition: can_handle_flow(flow) = True

Postcondition: The returned string is a Keras name, converted from

the OpenMLParameter and the flow.

SRF - 2.16 Must have

_from_parameters(parameters): Any

Get a Keras model from flow parameters. Create a dictionary and recursively fill it with model components. First this is done for non-layer items, then layer items.

Parameters: parameters: Ordered dictionary - Flow parameters

from which the Keras model is retrieved.

Returns: A Keras model

Precondition: -

Postcondition: A Keras model is generated from the passed Open-

MLFlow parameters.

3.3 PyTorchExtension

SRF - 3.1 Must have

DEPENDENCIES_PATTERN: Regex

Regex pattern of the required dependencies.

SRF - 3.2 Must have

SIMPLE_NUMPY_TYPES: List[Type]

List that holds numpy types except types in the category "others".

SRF - 3.3 Must have

SIMPLE_TYPES: Tuple(Type)

Tuple that holds SIMPLE_NUMPY_TYPES and simple types such as String, int, Boolean.

SRF - 3.4 Must have

_deserialize_pytorch(o, components = None, initialize_with_defaults = False, recursion_depth = 0): Any

Recursive function to deserialize an OpenMLFlow corresponding to a Py-Torch machine learning model. This function delegates all work to the respective functions to deserialize special data structures etc.

Parameters: o: Any - The object to deserialize (can be flow object, or

any serialized parameter value that is accepted).

components: Dictionary - Describes the components.

initialize_with_defaults: Optional[Boolean] = False - If
this flag is set, the hyperparameter values of flows will
be ignored and a flow with its defaults is returned.

recursion_depth: Optional[int] = 0 - The depth at which
this flow is called, mostly for debugging purposes.

Returns: A PyTorch model.

Precondition: o must be an OpenMLFlow object or any serialized pa-

rameter value that is accepted.

Postcondition: The OpenMLFlow object is correctly describilized into

a PyTorch model.

SRF - 3.5 Must have

_serialize_pytorch(o, parent_model): Any

Recursive function to serialize a PyTorch model object.

Parameters: o: Any - The object to serialize (can be a model object,

or any descriping parameter value that is accepted). parent_model: Optional[Any] = None - A reference to

the parent model.

Returns: A serialized model.

Precondition: can_handle_model(o) = True

Postcondition: The model is correctly serialized and returned.

SRF - 3.6 Must have

_is_pytorch_flow(flow): Boolean

Checks whether the flow was originally a PyTorch model.

Parameters: flow: OpenMLFlow - The OpenMLFlow object which is

being checked.

Returns: A Boolean value depending on whether the flow has

been originally a PyTorch model.

Precondition: can_handle_flow(flow) = True.

Postcondition: True is returned if the flow was originally a PyTorch

model and False otherwise.

SRF - 3.7 Must have

_serialize_model(model): OpenMLFlow

Calls *model_to_flow* (for PyTorch) recursively (mutual recursion) to properly serialize the parameters to strings and the components (other models) to OpenML flows.

Parameters: *model*: Any - PyTorch estimator.

Returns: An OpenMLFlow object.

Precondition: can_handle_model(model) = True.

Postcondition: A valid OpenMLFlow object is returned.

SRF - 3.8 Must have

_get_external_version_string(model, sub_components): String

Creates external version string for a flow, given the model and the already parsed dictionary of sub-components. Retrieves the external version of all sub-components, which themselves already contain all requirements for their sub-components. The external version string is a sorted concatenation of all modules which are present in the run.

Returns:

Parameters: model: Any - The model from which the string is being

created.

sub_components: Dict[String, OpenMLFlow] - A dictionary containing the sub-components of the model. A String containing the external version of all sub-

components of the model.

Precondition: can_handle_model(model) = True.

Postcondition: The string is correctly created for the flow from the

model and its sub-components.

SRF - 3.9 Must have

_check_multiple_occurence_of_component_in_flow(model, sub_components): None Checks if there is more than one occurrence of a component in a flow.

Parameters: model: Any - The model whose components are checked.

> sub_components: Dict[String, OpenMLFlow] - A dictionary containing the sub-components of the model.

Returns:

Precondition: can_handle_model(model) = True.

Postcondition: There are no double occurrences of any of the compo-

nents, otherwise a value error is raised.

SRF - 3.10 Must have

_is_container_module(module): Boolean

Checks if a module is a Sequential container, ModuleDict container or a ModuleList container.

Parameters: module: torch.nn.Module - The module being checked. Returns: A Boolean value indicating if module is a Sequential

container. ModuleDict container or a ModuleList con-

tainer.

Precondition:

Postcondition: True is returned if module is a Sequential container,

ModuleDict container or a ModuleList container, oth-

erwise False is returned.

SRF - 3.11 Must have

_get_module_hyperparameters(module, parameters): Dict[String, Any] Finds the hyperparameters of a module.

Parameters: module: torch.nn.Module - The module whose hyper-

parameters are obtained.

parameters: Dict[String, torch.nn.Parameter] -

The parameters of the module.

Returns: A Dictionary of the hyperparameters of *module*.

Precondition: -

Postcondition: The returned dictionary has all the hyperparameters of

the module.

SRF - 3.12 Must have

_get_module_descriptors(module, deep = True): Dict[String, Any]
Finds the descriptors of a module.

Parameters: module: torch.nn.Module - The module whose descrip-

tors are needed.

deep: Boolean = True - This flag is set to True only if the hyper-parameters of the module need to be included. If the hyper-parameters and the sub-modules are included (used for verificatrion performed by OpenML) it

is set to False.

Returns: A Dict of the module descriptors. **Precondition:** can_handle_model(model) = True.

Postcondition: The returned dictionary has all the required descriptors

of the module.

SRF - 3.13 Must have

_extract_information_from_model(model): Tuple

Extracts all necessary information (parameters and sub-components) for the OpenMLFlow object from the model.

Parameters: model: Any - The PyTorch machine learning model from

which the information is being extracted.

Returns: A Tuple of 4 elements: Ordered dictionary with string

key, and optional string value, ordered dictionary with string key and optional dictionary value, ordered dictionary with string key and OpenMLFlow and a Set.

Precondition: can handle model(model) = True.

Postcondition: The information returned is sufficient for the Open-

MLFlow object creation or model to flow conversion.

