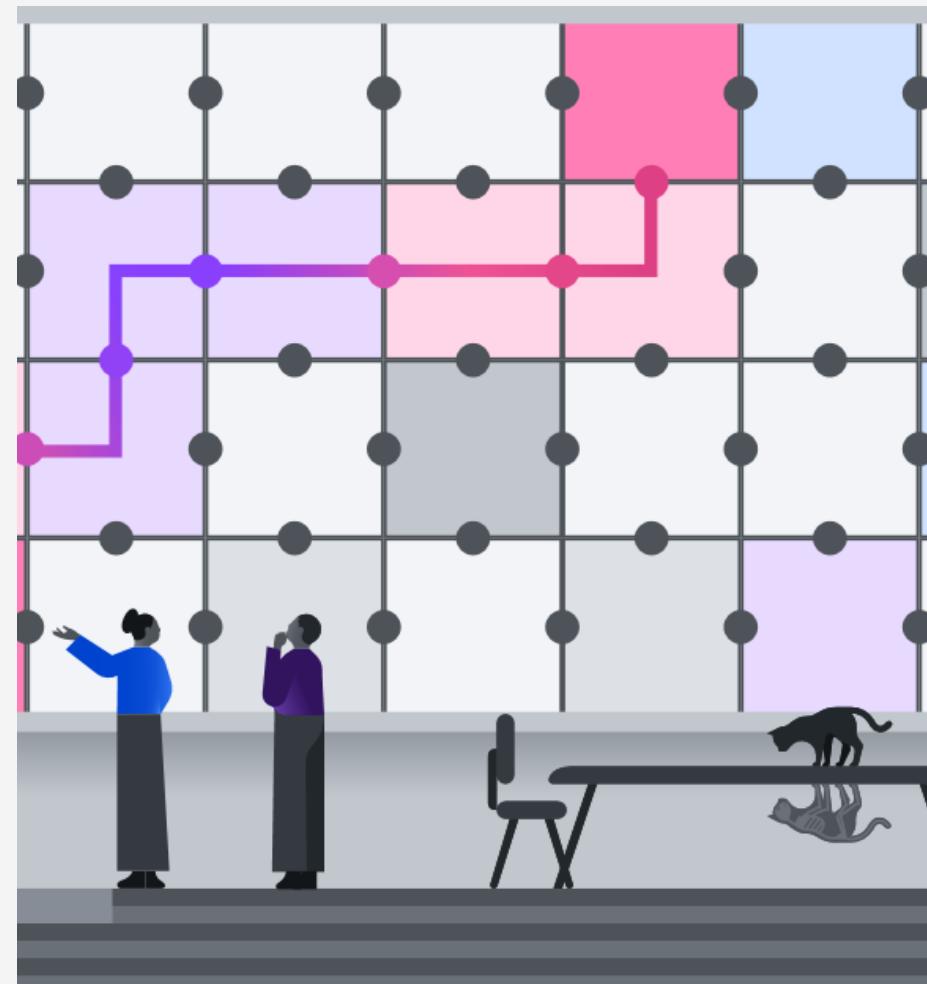
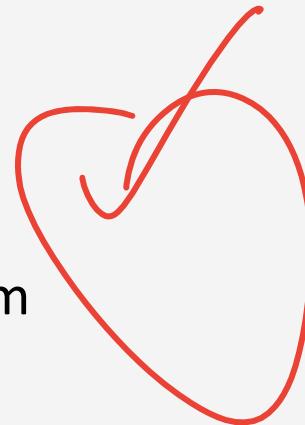


# Understanding quantum information and computation

By John Watrous

Lesson 14  
The stabilizer formalism



# Pauli operations

$$\mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Anti-commutation relations:

$$XY = -YX \quad XZ = -ZX \quad YZ = -ZY$$

Multiplication rules:

$$XY = iZ \quad YZ = iX \quad ZX = iY \quad XX = YY = ZZ = \mathbb{1}$$

An **n-qubit Pauli operation** is the **n**-fold tensor product of Pauli matrices. Its **weight** is the number of non-identity Pauli matrices in the tensor product.

