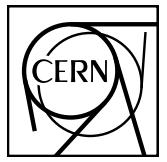


EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH



August 31, 2018

D-hadron correlations in pp collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$

F. Colamaria, S. Kumar, M. Mazzilli

Abstract

In this note, we present the analysis of azimuthal correlations of D mesons and primary charged π, K, p, e, μ performed in the ALICE central barrel in pp collisions at $\sqrt{s_{\text{NN}}} = 5.02 \text{ TeV}$, from 2017 data taking. The analysis is performed in an extended p_T range and with additional observables with respect to pp 2013 data analysis. After a description of the analysis strategy, corrections and systematic uncertainties, the results obtained for prompt D^0, D^{*+} and D^+ mesons in different ranges of transverse momentum of the D meson and of the associated particles are presented. The results are then compared to perturbative QCD inspired Monte Carlo models and also with pp at 7 and 13 TeV analysis results for the common p_T ranges as well as with the 2016 p-Pb results.

1 **Contents**

2	1	Introduction and Motivation	3
3	2	Data/Monte Carlo samples and event selection	4
4	3	Analysis strategy	6
5	3.1	Mass plots and cut optimization	9
6	3.1.1	Extension to very-low p_T of D mesons	13
7	3.2	Code used for the analysis	14
8	3.3	Further details on corrections	14
9	3.3.1	Event Mixing	14
10	3.3.2	Tracking and D-meson trigger efficiency	22
11	3.3.3	Correction for bias on B to D decay topologies	28
12	3.3.4	Secondary track contamination	31
13	3.3.5	Beauty feed-down	35
14	4	Systematic uncertainties on $\Delta\phi$ correlation distributions	36
15	5	Results	37
16	5.1	Comparing the three D meson correlation distributions	37
17	5.2	Average of D^0 , D^+ and D^{*+} results	42
18	5.3	Fit observable p_T trends and uncertainties	44
19	5.3.1	Results for near-side yield and width, away-side yield and width, and baseline . .	46
20	5.3.2	Comparisons of pp and p–Pb at 5 TeV	47
21	5.3.3	Comparisons of pp at 5, 7 and 13 TeV	47
22	5.3.4	Comparisons of pp at 5 TeV and model predictions	47
23	6	Bibliography	65

24 **1 Introduction and Motivation**

25 The study of the azimuthal correlations of heavy-flavour particles and charged particles at the LHC
 26 energies provides a way to characterize charm production and fragmentation processes in pp collisions.
 27 The measurement also provide a way to probe our understanding of QCD in the perturbative regime,
 28 accessible in a large kinematic range given the large mass of heavy quarks. Flavour conservation in
 29 QCD implies that charm quarks are always produced as pairs of quarks and anti-quarks. The azimuthal
 30 correlations obtained using a meson carrying a heavy quark as trigger particle with the other charged
 31 particles in the same event give the possibility to study the underlying charm production mechanism in
 32 detail. In particular, prompt charm quark-antiquark pair production is back to back in azimuth at first
 33 order in leading-order perturbative-QCD (pQCD). If a hadron from the quark hadronization is taken as
 34 trigger particle, a near-side (at $\Delta\varphi = 0$) and an away-side (at $\Delta\varphi = \pi$) peaks would appear in the azimuthal
 35 correlation distributions, coming from the fragmentation of the quark pair. Heavy quarks produced from
 36 the splitting of a massless gluon can be rather collimated and may generate sprays of hadrons at small
 37 $\Delta\varphi$. Finally, for hard-scattering topologies classified as “flavour-excitation”, a charm quark undergoes a
 38 hard interaction from an initial splitting ($g \rightarrow c\bar{c}$), leading to a big separation in rapidity of the hadrons
 39 originating from the antiquark (quark) with respect to the trigger D meson and contribute to a rather flat
 40 term to the $\Delta\varphi$ -correlation distribution.

41 In the following note, we first describe the analysis strategy for the pp 2017 data sample at $\sqrt{s} = 5$ TeV
 42 in all its steps, followed by the list of analysis corrections and the estimation of systematic uncertainties.
 43 Finally the results of $\Delta\varphi$ correlations, and quantitative observable extracted to fits to those distributions,
 44 obtained for prompt D^0 , D^+ and D^{*+} in different ranges of transverse momentum for the D-meson
 45 (trigger particle) and the associated particles are presented.

46 The extension of the momentum ranges (both for D mesons and associated particles) with respect to the
 47 2010 and 2016 pp datasets (at $\sqrt{s} = 7$ TeV and $\sqrt{s} = 13$ TeV, respectively), as well as the improved
 48 precision in the common ranges allow a more thorough investigation of the charm quark fragmenta-
 49 tion properties (multiplicity of tracks as a function of momentum, geometrical profile of charm jets, p_T
 50 distribution of the tracks inside the jet). This can also allow us to put better constraints of charm frag-
 51 mentation and charm jet properties provided by models. Furthermore, 2017 pp data sample allows us a
 52 direct and more reasonable comparison with 2016 p-Pb data, since it has the same center-of-mass energy
 53 and, thanks to the higher precision and statistics, it was possible to exploit the azimuthal correlations in
 54 the same (extended and more differential) p_T ranges of both the trigger and the associated particle of the
 55 2016 p-Pb data sample (with the exclusion of the $p_T > 3$ GeV range only for the associated tracks). This
 56 new pp reference data, together with new p-Pb 2016 data will help to study cold nuclear matter effects
 57 affecting the charm fragmentation in p-Pb with better precision. In addition, this new pp data can also be
 58 used as solid and precise references in view of an analysis on a Pb-Pb sample at the same energy, which
 59 will be taken during the last weeks of 2018 data taking.

60 **2 Data/Monte Carlo samples and event selection**

61 The data used for the analyses were the AOD samples of the following four datasets: LHC17p_FAST,
 62 LHC17p_CENT_woSDD, LHC17q_FAST and LHC17q_CENT_woSDD (in all the cases, exploiting the
 63 pass1 reconstruction). The reason for choosing these data samples (in particular, those without the drifts
 64 for the CENT cluster) is explained later on, in this section. Exactly as done in the p–Pb 2016 data sample,
 65 also split in a similar fashion, it was verified, by looking at D-meson and associated charged track η and
 66 φ distributions, and at the mixed-event correlation distributions for each sub-samples, that no visible
 67 differences is present for the four periods (though the 17q samples taken alone suffer from very large
 68 statistical uncertainties), hence it was possible to perform the analysis directly on the merged samples
 69 without any bias.

70 The Monte Carlo productions adopted for this study were:

- 71 1. LHC18a4a2_fast, a HF production (HIJING with GEANT3) anchored to LHC17p,q with enrichment
 72 of heavy quarks (charm and beauty) in each of the event, produced by PYTHIA6 with Perugia2011 tune,
 73 and with forced hadronic decays of the charmed hadrons. This production was used
 74 for D-meson efficiency evaluation, purity estimation and Monte Carlo closure test.
- 75 2. LHC17l3b_fast, minimum-bias sample produced with DPMJET generator, used for the evaluation
 76 of the tracking efficiencies.

77 Table 1 shows the list of runs used for the analysis, for each of the data taking periods, and of the Monte
 78 Carlo productions used to evaluate the corrections:

79 The trigger mask request for the event selection is kINT7. Only events with a reconstructed primary
 80 vertex within 10 cm from the centre of the detector along the beam line are considered. This choice max-
 81 imises the detector coverage of the selected events, considering the longitudinal size of the interaction
 82 region, and the detector acceptances, without introducing sizeable η dependencies for the reconstruction
 83 efficiencies in the considered pseudorapidity ranges. Beam-gas events are removed by offline selec-
 84 tions based on the timing information provided by the V0 and the Zero Degree Calorimeters, and the
 85 correlation between the number of hits and track segments in the SPD detector. This is automatically
 86 performed in the Physic Selection, a positive outcome of which is required during our event selection.
 87 The pile-up cuts for out-of-bunch pile-up protection are also invoked when calling the Physics Selec-
 88 tion task. The minimum-bias trigger efficiency is 100% for events with D mesons with $p_T > 1 \text{ GeV}/c$.
 89 For the analyzed data samples, the probability of pile-up from collisions in the same bunch crossing is
 90 below 2% per triggered event (in most of the runs, well below 1%). Events in which more than one
 91 primary interaction vertex is reconstructed with the SPD detector are rejected (via a call to AliRDHF-
 92 Cuts::kRejectMVPileupEvent method with default parameters), which effectively removes the impact of
 93 pile-up events on the analysis. Out-of-bunch tracks are also effectively rejected by the Physics Selection
 94 pile-up cuts, and also by the request of at least one point in the SPD, which has a very limited time
 95 acquisition window (300 ns).
 96 Since data collected during pp 2017 data taking are distinguished into two categories - one including
 97 SDD detector (CENT_wSDD sample) and the second one without the SDD in the reconstruction, or
 98 in the acquisition (CENT_woSDD and FAST samples, respectively), a study of performance of the D-
 99 hadron correlation analysis with respect to the data samples employed has been carried out for D^{*+} and
 100 D^+ mesons (more sensitive to the presence of the SDD w.r.t. the D^0 , due to their reconstruction from
 101 three decay tracks), very similar of what was done for the p-Pb 2016 data sample (refer to p-Pb 2016
 102 analysis note at [7]), reaching exactly the same conclusion, of a more solid analysis being obtained using
 103 more uniform samples (FAST and CENT_woSDD), at the price of a slight reduction of the statistical
 104 precision.

105 **3 Analysis strategy**

106 The analysis follows the same strategy one used in 2016 p-Pb and pp data samples (see published paper
 107 [2] and analysis notes [8], [7]). Correlation pairs are formed by trigger particles (D mesons) reconstructed
 108 and selected in the following p_T^{trig} ranges: $3 < p_T^{\text{trig}} < 5 \text{ GeV}/c$, $5 < p_T^{\text{trig}} < 8 \text{ GeV}/c$, $8 < p_T^{\text{trig}} < 16$
 109 GeV/c , $16 < p_T^{\text{trig}} < 24 \text{ GeV}/c$ (the possibility of extending the analysis in $2 < p_T^{\text{trig}} < 3$ was also ex-
 110 ploited. Further details are furnished in the next paragraph). Associated particles (charged tracks) have
 111 been reconstructed in the following p_T^{assoc} regions: $p_T^{\text{assoc}} > 0.3 \text{ GeV}/c$, $0.3 < p_T^{\text{assoc}} < 1 \text{ GeV}/c$, $p_T^{\text{assoc}} > 1$
 112 GeV/c , $1 < p_T^{\text{assoc}} < 2 \text{ GeV}/c$, $2 < p_T^{\text{assoc}} < 3 \text{ GeV}/c$. In this analysis, the particle identification defines
 113 the trigger particle rather than a momentum cut and therefore the momentum range of the associated
 114 particles is not constrained by that of the trigger particle. Our definition of associated particle includes
 115 primary particles of the following species: pion, kaon, proton, electron, muon. The primary particle def-
 116inition comprises particle coming from the primary vertex of interaction, including those coming from
 117 strong and electromagnetic decay of unstable particles, and particles deriving from the decay of hadrons
 118 with charm or beauty. We therefore include any charged π, K, p, e, μ except those coming from weak
 119 decays of strange particles and particles produced in the interaction with the detector material. This def-
 120inition corresponds to that used in the method `AliAODMCParticle::IsPyphysicalPrimary()`. All associated
 121 particles surviving the selection cuts and not matching the adopted criterion are considered as a contam-
 122 ination whose contribution has to be corrected for.

123

124 The analysis is performed through the following steps:

- 125 1. **D meson selection and signal extraction.** For each single event, “trigger” particles are defined
 126 as the selected D meson candidates (D^0 , D^+ and D^{*+}) within a given p_T^{trig} range. The detection
 127 strategy for D mesons at central rapidity is the same performed for the analyses of the D-meson
 128 production at central rapidity [1], and also applied for the D-h analysis on 2010 pp, 2016 pp and
 129 2016 p-Pb samples ([8], [7]). It is based on the reconstruction of decay vertices displayed from the
 130 primary vertex by a few hundred μm and on the identification of the decay-particle species. The
 131 identification of the charged kaon and pion in the TPC and TOF detectors is also used, to further
 132 reduce the background at low p_T . An invariant-mass analysis is then used to extract the raw signal
 133 yield, using the same fit functions described in [2]. The D mesons are selected in the rapidity range
 134 varying from $|y| < 0.5$ at low p_T to $|y| < 0.8$ for $p_T > 5 \text{ GeV}/c$.
- 135 2. **Correlation of D candidates with associated tracks.** Particle pairs are formed by correlating each
 136 trigger particle with the charged primary particles passing the track selection (excluding those
 137 coming from the decay of the D-meson candidate) in a specified p_T^{assoc} interval (which can overlap
 138 with the p_T^{trig} range) and in the pseudo-rapidity range $|\eta| < 0.8$. For the D^0 meson, also the low-
 139 momentum pion tracks from feed-down of D^{*+} mesons are removed via 3σ invariant mass cut on
 140 the $M(K\pi\pi) - M(K\pi)$ difference. This because these soft pion are not related to the charm quark
 141 fragmentation chain. For D meson candidates in the invariant mass signal region, defined by a \pm
 142 2σ interval around the D meson mass peak, the azimuthal angle difference $\varphi^{\text{assoc}} - \varphi^{\text{trigg}} \equiv \Delta\varphi$ and
 143 the pseudorapidity difference $\eta^{\text{assoc}} - \eta^{\text{trig}} \equiv \Delta\eta$ are evaluated and stored to build two-dimensional
 144 correlation distribution.
- 145 3. **Correction for limited acceptance and detector inhomogeneities with Event Mixing** The angular
 146 correlation distribution may be affected, even for uncorrelated pair of particles, by structures
 147 not due to physical effects, but originating from the limited detector acceptance, as well as from
 148 angular inhomogeneities in the trigger and track reconstruction efficiencies as a function of $\Delta\varphi$
 149 and $\Delta\eta$. Effects of this kind are removed using the Event Mixing technique. In this technique, the
 150 analysis is executed on the same data sample of the standard one (called “same event” analysis,

SE), but the trigger particles found in each event are correlated to charged particles reconstructed in different events (“Mixed Events” analysis, ME) with similar characteristic, in particular concerning the event multiplicity and z position of the primary vertex (see Section 3.3.1).

The differential yield of associated particles per trigger particle is obtained by

$$\frac{1}{N_{\text{trig}}} \frac{d^2N^{\text{pair}}}{d\Delta\eta\,d\Delta\varphi} = B_{ME}(0,0) \times \frac{S(\Delta\eta,\Delta\varphi)}{B_{ME}(\Delta\eta,\Delta\varphi)}, \quad (1)$$

where N^{pair} is the total number of correlated D-hadron pairs. The functions $S(\Delta\eta,\Delta\varphi)$ and $B_{ME}(\Delta\eta,\Delta\varphi)$ are the signal and the mixed event background distributions, respectively. The later is normalized to its value in $(\Delta\eta,\Delta\varphi) = (0,0)$, i.e. $(B(0,0))$. Further details on the mixed-event correction are provided in the next section.

4. **Subtraction of background correlation from signal distribution.** The invariant mass signal region also includes background D-meson candidates. Their contribution to the raw correlation distribution is subtracted as follows. For each p_T bin, the mean and the sigma of the invariant mass spectrum are extracted. For D^0 and D^+ , a “background” region is defined in the sidebands of the mass distribution as the interval $4 \text{ GeV}/c^2 < |m - m^{\text{pdg}}| < 8 \text{ GeV}/c^2$ (for the D^{*+} meson, only the right sideband is used). The angular correlation distribution for background candidates in this region is extracted and normalized with respect to the background in the signal region estimated from the mass fit. This normalized background correlation distribution is then subtracted from the raw signal one to obtain the signal correlation distribution. The normalization factor is the ratio of the number of background candidates under the signal peak (obtained by integrating the background of the fit function within the signal region) over the number of background candidates in the sidebands (obtained via bin-counting in the sideband region). An example of the signal region, sideband and sideband-subtracted 1D correlation distributions (along $\Delta\varphi$) is shown in figure 1, together with the comparison of the three distributions after the normalization to the number of triggers.
5. **Correction for D meson efficiency and associated track efficiency.** After filling the signal and background correlation distributions, it is necessary to take into account also for the correlations with tracks, those are not reconstructed, or not passing the quality selection due to poor reconstruction. In the same way, the loss of D-mesons which are not reconstructed, or do not pass the selection, impacts the correlation distribution shape. Hence, each pair is weighted by the inverse of the product of the associated track and D meson reconstruction efficiency, ε_{trk} and $\varepsilon_{\text{trig}}$. Further details are provided later on in this section.
6. **Projection in $\Delta\varphi$.** The limited statistics available does not allow to study the two dimensional $(\Delta\eta,\Delta\varphi)$ distribution, which is therefore projected to the $\Delta\varphi$ axis by integrating on $|\Delta\eta| < 1$. Despite, in principle, our maximum $\Delta\eta$ acceptance is of $|\Delta\eta| < 1.6$, removing the large $|\Delta\eta|$ regions allow us to reject angular regions with very low statistics, where fluctuations would be amplified by a large mixed-event correction, and avoid the so-called wings effect.
As the difference in the azimuthal angle is periodic ($\Delta\varphi = 0 = 2\pi$), the $\Delta\varphi$ -range is limited to the essential range of 2π . The $\Delta\varphi$ -limits are chosen to be $[-\pi/2, 3\pi/2]$ in order to provide a good visibility of the correlation pattern, which peaks around 0 and π .
7. **Correction for the contamination of secondary particles** The DCA to primary vertex cut, applied during the associated track selection, has the role of removing the secondary particles from the associated track sample. Secondary particles are indeed produced either from long-lived strange hadrons or from interaction of particles with the detector material. A residual contamination from secondary tracks is hence expected in the correlation distributions. This contamination

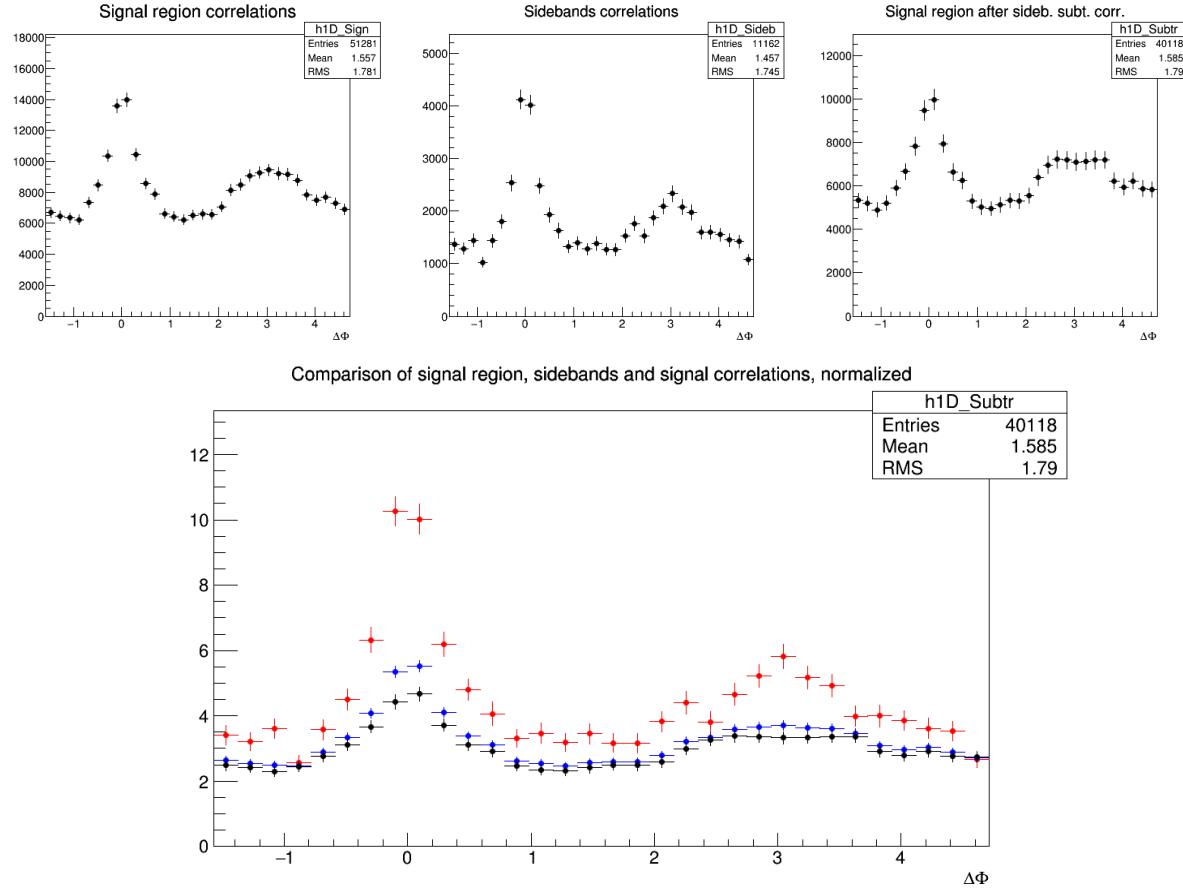


Figure 1: Top: Example of D^{*+} - h signal region (left), sideband (middle), and signal minus sideband (right) correlation distributions. Bottom: signal region per-trigger normalized correlation distribution (blue), sideband region per-trigger normalized correlation distribution (red), background-subtracted per-trigger normalized correlation distribution (black).

is estimated from Monte Carlo simulation based on Pythia as described more in detail in the next section. The background-subtracted event-mixing corrected correlations are multiplied by a purity factor to encounter this contribution.

- 195 is estimated from Monte Carlo simulation based on Pythia as described more in detail in the next
196 section. The background-subtracted event-mixing corrected correlations are multiplied by a purity
197 factor to encounter this contribution.
- 198 8. **Correction for bias on B to D decay topologies** The presence of the topological cuts for the D-
199 meson selection indirectly induce a bias on the topology of the B to D decay topologies, favouring
200 cases with a small opening angle between the D-meson and the other tracks from the B decay. This
201 affects the feed-down component of the data correlation distributions. This effect is corrected for
202 with a procedure described in the subsection 3.3.3.
- 203 9. **Correction for feed-down of D meson from b-hadron decay** The selection strategy employed
204 for the D meson candidates selection enhances the fraction of reconstructed D mesons coming
205 from the decay of a b-hadron. Typical values, with the cuts used for the D-meson selection, are of
206 the order of 10% or less. The correlation distribution of these secondary D mesons will be sensi-
207 tive to the properties of beauty jets and beauty hadron decay, which in general differ from those
208 relative to charm jets and hadrons. The procedure used to subtract this contribution is described in
209 the next paragraphs of this section.
- 210 10. **Study of correlation properties.** The properties of the azimuthal correlation distribution are quan-
211 tified by fitting the distribution with a function composed of two Gaussian functions, modelling
212 the near and the away side peaks, and a constant term describing the baseline. The mean of the

Gaussian are fixed at $\Delta\phi = 0$ and $\Delta\phi = \pi$. To accomplish the 2π periodicity of the $\Delta\phi$ variable, the Gaussian functions are “duplicated” with mean shifted by $\Delta\phi = 2\pi$ and $\Delta\phi = -2\pi$. The fitting procedure is described in details in Section 5.

3.1 Mass plots and cut optimization

The invariant mass distributions of D^0 , D^{*+} and D^+ in the various p_T ranges are shown in Figs. 2, 3, Figs. 4, 5 and Figs. 6, 7 respectively. Note that the distributions are weighted by the D-meson selection and reconstruction efficiency, to allow a correct normalization of the correlation distributions, which have also these weights.

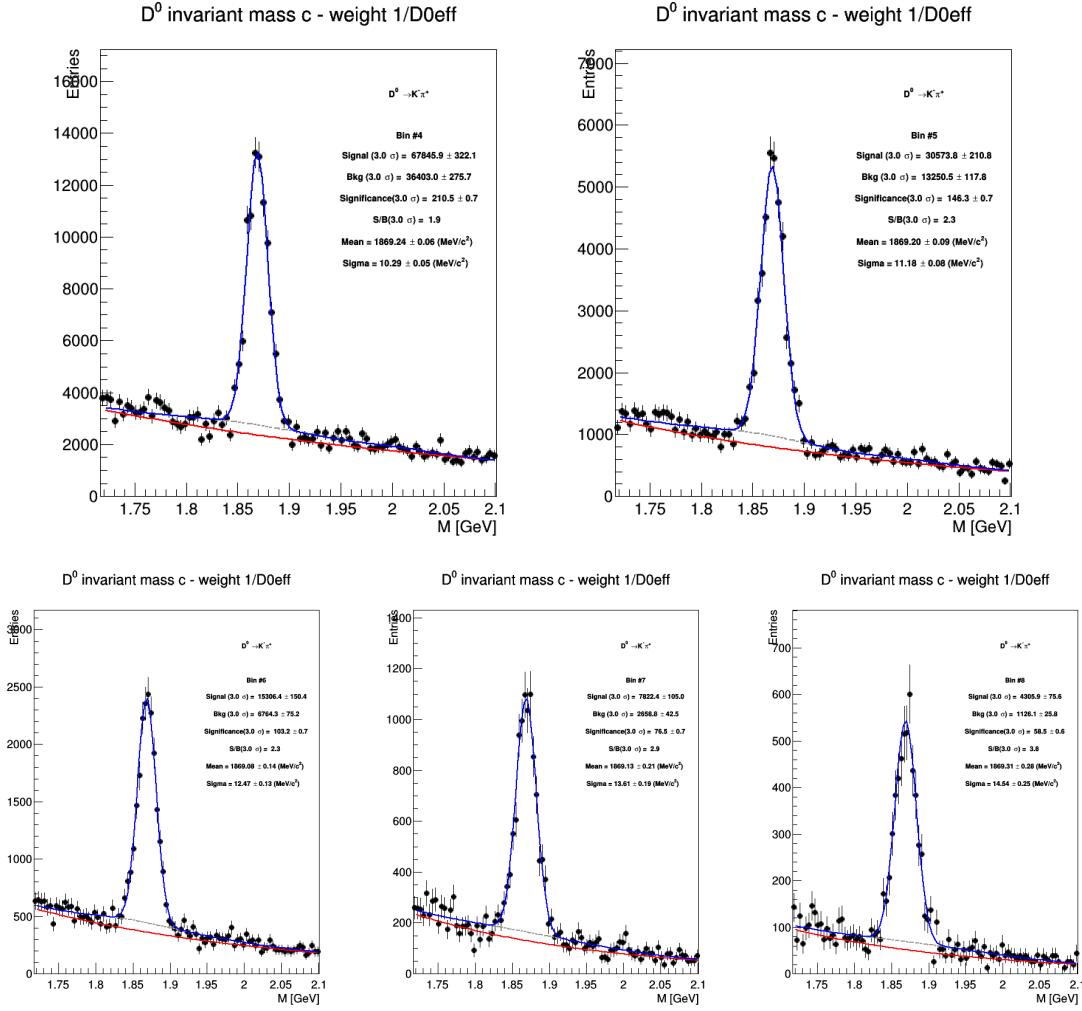


Figure 2: Invariant mass distributions of D^0 corrected with efficiency in different p_T regions. Top: $3 < p_T^D < 4$ GeV/c (left), $4 < p_T^D < 5$ GeV/c right), Bottom: $5 < p_T^D < 6$ GeV/c (left), $6 < p_T^D < 7$ GeV/c (middle), $7 < p_T^D < 8$ GeV/c (right).

For the D^{*+} , 3 sets of cuts were compared (the 2016 p-Pb, 2016 pp and the standard D2H 2017 pp cuts). The best performance was obtained with 2016 p-Pb cuts in most of the p_T bin analysed. Indeed, despite the looser cuts applied from D2H allow to have higher signal, the p-Pb set of cuts assured a better S/B factor without loosing too much signal. This allows us to reduce fluctuations induced by the sideband subtraction, that is the limiting factor for the analysis performance. The same holds for the D^+ , but with the addition of cuts on the normalized decay length in xy plane and of the normalized difference between measured and expected daughter track impact parameters (topomatic cut).

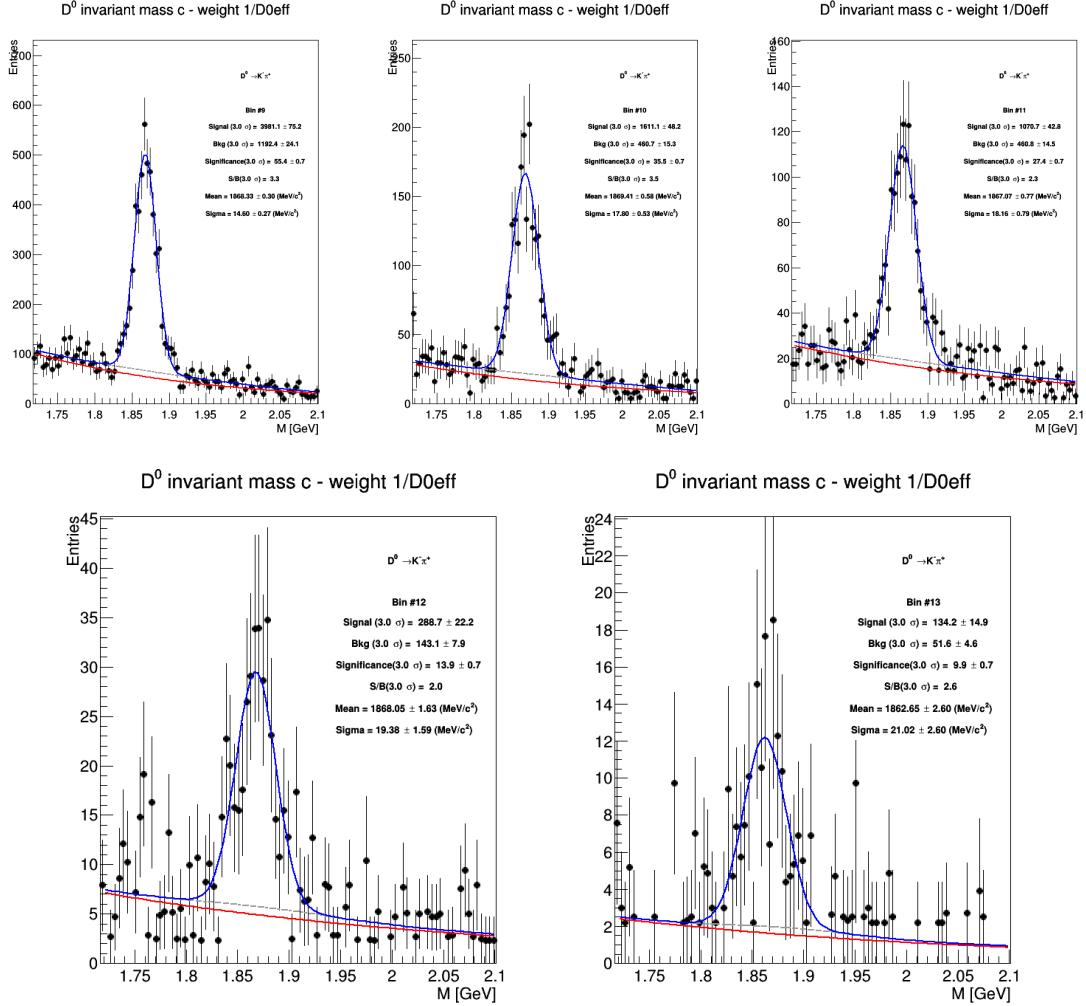


Figure 3: Invariant mass distributions of D^0 corrected with efficiency in different p_T regions. Top: $8 < p_T^D < 10$ GeV/ c , $10 < p_T^D < 12$ GeV/ c (middle), $12 < p_T^D < 16$ GeV/ c (right), Bottom: $16 < p_T^D < 24$ GeV/ c .

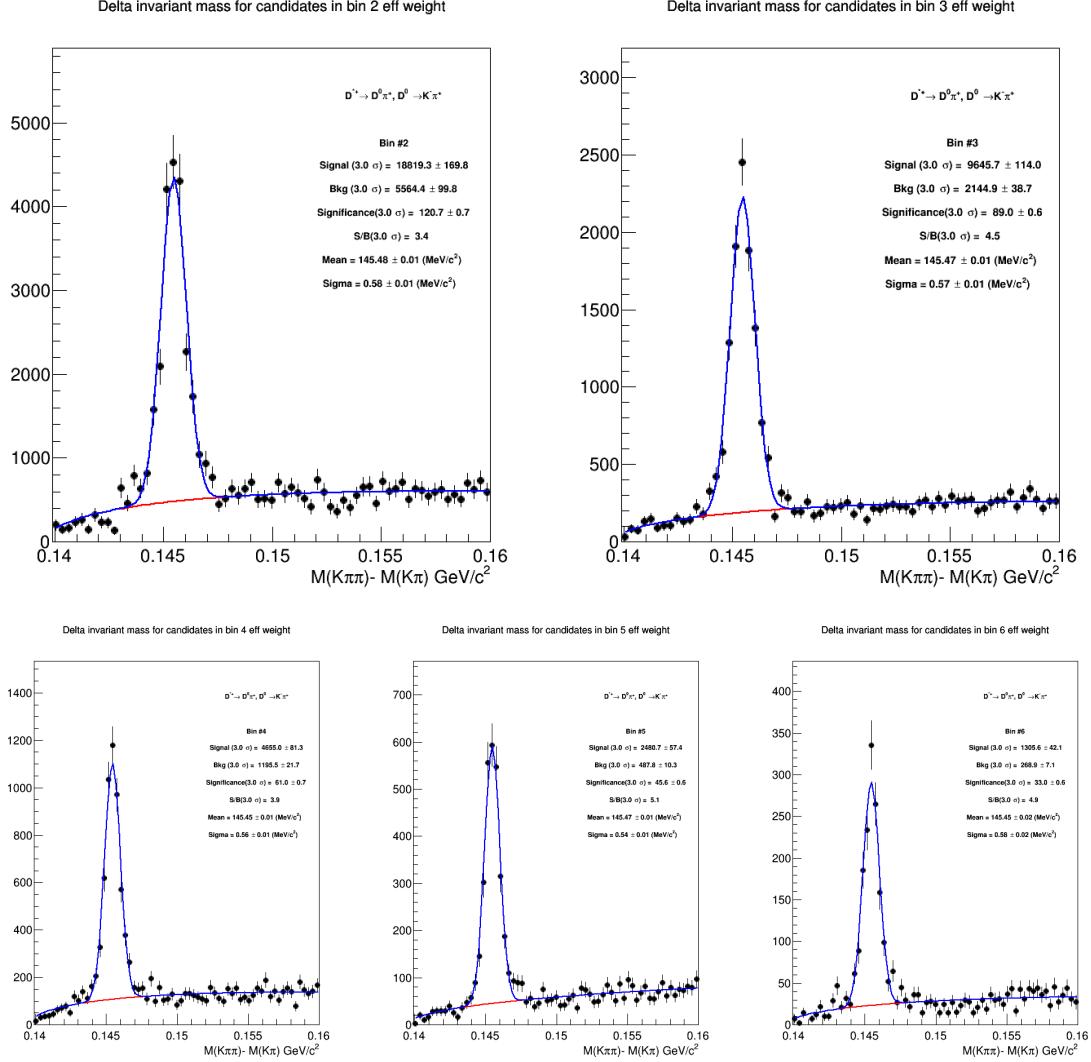


Figure 4: Invariant mass distributions of D^{*+} corrected with efficiency in different p_T regions. Top: $3 < p_T^D < 4 \text{ GeV}/c$ (left), $4 < p_T^D < 5 \text{ GeV}/c$ (right), Bottom: $5 < p_T^D < 6 \text{ GeV}/c$ (left), $6 < p_T^D < 7 \text{ GeV}/c$ (middle), $7 < p_T^D < 8 \text{ GeV}/c$ (right).

