### SHUN ZHANG

**Research interests:** Reinforcement learning; large language models; automatic code generation; value alignment.

### **EXPERIENCE**

Research Scientist, MIT-IBM Watson AI Lab Postdoctoral Researcher, MIT-IBM Watson AI Lab Postdoctoral Researcher, IBM-NJIT Jun. 2022 - Present Oct. 2021 - Jun. 2022 Aug. 2020 - Oct. 2021

• Research and publish papers on **reinforcement learning** and **large language models**, with a focus on competitive-level code generation, reinforcement learning from human feedback, and AI for electric circuit design automation.

**Graduate Research Assistant**, *University of Michigan* (Ann Arbor, MI)

Sep. 2015 - Apr. 2020

- Conducted research and published papers on **preference elicitation** and **AI safety in reinforcement learning**.
- Designed active learning algorithms to improve a learning agent's performance and guarantee safety in domains with uncertain objectives.

**Software Development Engineer Intern**, *Amazon* (Seattle, WA)

Jun. - Aug. 2014

• Created a WebRTC-related internal tool to resolve cross-departmental communication issues.

**Software Development Engineer Intern**, Semantic Designs (Austin, TX)

Jun. - Aug. 2013

• Created a user interface for a programming language analysis tool for better visualization.

### **EDUCATION**

#### Ph.D. in Computer Science and Engineering, University of Michigan

Sep. 2015 - Apr. 2020

- Dissertation: Efficiently Finding Approximately-Optimal Queries for Improving Policies and Guaranteeing Safety
- Advisors: Satinder Singh, Edmund H. Durfee

#### M.S. in Computer Science, University of Texas at Austin

Aug. 2015

- Master thesis: Parameterized Modular Inverse Reinforcement Learning
- Committee members: Dana Ballard, Peter Stone

**B.S. in Computer Science**, *University of Texas at Austin* 

May 2014

### **PUBLICATIONS**

• Adaptive Online Replanning with Diffusion Models

Siyuan Zhou, Yilun Du, **Shun Zhang**, Mengdi Xu, Yikang Shen, Wei Xiao, Dit-Yan Yeung, and Chuang Gan

Conference on Neural Information Processing Systems (NeurIPS), 2023

• Planning with Large Language Models for Code Generation

**Shun Zhang**, Zhenfang Chen, Yikang Shen, Mingyu Ding, Joshua B. Tenenbaum, and Chuang Gan *International Conference on Learning Representations (ICLR)*, 2023

paper

### • Hyper-Decision Transformer for Efficient Online Policy Adaptation

Mengdi Xu, Yuchen Lu, Yikang Shen, **Shun Zhang**, Ding Zhao, and Chuang Gan *International Conference on Learning Representations (ICLR)*, 2023 paper

### • Prompting Decision Transformer for Few-shot Policy Generalization

Mengdi Xu, Yikang Shen, **Shun Zhang**, Yuchen Lu, Ding Zhao, Joshua B. Tenenbaum, and Chuang Gan *International Conference on Machine Learning (ICML)*, 2022

paper

#### • Power Converter Circuit Design Automation using Parallel Monte Carlo Tree Search

Shaoze Fan, **Shun Zhang**, Jianbo Liu, Ningyuan Cao, Xiaoxiao Guo, Jing Li, and Xin Zhang *ACM Transactions on Design Automation of Electronic Systems (TODAES)*, 2022 paper

## • From Specification to Topology: Automatic Power Converter Design via Reinforcement Learning Shaoze Fan, Ningyuan Cao, Shun Zhang, Jing Li, Xiaoxiao Guo, and Xin Zhang

International Conference on Computer Aided Design (ICCAD), 2021

paper

# • Efficiently Finding Approximately-Optimal Queries for Improving Policies and Guaranteeing Safety

Shun Zhang

Ph.D. Dissertation, 2020

<u>paper</u>

## • Querying to Find a Safe Policy Under Uncertain Safety Constraints in Markov Decision Processes Shun Zhang, Edmund H. Durfee, and Satinder Singh

AAAI Conference on Artificial Intelligence (AAAI), 2020 paper

# • Minimax-Regret Querying on Side Effects for Safe Optimality in Factored Markov Decision Processes

**Shun Zhang**, Edmund H. Durfee, and Satinder Singh *International Joint Conference on Artificial Intelligence (IJCAI)*, 2018 paper

### • Modeling Sensory-Motor Decisions in Natural Behavior

Ruohan Zhang, **Shun Zhang**, Matthew H. Tong, Yuchen Cui, Constatin A. Rothkopf, Dana H. Ballard, and Mary M. Hayhoe

PLoS Computational Biology, 2018

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### • Approximately-Optimal Queries for Planning in Reward-Uncertain Markov Decision Processes

**Shun Zhang**, Edmund H. Durfee, and Satinder Singh

International Conference on Automated Planning and Scheduling (ICAPS), 2017 paper

### • Determining Placements of Influencing Agents in a Flock

Katie Genter, Shun Zhang, and Peter Stone

Autonomous Agents and Multiagent Systems (AAMAS), 2015 paper

### • Autonomous Intersection Management for Semi-Autonomous Vehicles

Tsz-Chiu Au, Shun Zhang, and Peter Stone

Handbook of Transportation, 2015

<u>paper</u>

### **ACADEMIC SERVICES**

### **Conference Reviewer**

• IEEE ITSC 2014, AAAI 2019, AISTATS 2023-24, CVPR 2023, ICML 2023, NeurIPS 2023, ICLR 2024.

### **SKILLS**

### Research

Reinforcement learning, optimization, deep learning, large language models, active learning, learning and planning under uncertainty.

### **Programming languages**

Proficient in Python (numpy, PyTorch). Experienced in Java, C++, C, Matlab.