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# **D**-hadron correlations in pp collisions at $\sqrt{s_{\rm NN}}$ = 5.02 TeV

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#### **Abstract**

In this note, we present the analysis of azimuthal correlations of D mesons and primary charged  $\pi$ ,K,p,e, $\mu$  performed in the ALICE central barrel in pp collisions at  $\sqrt{s_{NN}} = 5.02$  TeV, from 2017 data taking. The analysis is performed in an extended  $p_T$  range and with additional observables with respect to pp 2013 data analysis. After a description of the analysis strategy, corrections and systematic uncertainties, the results obtained for prompt  $D^0$ ,  $D^{*+}$  and  $D^+$  mesons in different ranges of transverse momentum of the D meson and of the associated particles are presented. The results are then compared to perturbative QCD inspired Monte Carlo models and also with pp at 7 and 13 TeV analysis results for the common  $p_T$  ranges as well as with the 2016 p-Pb results.

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#### 4 1 Introduction and Motivation

The study of the azimuthal correlations of heavy-flavour particles and charged particles at the LHC 25 energies provides a way to characterize charm production and fragmentation processes in pp collisions. 26 The measurement also provide a way to probe our understanding of QCD in the perturbative regime, 27 accessible in a large kinematic range given the large mass of heavy quarks. Flavour conservation in 28 QCD implies that charm quarks are always produced as pairs of quarks and anti-quarks. The azimuthal 29 correlations obtained using a meson carrying a heavy quark as trigger particle with the other charged 30 particles in the same event give the possibility to study the underlying charm production mechanism in 31 detail. In particular, prompt charm quark-antiquark pair production is back to back in azimuth at first 32 order in leading-order perturbative-QCD (pQCD). If a hadron from the quark hadronization is taken as 33 trigger particle, a near-side (at  $\Delta \varphi = 0$ ) and an away-side (at  $\Delta \varphi = \pi$ ) peaks would appear in the azimuthal 34 correlation distributions, coming from the fragmentation of the quark pair. Heavy quarks produced from 35 the splitting of a massless gluon can be rather collimated and may generate sprays of hadrons at small 36  $\Delta \varphi$ . Finally, for hard-scattering topologies classified as "flavour-excitation", a charm quark undergoes a 37 hard interaction from an initial splitting  $(g \to c\bar{c})$ , leading to a big separation in rapidity of the hadrons 38 originating from the antiquark (quark) with respect to the trigger D meson and contribute to a rather flat term to the  $\Delta \varphi$ -correlation distribution. 40

In the following note, we first describe the analysis strategy for the pp 2017 data sample at  $\sqrt{s} = 5$  TeV in all its steps, followed by the list of analysis corrections and the estimation of systematic uncertainties. Finally the results of  $\Delta \varphi$  correlations, and quantitative observable extracted to fits to those distributions, obtained for prompt D<sup>0</sup>, D<sup>+</sup> and D<sup>\*+</sup> in different ranges of transverse momentum for the D-meson (trigger particle) and the associated particles are presented.

The extension of the momentum ranges (both for D mesons and associated particles) with respect to the 46 2010 and 2016 pp datasets (at  $\sqrt{s} = 7$  TeV and  $\sqrt{s} = 13$  TeV, respectively), as well as the improved 47 precision in the common ranges allow a more thorough investigation of the charm quark fragmenta-48 tion properties (multiplicity of tracks as a function of momentum, geometrical profile of charm jets,  $p_T$ distribution of the tracks inside the jet). This can also allow us to put better constraints of charm frag-50 mentation and charm jet properties provided by models. Furthermore, 2017 pp data sample allows us a 51 direct and more reasonable comparison with 2016 p-Pb data, since it has the same center-of-mass energy 52 and, thanks to the higher precision and statistics, it was possible to exploit the azimuthal correlations in 53 the same (extended and more differential) pT ranges of both the trigger and the associated particle of the 54 2016 p-Pb data sample (with the exclusion of the  $p_T > 3$ GeV range only for the associated tracks). This 55 new pp reference data, together with new p-Pb 2016 data will help to study cold nuclear matter effects 56 affecting the charm fragmentation in p-Pb with better precision. In addition, this new pp data can also be 57 used as solid and precise references in view of an analysis on a Pb-Pb sample at the same energy, which 58 will be taken during the last weeks of 2018 data taking.

#### 2 Data/Monte Carlo samples and event selection

- The data used for the analyses were the AOD samples of the following four datasets: LHC17p\_FAST, LHC17p\_CENT\_woSDD, LHC17q\_FAST and LHC17q\_CENT\_woSDD (in all the cases, exploiting the pass1 reconstruction). The reason for choosing these data samples (in particular, those without the drifts for the CENT cluster) is explained later on, in this section. Exactly as done in the p—Pb 2016 data sample, also split in a similar fashion, it was verified, by looking at D-meson and associated charged track  $\eta$  and  $\varphi$  distributions, and at the mixed-event correlation distributions for each sub-samples, that no visible differences is present for the four periods (though the 17q samples taken alone suffer from very large statistical uncertainties), hence it was possible to perform the analysis directly on the merged samples without any bias.
- 70 The Monte Carlo productions adopted for this study were:
- 1. LHC18a4a2\_fast, a HF production (HIJING with GEANT3) anchored to LHC17p,q with enrichment of heavy quarks (charm and beauty) in each of the event, produced by PYTHIA6 with Perugia2011 tune, and with forced hadronic decays of the charmed hadrons. This production was used for D-meson efficiency evaluation, purity estimation and Monte Carlo closure test.
- 2. LHC17l3b\_fast, minimum-bias sample produced with DPMJET generator, used for the evaluation
   of the tracking efficiencies.
- Table 1 shows the list of runs used for the analysis, for each of the data taking periods, and of the Monte Carlo productions used to evaluate the corrections:

