Architectural Pattern Document

Submission Part 2 and 3 Specification

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SWEN90007 SM2 2023 Project

SCHOOL OF
COMPUTING &
INFORMATION
SYSTEMS

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Revision History

Date	Version	Description	Author
13.9	02.00.D1	setup basic report template and write the description about data mapper pattern	Shuowen Yu
13.9	02.00.D1	write the justification for identity field, foreign key mapping, association table mapping, authentication and authorization	Jun Xu
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15.9	02.00.D3	write the description for foreign key mapping, association table mapping, authorization and authentication patterns	Jun Xu
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17.9	02.00.D5	draw class diagram according to code structure	Zhaolong Meng
18.9	02.00.D6	write the description for the domain model	Yuchen Cao
19.9	02.00	Review and Edit the whole document and ready to submit	Shuowen Yu, Jun Xu, Zhaolong Meng, Yuchen Cao
13.10	03.00.D1	Write the description of lost update, explain the controller, proposed solution, implementation and design rational	Shuowen Yu
13.10	03.00.D1	Write the introduction and explain how does our testing strategy work for the testing section	Jun Xu
14.10	03.00D2	Write the introduction of the duplicate add issue and explain the controller.	Shuowen Yu
14.10	03.00.D2	Write descriptions of purchasing, selling and updating company listings for the testing section	Jun Xu
15.10	03.00.D3	Write descriptions of creating shares and company listings for the testing section	Jun Xu
15.10	03.00.D3	Draw the sequence diagram on update lost	Shuowen Yu
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16.10	03.00.D4	Review and Edit the part 3 of the document and ready to submit	Shuowen Yu, Jun Xu, Zhaolong Meng, Yuchen Cao

Contents

1. Class Diagram for the whole system (Link: clear diagram check here)


JwtUtil
- nowMillis: long
- date: Date
- claims: Map<String, Object>
- uniqueId: String
- userType: String
+ createJwt(ttlMillis: long, user: User): String
+ parseJWT(token: String, user: User): Claims
+ isVerify(token: String, user: User): Boolean
filter
AuthenticationFilter
+ init(filterConfig: FilterConfig): void
+ doFilter(servletRequest: ServletRequest, servletResponse: ServletResponse, filterChain: FilterChain): void
+ destroy(): void
Controller
MethodManagement
+ methodMap:Map<String,Mehtod>
+ getMethodByURI(uri:String):Method
ViewCompanyListing
+ doGet(req:HttpServletRequest,resp:HttpServletResponse):void
ViewCompanyListing
+ doGet(req:HttpServletRequest,resp:HttpServletResponse):void
UpdateCompanyListing
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
Sell
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
Purchase
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
PortfolioDetails
+ doGet(req:HttpServletRequest,resp:HttpServletResponse):void
DeleteCompanyListing
+ doDelete(req:HttpServletRequest,resp:HttpServletResponse):void
CompanyViewListing
+ doGet(req:HttpServletRequest,resp:HttpServletResponse):void
AdminViewAllCustomer
+ doGet(req:HttpServletRequest,resp:HttpServletResponse):void
AddShare
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
AddCompanyListing
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
AddCompany
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
Register
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
Login
+ doPost(req:HttpServletRequest,resp:HttpServletResponse):void
Service
InvestListingServiceImpl
- investListingMapper: InvestListingMapper
<<Intergface>>
IInvestListingService
+ addListing(sid:Long, amount:Integer): InvestListing
+ removeOrUpdate(lid:Long, amount:Integer): int
+ updateNumShare(lid:Long, amount:Integer): boolean
+ getListingByCompanyNameAndType(cname:String,type:Character): ListingDetailDTO
+ removeInvestListing(lid:Long): boolean
PortfolioServiceImpl
- companyListingMapper: CompanyListingMapper
- shareMapper:ShareMapper
- investListingMapper: InvestListingMapper
- companyMapper: CompanyMapper
- portfolioMapper: PortfolioMapper
<<Intergface>>
IPortfolioService
+ addPortfolio(sid:Long,cid:Long,uid:Long,lid:Long): boolean
+ findPortfolio(pid:Long): Portfolio
+ checkExisted(sid:Long,uid:Long): Long
+ removePortfolio(portfolio:Portfolio): boolean
+ findPortfolioByListingId(lid:Long): Portfolio
+ getPortfoliosByUserId(userId:Long): List<Portfolio>
+ getDetailedPortfolioByUserId(userId:Long): List<PortfolioDetailDTO>
CustomerServiceImpl
- shareMapper:ShareMapper
- customerMapper: CustomerMapper
<<Intergface>>
ICustomerService
+ buy(uid:Long,lid:Long,amount:Integer):boolean
+ viewAllCustomer():List<Customer>
+ addBalance(uid:Long,totalPrice:BigDecimal):boolean
ShareServiceImpl
- shareMapper:ShareMapper
<<Intergface>>
IShareService
+ getCompanyIdbyId(sid: Long):Long
+ getShareById(shareId: Long):Share
+ addShare(companyId:Long, shareType:Character,price:BigDecimal): Share
CompanyListingServiceImpl
- companyListingMapper: CompanyListingMapper
- shareMapper:ShareMapper
<<Intergface>>
ICompanyListingService
+ addCompanyListing(shareId:Long, numShare:Integer): CompanyListing
+ deleteCompanyListing(listingId:Long): boolean
+ getShareIdByListingId(listingId:Long): Long
+ sell(listingId:Long, amount:Integer): Long
+ findAllCompanyListing(): List<ListingDetailDTO>
+ totalPrice(lid:Long, amount:int): BigDecimal
+ calculateProfitForListing(investListing:ListingDetailDTO, detailDTO:PortfolioDetailDTO): boolean
+ getTotalPrice(sid:Long,cid:Long,amount:Integer): BigDecimal
+ addNumShareToCompanyListing(sid:Long,cid:Long,amount:Integer): boolean
+ findCompanyListingByCompanyId(companyId:Long): List<ListingDetailDTO>
CompanyServiceImpl
- shareMapper:ShareMapper
- companyMapper: CompanyMapper
<<Intergface>>
ICompanyService
+ addCompany(companyName:String,category:String):Company
+ earn(cid:Long,sid:Long,amount:Integer):boolean
+ checkCompanyExist(username:String):boolean
+ findAllCompany():List<Company>
+ addBalance(cid:Long,totalPrice:BigDecimal):boolean
+ getCompanyById(companyId:Long):Company
UserServiceImpl
- customerMapper: CustomerMapper
- companyUserMapper: CompanyUserMapper
- adminMapper: AdminMapper
<<Intergface>>
IUserService
+ addUser(user:User):boolean
+ loginUser(username:String,password:String,roleType:String):User
+ checkUserExist(username:String,roleType:String):boolean
UnitOfWork
- current: UnitOfWork
- customerMapper: CustomerMapper
- companyUserMapper: CompanyUserMapper
- investListingMapper: InvestListingMapper
- companyListingMapper: CompanyListingMapper
- portfolioMapper: PortfolioMapper
- storeResult: HashMap<String, Long>
- companyMapper: CompanyMapper
- shareMapper: ShareMapper
- newObjects: List<Object>
- dirtyObjects: List<Object>
- deletedObjects: List<Object>
+ newCurrent(): void
+ getCurrent(): UnitOfWork
+ registerNew(obj: Object): void
+ registerDirty(obj: Object): void
+ registerDelete(obj: Object): void
+ commit(): boolean
dto
ListingDetailDTO
- id: Long
- shareId: Long
- numShare: int
- price: BigDecimal
- companyName: String
- shareType: Character
- category: String
PortfolioDetailDTO
- shareType: Character
- companyName: String
- numShare: int
- listingId: Long
- price: BigDecimal
- profit: BigDecimal
Domain
Share
- id: Long
- companyId: Long
- shareType: Character
- price: BigDecimal
- version: Integer
- load(): void
Portofolio
- portfolioId: Long
- userId: Long
- listingId: Long
- shareId: Long
- companyId: Long
Listing
- listingId: Long
- shareId: Long
- numShare: Integer
- version: Integer
- load(): void
CompanyListing
InvestListing
Company
- companyId: Long
- companyName: String
- category: String
- balance: String
- version: Integer
- load(): void
UserAuthentication
- username: String
- password: String
User
- userId: Long
- username: String
- password: String
- lastename: String
- firstname: String
- version: Integer
load(): void
Admin
CompanyUser
- balance: BigDecimal
- companyId: Long
load(): void
Customer
- balance: BigDecimal
load(): void
mapper
ShareMapper
PortfolioMapper
CompanyListingMapper
InvestListingMapper
CompanyMapper
CompanyUserMapper
AdminMapper
CustomerMapper
PostgresConnection
- PROPERTY_JDBC_URI: String
- PROPERTY_JDBC_USERNAME: String
- PROPERTY_JDBC_PASSWORD: String
+ getConnection(): Connection
+ closeConnection(connection: Connection, preparedStatement: PrepareStatement): void
PostgreSQL

2. Architectural Patterns

2.1 Data Mapper Pattern

Pattern	Reason
Data Mapper Pattern	Justification: The data mapper pattern acts as a middleware that is used to communicate with the database and assign the value to the domain object. This approach satisfies the low coupling pattern by ensuring that both domain objects and database systems are separated. This separation allows us to develop independently without considering the complexity of each other. Additionally, its high cohesion ensures that the domain and data mapper focus solely on their respective responsibilities. The domain only has the domain logic that makes our code structure clear and maintainable. The data mapper is highly compatible with the domain model pattern, which we want to use in our project. Comparison: Row data gateway is not a good option for us. Although it provides an easy way to deal with a single row of data in the database, considering we are not familiar with the data design, we might need to constantly change our database structure. This would necessitate regular updates and maintenance of our domain. Therefore, the row data gateway is not a choice for us. For the table data gateway, it is incompatible with the domain model pattern. For the active record pattern, based on its lack of scalability, it cannot handle situations well when our domain model has a lot of inheritance. Thus, it is better for us to use the data mapper instead of other patterns.

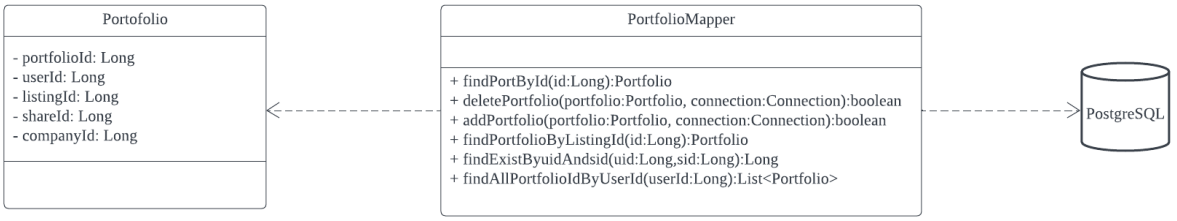
2.1.1 Implementation

To implement the data mapper pattern, we have constructed the following mapper classes.

Mapper Class	Domain Object Class
AdminMapper	Admin
CompanyListingMapper	CompanyListing
CompanyMapper	Company
CompanyUserMapper	CompanyUser
CustomerMapper	Customer
InvestListingMapper	InvestListing

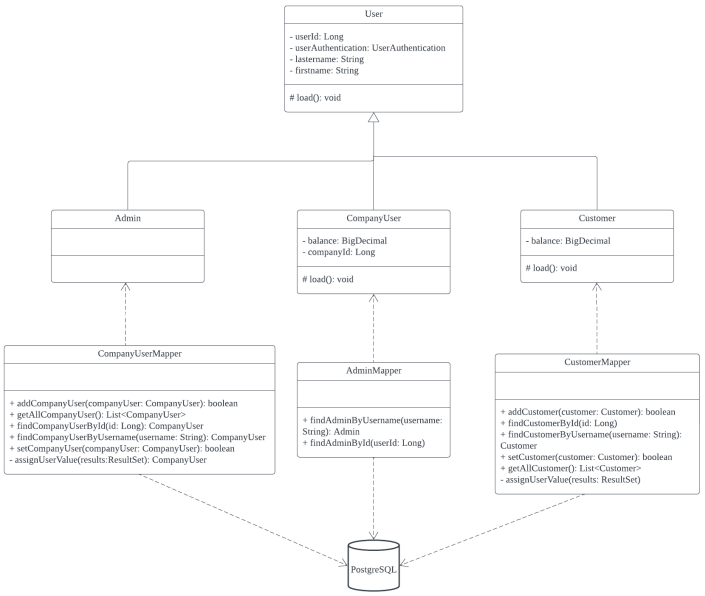
PortfolioMapper	Portfolio
ShareMapper	Share

The diagram below demonstrates the implementation of the data mapper. The data mapper class retrieves data from its corresponding database table and maps it to a specific domain object. Conversely, domain objects can also map their values back to the database. This ensures our mapper and domain are both testable and maintainable. The most common processes of operations are "find", "add" and "update".



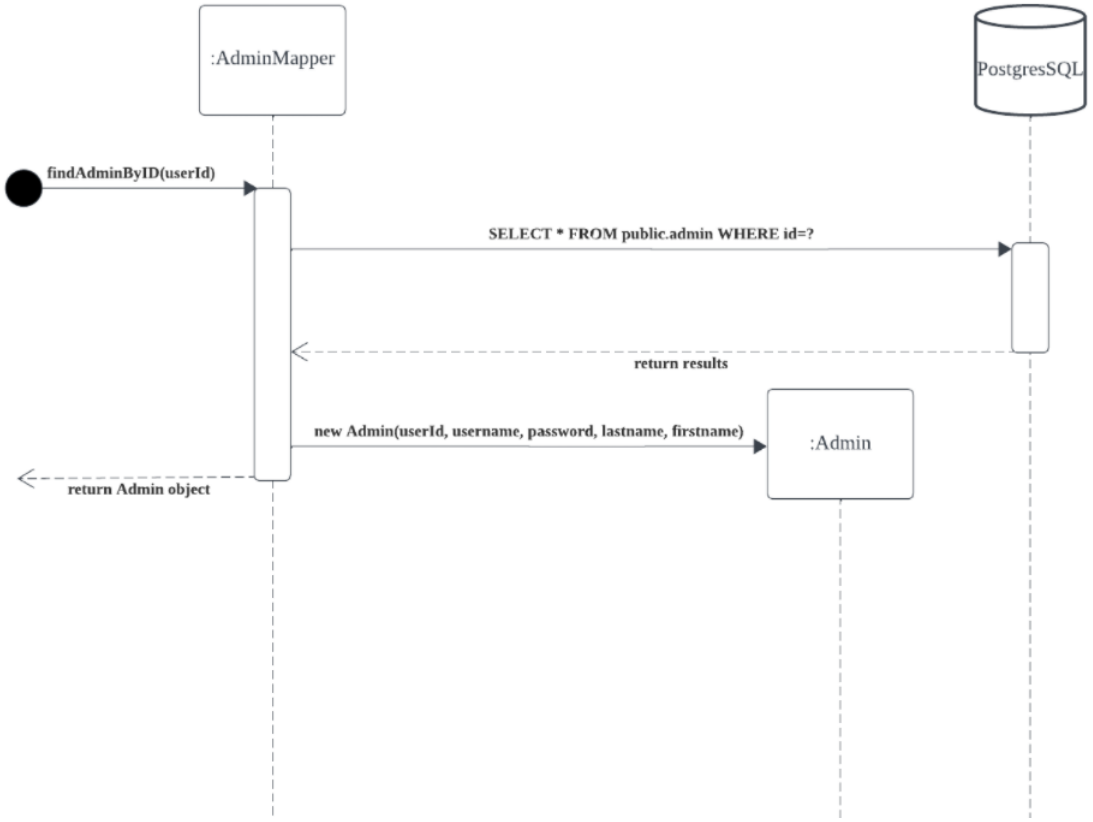
2.1.1.1 class diagram

Since the implementation logic for all of our data mapper classes is the same, we will use the user-related design structure as a representative example to fully illustrate our approach when the inheritance happens.



2.1.1.2 sequence diagram

In the sequence diagram, we will illustrate how the Admin domain class can retrieve information using its ID through the AdminMapper. This would be an example of the data mapper behavior, as they have similar implementations.