$$\mathbb{1} \otimes \mathbb{1} \quad \leftarrow \text{weight 0}$$

$$X \otimes X \otimes \mathbb{1} \quad \leftarrow \text{weight 2}$$

$$X \otimes Y \otimes Z \otimes \mathbb{1} \otimes \mathbb{1} \otimes \mathbb{1} \otimes X \otimes Y \otimes Z \quad \leftarrow \text{weight 6}$$

# Pauli operations as generators

$$\mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Suppose that  $P_1, \dots, P_r$  are n-qubit Pauli operations.

The set generated by  $P_1, \dots, P_r$  includes all matrices that can be obtained from  $P_1, \dots, P_r$  by multiplication (taking any number of each operation and in any order).

Notation:  $\langle P_1, \dots, P_r \rangle$

Example 1

$$\langle X, Y, Z \rangle = \{ \alpha P : \alpha \in \{1, i, -1, -i\}, P \in \{\mathbb{1}, X, Y, Z\} \} \quad (16 \text{ elements})$$

Example 2

$$\langle X, Z \rangle = \{ \mathbb{1}, X, Z, XZ, -\mathbb{1}, -X, -Z, -XZ \} \quad (8 \text{ elements})$$

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Suppose that  $P_1, \dots, P_r$  are  $n$ -qubit Pauli operations.

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## Example 3

$$\langle X \otimes X, Z \otimes Z \rangle = \{\mathbb{1} \otimes \mathbb{1}, X \otimes X, -Y \otimes Y, Z \otimes Z\} \quad (4 \text{ elements})$$

# Pauli observables

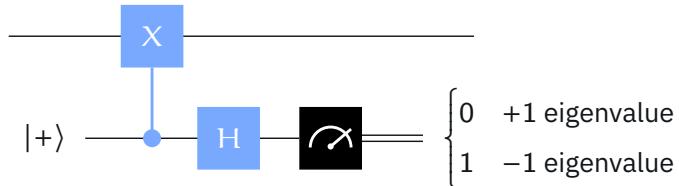
Pauli matrices describe unitary operations – but they also describe *measurements*.

More precisely, we can associate each Pauli matrix with a *projective measurement* defined by its eigenvectors.

$$X = |+\rangle\langle+| - |-\rangle\langle-| \quad Y = |+i\rangle\langle+i| - |-i\rangle\langle-i| \quad Z = |0\rangle\langle 0| - |1\rangle\langle 1|$$

For example, an  $X$  measurement is a measurement with respect to the basis  $\{|+\rangle, |-\rangle\}$ . Equivalently it is the measurement described by the set  $\{|+\rangle\langle+|, |-\rangle\langle-|\}$ .

We can perform this measurement non-destructively using *phase estimation*.



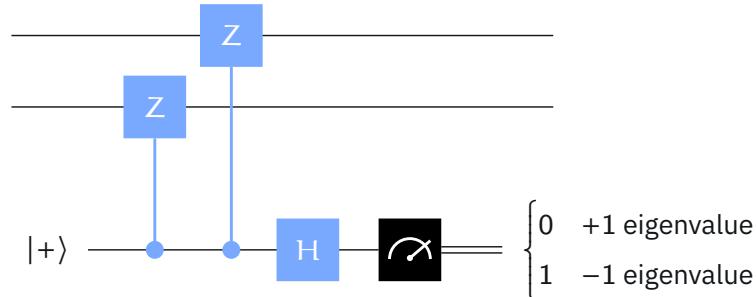
# Pauli observables

This extends naturally to  $n$ -qubit Pauli operations. For example, consider  $Z \otimes Z$ .

$$\begin{aligned}Z \otimes Z &= (|0\rangle\langle 0| - |1\rangle\langle 1|) \otimes (|0\rangle\langle 0| - |1\rangle\langle 1|) \\&= (|00\rangle\langle 00| + |11\rangle\langle 11|) - (|01\rangle\langle 01| + |10\rangle\langle 10|)\end{aligned}$$

The associated measurement is the two-outcome projective measurement described by the set  $\{|00\rangle\langle 00| + |11\rangle\langle 11|, |01\rangle\langle 01| + |10\rangle\langle 10|\}$ .