The trigger mask request for the event selection is kINT7. Only events with a reconstructed primary vertex within 10 cm from the centre of the detector along the beam line are considered. This choice max-80 imises the detector coverage of the selected events, considering the longitudinal size of the interaction 81 region, and the detector acceptances, without introducing sizeable  $\eta$  dependencies for the reconstruction 82 efficiencies in the considered pseudorapidity ranges. Beam-gas events are removed by offline selections based on the timing information provided by the V0 and the Zero Degree Calorimeters, and the 84 correlation between the number of hits and track segments in the SPD detector. This is automatically 85 performed in the Physic Selection, a positive outcome of which is required during our event selection. 86 The pile-up cuts for out-of-bunch pile-up protection are also invoked when calling the Physics Selec-87 tion task. The minimum-bias trigger efficiency is 100% for events with D mesons with  $p_T > 1 \text{ GeV}/c$ . For the analyzed data samples, the probability of pile-up from collisions in the same bunch crossing is 89 below 2% per triggered event (in most of the runs, well below 1%). Events in which more than one 90 primary interaction vertex is reconstructed with the SPD detector are rejected (via a call to AliRDHF-91 Cuts::kRejectMVPileupEvent method with default parameters), which effectively removes the impact of 92 pile-up events on the analysis. Out-of-bunch tracks are also effectively rejected by the Physics Selection 93 pile-up cuts, and also by the request of at least one point in the SPD, which has a very limited time acquisition window (300 ns). 95

Since data collected during pp 2017 data taking are distinguished into two categories - one including SDD detector (CENT\_wSDD sample) and the second one without the SDD in the reconstruction, or 97 in the acquisition (CENT\_woSDD and FAST samples, respectively), a study of performance of the D-98 hadron correlation analysis with respect to the data samples employed has been carried out for D\*+ and 99 D<sup>+</sup> mesons (more sensitive to the presence of the SDD w.r.t. the D<sup>0</sup>, due to their reconstruction from 100 three decay tracks), very similar of what was done for the p-Pb 2016 data sample (refer to p-Pb 2016 101 analysis note at [7]), reaching exactly the same conclusion, of a more solid analysis being obtained using 102 more uniform samples (FAST and CENT\_woSDD), at the price of a slight reduction of the statistical 103 precision. 104

### 3 Analysis strategy

The analysis follows the same strategy one used in 2016 p-Pb and pp data samples (see published paper [2] and analysis notes [8], [7]). Correlation pairs are formed by trigger particles (D mesons) reconstructed and selected in the following  $p_{\rm T}^{\rm trig}$  ranges:  $3 < p_{\rm T}^{\rm trig} < 5~{\rm GeV/c}$ ,  $5 < p_{\rm T}^{\rm trig} < 8~{\rm GeV/c}$ ,  $8 < p_{\rm T}^{\rm trig} < 16~{\rm GeV/c}$ ,  $16 < p_{\rm T}^{\rm trig} < 24~{\rm GeV/c}$  (the possibility of extending the analysis in  $2 < p_{\rm T}^{\rm trig} < 3$  was also exploited. Further details are furnished in the next paragraph). Associated particles (charged tracks) have been reconstructed in the following  $p_{\rm T}^{\rm assoc}$  regions:  $p_{\rm T}^{\rm assoc} > 0.3~{\rm GeV/c}$ ,  $0.3 < p_{\rm T}^{\rm assoc} < 1~{\rm GeV/c}$ ,  $p_{\rm T}^{\rm assoc} > 1~{\rm GeV/c}$ ,  $p_{\rm T}^{\rm assoc} < 1~{\rm GeV/$ 

The analysis is performed through the following steps:

- 1. **D** meson selection and signal extraction. For each single event, "trigger" particles are defined as the selected D meson candidates (D<sup>0</sup>, D<sup>+</sup> and D\*<sup>+</sup>) within a given  $p_T^{\text{trig}}$  range. The detection strategy for D mesons at central rapidity is the same performed for the analyses of the D-meson production at central rapidity [1], and also applied for the D-h analysis on 2010 pp, 2016 pp and 2016 p-Pb samples ([8], [7]). It is based on the reconstruction of decay vertices displayed from the primary vertex by a few hundred  $\mu$ m and on the identification of the decay-particle species. The identification of the charged kaon and pion in the TPC and TOF detectors is also used, to further reduce the background at low  $p_T$ . An invariant-mass analysis is then used to extract the raw signal yield, using the same fit functions described in [2]. The D mesons are selected in the rapidity range varying from |y| < 0.5 at low  $p_T$  to |y| < 0.8 for  $p_T > 5$  GeV/c.
- 2. Correlation of D candidates with associated tracks. Particle pairs are formed by correlating each trigger particle with the charged primary particles passing the track selection (excluding those coming from the decay of the D-meson candidate) in a specified  $p_T^{assoc}$  interval (which can overlap with the  $p_T^{trig}$  range) and in the pseudo-rapidity range  $|\eta| < 0.8$ . For the  $D^0$  meson, also the low-momentum pion tracks from feed-down of  $D^{*+}$  mesons are removed via  $3\sigma$  invariant mass cut on the  $M(K\pi\pi) M(K\pi)$  difference. This because these soft pion are not related to the charm quark fragmentation chain. For D meson candidates in the invariant mass signal region, defined by a  $\pm 2\sigma$  interval around the D meson mass peak, the azimuthal angle difference  $\varphi^{assoc} \varphi^{trigg} \equiv \Delta \varphi$  and the pseudorapidity difference  $\eta^{assoc} \eta^{trig} \equiv \Delta \eta$  are evaluated and stored to build two-dimensional correlation distribution.
- 3. Correction for limited acceptance and detector inhomogeneities with Event Mixing The angular correlation distribution may be affected, even for uncorrelated pair of particles, by structures not due to physical effects, but originating from the limited detector acceptance, as well as from angular inhomogeneities in the trigger and track reconstruction efficiencies as a function of  $\Delta \varphi$  and  $\Delta \eta$ . Effects of this kind are removed using the Event Mixing technique. In this technique, the analysis is executed on the same data sample of the standard one (called "same event" analysis,

SE), but the trigger particles found in each event are correlated to charged particles reconstructed in different events ("Mixed Events" analysis, ME) with similar characteristic, in particular concerning the event multiplicity and z position of the primary vertex (see Section 3.3.1).