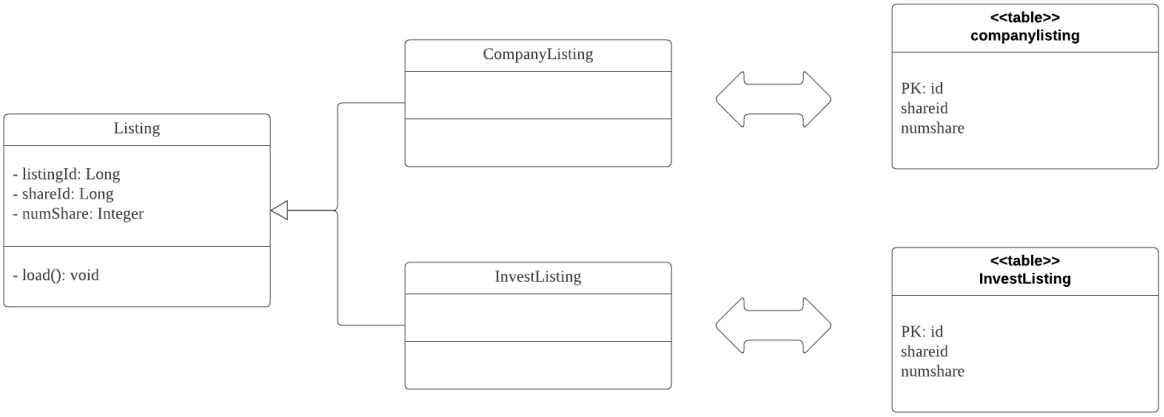


2.2 Concrete table Inheritance Pattern

Pattern	Reason
Concrete Inheritance Pattern	Justification: By using the concrete table inheritance pattern, we can allocate one table for each domain class in our project and store its own data to clearly define the responsibilities of each class. This highest degree of decoupling combined with high cohesion is beneficial for our system. Although many tables have similar columns, It helps us to separate the different purposes. For instance, company listing indicates the shares companies intend to sell, while invest listing records the shares customers have purchased. Processing these shared variables in one table would be complex as we need to identify the role of the data. Similar to user-related tables, they might have the same username and password but the role is different. The concrete table inheritance

	can help us solve this complexity and increase the query speed as we will only search data in a specific role table instead of the whole table. Comparison: Single table is not a suitable option for us. It combines all the data into one table leading to low cohesion, and it is a challenge to process data based on the different roles. The class table inheritance has low cohesion on storing the shared column data in the same table, and the high coupling leads to frequent join to get the extra column will reduce the efficiency.

2.2.1 Implementation



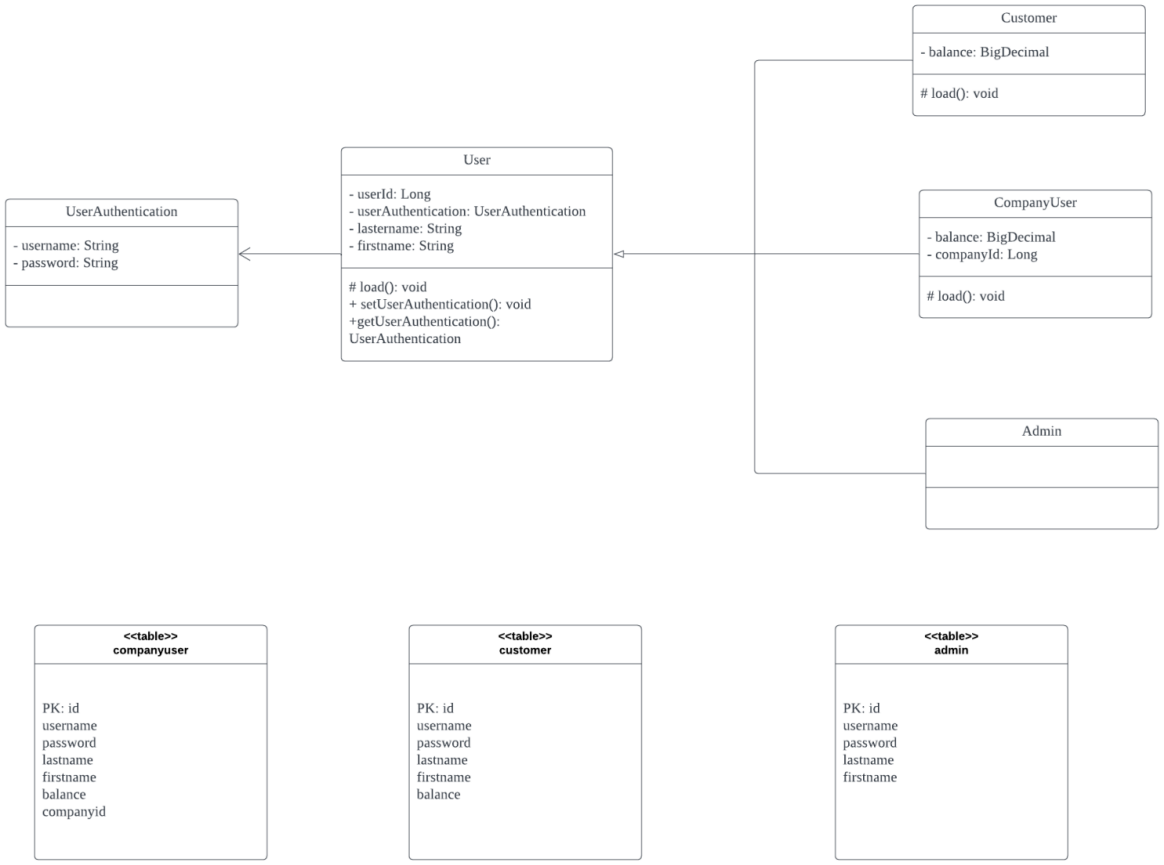
We have parent domain classes named User class and Listing class. The picture above shows the listing case. The domain classes that inherit from these parent classes have their own table to store their data. The following table shows the mapping relationship between domain class and table. We choose not to apply the inheritance in our data mapper class to keep a clear responsibility. Each data mapper will be distinct and independent. If a new function is added in the future, we can modify the mapper independently without affecting the other mapper.

Parent Domain Class	Domain Class	Table name
User	Admin	admin
	CompanyUser	companyuser
	Customer	customer
Listing	InvestListing	investlisting
	CompanyListing	companylisting

2.3 Embedded Value Pattern

Pattern	Reason
Embedded Value Pattern	By using the embedded value pattern, our embedded value class will only interact with the class that embedded it. Other classes can utilize the getters and setters to modify the embedded value within the main class. This pattern ensures the main class remains highly cohesive as it retains its primary responsibility, while distributing distinct responsibilities to achieve low coupling. In our system, it is important for our system to use as there is lots of different information in the user class, such as personal details and authentication data like username and password. Storing them directly within the User class is not a good design as the user authentication might undergo different logical changes in the future, such as password hashing for security purposes. By isolating authentication into its own class, it ensures that future modifications will not impact much in the User class directly, as the interactions primarily involve getter and setter from the Authentication class.

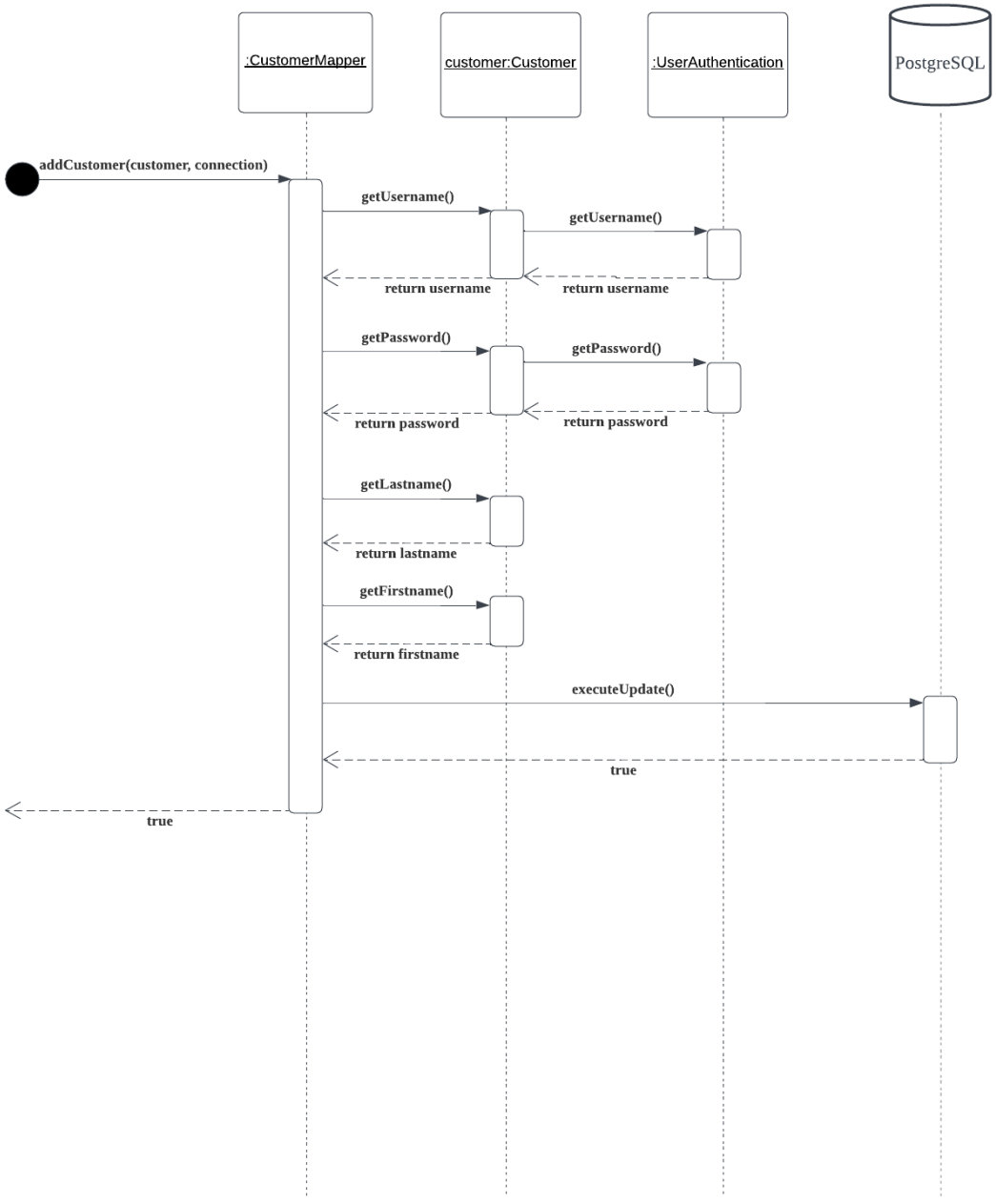
2.3.1 Implementation



To implement the embedded value pattern, we create a class called UserAuthentication. It holds the username and password for a User. We do not create a table to store the UserAuthentication data in our database as it is part of the user data. When we require the username and password, we will instantiate a new UserAuthentication object and use the setter method in User class to update the respective

information. Also, when the mapper retrieves data from the dataset, it will pass all the information to User class and the User will transfer the username and password to UserAuthentication to ensure low coupling and high cohesion in our design. The UserAuthentication is able to apply the lazy load in the User class as we do not always use this data.

We will illustrate the behavior of mapper, Customer and UserAuthentication by using the sequence diagram. The sequence is triggered when UnitOfWork invokes the addCustomer(customer, connection) method on CustomerMapper. This method will gather all the information from the customer and update it to the database. In this scenario, we will only consider the success case.



2.4 Unit Of Work

Pattern	Reason
Unit Of Work	By using the unit of work, we are able to commit all the changes in a single request together. This method follows the principles of atomicity and consistency in the ACID (Atomicity, Consistency, Isolation, Durability). If the commit fails, we are able to roll all the changes back, which ensures the data is reliable and consistent. It is an important feature for our system as we are mainly focused on purchasing and selling the shares. Systems related to money must ensure their consistency to protect our customers' rights. The unit of work also has low coupling and high cohesion as it has centralized transaction management, all the changes to the database can be managed in one place. This approach will make it easier for us to maintain and modify. Also, the efficiency of the system will improve as it only requires one connection to handle all of the changes during one request.

2.4.1 Design Rationale

When integrating the unit of work pattern, we aimed to maintain a clear structure and responsibility. Each part should have a distinct role to minimize confusion among team members. The service handles business logic, while the controller interacts with various services and the UnitOfWork. This approach highlights high cohesion that can allow us to easily implement the new feature or make adjustments without disrupting other parts of the system. It can efficiently reduce the potential conflict when team members work on different sections at the same time.

We chose to establish a new connection to the database in the ‘commit’ method rather than directly in the controller to ensure the centralized error handling. We can simply handle exceptions using try-catch within the commit method and no further modification on controller class.

2.4.2 Implementation

The heart of the unit of work pattern in our system is the ‘UnitOfWork’ class. This class is designed to process any changes to the database within the same database connection which allows the consolidated commit or rollback for each request. The ‘UnitOfWork’ class is used in the following classes.

Classes
AddCompanyController
AddCompanyListingController
AddShareController
DeleteCompanyListingController
PurchaseController
SellController
updateCompanyListingController

Key Components for implementation:

1. Static Instance:

When any of these controllers receive a request from the frontend, the new static instance of UnitOfWork will be created by calling the 'newCurrent()' method. By using this static instance, we can make sure the UnitOfWork object can be easily accessed in different classes, almost like a global variable.

2. Storing Listings:

We have three lists in our UnitOfWork named ‘newObjects’ used to store new objects that need to be added during each request, ‘dirtyObjects’ used to store the objects that need to update its value in database, ‘deleteObjects’is to store the object that need to be deleted in the database during each request. These three lists can help us iterate and manage the changing objects by calling the corresponding mapper method.

3. Service:

We use services to execute the business logic for each operation. If our logic determines that the user can proceed with add, update, or delete operations. The services will create the object and use methods like 'registerNew', 'registerDirty', or 'registerDelete' to queue these objects for processing.

4. Commit Changes:

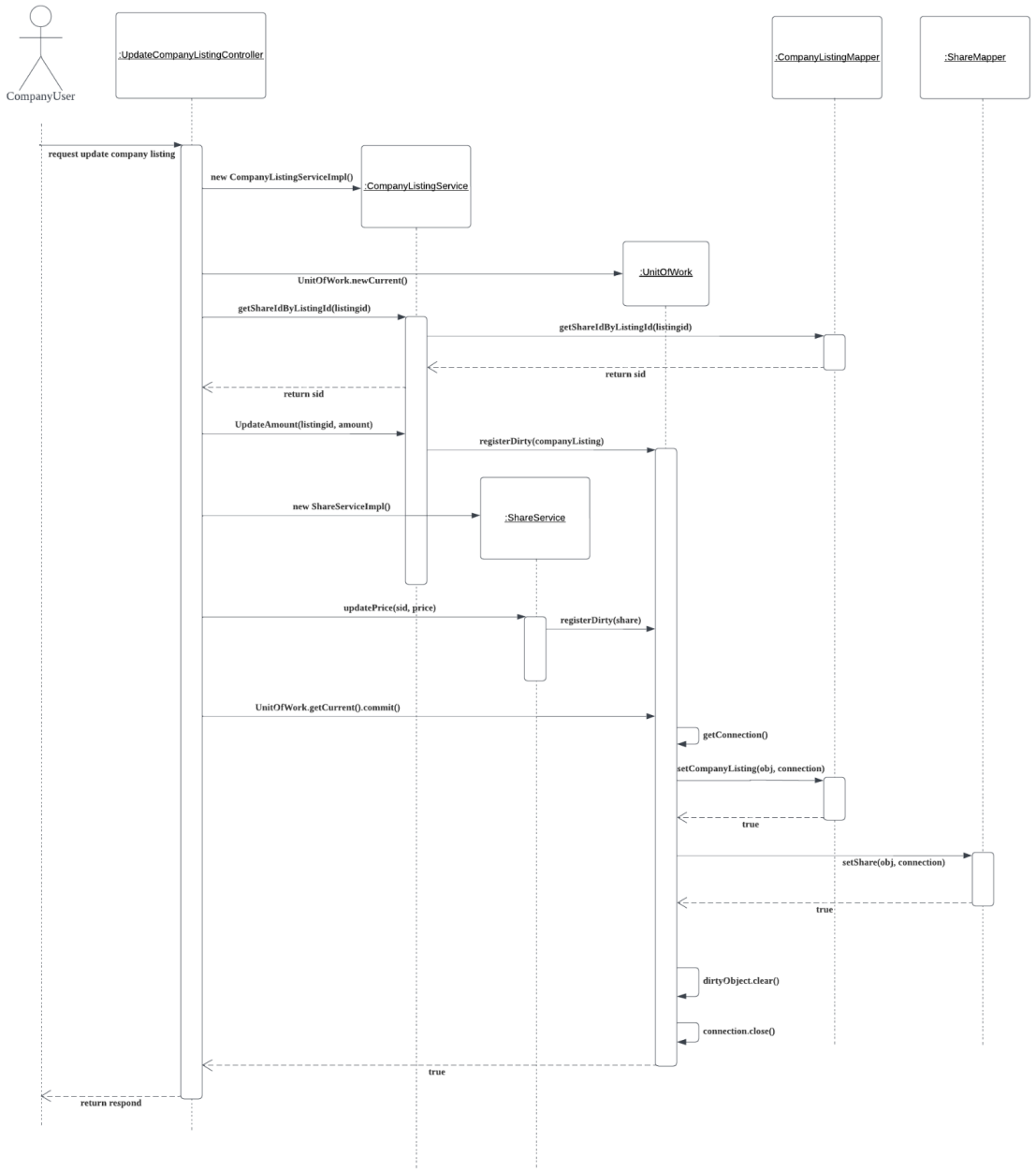
After all changes are registered, the controller calls the ‘UnitOfWork.commit()’ method. This commit method opens a new connection to the database. It then iterates through all three lists, sending each changed object to the appropriate mapper method under the same connection. Once processed, the lists are cleared for the next request. If any operation fails, the exception can be catched in the commit method, and the system will rollback all changes.