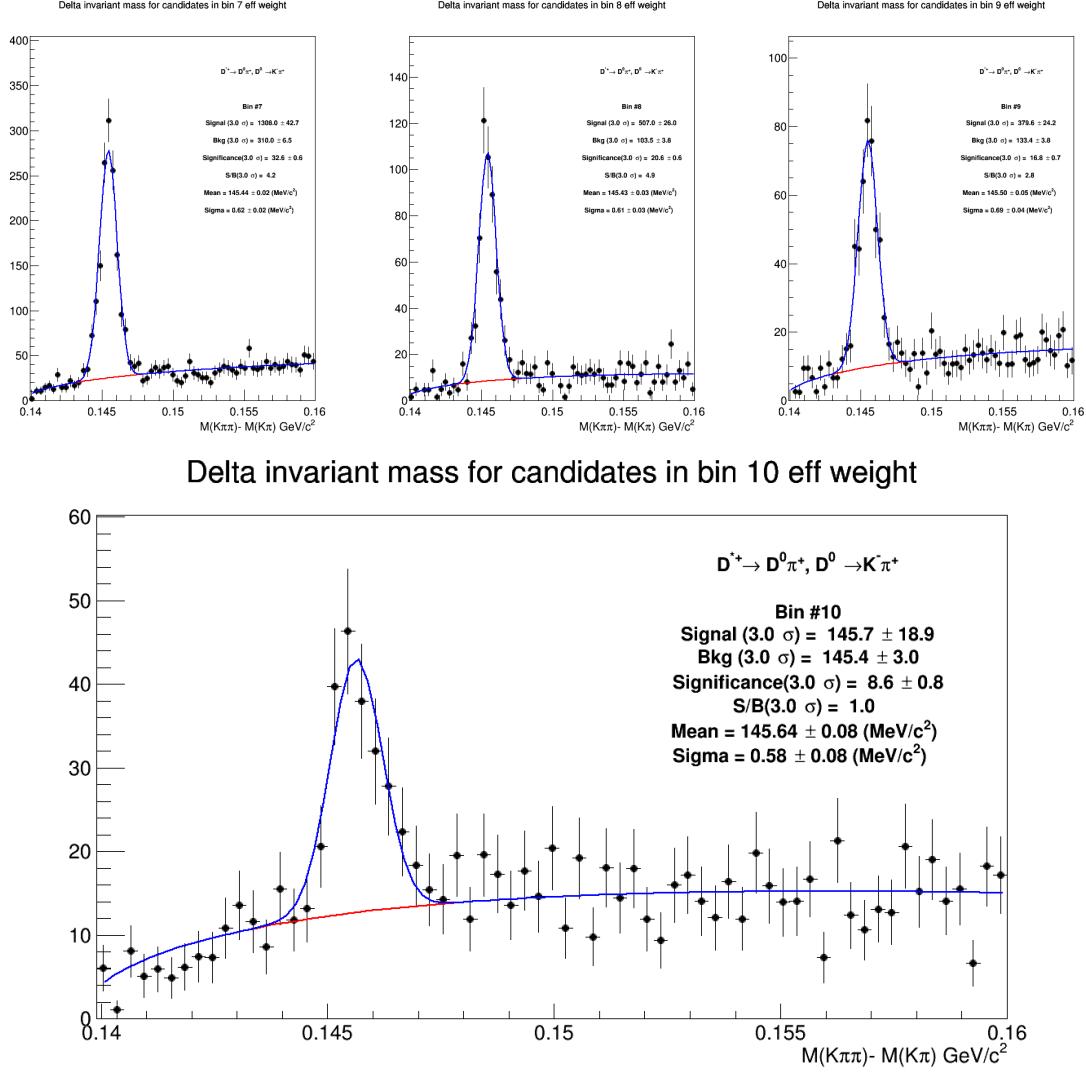


Figure 5: Invariant mass distributions of D^{*+} corrected with efficiency in different p_T^D regions. Top: $8 < p_T^D < 10$ GeV/c, $10 < p_T^D < 12$ GeV/c (middle), $12 < p_T^D < 16$ GeV/c (right) and Bottom: $16 < p_T^D < 24$ GeV/c .

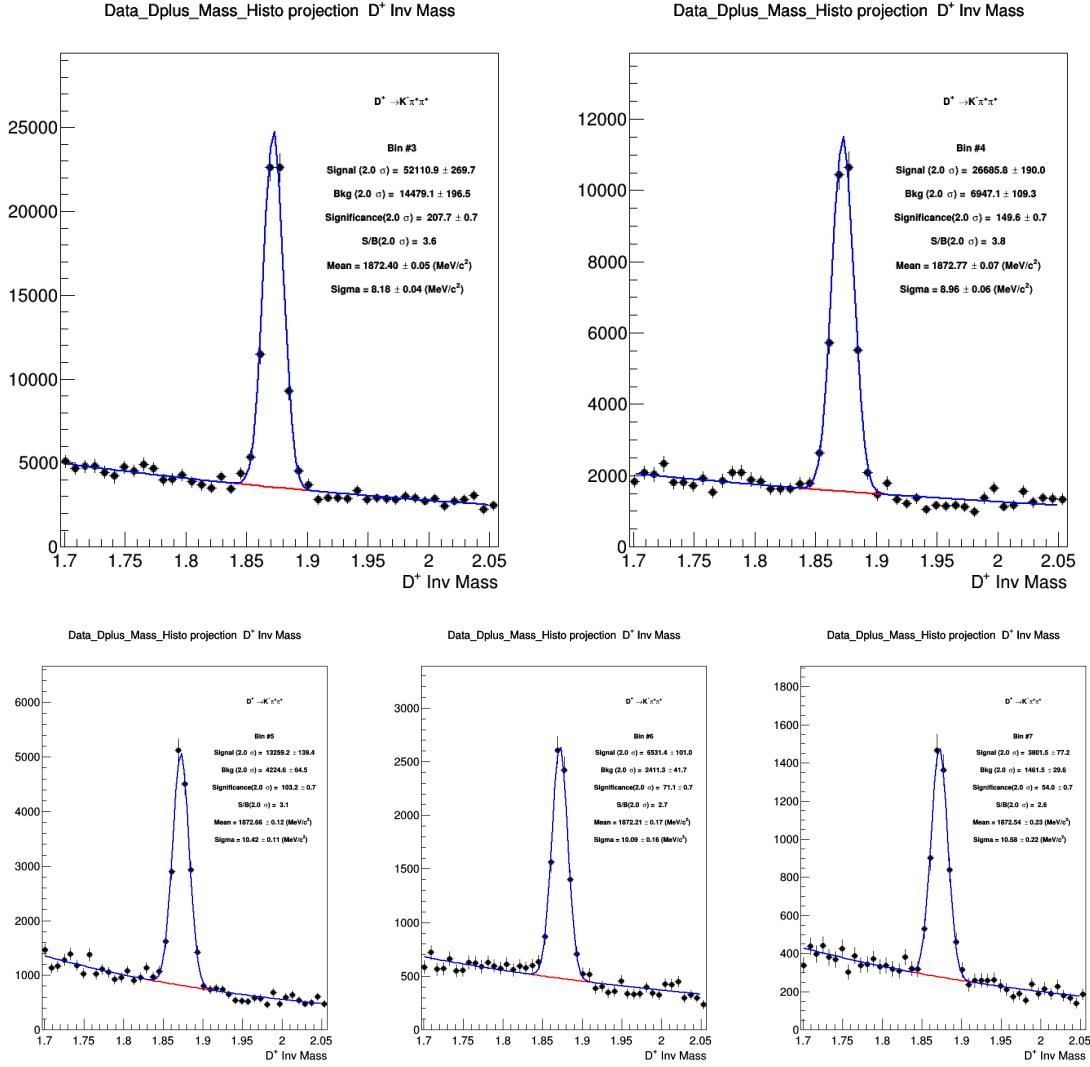


Figure 6: Invariant mass distribution of D^+ corrected with efficiency in different p_T regions. Top: $3 < p_T^D < 4$ GeV/ c (left), $4 < p_T^D < 5$ GeV/ c right), Bottom: $5 < p_T^D < 6$ GeV/ c (left), $6 < p_T^D < 7$ GeV/ c (middle), $7 < p_T^D < 8$ GeV/ c (right);

228 A particular cut optimization was instead performed for the D^0 meson. Twelve cut sets were tried, with
 229 the goal of increasing the S/B factor, in order to reduce fluctuations induced by the sideband subtraction as
 230 explained. In Figure 8 the D^0 - h correlation distributions are shown for the different cut sets, in exemplary
 231 kinematic regions (5 to 8 GeV/ c), together with the bin-by-bin relative statistical uncertainty on the data
 232 points. The best cut set (option B, cyan colour) was defined starting from the standard cuts used for the
 233 p-Pb 2016 cross section analysis, with a tightened selection on the cosine of the pointing angle, and with
 234 the addition of a cut on the normalized decay length in xy plane (from 5 to 3 units with increasing p_T^D)
 235 and of a selection on the normalized difference between measured and expected daughter track impact
 236 parameters (topomatic cut) at 3 sigma.

237 3.1.1 Extension to very-low p_T of D mesons

238 Thanks to the higher statistic of the 2017 pp data sample, we tried to enlarge our correlation studies to
 239 very-low p_T of the trigger particle. Indeed, the Figure 9 shows the good performance on the invariant
 240 mass extraction for all the 3 D-mesons with $2 < p_T^D < 3$ GeV/ c .

241 The extension of the analysis to this p_T interval is of high interest for the jet structure characterizion.
 242 Indeed, this study allow us to investigate a kinematic region in which the trigger particle has compatible
 243 or even lower momentum with respect to the associated particles. This give us the possibility to better
 244 understand the production processes. In fact, for example, at the Leading Order (LO) for $p_T(\text{trig}) \sim$
 245 $p_T(\text{ass})$ we don't expect any peak on the near-side region. A peak could arise only from Next-to-Leading-
 246 Order production process.

247 Despite the good statistics, it wasn't enough to perform the correlation analysis. Indeed, the correlation
 248 distribution peaks are very small, due to the small energy of the parton, resulting in few tracks being
 249 produced in the fragmentation oon top of the D meson. Hence, the correlation peaks were smoothened by
 250 the baseline fluctuations (of the same order of magnitude of the peak itself), especially on the away-side
 251 region, where indeed most of the fit failed.

252 3.2 Code used for the analysis

253 The code used for D meson-hadron correlation analysis is fully committed in AliPhysics. The anal-
 254 ysis classes can be found in \$ALICE.ROOT/PWGHF/correlationHF/. The D meson specific classes
 255 where the aforementioned steps are carried out are AliAnalysisTaskDStarCorrelations, AliAnalysis-
 256 TaskSE0Correlations and AliAnalysisTaskDplusCorrelations. The classes which are common to the D
 257 meson specific analysis which includes the associated particle cuts and the correlation observables are Al-
 258 iHFAssociatedTrackCuts, AliHFCorrelator, AliHFOfflineCorrelator, AliReducedParticle and AliDhCor-
 259 relationExtraction. Several additional classes and macros in the same folder deal with the correction
 260 steps.

261 3.3 Further details on corrections

262 3.3.1 Event Mixing

263 The event-mixing technique is used for correcting the raw correlation distribution for effects arising
 264 from the detector limited acceptance in rapidity and detector spatial inhomogeneities. The calculation
 265 of the Event Mixing correlation distribution is performed online. An event pool is created, where events
 266 preceding the one containing a D candidate are stored based on their properties (position of the vertex
 267 along the z axis and multiplicity). Each time a D meson candidate is found in an event, only the events
 268 contained in the same pool as the event under analysis is used to evaluate the correlations for the event
 269 mixing correction.

270 The multiplicity and z vertex position bins for the pools used in the p-Pb analysis (for both approaches)
 271 are the following:

- 272 – Multiplicity bins: $(0, 20); (20, 35); (35, +\infty)$
- 273 – Vertex z (cm) = $(-10, -2.5); (-2.5, 2.5); (2.5, 10)$

274 In an ideal case, the mixed event distribution is expected to have a constant flat distribution as function
 275 of $\Delta\phi$ and a triangular shaped distribution in $\Delta\eta$ deriving from the limited η acceptance of the detector.
 276 In case, instead, of detector inefficient regions, or holes, in the same angular position for D meson and
 277 associated tracks, these structures produce an excess of correlations at $\Delta\phi = 0$ in the $\Delta\phi$ distribution,
 278 plus possibly other structures depending on the relative position of the inefficient regions and on their
 279 number. The mixed-event distribution is used as a weight in each correlation bin, i.e, the corrected
 280 correlation distribution is calculated as follows:

$$\frac{dN^{corr}(\Delta\phi, \Delta\eta)}{d\Delta\phi d\Delta\eta} = \frac{\frac{dN^{SE}(\Delta\phi, \Delta\eta)}{d\Delta\phi d\Delta\eta}}{\frac{dN^{ME}(\Delta\phi, \Delta\eta)}{d\Delta\phi d\Delta\eta}} \frac{dN^{ME}(0, 0)}{d\Delta\phi d\Delta\eta} \quad (2)$$

281 In Eq.2, the last term stands for the average of the bins in the region $-0.2 < \Delta\eta < 0.2$, $-0.2 < \Delta\varphi < 0.2$
 282 (multiple bins are used to minimize the effect of statistical fluctuations on the normalization of the mixed-
 283 event plots). This kind of normalization, adopted in the analysis of hadron-hadron correlations, relies
 284 on the fact that at $(\Delta\eta, \Delta\varphi) = (0, 0)$ the trigger and associated particle experience the same detector
 285 effects. In the D meson case this is true only on average and not at very low p_T , since D mesons are
 286 reconstructed from particles that can go in different detector region. However, $(\Delta\eta, \Delta\varphi) = (0, 0)$ is in
 287 any case the region with maximum efficiency for the pairs (both correlated and uncorrelated). Thus the
 288 same convention was adopted.

289 The mixed-event correlation distributions are built in both D meson signal and sideband regions. Both
 290 are corrected with the relative distributions. An example of the mixed-event distributions, and of the
 291 outcome of the mixed-event correction, is provided in Figures 10 and 11. The expected triangular shape
 292 in $\Delta\eta$, for the mixed-event distributions, addresses the effect of the limited detector pseudo-rapidity
 293 acceptance. Note that the mixed-event distribution is limited to the interval $|\Delta\eta| < 1$: the decision to
 294 limit the mixed-event correction, and thus the whole analysis, to this range was taken in order to avoid
 295 the so-called “wing effect”, i.e. the wing-like structures arising in the correlation distribution at large $\Delta\eta$
 296 due to the limited filling of the correlation bins in that region.

Type	Production	Run list	nEvents
Monte-Carlo	LHC18a4a2_fast (c/b enriched) [GEANT3]	282343, 282342, 282341, 282340, 282314, 282313, 282312, 282309, 282307, 282306, 282305, 282304, 282303, 282302, 282247, 282230, 282229, 282227, 282224, 282206, 282189, 282147, 282146, 282127, 282126, 282125, 282123, 282122, 282120, 282119, 282118, 282099, 282098, 282078, 282051, 282050, 282031, 282025, 282021, 282016, 282008, 282367, 282366, 282365 = [44 runs]	23M
	LHC17l3b_fast (Minimum Bias sample) [GEANT3]	282008, 282016, 282021, 282025, 282031, 282050, 282051, 282078, 282098, 282099, 282118, 282119, 282120, 282122, 282123, 282125, 282126, 282127, 282146, 282147, 282189, 282206, 282224, 282227, 282229, 282230, 282247, 282302, 282303, 282304, 282305, 282306, 282307, 282309, 282312, 282313, 282314, 282340, 282341, 282342, 282343, 282365, 282366, 282367 = [44 runs]	23M
Data	LHC17p_pass1_FAST	282343, 282342, 282341, 282340, 282314, 282313, 282312, 282309, 282307, 282306, 282305, 282304, 282303, 282302, 282247, 282230, 282229, 282227, 282224, 282206, 282189, 282147, 282146, 282127, 282126, 282125, 282123, 282122, 282120, 282119, 282118, 282099, 282098, 282078, 282051, 282050, 282031, 282025, 282021, 282016, 282008 = [41 runs]	985M total
	LHC17p_pass1_CENT_woSDD	282343, 282342, 282341, 282340, 282314, 282313, 282312, 282309, 282307, 282306, 282305, 282304, 282303, 282302, 282247, 282230, 282229, 282227, 282224, 282206, 282189, 282147, 282146, 282127, 282126, 282125, 282123, 282122, 282120, 282119, 282118, 282099, 282098, 282078, 282051, 282050, 282031, 282030, 282025, 282021, 282016, 282008 = [42 runs]	
	LHC17q_pass1_FAST	282367, 282366, 282365 = [3 runs]	
	LHC17q_pass1_CENT_woSDD	282367, 282366, 282365 = [3 runs]	

Table 1: Data Set and Run list

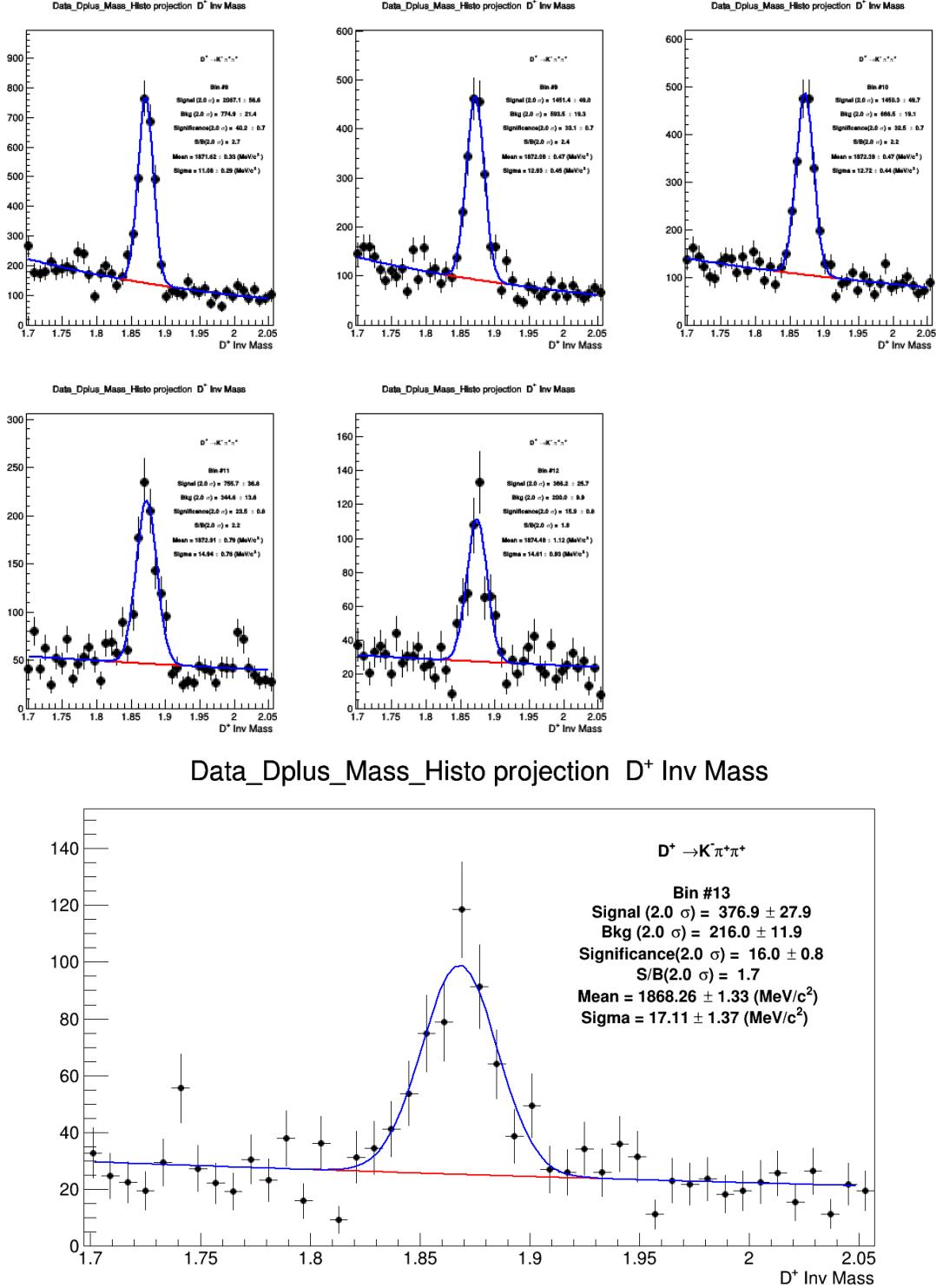


Figure 7: Invariant mass distribution of D⁺ corrected with efficiency in different p_T regions. Top: 8 < p_T^D < 10 GeV/c, 10 < p_T^D < 12 GeV/c (middle), 12 < p_T^D < 16 GeV/c (right) and Bottom: 16 < p_T^D < 24 GeV/c .

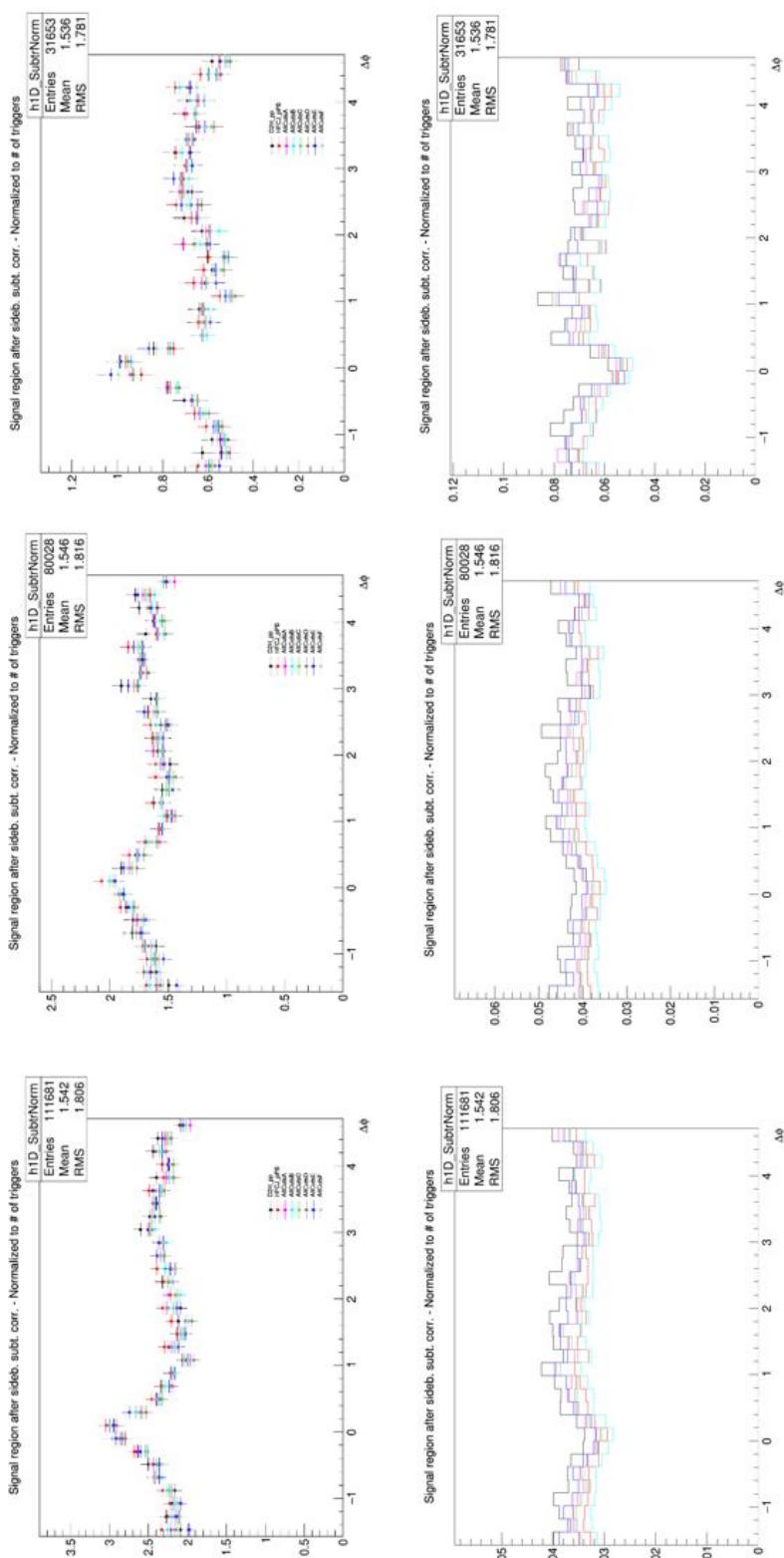


Figure 8: D^0 - h correlation distributions with different cut options (top) and point-by-point relative statistical uncertainty (bottom) for $5 < p_T^D < 8 \text{ GeV}/c$ for associated track $p_T > 0.3 \text{ GeV}/c$ (left), $0.3 < p_T < 1 \text{ GeV}/c$ (middle), $p_T > 1 \text{ GeV}/c$ (right)

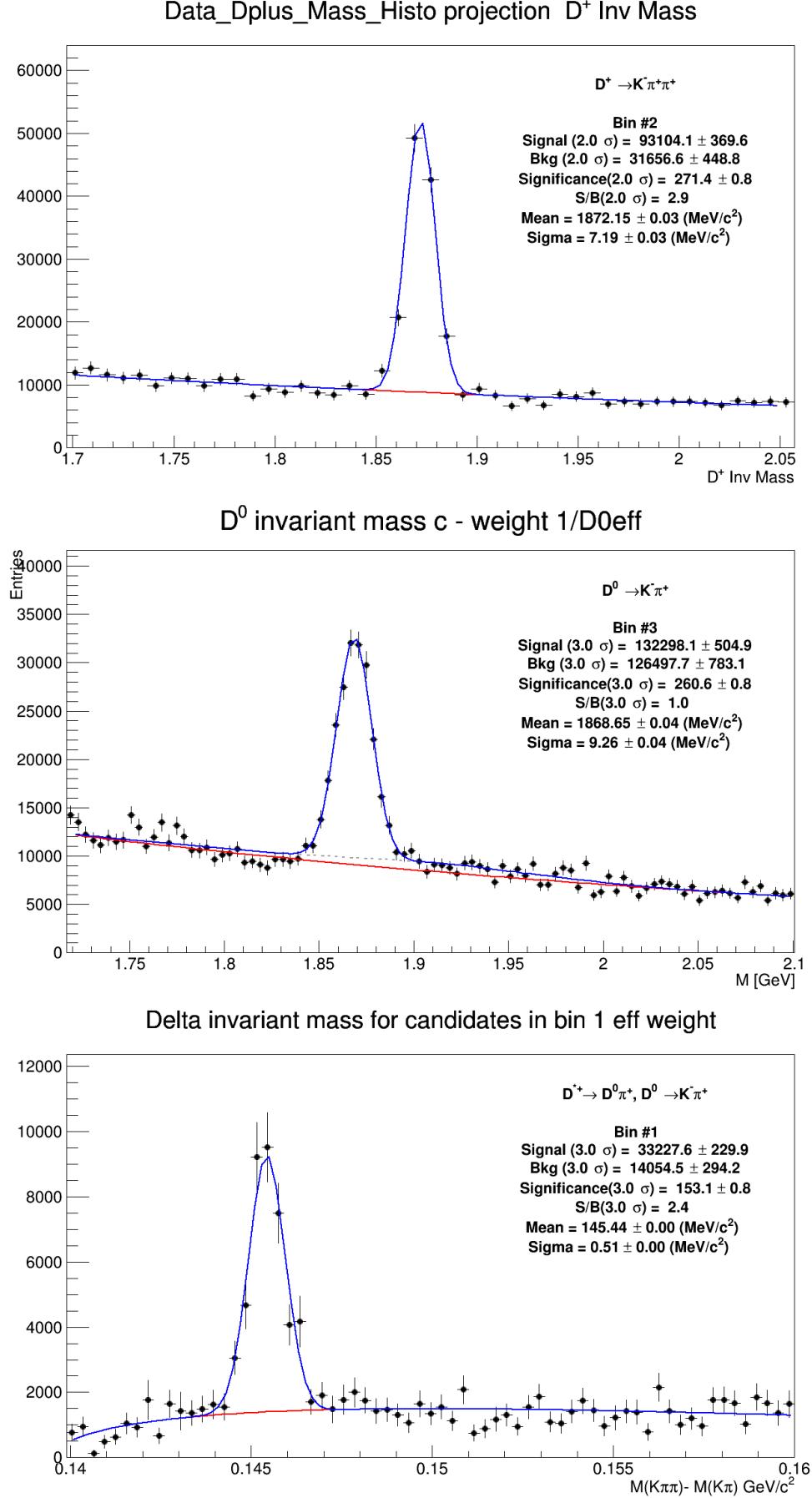


Figure 9: Invariant mass distribution of D^+ (top), D^0 (mid) and D^{*+} (bottom) corrected with efficiency for $2 < p_T^D < 3$.

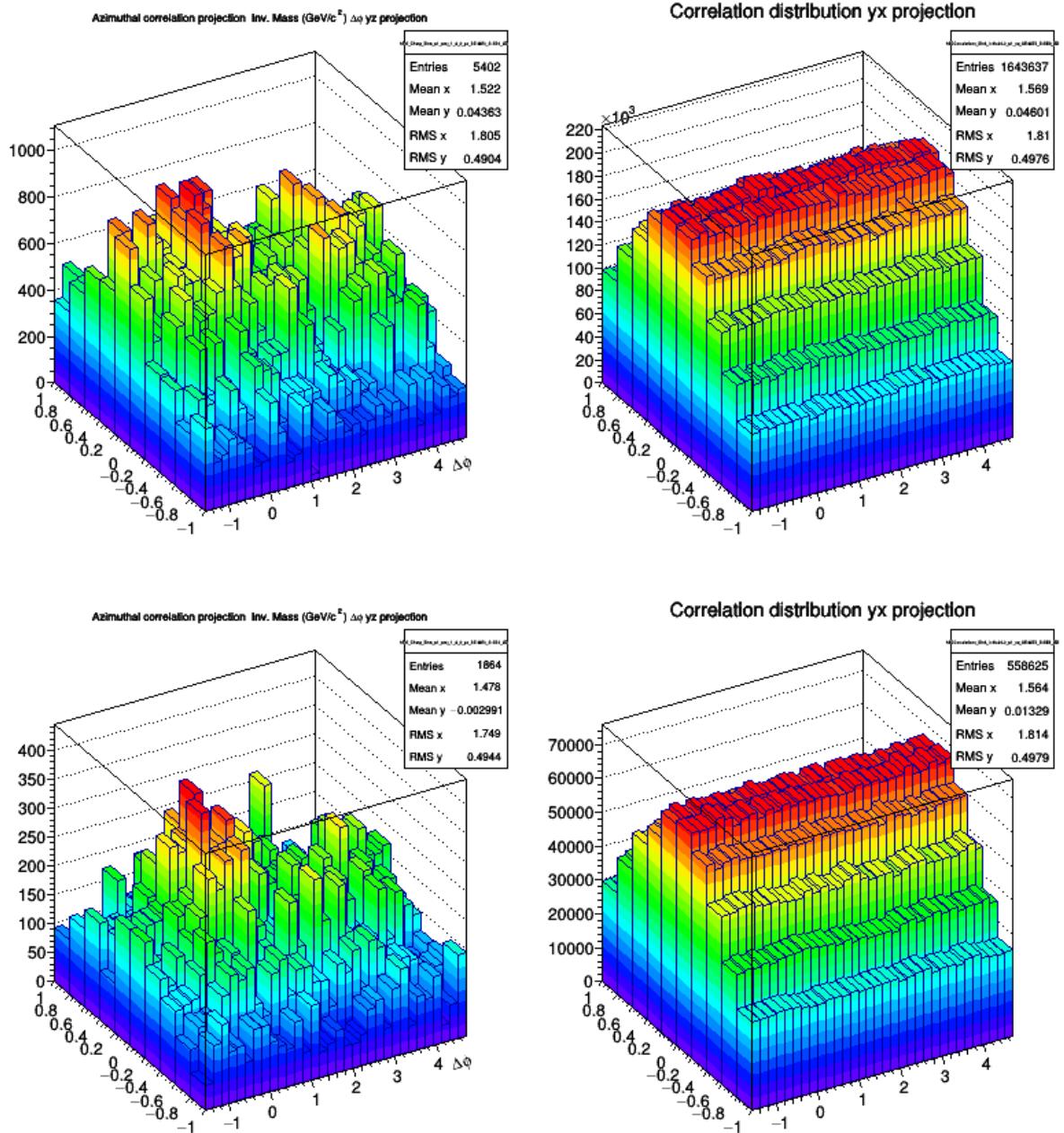


Figure 10: D^{*+} meson ($\Delta\phi$, $\Delta\eta$) correlation for in the signal region (top row) and sidebands (bottom row) from pool1, for Single Event (left) and Mixed Event analysis (center) for high p_T : $3 < p_T < 5$ GeV/c with associated $p_T > 0.3$ GeV/c. The right column shows the SE/ME corrected distributions.

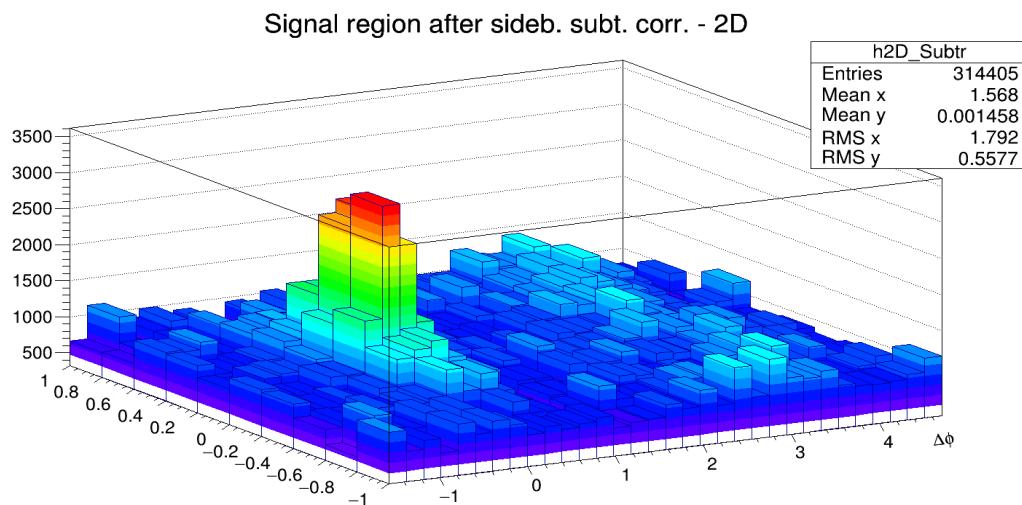


Figure 11: $(\Delta\varphi, \Delta\eta)$ correlation distribution of D^{*+} - h with $8 < p_T < 16$ GeV/c and associated track p_T Threshold: $p_T > 0.3$ GeV/c, after the mixed-event correction.

297 **3.3.2 Tracking and D-meson trigger efficiency**

298 **(i) Tracking efficiency** - The tracking efficiency was calculated by obtaining the ratio between the yield
299 at the reconstructed level and generated level, for a defined “type” of particles (in our case non-identified
300 particles) and it is estimated differentially in p_T , η , and z_{vtx} of the charged particles.

301

302 Tracking efficiency maps were produced as TH3D histograms (p_T , η , z_{vtx}) obtained from MC analy-
303 sis on the minimum-bias samples LHC17l3b_fast and LHC17l3b_cent_woSDD anchored to LHC17p,q
304 data samples, considering only primary pions, kaons, protons, electrons and muons, and applying at
305 reconstructed level the track selections (summarized in Table 2). These efficiency maps were used in
306 the analysis tasks to extract single track efficiencies; each correlation pairs found in the data analysis
307 was inserted in correlation plots with a weight of **1/efficiency value**. The 1D (p_T dependence) tracking
308 efficiency for all the five species as well as the tracking efficiency specie by specie are shown in Fig. 12.

309 Details of cuts at event level and particle/track selection at different steps are listed in Table 2 .

MC Generated	
Stages	Cuts
1. MC Part with Generated Cuts	After Event Selection Charge PDG Code Physical Primary Kinematics Cuts $-0.8 < \eta < 0.8$ $p_T > 0.3 \text{ (GeV}/c)$
2. MC Part with Kine Cuts	
MC Reconstructed	
4. Reco tracks	After Event Selection Physical Primary Kinematics Cuts $-0.8 < \eta < 0.8$ $p_T > 0.3 \text{ (GeV}/c)$
5. Reco tracks with Kine Cuts	
6. MC true with Quality Cuts	Quality Cuts SetRequireSigmaToVertex(kFALSE) SetDCAToVertex2D(kFALSE) SetMinNCrossedRowsTPC(70) SetMinRatioCrossedRowsOverFindableClustersTPC(0.8) SetMinNClustersITS(2) SetMaxChi2PerClusterTPC(4) SetMaxDCAToVertexZ(1) SetMaxDCAToVertexXY(1) SetRequireTPCRefit(TRUE) SetRequireITSRefit(FALSE) Same as step 6
7. Reco tracks with Quality Cuts	

Table 2: The list of event and particle/track selection cuts used in the estimation of single track efficiency

310
 311 **(ii) D meson efficiency** - Due to limited statistics, the correlation analysis is performed in quite wide p_T
 312 bins and in each of them the reconstruction and selection efficiency of D mesons is not flat, in particular
 313 in the lower p_T region. We correct for the p_T dependence of the trigger efficiency within each p_T -bin.

314 This correction is applied online, by using a map of D meson efficiency as a function of p_T and event
 315 multiplicity (in terms of SPD tracklets in $|\eta| < 1$) extracted from the enriched Monte Carlo sample
 316 LHC18a4a2_fast. The η dependence was neglected due to the statistics of the available Monte Carlo
 317 sample, which rule out the possibility of performing a 3D study.