The differential yield of associated particles per trigger particle is obtained by

$$\frac{1}{N_{\rm trig}} \frac{\mathrm{d}^2 N^{\rm pair}}{\mathrm{d}\Delta \eta \, \mathrm{d}\Delta \varphi} = B_{ME}(0,0) \times \frac{S(\Delta \eta, \Delta \varphi)}{B_{ME}(\Delta \eta, \Delta \varphi)}, \tag{1}$$

where  $N^{\text{pair}}$  is the total number of correlated D-hadron pairs. The functions  $S(\Delta\eta, \Delta\varphi)$  and  $B_{ME}(\Delta\eta, \Delta\varphi)$  are the signal and the mixed event background distributions, respectively. The later is normalized to its value in  $(\Delta\eta, \Delta\varphi) = (0,0)$ , i.e. (B(0,0)). Further details on the mixed-event correction are provided in the next section.

- 4. Subtraction of background correlation from signal distribution. The invariant mass signal region also includes background D-meson candidates. Their contribution to the raw correlation distribution is subtracted as follows. For each  $p_T$  bin, the mean and the sigma of the invariant mass spectrum are extracted. For  $D^0$  and  $D^+$ , a "background" region is defined in the sidebands of the mass distribution as the interval 4  $GeV/c^2 < |m-m^{pdg}| < 8 \ GeV/c^2$  (for the  $D^{*+}$  meson, only the right sideband is used). The angular correlation distribution for background candidates in this region is extracted and normalized with respect to the background in the signal region estimated from the mass fit. This normalized background correlation distribution is then subtracted from the raw signal one to obtain the signal correlation distribution. The normalization factor is the ratio of the number of background candidates under the signal peak (obtained by integrating the background of the fit function within the signal region) over the number of background candidates in the sidebands (obtained via bin-counting in the sideband region). An example of the signal region, sideband and sideband-subtracted 1D correlation distributions (along  $\Delta \varphi$ ) is shown in figure 1, together with the comparison of the three distributions after the normalization to the number of triggers.
- 5. Correction for D meson efficiency and associated track efficiency. After filling the signal and background correlation distributions, it is necessary to take into account also for the correlations with tracks, those are not reconstructed, or not passing the quality selection due to poor reconstruction. In the same way, the loss of D-mesons which are not reconstructed, or do not pass the selection, impacts the correlation distribution shape. Hence, each pair is weighted by the inverse of the product of the associated track and D meson reconstruction efficiency,  $\varepsilon_{trk}$  and  $\varepsilon_{trig}$ . Further details are provided later on in this section.
- 6. Projection in  $\Delta \varphi$ . The limited statistics available does not allow to study the two dimensional  $(\Delta \eta, \Delta \varphi)$  distribution, which is therefore projected to the  $\Delta \varphi$  axis by integrating on  $|\Delta \eta| < 1$ . Despite, in principle, our maximum  $\Delta \eta$  acceptance is of  $|\Delta \eta| < 1.6$ , removing the large  $|\Delta \eta|$  regions allow us to reject angular regions with very low statistics, where fluctuations would be amplified by a large mixed-event correction, and avoid the so-called wings effect.
  - As the difference in the azimuthal angle is periodic ( $\Delta \varphi = 0 = 2\pi$ ), the  $\Delta \varphi$ -range is limited to the essential range of  $2\pi$ . The  $\Delta \varphi$ -limits are chosen to be  $[-\pi/2, 3\pi/2]$  in order to provide a good visibility of the correlation pattern, which peaks around 0 and  $\pi$ .
- 7. Correction for the contamination of secondary particles The DCA to primary vertex cut, applied during the associated track selection, has the role of removing the secondary particles from the associated track sample. Secondary particles are indeed produced either from long-lived strange hadrons or from interaction of particles with the detector material. A residual contamination from secondary tracks is hence expected in the correlation distributions. This contamination

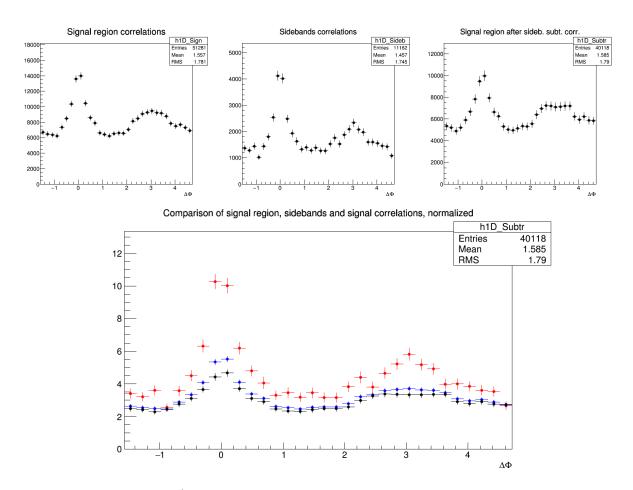


Figure 1: Top: Example of  $D^{*+}$ -h signal region (left), sideband (middle), and signal minus sideband (right) correlation distributions. Bottom: signal region per-trigger normalized correlation distribution (blue), sideband region per-trigger normalized correlation distribution (red), background-subtracted per-trigger normalized correlation distribution (black).

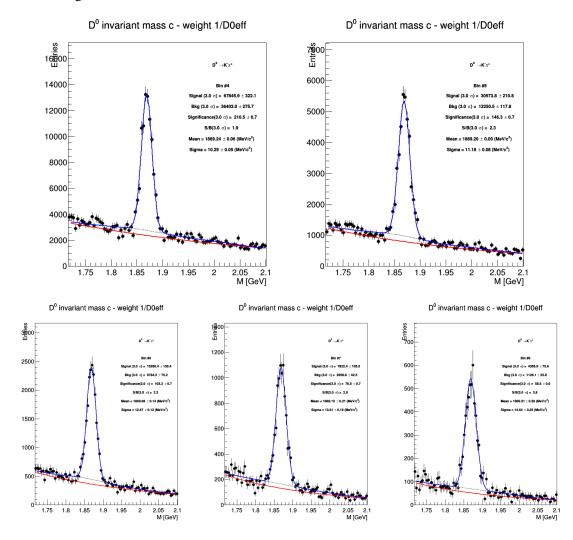
is estimated from Monte Carlo simulation based on Pythia as described more in detail in the next section. The background-subtracted event-mixing corrected correlations are multiplied by a purity factor to encounter this contribution.