The sequence diagram illustrates the interaction behavior between the UnitOfWork, controller, and Service. Since the implementation is consistent across all controllers related to UnitOfWork, this diagram will specifically showcase the behavior of the updateCompanyListingController.

5. Storing Result Hashmap:

We create a hashmap to record the result we want after or during the commit. In some cases, the upcoming operation needs the result from the last operation during commit. The frontend may also need some results after the commit. We will clear this hashmap in the controller for the next request.

The sequence diagram illustrates the behavior of the UpdateCompanyListingController. This is the representative case that every other listed controller follows.



2.5 Lazy Load

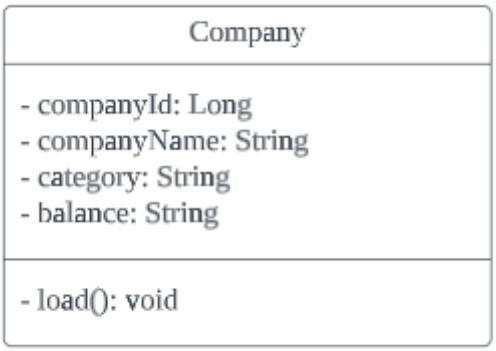
Pattern	Reason
Lazy Load	Justification: The lazy load can optimize the system performance. The system can delay loading the unnecessary data we do not want until we actually need it. This approach will minimize the usage of memory and the user will not wait a long time for loading too

	much unnecessary data. The ghost implementation is a better choice for our system as it will load all the null fields when the getter method is getting the null attribute. This approach will reduce the number of connections with databases as to get the specific value for each connection will slow the process time when the user needs more than one information. Also, Its simplistic and low coupling can make our system clear and maintainable.

2.5.1 Design Rationale

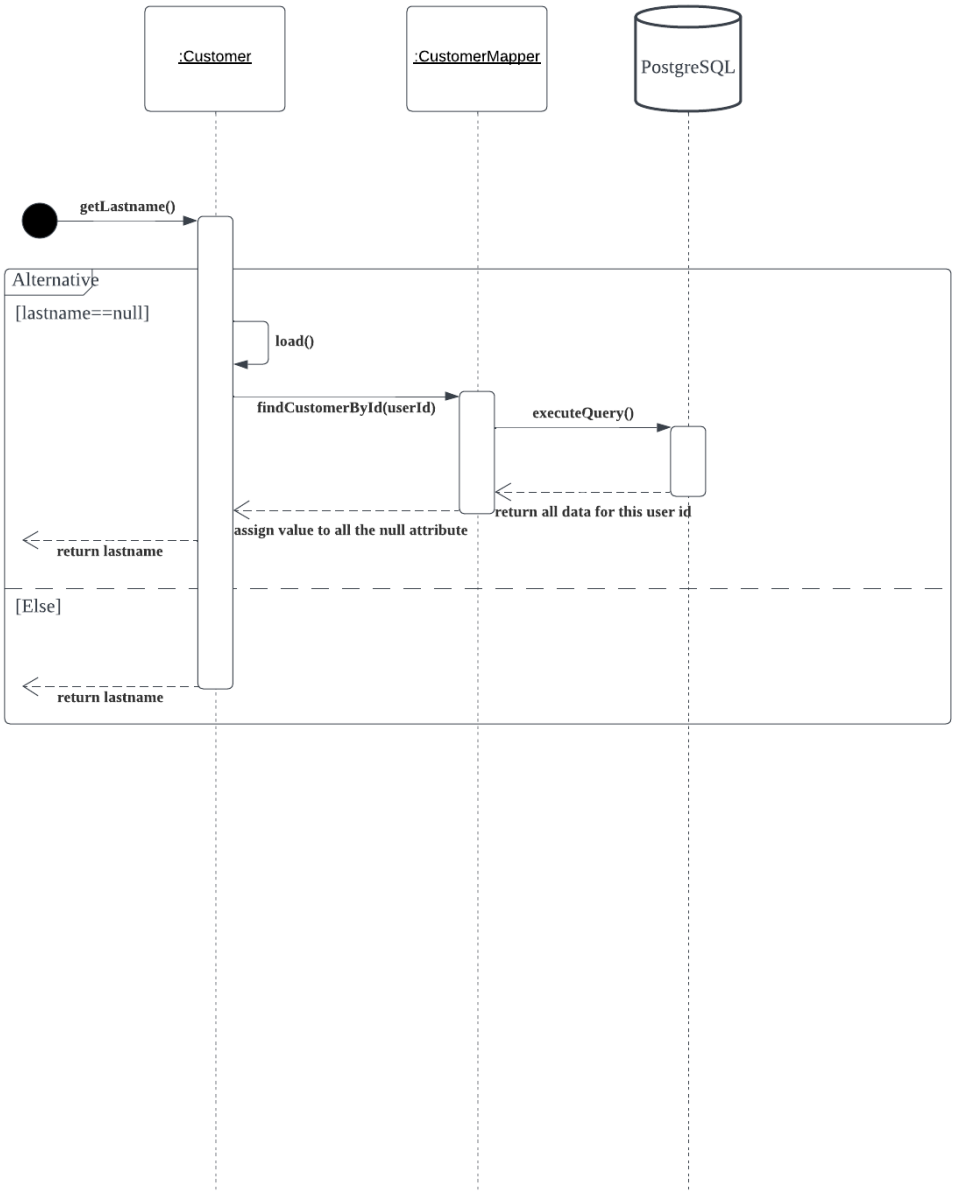
In our system, we are using the ghost implementation. It allows the system to automatically get the full value of the object when it is needed by invoking the getter method directly. The lazy load will be maintained in the domain object class which leads to a low coupling as each domain model is independent. If we want to modify the lazy load for a specific domain object we can directly modify it in the corresponding class.

2.5.2 Implementation



We add the load method for each domain class and verify if it is null in each getter method. Then we will load all the attributes again in the load() method based on its id.

We will illustrate the behavior of lazy loading using a sequence diagram. Since all implementations are similar, we will showcase only one scenario: the process when calling the getLastname() method for customers and userid is exists.



2.6 Identity Field

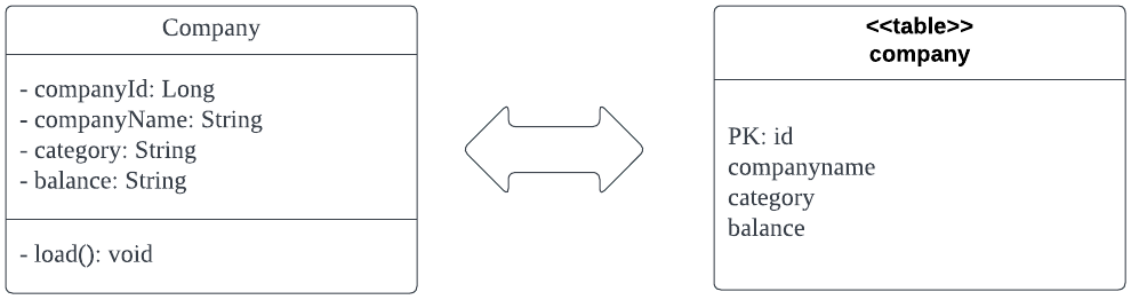
Pattern	Reason
Identity Field	Justification: Identity field pattern is used to identify the specific row by a unique field. Our system will use meaningless, simple and table-unique keys as we would like to use ids generated

	automatically by the database when a new record is inserted into the database for efficient purposes. For each table, the id will be unique for us to select each row. For example, the method 'findAdminById' uses id as the identity field to find the expected row about the user details. We can easily link the domain object with the corresponding row in the database by the identity field. The identity field pattern is really simple. And we can use the identity field to get the specific row we want which increases the efficiency of the database.

2.6.1 Implementation

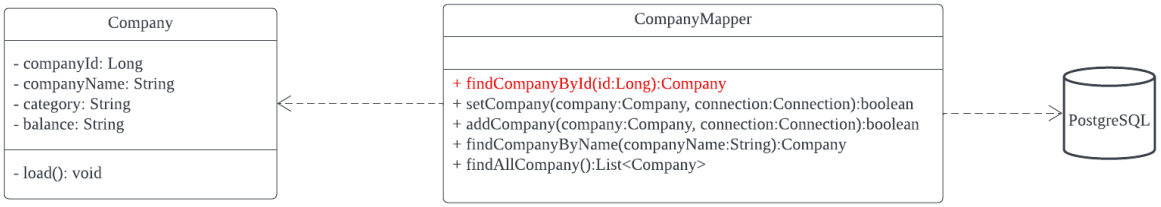
We use the id generated automatically by the database as each identity field to distinguish the different rows in one table. Whenever a new row is created, the identity field is generated as well.

Tables in the database	Identity Field
customer	id
company	id
companyuser	id
admin	id
share	id
companylisting	id
investlisting	id
portfolio	id



According to the domain class of the company, we will generate the company table in our database and the primary key is the id field. The picture above shows the corresponding implementation and we can find the specific row by id.

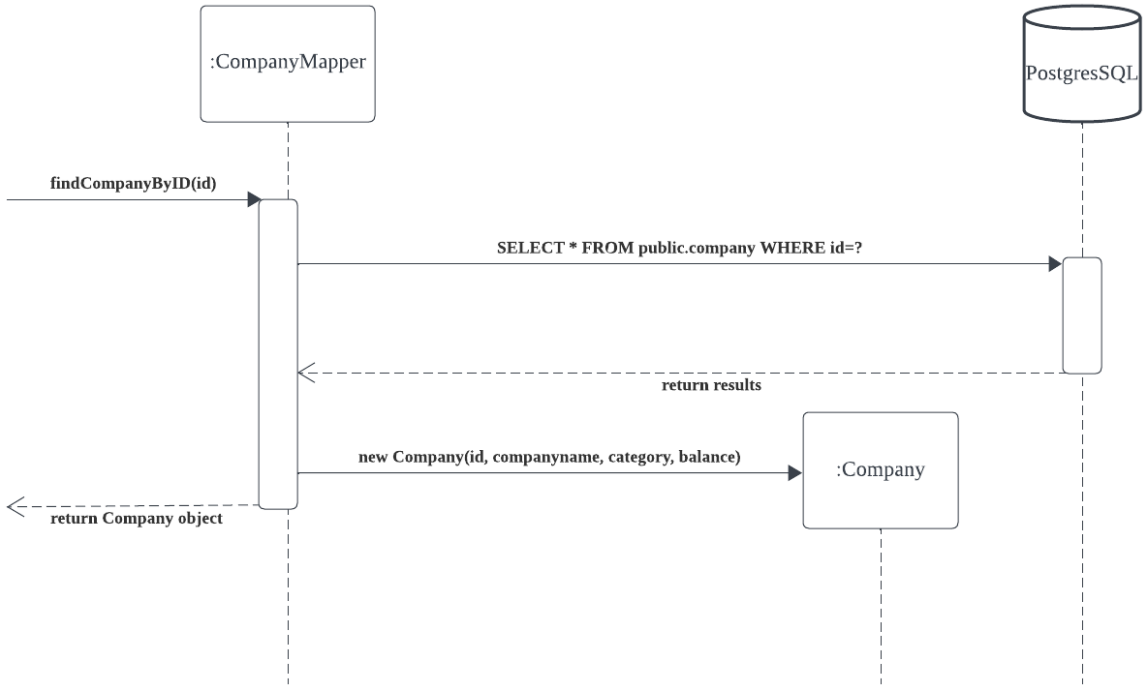
2.6.1.1 class diagram



For almost every domain class, we have a method like findCompanyById. This method basically uses the identity field 'id' to select the specific row in the database.

2.6.1.2 sequence diagram

In the sequence diagram, we will illustrate how we get a row of the company data from the database by the identity field id. This would be a great example of the identity field pattern.



After we get a specific id of the company, we can use findCompanyById method in the companyMapper to select the details of the company which is a row in the database. For example, if one row is:

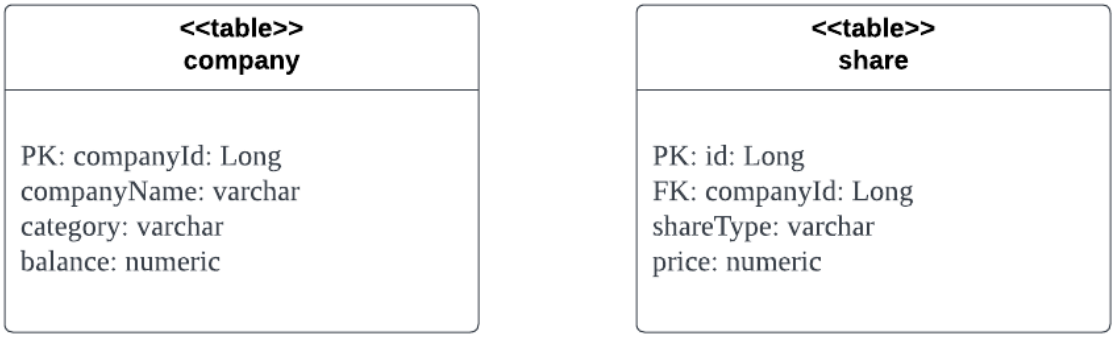
id	companyname	category	balance
1	Google	Internet	xxxxxxxx

We can use the id of '1' to get this row as expected.

2.7 Foreign Key Mapping

Pattern	Reason
Foreign Key Mapping	Justification: While the identity field pattern is used to map domain objects with their corresponding rows in the database, the foreign key mapping is used to link between the tables in the database. For example, the company listings in our system store the share object. The share object stores the type and the price of the share. The company listing will show this information to the customers so that customers can decide to purchase the share or not. We can use the foreign key shareId to link the companyListing table with the share table in the database, and find out the specific row in the share table. The share id is the identity field for the share table in the database. Each company listing will store a share id as the foreign key to show the share details. Foreign key mapping pattern joins two tables from the database easily. We can get information like the name of the company for the share by the joined tables.

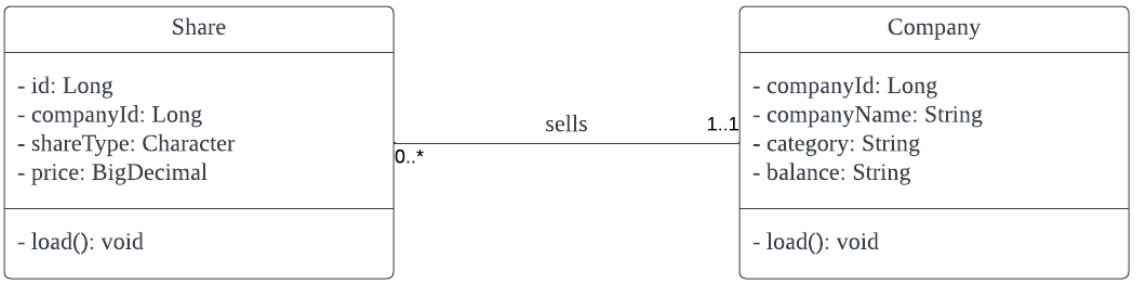
2.7.1 Implementation



We decided to store the companyId in the share table because we want to know which company the share belongs to. The companyId in the company table is the primary key and the companyId in the share table is the foreign key. It is easy for us to use queries to get what I want by using the foreign key mapping pattern.