SRF - 3.14 Must have

_get_fn_arguments_with_defaults(fn_name): Tuple[Dict, Set]

Obtains all the parameter names of a function together with their default values.

Parameters: fn_name: Callable - The function of which the defaults

are obtained.

Returns: A Tuple consisting of a dictionary mapping a parameter

name to the default value and a set with all parameters

that do not have a default value.

Precondition: -

Postcondition: All the parameter names are found and their defaults

are set correctly.

SRF - 3.15 Must have

_deserialize_model(flow, keep_defaults, recursion_depth): Any

Deserializes an OpenML flow into a PyTorch model.

Parameters: flow: OpenMLFlow - The OpenML flow being serialized.

keep_defaults: Boolean - Indicates whether default val-

ues should be kept or not.

recursion_depth: int - Stores the recursion depth.

Returns: A PyTorch model.

Precondition: can_handle_flow(flow) = True

Postcondition: *flow* is correctly descrialized into a PyTorch model.

SRF - 3.16 Must have

_check_dependencies(dependencies): None

Checks whether the dependencies required for the deserialization of an OpenMLFlow object are met.

Parameters: dependencies: String - A string representing the re-

quired dependencies.

Returns: None
Precondition: -

Postcondition: Raises an error if the dependencies cannot be read, the

current versions are lower than required or the depen-

dencies are not present or installed.

SRF - 3.17 Must have

_serialize_type(o): OrderedDict[String, String]

Converts a given type into a string representation of it.

Parameters: o: Any - The type being serialized.

Returns: An OrderedDict containing the serialized type as a

string.

Precondition: -

Postcondition: The type matches the string.

SRF - 3.18 Must have

_deserialize_type(o): Any

Converts a string representation of a type into an instance of that type.

Parameters: *o*: String - The type being serialized.

Returns: A type describilized from o.

Precondition: -

Postcondition: The string matches the type.

SRF - 3.19 Must have

_serialize_function(o): OrderedDict[String, String]

Converts a given function o into a string representation of that function.

Parameters: o: Callable - Function to be serialized.

Returns: An OrderedDict containing the serialized function as a

string.

Precondition: -

Postcondition: The serialized string matches the function o.

SRF - 3.20 Must have

_deserialize_function(name): Callable

Converts a given string name of a function into the function.

Parameters: o: Callable - Function to be serialized.

Returns: A Callable object representing the deserialized func-

tion.

Precondition: -

Postcondition: The descrialized function matches the string represen-

tation.

SRF - 3.21 Must have

_serialize_methoddescriptor(o): OrderedDict[str, str]

Creates a string representation of a method descriptor.

Parameters: o: Any - Method descriptor.

Returns: An OrderedDict of the serialized method descriptor o.

Precondition: inspect.ismethoddescriptor(o) = True

Postcondition: The serialized version of the method descrip-

tor can be used by the function _deserialize_methoddescriptor(name) to recover the method

descriptor.

SRF - 3.22 Must have

_deserialize_methoddescriptor(name): Any

Turns a string representation of a method descriptor to a valid method descriptor.

Parameters: name: String - A string representing a method descrip-

tor

Returns: A a method descriptor.

Precondition: name is a valid string representation of a method de-

scriptor.

Postcondition: The name is correctly describilized into a valid method

descriptor.

SRF - 3.23 Must have

_format_external_version(model_package_name, model_package_version_number):
String

Returns a formatted string representing the necessary dependencies for a flow.

Parameters: model_package_name: String - The name of the re-

quired package.

model_package_version_number: String - The version of

the required package.

Returns: A String representing the necessary dependencies for

an OpenMLFlow object.

Precondition: -

Postcondition: The returned string contains the required dependen-

cies for a flow.

SRF - 3.24 Must have

_get_parameter_values_recursive(param_grid, parameter_name): List[Any] Creates a list of values for a given hyperparameter, encountered recursively throughout the flow. (e.g., n_jobs can be defined for various flows).

Parameters: param_grid: Union[Dict, List[Dict]] - Dictionary

mapping from a hyperparameter list to value, to a list of

such dictionaries.

parameter_name: String - The hyperparameter that

needs to be inspected.

Returns: A list of all values of hyperparameters with the name of

parameter_name.

Precondition: -

Postcondition: All the values of the hyperparameters with the given

parameter_name are included in the list.

SRF - 3.25 Must have

_openml_param_name_to_pytorch(openml_parameter, flow): String

Converts the name of an OpenMLParameter into the PyTorch name, given a flow.

Parameters: openml_parameter: OpenMLParameter - The parameter

under consideration.

flow: OpenMLFlow - The flow that provides context.

Returns: A String containing the name of the structure of the

flow and the name of the openml parameter.

Precondition: *can handle flow(flow) = True*

Postcondition: The returned string is a PyTorch name, converted from

the OpenMLParamter and the flow.

SRF - 3.26 Must have

_default_criterion_gen(task): torch.nn.Module

Returns a criterion based on the task type.

Parameters: *task*: OpenMLTask - The task whose criterion is returned.

Returns: A torch.nn.Module as a criterion of the task.

Precondition: -

Postcondition: If task is a regression task - torch.nn.SmoothL1Loss

is returned, if task is a classification task -

torch.nn.CrossEntropyLoss is returned.

SRF - 3.27 Must have

_default_optimizer_gen(model, _): torch.optim.Optimizer

Returns an optimizer for the given model.

Parameters: model: torch.nn.Module - The model whose optimizer

is returned.

_: OpenMLTask - Task which the user can pass as a parameter if creating their own implementation of this

function.

Returns: Returns the torch.optim.Adam optimizer for *model*.

Precondition: -

Postcondition: The returned optimizer is correct given the parameters

of model.

SRF - 3.28 Must have

_default_scheduler_gen(optim, _): Any

Returns a scheduler for the given optimizer.

Parameters: optim: torch.optim.Optimizer - The model whose op-

timizer is returned.

_: OpenMLTask - Task which the user can pass as a parameter if creating their own implementation of this

function.

Returns: Returns the torch.optim.lr_scheduler.ReduceLROnPlateau

optimizer for optim.

Precondition: -

Postcondition: The returned scheduler is correct given the optimizer.