318 To properly count the number of trigger particles used to normalize the correlation distributions, N_{trig} ,
 319 each D meson is weighted with the inverse of its efficiency in the invariant mass distribution. The main
 320 role of the correction for the D meson efficiency is to account for the p_T dependence of the correlation
 321 distribution within a given D meson p_T interval. Indeed, only the p_T shape of the D meson efficiency
 322 within the correlation p_T^{trig} ranges is relevant while the average value in the p_T range is simplified due to
 323 the normalization of the correlation distribution to the number of trigger particles.

³²⁴ Efficiency plots for D^0 , D^+ and D^{*+} mesons are shown in Figs. 13 and 14, for prompt and feed-down D
³²⁵ mesons, respectively.

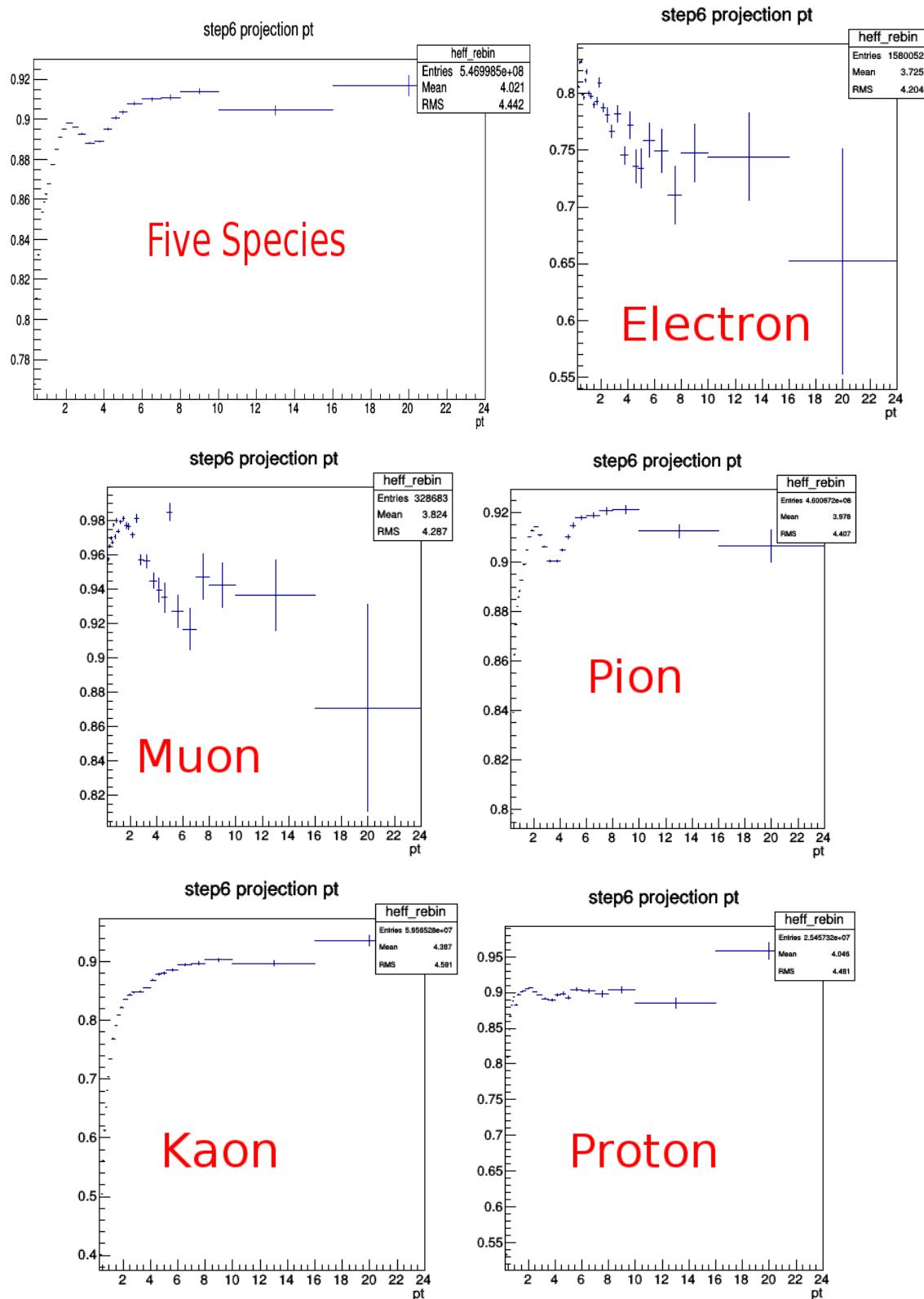


Figure 12: 1D (vs p_T) tracking efficiency map for standard track selection, evaluated for five species (electron, muon, pion, kaon and proton) and also different species using data sample LHC17l3b_fast.

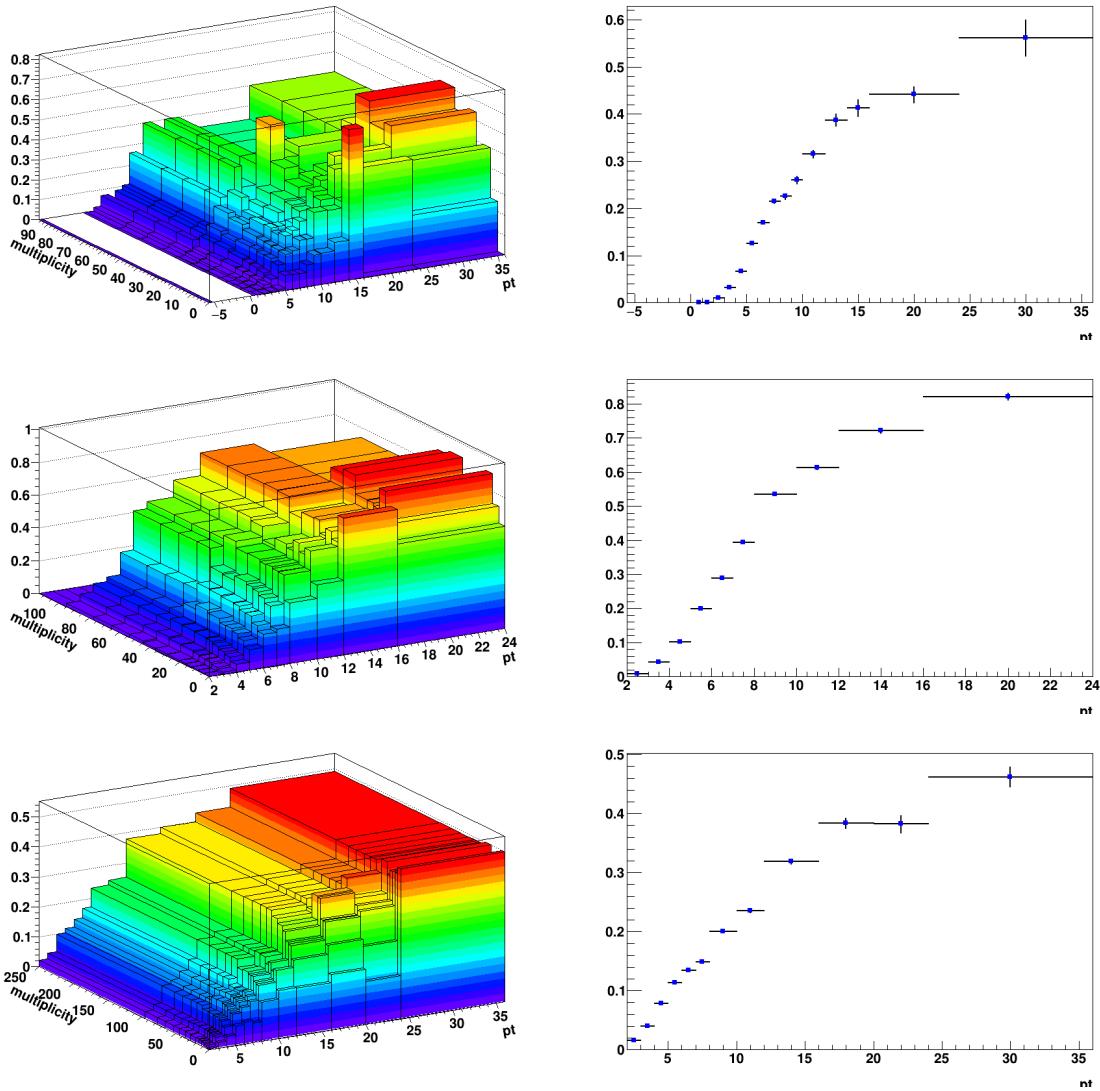


Figure 13: Top panel: (p_T , multiplicity) dependence (left) and p_T dependence (right) of prompt D^+ meson efficiency. Mid panel: (p_T , multiplicity) dependence (left) and p_T dependence (right) of prompt D^{*+} meson efficiency. Bottom panel: (p_T , multiplicity) dependence (left) and p_T dependence (right) of prompt D^0 meson efficiency.

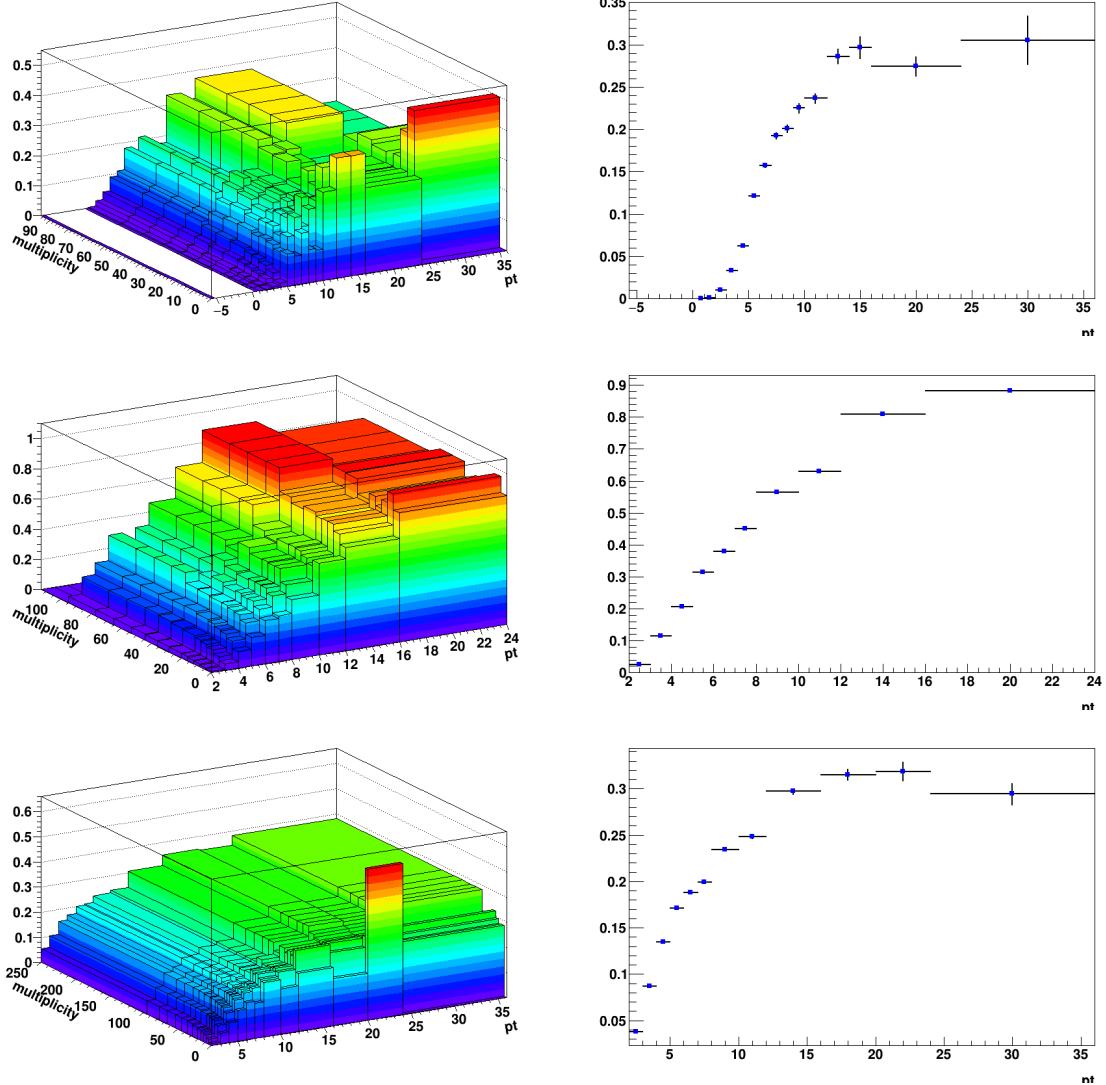


Figure 14: Top panel: (p_T , multiplicity) dependence (left) and p_T dependence (right) of feed-down D^+ meson efficiency. Mid panel: (p_T , multiplicity) dependence (left) and p_T dependence (right) of feed-down D^{*+} meson efficiency. Bottom panel: (p_T , multiplicity) dependence (left) and p_T dependence (right) of feed-down D^0 meson efficiency.

326 **3.3.3 Correction for bias on B to D decay topologies**

327 To verify the consistency of the analysis chain and of the corrections applied to the correlation distribu-
 328 tions extracted from data, a Monte Carlo closure test was setup and tried on the D^0 -h analysis.

329 On the Monte Carlo enriched with charm and beauty quarks (LHC18a4a2_fast, with GEANT3), the
 330 correlation analysis was performed both at kinematic level and at reconstructed level. At kinematic
 331 level, only acceptance cuts were applied on the D mesons and the associated particles, using the Monte
 332 Carlo information for the identification of the D mesons and the hadrons in the event and rejecting the
 333 non-primary particles. At reconstructed level, the analysis was performed as if it were executed on data,
 334 applying the event selection, the acceptance cuts for D mesons and the associated particles, selecting the
 335 D meson candidates with filtering cuts on their daughters, topological cuts and PID selection, and then
 336 keeping only the true D mesons by matching with the Monte Carlo truth; non-primary particles were
 337 rejected by means of the DCA selection. Event mixing correction was applied both at reconstructed and
 338 at kinematic level, where it takes into account just the effects of the acceptance cuts. In addition, at
 339 reconstructed level, the efficiency corrections for D mesons and associated tracks were also applied.

340 The consistency check was performed to verify whether, after having applied all the corrections to the
 341 azimuthal correlation plots at reconstructed level, the results were compatible with the ones at kinematic
 342 level. Hence, the ratios of fully corrected reconstructed plots over kinematic plots were evaluated in all
 343 the D^0 p_T bins and for the various p_T thresholds for the associated tracks, separating the contributions
 344 for the different origins of particles and triggers. The ratios, shown in Figure 15 for exemplary kinematic
 345 regions (covering anyway the full span of the measurement), denote a good compatibility with 1, within
 346 the uncertainties, of the average reconstructed over generated ratios, in particular for the all D-non HF
 347 track case (blue curves), apart from small downward deviations at low p_T , which will be cured with a 2-
 348 3% asymmetric systematic uncertainty, as also previously done in the pp 2010 and p-Pnb 2013 analyses.

349 The major exceptions to the previous conclusion are clearly the structures in the near side region for the
 350 beauty origin case. It was verified that these structures are induced by our topological selection for the D
 351 mesons. Indeed, in cases in which the D meson triggers come from B hadrons, applying the topological
 352 cuts (especially the cosine of the pointing angle) tends to favour cases with a small angular opening
 353 between the products of the B hadron decay (i.e. the D meson trigger itself and other particles), with
 354 respect to cases where the B decay particles are less collinear.

355 In the Monte Carlo closure test, this situation is reflected in the correlation distributions at reconstructed
 356 level, where the topological selection is applied, while it does not occur at kinematic level. Hence, in
 357 the reconstructed/kinematic ratio, the distribution would show an excess for $\Delta\varphi = 0$ (due to the favoured
 358 decays with small opening angle), which is then compensated by a depletion for larger values of $\Delta\varphi = 0$
 359 (corresponding to B decays with larger angles, which are disfavoured). These structures are prominent at
 360 low D^0 p_T , where the topological cuts are tighter, and tend to disappear at higher p_T , where the selections
 361 are released. They are also larger in the higher associated track p_T ranges, where the fraction of B-hadron
 362 decay tracks dominate the overall correlation distributions.

363 The data correlation distribution need to be corrected for this bias, and in particular for the enhancement
 364 of b-origin correlation pairs at the centre of the near side region, which would influence the near-side
 365 peak features. In order to do this, the amount of the b-origin excess is evaluated from the Reco/Kine
 366 ratio, by considering the b- D^0 -all tracks case (dark green points). The excess at Reco level (affecting
 367 data) is quantified as a $\Delta\varphi$ modulation **modul** for the five points an each side of the $\Delta\varphi = 0$ value (or,
 368 equivalently, on the first five points of the reflected distributions, which start from $\Delta\varphi = 0$). This is
 369 done separately in each p_T range. Then, the correction is done by applying this modulation to the data
 370 correlation distributions, but taking into account that only the correlation entries from $B \rightarrow D$ are affected,
 371 while the $c \rightarrow D$ correlations need to be left unaltered. In particular, it has to be considered that:

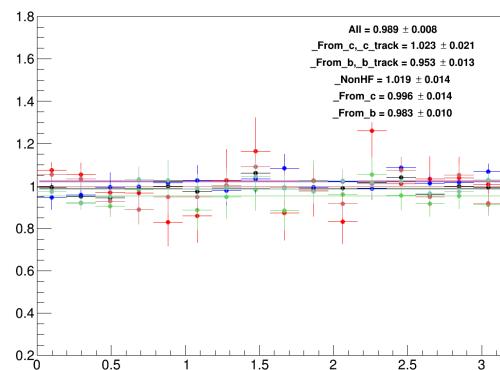
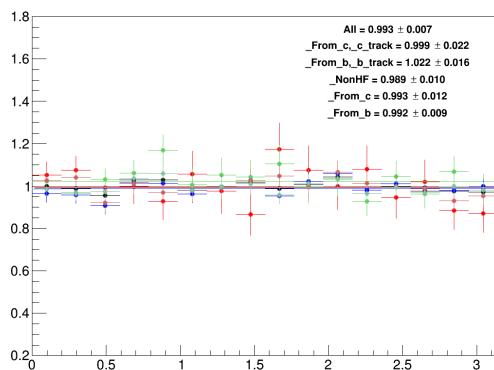
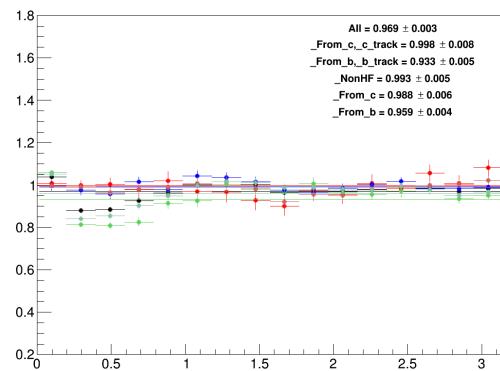
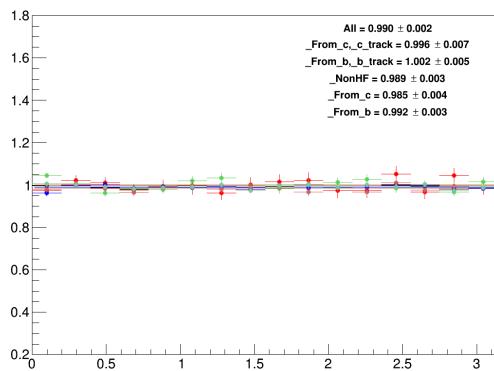
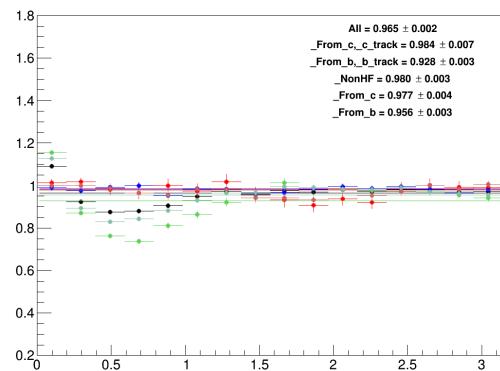
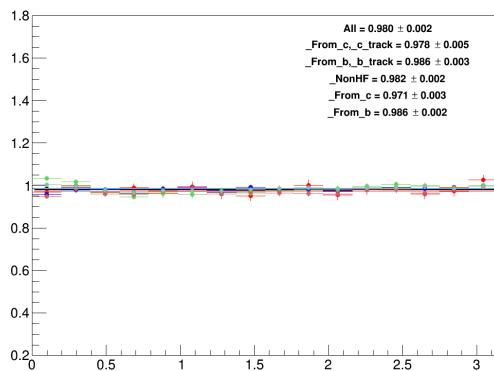
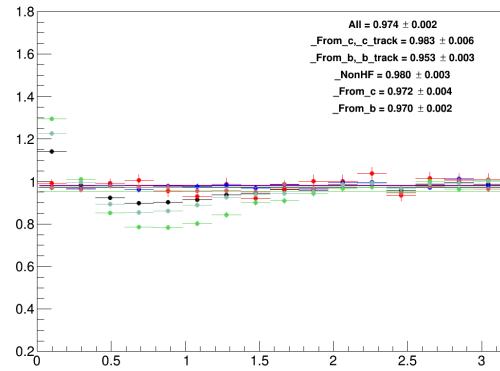
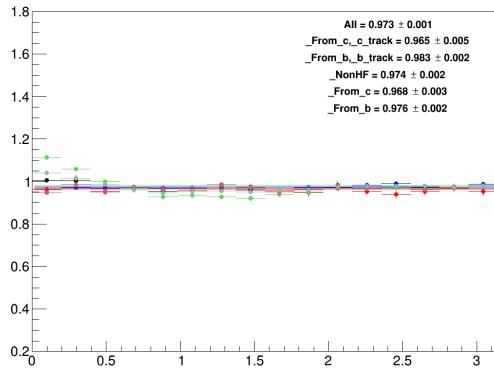


Figure 15: Ratios of fully corrected azimuthal correlation plots at reconstructed level over azimuthal correlation plots at kinematic level, in the two D^0 p_T bins, for the different associated p_T ranges. Black points: All D^0 -all hadrons, normalized by all D^0 triggers; light red points: D^0 from c-hadrons from c, normalized by c- D^0 triggers; dark red points: D^0 from c-all hadrons, normalized by c- D^0 triggers; light green points: D^0 from b-hadrons from b, normalized by b- D^0 triggers; dark green points: D^0 from b-all hadrons, normalized by b- D^0 triggers; blue points: All D^0 -hadrons from light quarks, normalized by all D^0 triggers. The panels show the ranges: $3 < p_T(D) < 5 \text{ GeV}/c$, $0.3 < p_T(\text{assoc}) < 1 \text{ GeV}/c$ (1st row-left); $3 < p_T(D) < 5 \text{ GeV}/c$, $p_T(\text{assoc}) > 1 \text{ GeV}/c$ (1st row-right); $5 < p_T(D) < 8 \text{ GeV}/c$, $0.3 < p_T(\text{assoc}) < 1 \text{ GeV}/c$ (2nd row-left); $5 < p_T(D) < 8 \text{ GeV}/c$, $p_T(\text{assoc}) > 1 \text{ GeV}/c$ (2nd row-right); $8 < p_T(D) < 16 \text{ GeV}/c$, $0.3 < p_T(\text{assoc}) < 1 \text{ GeV}/c$ (3rd row-left), $8 < p_T(D) < 16 \text{ GeV}/c$, $p_T(\text{assoc}) > 1 \text{ GeV}/c$ (3rd row-right); $16 < p_T(D) < 24 \text{ GeV}/c$, $0.3 < p_T(\text{assoc}) < 1 \text{ GeV}/c$ (4th row-left), $16 < p_T(D) < 24 \text{ GeV}/c$, $p_T(\text{assoc}) > 1 \text{ GeV}/c$ (4th row-right).

- 372 – On data, the $B \rightarrow D$ correlation pairs are only a fraction ($1 - f_{\text{prompt}}$) of the total.
- 373 – The amplitude of $B \rightarrow D|_{\text{amplit}}$ correlation pattern is different (greater) than the amplitude of the
374 $c \rightarrow D|_{\text{amplit}}$ correlation pattern:

375 Thus, the following equation is applied to get the corrected $C(\Delta\phi)_{\text{corr}}$ data points starting from the raw
376 ones, $C(\Delta\phi)_{\text{raw}}$:

$$C(\Delta\phi)_{\text{corr}} = C(\Delta\phi)_{\text{raw}} \cdot \left[\frac{c \rightarrow D|_{\text{amplit}}}{(B+c) \rightarrow D|_{\text{amplit}}} \cdot f_{\text{prompt}} + \frac{B \rightarrow D|_{\text{amplit}}}{(B+c) \rightarrow D|_{\text{amplit}}} \cdot (1 - f_{\text{prompt}}) \cdot \frac{1}{\text{modul}} \right] \quad (3)$$

377 where $(B+c) \rightarrow D|_{\text{amplit}} = c \rightarrow D|_{\text{amplit}} \cdot f_{\text{prompt}} + B \rightarrow D|_{\text{amplit}} \cdot (1 - f_{\text{prompt}})$, and where the two amplitudes
378 are evaluated from the Monte Carlo distributions at reconstructed level (so, including the bias), and
379 f_{prompt} with the procedure described in 3.3.5. Applying the **modul** factor to the beauty part of the data
380 correlation distributions brings its value back to the generated level case, effectively removing the bias.
381 The effect of the correction is a shift of the data points in the near-side region (in general, downward in
382 the first and second points, the upward in the others). The maximum value of the shift is of about 5%, at
383 the centre of the near-side peak, for the lowest D-meson p_T range ($3 < p_T < 5 \text{ GeV}/c$) and the highest
384 associated track p_T range ($p_T > 3 \text{ GeV}/c$). The typical values are instead of a couple of percentage
385 points. The correction is zero in the highest D-meson p_T range. To take into account for possible in-
386 accuracies in the definition of the modulations, or in their rescaling, a systematic uncertainty is applied
387 on the corrected data points, with value $|C(\Delta\phi)_{\text{corr}} - C(\Delta\phi)_{\text{raw}}| / \sqrt{12}$, on each side of the data points
388 affected by the bias (symmetric uncertainty).

389 **3.3.4 Secondary track contamination**

390 The secondary tracks inside the associated track sample, due to interaction of primary track with the de-
 391 tector material or to decays of strange hadrons, are mostly removed by the DCA cuts applied during the
 392 cut selection phase ($DCA(xy) < 1 \text{ cm}$, $DCA(z) < 1 \text{ cm}$). Anyway, a small fraction of secondary tracks
 393 survives this cut, and the data correlation distributions have to be corrected for this residual contamina-
 394 tion. The fraction of surviving secondary tracks is evaluated via a study on the LHC18a4a2_fast sample,
 395 by counting the number of tracks accepted by the selection whose corresponding generated-level track
 396 doesn't satisfy the `IsPhysicalPrimary()` call, and dividing this number by the total number of accepted
 397 tracks. The outcome of the check is reported in Figure 16. As it's visible, no more than about 4.5%
 398 secondary tracks pass the selection (6% in the lowest associated p_T range). Moreover, the fraction of
 399 residual secondary tracks is rather flattish along the $\Delta\phi$ axis, as shown, for exemplary p_T regions, in
 400 Figure 17, where the inhomogeneities are generally not larger than about 1%. Anyway, to take into ac-
 401 count these modulations, which vary from bin to bin, the purity correction was performed differentially
 402 though the azimuthal axis (i.e. applied bin-per-bin on the azimuthal correlation distributions). In addi-
 403 tion, this was important to consider since though these structures are small, they could be amplified after
 404 the subtraction of the baseline, when going to the yield evaluation.

405 In particular, three approaches were tried, by multiplying the data correlation distribution in each kine-
 406 matic range by:

- 407 – the MC primary/inclusive histogram (blue histogram in Fig. 17)
- 408 – a polynomial fit applied to the MC primary/inclusive histogram (red curve in Fig. 17)
- 409 – a moving average, considering 3 points, of the MC primary/inclusive histogram (red histogram in
 410 Fig. 17)

411 Each approach has pros and cons, since directly using the primary/inclusive histogram gives a correction
 412 strongly dependent on the statistical fluctuations, while using the fit or the moving average smoothen the
 413 fluctuation, but also the structures with a physical origin (and the fit misses a periodicity condition). For
 414 this reason, a comparison of the outcome of the correction after applying either of the approaches (and
 415 the old 'flat' correction approach) was performed, which gave full compatibility (within less than 1%) of
 416 the correlation distributions corrected with either approach. The moving average approach was chosen
 417 as standard correction procedure.

418 It was also verified with the same Monte Carlo study that applying the DCA selection rejects less than 1%
 419 primary tracks (tagged as false positives) from the associated track sample, and less than 1% of heavy-
 420 flavour originated tracks, again with a flattish azimuthal distribution, inducing hence a fully negligible bias
 421 on the data correlation distributions. This is shown in Figure 18. This was also verified for specific
 422 charm-origin and beauty-origin tracks, due to their larger DCA with respect to primary tracks from light
 423 quarks. In this case, the fraction of rejected charm and beauty tracks stays below 1% in all the kinematic
 424 ranges apart from the associated track p_T regions 0.3-1 and $> 0.3 \text{ GeV}/c$, where the rejection can be
 425 as high as 2%. In these kinematic ranges, though, the data correlation distributions are dominated by
 426 non-heavy-flavour tracks, as it was verified from the simulations, hence the overall bias is still contained
 427 below 1%, thus negligible.

428 These studies were performed on an enriched Monte Carlo sample, which could not fully reproduce
 429 the relative abundances of the species. Anyway, for events with a reconstructed D-meson, this bias is
 430 expected to be minor, and only these events are used in the data analysis. In any case, the percentages
 431 obtained from the study were found to be consistent within 1%.

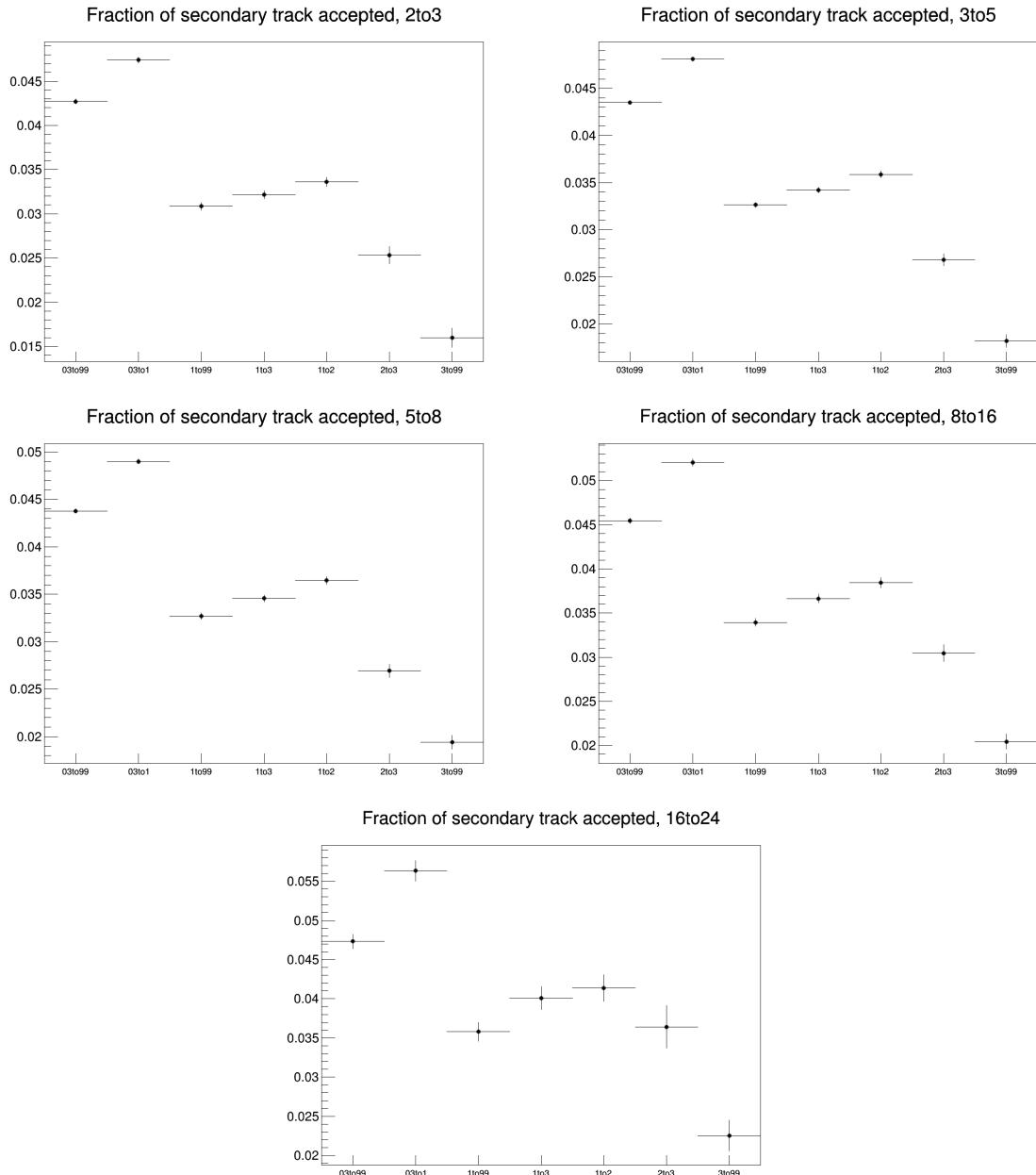


Figure 16: Fraction of secondary tracks over total amount of tracks which pass the DCA selection. The four panel show the fractions for the D-meson p_T ranges: 2-3, 3-5, 5-8, 8-16, 16-24, respectively. Inside each panel, the associated track p_T ranges are shown on the x -axis.

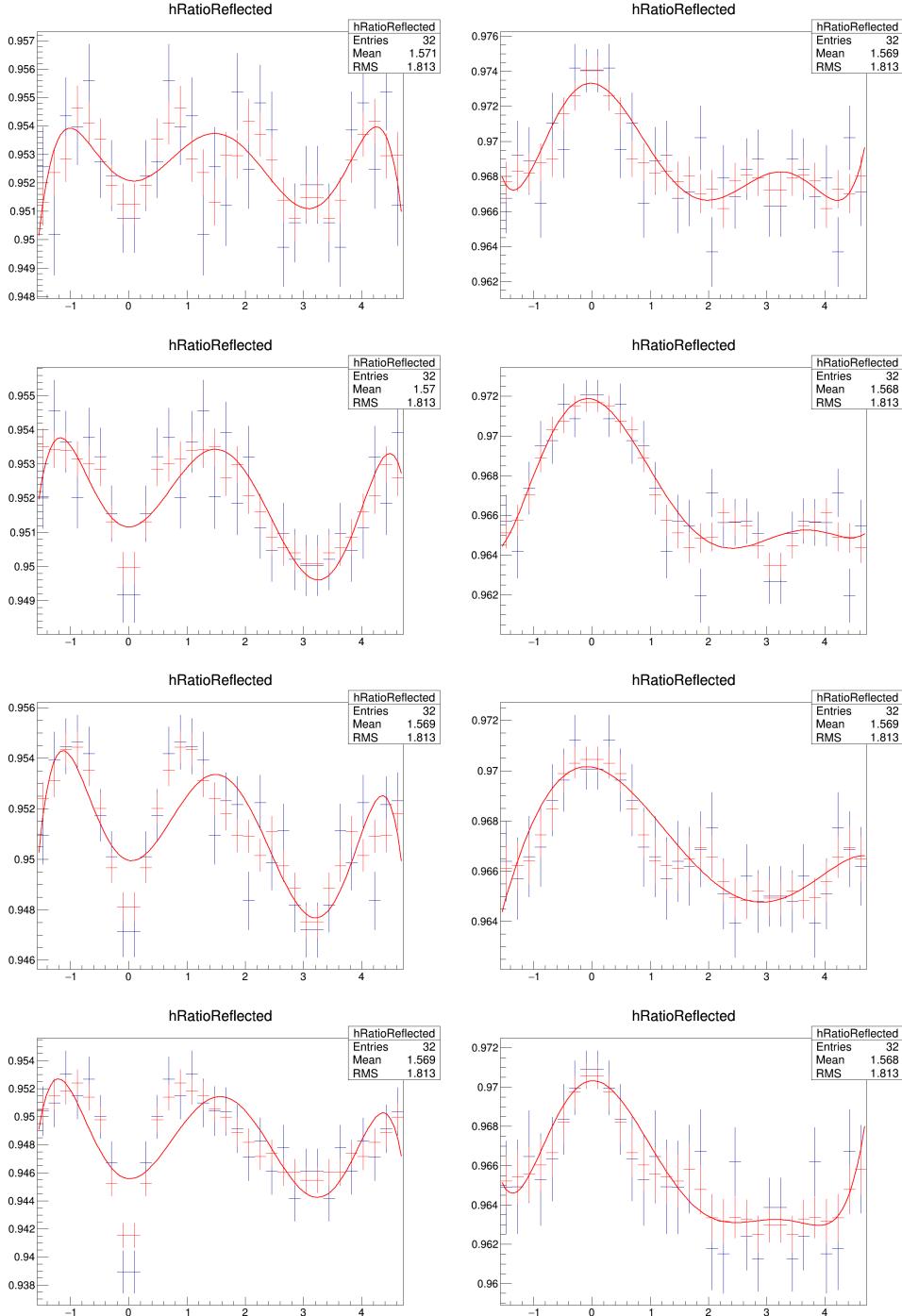


Figure 17: Fraction of primary track in the reconstructed associated track sample (blue histogram). The polynomial fit function (red curve) and the 3-point moving average (red histogram) are also superimposed. The $p_T(D)$ ranges are 2-3, 3-5, 5-8, 8-16 GeV/c, respectively for each row, and $0.3 < p_T(\text{assoc}) < 1$, $p_T(\text{assoc}) > 1$ GeV/c inside each row.