Again we can perform this measurement non-destructively using phase estimation.



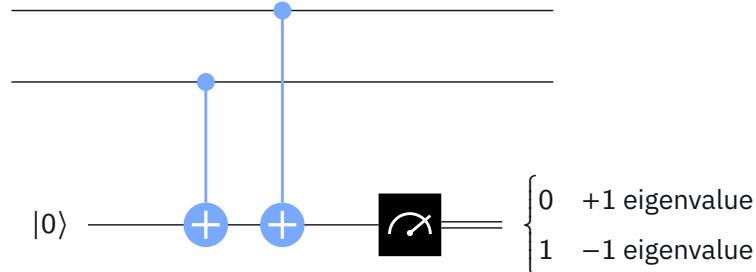
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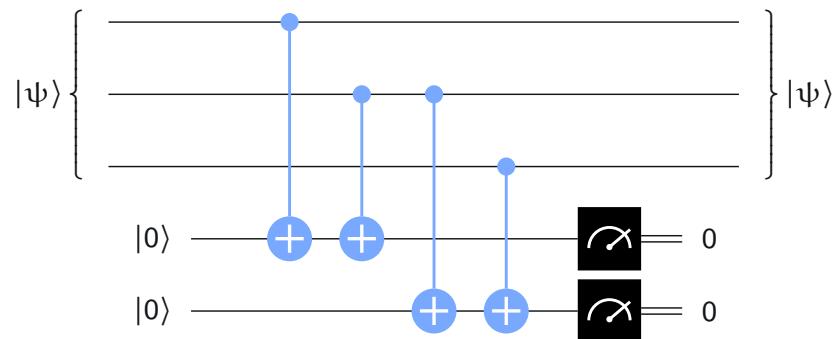
# Repetition code revisited

The 3-bit repetition code encodes qubit states as follows:

$$\alpha|0\rangle + \beta|1\rangle \mapsto \alpha|000\rangle + \beta|111\rangle = |\psi\rangle$$

To check that the 3-qubit state  $|\psi\rangle$  is a valid encoding of a qubit, it suffices to check these two equations:

$$(Z \otimes Z \otimes 1)|\psi\rangle = |\psi\rangle$$
$$(1 \otimes Z \otimes Z)|\psi\rangle = |\psi\rangle$$



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The 3-qubit Pauli operations  $Z \otimes Z \otimes 1$  and  $1 \otimes Z \otimes Z$  are **stabilizer generators** for this code. The **stabilizer** for the code is the set generated by the stabilizer generators.

$$\langle Z \otimes Z \otimes 1, 1 \otimes Z \otimes Z \rangle = \{1 \otimes 1 \otimes 1, Z \otimes Z \otimes 1, 1 \otimes Z \otimes Z, Z \otimes 1 \otimes Z\}$$

# Bit-flip detection

$$\alpha|0\rangle + \beta|1\rangle \mapsto \alpha|000\rangle + \beta|111\rangle = |\psi\rangle$$

$$(Z \otimes Z \otimes 1)|\psi\rangle = |\psi\rangle$$

$$(1 \otimes Z \otimes Z)|\psi\rangle = |\psi\rangle$$

Suppose a bit-flip error occurs on the leftmost qubit.

$$|\psi\rangle \mapsto (X \otimes 1 \otimes 1)|\psi\rangle$$

By treating the stabilizer generators as observables, we can detect this error.