2.7.1.1 class diagram

As the foreign key mapping pattern is mainly used to combine 2 tables of the database, we decided to use a class diagram to show this pattern. 'CompanyId' is the foreign key stored in the Share class. By using the companyId, we can join the share table and the company table together to get useful information.



For example:
Share Table

id	companyid	shareType	price
1	1	A	50

Company Table

id	companyname	category	balance
1	Google	Internet	xxxxxxxx

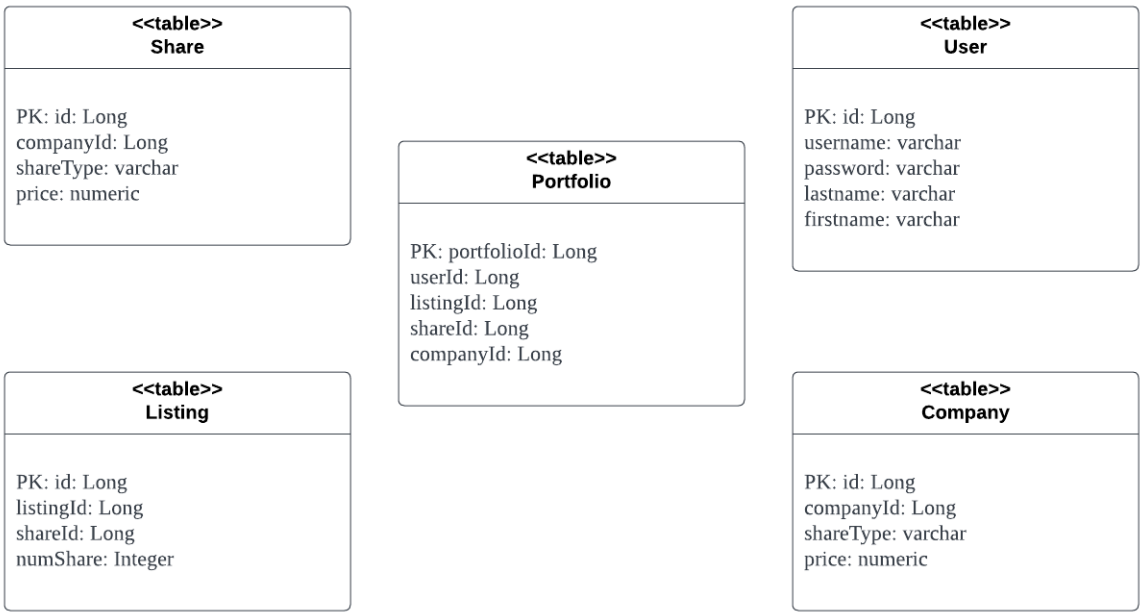
Combined Table by foreign key mapping

shareid	shareType	price	companyid	companyname	category	balance
1	A	50	1	Google	Internet	xxxxxxxx

2.8 Association Table Mapping

Pattern	Reason
Association Table Mapping	Justification: Association table mapping is used to create a table with foreign keys to store the association between 2 related tables in the database. In our system, the portfolio table in the database is created to link the customer table with the invest listing table. Each customer should have multiple invest listings because one customer can buy different types of shares for multiple companies. The portfolio table stores one user id and one invest listing id as foreign keys in the database. Corresponding rows can be selected correctly by the association table mapping. As a result, users can view their own invest listings by their portfolios. Association table mapping pattern makes our work easier to find associated information from the database. We can easily find out which company is the share from in the invest listing by the portfolio table.

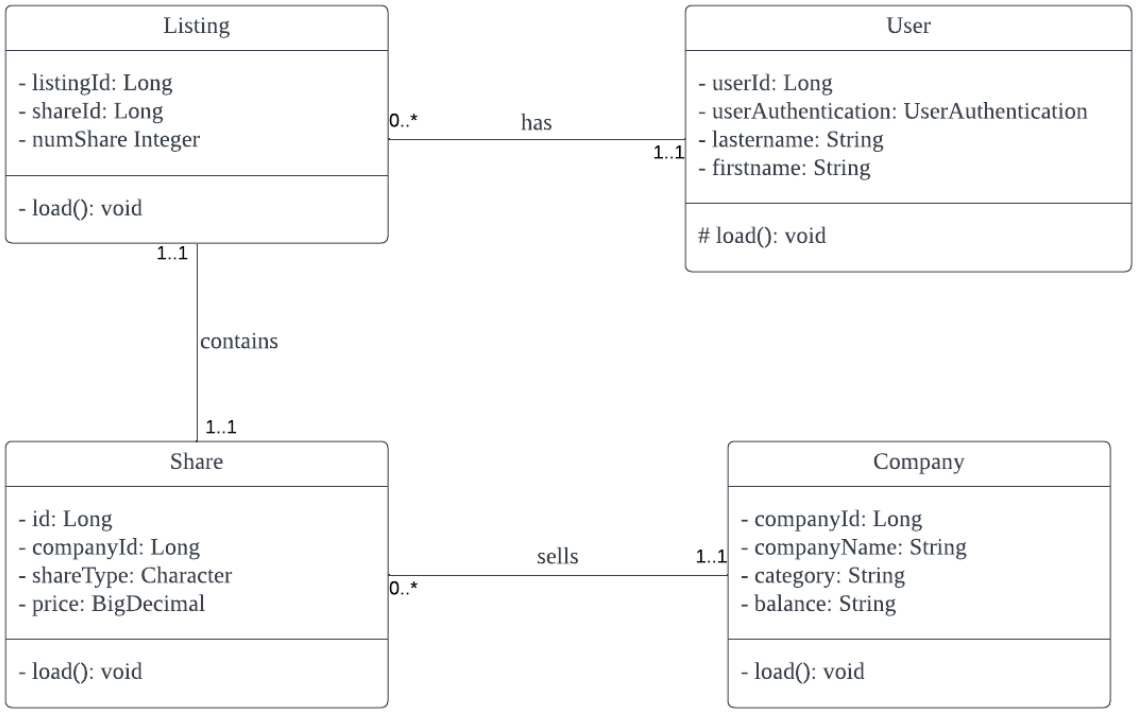
2.8.1 Implementation



We have the portfolio class which shows the mapping between the tables. Portfolios are used to store the information of customers' invest listings. Each row of the portfolio table in the database represents the association between a customer, the invest listings of the customer, the share stored in the listings and the company which sells the share. Foreign keys stored in the portfolio are the primary keys of other tables. This pattern provides the flexibility for us to get information from the database.

2.8.1.1 class diagram

The class diagram shown below shows the relationship between the user class, the listing class, the share class and the company class. And the portfolio class is representative for the association mapping pattern.



Portfolio Table Example

id	companyid	shareid	userid	listingid
1	1	1	1	1

2.9 Authentication and Authorization

Pattern	Reason
Authentication and Authorization	Justification: By using authentication and authorization patterns, I can make sure that the users are the exact same people as who they say they are and also only allow users to do what they can do. Annotations will be applied on the controllers to check if the user has logged into the system and whether the type of the user is allowed to do specific actions. Comparison: Intercepting Validator is used to ensure the requests are well-formed and non-malicious which requires more time and resources. However, our team has limited resources and our system has already used authentication and authorization to handle most of the secure problems. As a result, we do not consider the intercepting validator at this stage. As our team has decided to use Json Web Token to encrypt and decrypt the transition data, a secure pipe pattern will increase the

	cost of maintainability when it is not necessary. Therefore, our team chooses not to implement the secure pipe pattern to make it more efficient.

2.9.1 Implementation

Authentication

We use the json web token to generate the token which is used to verify the authentication of the user by the jwtUtil class which is shown below. When the user does not log into the system, the user should have an annotation called “loginToken”. After the user logs in, the user will be provided with an annotation called “normalToken”. Any action except login needs to contain the ‘normalToken’.

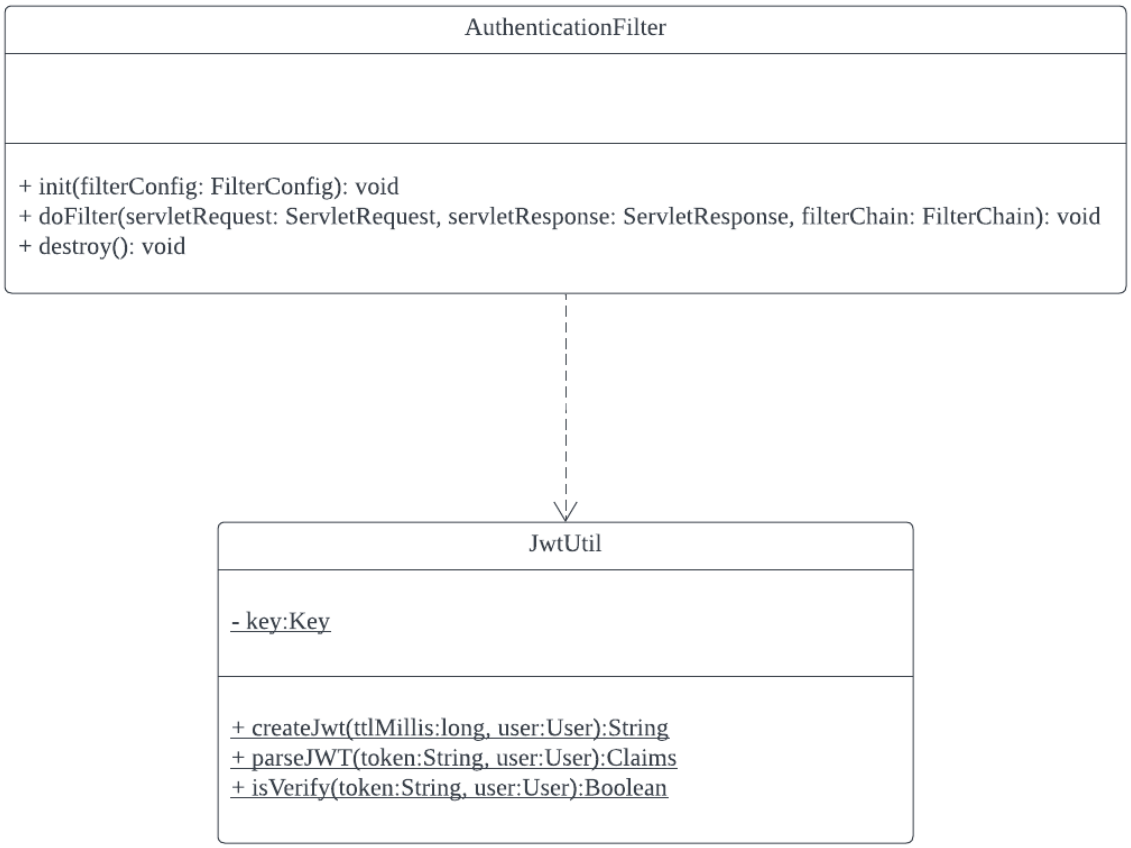
Authorization

In addition, we have a token called “rolesAllowed” to do authorization. It stores a list of valid user types. If the user’s type is in the list, then the user is allowed to do the action.

If the user has the annotations but does not meet the conditions, the user will not be able to do the action by the authenticationFilter shown in the class diagram.

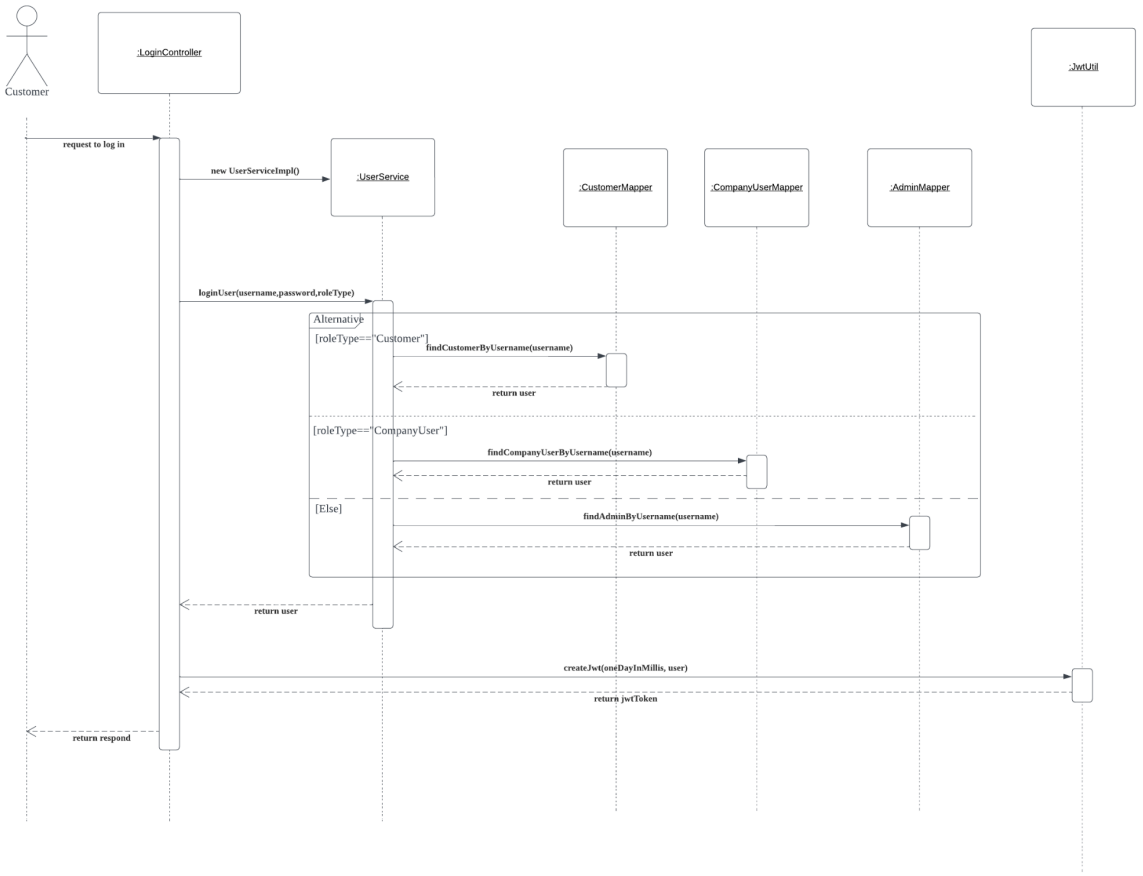
2.9.1.1 class diagram

The class diagram shows the process of the authentication and authorization. After the user logs into the system, the jwt token will be generated and sent back in the response. The user needs to use the token to take actions that they are allowed to. Then the authentication and authorization are ensured to make users only do what they can do.