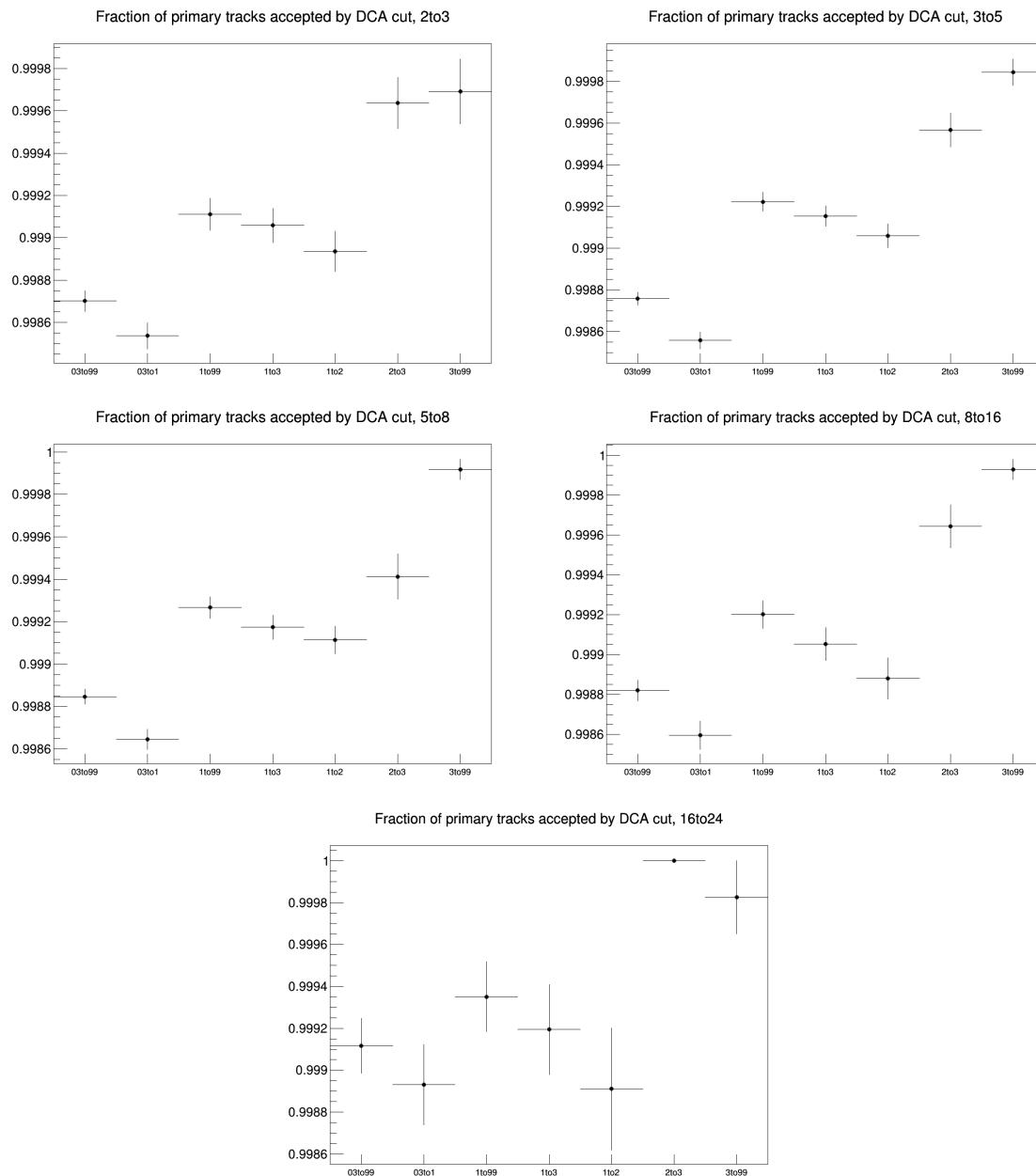


Figure 18: Fraction of primary tracks rejected by the DCA selection. The four panel show the fractions for the D-meson p_T ranges: 2-3, 3-5, 5-8, 8-16, 16-24, respectively. Inside each panel, the associated track p_T ranges are shown on the x -axis.

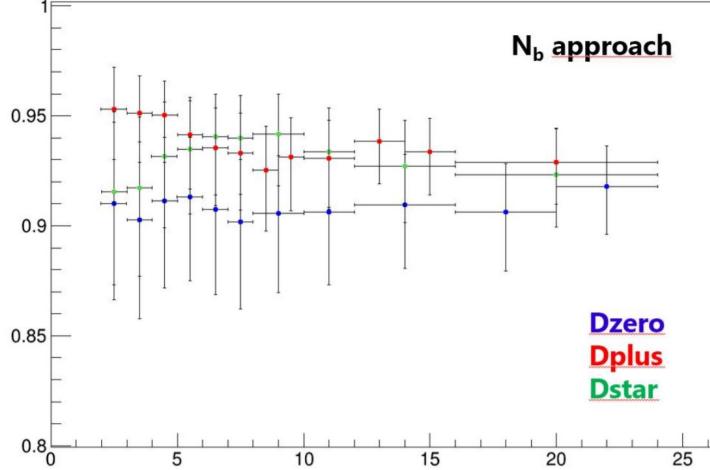


Figure 19: f_{prompt} as a function of the p_T for D^0 , D^{*+} and D^+ estimated on the basis of FONLL predictions

432 3.3.5 Beauty feed-down

433 The contribution of correlations of D meson from b-hadron decay is subtracted from the data correlation
434 distributions as:

$$\tilde{C}_{\text{prompt D}}(\Delta\varphi) = \frac{1}{f_{\text{prompt}}} \left(\tilde{C}_{\text{inclusive}}(\Delta\varphi) - (1 - f_{\text{prompt}}) \tilde{C}_{\text{feed-down}}^{\text{MC templ}}(\Delta\varphi) \right). \quad (4)$$

435 In the above equation, $\tilde{C}_{\text{inclusive}}(\Delta\varphi)$ and $\tilde{C}_{\text{prompt D}}(\Delta\varphi)$ are per-trigger azimuthal correlation distribu-
436 tions before and after feed-down contribution subtraction, f_{prompt} is the fraction of prompt D meson
437 and $\tilde{C}_{\text{feed-down}}^{\text{MC templ}}$ is a template of the azimuthal correlation distribution for the feed-down component ob-
438 tained from home-made Monte Carlo simulation at generated level, using PYTHIA6 with Perugia2011
439 tune. In order to avoid biases related to the different event multiplicity in real and simulated events,
440 the correlation distribution was shifted to have its minimum coinciding with the baseline of the data
441 azimuthal-correlation distribution before feed-down subtraction. More details on the procedure can be
442 found in [8].

443 The value of f_{prompt} (Figure 19), which depends on D-meson species and varies as a function of the p_T ,
444 is estimated on the basis of FONLL predictions for the production of feed-down D mesons at central
445 rapidity, in pp collisions at $\sqrt{(s)} = 5$ TeV, and using the reconstruction efficiency of prompt and feed-
446 down D mesons, following the so-called N_b approach defined in [1]. Typical values are about 8-10% for
447 the D^0 , about 4-7% for the D^+ and about 5-8% for the D^{*+} , fully consistent with the value obtained for
448 the p-Pb data sample, with rather similar cut selections.

449 **4 Systematic uncertainties on $\Delta\phi$ correlation distributions**

450 **4.1 Uncertainty on S and B extraction**

451 The systematic uncertainty for the D meson yield extraction was determined separately for the three
 452 mesons. It was obtained by evaluating the value of the signal candidate from the invariant mass spectra
 453 with the following differences with respect to the standard approach:

- 454 – Changing the background fit function, for D^0 and D^+ (tried with polynomials of 1st and 2nd order)
 455 and for D^{*+} (tried with polynomials of 2nd order and a power function);
- 456 – Changing the range in which the signal is extracted from the Gaussian fit;
- 457 – Reducing the range of invariant mass axis in which the signal region is defined (and S and B are
 458 extracted);
- 459 – Rebinning the invariant mass distributions before the fit for D^0 and D^+
- 460 – Extracting S and B via integral of the fit functions or B via bin counting and S via integral of the
 461 Gaussian function.

462 Both the value of the yield and the sidebands correlations normalization factor are affected by changing
 463 the yield extraction approach, while the rest of the procedure to extract the azimuthal correlation dis-
 464 tribution is the same as in the standard analysis. The fully corrected azimuthal correlation plots were
 465 evaluated, for each of these approaches, in all D meson p_T bins and for each value of associated tracks
 466 p_T threshold. The ratios of the correlation distributions obtained with the standard yield extraction pro-
 467 cedure and by differentiating the approach were evaluated. From the average of these ratios, which
 468 are found to be flat versus $\Delta\phi$, a systematic uncertainty can be extracted, which was taken of 1% for
 469 $3 < p_T(D) < 16 \text{ GeV}/c$ and of 2% in $16 < p_T(D) < 24 \text{ GeV}/c$. No dependence versus the associated
 470 track p_T was assumed, since from a physics point of view we don't expect a modification of the signal
 471 and sideband values to have a dependence of this kind. Figures ??, show the ratios obtained by the
 472 above mentioned procedure for exemplary p_T ranges, which anyway span over the full kinematic ranges
 473 analyzed, for D^0 -h correlations. Figures ?? and ?? show the same ratios for D^{*+} -h, D^+ -h as well.

474 **4.2 Uncertainty on background correlation shape**

475 The systematic uncertainty for the subtraction of the background correlations includes the effects due to
 476 a potentially biased description of the background correlation shape, which is evaluated from of the side-
 477 bands correlations. In particular, the background correlation shape could present some hidden invariant
 478 mass dependence. To estimate this uncertainty, the invariant mass range of the sidebands definitions was
 479 varied with respect to the default values. For the D^0 meson, the usual range of the sidebands is 4 to 8 σ
 480 from the centre of the peak of the Gaussian fit and it was modified, for both sidebands to:

- 481 – inner half (4 to 6 σ from the centre of the peak);
- 482 – outer half (6 to 8 σ from the centre of the peak)
- 483 – extended to 4 to 10 σ (in case this is possible without exceeding the fitting range of the mass plots)

484 Slightly different variations, but with the same reasoning, were considered for the D^+ meson.

485 For the D^{*+} meson, the usual range of sideband in invariant mass spectra is 5 to 10 σ (only on the right
 486 side) from the centre of the peak of the Gaussian fit of the invariant mass spectra, and it was modified to:

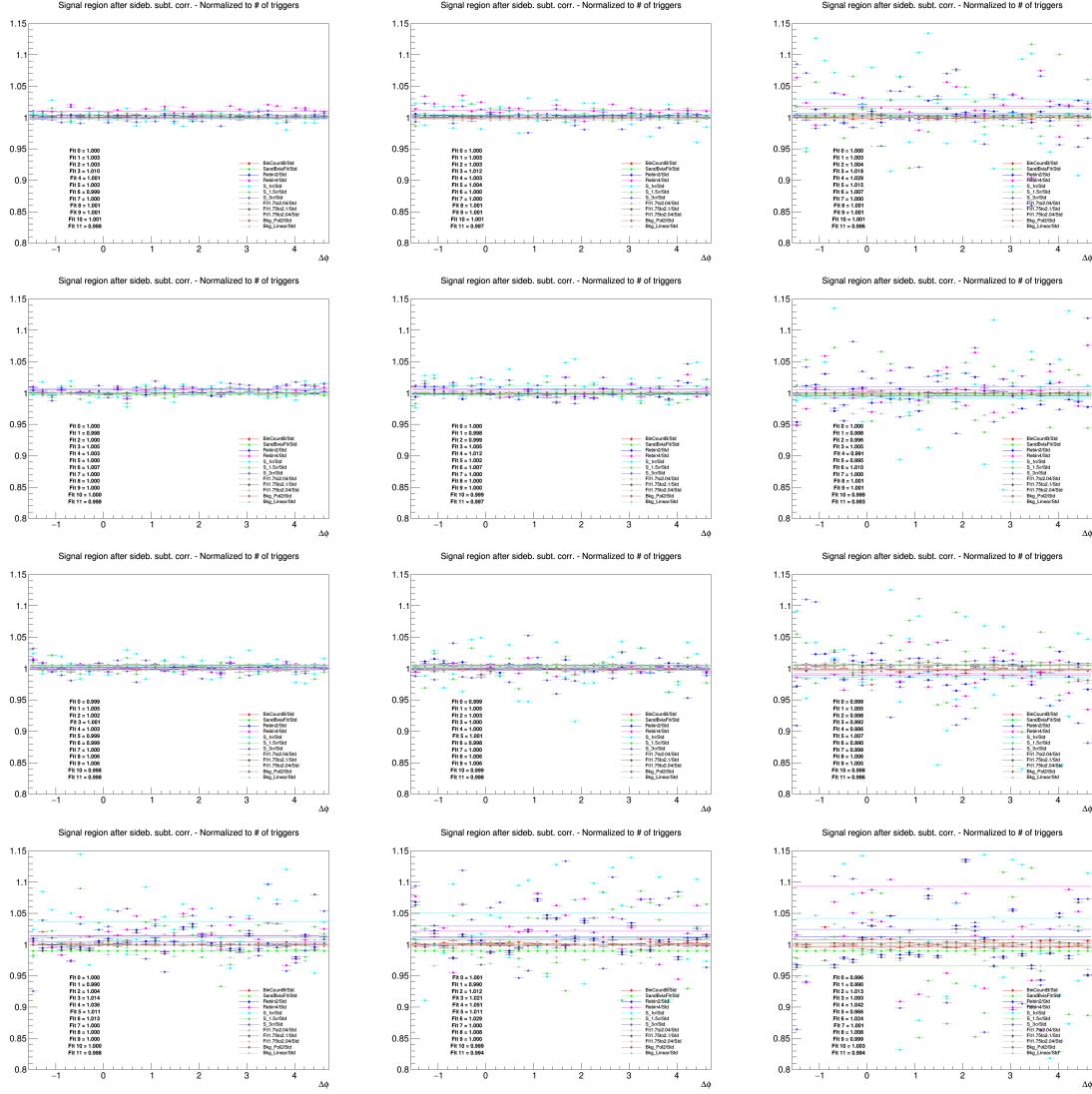


Figure 20: Ratios of D^0 - h correlation plots obtained changing S and B extraction procedure over those obtained with standard yield extraction procedure. Rows: $p_T(D^0)$ 3-5, 5-8, 8-16, 16-24 GeV/c . In each row, the panels show the associated track p_T ranges 0.3-1, 1-2, $>3 \text{ GeV}/c$, respectively.

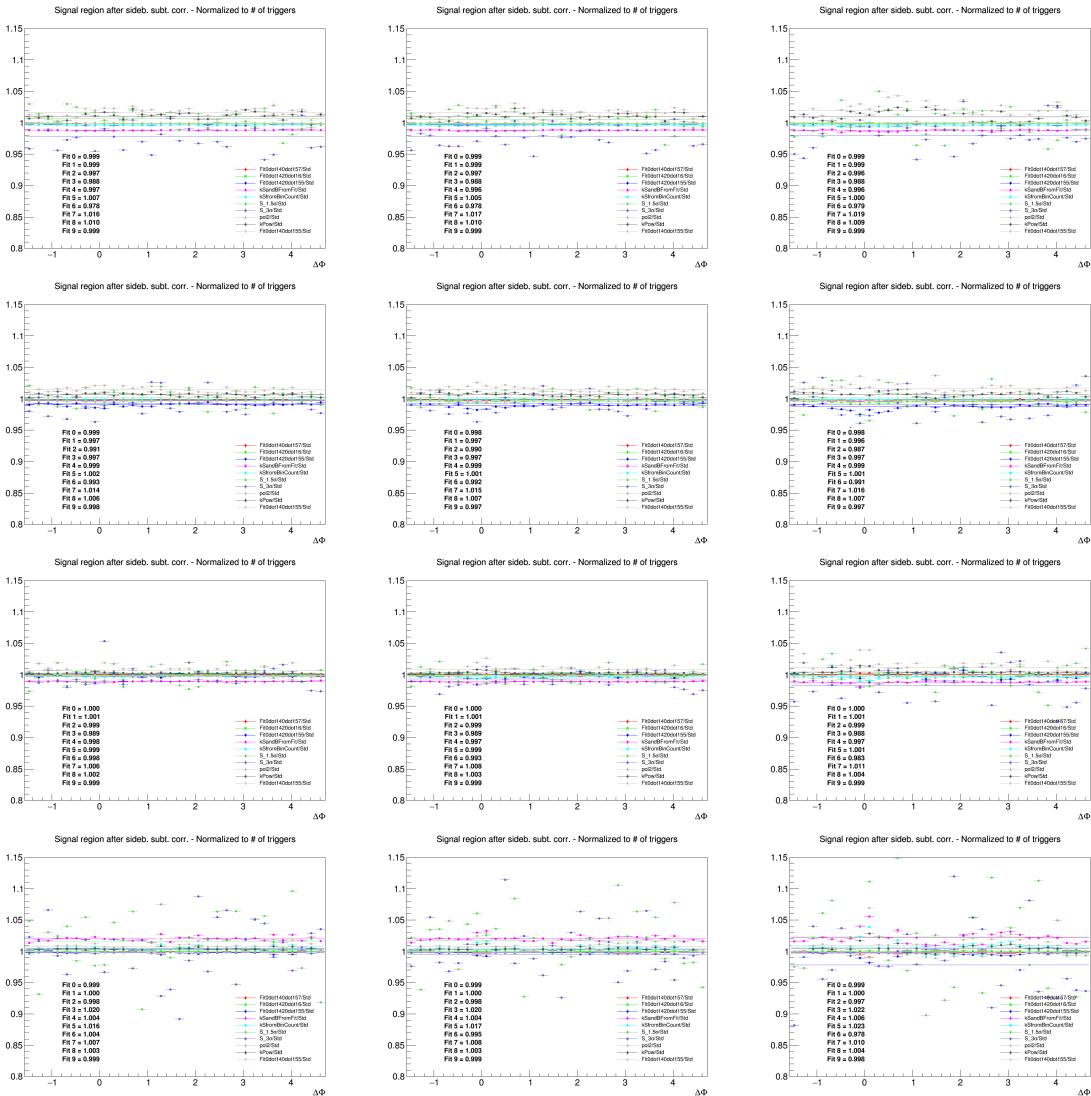


Figure 21: Ratios of D^*+ - h correlation plots obtained changing S and B extraction procedure over those obtained with standard yield extraction procedure. Rows: $p_T(D^*)$ 3-5, 5-8, 8-16, 16-24 GeV/c . In each row, the panels show the associated track p_T ranges $>0.3 \text{ GeV}/c$, $0.3\text{-}1 \text{ GeV}/c$ and $>1 \text{ GeV}/c$, respectively.

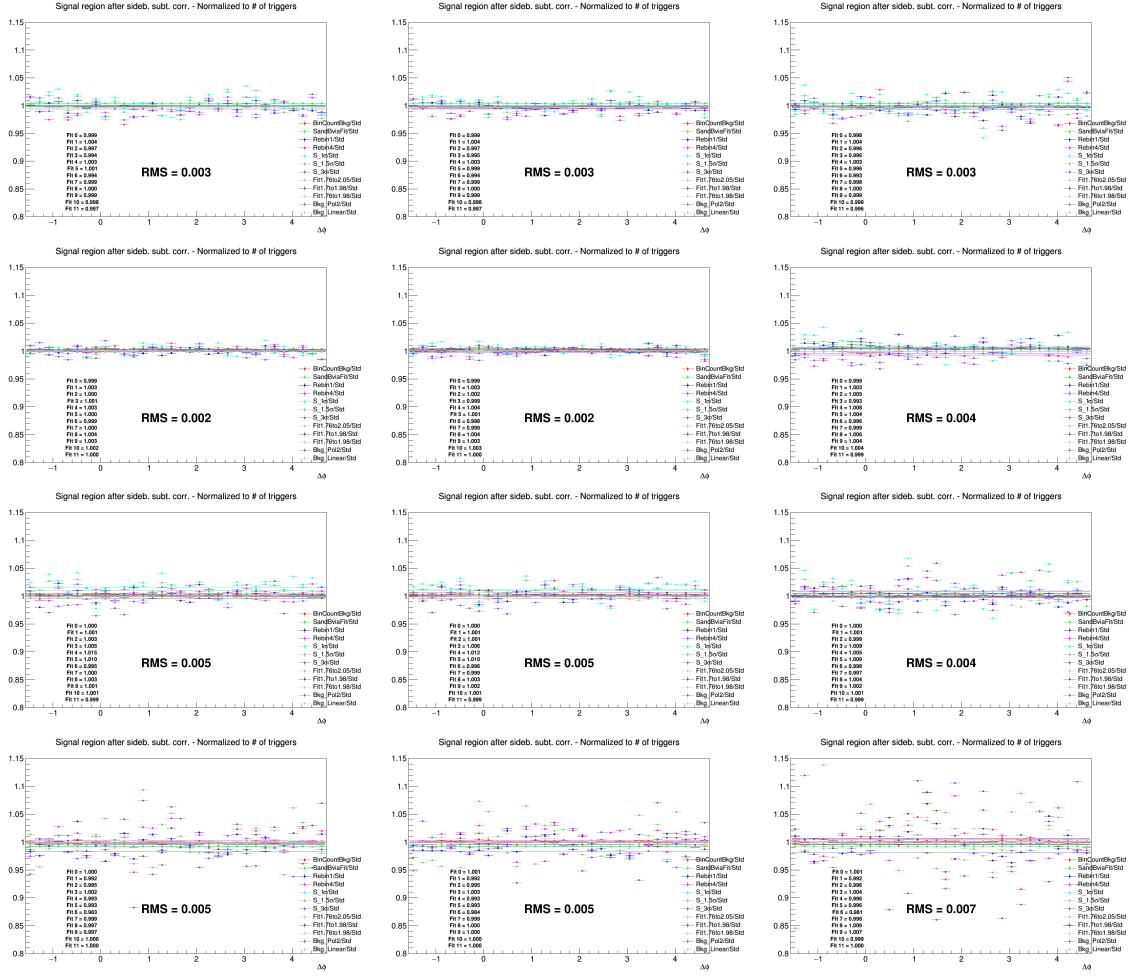


Figure 22: Ratios of D^+ - h correlation plots obtained changing S and B extraction procedure over those obtained with standard yield extraction procedure. Rows: $p_T(D^+)$ 3-5, 5-8, 8-16, 16-24 GeV/ c . In each row, the panels show the associated track p_T ranges 0.3-1 GeV/ c , >0.3 GeV/ c , and >1 GeV/ c , respectively.

- 487 – inner half (5 to 8 σ from the centre of the peak);
- 488 – outer half (8 to 13 σ from the centre of the peak);
- 489 – extended to 5 to 13 σ from the centre of the peak;
- 490 – extended to 6 to 16 σ from the centre of the peak.

491 The rest of the procedure for the azimuthal correlations distribution was unchanged, and the ratios of
 492 the fully corrected azimuthal correlation plots obtained with the standard sidebands range and the corre-
 493 lation plots extracted with different sidebands definitions, were evaluated for each D-meson p_T bin and
 494 associated tracks p_T threshold. Results of this check are shown in Figures ??, ?? and ?? for D^0 , D^{*+} ,
 495 D^+ respectively, for exemplary p_T ranges, spanning over the full kinematic regions analysis. From the
 496 values of the ratios extracted from the checks, which do not show any azimuthal dependence a systematic
 497 uncertainty for the background subtraction can be evaluated. Also no dependence versus the associated
 498 track p_T was assumed also in this case. The uncertainty was hence taken of 1% for all the mesons in
 499 $3 < p_T(D) < 16 \text{ GeV}/c$ and of 2%, 2.5% and 4% (for D^+ , D^0 , D^{*+} respectively) in $16 < p_T(D) < 24$
 500 GeV/c .

501 4.3 Uncertainty on D-meson cut stability

502 To study the systematics due to the topological selections on the D meson, the cut variation approach was
 503 used. For each D-meson, alternate sets of released and tightened selection cuts were applied to extract
 504 the correlation distribution, varying in particular the cosine of the pointing angle, the maximum DCA
 505 among the daughter tracks and the product of the daughter track impact parameters. For each set of cuts
 506 new 2D (p_T vs multiplicity), D meson efficiency map was computed. In Figures ??, ??, ?? (for D^0 , D^{*+}
 507 and D^+ , respectively) the ratio of the different 1D efficiencies with the alternate cuts with respect to the
 508 default cut selection is chosen, to highlight how the different selections effectively varied the efficiency
 509 values, especially at low p_T , where cuts are more effective.

510 Figure ??, ??, ?? show the ratio of the correlation distributions with alternate cut sets over those with the
 511 standard approach, for exemplary p_T ranges covering the full kinematic region of interest for the analyses.
 512 The ratios are reasonably flat in $\Delta\phi$, hence a flat systematic was evaluated as systematic uncertainty from
 513 D-meson the cut variations. For the D^0 , the uncertainty was considered of 2% for all the p_T ranges of
 514 trigger and tracks analyzed. For the D^{*+} , the uncertainty was considered of 1.5% for $3 < p_T(D) < 8$
 515 GeV/c and of 1% for $8 < p_T(D) < 24 \text{ GeV}/c$. For the D^+ , the uncertainty was considered of 1% for
 516 $3 < p_T(D) < 16 \text{ GeV}/c$ and of 2% for $16 < p_T(D) < 24 \text{ GeV}/c$.

517 4.4 Uncertainty on tracking efficiency evaluation

518 The systematic uncertainty for the tracking efficiency includes the effects related to the set of filtering cuts
 519 defined for the associated tracks selection (mainly requests on the quality of reconstructed tracks for the
 520 TPC and ITS detectors). This uncertainty was determined by repeating the full analysis using different
 521 selections for the cuts on the associated tracks with respect to the usual selection (TPC only tracks with at
 522 least 2 points in the ITS). The alternative selections were: pure TPConly selection, meaning TPC tracks
 523 with no requests on the number of hits in the ITS, and TPC+ITS selection, which requires filterbit 4
 524 with, in addition, at least 3 points in the ITS, ITS refit and a hit in at least an SPD layer. The ratios of the
 525 azimuthal correlation distributions with different sets of tracks selection over distributions with standard
 526 selection were evaluated, and are shown in Figures ?? and ?? for D^0 -h correlations. Their values were
 527 used to determine a systematic uncertainty, which as the previous ones could be assigned flat in $\Delta\phi$, and
 528 which was estimated of 3% in all the ranges of p_T analyzed.

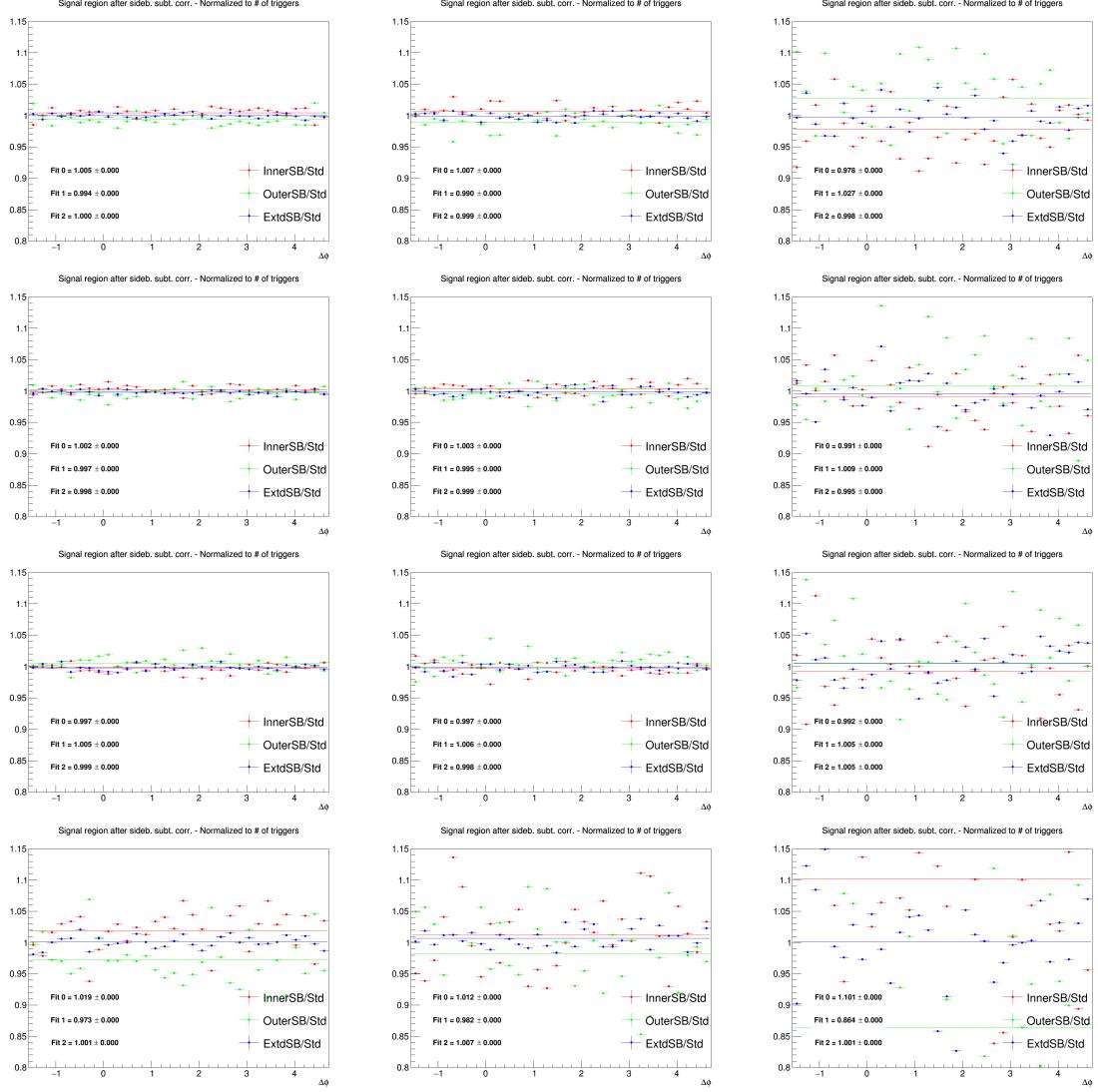


Figure 23: Ratios of D^0 - h correlation plots obtained by changing the sideband ranges over those obtained with standard sideband ranges. Rows: $p_T(D^0)$ 3-5, 5-8, 8-16, 16-24 GeV/ c . In each row, the panels show the associated track p_T ranges 0.3-1, 1-2, >3 GeV/ c , respectively.

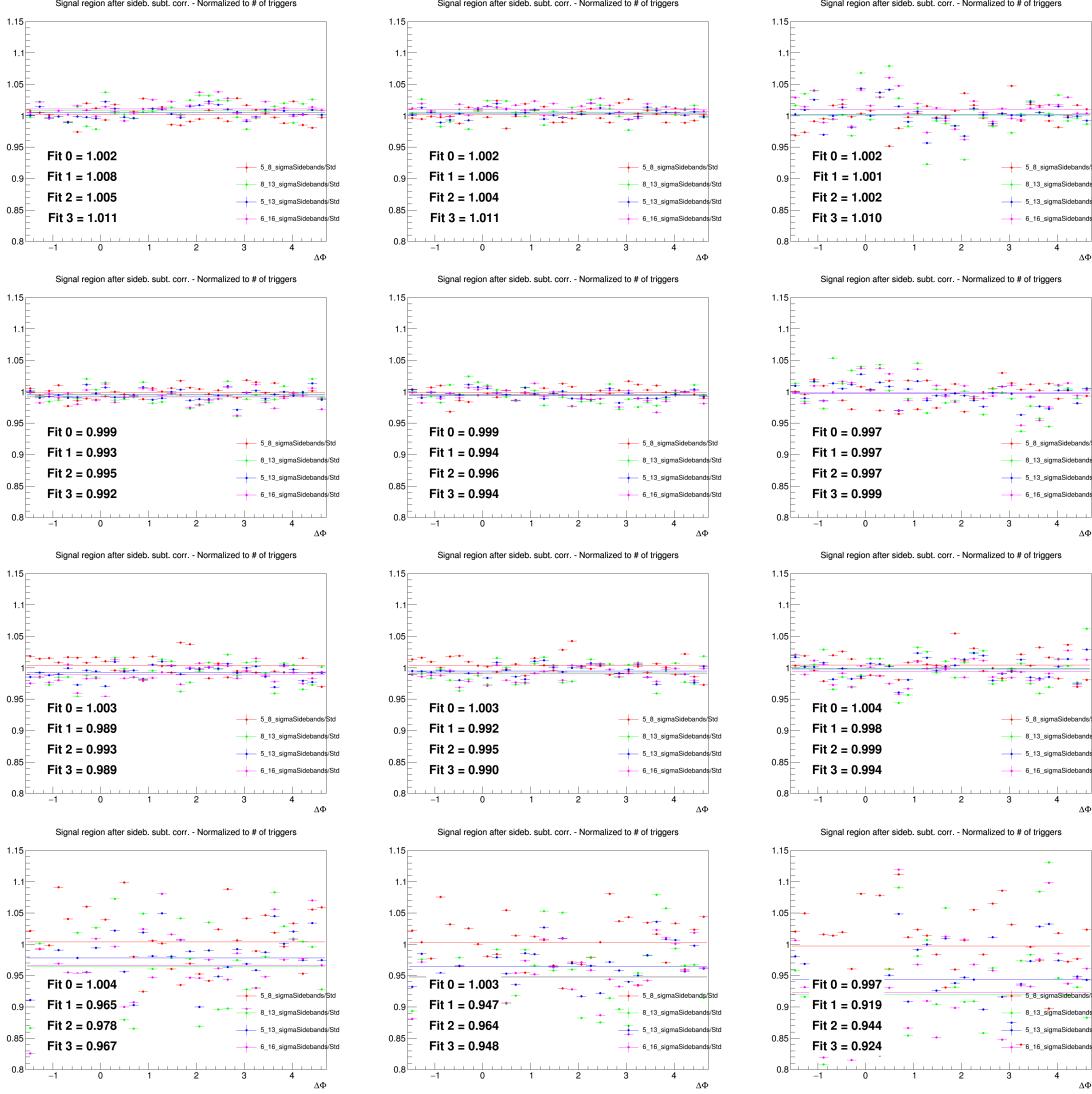


Figure 24: Ratios of $D^{*+} - h$ correlation plots obtained by changing the sideband ranges over those obtained with standard sideband ranges. Rows: $p_T(D^{*+})$ 3-5, 5-8, 8-16, 16-24 GeV/ c . In each row, the panels show the associated track p_T ranges 0.3-1, >0.3 GeV/ c and >1 GeV/ c , respectively.