$$(Z \otimes Z \otimes 1)(X \otimes 1 \otimes 1)|\psi\rangle = -(X \otimes 1 \otimes 1)(Z \otimes Z \otimes 1)|\psi\rangle = -(X \otimes 1 \otimes 1)|\psi\rangle$$

$$(1 \otimes Z \otimes Z)(X \otimes 1 \otimes 1)|\psi\rangle = (X \otimes 1 \otimes 1)(1 \otimes Z \otimes Z)|\psi\rangle = (X \otimes 1 \otimes 1)|\psi\rangle$$

$$(Z \otimes Z \otimes 1)(X \otimes 1 \otimes 1) = -(X \otimes 1 \otimes 1)(Z \otimes Z \otimes 1)$$

$$(1 \otimes Z \otimes Z)(X \otimes 1 \otimes 1) = (X \otimes 1 \otimes 1)(1 \otimes Z \otimes Z)$$

# Bit-flip detection

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$$(1 \otimes Z \otimes Z)(X \otimes 1 \otimes 1) = (X \otimes 1 \otimes 1)(1 \otimes Z \otimes Z)$$

	$1 \otimes 1 \otimes 1$	$X \otimes 1 \otimes 1$	$1 \otimes X \otimes 1$	$1 \otimes 1 \otimes X$
$Z \otimes Z \otimes 1$	+1	-1	-1	+1
$1 \otimes Z \otimes Z$	+1	+1	-1	-1

syndromes

# Syndromes

	$\mathbb{1} \otimes \mathbb{1} \otimes \mathbb{1}$	$X \otimes \mathbb{1} \otimes \mathbb{1}$	$\mathbb{1} \otimes X \otimes \mathbb{1}$	$\mathbb{1} \otimes \mathbb{1} \otimes X$
$Z \otimes Z \otimes \mathbb{1}$	+1 +1	-1 +1	-1 -1	+1 -1
$\mathbb{1} \otimes Z \otimes Z$				

↑ syndromes

The syndromes partition the 8-dimensional space into four 2-dimensional subspaces.

		$\mathbb{1} \otimes Z \otimes Z$	
		+1	-1
$Z \otimes Z \otimes \mathbb{1}$	+1	$ 000\rangle$ $ 111\rangle$	$ 001\rangle$ $ 110\rangle$
	-1	$ 100\rangle$ $ 011\rangle$	$ 010\rangle$ $ 101\rangle$

They also partition the 3-qubit Pauli operations into 4 equal-size collections. For example,  $\mathbb{1} \otimes \mathbb{1} \otimes Z$ ,  $Z \otimes Z \otimes Z$ , and  $X \otimes X \otimes X$  all cause the same syndrome (+1, +1).

# Syndromes

The syndromes partition the 8-dimensional space into four 2-dimensional subspaces.

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They also partition the 3-qubit Pauli operations into 4 equal-size collections. For example,  $\mathbb{1} \otimes \mathbb{1} \otimes Z$ ,  $Z \otimes Z \otimes Z$ , and  $X \otimes X \otimes X$  all cause the same syndrome  $(+1, +1)$ .

Pauli operations that commute with every stabilizer generator but are not themselves in the stabilizer act like Pauli operations on the encoded qubit.

# Stabilizer codes

A set  $\{P_1, \dots, P_r\}$  of  $n$ -qubit Pauli operations are stabilizer generators for a **stabilizer code** if these properties are satisfied:

1. The stabilizer generators all **commute** with one another.

$$P_j P_k = P_k P_j \quad (\text{for all } j, k \in \{1, \dots, r\})$$

2. The stabilizer generators form a **minimal generating set**.

$$P_k \notin \langle P_1, \dots, P_{k-1}, P_{k+1}, \dots, P_r \rangle \quad (\text{for each } k \in \{1, \dots, r\})$$

3. At least one nonzero vector is fixed by all of the stabilizer generators.

$$-\mathbb{1}^{\otimes n} \notin \langle P_1, \dots, P_r \rangle$$

The **code space** defined by the stabilizer generators contains all vectors that are fixed by all of the stabilizer generators.