- 8. Correction for bias on B to D decay topologies The presence of the topological cuts for the D-meson selection indirectly induce a bias on the topology of the B to D decay topologies, favouring cases with a small opening angle between the D-meson and the other tracks from the B decay. This affects the feed-down component of the data correlation distributions. This effect is corrected for with a procedure described in the subsection 3.3.3.
- 9. Correction for feed-down of D meson from b-hadron decay
  for the D meson candidates selection enhances the fraction of reconstructed D mesons coming
  from the decay of a b-hadron. Typical values, with the cuts used for the D-meson selection, are of
  the order of 10% or less. The correlation distribution of these secondary D mesons will be sensitive to the properties of beauty jets and beauty hadron decay, which in general differ from those
  relative to charm jets and hadrons. The procedure used to subtract this contribution is described in
  the next paragraphs of this section.
- 10. **Study of correlation properties.** The properties of the azimuthal correlation distribution are quantified by fitting the distribution with a function composed of two Gaussian functions, modelling the near and the away side peaks, and a constant term describing the baseline. The mean of the

Gaussian are fixed at  $\Delta \varphi = 0$  and  $\Delta \varphi = \pi$ . To accomplish the  $2\pi$  periodicity of the  $\Delta \varphi$  variable, the Gaussian functions are "duplicated" with mean shifted by  $\Delta \varphi = 2\pi$  and  $\Delta \varphi = -2\pi$ . The fitting procedure is described in details in Section 5.

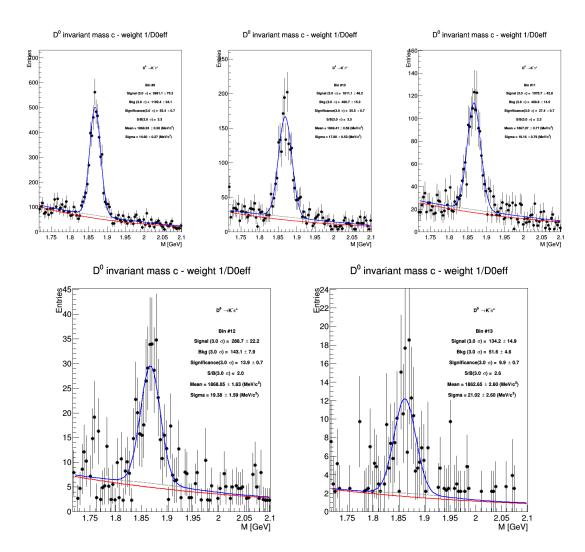
### 3.1 Mass plots and cut optimization

The invariant mass distributions of  $D^0$ ,  $D^{*+}$  and  $D^+$  in the various  $p_T$  ranges are shown in Figs. 2, 3, Figs. 4, 5 and Figs. 6, 7 respectively. Note that the distributions are weighted by the D-meson selection and reconstruction efficiency, to allow a correct normalization of the correlation distributions, which have also these weights.

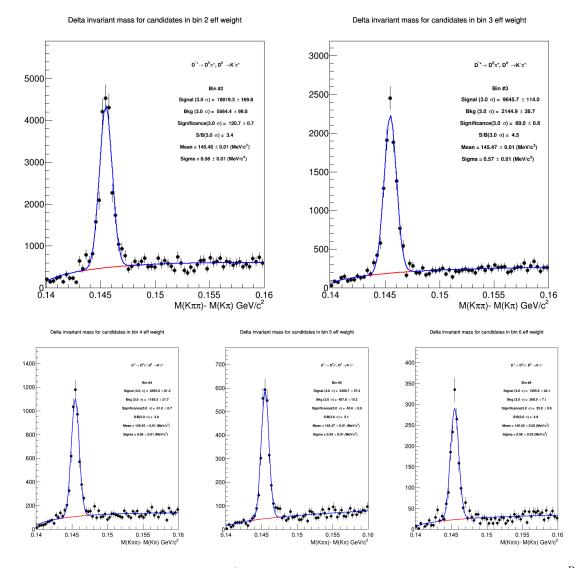


**Figure 2:** Invariant mass distributions of  $D^0$  corrected with efficiency in different  $p_T$  regions. Top:  $3 < p_T^D < 4$  GeV/c (left),  $4 < p_T^D < 5$  GeV/c right), Bottom:  $5 < p_T^D < 6$  GeV/c (left),  $6 < p_T^D < 7$  GeV/c (middle),  $7 < p_T^D < 8$  GeV/c (right).

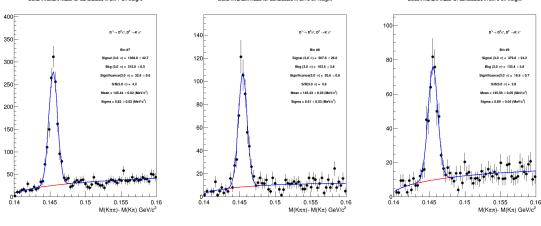
For the D\*+, 3 sets of cuts were compared (the 2016 p-Pb, 2016 pp and the standard D2H 2017 pp cuts). The best performance was obtained with 2016 p-Pb cuts in most of the  $p_T$  bin analysed. Indeed, despite the looser cuts applied from D2H allow to have higher signal, the p-Pb set of cuts assured a better S/B factor without loosing too much signal. This allows us to reduce fluctuations induced by the sideband subraction, that is the limiting factor for the analysis performance. The same holds for the D+, but with the addition of cuts on the normalized decay length in xy plane and of the normalized difference between measured and expected daughter track impact parameters (topomatic cut).