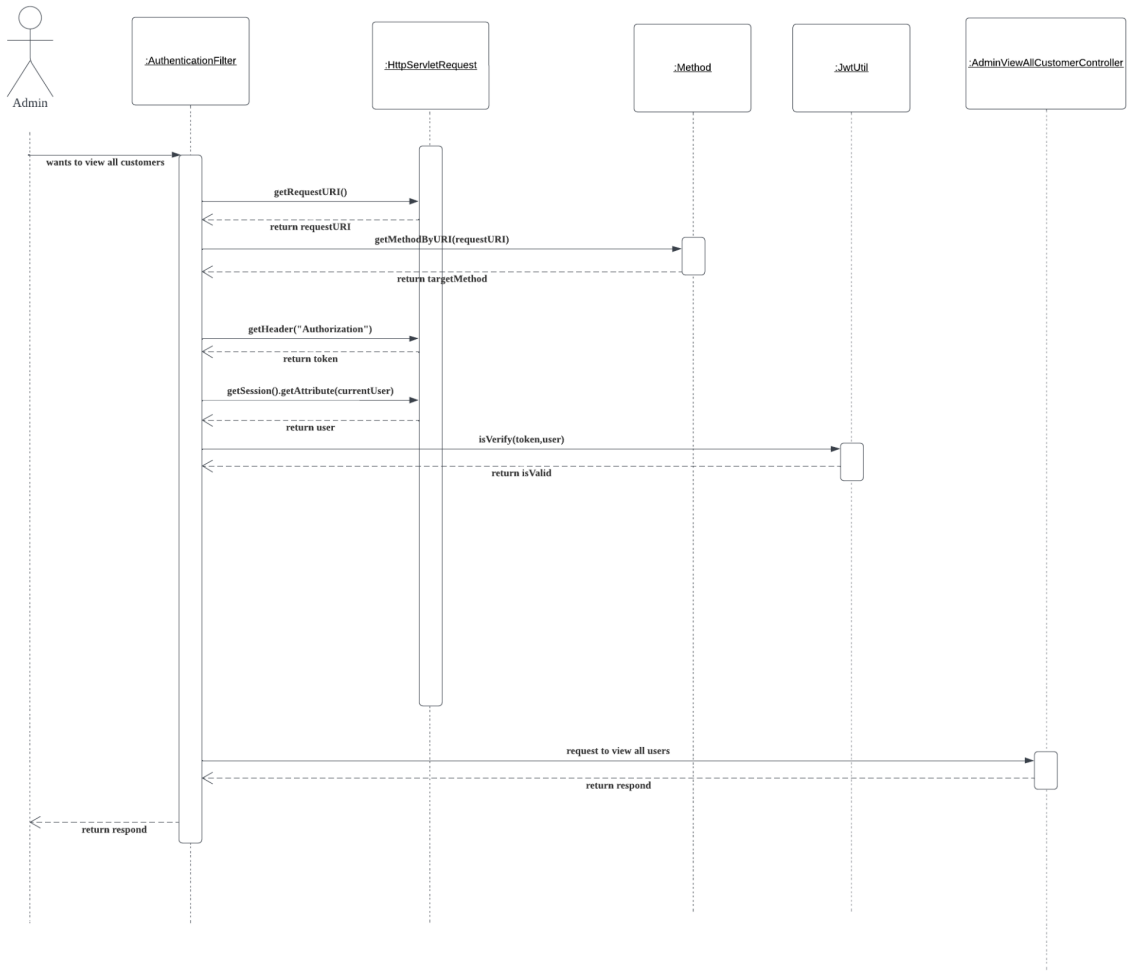
2.9.1.2 sequence diagram

Here is an example of the user logging into the system. Then the user gets the token to take actions.

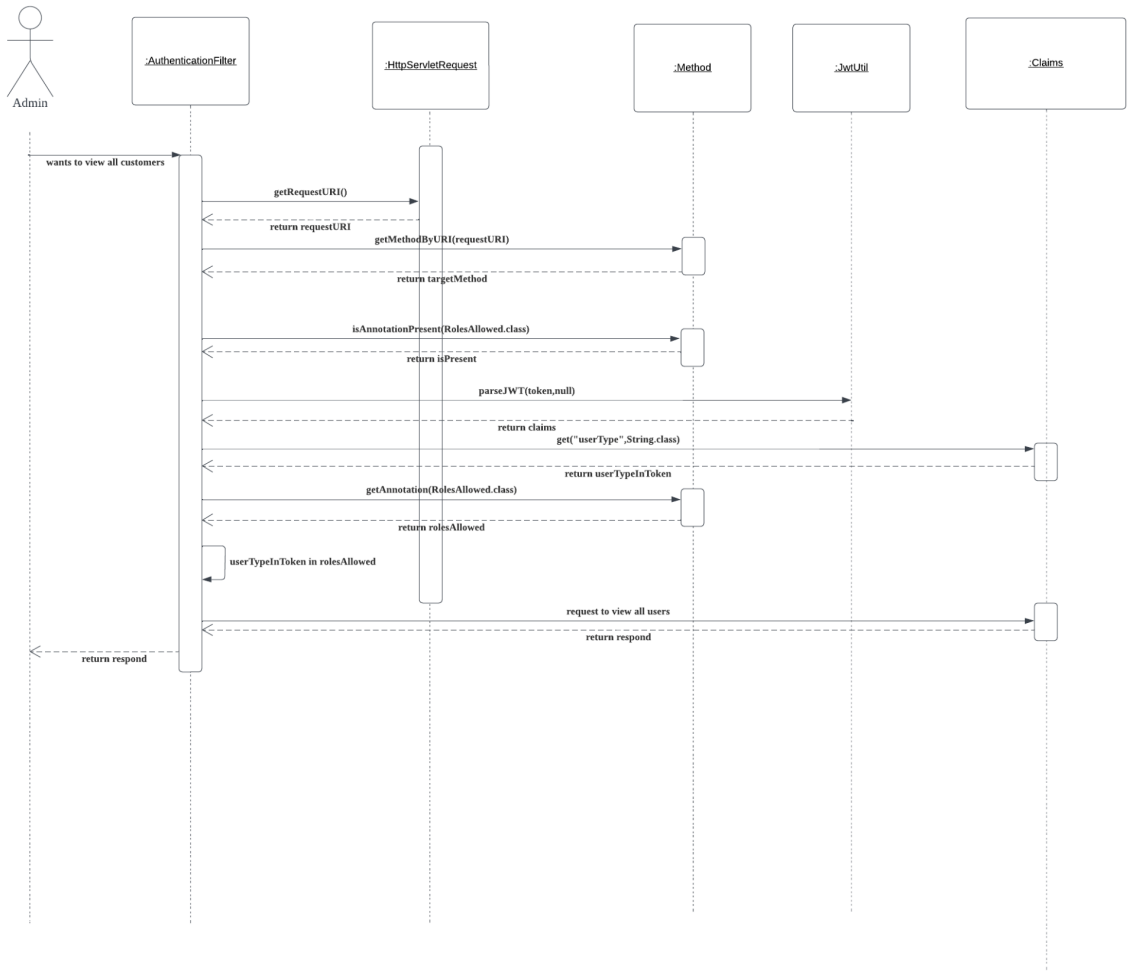


Here is an example of the system verifies the token of the admin and lets the admin view all the customers:

Authentication: The admin must authenticate with the token so that he can request to view all the customers.



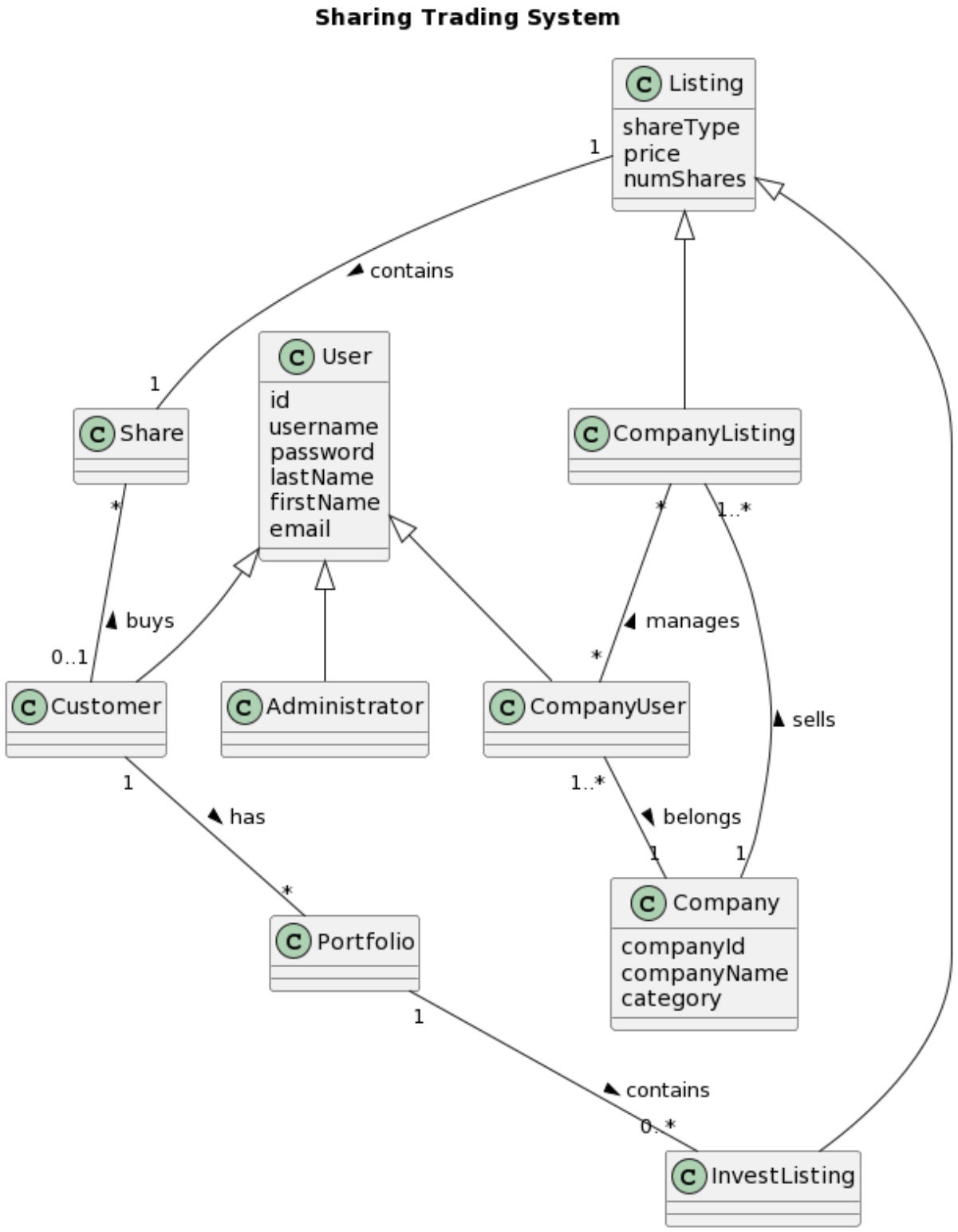
Authorization: The admin must be the role in the ‘rolesAllowed’ list. Then the request can be sent successfully.



2.10 Domain Model

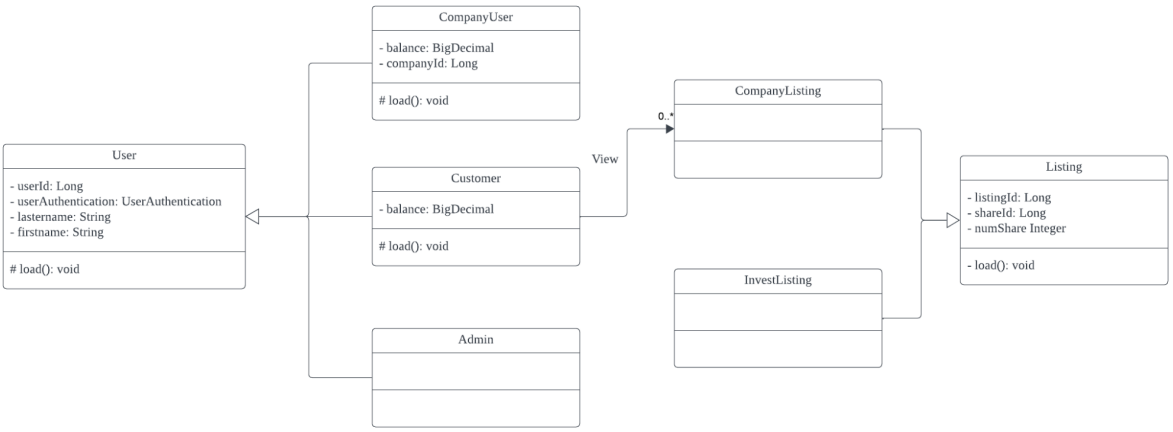
Pattern	Reason
Domain Model	Justification: The domain model pattern is used to solve complex business logic. It is object-oriented as each domain only deals with the parts related to it. As a result, it is also easy for us to add new domains. Adding more behavior only needs to add more classes or objects to the domain model. Comparison: The reason why we do not use the transaction script pattern is there are many duplicated behaviors occurring in the system. The duplication problem is one of the side effects of the transaction script. In addition, when the business logic becomes more complex, the complexity of the domain layer increases exponentially.

2.10.1 Implementation



2.10.1.1 class diagram

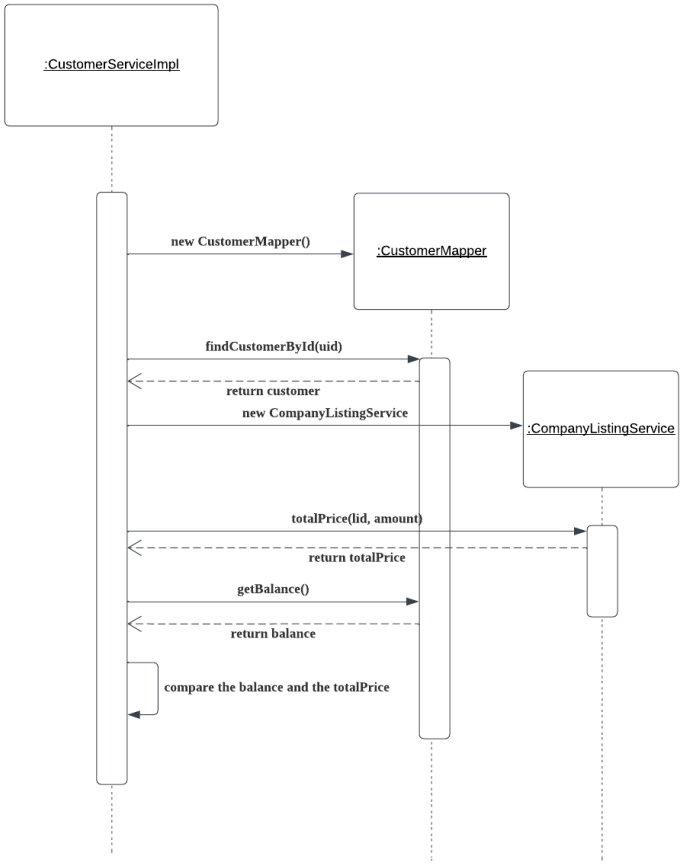
The class diagram of the action “the customer buys the shares’ can demonstrate the domain model pattern.



2.10.1.2 sequence diagram

Here is the sequence diagram for the customer to compare his own balance with the market total price.

The customer mapper deals with finding the specific customer to check his balance. The companyListing service is used to check the current total price of the share. Each domain deals with its own business.


:CustomerServiceImpl
new CustomerMapper()
:CustomerMapper
findCustomerById(uid)
return customer
new CompanyListingService
:CompanyListingService
totalPrice(lid, amount)
return totalPrice
getBalance()
return balance
compare the balance and the totalPrice

3. Concurrency

3.1 Concurrency Issues

In this section, we will address potential concurrency issues in our share trading system that can have a significant effect on our system's performance and share trading transactions. These issues are important to address as they can cause customers to lose profit if the system cannot handle concurrency properly. For each addressed issue, we will discuss the possible controller and its method that might have these issues, then discuss suitable patterns to solve them and outline our implementation. This section will provide a clear understanding of how we plan to manage concurrency in our system to offer a reliable and efficient share trading experience for the users.

3.1.1 Lost Update

The lost update occurs when two users read the same data at the start and they want to modify the same data concurrently. This issue will lead to data missing since if one transaction is committed first, the second commit will overwrite the last transaction.

3.1.1.1 Single Controller Discussion

In this section, we will consider each controller individually to determine the circumstances under which a lost update might occur during concurrent multiple requests.

3.1.1.1.1 Purchase Controller

The Purchase Controller handles requests when customers buy new shares in the central exchange.

Related use case: [UC007] Customer can purchase shares of companies

Issue Description On Methods:

1. buy(): In the buy() method, we update the customer's balance. The lost update can occur when the customer tries to purchase something via different devices simultaneously. One balance update might be overwritten.
2. sell(): In the sell() method, we update the company listing. The lost update can occur when multiple customers try to buy the same listing simultaneously. Updates to the company listing might be overwritten.
3. earn(): In the earn() method, we update the company balance. The lost update can occur when multiple customers try to buy the company listing for the same company simultaneously. Updates to the company balance might be overwritten.
4. updateNumShare(): In the updateNumShare() method, we update the number of shares for the corresponding invest listing. The lost update can occur when a customer buys the same share via different devices simultaneously. The update on the number of shares might be overwritten.

3.1.1.1.2 Sell Controller

The Sell Controller handles requests when customers sell their on-hold shares on their invest listing.

Related use case: [UC009] Sell the shares anytime

Issue Description On Methods:

1. removeOrUpdate(): In the removeOrUpdate() method, we update the number of shares in the invest listing. The lost update can occur when a customer uses multiple devices to sell shares simultaneously. One update might be overwritten.
2. addBalance(): In the addBalance() method, we update the customer's balance. The lost update can occur when a customer sells multiple listings using different devices simultaneously. One update might be overwritten.

