## SHUN ZHANG

**Research interests:** Reinforcement learning; large language models; automatic code generation; value alignment.

#### **EXPERIENCE**

Research Scientist, MIT-IBM Watson AI Lab Postdoctoral Researcher, MIT-IBM Watson AI Lab Postdoctoral Researcher, IBM-NJIT Jun. 2022 - Present Oct. 2021 - Jun. 2022 Aug. 2020 - Oct. 2021

Research and publish academic papers on reinforcement learning and large language models, with a
focus on the applications of competitive-level code generation and AI for electric circuit design
automation.

**Graduate Research Assistant**, *University of Michigan* (Ann Arbor, MI)

Sep. 2015 - Apr. 2020

- Conducted research and published papers on **preference elicitation** and **AI safety in reinforcement learning**.
- Designed active learning algorithms to improve a learning agent's performance and guarantee safety in domains with uncertain objectives.

**Software Development Engineer Intern**, *Amazon* (Seattle, WA)

Jun. - Aug. 2014

• Created a WebRTC-related internal tool to resolve cross-departmental communication issues.

**Software Development Engineer Intern**, Semantic Designs (Austin, TX)

Jun. - Aug. 2013

• Created a user interface for a programming language analysis tool for better visualization.

#### **EDUCATION**

#### Ph.D. in Computer Science and Engineering, University of Michigan

Sep. 2015 - Apr. 2020

- Dissertation: Efficiently Finding Approximately-Optimal Queries for Improving Policies and Guaranteeing Safety
- Advisors: Satinder Singh, Edmund H. Durfee

#### M.S. in Computer Science, University of Texas at Austin

Aug. 2015

- Master thesis: Parameterized Modular Inverse Reinforcement Learning
- Committee members: Dana Ballard, Peter Stone

**B.S. in Computer Science**, *University of Texas at Austin* 

May 2014

#### **PUBLICATIONS**

• Adaptive Online Replanning with Diffusion Models

Siyuan Zhou, Yilun Du, **Shun Zhang**, Mengdi Xu, Yikang Shen, Wei Xiao, Dit-Yan Yeung, and Chuang Gan

Conference on Neural Information Processing Systems (NeurIPS), 2023

- Planning with Large Language Models for Code Generation
   Shun Zhang, Zhenfang Chen, Yikang Shen, Mingyu Ding, Joshua B. Tenenbaum, and Chuang Gan International Conference on Learning Representations (ICLR), 2023
   paper
- Hyper-Decision Transformer for Efficient Online Policy Adaptation Mengdi Xu, Yuchen Lu, Yikang Shen, Shun Zhang, Ding Zhao, and Chuang Gan

International Conference on Learning Representations (ICLR), 2023 paper

#### • Prompting Decision Transformer for Few-shot Policy Generalization

Mengdi Xu, Yikang Shen, **Shun Zhang**, Yuchen Lu, Ding Zhao, Joshua B. Tenenbaum, and Chuang Gan *International Conference on Machine Learning (ICML)*, 2022

paper

# • Power Converter Circuit Design Automation using Parallel Monte Carlo Tree Search Shaoze Fan, Shun Zhang, Jianbo Liu, Ningyuan Cao, Xiaoxiao Guo, Jing Li, and Xin Zhang ACM Transactions on Design Automation of Electronic Systems (TODAES), 2022 paper

# • From Specification to Topology: Automatic Power Converter Design via Reinforcement Learning Shaoze Fan, Ningyuan Cao, Shun Zhang, Jing Li, Xiaoxiao Guo, and Xin Zhang International Conference on Computer Aided Design (ICCAD), 2021 paper

# • Efficiently Finding Approximately-Optimal Queries for Improving Policies and Guaranteeing Safety

Shun Zhang

Ph.D. Dissertation, 2020

<u>paper</u>

## • Querying to Find a Safe Policy Under Uncertain Safety Constraints in Markov Decision Processes Shun Zhang, Edmund H. Durfee, and Satinder Singh

AAAI Conference on Artificial Intelligence (AAAI), 2020 paper

#### Minimax-Regret Querying on Side Effects for Safe Optimality in Factored Markov Decision Processes

**Shun Zhang**, Edmund H. Durfee, and Satinder Singh *International Joint Conference on Artificial Intelligence (IJCAI)*, 2018 paper

#### • Modeling Sensory-Motor Decisions in Natural Behavior

Ruohan Zhang, **Shun Zhang**, Matthew H. Tong, Yuchen Cui, Constatin A. Rothkopf, Dana H. Ballard, and Mary M. Hayhoe

PLoS Computational Biology, 2018

paper

## • Approximately-Optimal Queries for Planning in Reward-Uncertain Markov Decision Processes Shun Zhang, Edmund H. Durfee, and Satinder Singh

International Conference on Automated Planning and Scheduling (ICAPS), 2017 paper

#### • Determining Placements of Influencing Agents in a Flock

Katie Genter, **Shun Zhang**, and Peter Stone *Autonomous Agents and Multiagent Systems (AAMAS), 2015* paper

#### • Autonomous Intersection Management for Semi-Autonomous Vehicles

Tsz-Chiu Au, **Shun Zhang**, and Peter Stone *Handbook of Transportation*, 2015 paper

#### **ACADEMIC SERVICES**

#### **Conference Reviewer**

• IEEE ITSC 2014, AAAI 2019, AISTATS 2023-24, CVPR 2023, ICML 2023, NeurIPS 2023, ICLR 2024.

### **SKILLS**

#### Research

Reinforcement learning, optimization, deep learning, large language models, active learning, learning and planning under uncertainty.

### **Programming languages**

Proficient in Python (numpy, PyTorch). Experienced in Java, C++, C, Matlab.