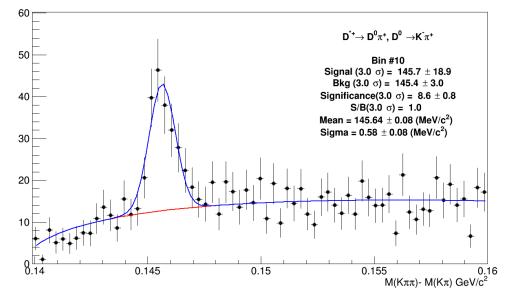
**Figure 3:** Invariant mass distributions of  $D^0$  corrected with efficiency in different  $p_T$  regions. Top:  $8 < p_T^D < 10$  GeV/c,  $10 < p_T^D < 12$  GeV/c (middle),  $12 < p_T^D < 16$  GeV/c (right), Bottom:  $16 < p_T^D < 24$  GeV/c.



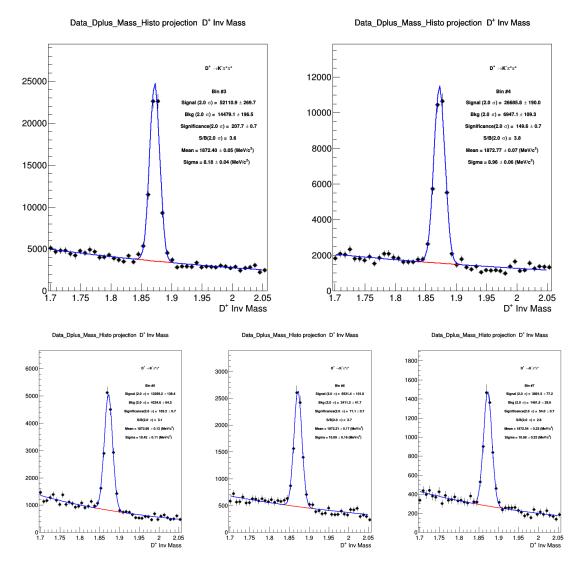
**Figure 4:** Invariant mass distributions of D\*+ corrected with efficiency in different p<sub>T</sub> regions. Top:  $3 < p_T^D < 4$  GeV/c (left),  $4 < p_T^D < 5$  GeV/c right), Bottom:  $5 < p_T^D < 6$  GeV/c (left),  $6 < p_T^D < 7$  GeV/c (middle),  $7 < p_T^D < 8$  GeV/c (right).



## Delta invariant mass for candidates in bin 10 eff weight



**Figure 5:** Invariant mass distributions of D\*+ corrected with efficiency in different p<sub>T</sub> regions. Top:  $8 < p_T^D < 10$  GeV/c,  $10 < p_T^D < 12$  GeV/c (middle),  $12 < p_T^D < 16$  GeV/c (right) and Bottom:  $16 < p_T^D < 24$  GeV/c.



**Figure 6:** Invariant mass distribution of D<sup>+</sup> corrected with efficiency in different p<sub>T</sub> regions. Top:  $3 < p_T^D < 4$  GeV/c (left),  $4 < p_T^D < 5$  GeV/c right), Bottom:  $5 < p_T^D < 6$  GeV/c (left),  $6 < p_T^D < 7$  GeV/c (middle),  $7 < p_T^D < 8$  GeV/c (right);.

A particular cut optimization was instead performed for the  $D^0$  meson. Twelve cut sets were tried, with the goal of increasing the S/B factor, in order to reduce fluctuations induced by the sideband subraction as explained. In Figure 8 the  $D^0$ -h correlation distributions are shown for the different cut sets, in exemplary kinematic regions (5 to 8 GeV/c), together with the bin-by-bin relative statistical uncertainty on the data points. The best cut set (option B, cyan colour) was defined starting from the standard cuts used for the p-Pb 2016 cross section analysis, with a tightened selection on the cosine of the pointing angle, and with the addition of a cut on the normalized decay length in xy plane (from 5 to 3 units with increasing  $p_T^D$  and of a selection on the normalized difference between measured and expected daughter track impact parameters (topomatic cut) at 3 sigma.

#### 3.1.1 Extension to vey-low $p_T$ of D mesons

Thanks to the higher statistic of the 2017 pp data sample, we tried to enlarge our correlation studies to very-low  $p_{\rm T}$  of the trigger particle. Indeed, the Figure 9 shows the good performance on the invariant mass extraction for all the 3 D-mesons with  $2 < p_{\rm T}^{\rm D} < 3~{\rm GeV}/c$ .

The extension of the analysis to this  $p_{\rm T}$  interval is of high interest for the jet structure characterizion. Indeed, this study allow us to investigate a kinematic region in which the trigger particle has compatible or even lower momentum with respect to the associated particles. This give us the possibility to better understand the production processes. In fact, for example, at the Leading Order (LO) for  $p_{\rm T}(trig) \sim p_{\rm T}(ass)$  we don't expect any peak on the near-side region. A peak could arise only from Next-to-Leading-Order production process.

Despite the good statistics, it wasn't enough to perform the correlation analysis. Indeed, the correlation distribution peaks are very small, due to the small energy of the parton, resulting in few tracks being produced in the fragmentation oon top of the D meson. Hence, the correlation peaks were smothened by the baseline fluctuations (of the same order of magnitude of the peak itself), especially on the away-side region, where indeed most of the fit failed.

#### 3.2 Code used for the analysis

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The code used for D meson-hadron correlation analysis is fully committed in AliPhysics. The analysis classes can be found in \$ALICE\_ROOT/PWGHF/correlationHF/. The D meson specific classes where the aforementioned steps are carried out are AliAnalysisTaskDStarCorrelations, AliAnalysisTaskSED0Correlations and AliAnalysisTaskDplusCorrelations. The classes which are common to the D meson specific analysis which includes the associated particle cuts and the correlation observables are AliHFAssociatedTrackCuts, AliHFCorrelator, AliHFOfflineCorrelator, AliReducedParticle and AliDhCorrelationExtraction. Several additional classes and macros in the same folder deal with the correction steps.

#### 3.3 Further details on corrections

#### 262 3.3.1 Event Mixing

The event-mixing technique is used for correcting the raw correlation distribution for effects arising from the detector limited acceptance in rapidity and detector spatial inhomogeneities. The calculation of the Event Mixing correlation distribution is performed online. An event pool is created, where events preceding the one containing a D candidate are stored based on their properties (position of the vertex along the z axis and multiplicity). Each time a D meson candidate is found in an event, only the events contained in the same pool as the event under analysis is used to evaluate the correlations for the event mixing correction.