3. addNumShareToCompanyListing(): In the addNumShareToCompanyListing() method, we update the number of shares on the company listing. The lost update can occur when multiple customers sell the same share for a company simultaneously. One update might be overwritten.
4. decreaseBalance(): In the decreaseBalance() method, we update the company balance. The lost update can occur when multiple customers sell shares from the same company simultaneously. The update on the company's balance might be overwritten.

3.1.1.1.3 UpdateCompanyListing Controller

The UpdateCompanyListing Controller handles requests when company users update the company listing in their company management.

Related use case: [UC004] Company users manage the listings

Issue Description On Methods:

1. UpdateAmount(): In the UpdateAmount() method, we update the number of shares for the corresponding company listing. The lost update can occur when multiple company users update the same company listing simultaneously. This is likely since each company has more than one user managing it. One update might be overwritten.
2. updateSid(): In the updateSid() method, we update the newly created share id to the corresponding company listing. This can also lead to a lost update when multiple users attempt to update the same company listing at the same time.

3.1.1.2 Multiple Controller Discussion

From the previous section, we discussed concurrency issues within individual controllers. In this section, we will consider real-world situations where multiple users with distinct role types interact with various controllers concurrently. We aim to explore the compounded challenges that arise when these controllers are accessed simultaneously.

Issue Description:

1. When two people buy the company listing by sending requests to the Purchase Controller and one person wants to sell the shares to the same company listing by sending a request to the Sell Controller simultaneously, the data can easily be overwritten, leading to the lost update.
2. When three people each send requests to different controllers to update the same company listing, this will also result in a lost update.
3. A special lost update scenario occurs when one person buys the share of a company listing and sells it simultaneously. The two removal methods in the Sell Controller, namely removePortfolio() and removeInvestListing(), can cause a lost update as they might delete the current update directly.

3.1.1.3 Proposed Solution: Optimistic Offline Lock

The optimistic offline lock is a concurrency pattern that can handle concurrent requests without locking the transactions. This property is especially important in our share trading system, where users might want to buy or sell shares at a specific moment due to price changes. A quicker response is necessary since the share price will be constantly changing and the current price will become outdated at any moment. Using optimistic offline locks is a great solution. It does not lock each writing transaction, avoiding the creation of a long waiting queue. It can process multiple transactions at the same time but checks the version in the modified data row before committing the whole transaction.

Also, the low coupling of optimistic offline locks can make it easy to integrate into our existing system without making significant changes to our code and structure. This is because it only focuses on the version information without relying on any specific business logic or being tightly coupled with other

components. The high cohesion provides more flexibility as it only focuses on controlling the concurrency on transactions. Therefore, we can simply add the lock on the specific transactions that we want to lock in the corresponding transaction method. Considering our current system has already finalized all the necessary functions, integrating optimistic lock can not only improve our performance to process user's requisitions but also not affect much in our current code and structure.

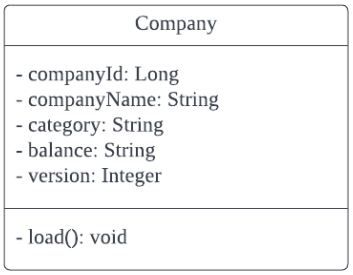
3.1.1.4 Design Rationale

Before choosing the optimistic lock as our proposed solution, we have compared the three different locking patterns in our lecture note which are pessimistic locks, optimistic locks and implicit locks. We took into account the response speed, the real-time nature of data and the complexity. For pessimistic locking, it locks the data before making any changes, indicating that only one transaction at a time can modify the same data. It will cause a long queue of customers waiting to process each request. In this case, the system can be slow when multiple users try to buy or sell the same share at once which is unacceptable for our system since the exchange price can change quickly, and the customer can miss the best moment to trade. Also, it needs more resources to maintain the locking that can lower the system performance. The pessimistic locking can provide more complexity to our system by considering our database has many related tables, the deadlock is likely to happen during locking. To solve the deadlock will be challenging. For implicit locking, it provides automatic locking but not flexible enough. It locks everywhere for each transaction, which is also not a good choice for our system.

So we decided to choose optimistic locking. It is simple to integrate into the system due to its low coupling and high cohesion property. Also, it will not lock the data but to check the version before commit, reduce the usage of resources and make the system faster to respond by avoiding the deadlock situation.

By investigating the methods that we discussed before, we realized that the UnitOfWork class is the key point for handling the lost update issue. This class already handles most interactions with databases by calling the methods of the mapper, like insert, set, and delete. To maintain the overall structure and the responsibility of each class, we decided to use UnitOfWork to track all the methods that need to be handled to solve the lost update issue. This decision can help us avoid spreading our code across many classes, which makes the overall code clean and easier to maintain. To use the optimistic lock, we added a version column in the database tables related to the mapper whose method needed concurrency control. The version column will act as the heart of the optimistic lock, helping us track changes in each record. Then, we updated the SQL statements in the methods of mappers called by the UnitOfWork class to make sure the version number is updated correctly every time data changes. We will check the version of the row we want to modify, and if the version is not matched, we will roll the entire transaction back to make sure the data stays correct and consistent. This approach can help us handle the lost update in one main place and not only gives us effective concurrency control but also ensures our system is well-organized and maintainable.

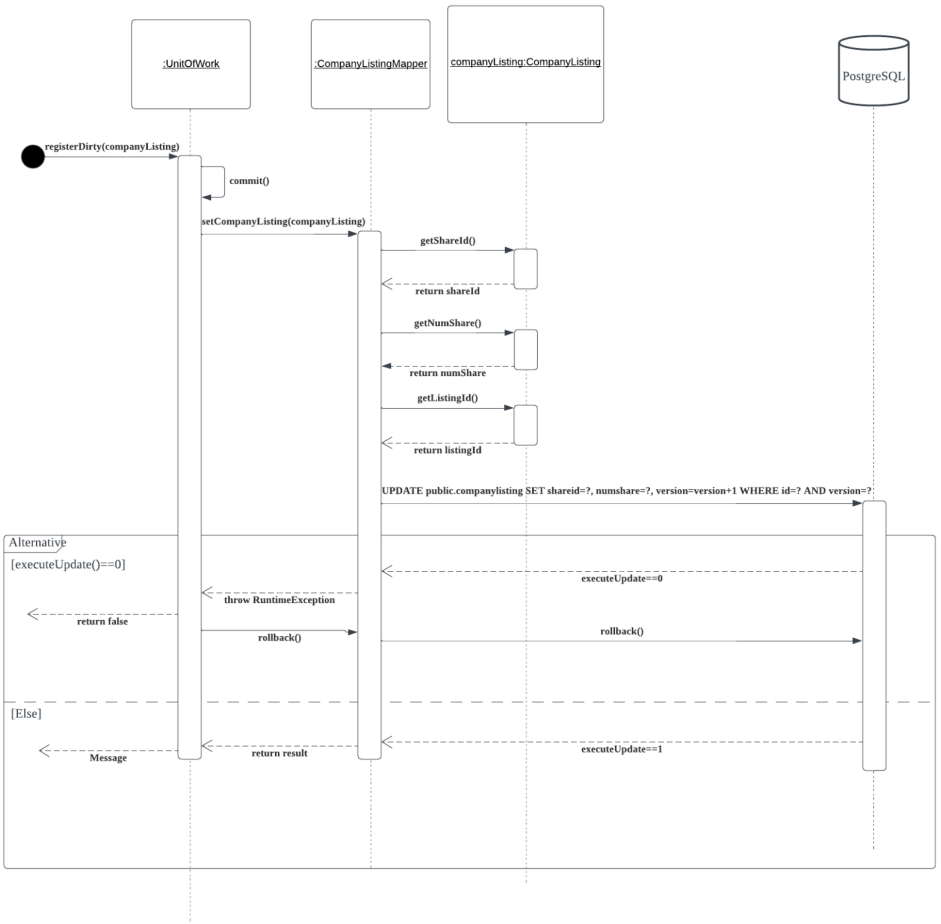
3.1.1.5 Implementation



To implement the optimistic locking, we have added the version column for necessary tables. Then, we updated the corresponding domain classes so that we can get the current version and modified the SQL statements in the relevant mappers' method. The table below details all the modifications.

Table	Domain class	Mapper class: method
company	Company	CompanyMapper: setCompany()
companylisting	Listing(parent): CompanyListing	CompanyListingMapper: setCompanyListing()
investlisting	Listing(parent): InvestListing	InvestListingMapper: setInvestListing()
customer	Customer	CustomerMapper: setCustomer()
portfolio	Portfolio	PortfolioMapper: deletePortfolio()
share	Share	ShareMapper: setShare()

Since all the modifications are using the same logic, to show the implementation clearly and understandable. We will illustrate the behavior of UnitOfWork, CompanyListingMapper and CompanyListing by using the sequence diagram. The sequence is triggered when the company listing is registered in the UnitOfwork and invokes the commit() method on UnitOfWork. We will show how the system will run when the version is matched or unmatched.



3.1.2 Duplicate Add

The duplicate add is a typical concurrency issue that occurs when multiple users try to add the identical data concurrently. This issue will lead to unintended duplicate data in our system or make our system crash.

3.1.2.1 Single Controller Discussion

In this section, we will consider each controller individually to determine the circumstances under which a duplicate add might occur during concurrent multiple requests.

3.1.2.1.1 AddShare Controller

The AddShare Controller handles requests when company users create new shares in the company dashboard.

Related use case: [UC003] Create new listing — should add the share first

Issue Description On Methods:

1. addShare(): In the addShare() method, we add the new share data to the share table. The duplicate add issue can occur when multiple company users try to add the same share type and price. The same share data will be created as unexpected.

3.1.2.1.2 AddCompanyListing Controller

The AddCompanyListing Controller handles requests when company users create new company listings in the company listing management.

Related use case: [UC003] Create new listing

Issue Description On Methods:

1. addCompanyListing(): In the addCompanyListing() method, we add the new company listing to the companylisting table. The duplicate add issue can occur when multiple company users try to add the same company listing. The same company listing data will be created as unexpected.

3.1.2.2 Multiple Controller Discussion

From the previous section, we discussed concurrency issues within individual controllers. In this section, we will consider real-world situations where multiple users with distinct role types interact with various controllers concurrently. We aim to explore the compounded challenges that arise when these controllers are accessed simultaneously.

Issue Description:

Based on our careful brainstorming, we do not think we should have more concurrency issues based on the run of the controller simultaneously as the two controllers modify the different tables. They are independent of each other. This section is just showcast that we have considered this situation.

3.2.1.3 Proposed Solution: synchronized

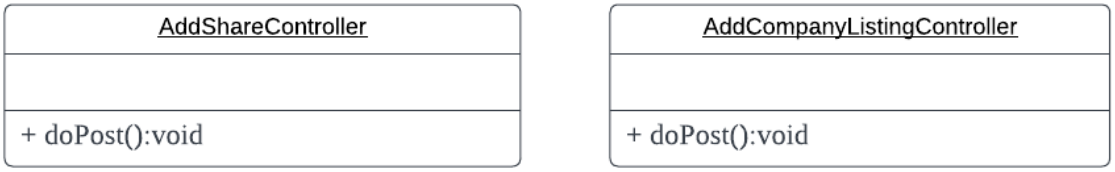
The synchronized can make sure the data consistency when multiple requests try to add the same element at the same time. It can lock the method that we discovered in the previous section to make sure only one request can access the method at a time. It also has low coupling and high cohesion since there is no dependency on other classes; we could just add the synchronized logic on the controller which surrounds the method. It will not increase the complexity of the system and makes the changes easy to detect and maintain.

3.2.1.4 Design Rational

To handle the duplicate add issue, we have considered other alternative choices like explicit locking and database online lock. With explicit locking, we need to add code to implement the acquire lock and release lock logic, which will change our overall coding structure. It will increase the code complexity and the risk of having more unexpected problems to handle. The database online lock can keep the data consistent at the database level. There is not much coding to modify, it will lock the table and row that when other people want to access the same row or table, they need to wait until the other process is finished. It is not acceptable since our system needs a high response to the user's request. Using synchronized can make sure the other request still can access and modify the same table and same row. Considering our system would have a low frequency when the company user uses the add related controller, there will not cause a long waiting queue to use the synchronized and also can make

sure the other important request is still processing without waiting. Synchronization is the best way to handle the duplicated add issue in our system.

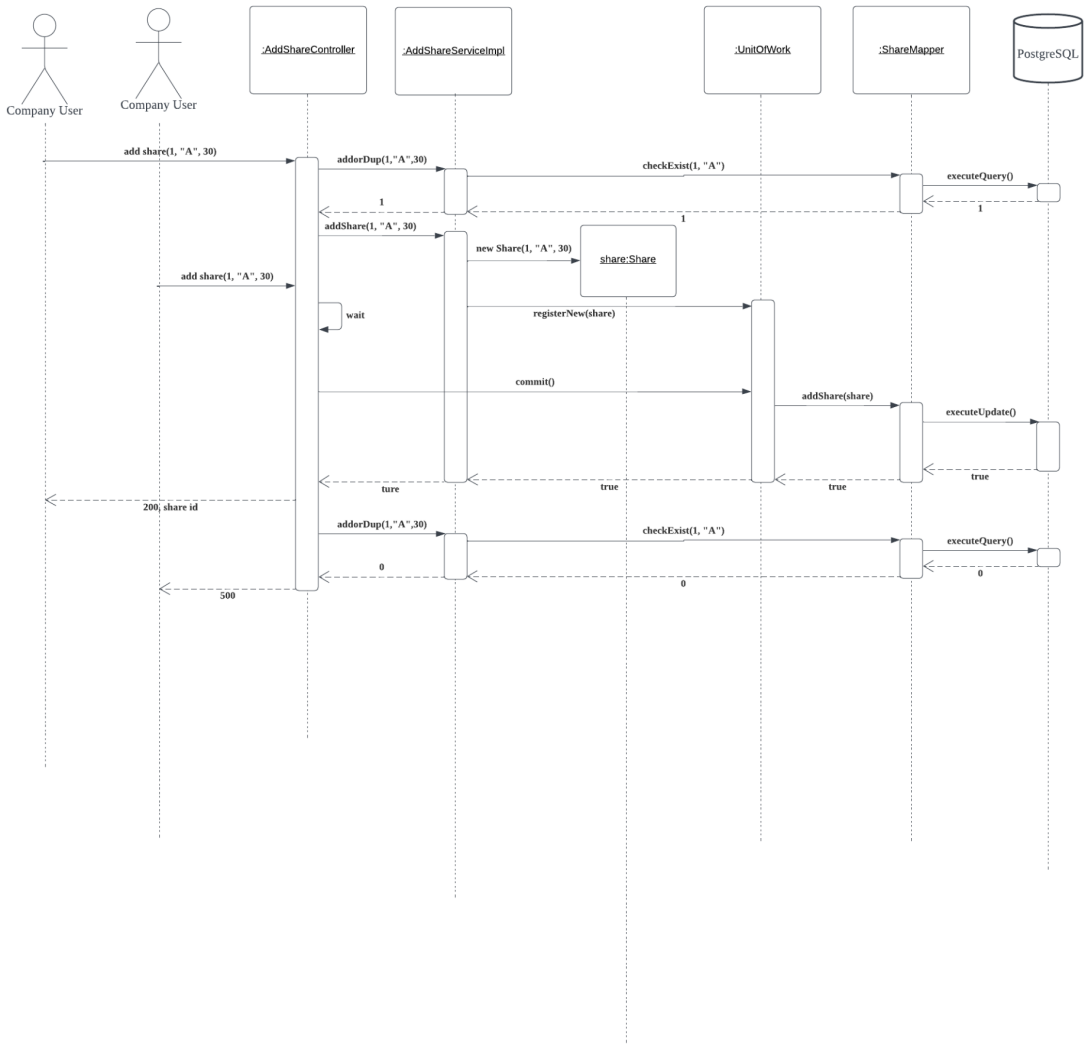
3.2.1.5 Implementation



To implement synchronization, we will not modify our classes structure but we will add the synchronized(this) to surround the methods that will have the duplicated add issue.

Controller	Method Covered by synchronized(this)
AddCompanyListingController	addCompanyListing()
AddShareController	addShare()

Since all the modifications are using the same logic, to show the implementation clearly and understandable. The sequence diagram will illustrate the behaviour on how the AddShareController works when the two requests are coming concurrently.