$$\{|\psi\rangle : |\psi\rangle = P_1|\psi\rangle = \dots = P_r|\psi\rangle\}$$

# Examples

3-bit repetition code (bit-flips)

$$\begin{matrix} Z \otimes Z \otimes 1 \\ 1 \otimes Z \otimes Z \end{matrix}$$

3-bit repetition code (phase-flips)

$$\begin{matrix} X \otimes X \otimes 1 \\ 1 \otimes X \otimes X \end{matrix}$$

9-qubit Shor code

$$\begin{matrix} Z \otimes Z \otimes 1 \\ \cancel{1 \otimes Z \otimes Z \otimes 1 \otimes 1 \otimes 1 \otimes 1 \otimes 1 \otimes 1} \\ 1 \otimes 1 \otimes 1 \otimes Z \otimes Z \otimes 1 \otimes 1 \otimes 1 \otimes 1 \\ 1 \otimes 1 \otimes 1 \otimes 1 \otimes \cancel{Z \otimes Z \otimes 1 \otimes 1 \otimes 1} \\ 1 \otimes 1 \otimes 1 \otimes 1 \otimes 1 \otimes 1 \otimes \cancel{Z \otimes Z \otimes 1} \\ 1 \otimes \cancel{Z \otimes Z} \\ \cancel{X \otimes X \otimes X \otimes X \otimes X \otimes X \otimes 1 \otimes 1 \otimes 1} \\ 1 \otimes 1 \otimes 1 \otimes X \otimes X \otimes X \otimes X \otimes X \otimes X \end{matrix}$$

# Examples

3-bit repetition code (bit-flips)

$Z\ Z\ 1$   
 $1\ Z\ Z$

3-bit repetition code (phase-flips)

$X\ X\ 1$   
 $1\ X\ X$

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$Z\ Z\ 1\ 1\ 1\ 1\ 1\ 1\ 1$   
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 $1\ 1\ 1\ 1\ 1\ 1\ Z\ Z\ 1$   
 $1\ 1\ 1\ 1\ 1\ 1\ 1\ Z\ Z$   
 $X\ X\ X\ X\ X\ X\ 1\ 1\ 1$   
 $1\ 1\ 1\ X\ X\ X\ X\ X\ X$

# Examples

7-qubit Steane code

$\begin{matrix} Z & Z & Z & Z & 1 & 1 & 1 \\ Z & Z & 1 & 1 & Z & Z & 1 \\ Z & 1 & Z & 1 & Z & 1 & Z \\ X & X & X & X & 1 & 1 & 1 \\ X & X & 1 & 1 & X & X & 1 \\ X & 1 & X & 1 & X & 1 & X \end{matrix}$

5-qubit code

$\begin{matrix} X & Z & Z & X & 1 \\ 1 & X & Z & Z & X \\ X & 1 & X & Z & Z \\ Z & X & 1 & X & Z \end{matrix}$

E-bit stabilizer code

$\begin{matrix} ZZ \\ XX \end{matrix}$

GHZ stabilizer code

$\begin{matrix} Z & Z & 1 \\ 1 & Z & Z \\ X & X & X \end{matrix}$

# Code space dimension

Suppose that  $\{P_1, \dots, P_r\}$  are  $n$ -qubit stabilizer generators for a stabilizer code.

1.  $P_j P_k = P_k P_j$  for all  $j, k \in \{1, \dots, r\}$
2.  $P_k \notin \langle P_1, \dots, P_{k-1}, P_{k+1}, \dots, P_r \rangle$  for each  $k \in \{1, \dots, r\}$
3.  $-\mathbb{1} \notin \langle P_1, \dots, P_r \rangle$

## Theorem

The code space defined by  $\{P_1, \dots, P_r\}$  has dimension  $2^{n-r}$ .

(Equivalently, the code defined by these generators encodes  $n - r$  qubits.)