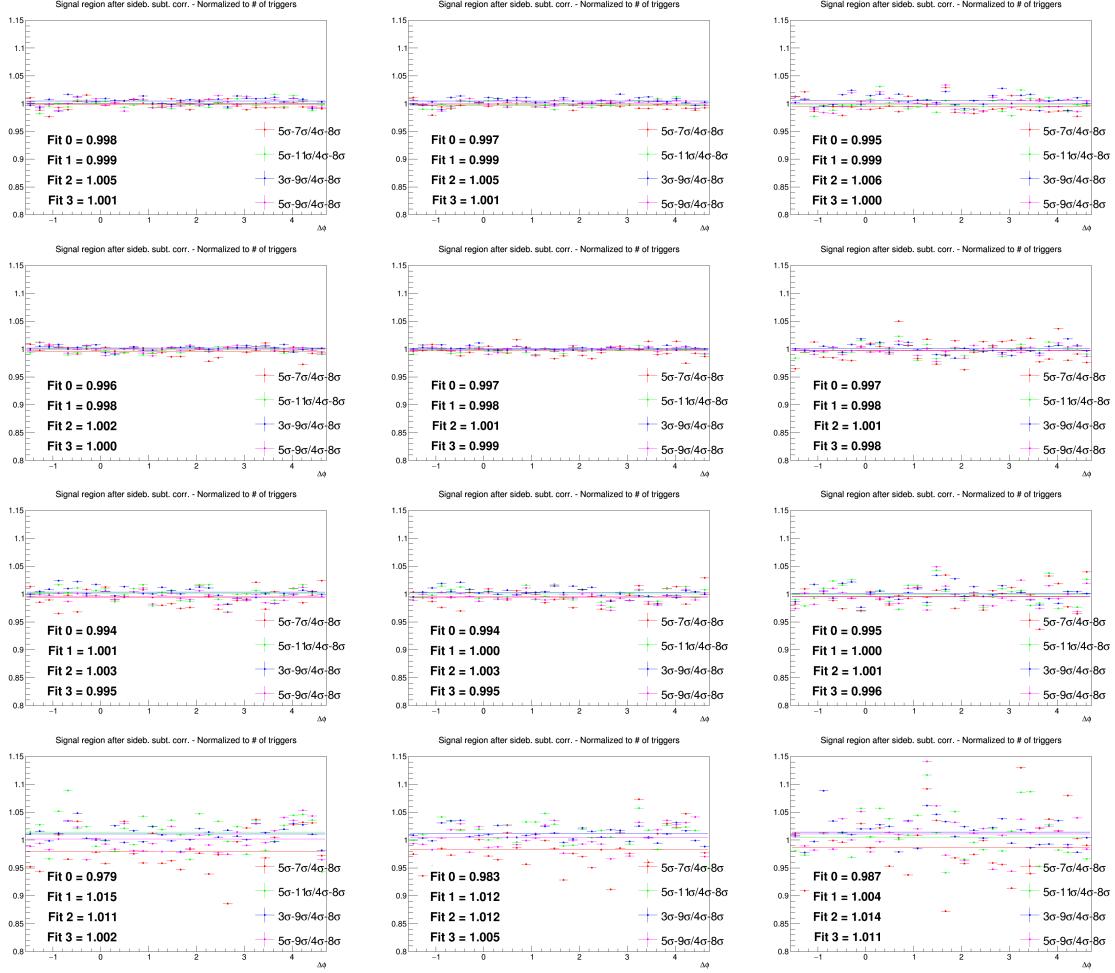


Figure 25: Ratios of D^+ - h correlation plots obtained by changing the sideband ranges over those obtained with standard sideband ranges. Rows: $p_T(D^+) = 3-5, 5-8, 8-16, 16-24$ GeV/ c . In each row, the panels show the associated track p_T ranges 0.3-1, >0.3 GeV/ c and >1 GeV/ c , respectively.

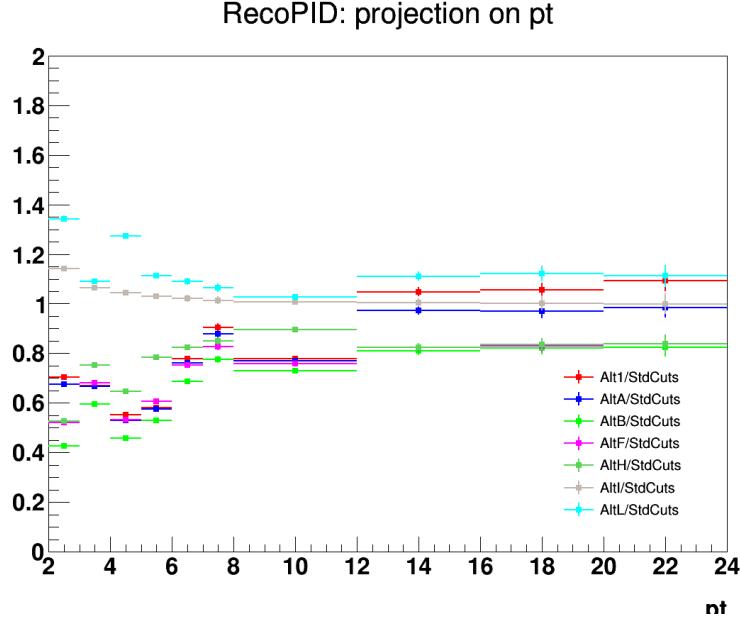


Figure 26: Ratio of D^0 efficiencies with alternate cut variations w.r.t. the standard cut used for the analysis.

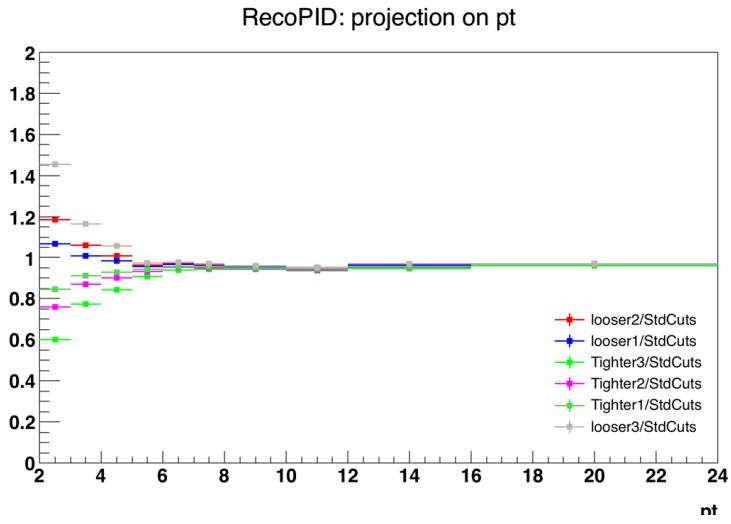


Figure 27: Ratio of D^{*+} efficiencies with alternate cut variations w.r.t. the standard cut used for the analysis.

529 4.5 Uncertainty on secondary particle contamination

530 Secondary particles, i.e. particles coming from strange hadrons decays or particles produced in inter-
 531 actions with the material, are expected to be tagged and removed by means of a distance of closest
 532 approach (DCA) from primary vertex cut. The uncertainty arising from the residual contamination of
 533 secondary tracks can be estimated from a Monte Carlo study, at reconstructed level. The number of
 534 primary/secondary tracks which are accepted/rejected from the DCA cut was determined for different
 535 values of the DCA selection, and the correlation distributions for the various cases were evaluated. The
 536 variations were done in the xy direction, where the DCA resolution is better, and the following cases
 537 were tried (in addition to the default 1 cm cut): 0.1 cm, 0.25 cm, 0.5 cm, filtering DCA cut (i.e. 2.4 cm).

538 Figure ?? shows the amount of secondary tracks which are accepted by the DCA cut, over the total
 539 number of tracks (primary and secondary) accepted by the selection, for the various DCA selections that
 540 were tried. This is shown for the exemplary case of $5 < p_T < 8 \text{ GeV}/c$ (there's no $p_T(D)$ dependence) and
 541 as a function of the associated track p_T ranges. Hence, this quantity represents the residual contamination

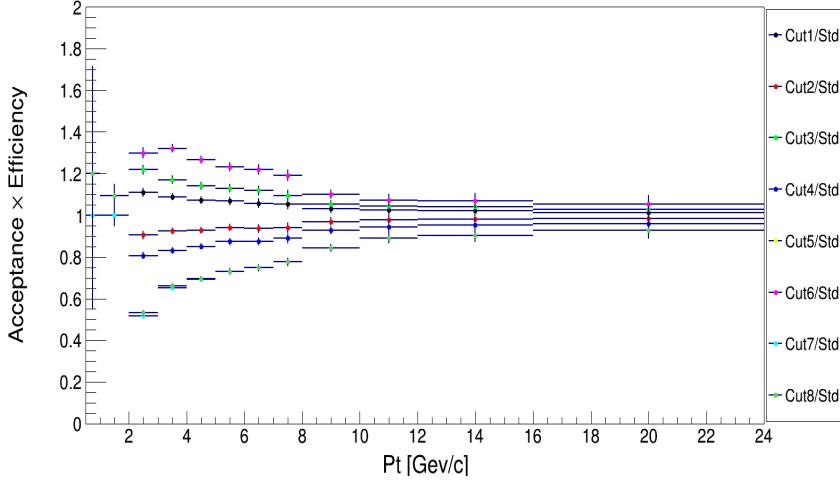


Figure 28: Ratio of D^+ efficiencies with alternate cut variations w.r.t. the standard cut used for the analysis.

of secondary tracks in our reconstructed track sample. From these values, the corresponding primary track purities (1-contamination) were extracted, in each of the momentum ranges. It was also verified that, for all the cut selections, the $\Delta\phi$ distributions of the residual contaminations were flat within 1%.

As a second step of the procedure to verify the DCA cut stability, the D^0 -h data analysis was performed with all the different DCA selection (each time with the proper tracking efficiency map). After having extracted the correlation distributions, these were rescaled for the corresponding purities and compared with the purity-corrected correlation distributions obtained with the standard DCA selection. The ratios of the alternate selections over the standard selection, after the purity correction of both, are shown in Figures ?? and ??.

The ratios show a flat trend along the $\Delta\phi$ axis and, in general, a discrepancy from the value of 1 of no more than 3% (the worst case being the 0.3-1 GeV/c range for the associated track). Hence, a flat and symmetric 3% systematical uncertainty on the evaluation of the secondary contamination was assigned on the base of this check in 0.3-1 GeV/c, reduced to 2.5% in > 0.3 GeV/c and to 1.5% for the other ranges. This amount also covers possible biases in the estimation of the purity (the $\Delta\phi$ distribution of the residual contamination is always contained inside 1%, as previously said).

4.6 Uncertainty on feed-down subtraction

As described in the ?? section, the feed-down subtraction from the data distributions is performed by means of simulation templates of $B \rightarrow D$ -h correlation distributions from PYTHIA6 generator, with Perugia2011 tune, and considering the central value of f_{prompt} to extract the feed-down D-meson contribution. In order to evaluate a systematic uncertainty on this procedure, the feed-down subtraction procedure was repeated considering, together with PYTHIA6+Perugia2011 templates, also PYTHIA6+Perugia2010 and PYTHIA8 simulations. In each case, not only the central value of the measured f_{prompt} was considered to rescale the distributions, but also the maximum and minimum values of its total uncertainty.

Then, the envelope of nine the different cases obtained by varying the templates and the f_{prompt} assumption was considered, and a value of the systematics defined as the envelope spread divided by $\sqrt{3}$ was taken as systematic uncertainty. This uncertainty was assumed uncorrelated among the different $\Delta\phi$ points.

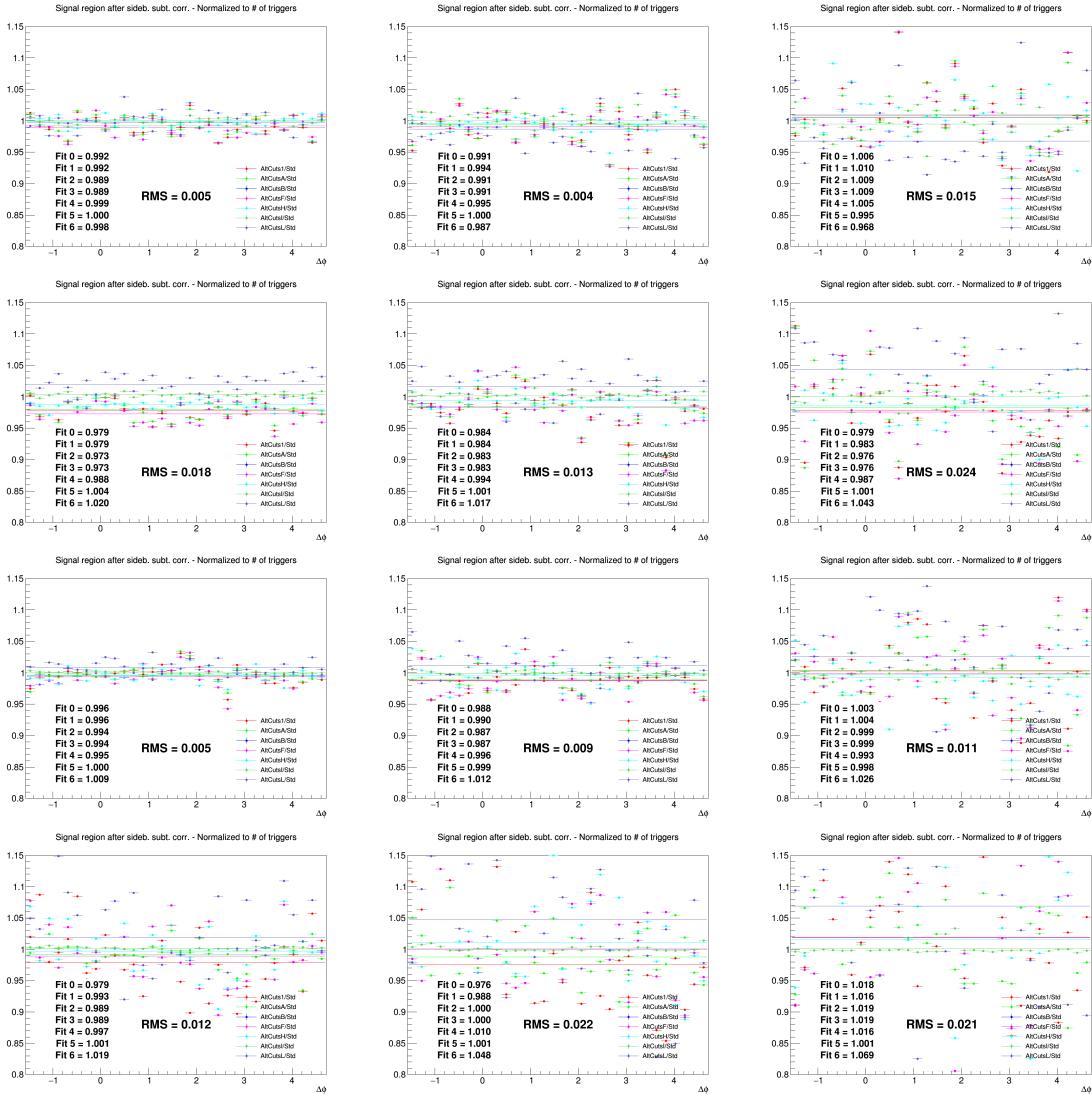


Figure 29: Ratios of D⁰-h correlation plots obtained with alternate D-meson cut sets over those obtained with standard selection. Rows: p_T(D⁰) 3-5, 5-8, 8-16, 16-24 GeV/c. In each row, the panels show the associated track p_T ranges 0.3-1, 1-2, 2-3 GeV/c, respectively.

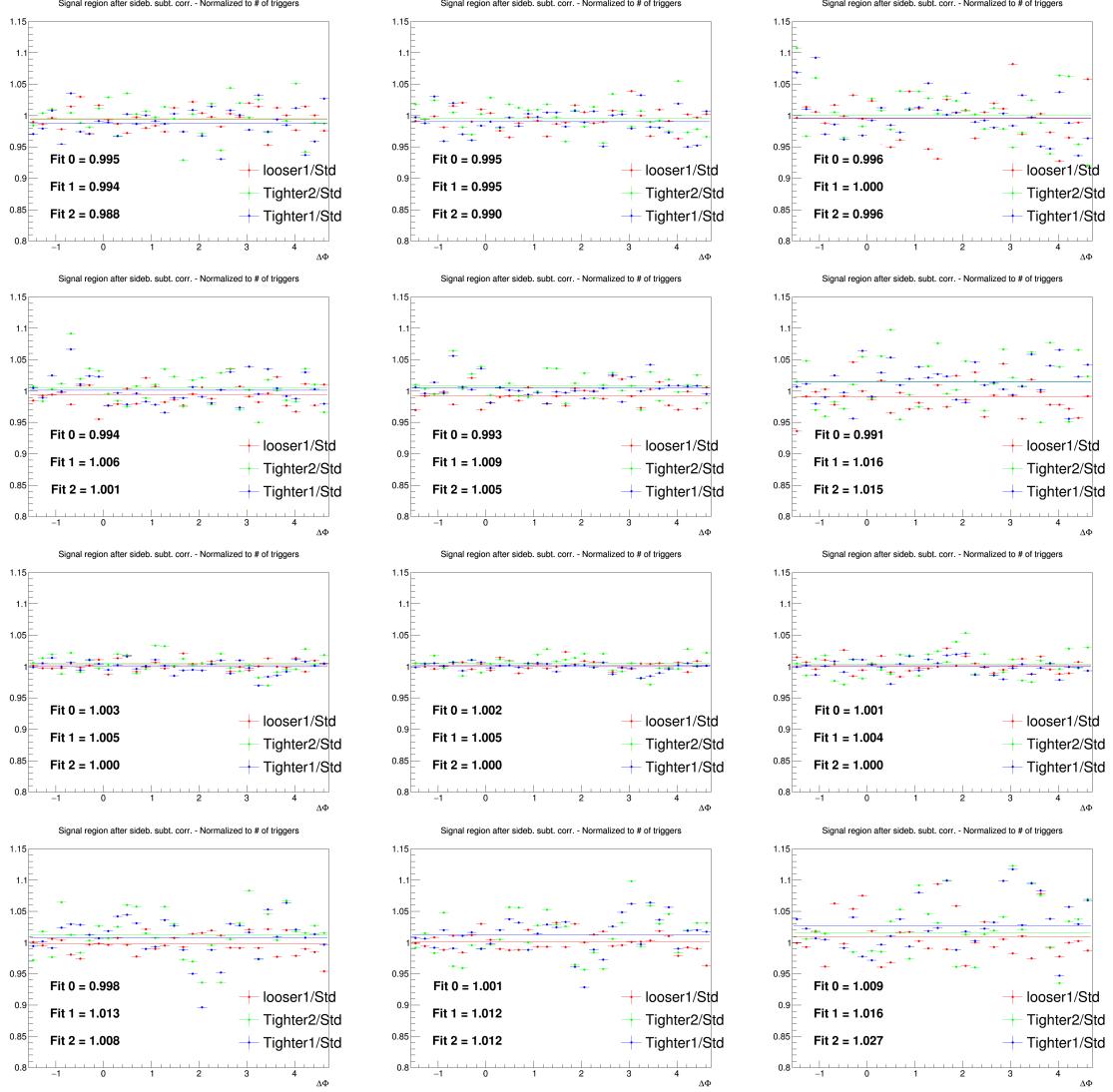


Figure 30: Ratios of $D^*+ - h$ correlation plots obtained with alternate D-meson cut sets over those obtained with standard selection. Rows: $p_T(D^*)$ 3-5, 5-8, 8-16, 16-24 GeV/ c . In each row, the panels show the associated track p_T ranges 0.3-1, >0.3 GeV/ c , >1 GeV/ c , respectively.

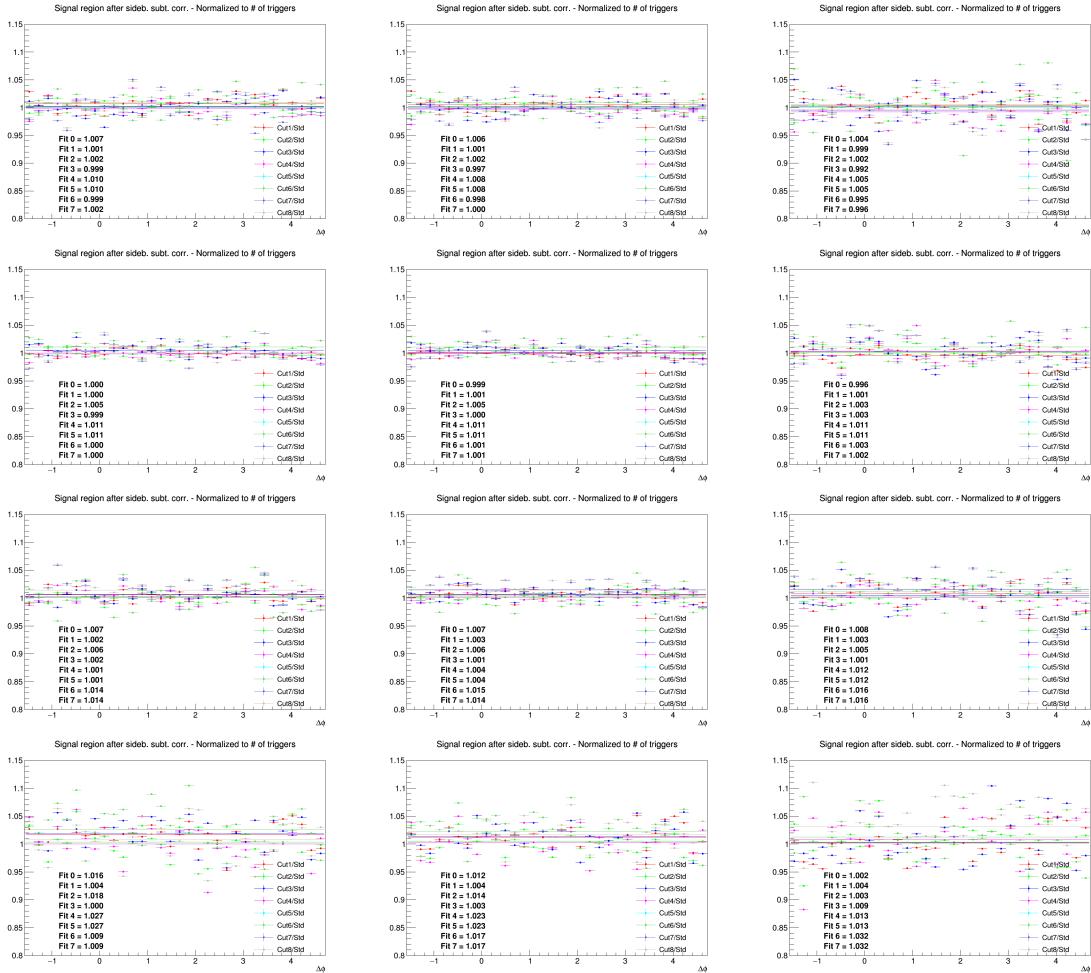


Figure 31: Ratios of D⁺-h correlation plots obtained with alternate D-meson cut sets over those obtained with standard selection. Rows: p_T(D⁺) 3-5, 5-8, 8-16, 16-24 GeV/c. In each row, the panels show the associated track p_T ranges 0.3-1, >0.3 GeV/c, >1 GeV/c, respectively.

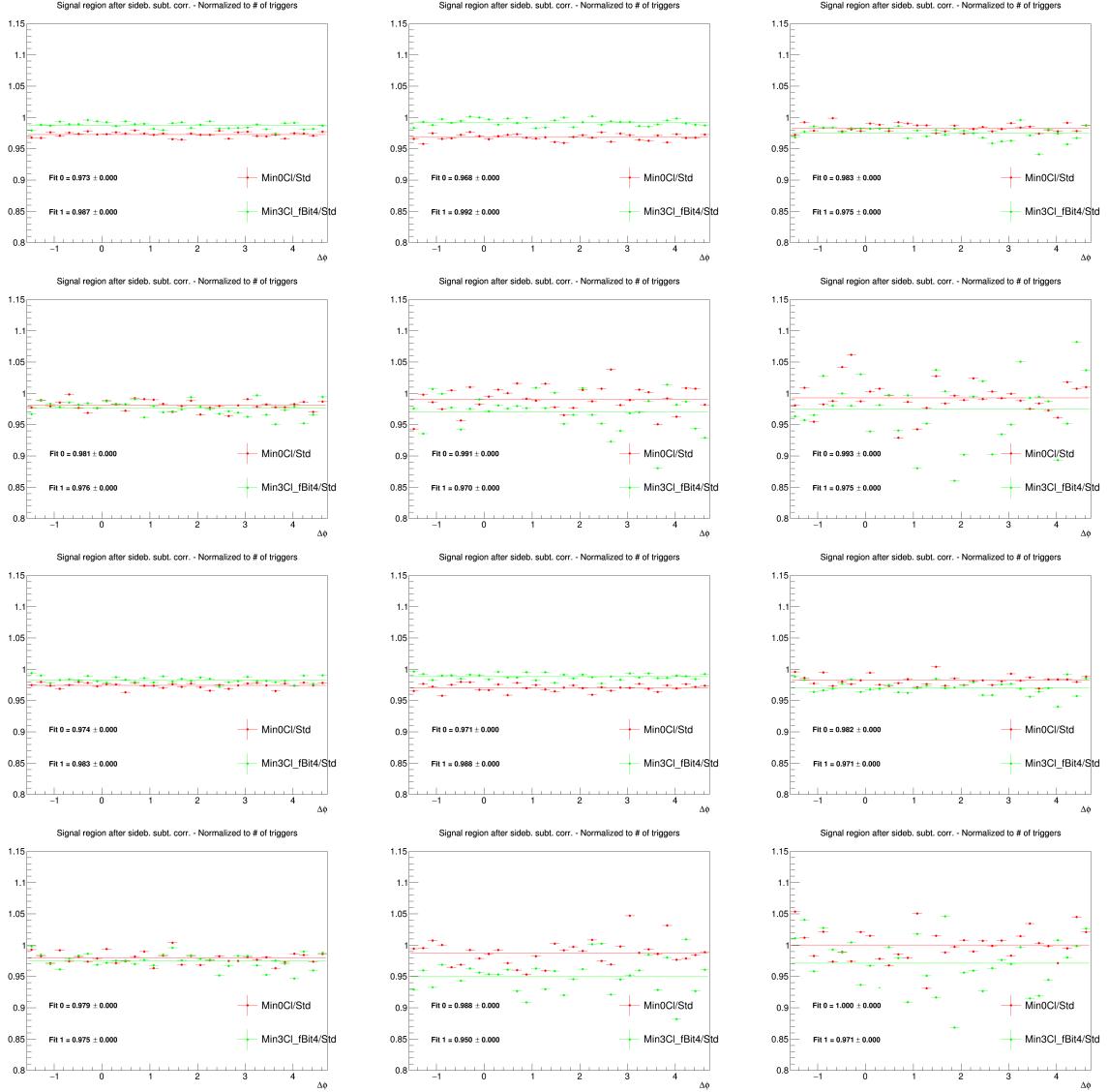


Figure 32: Ratios of D^0 - h correlation plots obtained with different associated tracks filtering selections. First 6 plots: $p_T(D)$ 3-5 GeV/c , next 6 plots: $p_T(D)$ 5-8 GeV/c . Each bunch of 6 plots has $p_T(\text{assoc})$ of >0.3 , $0.3-1$, >1 , $1-2$, $2-3$, <3 GeV/c , respectively.

569 4.7 Uncertainty on correction for the bias on B to D decay topologies

570 The evaluation of this systematic uncertainty was already explained in Section 3.3.3. For each of the
 571 five data points close to the center of the near-side peak, which are affected by the bias, a bilateral and
 572 symmetric uncertainty of amplitude $|C(\Delta\phi)_{\text{corr}} - C(\Delta\phi)_{\text{raw}}|/\sqrt{12}$ was assigned.

573 This because the uncorrected data points are expected to be the extreme (with the current D-meson
 574 selection, the bias is always upwards at the centre of the peak, and always upwards on its sides). We
 575 then assume that, if the correction is properly evaluated, the corrected data points are at the centre of the
 576 possible spread of the true unbiased results. In this case, the span of the possible true results (in case
 577 of underestimation/overestimation of the bias) goes from the uncorrected data points to its symmetric
 578 value, with respect to the corrected data point, on the other direction. If this distribution is uniform,
 579 and constrained by these two values, the 1σ confidence region for the position of the is in a bilateral
 580 $|C(\Delta\phi)_{\text{corr}} - C(\Delta\phi)_{\text{raw}}|/\sqrt{12}$ window, centered on the $C(\Delta\phi)_{\text{corr}}$ points.



Figure 33: Ratios of D^0 - h correlation plots obtained with different associated tracks filtering selections. First 6 plots: $p_T(D)$ 8-16 GeV/ c , next 6 plots: $p_T(D)$ 16-24 GeV/ c . Each bunch of 6 plots has $p_T(\text{assoc})$ of >0.3, 0.3-1, >1, 1-2, 2-3, <3 GeV/ c , respectively.

581 This source of uncertainty was assumed uncorrelated among the $\Delta\phi$ points.

582 4.8 Summary table

583 A summary of the $\Delta\phi$ -correlated uncertainties affecting the correlation distributions is shown in Figure
584 ???. They are the S and B extraction uncertainty, the background shape uncertainty, the cut variation
585 uncertainty, the tracking efficiency uncertainty and the secondary particle contamination uncertainty.

586 The overall amount of $\Delta\phi$ -correlated uncertainties is about 5-6% (depending on the p_T bin) for the single
587 D-meson cases; when evaluating the averages of the distributions (see next section), this uncertainty
588 shrinks to 4-5%. This uncertainty is a global scale factor of the distributions, and is quoted as a label in
589 the plots.

590 The systematics uncertainties from feed-down subtraction and $B \rightarrow D$ decay topology bias, instead are
591 $\Delta\phi$ dependent, and are hence reported as uncorrelated boxes in the plots. They do not amount to more
592 than 4%, in every bin of all the kinematic ranges studied.

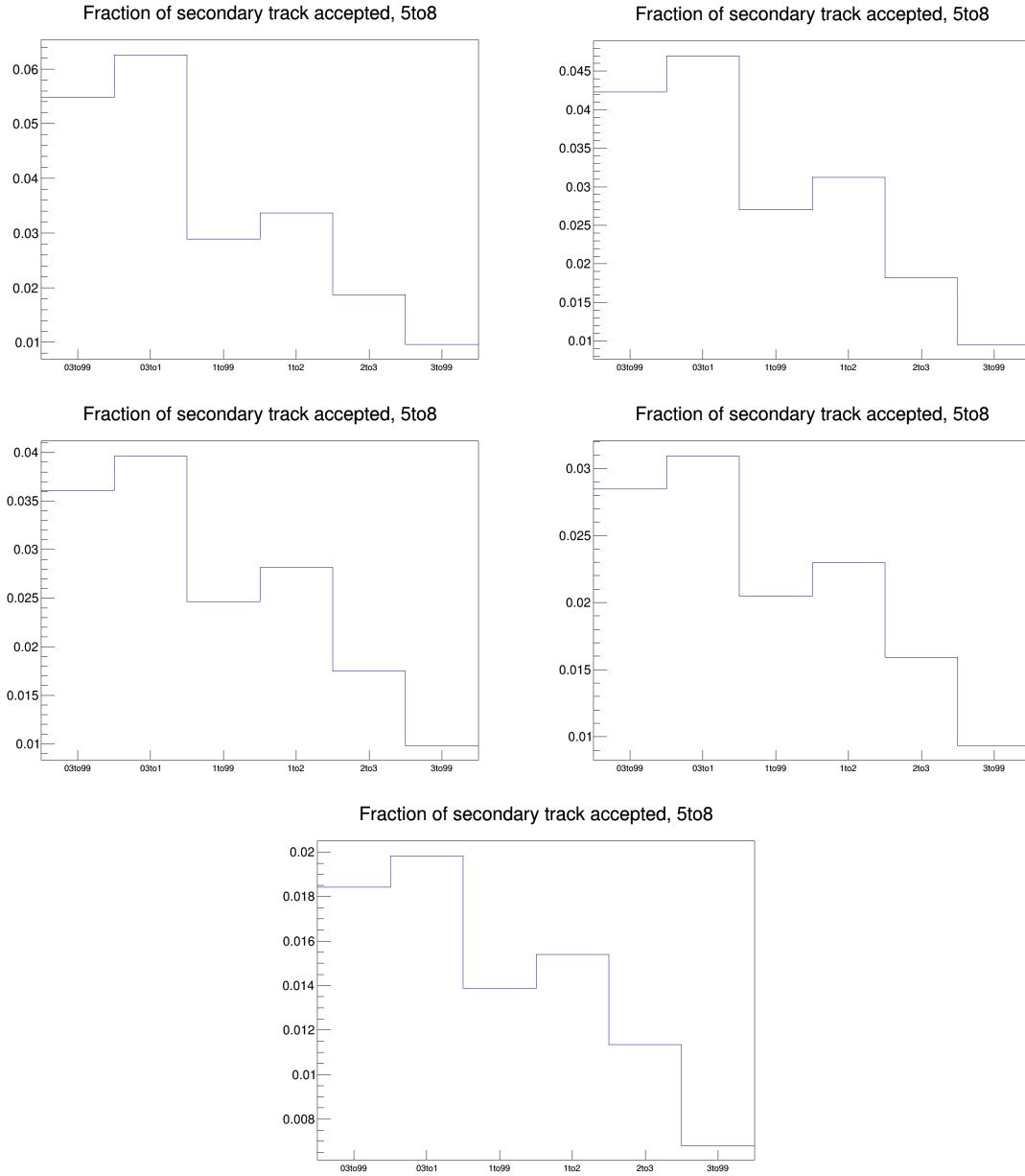


Figure 34: Secondary track contamination as a function of the associated track p_T , for the various DCA selections tried. The plots are ordered from the loosest to the tightest selection, i.e.: $DCA(xy) < 2.4 \text{ cm}$, $< 1 \text{ cm}$, $< 0.5 \text{ cm}$, $< 0.25 \text{ cm}$, $< 0.1 \text{ cm}$.

5 Results

5.1 Comparing the three D meson correlation distributions

To check the compatibility of three D meson analyses, Figures 20, 21, 22, 23 show the corrected azimuthal correlation distributions (except for the feed-down subtraction and the secondary contamination removal) for D^0 -h, D^{*+} -h and D^+ -h, in each column, on the data sample used in the analysis. Results are shown for $3 < D p_T < 5 \text{ GeV}/c$, $5 < D p_T < 8 \text{ GeV}/c$, $8 < D p_T < 16 \text{ GeV}/c$ and $16 < D p_T < 24 \text{ GeV}/c$ with associated tracks $p_T > 0.3$, $p_T > 1$, $0.3 < p_T < 1 \text{ GeV}/c$, $1 < p_T < 2 \text{ GeV}/c$, $2 < p_T < 3 \text{ GeV}/c$ and $p_T > 3 \text{ GeV}/c$.

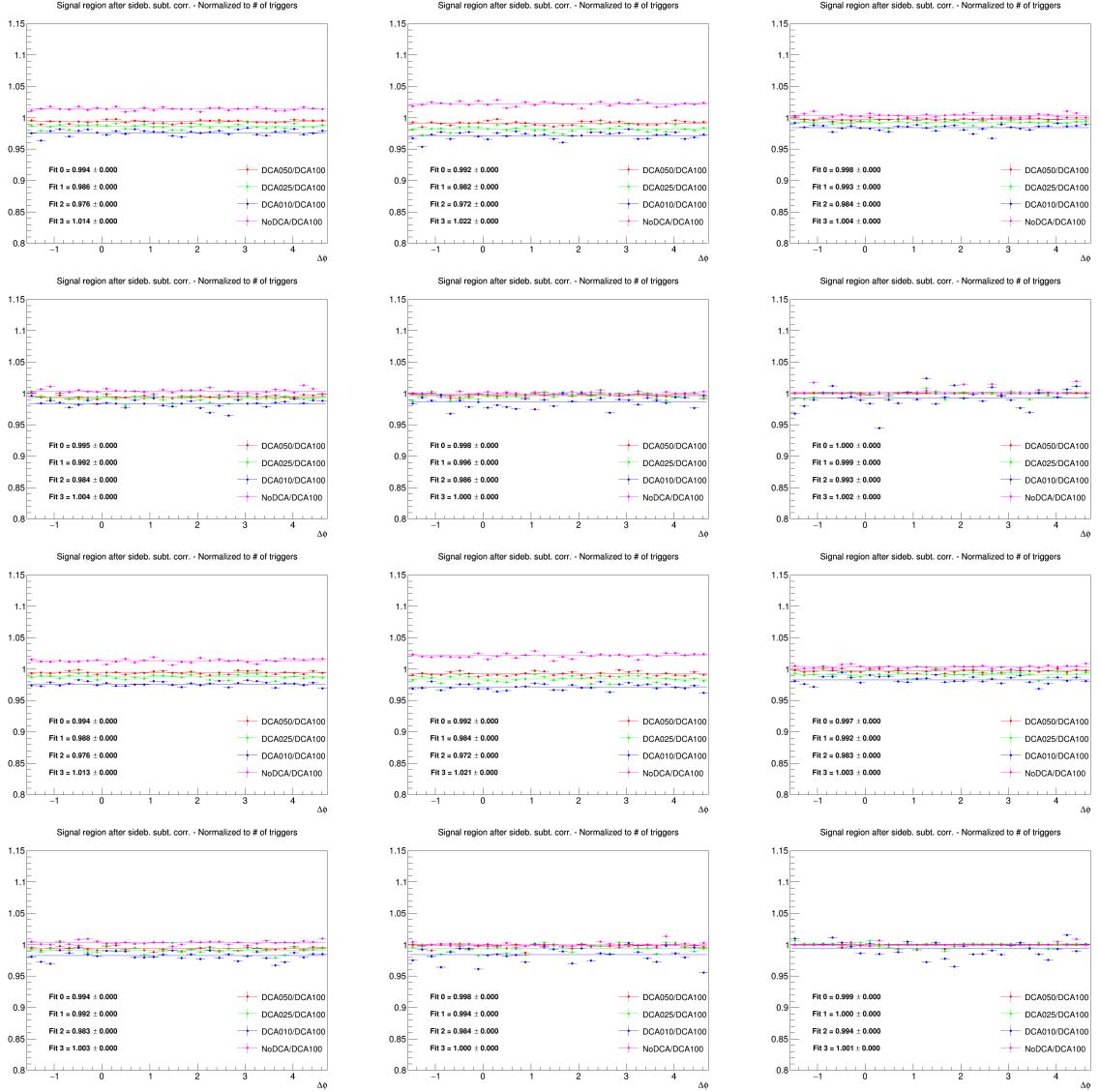


Figure 35: Ratios of correlation plots (with D^0 as trigger meson) obtained with different associated DCA selections, after purity correction. First 6 plots: $p_T(D)$ 3-5 GeV/c , next 6 plots: $p_T(D)$ 5-8 GeV/c . Each bunch of 6 plots has $p_T(\text{assoc})$ of >0.3 , $0.3-1$, >1 , $1-2$, $2-3$, $<3 \text{ GeV}/c$, respectively.