4. Testing Strategy

Introduction

After thinking about the concurrency problems for specific use cases, our team decides to do load tests to check when multiple users change or create something concurrently, does everything go correctly? Jmeter is a good tool for load tests and it is also an open resource for us to use. It can simulate multiple users to use the application then analysing the performance under different situations. The load tests can give us a wide view for the whole system and make sure there are no unexpected results.

The reason why we use load tests instead of unit tests is because our application has tested each unit of our application as expected. Currently, the concurrency problems are more important. Unit tests always

isolate small components from the system while load tests can apply real-world scenarios which interact with multiple users.

How does this testing strategy work

As we mentioned above, changes to the specific row or creating new rows in the database are the main concurrency issues which we need to solve. We identify 2 thread groups in total according to the real-world scenarios. Each thread group will use multiple threads to send random http requests included in the group. Then we can check if the http requests are sent correctly or do they roll back as expected.

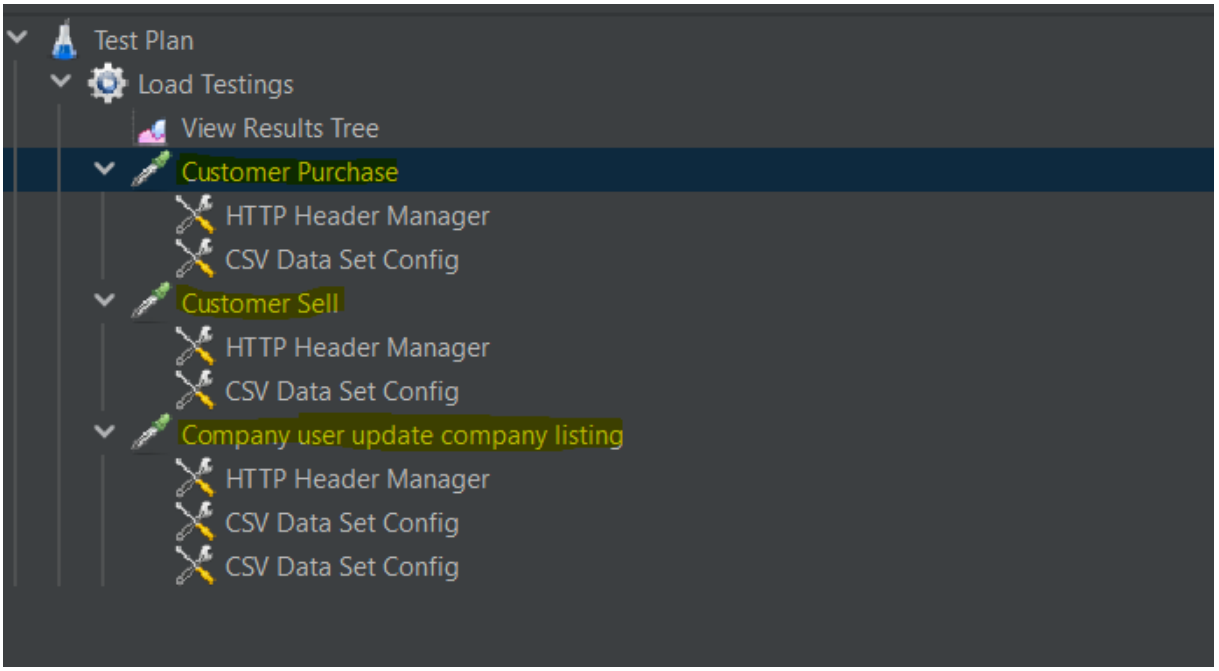
Firstly, we consider that the interactions between customers with the share trading system will be frequent. If one of the shares seems to give more profits than the other, people are more likely to buy it. When different customers buy the shares at the same time, the number of shares has to be updated correctly. Therefore, we group the changes to the company listing together to test how actions apply on the specific company listing correctly. The actions of 'purchase', 'sell' and 'update company listing' by the company user are mixed in the same thread group. We ask ourselves, what if 2 customers both buy the same company listing at the same time? What if one customer buys the same company listing at the same time? What if the customer buys the company listing while the company user is trying to update the company listing? Any combination of these actions in this group may occur concurrency issues.

Secondly, the other group is creating shares and creating company listings. We only care about the situation of 2 company users creating the same share or company listing. As creating company listings have no effect on the actions of purchasing, selling, or updating existing listings, this group is tested mainly for the online lock to create rows in the database as expected.

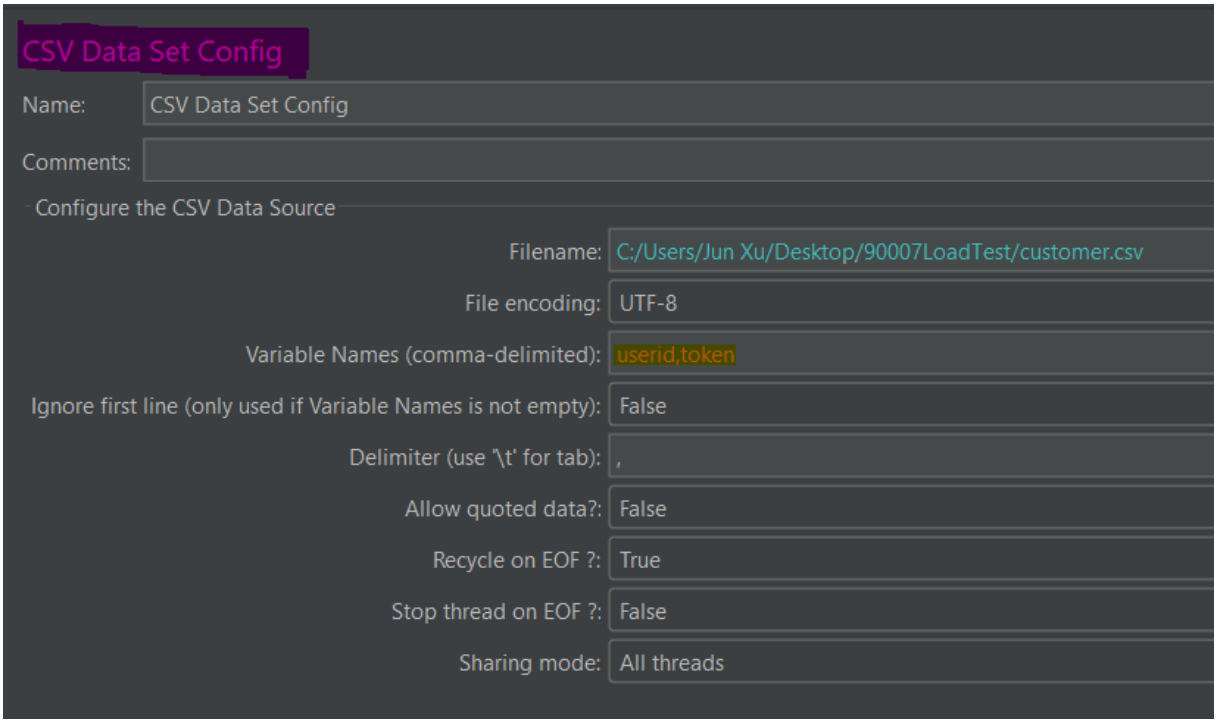
Testing and Analysis

Testing thread group 1: sell, purchase, update company listing

Setup:

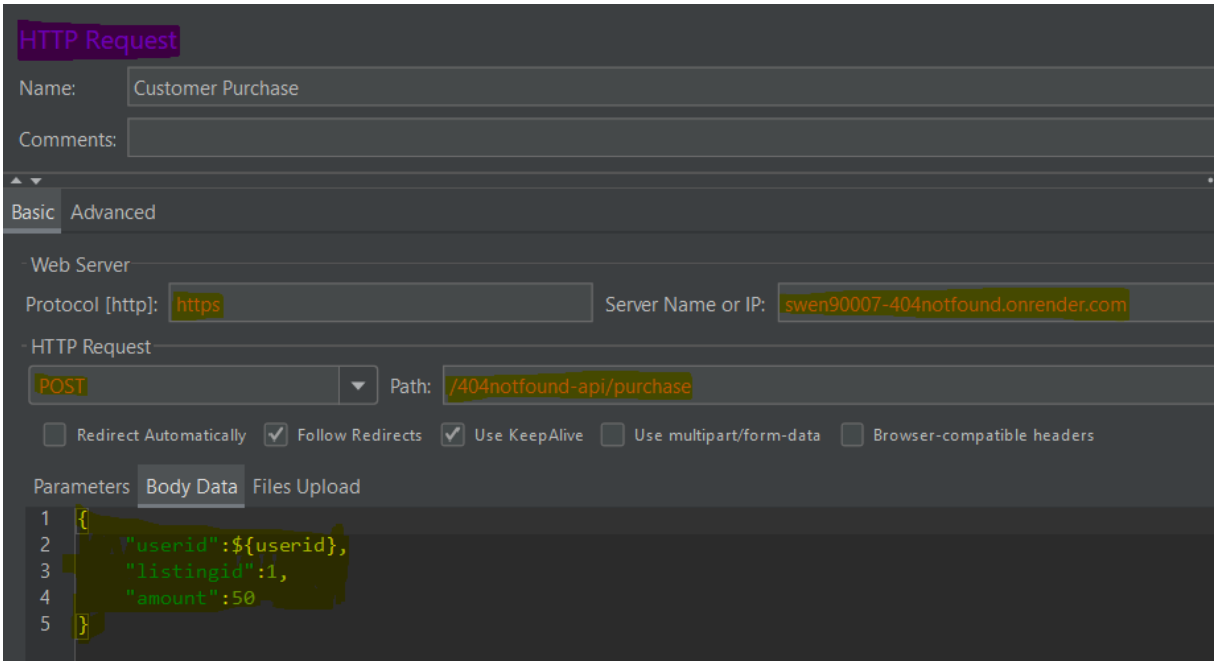


There are a total of 3 http requests in this thread group and they are 'sell', 'purchase' and 'update company listing'. As our team has completed the authentication and authorization by jwt tokens, the first step is adding tokens in the http header of each request. For convenience, after the live server backend activates, we use the postman to get 2 different tokens for customers, 2 different company users for the same company. Then we use the 'CSV Data Set Config' to read tokens from the csv files on the desktop.



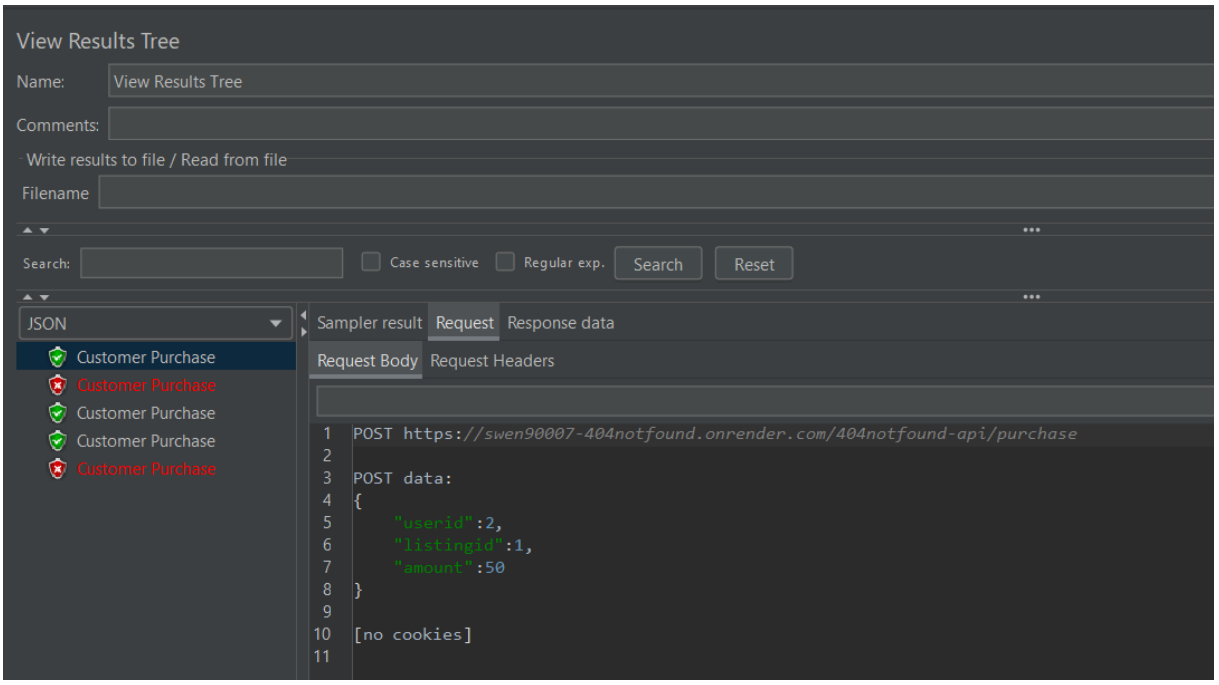
Generally, any parameter that we need to upload by hand can be extracted from the csv files by this tool and we have multiple csv files to handle different requirements.

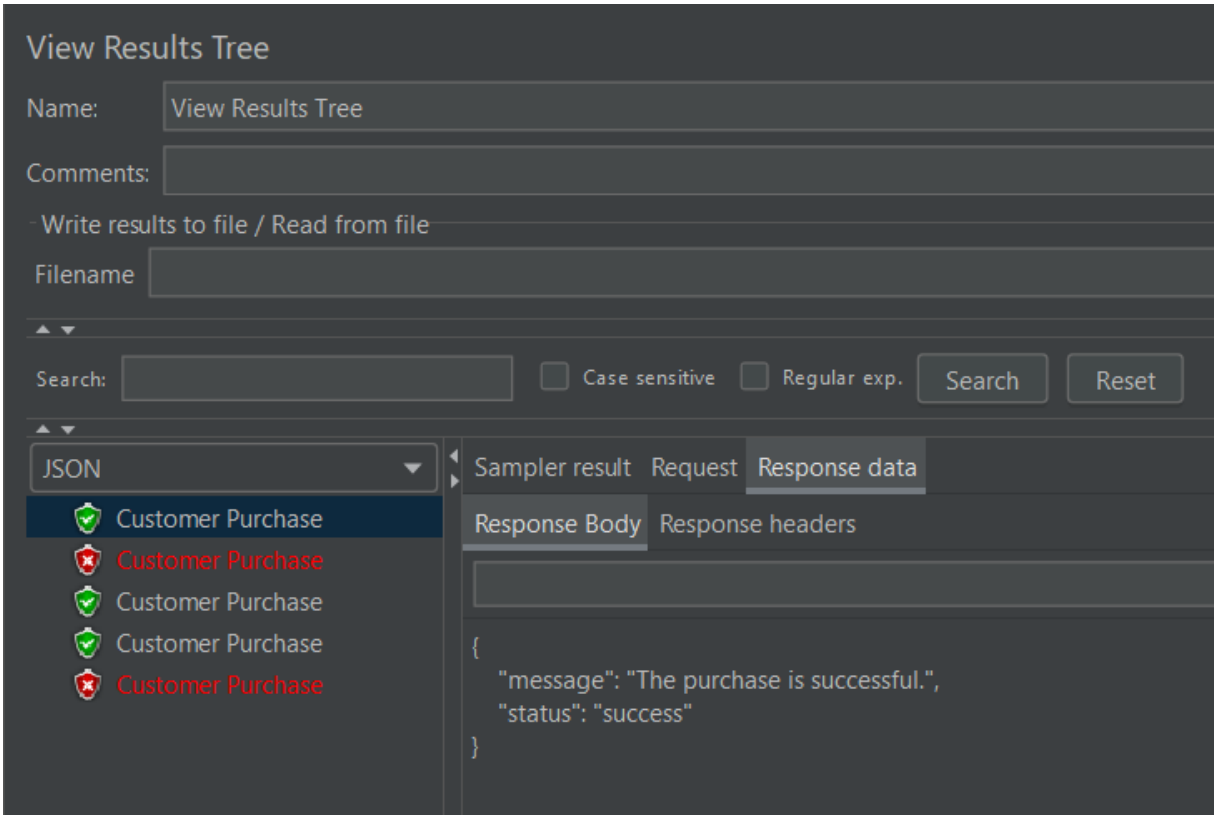
Then just like what we do in the postman, we will fill the request server, path and body data to simulate different users to send the requests concurrently.