SRF - 3.29 Must have

_default_predict(output, task): torch.Tensor

Returns predictions given the outputs of the model.

Parameters: *output*: torch.Tensor - The output tensor.

task: OpenMLTask - The task that needs to be performed.

Returns: A torch.Tensor representing the prediction.

Precondition: -

Postcondition: If task is a classification task, the argmax of the output

is returned, if task is a regression task the prediction is

flattened.

SRF - 3.30 Must have

_default_predict_proba(output): torch.Tensor

Returns predictions given the outputs of the model as a tensor of probabilities for each class.

Parameters: output: torch. Tensor - The output tensor.

Returns: A torch. Tensor representing the prediction, where all

the entries are probabilities in the interval [0,1].

Precondition: -

Postcondition: The returned tensor is obtained by the output using

"softmax".

SRF - 3.31 Must have

_default_sanitize(tensor): torch.Tensor

Sanitizes the input data in order to ensure that models can be trained safely.

Parameters: tensor: torch. Tensor - The input tensor.

Returns: A torch.Tensor representing the sanitized version of

tensor.

Precondition: -

Postcondition: The returned tensor has no unassigned values.

SRF - 3.32 Must have

_default_retype_labels(tensor, task): torch.Tensor

Changes the types of the labels in order to ensure type compatibility.

Parameters: tensor: torch. Tensor - The input tensor.

task: OpenMLTask - The task that needs to be performed.

Returns: A torch. Tensor with compatible label types.

Precondition: -

Postcondition: If task is a classification task the labels are turned into a

torch.(cuda.)LongTensor, if *task* is a regression task the labels are turned into a torch.(cuda.)FloatTensor.

SRF - 3.33 Must have

_default_progress_callback(fold, rep, epoch, step, loss, accuracy): None Reports the current progress when a training step is finished.

Parameters: *fold*: int - The current fold.

rep: int - Number of repetitions done so far.

epoch: int - The current epoch.
step: int - The current step.

loss: float - The loss of this training step.

accuracy: float - The accuracy of this training step.

Returns: None

Precondition: A training step is finished.

Postcondition: The progress is reported correctly.

3.4 ONNXExtension

SRF - 4.1 Must have

DEPENDENCIES_PATTERN: Regex

Regex pattern of the required dependencies.

SRF - 4.2 Must have

ONNX_FILE_PATH: String

String that contains the path to the ONNX library.

SRF - 4.3 Must have

ONNX_ATTR_TYPES: Dictionary

A dictionary containing the ONNX attribute tasks.

SRF - 4.4 Must have

_deserialize_onnx(flow, initialize_with_defaults = False): Any

A function to descrialize an OpenMLFlow corresponding to an ONNX specification.

Parameters: flow: OpenMLFlow - The object to describilize.

initialize_with_defaults: Optional[Boolean] = False - If this flag is set, the hyperparameter values of flows will be ignored and a flow with its defaults is returned.

Returns: An ONNX specification.

Precondition: *flow* must be an OpenMLFlow object.

Postcondition: The OpenMLFlow object is correctly describilized into

an ONNX specification.

SRF - 4.5 Must have

_serialize_onnx(model): Any

A function to serialize an ONNX specification object.

Parameters: model: Any - The object to serialize (can be a specifica-

tion object, or any deserialized parameter value that is

accepted).

Returns: A serialized specification. **Precondition:** can handle model(o) = True

Postcondition: The specification is correctly serialized and returned.

SRF - 4.6 Must have

_is_onnx_flow(flow): Boolean

Checks whether the flow was originally a ONNX specification.

Parameters: flow: OpenMLFlow - The OpenMLFlow object which is

being checked.

Returns: A Boolean value depending on whether the *flow* has

been originally an ONNX specification.

Precondition: *can_handle_flow(flow) = True*

Postcondition: True is returned if the flow was originally a ONNX spec-

ification and False otherwise.

SRF - 4.7 Must have

_get_external_version_string(model, sub_components): String

Creates external version string for a flow, given the specification and the already parsed dictionary of sub-components. Retrieves the external version of all sub-components, which themselves already contain all requirements for their sub-components. The external version string is a sorted concatenation of all specifications which are present in the run.

Parameters: model: Any - The specification from which the string is

being created.

sub_components: Dict[String, OpenMLFlow] - A dictionary containing the sub-components of the specifi-

cation.

Returns: A String containing the external version of all sub-

components of the specification.

Precondition: can_handle_model(model) = True.

Postcondition: The string is correctly created for the flow from the

specification and its sub-components.

SRF - 4.8 Must have

_get_parameters(model): Ordered dictionary

Constructs a dictionary with the parameters of the ONNX specification.

Parameters: model: Any - The ONNX machine learning specification

from which the parameters are obtained.

Returns: An Ordered Dictionary containing the parameters of

the specification.

Precondition: can_handle_model(model) = True.

Postcondition: The parameters of the serialized ONNX specification

are returned in an ordered dictionary format.

SRF - 4.9 Must have

_check_dependencies(dependencies): None

Checks whether the dependencies required for the deserialization of an OpenMLFlow object are met.

Parameters: dependencies: String - A string representing the re-

quired dependencies.

Returns: None

Precondition: -

Postcondition: Raises an error if the dependencies cannot be read, the

current versions are lower than required or the depen-

dencies are not present or installed.

SRF - 4.10 Must have

_format_external_version(model_package_name, model_package_version_number): String

Returns a formatted string representing the necessary dependencies for a flow.

Parameters: model_package_name: String - The name of the re-

quired package.

model_package_version_number: String - The version of

the required package.

Returns: A String representing the necessary dependencies for

an OpenMLFlow object.

Precondition: -

Postcondition: The returned string contains the required dependen-

cies for a flow.

SRF - 4.11 Must have

_default_criterion_gen(task): gluon.loss.Loss

Returns a criterion based on the task type.

Parameters: task: OpenMLTask - The task whose criterion is returned.

Returns: A gluon.loss.Loss as a criterion of the task.

Precondition: -

Postcondition: If task is a regression task - gluon.loss.L2Loss

is returned, if *task* is a classification task - gluon.loss.SoftmaxCrossEntropyLoss is returned.

3.5 MXNetExtension

SRF - 5.1 Must have

DEPENDENCIES_PATTERN: Regex

Regex pattern of the required dependencies.