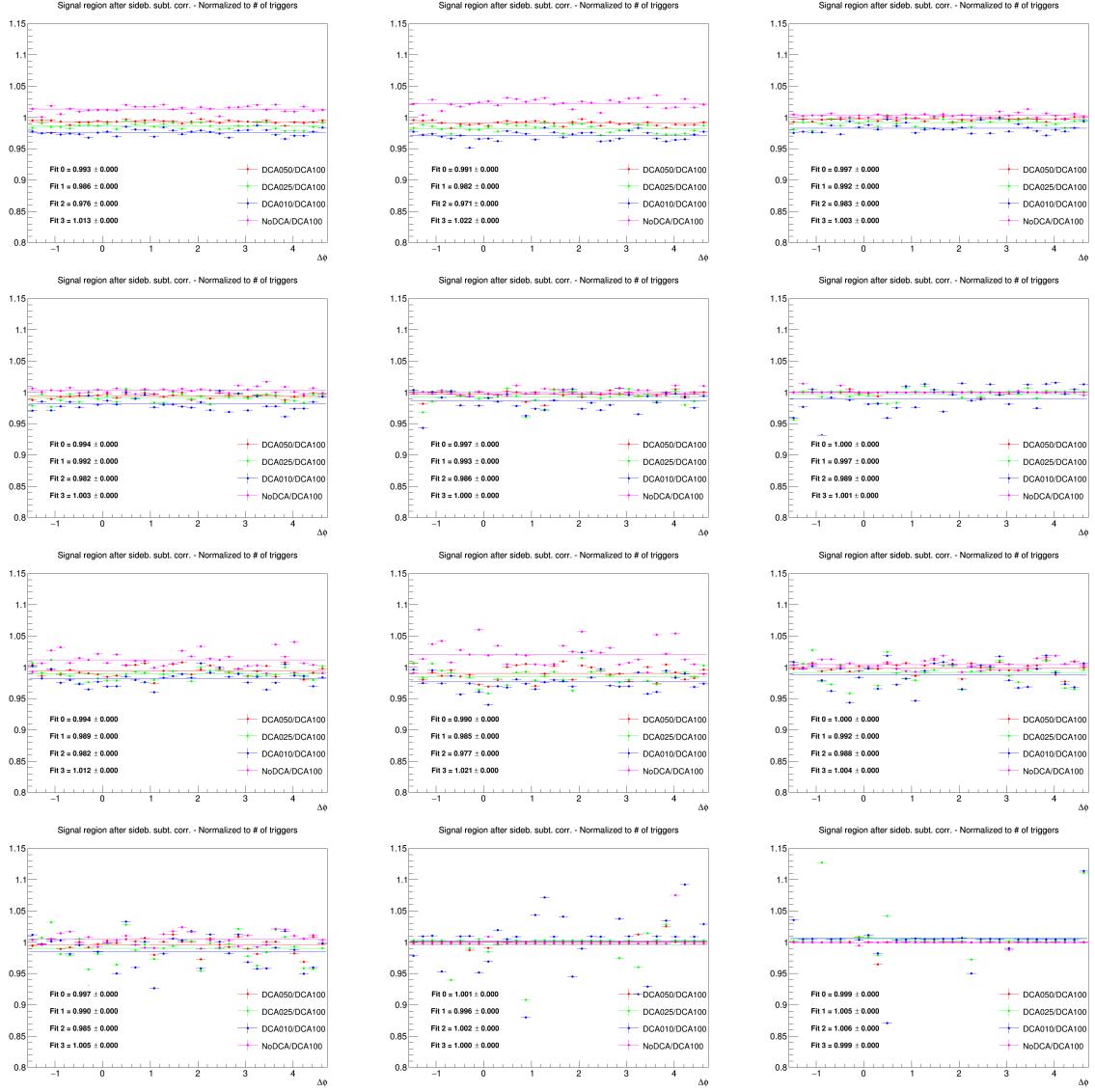


Figure 36: Ratios of correlation plots (with D^0 as trigger meson) obtained with different associated DCA selections, after purity correction. First 6 plots: $p_T(D)$ 8-16 GeV/c , next 6 plots: $p_T(D)$ 16-24 GeV/c . Each bunch of 6 plots has $p_T(\text{assoc})$ of >0.3 , $0.3-1$, >1 , $1-2$, $2-3$, <3 GeV/c , respectively.

pPb Sample	D^0				D^*				D^+			
$D p_T$ Range (GeV/c)	3-5	5-8	8-16	16-24	3-5	5-8	8-16	16-24	3-5	5-8	8-16	16-24
S and B Extraction	1%	1%	1%	2%	1%	1%	1%	2%	1%	1%	1%	2%
Background Correlation Shape	1%	1%	1%	2.5%	1%	1%	1%	4%	1%	1%	1%	2%
D meson Cut Variation	2%	2%	2%	2%	1.5%	1.5%	1%	1%	1%	1%	1%	2%

pPb Sample	D^0, D^* and D^+ (common for all the $p_T(D)$ ranges)						
Assoc (p_T) Ranges (GeV/c)	> 0.3	> 1.0	> 2.0	> 3.0	0.3-1.0	1.0-2.0	2.0-3.0
Track Efficiency	3.5%	3.5%	3.5%	3.5%	3.5%	3.5%	3.5%
Purity	2.5%	1.5%	1.5%	1.5%	3%	1.5%	1.5%

Figure 37: Summary of the $\Delta\phi$ -correlated uncertainties associated to the correlation distributions, for three D-mesons, in the different kinematic ranges of D meson and hadrons.

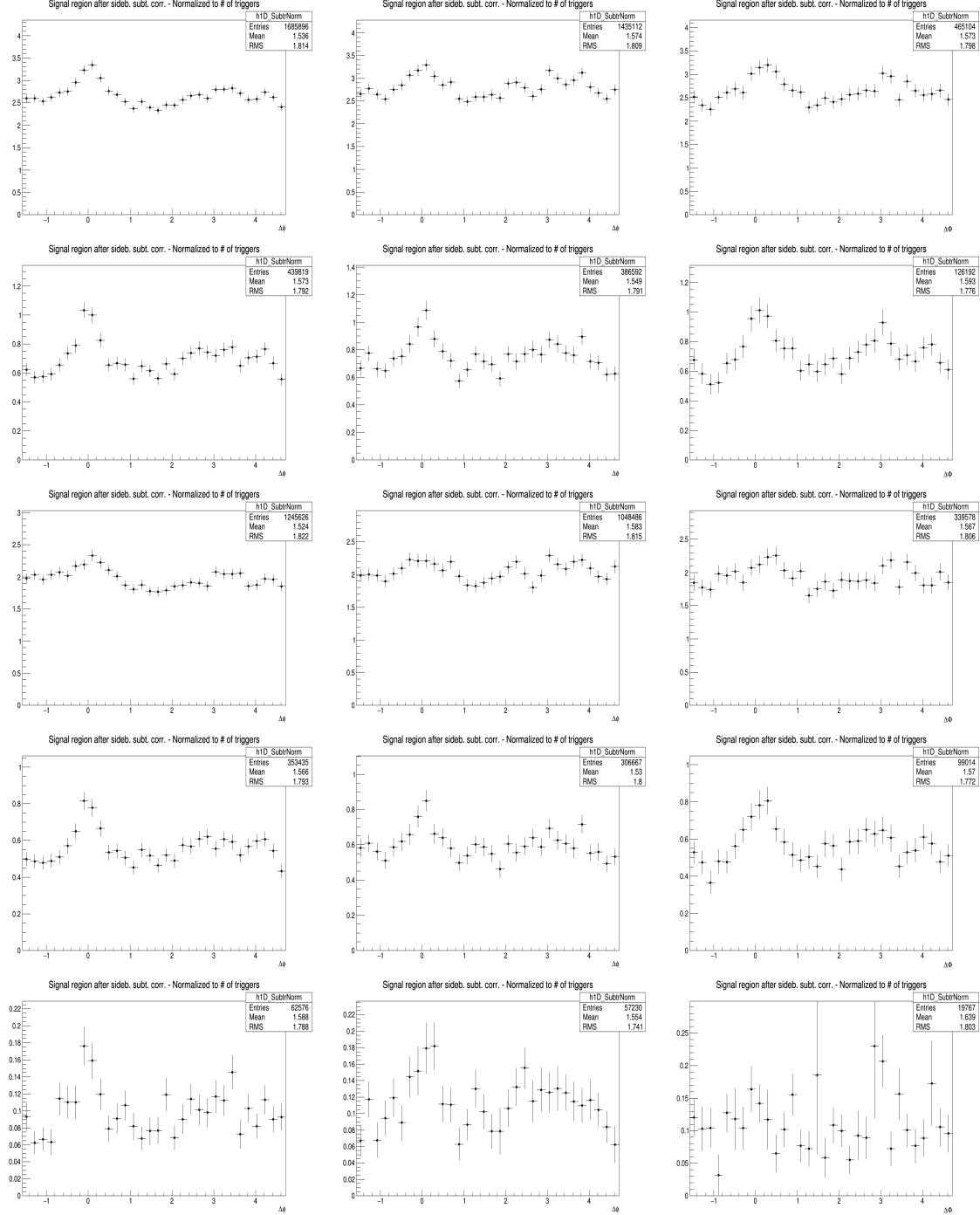


Figure 38: Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:** D^0 , **Column-Middle:** D^+ and **Column-Right:** D^{*+}) and different associated tracks p_T ranges (**Row 1-5:** $3 < Dp_T < 5 \text{ GeV}/c$, $p_T(\text{Assoc}) > 0.3$, > 1.0 , $0.3-1.0$, $1.0-2.0$ and $2.0-3.0 \text{ GeV}/c$ respectively)

5.1 Comparing the three D meson correlation distributions

55

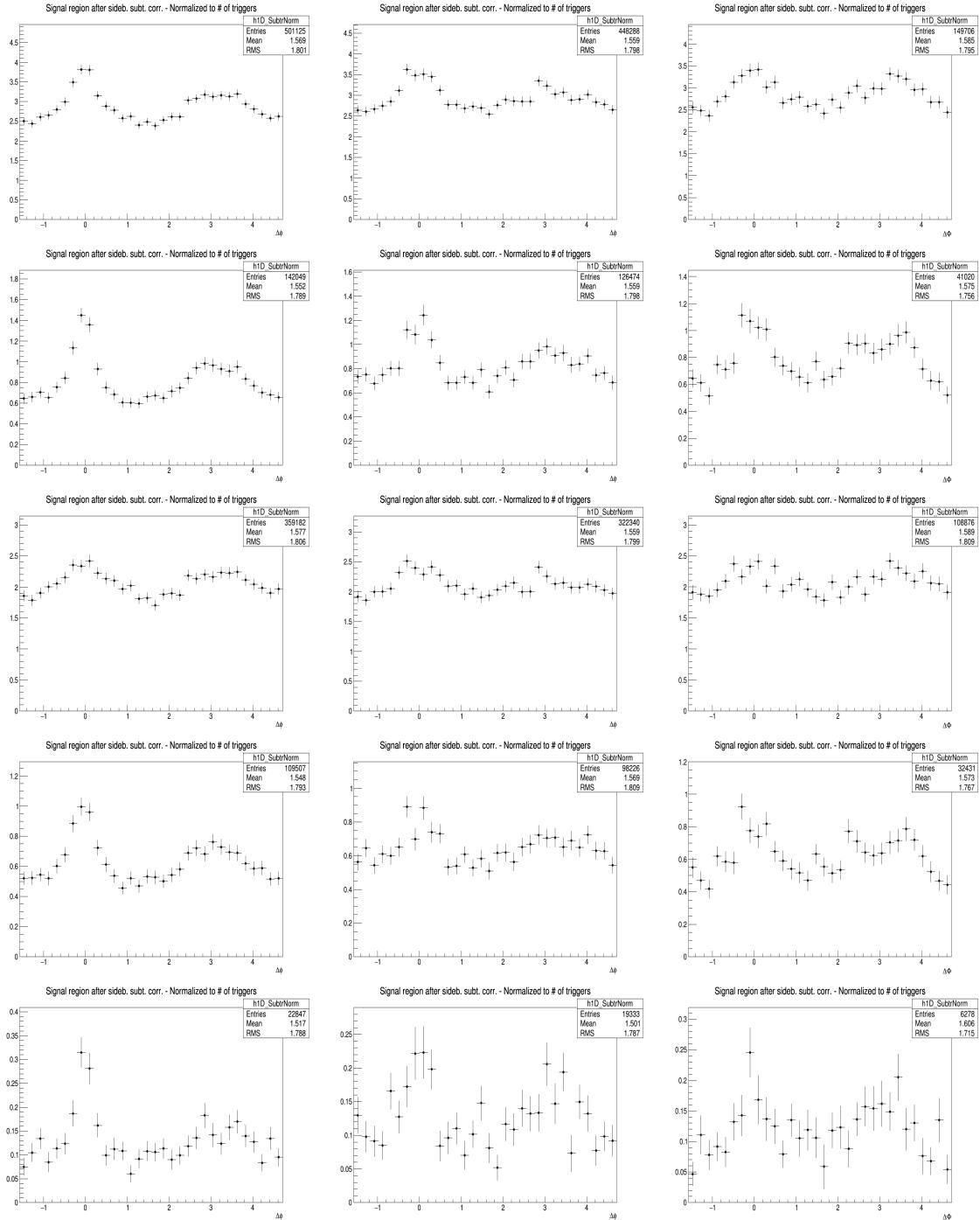


Figure 39: Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:** D^0 , **Column-Middle:** D^+ and **Column-Right:** D^{*+}) and different associated tracks p_T ranges (**Row 1-5:** $5 < D_{pT} < 8 \text{ GeV}/c$, $p_T(\text{Assoc}) > 0.3$, > 1.0 , $0.3-1.0$, $1.0-2.0$ and $2.0-3.0 \text{ GeV}/c$ respectively)

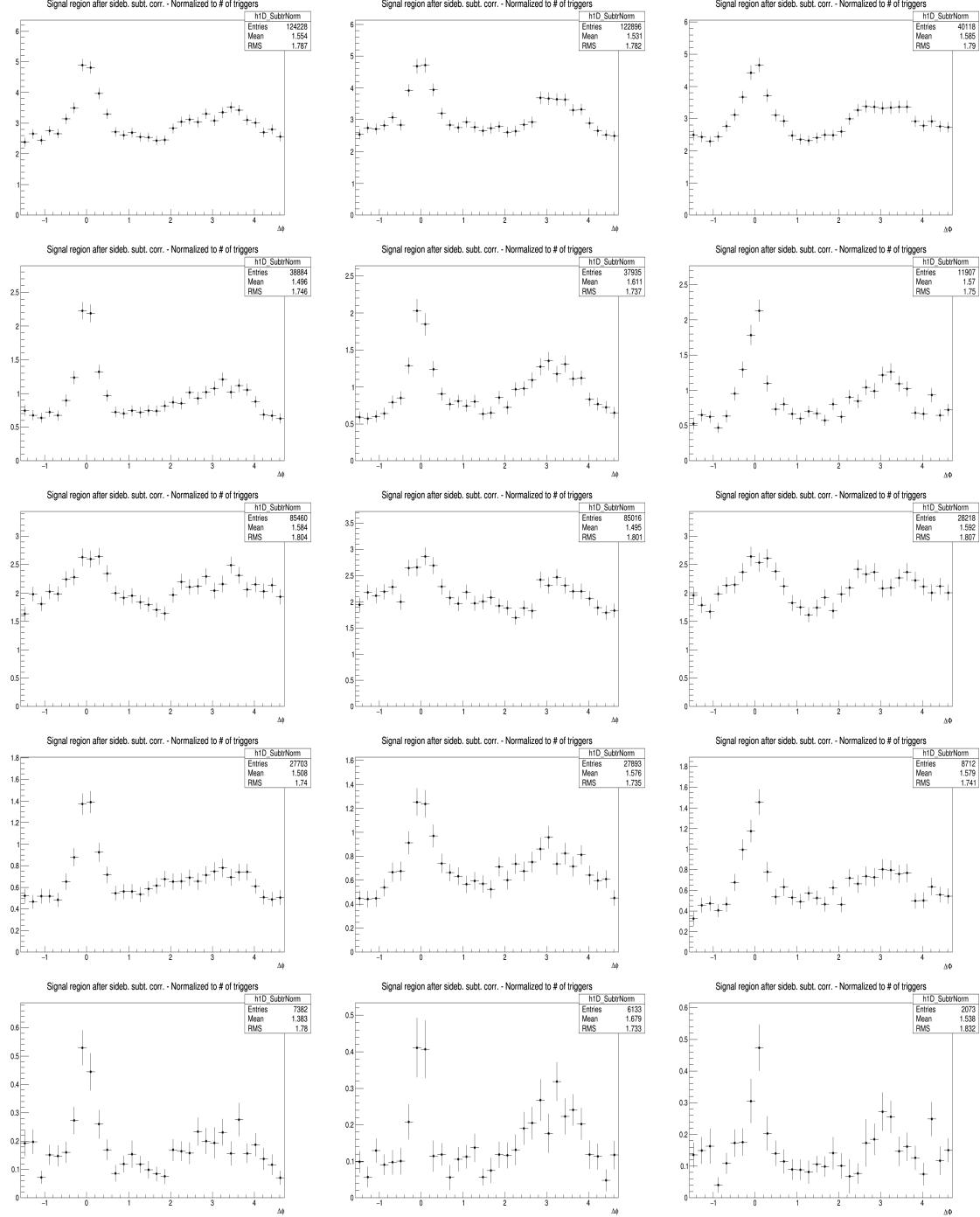


Figure 40: Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:** D^0 , **Column-Middle:** D^+ and **Column-Right:** D^{*+}) and different associated tracks p_T ranges (**Row 1-5:** $8 < Dp_T < 16 \text{ GeV}/c$, $p_T (\text{Assoc}) > 0.3, > 1.0, 0.3-1.0, 1.0-2.0$ and $2.0-3.0 \text{ GeV}/c$ respectively)

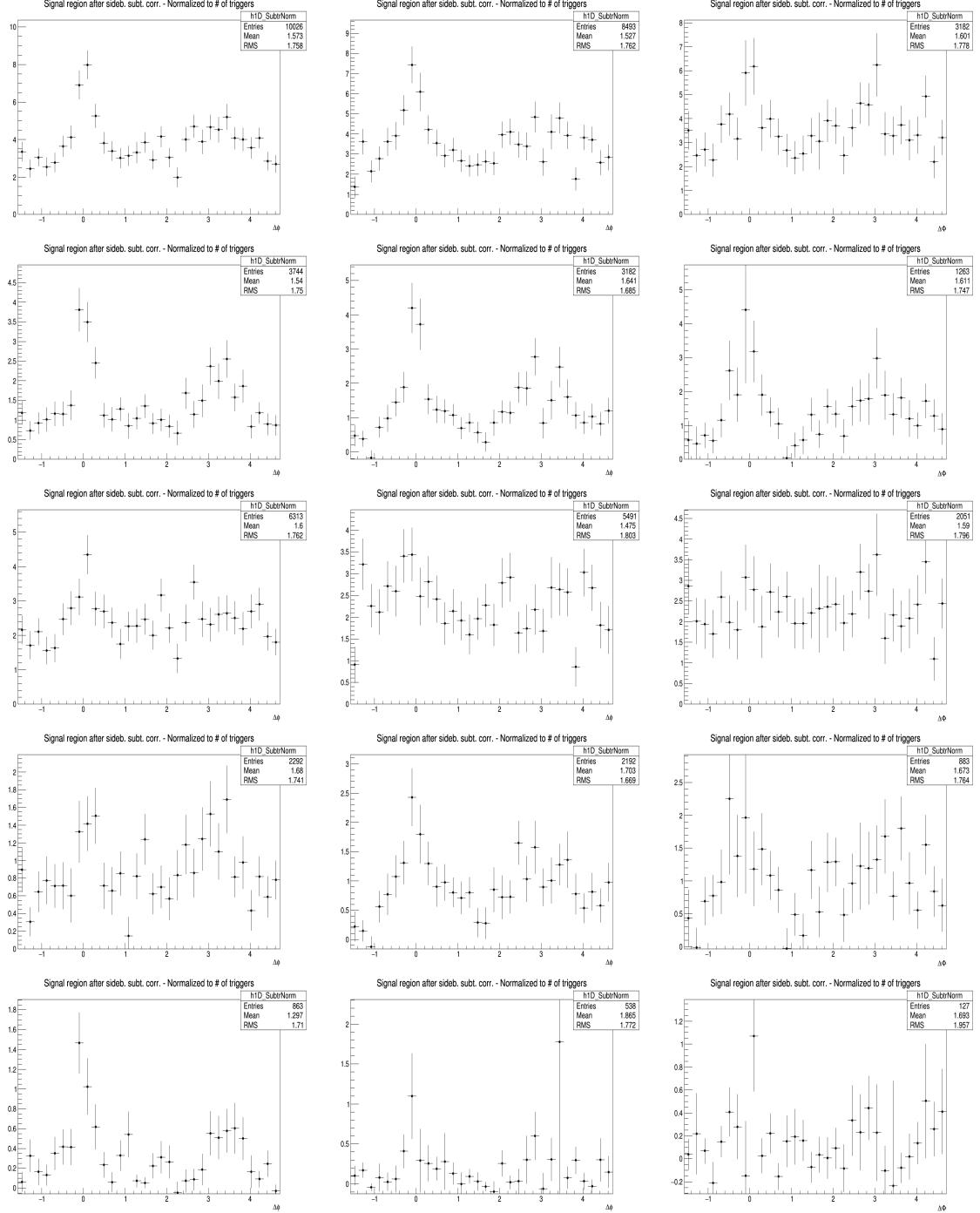


Figure 41: Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:** D^0 , **Column-Middle:** D^+ and **Column-Right:** D^{*+}) and different associated tracks p_T ranges (**Row 1-5:** $16 < D_{pT} < 24$ GeV/c, p_T (Assoc) > 0.3, > 1.0, 0.3-1.0, 1.0-2.0 and 2.0-3.0 GeV/c respectively)

601 An agreement of the distributions from the three mesons within the uncertainties is found in all the
602 kinematic ranges.

603 Despite being evaluated in the full 2π range, the range of final results was then reduced to $[0, \pi]$ radians,
604 reflecting the points outside that range over the value of 0. This allowed to reduce the impact of statistical
605 fluctuations on the data points (supposing equal statistics for a pair of symmetric bins, after the reflection
606 the relative statistical uncertainty for the resulting bin is reduced by a factor $1/\sqrt{2}$).

607 5.2 Average of D^0 , D^+ and D^{*+} results

608 Given the compatibility within the uncertainties among the D^0 , D^+ and D^{*+} azimuthal correlations, and
609 since no large differences are visible in the correlation distributions observed in Monte Carlo simulations
610 based on Pythia with Perugia0, 2010 and 2011 tunes¹, it was possible to perform a weighted average
611 (eq. 5) of the azimuthal correlation distributions of D^0 , D^+ and D^{*+} , in order to reduce the overall
612 uncertainties. Although some correlation between the mesons could be present (about the 30% of the
613 D^0 , and also part of the D^+ , come from D^{*+} decays), the three selected D-meson samples can be treated
614 as uncorrelated. The sum of the statistical uncertainties; the systematics uncertainty on S and B extraction
615 and on background shape, are added in quadrature and the inverse of this sum was used as weight, w_i .

$$\left\langle \frac{1}{N_D} \frac{dN_{\text{assoc}}}{dp_T} \right\rangle_{D_{\text{mesons}}} = \frac{\sum_{i=\text{meson}} w_i \frac{1}{N_D} \frac{dN_i^{\text{assoc}}}{d\Delta\phi}}{\sum_{i=\text{meson}} w_i}, w_i = \frac{1}{\sigma_{i,\text{stat}}^2 + \sigma_{i,\text{uncorr.syst}}^2} \quad (5)$$

616 The statistical uncertainty and the uncertainties on S and B extraction and on background shape (those
617 used for the weights) on the average were then recalculated using the following formula:

$$\sigma^2 = \frac{1}{n_D} \frac{\sum_{i=\text{meson}} w_i \sigma_i^2}{\sum_{i=\text{meson}} w_i} \quad (6)$$

618 where n_D is the number of mesons considered in the average. It can be observed that for $\sigma_i^2 = 1/w_i$ the
619 formula coincides with the standard one giving the uncertainty on a weighted average. The contribution
620 to the average systematic uncertainty for those uncertainty sources not included in the weight definition,
621 was evaluated via error propagation on the formula of the weighted average (5), resulting in equation
622 (7) and (8) for sources considered uncorrelated and correlated among the mesons. In particular, the
623 uncertainties on the associated track reconstruction efficiency, on the contamination from secondary, on
624 the feed-down subtraction, and that resulting from the Monte Carlo closure test were considered fully
625 correlated among the mesons, while those deriving from the yield extraction (included in the weight
626 definition) and on the D meson reconstruction and selection efficiency were treated as uncorrelated.

$$\sigma^2 = \frac{\sum_{i=\text{meson}} w_i^2 \sigma_i^2}{(\sum_{i=\text{meson}} w_i)^2} \quad (7)$$

$$\sigma = \frac{\sum_{i=\text{meson}} w_i \sigma_i}{\sum_{i=\text{meson}} w_i} \quad (8)$$

627 Figures 24, 25, 26, 27 show the averages of the azimuthal correlation distributions of D^0 , D^+ and D^{*+}
628 and charged particles with $p_T > 0.3 \text{ GeV}/c$, $0.3 < p_T < 1 \text{ GeV}/c$, $p_T > 1 \text{ GeV}/c$, $1 < p_T < 2 \text{ GeV}/c$, $2 <$
629 $p_T < 3 \text{ GeV}/c$ in the D meson p_T ranges $3 < p_T < 5 \text{ GeV}/c$, $5 < p_T < 8 \text{ GeV}/c$, $8 < p_T < 16 \text{ GeV}/c$ and
630 $16 < p_T < 24 \text{ GeV}/c$. As expected, a rising trend of the height of the near-side peak with increasing D-
631 meson p_T is observed, together with a decrease of the baseline level with increasing p_T of the associated

¹A slight near side hierarchy is present among the three meson results, with D^{*+} meson having a lower peak amplitude than D^0 and D^+ . It was verified that this is induced by the presence of D^0 and D^+ mesons coming from D^{*+} , the latter having on average a larger p_T and coming, hence, on average, from a larger p_T quark parton, which fragments in slightly more tracks in the near-side.

632 tracks. To further increase the statistical precision on the averaged correlation distributions, given the
633 symmetry around 0 on the azimuthal axis, the distributions were reflected and shown in the range $[0, \pi]$.
634 This reduces the statistical uncertainty on the points by, approximately, a factor of $1/\sqrt{2}$.

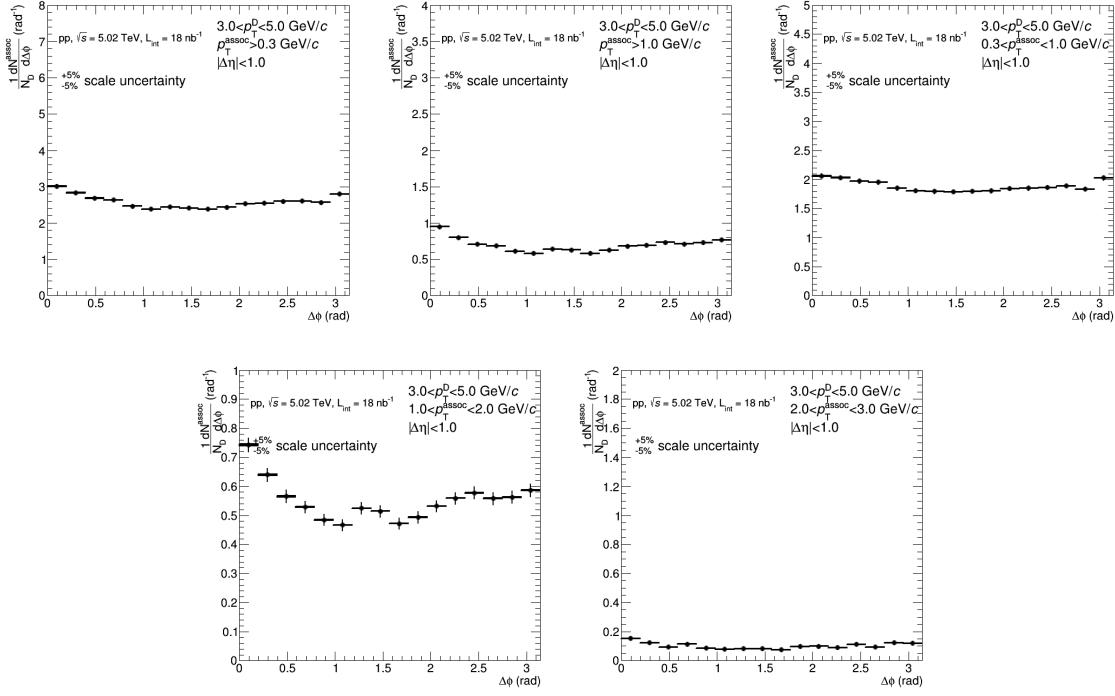


Figure 42: Average of D^0 , D^+ and D^{*+} azimuthal correlation distributions, in the D meson p_T range $3 < p_T < 5$ GeV/ c with associated tracks with $p_T > 0.3$ GeV/ c , $p_T > 1$ GeV/ c , $0.3 < p_T < 1$ GeV/ c , $1 < p_T < 2$ GeV/ c and $2 < p_T < 3$ GeV/ c

635 The usage of weighted average requires, as an underlying assumption, identical results expected for
 636 different species (or, at least, compatible within the uncertainties). Anyway, it was also verified that the
 637 usage of the arithmetic average instead of the weighted average increases the uncertainties on the points,
 638 but produces a negligible shift of their central values.

639 5.3 Fit observable p_T trends and uncertainties

640 In order to extract quantitative and physical information from the data correlation patterns, the averaged
 641 D - h correlation distributions are fitted with two Gaussian functions (with means fixed at $\Delta\varphi=0$ and $\Delta\varphi=\pi$
 642 values), plus a constant term (baseline). A periodicity condition is also applied to the fit function to obtain
 643 the same value at the bounds of 2π range. The expression of the fit function is reported below (equation
 644 9):

$$f(\Delta\varphi) = c + \frac{Y_{NS}}{\sqrt{2\pi}\sigma_{NS}} e^{-\frac{(\Delta\varphi - \mu_{NS})^2}{2\sigma_{NS}^2}} + \frac{Y_{AS}}{\sqrt{2\pi}\sigma_{AS}} e^{-\frac{(\Delta\varphi - \mu_{AS})^2}{2\sigma_{AS}^2}} \quad (9)$$

645 where baseline is calculated as the weighted average of the points lying in the so-called "transverse
 646 region", i.e. the interval $\frac{\pi}{4} < |\Delta\varphi| < \frac{\pi}{2}$.

647 Results from the fit for the studied kinematical regions are shown in Figure 28

648 From the fit outcome, it is possible to retrieve the near-side and away-side yield and widths (integral
 649 and sigma of the Gaussian functions, respectively), as well as the baseline height of the correlation
 650 distribution. The near-side observables give information on the multiplicity and angular spread of the
 651 tracks from the fragmentation of the charm jet which gave birth to the D -meson trigger. At first order,
 652 instead, the away-side observables are related to the hadronization of the charm parton produced in the
 653 opposite direction (though the presence of NLO processes for charm production breaks the full validity

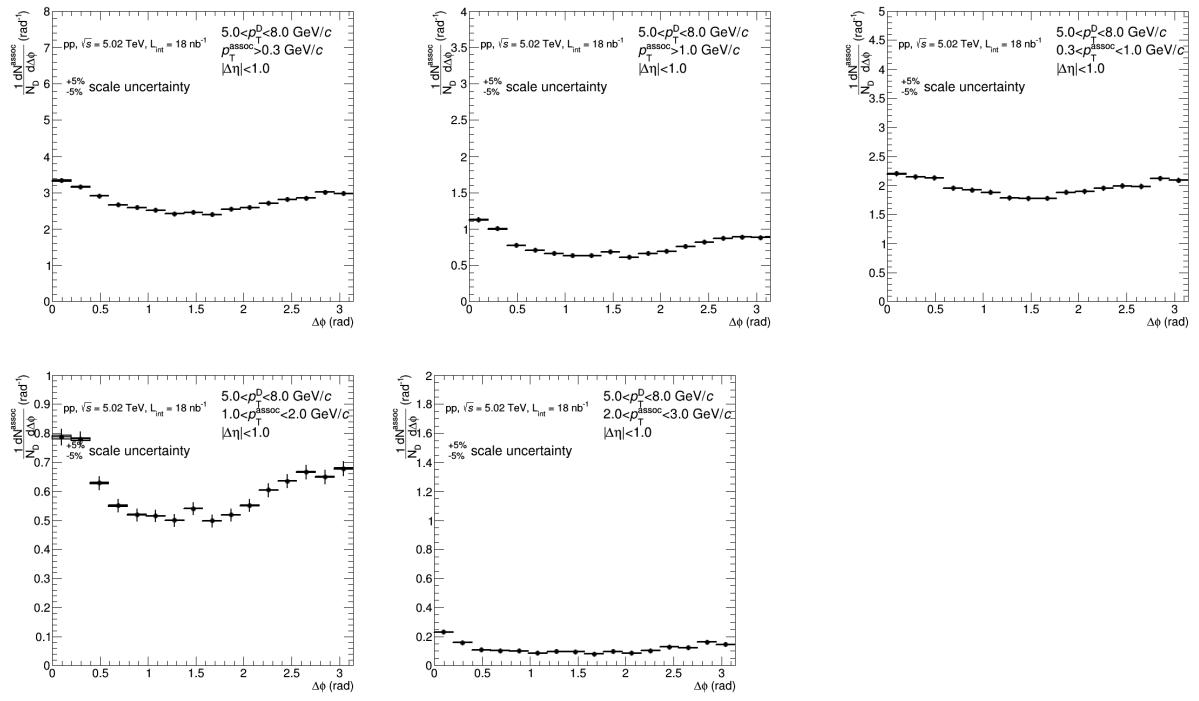


Figure 43: Average of D^0 , D^+ and D^{*+} azimuthal correlation distributions, in the D meson p_T range $5 < p_T < 8 \text{ GeV}/c$ with associated tracks with $p_T > 0.3 \text{ GeV}/c$, $p_T > 1 \text{ GeV}/c$, $0.3 < p_T < 1 \text{ GeV}/c$, $1 < p_T < 2 \text{ GeV}/c$ and $2 < p_T < 3 \text{ GeV}/c$

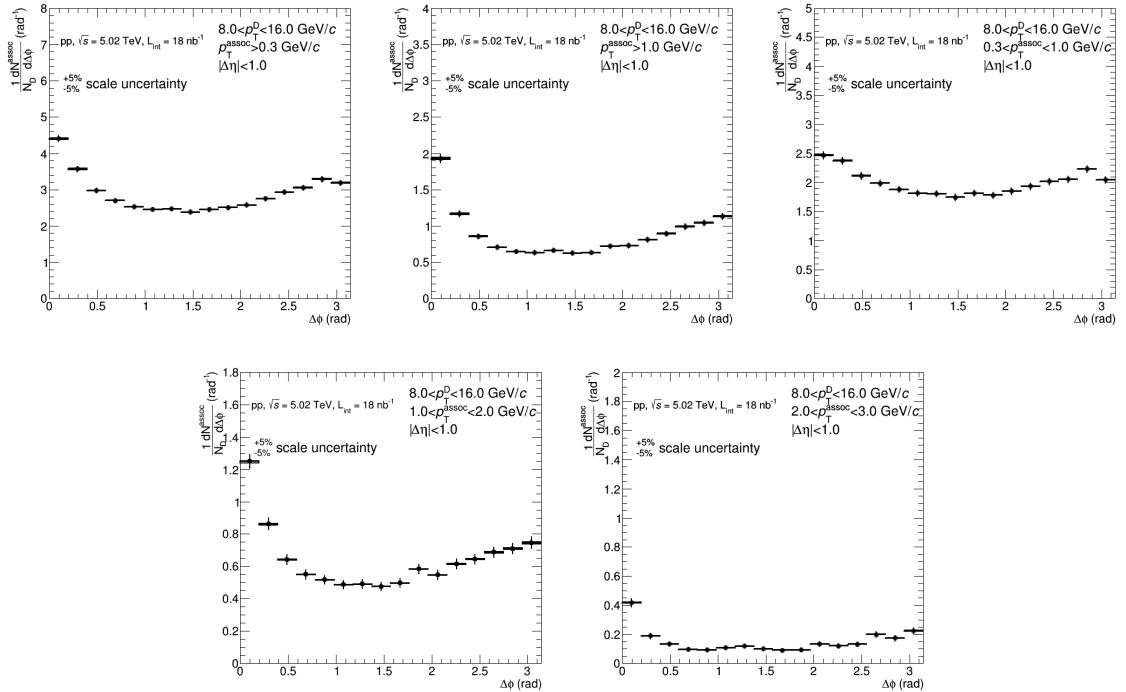


Figure 44: Average of D^0 , D^+ and D^{*+} azimuthal correlation distributions, in the D meson p_T range $8 < p_T < 16 \text{ GeV}/c$ with associated tracks with $p_T > 0.3 \text{ GeV}/c$, $p_T > 1 \text{ GeV}/c$, $0.3 < p_T < 1 \text{ GeV}/c$, $1 < p_T < 2 \text{ GeV}/c$ and $2 < p_T < 3 \text{ GeV}/c$

of this assumption). The baseline value is a rough indicator of the underlying event multiplicity, though below the baseline level also charm and beauty-related pairs are contained (especially in cases of NLO production for the heavy quarks).