The multiplicity and z vertex position bins for the pools used in the p-Pb analysis (for both approaches) are the following:

```
- Multiplicity bins: (0,20); (20,35); (35,+\infty)
- Vertex z (cm) = (-10,-2.5); (-2.5,2.5); (2.5,10)
```

In an ideal case, the mixed event distribution is expected to have a constant flat distribution as function of  $\Delta \varphi$  and a triangular shaped distribution in  $\Delta \eta$  deriving from the limited  $\eta$  acceptance of the detector. In case, instead, of detector inefficient regions, or holes, in the same angular position for D meson and associated tracks, these structures produce an excess of correlations at  $\Delta \varphi = 0$  in the  $\Delta \varphi$  distribution, plus possibly other structures depending on the relative position of the inefficient regions and on their number. The mixed-event distribution is used as a weight in each correlation bin, i.e, the corrected correlation distribution is calculated as follows:

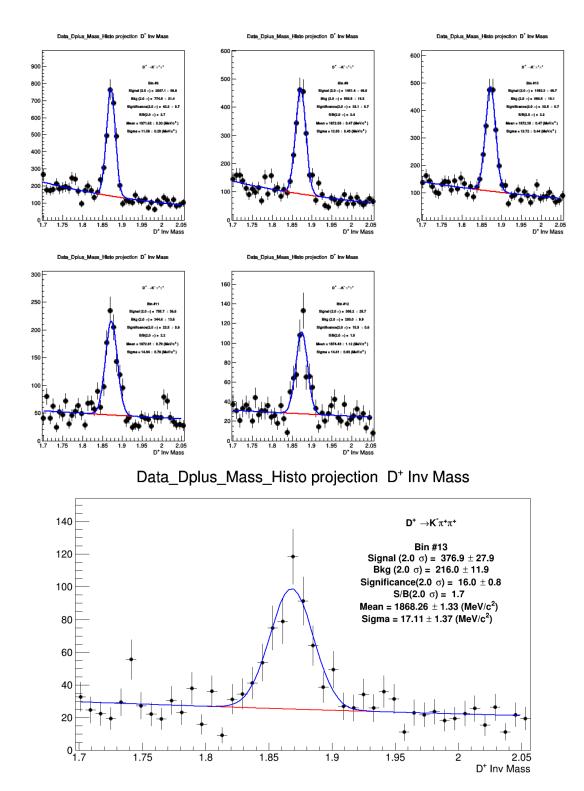
$$\frac{dN^{corr}\left(\Delta\varphi\Delta\eta\right)}{d\Delta\varphi d\Delta\eta} = \frac{\frac{dN^{SE}\left(\Delta\varphi\Delta\eta\right)}{d\Delta\varphi d\Delta\eta}}{\frac{dN^{ME}\left(\Delta\varphi\Delta\eta\right)}{d\Delta\varphi d\Delta\eta}} \frac{dN^{ME}\left(0,0\right)}{d\Delta\varphi d\Delta\eta} \tag{2}$$

In Eq.2, the last term stands for the average of the bins in the region  $-0.2 < \Delta \eta < 0.2$ ,  $-0.2 < \Delta \phi < 0.2$  (multiple bins are used to minimize the effect of statistical fluctuations on the normalization of the mixed-event plots). This kind of normalization, adopted in the analysis of hadron-hadron correlations, relies on the fact that at  $(\Delta \eta, \Delta \phi) = (0,0)$  the trigger and associated particle experience the same detector effects. In the D meson case this is true only on average and not at very low  $p_T$ , since D mesons are reconstructed from particles that can go in different detector region. However,  $(\Delta \eta, \Delta \phi) = (0,0)$  is in any case the region with maximum efficiency for the pairs (both correlated and uncorrelated). Thus the same convention was adopted.

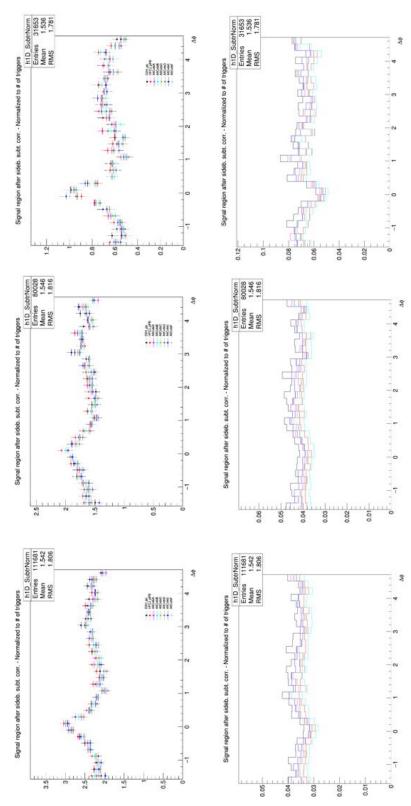
The mixed-event correlation distributions are built in both D meson signal and sideband regions. Both are corrected with the relative distributions. An example of the mixed-event distributions, and of the outcome of the mixed-event correction, is provided in Figures 10 and 11. The expected triangular shape in  $\Delta\eta$ , for the mixed-event distributions, addresses the effect of the limited detector pseudo-rapidity acceptance. Note that the mixed-event distribution is limited to the interval  $|\Delta\eta| < 1$ : the decision to limit the mixed-event correction, and thus the whole analysis, to this range was taken in order to avoid the so-called "wing effect", i.e. the wing-like structures arising in the correlation distribution at large  $\Delta\eta$  due to the limited filling of the correlation bins in that region.