SRF - 5.2 Must have

SIMPLE_NUMPY_TYPES: List[Type]

List that holds numpy types except types in the category "others".

SRF - 5.3 Must have

SIMPLE_TYPES: Tuple(Type)

Tuple that holds SIMPLE_NUMPY_TYPES and simple types such as String, int, Boolean.

SRF - 5.4 Must have

_serialize_mxnet(o, parent_model): Any

Recursive function to serialize a MXNet model object.

Parameters: o: Any - The object to serialize (can be a model object,

or any deserialized parameter value that is accepted). parent_model: Optional[Any] = None - A reference to

the parent model.

Returns: A serialized model.

Precondition: can handle model(o) = True

Postcondition: The model is correctly serialized and returned.

SRF - 5.5 Must have

_is_mxnet_flow(flow): Boolean

Checks whether the *flow* was originally a MXNet model.

Parameters: flow: OpenMLFlow - The OpenMLFlow object which is

being checked.

Returns: A Boolean value depending on whether the flow has

been originally a MXNet model.

Precondition: *can_handle_flow(flow) = True.*

Postcondition: True is returned if the flow was originally a MXNet

model and False otherwise.

SRF - 5.6 Must have

_serialize_model(model): OpenMLFlow

Returns:

Calls model_to_flow (for MXNet) recursively (mutual recursion) to properly serialize the parameters to strings and the components (other models) to OpenML flows.

Parameters: model: Any - MXNet network.

Returns: An OpenMLFlow object.

Precondition: can_handle_model(model) = True.

Postcondition: A valid OpenMLFlow object is returned.

SRF - 5.7 Must have

_get_external_version_string(model, sub_components): String

Creates external version string for a flow, given the model and the already parsed dictionary of sub-components. Retrieves the external version of all sub-components, which themselves already contain all requirements for their sub-components. The external version string is a sorted concatenation of all modules which are present in the run.

Parameters: model: Any - The model from which the string is being

created.

sub_components: Dict[String, OpenMLFlow] - A dictionary containing the sub-components of the model.A String containing the external version of all sub-

components of the *model*.

Precondition: can_handle_model(model) = True.

Postcondition: The string is correctly created for the flow from the

model and its sub-components.

SRF - 5.8 Must have

_get_symbolic_configuration(model): OrderedDict[str, Any]

Extracts the nodes of an MXNet model and their order and creates a configuration of the model in the form of an ordered dictionary.

Parameters: model: mxnet.gluon.HybridBlock - The model from

which the string is being created.

Returns: An OrderedDict representing the configuration of the

model.

Precondition: can_handle_model(model) = True.

Postcondition: The MXNet model can be reconstructed from the re-

turned configuration.

SRF - 5.9 Must have

_from_symbolic_configuration(configuration): mxnet.gluon.HybridBlock Retrieves the MXNet model from its configuration.

Parameters: configuration: OrderedDict[str, Any] - The configura-

tion from which the model is retrieved.

Returns: A mxnet.gluon.HybridBlock representing the MXNet

model.

Precondition: -

Postcondition: The returned model is correctly retrieved from the con-

figuration.

SRF - 5.10 Must have

_extract_information_from_model(model): Tuple

Extracts all necessary information (parameters and sub-components) for the OpenMLFlow object from the model.

Parameters: model: Any - The MXNet machine learning model from

which the information is being extracted.

Returns: A Tuple of 4 elements: Ordered dictionary with string

key, and optional string value, ordered dictionary with string key and optional dictionary value, ordered dictionary with string key and OpenMLFlow and a Set.

Precondition: can handle model(model) = True.

Postcondition: The information returned is sufficient for the Open-

MLFlow object creation or model to flow conversion.

SRF - 5.11 Must have

_deserialize_model(flow, keep_defaults): Any

Deserializes an OpenML flow into a MXNet model.

Parameters: flow: OpenMLFlow - The OpenML flow being serialized.

keep defaults: Boolean - Indicates whether default val-

ues should be kept or not.

Returns: A MXNet model.

Precondition: can_handle_flow(flow) = True

Postcondition: *flow* is correctly describilized into a MXNet model.

SRF - 5.12 Must have

_check_dependencies(dependencies): None

Checks whether the dependencies required for the deserialization of an OpenMLFlow object are met.

Parameters: dependencies: String - A string representing the re-

quired dependencies.

Returns: None

Precondition: -

Postcondition: Raises an error if the dependencies cannot be read, the

current versions are lower than required or the depen-

dencies are not present or installed.

SRF - 5.13 Must have

_format_external_version(model_package_name, model_package_version_number): String

Returns a formatted string representing the necessary dependencies for a flow.

Parameters: model_package_name: String - The name of the re-

quired package.

model_package_version_number: String - The version of

the required package.

Returns: A String representing the necessary dependencies for

an OpenMLFlow object.

Precondition: -

Postcondition: The returned string contains the required dependen-

cies for a flow.

SRF - 5.14 Must have

_default_criterion_gen(task): mxnet.gluon.loss.Loss

Returns a criterion based on the task type.

Parameters: task: OpenMLTask - The task whose criterion is returned. **Returns:** A mxnet.gluon.loss.Loss as a criterion of the task.

Precondition: -

Postcondition: If task is a regression task - mxnet.gluon.loss.L1Loss

is returned, if *task* is a classification task - mxnet.gluon.loss.SoftmaxCrossEntropyLoss is re-

turned.

SRF - 5.15 Must have

_default_optimizer_gen(lr_scheduler, _): torch.optim.Optimizer Returns an optimizer for the given task. **Parameters:** *Ir_scheduler*: mxnet.lr_scheduler.LRScheduler - The

scheduler that can be used for a given task.

_: OpenMLTask - Task which the user can pass as a parameter if creating their own implementation of this

function.

Returns: Returns the mxnet.optimizer.Adam optimizer for *model*.

Precondition: -

Postcondition: The returned optimizer is correct for the given task.

SRF - 5.16 Must have

_default_scheduler_gen(_): Optional [mxnet.lr_scheduler.LRScheduler] Returns the None scheduler for a given task.

Parameters: _: OpenMLTask - Task which the user can pass as a pa-

rameter if creating their own implementation of this

function.

Returns: Returns a None mxnet.lr_scheduler.LRScheduler sched-

uler for a given task.