The evaluation of the systematic uncertainties on the observables obtained from the fits is performed as follows (**THIS PARAGRAPH WILL BE UPDATED**):

- The fits are repeated by changing the range of the transverse region in which the baseline is evaluated. Alternate definitions of $\frac{\pi}{4} < |\Delta\phi| < \frac{3\pi}{8}$, $\frac{3\pi}{8} < |\Delta\phi| < \frac{\pi}{2}$ and $\frac{\pi}{4} < |\Delta\phi| < \frac{5\pi}{8}$ are considered.
- In addition, $\Delta\phi$ correlation points are shifted to the upper and lower bounds of their uncorrelated systematic boxes, and refitted.
- The fits are also repeated by moving the baseline value from its default value (i.e. with the default transverse region) on top and on bottom of its statistic uncertainty. This helps to account, though in a systematic uncertainty, for the statistical uncertainty on the baseline position (since in the fit the baseline is constrained, and its error is not propagated to the other observables).
- The envelope between (i) the RMS of the relative variations of the parameters between the fit outcomes defined in the first two points, and (ii) the relative variations of the parameters from the fit outcomes defined in the third point, is considered as systematic uncertainty for the near-side and away-side widths.
- For the estimation of the baseline and of the near-side and away-side yields, instead, the previous value is added in quadrature with the $\Delta\phi$ -correlated systematics in the correlation distributions, since these values are affected by a change in the global normalization of the distributions.
- In addition, for all the fit observables, an additional fit variation is performed assuming, instead of a flat baseline, a $v_{2\Delta}$ -like modulation, with the following v_2 values for the associated tracks (assuming $v_{2\Delta} = v_2(h) \cdot v_2(D)$): 0.04 (0.3-1 GeV/c), 0.06 (>0.3 GeV/c), 0.08 (1-2 GeV/c), 0.09 (>1 GeV/c, 2-3 GeV/c), 0.1 (>3 GeV/c), on the basis of ATLAS preliminary results for heavy-flavour muons at 8 TeV; for the D-meson triggers the following v_2 values were instead assumed: 0.05 (3-5 GeV/c), 0.03 (5-8 GeV/c), 0.02 (8-24 GeV/c), on the basis of previous ALICE measurements in p-Pb collisions at 5 TeV [3]. The difference of the fit observables with respect to the standard fits is taken as uncertainty. Due to its peculiarity, this systematic uncertainty is summed in quadrature with the others to obtain the total uncertainty, but is also shown separately in the figures.

$$\sigma^{syst} = \sqrt{(Max(\Delta par^{ped.mode}, \Delta par^{\Delta\phi point}))^2 + (\sigma_{Syst}^{corr})^2} \quad (10)$$

NOTE: THE SYSTEMATIC BOXES IN ALL THE FIT OBSERVABLES FOR THE pp AT 5 TeV SAMPLE WILL BE UPDATED AFTER THE SYSTEMATICS EVALUATION, HENCE THEY ARE NOT FINAL.

5.3.1 Results for near-side yield and width, away-side yield and width, and baseline

(TO BE UPDATED. ANYWAY, THE RESULTS OF THE FIT ARE PRESENTED IN THE COMPARISON FIGURES, SO ALL THE INFORMATION IS ALREADY PRESENT.) Figures ??, ??, ??, ?? and ?? show the near-side associated yield, width (the sigma of the Gaussian part of the fit functions), away-side associated yield, width and the height of the baseline, for the average correlation distributions, in the kinematic ranges studied in the analysis, together with their statistical and systematic uncertainties. For each kinematic range, the correspondent plot showing the systematic uncertainty of the considered observable from the variation of the fit procedure is reported as well (which is the full systematic uncertainty for the widths).

695 **5.3.2 Comparisons of pp and p-Pb at 5 TeV**

696 Figure 29 (two pages) shows the average of D^0 , D^+ and D^{*+} azimuthal correlations for 2017 pp and
 697 2016 p-Pb for all the kinematic ranges of trigger and associated particles p_T . Overall, compatibility
 698 within uncertainties between the two collision systems is found for all the common kinematic ranges
 699 analyzed, and a similar evolution of the correlation pattern with transverse momentum holds for the two
 700 systems. Focusing on the peak regions, while one can appreciate a full near-side compatibility, for some
 701 kinematic regions the away-side region seems to be enhanced in p-Pb with respect to pp. As it could be
 702 already noticed from the comparison of the distributions, near-side observables are fully consistent. For
 703 the away-side region, instead, the peak widths are roughly compatible (within rather large uncertainties),
 704 while a hint of larger yields in p-Pb can be observed, especially from 5 to 16 GeV/c for the D-meson
 705 p_T , generally in all the associated track p_T regions. In Figs. 30,?? the comparison of the observables
 706 extracted from the fits (near-side yield and width) is also presented.

707 **5.3.3 Comparisons of pp at 5, 7 and 13 TeV**

708 Figure 32 shows the average of D^0 , D^+ and D^{*+} azimuthal correlations for pp at 5 TeV compared with
 709 pp at 7 TeV and 13 TeV for all the common kinematic ranges of trigger and associated particles p_T
 710 analysed. The data distribution of pp at 5 TeV have much better uncertainties than 7 TeV and also quite
 711 better than 13 TeV. Compatibility within uncertainties between the three energy systems is found for all
 712 the common kinematic ranges analyzed. In Figs. 33 and 34, the comparison of the observables extracted
 713 from the fits (near-side yield and width for first page, away-side yield and width for second page) is
 714 also presented. The near-side observables do not show difference above the uncertainties, which are not
 715 small (especially for past results), not allowing to quantitatively appreciate any energy dependence of
 716 the yields, expected to be of the order of 8-10% for 5 vs 7 TeV and of 15-20% for 5 vs 13 TeV results
 717 from Pythia6 and Pythia8 simulations. Qualitatively, anyway, it can be observed that yield values at 13
 718 TeV are generally larger than yields at 5 TeV, following the expectations of some mild energy scaling
 719 of this observable. Nothing can be said, instead, for the away-side observables, where the precision is
 720 not enough to draw any conclusion, even qualitatively - also because model expectations for away-side
 721 observables at different energies predict much similar results at the three energies, much smaller than the
 722 current uncertainties. Indeed, away-side results at 7 and 13 TeV were not approved at all, in the past).

723 **5.3.4 Comparisons of pp at 5 TeV and model predictions**

724 Figure 35 (two pages) shows the average of D^0 , D^+ and D^{*+} azimuthal correlations for pp for several
 725 ranges of trigger and associated p_T , compared to different Pythia6 tunes (Perugia 0, 2010, 2011), Pythia
 726 8 (tune 4C) and POWHEG+PYTHIA at the same collision energy. A substantial agreement in the over-
 727 all momentum evolution of the correlation pattern is observed within uncertainties for what concerns the
 728 near-side region, apart from very high p_T of the D-meson, where the peak seems to be slightly underes-
 729 timated, at least by PYTHIA predictions. For the away-side region, the models themselves differentiate
 730 in predicting the height of the peak, and generally the strength of the peak overestimate the data mea-
 731 surements, especially for the older Perugia tunes (PYTHIA6-Perugia0 and PYTHIA6-Perugia2010). In
 732 Figs. 36 and 37 (two pages for each) the comparison of the extracted physical observables (near-side
 733 and away-side yield, width and baseline height) is presented. For the near-side yields, POWHEG tends
 734 to predict larger values than PYTHIA6,8, in all associated track p_T regions. Data results seem to be
 735 have in-between of the two predictions, apart from $16 < p_T(D) < 24 \text{ GeV}/c$ range, where excluding the
 736 lowest associated p_T range, data are better described by POWHEG. For the near-side width, POWHEG
 737 also tends to predict wider peaks, in this case generally overpredicting the observed values, which are
 738 better matched by PYTHIA predictions (though no model can be ruled out with current uncertainties).
 739 Focusing on the away-side region, POWHEG expectation foresee smaller and narrower peaks, with re-
 740 spect to all PYTHIA6,8 predictions, which is confirmed by data, especially for the yields, and in the
 741 intermediate D-meson p_T region.. All the models, except possibly PYTHIA6-Perugia0, predict similar

⁷⁴² baseline values, which generally describe well the data measurements.

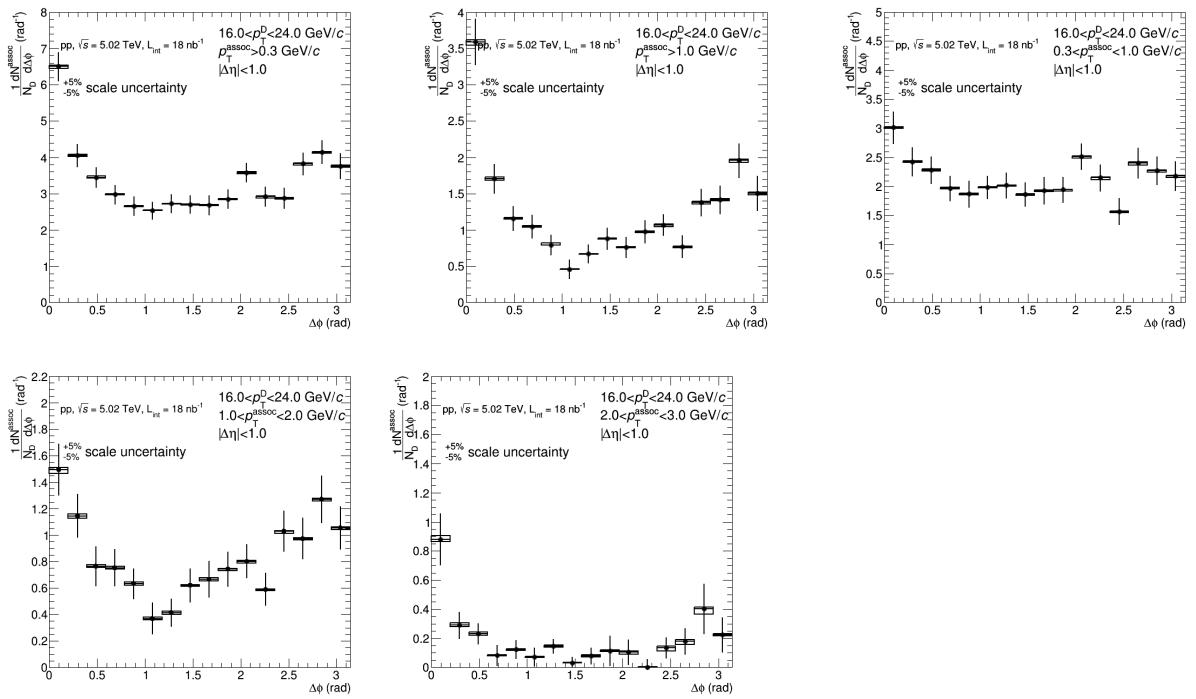
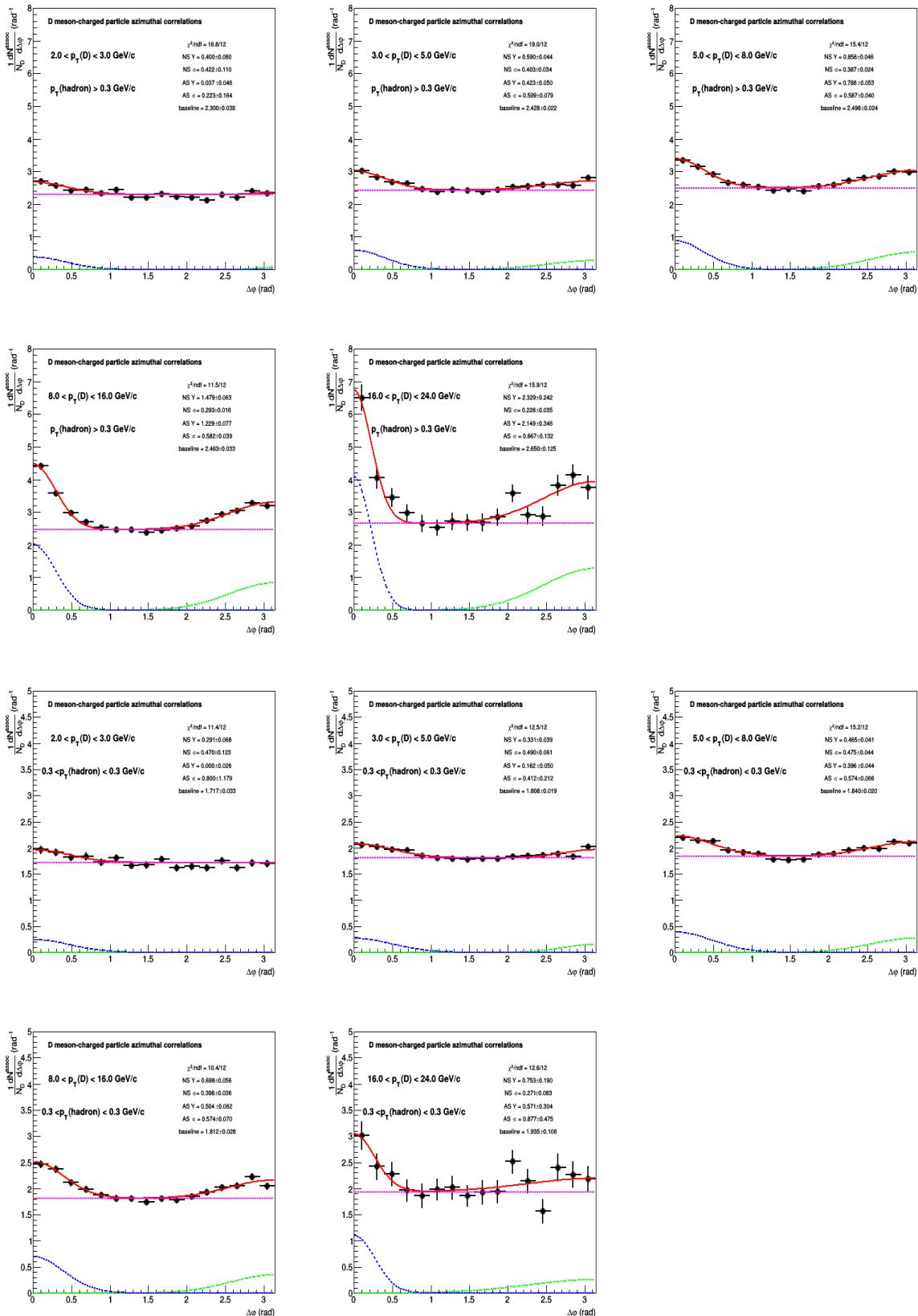
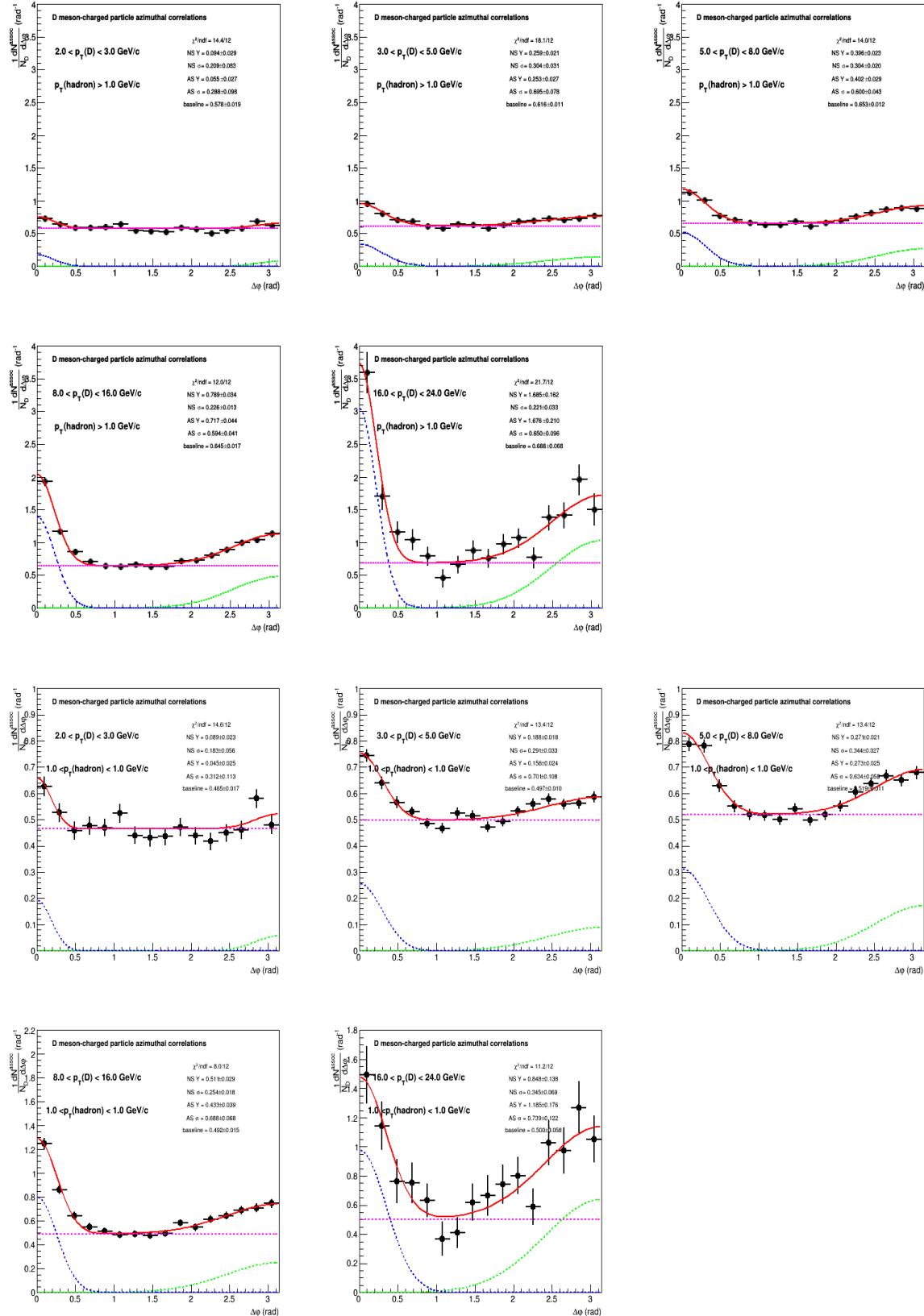


Figure 45: Average of D^0 , D^+ and D^{*+} azimuthal correlation distributions, in the D meson p_T range $16 < p_T < 24 \text{ GeV}/c$, with associated tracks with $p_T > 0.3 \text{ GeV}/c$, $p_T > 1 \text{ GeV}/c$, $0.3 < p_T < 1 \text{ GeV}/c$, $1 < p_T < 2 \text{ GeV}/c$ and $2 < p_T < 3 \text{ GeV}/c$



5.3 Fit observable p_T trends and uncertainties

67



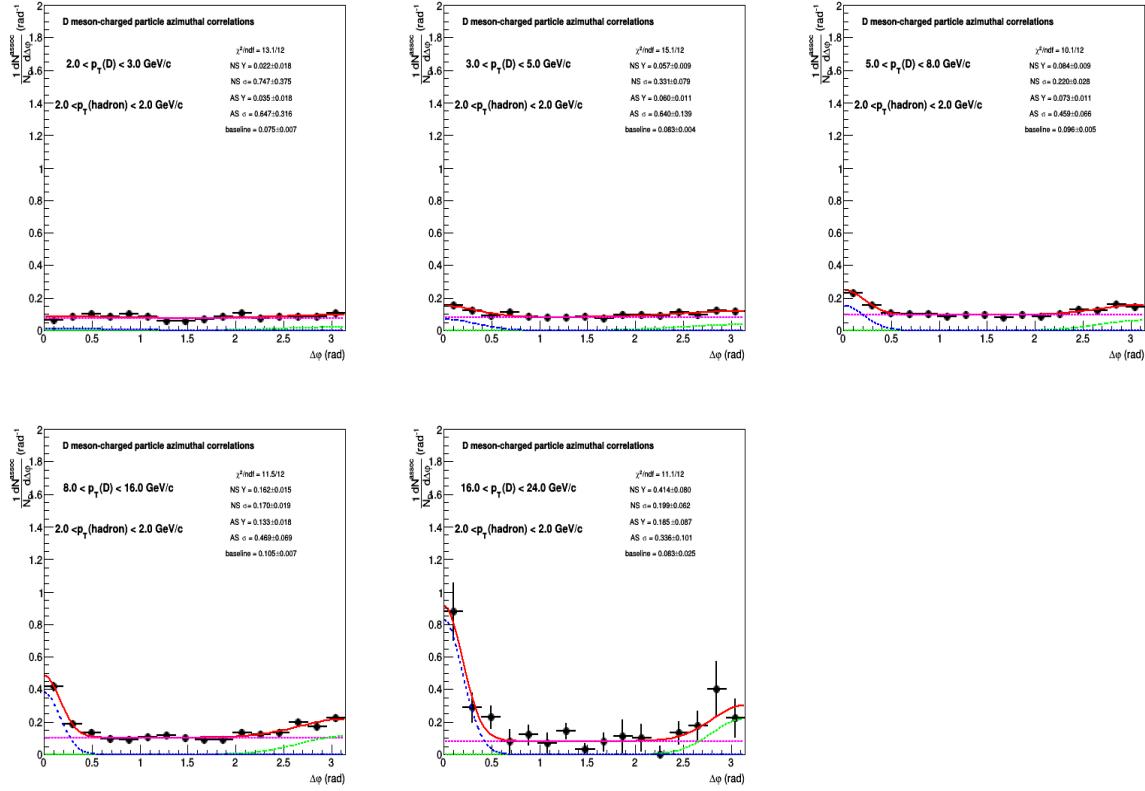
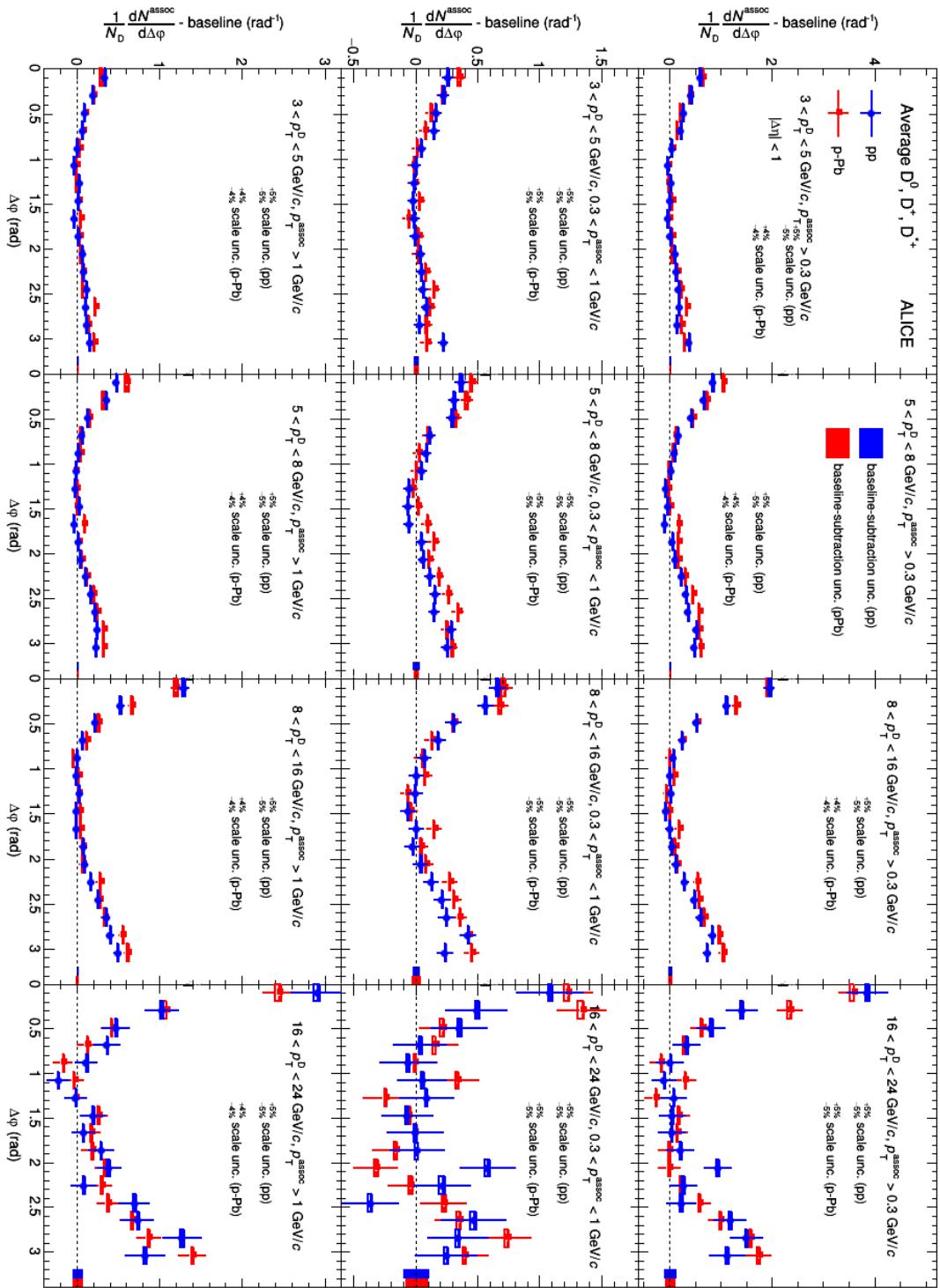


Figure 46: Fits to azimuthal correlation distributions and baseline estimation. Each set of five panels is for, from top to bottom: $p_T > 0.3 \text{ GeV}/c$, $0.3 < p_T < 1 \text{ GeV}/c$, $p_T > 1 \text{ GeV}/c$, $1 < p_T < 2 \text{ GeV}/c$ and $2 < p_T < 3 \text{ GeV}/c$. The corresponding p_T ranges of D-mesons are reported in each panel.



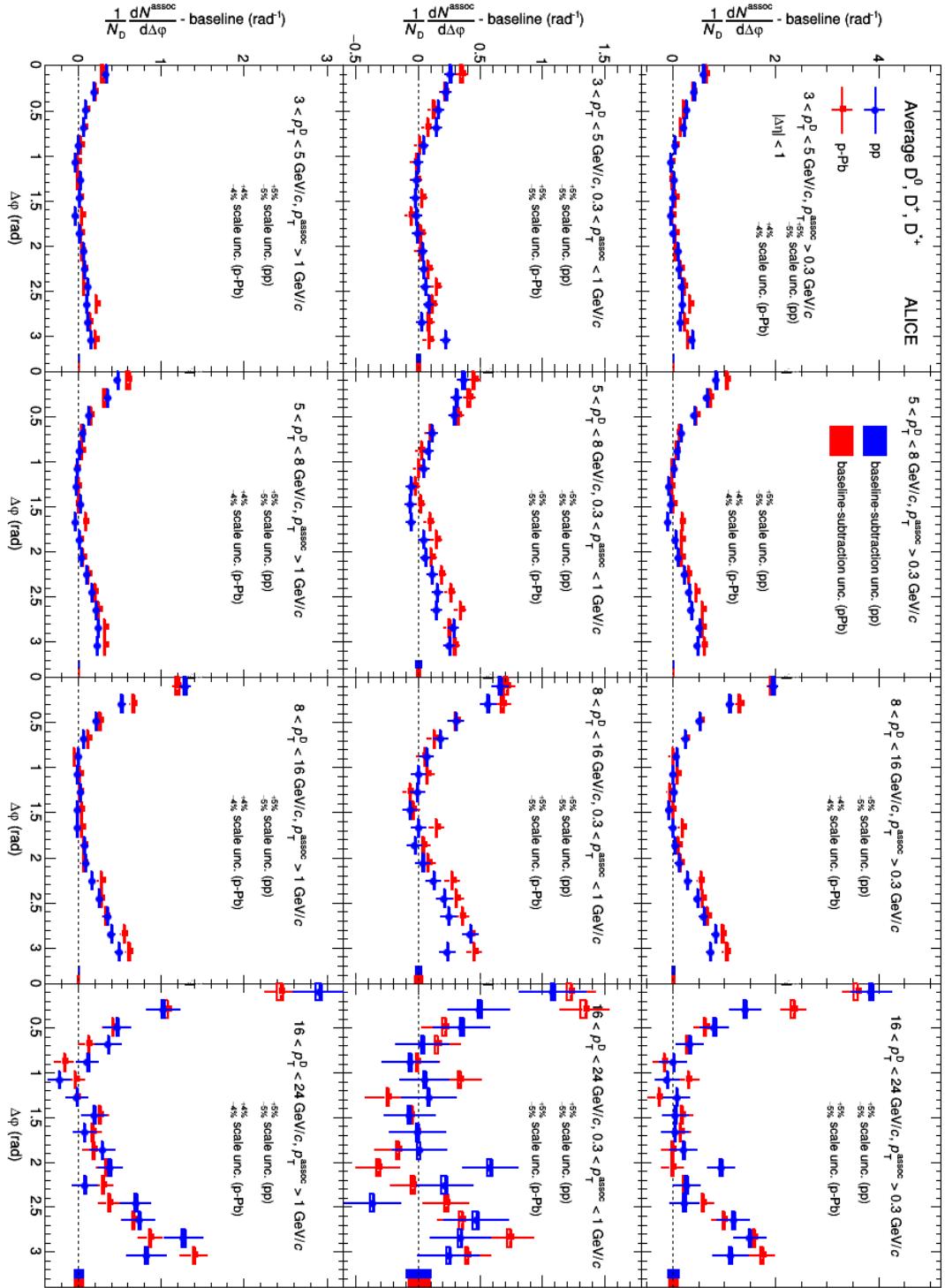


Figure 47: Average of D^0 , D^+ and D^{*+} azimuthal correlations in pp (blue) and p-Pb (red) in all the kinematic ranges of trigger and associated particles.

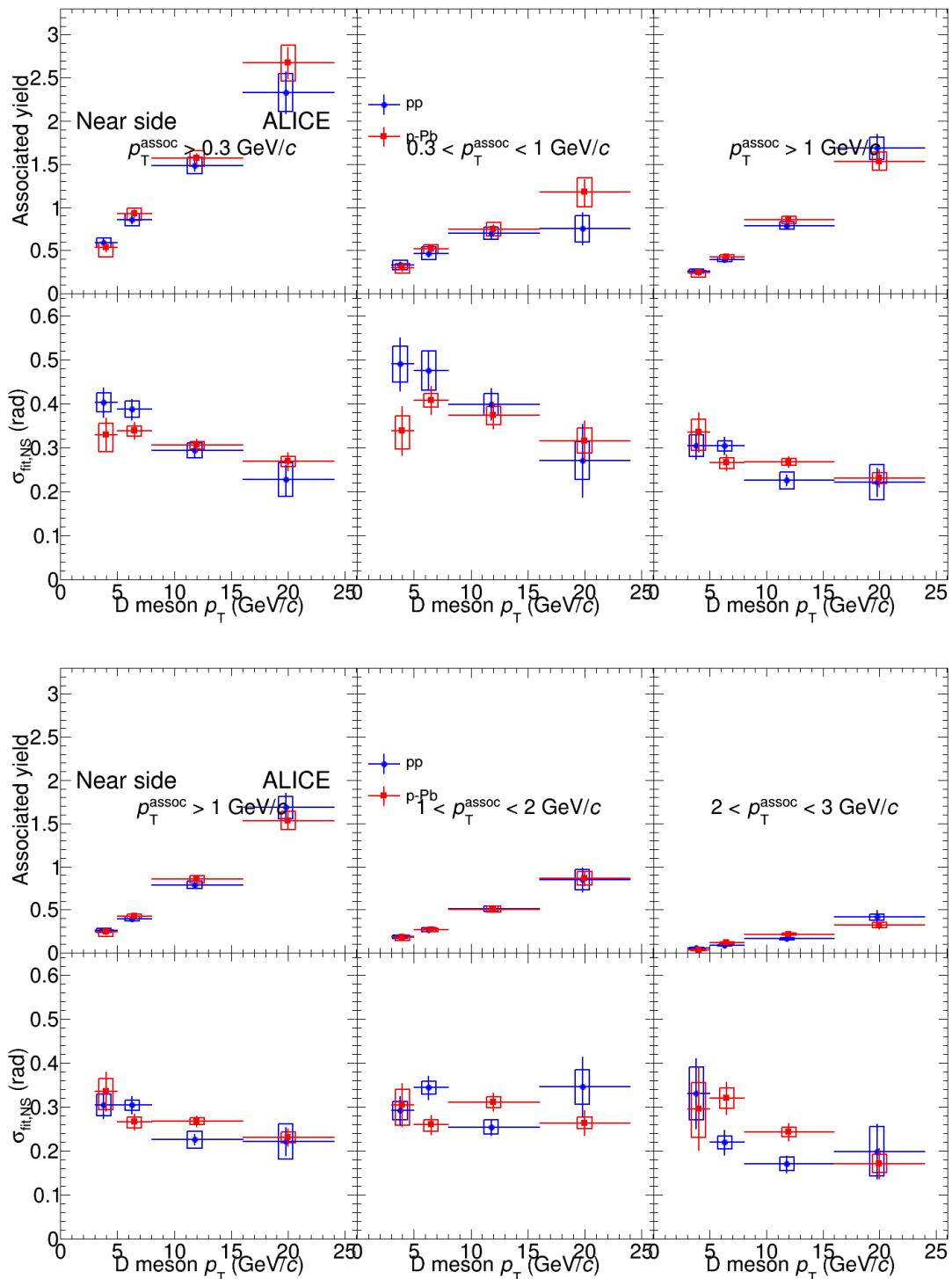


Figure 48: Near-side yield and sigmas for the average of D^0 , D^+ and D^{*+} azimuthal correlations in pp (red) and p-Pb (black) in all the kinematic regions of trigger and associated track.