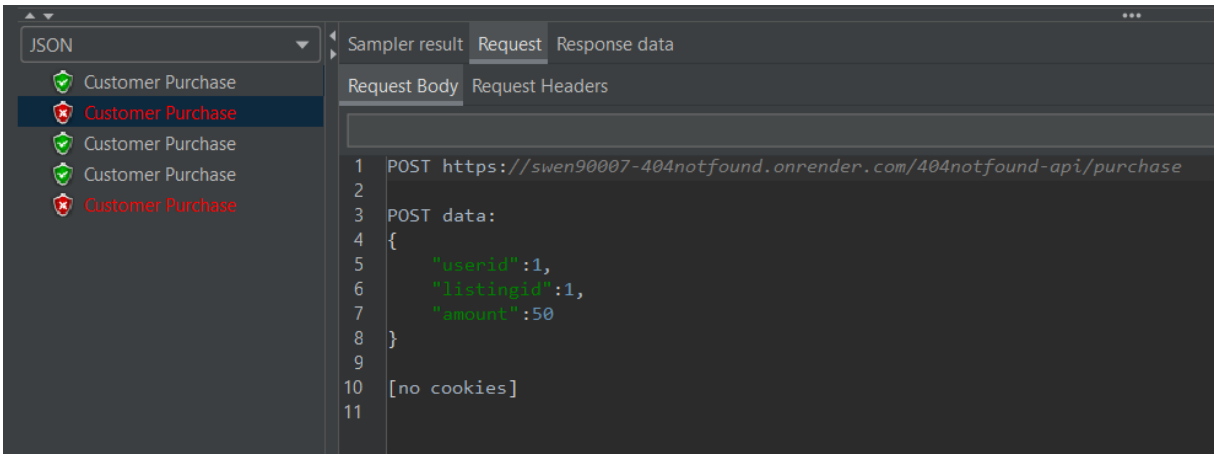
Outcome:

Success





Failure(rollback)




```
Oct 13 11:21:36 PM  Rolling back transaction: null
Oct 13 11:21:38 PM  Rolling back transaction: null
Oct 13 11:21:38 PM  Rolling back transaction: null
Oct 13 11:21:39 PM  claims-----» {userType=Customer, uniqueId=Customer_2, jti=144fd6fb-be04-421c-a69c-7d44833e369e, iat=1697199023, sub=Customer_2
, exp=1697285423}
Oct 13 11:21:39 PM  Customer
Oct 13 11:21:39 PM  Customer
Oct 13 11:21:40 PM  claims-----» {userType=Customer, uniqueId=Customer_1, jti=14c2286a-8cd2-40a5-a01a-8d79a235b319, iat=1697199012, sub=Customer_1
, exp=1697285412}
Oct 13 11:21:40 PM  Customer
Oct 13 11:21:40 PM  Customer
Oct 13 11:21:40 PM  claims-----» {userType=Customer, uniqueId=Customer_1, jti=14c2286a-8cd2-40a5-a01a-8d79a235b319, iat=1697199012, sub=Customer_1
, exp=1697285412}
Oct 13 11:21:42 PM  Customer
Oct 13 11:21:42 PM  Customer
Oct 13 11:21:42 PM  Rolling back transaction: null
Oct 13 11:21:55 PM  Rolling back transaction: null
```

Analysis:

From the backend log, we can find that it actually rolls back because it fails to commit. It is because of our optimistic offline lock. The basic idea for the lock is using a column of version in the database to check whether I can do this action. Because the first request of purchasing is sent successfully, the version will be added 1, then the version is not matched any more for some of the other threads. As a result, the second request actually rolls back. In addition, because there are 3 requests sent successfully, the data rows in the database are changed accordingly as expected. The version of the row adds up 3. Therefore, we can say that the optimistic lock solves possible concurrent problems.

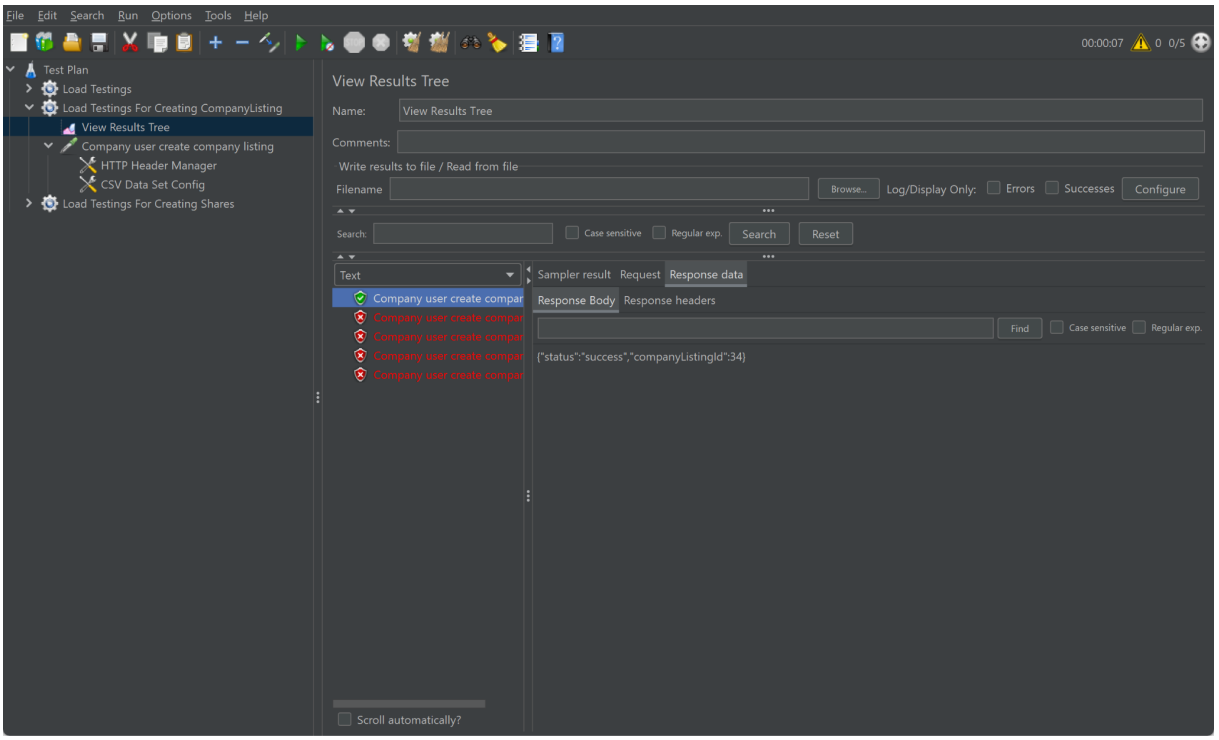
Testing thread group 2: create new shares, create new company listings

Setup:

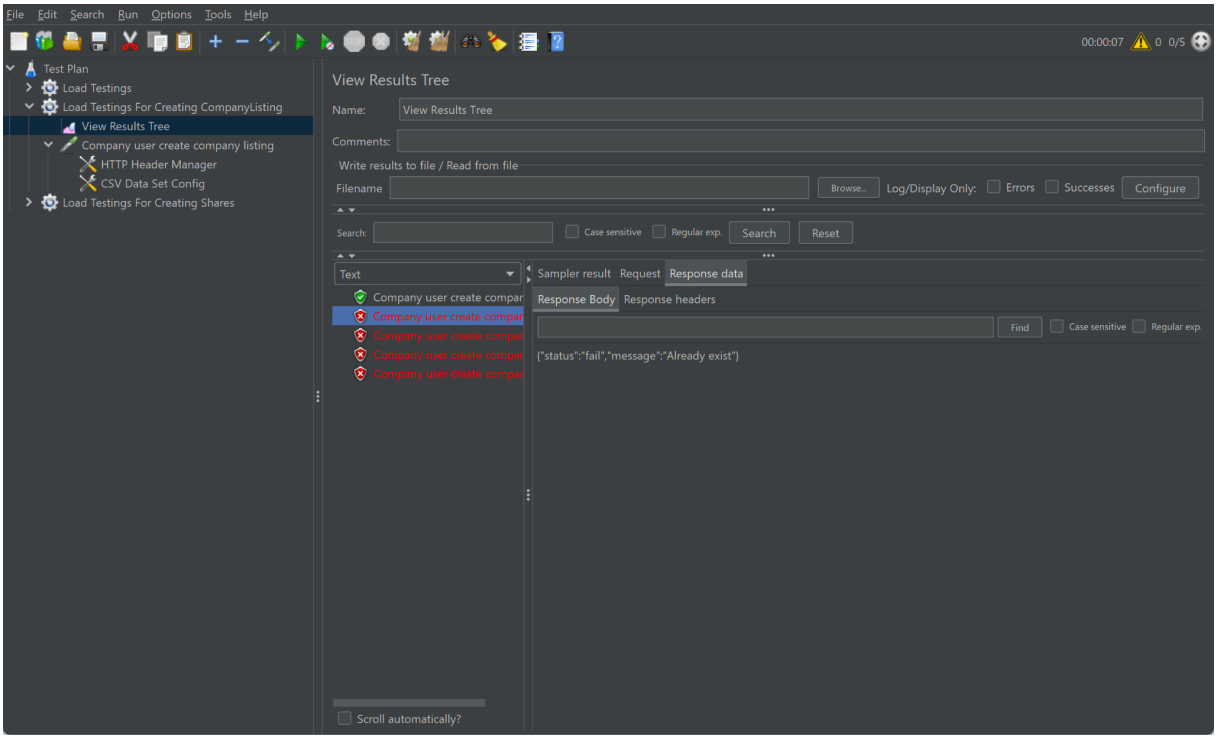
Similarly, we use csv files to upload required parameters. Then filling out required fields to simulate multiple users to do the same action. Ideally, the outcome should be only one request sent successfully and others all fail as we use the online lock to lock the whole table. The lock is only released after the first thread finishes its task and the first request should be fine.

Outcome:

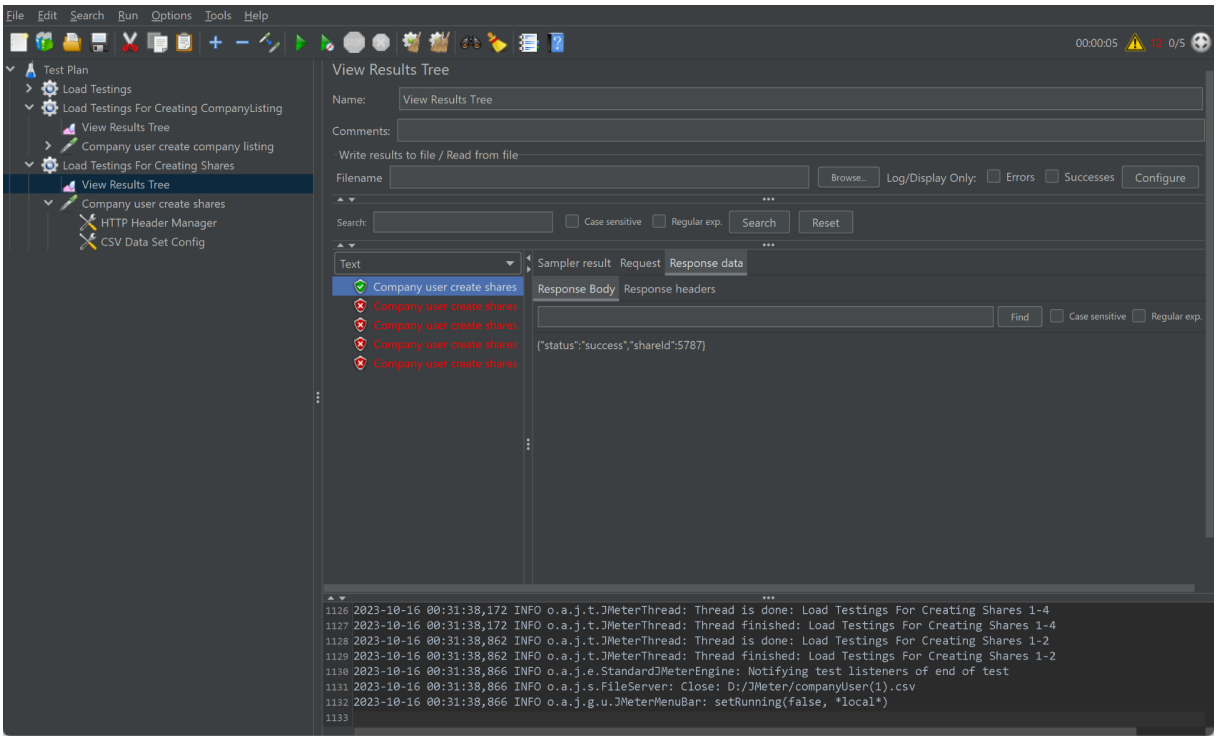
Create new company listing successfully



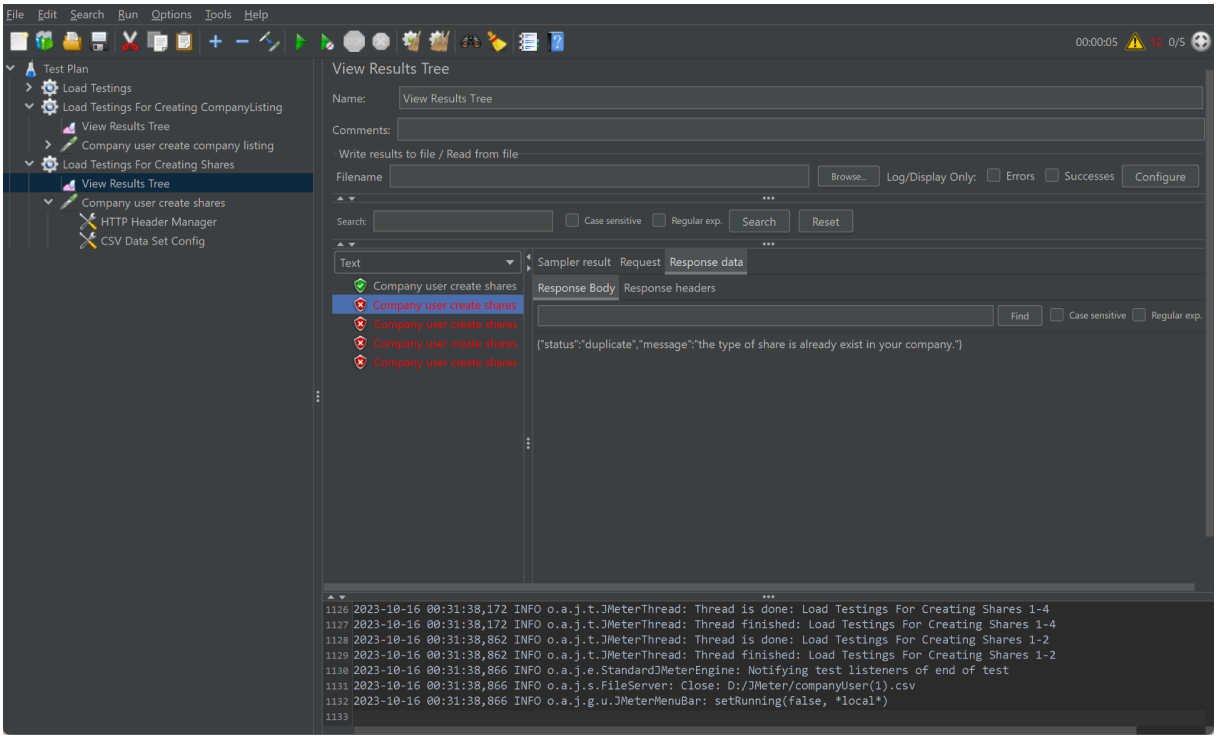
Fail to create new company listing and rolls back



Create new shares successfully



Fail to create new shares and rolls back



Analysis:

We can see that only one of the threads sends the request successfully for both of the creating requests. We assume that creating new things do not have many concurrent issues because this action is not as frequent as customers purchase the shares. Therefore, the basic idea here is just locking the controller. Only one request can be sent at the same time. The first thread comes and asks for the lock. Then other

threads can not use that controller. Only after the lock is released, other threads can ask for the lock. As a result, the first request is sent and creates new shares and new company listings. When other threads get the lock and send the request, it will fail because of duplication as expected. Therefore, we can say that the concurrent issues have been fixed.