## 3-bit repetition code (bit-flips)

$\begin{matrix} Z & Z & \mathbb{1} \\ \mathbb{1} & Z & Z \end{matrix}$

$n = 3$  qubits  
 ~~$r = 2$  stabilizer generators~~  
 $\Rightarrow 3 - 2 = 1$  encoded qubit

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## 5-qubit code

```
X Z Z X 1  
1 X Z Z X  
X 1 X Z Z  
Z X 1 X Z
```

$n = 5$  qubits  
 $r = 4$  stabilizer generators  
 $\Rightarrow 5 - 4 = 1$  encoded qubit

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(Equivalently, the code defined by these generators encodes  $n - r$  qubits.)

### E-bit stabilizer code

ZZ  
XX

$n = 2$  qubits

$r = 2$  stabilizer generators

$\Rightarrow 2 - 2 = 0$  encoded qubits

The code space is the 1-dimensional space spanned by the vector  $|\phi^+\rangle$ .

# Code space dimension

Suppose that  $\{P_1, \dots, P_r\}$  are  $n$ -qubit stabilizer generators for a stabilizer code.

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## Theorem

The code space defined by  $\{P_1, \dots, P_r\}$  has dimension  $2^{n-r}$ .

(Equivalently, the code defined by these generators encodes  $n - r$  qubits.)

Every element in the stabilizer can be written in a unique way as  $P_1^{a_1} \cdots P_r^{a_r}$  for  $a_1, \dots, a_r \in \{0, 1\}$ .

$$P_1^{a_1} \cdots P_r^{a_r} = \mathbb{1}^{\otimes n} \iff (a_1, \dots, a_r) = (0, \dots, 0)$$

The projection  $\Pi_k$  onto the +1 eigenspace of  $P_k$  can be expressed like this:

$$\Pi_k = \frac{\mathbb{1}^{\otimes n} + P_k}{2}$$

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The projections  $\Pi_1, \dots, \Pi_r$  commute. The projection onto the code space is their product.

$$\Pi_1 \cdots \Pi_r = \left( \frac{\mathbb{1}^{\otimes n} + P_1}{2} \right) \cdots \left( \frac{\mathbb{1}^{\otimes n} + P_r}{2} \right) = \frac{1}{2^r} \sum_{a_1, \dots, a_r \in \{0, 1\}} P_1^{a_1} \cdots P_r^{a_r}$$

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The dimension of the code space is the trace of this projection.

$$\text{Tr}(\Pi_1 \cdots \Pi_r) = \frac{1}{2^r} \sum_{a_1, \dots, a_r \in \{0,1\}} \text{Tr}(P_1^{a_1} \cdots P_r^{a_r}) = \frac{2^n}{2^r} = 2^{n-r}$$

# Clifford operations and encodings

## Clifford operations

Clifford operations are unitary operations that can be implemented by quantum circuits with gates from this list:

- Hadamard gates
- S gates
- CNOT gates

Up to a global phase, an  $n$ -qubit unitary operation is a Clifford operation if and only if it maps  $n$ -qubit Pauli operations to  $n$ -qubit Pauli operations by conjugation.

Equivalently,  $U$  is a Clifford operation (up to a global phase) if for every  $P_0, \dots, P_{n-1} \in \{\mathbb{1}, X, Y, Z\}$  there exist  $Q_0, \dots, Q_{n-1} \in \{\mathbb{1}, X, Y, Z\}$  such that

$$U(P_{n-1} \otimes \dots \otimes P_0)U^\dagger = \pm Q_{n-1} \otimes \dots \otimes Q_0$$

Clifford operations are *not universal* for quantum computation.

There are only finitely many  $n$ -qubit Clifford operations and their actions on standard basis states can be efficiently simulated classically by the *Gottesman–Knill theorem*.

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Encodings for stabilizer codes can always be performed by Clifford operations. At most  $O(n^2 / \log(n))$  gates are required.