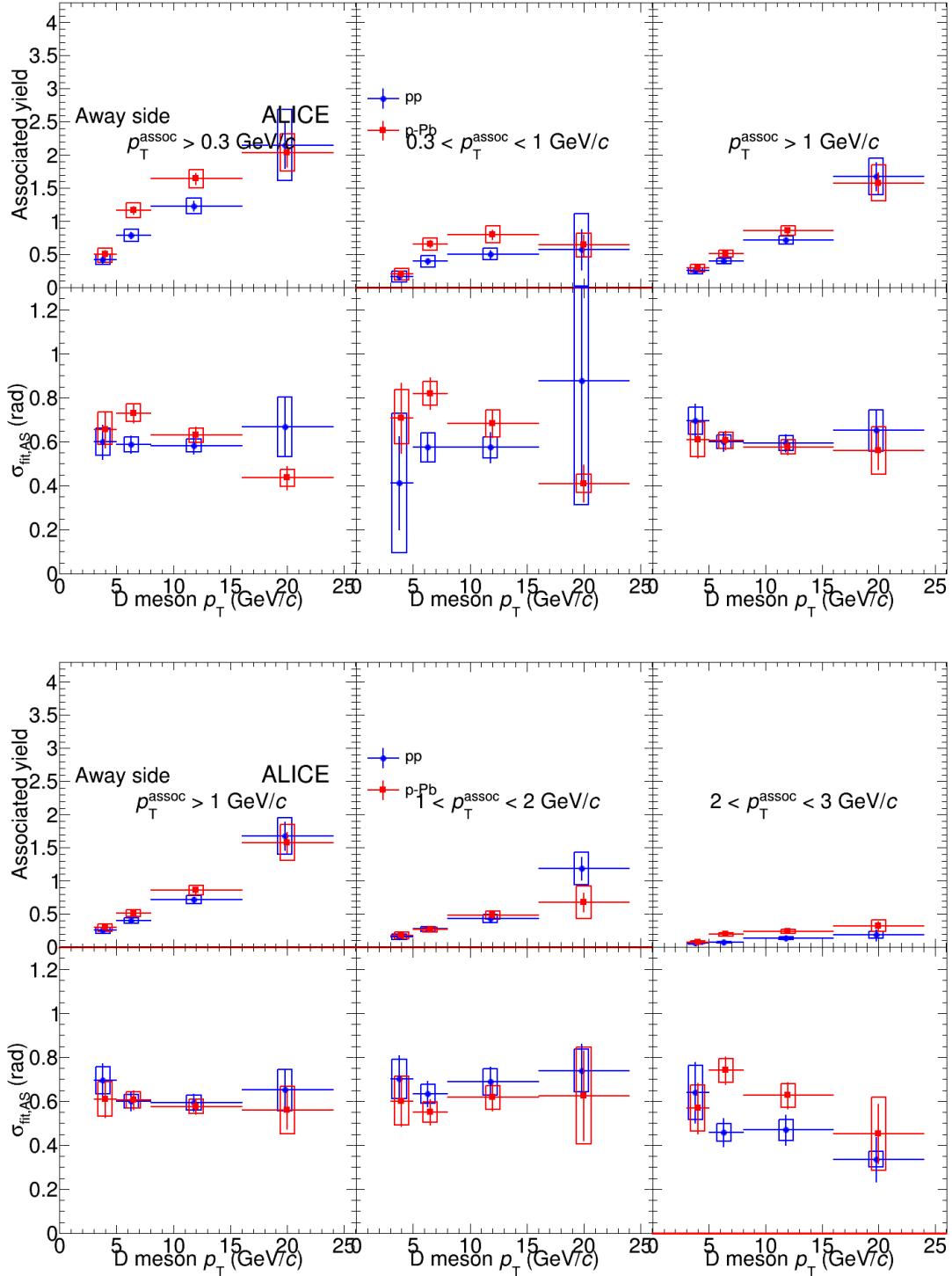


Figure 49: Away-side yield and sigmas for the average of D^0 , D^+ and D^{*+} azimuthal correlations in pp (red) and p-Pb (black) in all the kinematic regions of trigger and associated track.

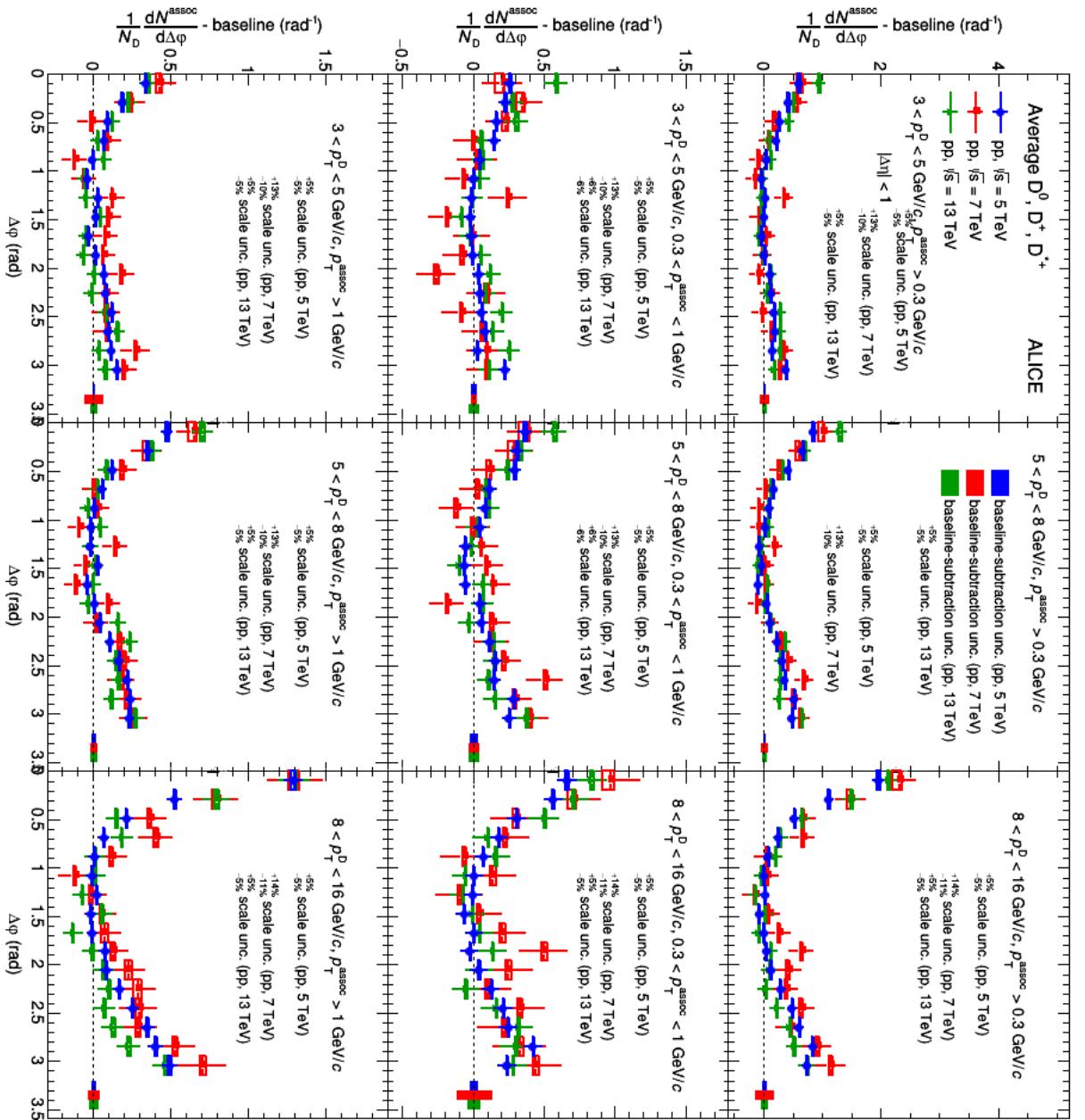


Figure 50: Average of D^0 , D^+ and D^{*+} azimuthal correlations in pp at 5 (blue), 7 (red) and 13 (green) TeV in all the common kinematic ranges of trigger and associated particles.

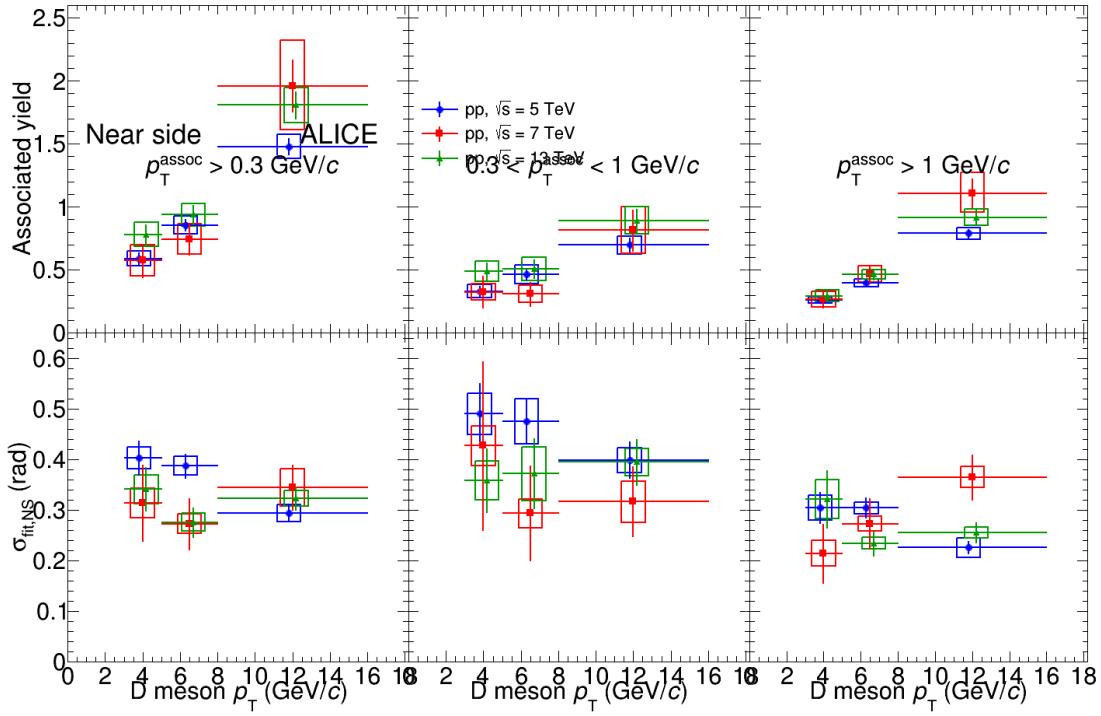


Figure 51: Near-side yield and width for the average of D^0 , D^+ and D^{*+} azimuthal correlations in pp at 5 (blue), 7 (red) and 13 (green) TeV in all the common kinematic regions of trigger and associated track.

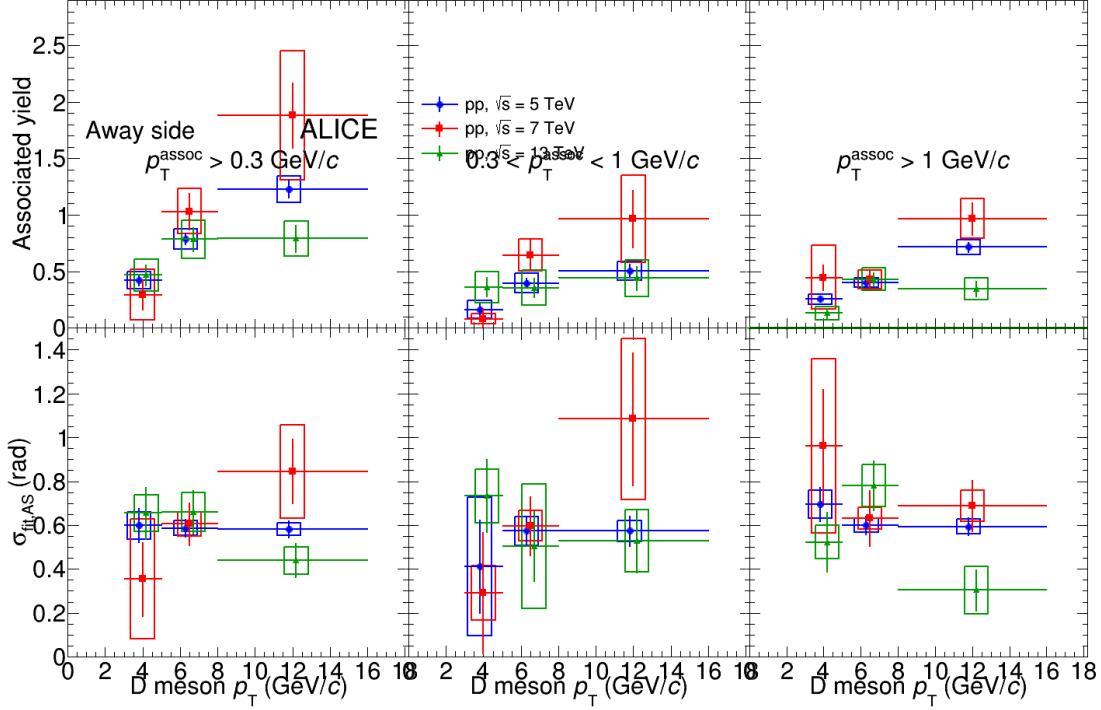
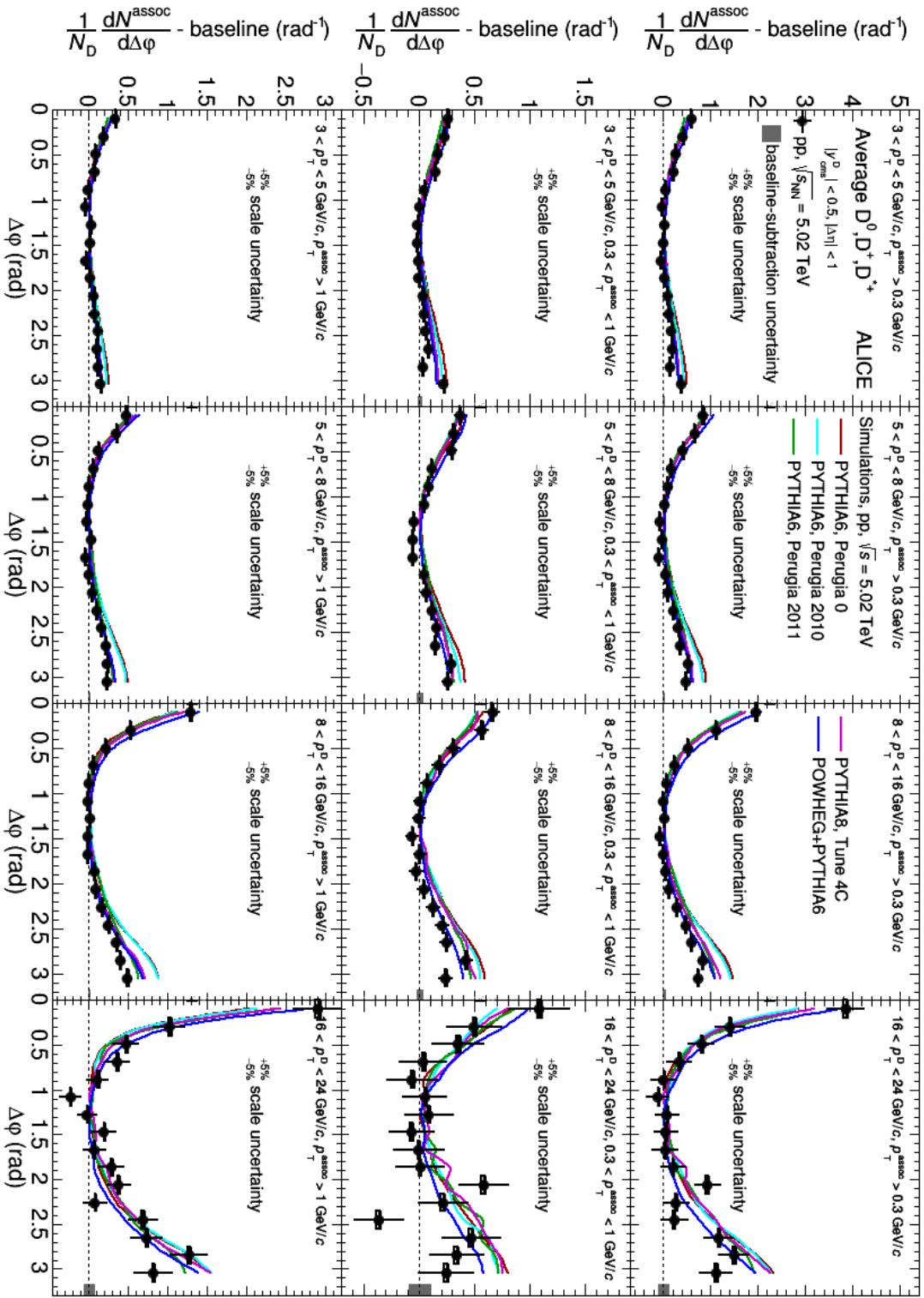


Figure 52: Away-side yield and width for the average of D^0 , D^+ and D^{*+} azimuthal correlations in pp at 5 (blue), 7 (red) and 13 (green) TeV in all the common kinematic regions of trigger and associated track.



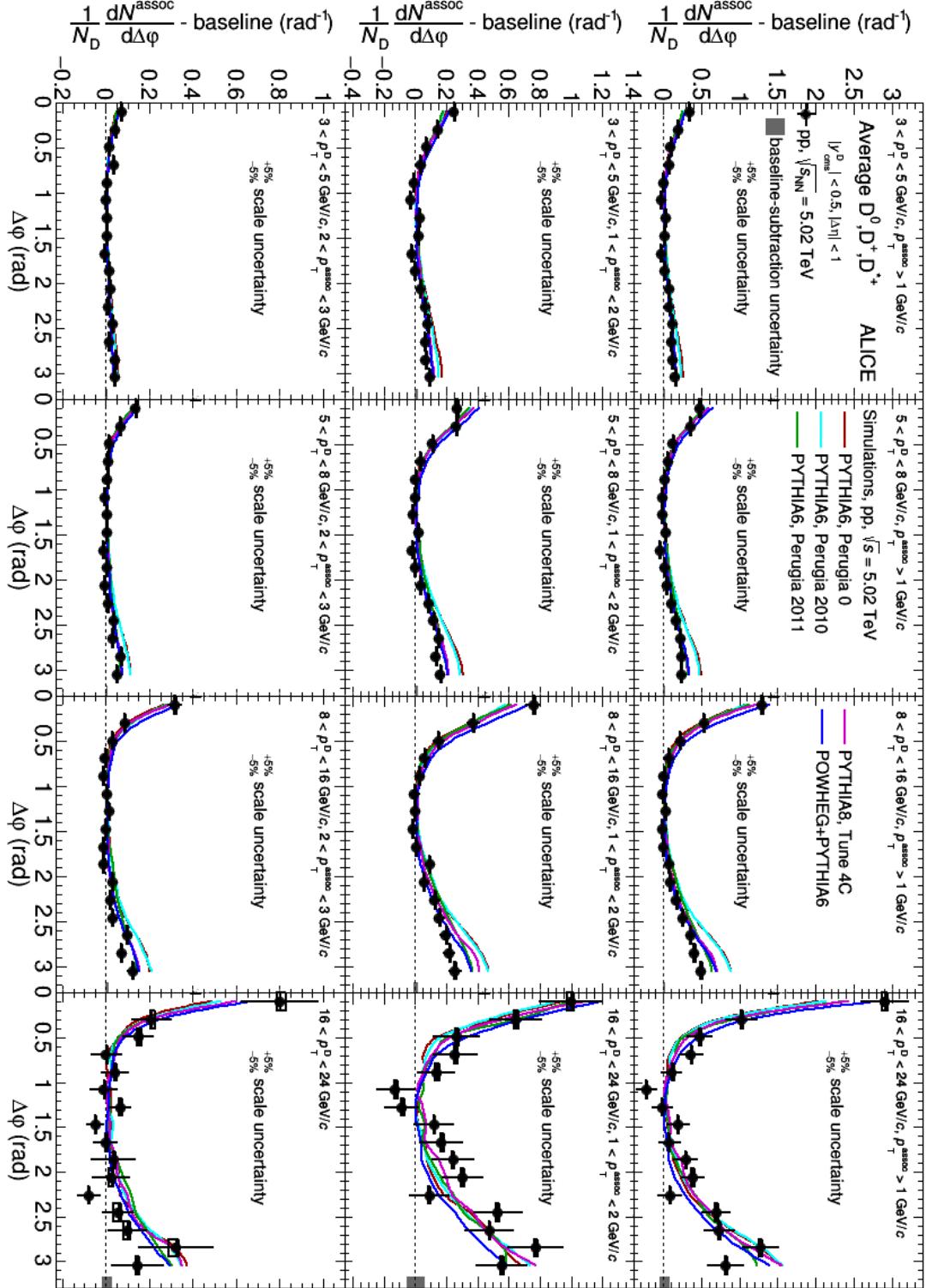
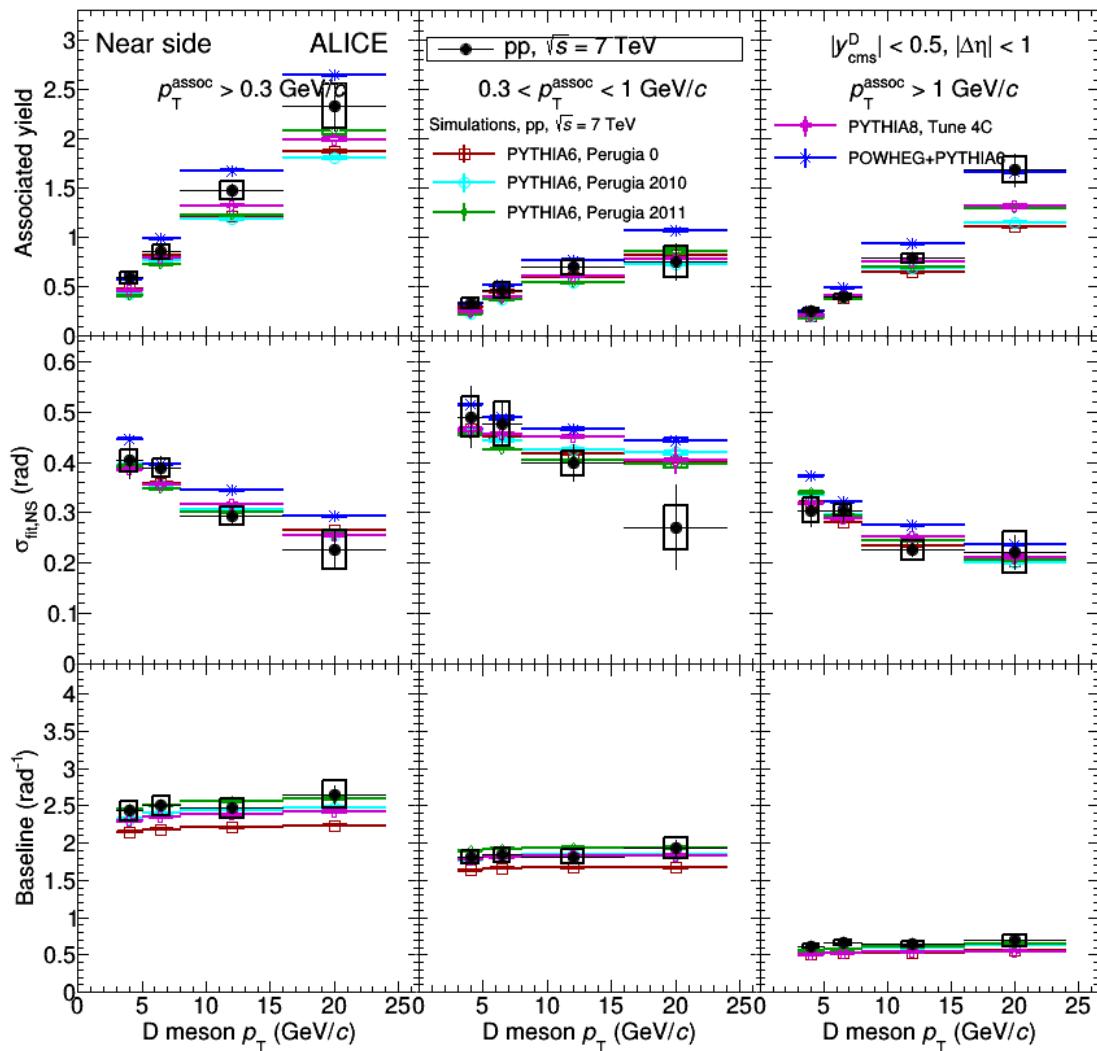


Figure 53: Comparison of $\Delta\phi$ azimuthal distribution for D-meson averages, obtained from data and simulations different event generators (PYTHIA, with three tunes, and POWHEG+PYTHIA), in the different kinematic ranges analyzed.



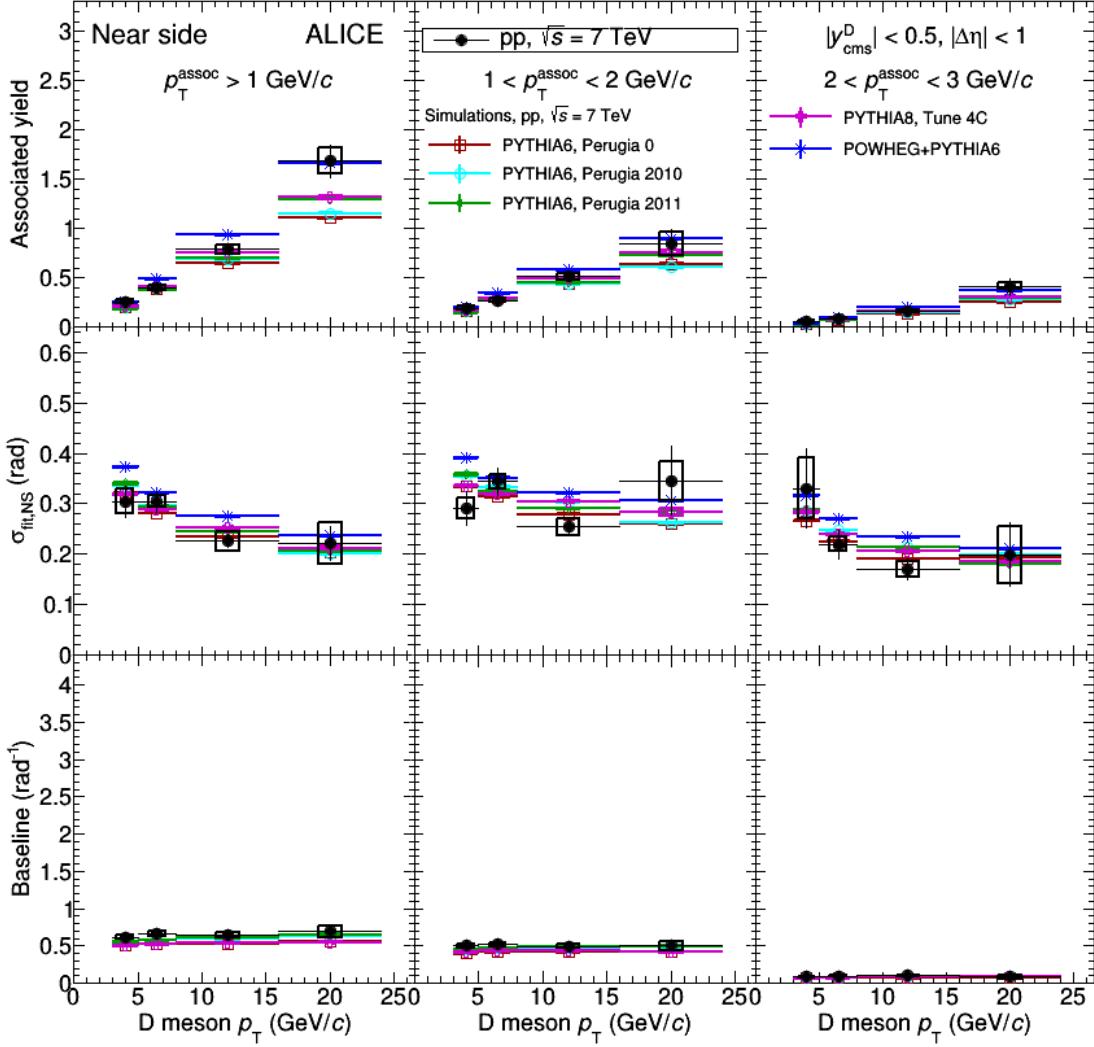
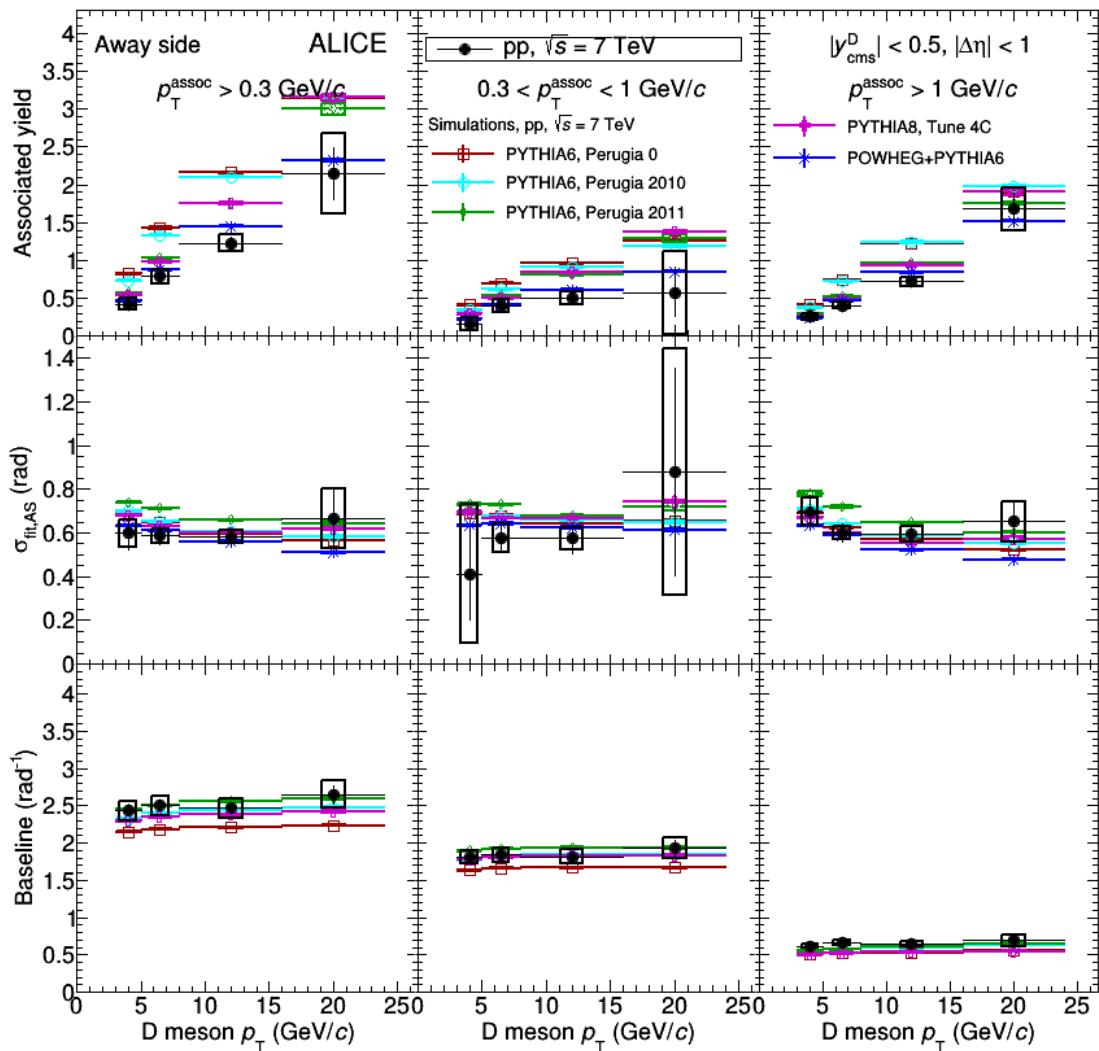


Figure 54: Near-side fit parameters obtained for D-meson averages, extracted from data and simulations different event generators (PYTHIA6 with three tunes, PYTHIA8 and POWHEG+PYTHIA), in the different kinematic ranges analyzed.



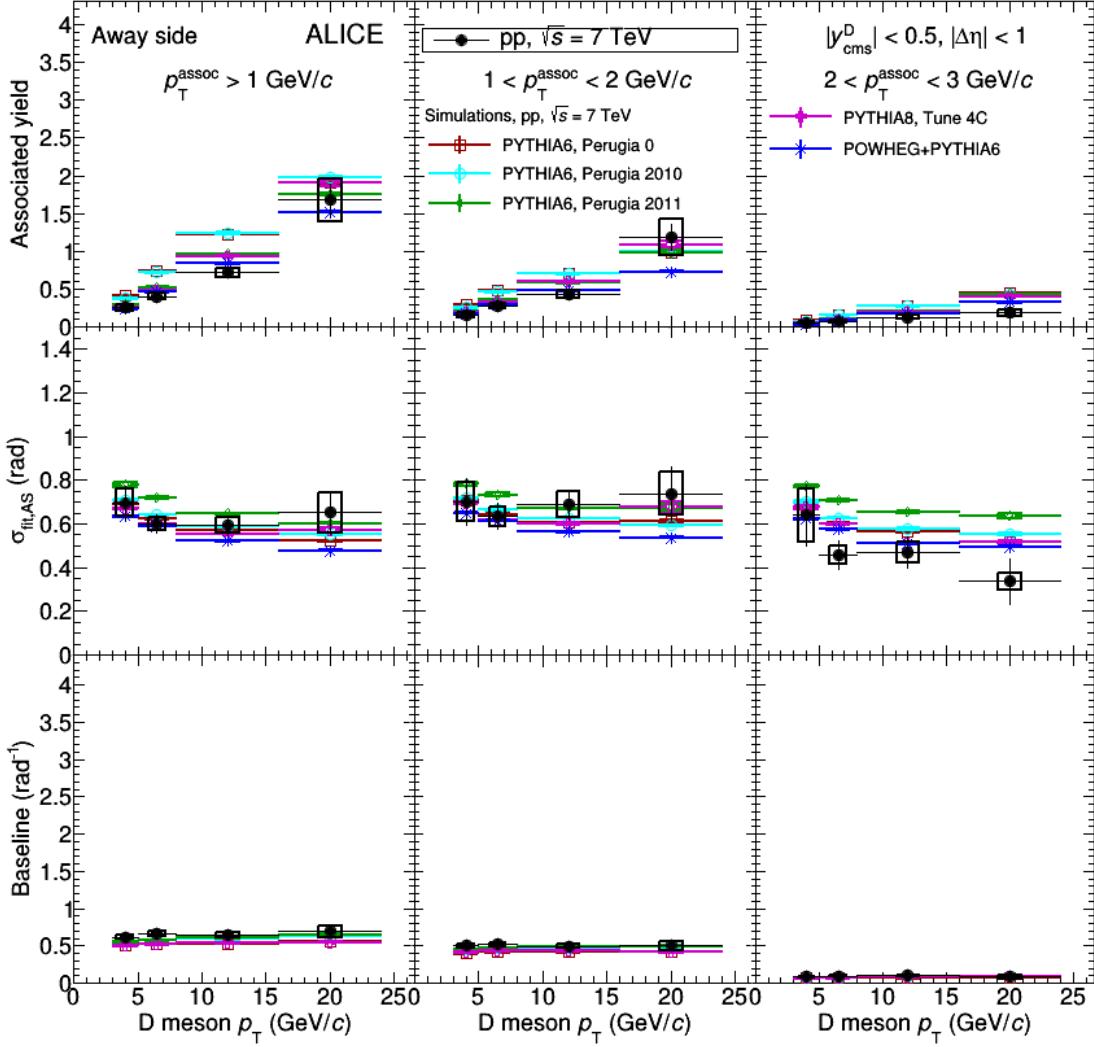


Figure 55: Near-side fit parameters obtained for D-meson averages, extracted from data and simulations different event generators (PYTHIA6, with three tunes, PYTHIA8 and POWHEG+PYTHIA), in the different kinematic ranges analyzed.

743 **6 Bibliography**

744 **References**

- 745 [1] B. Abelev et al. [ALICE Collaboration], JHEP **01** (2012) 128
746 [2] B. Abelev et al. [ALICE Collaboration], Eur. Phys. J. C (2017) 77:245
747 [3] B. Abelev et al. [ALICE Collaboration], Phys.Lett. B719 (2013) 29-41
748 [4] B. Abelev et al. [ALICE Collaboration], Phys.Lett. B726 (2013) 164-177
749 [5] <https://aliceinfo.cern.ch/Figure/node/12130> (ALI-PREL-138003)
750 [6] ALICE Collaboration, arXiv:1609.06643 [nucl-ex]
751 [7] <https://aliceinfo.cern.ch/Notes/node/785>
752 [8] <https://aliceinfo.cern.ch/Notes/node/238>
753 [9] <https://aliceinfo.cern.ch/Notes/node/201>