Precondition: -

Postcondition: The returned scheduler is None.

SRF - 5.17 Must have

_default_predict(output, task): Union[mxnet.ndarray.NDArray, mxnet.symbol.Symbol]

Returns predictions given the outputs of the model.

Parameters: output: Union[mxnet.ndarray.NDArray,

mxnet.symbol.Symbol] - The output of the model.

 $\textit{task} \colon \mathtt{OpenMLTask} \cdot \mathsf{The} \ \mathsf{task} \ \mathsf{that} \ \mathsf{needs} \ \mathsf{to} \ \mathsf{be} \ \mathsf{performed}.$

Returns: A Union[mxnet.ndarray.NDArray,

mxnet.symbol.Symbol] representing the prediction.

Precondition: -

Postcondition: If task is a classification task, the argmax of the output

is returned, if task is a regression task the prediction is

flattened.

SRF - 5.18 Must have

_default_predict_proba(output): Union[mxnet.ndarray.NDArray, mxnet.symbol.Symbol]

Returns predictions given the outputs of the model as probabilities for each class.

mxnet.symbol.Symbol] - The output of the model.

Returns: A Union[mxnet.ndarray.NDArray,

mxnet.symbol.Symbol] representing the prediction, where all the entries are probabilities in the

interval [0,1].

Precondition: -

Postcondition: The returned output is obtained by the *output* using

"softmax".

SRF - 5.19 Must have

_default_sanitize(output): mxnet.ndarray.NDArray

Sanitizes the input data in order to ensure that models can be trained safely.

Parameters: output: mxnet.ndarray.NDArray - The input data.

Returns: A mxnet.ndarray.NDArray representing the sanitized

version of the input data.

Precondition: -

Postcondition: The returned output has no unassigned values.

SRF - 5.20 Must have

_default_metric_gen(task): mxnet.metric.EvalMetric

Returns a composite metric for the given task.

Parameters: task: OpenMLTask - The task that needs to be performed.

Returns: A mxnet.metric.EvalMetric with the metrics for the

task.

Precondition: -

Postcondition: If task is a classification task, the metric is composed

of accuracy, if *task* is a classification task the metric is composed of mean squared error, mean absolute error

and root mean squared error.

SRF - 5.21 Must have

_default_progress_callback(fold, rep, epoch, step, loss, metric): None Reports the current progress when a training step is finished.

Parameters: *fold*: int - The current fold.

rep: int - Number of repetitions done so far.

epoch: int - The current epoch.
step: int - The current step.

loss: mxnet.ndarray.NDArray - The loss of this training

step.

metric: mxnet.metric.EvalMetric - The metric of this

training step.

Returns: None

Precondition: A training step is finished.

Postcondition: The progress is reported correctly.

3.6 Visualization

SRF - 6.1 Must have

run: OpenMLRun

Stores the run to be visualized.

SRF - 6.2 Must have

flow: OpenMLFlow

Stores the flow to be visualized.

SRF - 6.3 Must have

view: View

The corresponding HTML rendered page that is shown for the visualization of the flow and run.

SRF - 6.4 Must have

load_run(run_id): Tuple[String, List, List, String]

This function gets the *run* from the OpenML website given the *run_id*. Then it extracts and stores all the necessary data that is needed to visualize the *run* by using *get_training_data(url, run_id)*.

Parameters: run_id: int - The id of the run that is to be visualized.

Returns: Returns a tuple containing the data extracted from the

run, a list indicating the presence of errors, a list of visualizable metrics for the run depending on the type of

task, and the default metric to be displayed.

Precondition: There exists a run on the OpenML website with an id =

run id. The run contains the associated training data.

Postcondition: The data extracted from the downloaded *run* is correct

and stored correctly.

SRF - 6.5 Must have

load_flow(flow_id): Tuple[String, List]

This function gets the flow from the OpenML website given the flow_id. Then it extracts and stores all the necessary data that is needed to visualize the flow. Furthermore, this function uses get_onnx_model(flow.model) to create the ONNX specification which is later visualized.

Parameters: flow_id: int - The id of the flow that is to be visualized. **Returns:** Returns a tuple containing the data extracted from the

flow and a list indicating the presence of errors.

Precondition: There exists a OpenML flow on the OpenML website

with an id = flow_id. The model associated with the flow

can be converted into an ONNX representation.

Postcondition: The data extracted from the downloaded *flow* is correct

and stored correctly.

SRF - 6.6 Must have

update_flow_graph(n_clicks, flow_data_json, nr_loads): Tuple[html.Iframe,
int]

Displays the flow in the window for visualization.

Parameters: *n_clicks*: int - Represents the number of times the "Load"

flow" button has been clicked.

flow_data_json: String - Contains the data to be visual-

ized.

nr_loads: int - How many times a *flow* has been loaded.

Returns: A tuple containing the html. If rame that contains the

visualized layers of the flow and flow id.

Precondition: The flow_data_json contains the information needed to

visualize the flow.

Postcondition: The flow is correctly visualized.

SRF - 6.7 Must have

update_run_graph(n_clicks, metric, flow_data_json, nr_loads): Tuple[Figure, String]

Displays a graph for the selected metric in the window for visualization.

Parameters: *n clicks*: int - Represents the number of times the "Load

run" button has been clicked.

metric: String - The metric to be visualized.

run_data_json: String - Contains the data to be visual-

ized.

nr_loads: int - How many times a *run* has been loaded.

Returns: Return a tuple with the figure and metric to be dis-

played.

Precondition: The run_data_json is not empty and there is a valid se-

lected metric.

Postcondition: The run is correctly visualized.

SRF - 6.8 Must have

get_training_data(url, run_id): pandas.DataFrame

Used in **SRF** - 6.4 to extract the training data for the *run*.

Parameters: *url*: String - Represents the *url* from where the training

data for the run can be found.

run_id: int - The id of the run that is to be visualized.

Returns: Return the training data of the *run* with run id = *run_id*.

Precondition: There exists a run on the OpenML website with an id

= run_id. The run contains the associated training data and the url must be a valid url leading to the training

data of the run.

Postcondition: The training data is correctly extracted.

SRF - 6.9 Must have

get_onnx_model(model): onnx.ModelProto

Used in **SRF** - 6.5 to create an ONNX representation from the given *model*.