# Clifford operations and encodings

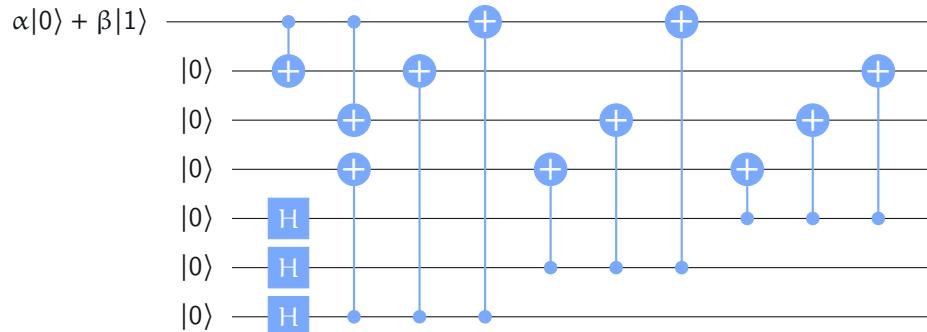
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## Example: encoder for the 7-qubit Steane code



# Detecting errors

Let  $P_1, \dots, P_r$  be stabilizer generators for an  $n$ -qubit stabilizer code, and let  $E$  be an  $n$ -qubit Pauli operation, representing a *hypothetical error*.

Errors are detected in a stabilizer code by *measuring the stabilizer generators* (as observables). The  $r$  outcomes form the syndrome.

Case 1:  $E = \alpha Q$  for  $Q \in \langle P_1, \dots, P_r \rangle$ .

This error *does nothing* to vectors in the code space:  $E|\psi\rangle = \alpha|\psi\rangle$  for every encoded state  $|\psi\rangle$ .

Case 2:  $E \neq \alpha Q$  for  $Q \in \langle P_1, \dots, P_r \rangle$ , but  $EP_k = P_kE$  for every  $k \in \{1, \dots, r\}$ .

This error changes vectors in the code space and goes *undetected* by the code.

Case 3:  $P_k E = -EP_k$  for at least one  $k \in \{1, \dots, r\}$ .

This error is *detected* by the code.

The *distance* of a stabilizer code is the *minimum weight* of a Pauli operation that changes vectors in the code space but goes undetected by the code.

Notation: an  $[[n, m, d]]$  stabilizer code is one that encodes  $m$  qubits into  $n$  qubits and has distance  $d$ .

# 7-qubit Steane code

```
Z Z Z Z 1 1 1  
Z Z 1 1 Z Z 1  
Z 1 Z 1 Z 1 Z  
X X X X 1 1 1  
X X 1 1 X X 1  
X 1 X 1 X 1 X
```

The *distance* is the minimum weight of an  $n$ -qubit Pauli operation that

1. commutes with every stabilizer generator, and
2. is not proportional to a stabilizer element.

This code has distance 3.

We can first reason that every Pauli operation with weight at most 2 that commutes with every stabilizer generator must be the identity operation.

```
P Q 1 1 1 1 1  
Z 1 Z 1 Z 1 Z  
X 1 X 1 X 1 X
```

# 7-qubit Steane code

```
Z Z Z Z 1 1 1  
Z Z 1 1 Z Z 1  
Z 1 Z 1 Z 1 Z  
X X X X 1 1 1  
X X 1 1 X X 1  
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```

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2. is not proportional to a stabilizer element.

This code has distance 3.

We can first reason that every Pauli operation with weight at most 2 that commutes with every stabilizer generator must be the identity operation.

```
1 Q 1 1 1 1 1  
Z 1 Z 1 Z 1 Z  
X 1 X 1 X 1 X  
Z Z Z Z 1 1 1  
X X X X 1 1 1
```

# 7-qubit Steane code

```
Z Z Z Z 1 1 1  
Z Z 1 1 Z Z 1  
Z 1 Z 1 Z 1 Z  
X X X X 1 1 1  
X X 1 1 X X 1  
X 1 X 1 X 1 X
```

The *distance* is the minimum weight of an  $n$ -qubit Pauli operation that

1. commutes with every stabilizer generator, and
2. is not proportional to a stabilizer element.

This code has distance 3.

We can first reason that every Pauli operation with weight at most 2 that commutes with every stabilizer generator must be the identity operation.