Type	Production	Run list	nEvents
Monte-	LHC18a4a2_fast (c/b enriched)	282343, 282342, 282341, 282340, 282314,	23M
Carlo	[GEANT3]	282313, 282312, 282309, 282307, 282306,	
		282305, 282304, 282303, 282302, 282247,	
		282230, 282229, 282227, 282224, 282206,	
		282189, 282147, 282146, 282127, 282126,	
		282125, 282123, 282122, 282120, 282119,	
		282118, 282099, 282098, 282078, 282051,	
		282050, 282031, 282025, 282021, 282016,	
		282008,282367, 282366, 282365	
		= [44 runs]	
	LHC17l3b_fast (Minimum Bias	282008, 282016, 282021, 282025, 282031,	23M
	sample) [GEANT3]	282050, 282051, 282078, 282098, 282099,	
		282118, 282119, 282120, 282122, 282123,	
		282125, 282126, 282127, 282146, 282147,	
		282189, 282206, 282224, 282227, 282229,	
		282230, 282247, 282302, 282303, 282304,	
		282305, 282306, 282307, 282309, 282312,	
		282313, 282314, 282340, 282341, 282342,	
		282343, 282365, 282366, 282367	
		= [44 runs]	
Data	LHC17p_pass1_FAST	282343, 282342, 282341, 282340, 282314,	985M
		282313, 282312, 282309, 282307, 282306,	total
		282305, 282304, 282303, 282302, 282247,	
		282230, 282229, 282227, 282224, 282206,	
		282189, 282147, 282146, 282127, 282126,	
		282125, 282123, 282122, 282120, 282119,	
		282118, 282099, 282098, 282078, 282051,	
		282050, 282031, 282025, 282021, 282016,	
		282008 = <b>[41 runs</b> ]	
	LHC17p_pass1_CENT_woSDD	282343, 282342, 282341, 282340, 282314,	
		282313, 282312, 282309, 282307, 282306,	
		282305, 282304, 282303, 282302, 282247,	
		282230, 282229, 282227, 282224, 282206,	
		282189, 282147, 282146, 282127, 282126,	
		282125, 282123, 282122, 282120, 282119,	
		282118, 282099, 282098, 282078, 282051,	
		282050, 282031, 282030, 282025, 282021,	
		282016, 282008 = <b>[42 runs]</b>	
	LHC17q_pass1_FAST	282367, 282366, 282365 = [ <b>3 runs</b> ]	
	LHC17q_pass1_CENT_woSDD	282367, 282366, 282365 = [ <b>3 runs</b> ]	
	Z.T.C.T.(q-pass1_CDT(T_WOSDD	202007, 202000, 202000 - [8 1 4115]	

Table 1: Data Set and Run list

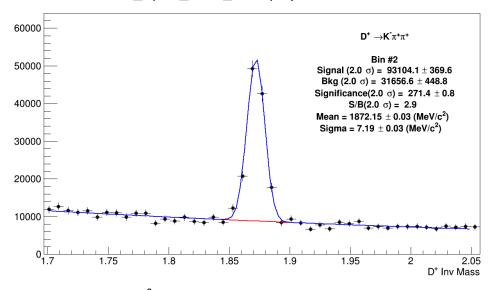


**Figure 7:** Invariant mass distribution of D<sup>+</sup> corrected with efficiency in different p<sub>T</sub> regions. Top:  $8 < p_T^D < 10$  GeV/c,  $10 < p_T^D < 12$  GeV/c (middle),  $12 < p_T^D < 16$  GeV/c (right) and Bottom:  $16 < p_T^D < 24$  GeV/c.

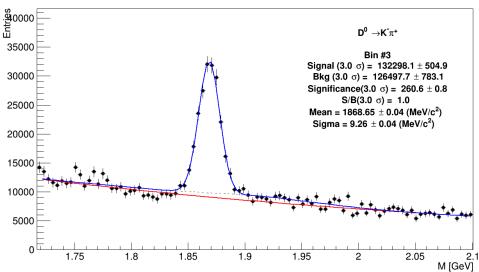


**Figure 8:** D<sup>0</sup>-h correlation distributions with different cut options (top) and point-by-point relative statistical uncertainty (bottom) for  $5 < p_{\rm T}^{\rm D} < 8 {\rm GeV}/c$  for associated track  $p_{\rm T} > 0.3 {\rm GeV}/c$  (left),  $03 < p_{\rm T} < 1 {\rm GeV}/c$  (middle),  $p_{\rm T} > 1 {\rm GeV}/c$  (right)

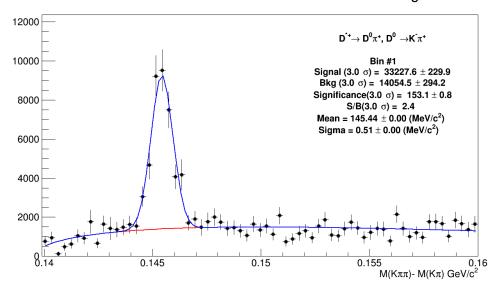
## Data\_Dplus\_Mass\_Histo projection D+ Inv Mass



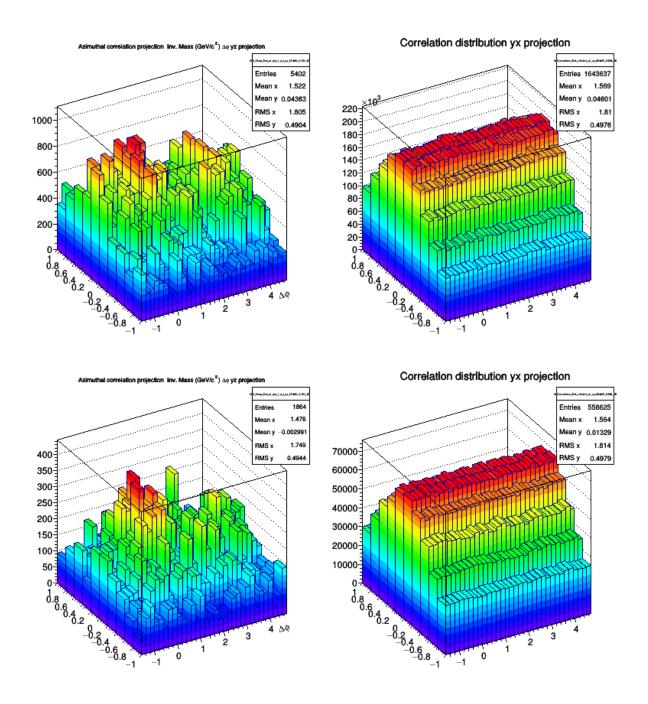
## D<sup>0</sup> invariant mass c - weight 1/D0eff