Parameters: model: Any - The model to be represented as an ONNX

representation.

Returns: Returns an ONNX representation of the *model*.

Precondition: The *model* is of type keras.model.Model,

torch.nn.Module, mx.gluon.nn.HybridBlock,

onnx.ModelProtol.

Postcondition: The ONNX specification is correctly instantiated and

corresponds to the model.

4 | Requirements Traceability Matrix

4.1 Software Requirements to User Requirements

SRF	URF	
SRF - 1.1	URF - 02, URF - 04, URF - 06, URF - 08, URF - 10, URF - 12, URF - 14, URF - 16	
SRF - 1.2	URF - 01, URF - 03, URF - 05, URF - 07, URF - 09, URF - 11, URF - 13, URF - 15	
SRF - 1.3	URF - 02, URF - 04, URF - 06, URF - 08, URF - 10, URF - 12, URF - 14, URF - 16, URF - 17	
SRF - 1.4	URF - 01, URF - 03, URF - 05, URF - 07, URF - 09, URF - 11, URF - 13, URF - 15, URF - 17	
SRF - 1.5	URF - 20, URF - 21, URF - 22, URF - 23, URF - 24, URF - 25	
SRF - 1.6	URF - 20, URF - 21, URF - 22, URF - 23, URF - 24, URF - 25	
SRF - 1.7	URF - 01, URF - 03, URF - 05, URF - 07, URF - 09, URF - 11, URF - 13, URF - 15, URF - 20, URF - 21, URF - 22, URF - 23, URF - 24, URF - 25	
SRF - 1.8	URF - 22, URF - 23	
SRF - 1.9	URF - 20, URF - 21, URF - 22, URF - 23, URF - 24, URF - 25	
SRF - 1.10	URF - to be added in URD	
SRF - 1.11	URF - 20, URF - 21, URF - 22, URF - 23, URF - 24, URF - 25	
SRF - 2.1	URF - 04	
SRF - 2.2	URF - 03	
SRF - 2.3	URF - 03	
SRF - 2.4	URF - 04	
SRF - 2.5	URF - 04	
SRF - 2.6	URF - 03	
SRF - 2.7	URF - 04	
SRF - 2.8	URF - 03	
SRF - 2.9	URF - 03	
SRF - 2.10	URF - 03	
SRF - 2.11	URF - 03	
SRF - 2.12	URF - 04	
SRF - 2.13	URF - 04	

SRF - 2.14	URF - 03
SRF - 2.15	URF - 04
SRF - 2.16	URF - 04
SRF - 3.1	URF - 06
SRF - 3.2	URF - 05
SRF - 3.3	URF - 05
SRF - 3.4	URF - 06
SRF - 3.5	URF - 05
SRF - 3.6	URF - 06
SRF - 3.7	URF - 05
SRF - 3.8	URF - 05
SRF - 3.9	URF - 05
SRF - 3.10	URF - 05, URF - 06
SRF - 3.11	URF - 05
SRF - 3.12	URF - 05
SRF - 3.13	URF - 05
SRF - 3.14	URF - 06
SRF - 3.15	URF - 06
SRF - 3.16	URF - 06
SRF - 3.17	URF - 05
SRF - 3.18	URF - 06
SRF - 3.19	URF - 05
SRF - 3.20	URF - 06
SRF - 3.21	URF - 05
SRF - 3.22	URF - 06
SRF - 3.23	URF - 05
SRF - 3.24	URF - 05
SRF - 3.25	URF - 06
SRF - 3.26	URF - 22, URF - 23
SRF - 3.27	URF - 22, URF - 23
SRF - 3.28	URF - 22, URF - 23
SRF - 3.29	URF - 22, URF - 23
SRF - 3.30	URF - 22, URF - 23
SRF - 3.31	URF - 22, URF - 23
SRF - 3.32	URF - 22, URF - 23
SRF - 3.33	URF - 22, URF - 23

SRF - 4.1	URF - 02	
SRF - 4.2	URF - 01, URF - 02	
SRF - 4.3	URF - 01, URF - 02	
SRF - 4.4	URF - 02	
SRF - 4.5	URF - 01	
SRF - 4.6	URF - 02	
SRF - 4.7	URF - 01	
SRF - 4.8	URF - 01	
SRF - 4.9	URF - 02	
SRF - 4.10	URF - 01	
SRF - 4.11	URF - for ONNX classification tasks (ADD IN URD), URF - for ONNX regression tasks (ADD IN URD)	
SRF - 5.1	URF - 10	
SRF - 5.2	URF - 09	
SRF - 5.3	URF - 09	
SRF - 5.4	URF - 09	
SRF - 5.5	URF - 10	
SRF - 5.6	URF - 09	
SRF - 5.7	URF - 09	
SRF - 5.8	URF - 09	
SRF - 5.9	URF - 10	
SRF - 5.10	URF - 09	
SRF - 5.11	URF - 10	
SRF - 5.12	URF - 10	
SRF - 5.13	URF - 09	
SRF - 5.14	URF - 24, URF - 25	
SRF - 5.15	URF - 24, URF - 25	
SRF - 5.16	URF - 24, URF - 25	
SRF - 5.17	URF - 24, URF - 25	
SRF - 5.18	URF - 24, URF - 25	
SRF - 5.19	URF - 24, URF - 25	
SRF - 5.20	URF - 24, URF - 25	
SRF - 5.21	URF - 24, URF - 25	
SRF - 6.1	URF - 18	
SRF - 6.2	URF - 19	
SRF - 6.3	URF - 18, URF - 19	
SRF - 6.4	URF - 18	

