



**THE COMPLIANCE &
ETHICS ACADEMY**
A Legasis Initiative

Certified Compliance and Ethics Professional Course

A 3 day extensive
certificate course on
Compliance and Ethics

Mumbai: 23rd to 25th April, 2020
Time: 9am - 6pm

Shri Suhas Tuljapurkar and Dr. Anita Shantaram are doing a great job of enabling a learning environment for compliance professionals over a period of three days. I learned very valuable and essential information during the extensive training course. The practical aspects of managing the complex compliance functions and ensuring buy-ins from the Boards of Corporate India were very lucidly imbibed in our minds. I will surely be using the course manual and training sheets in the years to come. Special mention goes to the Bhopal Gas Tragedy case study which was explained and elaborated by Shri Suhas Tuljapurkar. I would enthusiastically recommend this seminar to all the professionals in the field of compliance who wants to get to a “yes” from top management (ethically, of course!).

- Prajakta Powle, Company Secretary, BSE Limited

The Compliance & Ethics Academy, Legasis Services' latest initiative, is proud to present the sixth edition of the “Certified Compliance and Ethics Professional Course”. Our course offerings have been specifically designed to help professionals in adhering to the best compliance and ethics practices by strengthening their value base even in adverse circumstances that are constantly hitting the business industry, the most recent ones being PNB Scam, GST Scandal and many more. Objectives of this course are:

- Benchmarking compliance and ethics practices in line with the latest trends
- Imparting and enhancing the requisite skills to manage a compliance and ethics program
- Addressing the knowledge and implementation gap in ethics and compliance program
- Unearthing the reasons behind the board-room battles
- Sensitising need of ethical practice on economic, financial, managerial and environmental aspects of business

Special Offering:

- Experienced Professionals and seasoned faculty from relevant domains will guide you through the ambiguities of compliance and organisational ethics and everything you should know about it
- Legasis extends to you, LegaComply - A Tool kit, which is a Do-It-Yourself Compliance framework
- Facilitates interactive sessions and exchange of ideas between Compliance Professionals

A Preview of the Course Curriculum:

- Fundamentals of Compliance and Ethics
- Essentials of a Corporate Compliance Program

- GDPR, Data Privacy & Cyber Security
- Anti-bribery & Anti-corruption with special focus on the applicability of the US-FCPA & UK-BA to Indian Businesses
- Corporate Frauds
- POSH (Prevention of Sexual Harassment)

Importance of the Certification:

- For the Organisation:
This Course provides training and real time solutions to the relevant Compliance Professionals on how to drive an effective compliance and ethics program. It showcases the organisations' commitment to compliance and ethics thereby making it a part of its standard operating procedure.

- For an Individual:
Addresses the knowledge and skill gap in the understanding of compliance and organisational ethics. Increases employability, given that concepts like board evaluation, corporate governance and ethical practice are gaining corporate limelight especially after the recent board battles and corporate governance issues.

Who Should Attend?

- Compliance Professionals
- Individuals who seek an in-depth understanding of organisational ethics and compliance implementation
- Company Secretaries



"Leadership and learning are indispensable to each other"
- John F. Kennedy

Brief Overview:

FACULTY NAMES	TOPICS
Mr. Suhas Tuljapurkar Managing Partner, Legasis Partners and Founder Director, Legasis Services Pvt. Ltd.	<ul style="list-style-type: none">Introduction to Compliance and EthicsFundamentals of Compliance and EthicsCorporate GovernanceCompliance and Ethics Charter
Mr. Ramesh Sharma, IPS (Retd.) Former Director General of Police, Executive Director, Legasis Services Pvt. Ltd.	<ul style="list-style-type: none">Anti-Bribery Anti- CorruptionFCPA & UKBACorporate Frauds
Mr. Rajesh Bagga Founder, Yuhina Law, Advocates and Consultants	<ul style="list-style-type: none">Compliance Training and AwarenessMeasuring effectiveness of Training ProgramsBudget Building for Compliance Program
Ms. Nehal Shah Head of Legal Compliance and Secretarial, YES Asset Management	<ul style="list-style-type: none">Compliance and Ethics AuditEngagement with the Regulator – Do's and Don'tsRegulatory Audit and what it entailsStock exchange and Regulatory Agencies
Mr. Apurv Sardeshmukh Partner, Legasis Partners	<ul style="list-style-type: none">Data Privacy and Cyber SecurityCompetition and Anti-Trust in IndiaGDPRConflict of Interest and Related Party Transaction
Dr. Anita Shantaram Head- The Compliance & Ethics Academy Legasis Services Pvt. Ltd.	<ul style="list-style-type: none">Organisational EthicsCreating and Developing an Ethical Culture

"This particular course stands true to its name. It covers every aspect of Compliance and Ethics from a business perspective. A great learning platform indeed!"

-Sushrita Dey, Executive- Compliance , Lowe's Services India Private Limited

This course was quite comprehensive and well designed. It helped me to update myself with the current trends in the "Compliance and Ethics" area and also to build on my current knowledge and skills. It had a good blend of theoretical and practical knowledge. The sessions held were interactive. It was overall a very good course. This course would be recommended to the professionals working in the area of "Compliance and Ethics" as well as to people who plan to explore this area in future anytime.

- CA Ranjit Newaskar, Senior Third Party Advisor, Maersk Global Service Centres (India) Private Limited



In the above picture (standing from left to right): Mr. Jaideep Kewalramani, (CEO, Legasis Services Pvt. Ltd), Mr. Nehal Vora (Chief Regulatory Officer, BSE), Dr. Anita Shantaram (Head- The Compliance & Ethics Academy), Mr. Arun Kulkarni (Director, Legasis Services Pvt Ltd), Mr. Suhas Tuljapurkar (Managing Partner, Legasis Partners & Founder Director, Legasis Services Pvt Ltd).

The Compliance & Ethics Academy has successfully completed six editions of the course in Mumbai with participants from BSE, Maersk, PwC, Asian Paints, Sterlite Power Transmission, Tata Steel, Aditya Birla and more..

FEE:

Rs. 65,000/- per person (Inclusive of Examination and Certification charges)

Rs. 50,000/- per person (Charges without examination)

BANK DETAILS:

Ethics Research and Consulting Private Limited

Bank of India, IFSC Code: BKID0000028, A/C No. : 002820110000728

Email us for Early Bird Discount

CALENDAR - COMPLIANCE AND ETHICS ACADEMY

Dates	30-31 August & 1 September 2018	17-19 January, 2019	25-27 July, 2019	16-18 January, 2020	23-25January, 2020	2-4July, 2020
Location	Mumbai	Mumbai	Mumbai	Mumbai	Mumbai	Pune

"I am an IPR and brand protection expert making compliance and ethics a new area for me, but this course helped enhance my knowledge. The course's teaching methodology was instrumental in understanding the development and implementation of ethics and compliance in real world situations. The interactive teaching style ensures that course participants are fully engaged at all times with the information provided. I would not only recommend compliance and ethic professionals but others too to take this course up actively!"

- Mr. Qutbuddin Siddiquee, DGM- Legal and IPR, Crompton Greaves Consumer Electricals Limited.



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