```
1 1 1 1 1 1 1  
Z 1 Z 1 Z 1 Z  
X 1 X 1 X 1 X  
Z Z Z Z 1 1 1  
X X X X 1 1 1
```

# 7-qubit Steane code

```
Z Z Z Z 1 1 1  
Z Z 1 1 Z Z 1  
Z 1 Z 1 Z 1 Z  
X X X X 1 1 1  
X X 1 1 X X 1  
X 1 X 1 X 1 X
```

The *distance* is the minimum weight of an  $n$ -qubit Pauli operation that

1. commutes with every stabilizer generator, and
2. is not proportional to a stabilizer element.

This code has distance 3. ✓

We can first reason that every Pauli operation with weight at most 2 that commutes with every stabilizer generator must be the identity operation.

On the other hand, there are weight 3 Pauli operations that commute with every stabilizer generator and fall outside of the stabilizer.

Two examples:

```
1 1 1 1 X X X  
1 1 1 1 Z Z Z
```

# Correcting errors

Let  $P_1, \dots, P_r$  be stabilizer generators for an  $n$ -qubit stabilizer code.

- The  $2^r$  syndromes partition the  $n$ -qubit Pauli operations into equal-size sets, with  $4^n / 2^r$  Pauli operations in each set.
- If  $E$  is an error and  $S \in \langle P_1, \dots, P_r \rangle$  is a stabilizer element, then  $E$  and  $ES$  are equivalent errors:  $E|\psi\rangle = ES|\psi\rangle$  for every  $|\psi\rangle$  in the code space.
- This leaves  $4^{n-r}$  inequivalent classes of errors for each syndrome.

So, unless  $r = n$  (i.e., the code space is one-dimensional) we cannot correct every error.

Rather, we must choose *one correction operation for each syndrome* (which corrects at most one class of equivalent errors).

## Natural strategy

For each syndrome  $s$ , choose a *lowest weight* Pauli operation that causes the syndrome  $s$  as the corresponding correction operation.

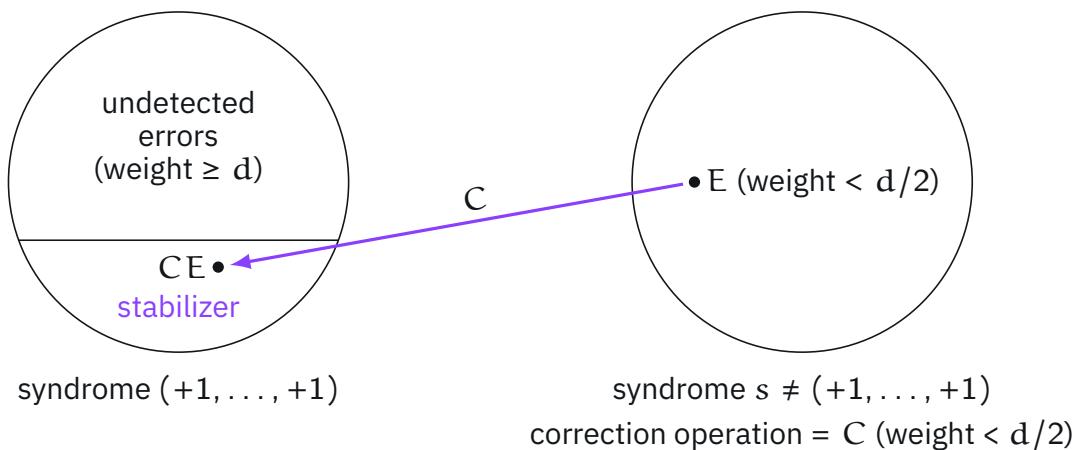
For a distance  $d$  stabilizer code, this strategy corrects all errors having weight at most  $(d - 1)/2$ .

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For a distance  $d$  stabilizer code, this strategy corrects all errors having weight at most  $(d - 1)/2$ .

Unfortunately, for a given choice of stabilizer generators and a syndrome, it is *computationally difficult* to find the lowest weight Pauli operation causing that syndrome.

Finding codes for which this can be done efficiently is part of the artistry in code design.