SRF - 6.5	URF - 19	
SRF - 6.6	URF - 19	
SRF - 6.7	URF - 18	
SRF - 6.8	URF - 18	
SRF - 6.9	URF - 19	

4.2 User Requirements to Software Requirements

URF	SRF	
URF - 01	SRF - 1.2, SRF - 1.4, SRF - 1.7, SRF - 4.2, SRF - 4.3, SRF - 4.5, SRF - 4.7, SRF - 4.8, SRF - 4.10	
URF - 02	SRF - 1.1, SRF - 1.3, SRF - 4.1, SRF - 4.2, SRF - 4.3, SRF - 4.4, SRF - 4.6, SRF - 4.9	
URF - 03	SRF - 1.2, SRF - 1.4, SRF - 1.7, SRF - 2.2, SRF - 2.3, SRF - 2.6, SRF - 2.8, SRF - 2.9, SRF - 2.10, SRF - 2.11, SRF - 2.14	
URF - 04	SRF - 1.1, SRF - 1.3, SRF - 2.1, SRF - 2.4 SRF - 2.5, SRF - 2.7, SRF - 2.12, SRF - 2.13, SRF - 2.15, SRF - 2.16	
URF - 05	SRF - 1.2, SRF - 1.4, SRF - 1.7, SRF - 3.2, SRF - 3.3, SRF - 3.5, SRF - 3.7, SRF - 3.8, SRF - 3.9, SRF - 3.10, SRF - 3.11, SRF - 3.12, SRF - 3.13, SRF - 3.17, SRF - 3.19, SRF - 3.21, SRF - 3.23, SRF - 3.24	
URF - 06	SRF - 1.1, SRF - 1.3, SRF - 3.1, SRF - 3.4, SRF - 3.6, SRF - 3.10, SRF - 3.14, SRF - 3.15, SRF - 3.16, SRF - 3.18, SRF - 3.20, SRF - 3.22, SRF - 3.25	
URF - 07	SRF - 1.2, SRF - 1.4, SRF - 1.7	
URF - 08	SRF - 1.1, SRF - 1.3	
URF - 09	SRF - 1.2, SRF - 1.4, SRF - 1.7, SRF - 5.2, SRF - 5.3, SRF - 5.4, SRF - 5.6, SRF - 5.7, SRF - 5.8, SRF - 5.10, SRF - 5.13	
URF - 10	SRF - 1.1, SRF - 1.3, SRF - 5.1, SRF - 5.5, SRF - 5.9, SRF - 5.11, SRF - 5.12	
URF - 11	SRF - 1.2, SRF - 1.4, SRF - 1.7	
URF - 12	SRF - 1.1, SRF - 1.3	
URF - 13	SRF - 1.2, SRF - 1.4, SRF - 1.7	
URF - 14	SRF - 1.1, SRF - 1.3	
URF - 15	SRF - 1.2, SRF - 1.4, SRF - 1.7	
URF - 16	SRF - 1.1, SRF - 1.3	
URF - 17	SRF - 1.3, SRF - 1.4	
URF - 18	SRF - 6.1, SRF - 6.3, SRF - 6.4, SRF - 6.7, SRF - 6.8	
URF - 19	SRF - 6.2, SRF - 6.3, SRF - 6.5, SRF - 6.6, SRF - 6.9	

URF - 20	SRF - 1.5, SRF - 1.6, SRF - 1.7, SRF - 1.9, SRF - 1.11
URF - 21	SRF - 1.5, SRF - 1.6, SRF - 1.7, SRF - 1.9, SRF - 1.11
URF - 22	SRF - 1.5, SRF - 1.6, SRF - 1.7, SRF - 1.8, SRF - 1.9, SRF - 1.11, SRF - 3.26, SRF - 3.27, SRF - 3.28, SRF - 3.29, SRF - 3.30, SRF - 3.31, SRF - 3.32, SRF - 3.33
URF - 23	SRF - 1.5, SRF - 1.6, SRF - 1.7, SRF - 1.8, SRF - 1.9, SRF - 1.11, SRF - 3.26, SRF - 3.27, SRF - 3.28, SRF - 3.29, SRF - 3.30, SRF - 3.31, SRF - 3.32, SRF - 3.33
URF - 24	SRF - 1.5, SRF - 1.6, SRF - 1.7, SRF - 1.9, SRF - 1.11, SRF - 5.14, SRF - 5.15, SRF - 5.16, SRF - 5.17, SRF - 5.18, SRF - 5.19, SRF - 5.20, SRF - 5.21
URF - 25	SRF - 1.5, SRF - 1.6, SRF - 1.7, SRF - 1.9, SRF - 1.11, SRF - 5.14, SRF - 5.15, SRF - 5.16, SRF - 5.17, SRF - 5.18, SRF - 5.19, SRF - 5.20, SRF - 5.21

A | User Interface Mockups

In this appendix the user interface for the visualization module is represented.

A.1 Visualizing a run

Flow and run visualization



Figure A.1: Flow and run visualization starting screen

To visualize a run the user firstly needs to go to the starting screen of the visualization page, which looks as depicted on figure A.1. Then the user has to type a valid run id into the input field for run id as shown on figure A.2.

Flow and run visualization



Figure A.2: Typing a valid run id

After pressing the button "LOAD RUN" the user receives a feedback message that the run is loading. This message is shown on figure A.3.

Flow and run visualization 10228689 LOAD RUN Enter flow id LOAD FLOW Loading run information... Loss 4 3 2 1 0 -1 1 0 1 1 2 3 4 5 6

Figure A.3: Feedback message for loading a run

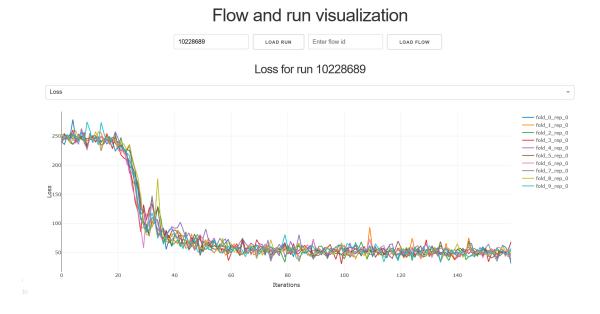


Figure A.4: Loss of a run for a regression task

If the run is associated with a regression task a graph similar to the one on figure A.4 will be shown, which by default depicts the loss of the given run for all the given folds. The user can select which folds they want to see on the graph by clicking on the lines at the right side of the graph on the figure. This can result in a graph like the one shown on figure A.5, which is the loss for one particular fold.

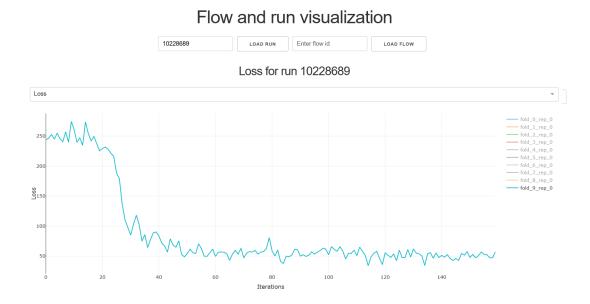


Figure A.5: Loss of a run for one fold for a regression task

If the user wants to choose another metric to plot, they can select one from the drop down menu, which can be seen on figure A.6. Regression tasks can have their loss, mean square error, mean absolute error and root mean square error plotted.

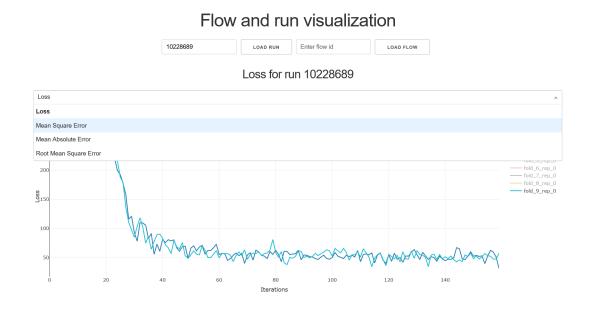


Figure A.6: Loss of a run for one fold for a regression task

For example the mean squared error can be selected from the drop down menu for the last fold and this will result in the graph, depicted in figure A.7.

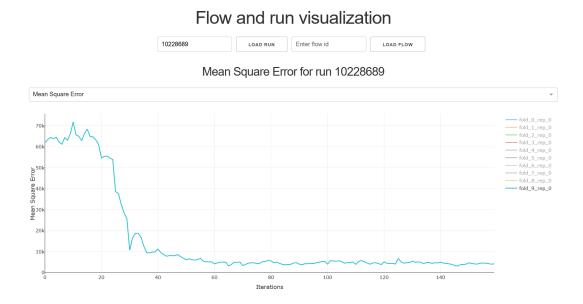


Figure A.7: Mean square error for one fold

If the user selects to visualize a run associated with a classification task, there are two metrics that can be plotted - loss and accuracy. The default one is the loss and will be displayed in a similar manner as on figure A.8. From a drop down men as the one shown previously on figure A.6 the user can select to have the accuracy plotted, resulting in figure A.9.

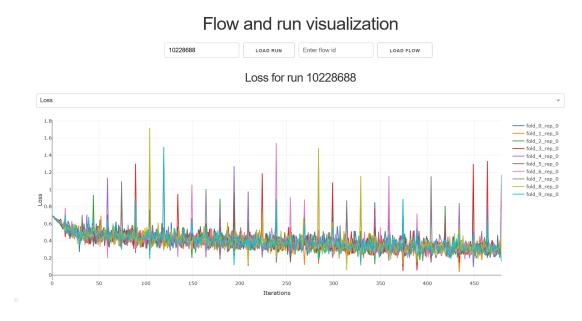


Figure A.8: Loss of a run for a classification task

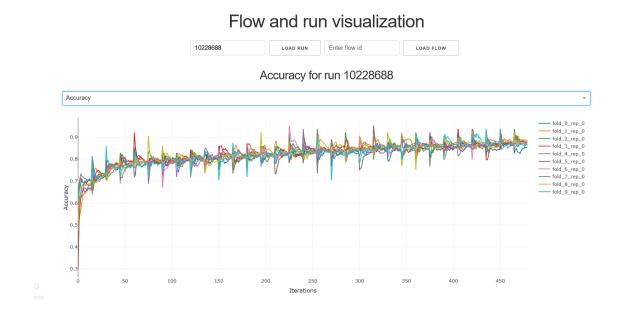


Figure A.9: Accuracy of a run for a classification task

The user can also select that they want to visualize only the mean of all the folds for a certain metric. This can be done by double clicking on "mean" at the right sight of figure A.10.

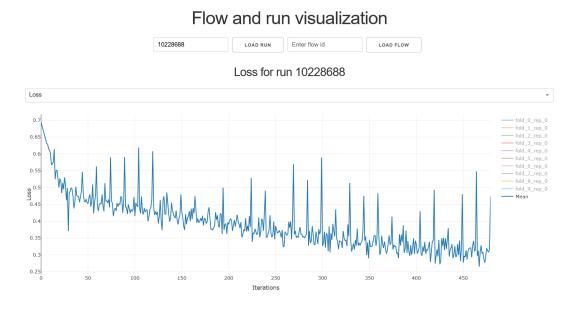


Figure A.10: Mean for loss

A.2 Visualizing a flow

If the user wants to visualize a flow, they need to type a valid OpenML flow id in the input field as shown on figure A.1. If this is done and the "LOAD FLOW" button is pressed a loading message will be shown as figure A.11 depicts.

Flow and run visualization



Loading flow information...

Figure A.11: Loading a flow

The visualization of the flow will look in a similar way as on figure A.12, depending on the given flow.

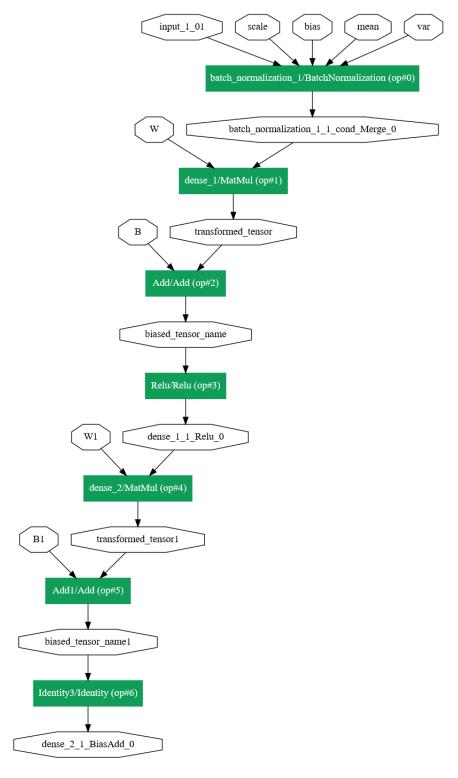


Figure A.12: Visualization of a flow

B | Transitions

The DL Extension Library's user interface contains only a **single** page as shown in A which can plot different graphs depending on the users choice. There are no transitions between different pages, thus defeating the purpose of this appendix.

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