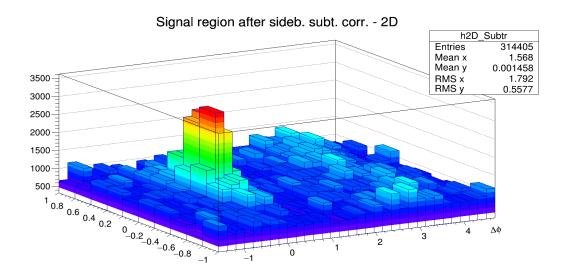
#### Delta invariant mass for candidates in bin 1 eff weight



**Figure 9:** Invariant mass distribution of D<sup>+</sup> (top), D<sup>0</sup> (mid) and D<sup>\*+</sup> (bottom) corrected with efficiency for  $2 < p_T^D < 3$ .



**Figure 10:** D\*+ meson  $(\Delta \varphi, \Delta \eta)$  correlation for in the signal region (top row) and sidebands (bottom row) from pool1, for Single Event (left) and Mixed Event analysis (center) for high  $p_T$ :  $3 < p_T < 5$  GeV/c with associated  $p_T > 0.3$  GeV/c. The right column shows the SE/ME corrected distributions.



**Figure 11:**  $(\Delta \varphi, \Delta \eta)$  correlation distribution of  $D^{*+}$ -h with  $8 < p_T < 16$  GeV/c and associated track  $p_T$  Threshold:  $p_T > 0.3$  GeV/c, after the mixed-event correction.

### 3.3.2 Tracking and D-meson trigger efficiency

(i) **Tracking efficiency** - The tracking efficiency was calculated by obtaining the ratio between the yield at the reconstructed level and generated level, for a defined "type" of particles (in our case non-identified particles) and it is estimated differentially in  $p_T$ ,  $\eta$ , and  $z_{vtx}$  of the charged particles.

Tracking efficiency maps were produced as TH3D histograms ( $p_T$ ,  $\eta$ ,  $z_{vtx}$ ) obtained from MC analysis on the minimum-bias samples LHC17l3b\_fast and LHC17l3b\_cent\_woSDD anchored to LHC17p,q data samples, considering only primary pions, kaons, protons, electrons and muons, and applying at reconstructed level the track selections (summarized in Table 2). These efficiency maps were used in the analysis tasks to extract single track efficiencies; each correlation pairs found in the data analysis was inserted in correlation plots with a weight of **1/efficiency value**. The 1D ( $p_T$  dependence) tracking efficiency for all the five species as well as the tracking efficiency specie by specie are shown in Fig. 12.

Details of cuts at event level and particle/track selection at different steps are listed in Table 2.

MC Generated	
Stages	Cuts
1.MC Part with Generated Cuts	After Event Selection
	Charge
	PDG Code
	Physical Primary
2. MC Part with Kine Cuts	Kinematics Cuts
	$-0.8 < \eta < 0.8$
	$p_{\rm T} > 0.3  ({\rm GeV}/c)$
MC Reconstructed	
4. Reco tracks	After Event Selection
4. Reco tracks	Physical Primary
5. Reco tracks with Kine Cuts	Kinematics Cuts
5. Reco tracks with Kine Cuts	$-0.8 < \eta < 0.8$
	$p_{\rm T} > 0.3  ({\rm GeV}/c)$
6. MC true with Quality Cuts	Quality Cuts
er and many Quantity of the	SetRequireSigmaToVertex(kFALSE)
	SetDCAToVertex2D(kFALSE)
	SetMinNCrossedRowsTPC(70)
	SetMinRatioCrossedRowsOverFindableClustersTPC(0.8)
	SetMinNClustersITS(2)
	SetMaxChi2PerClusterTPC(4)
	SetMaxDCAToVertexZ(1)
	SetMaxDCAToVertexXY(1)
	SetRequireTPCRefit(TRUE)
	SetRequireITSRefit(FALSE)
7. Reco tracks with Quality Cuts	Same as step 6

**Table 2:** The list of event and particle/track selection cuts used in the estimation of single track efficiency

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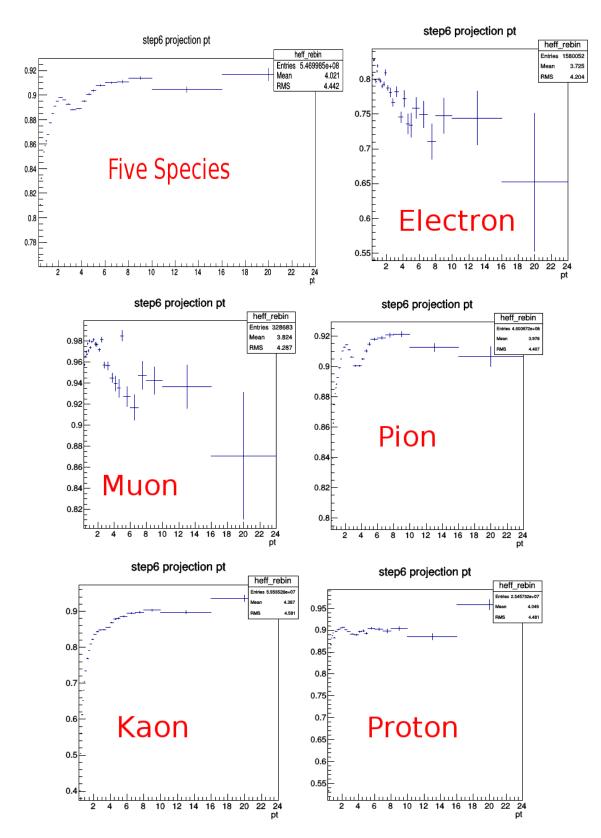
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(ii) **D** meson efficiency - Due to limited statistics, the correlation analysis is performed in quite wide  $p_T$  bins and in each of them the reconstruction and selection efficiency of D mesons is not flat, in particular in the lower  $p_T$  region. We correct for the  $p_T$  dependence of the trigger efficiency within each  $p_T$ -bin.

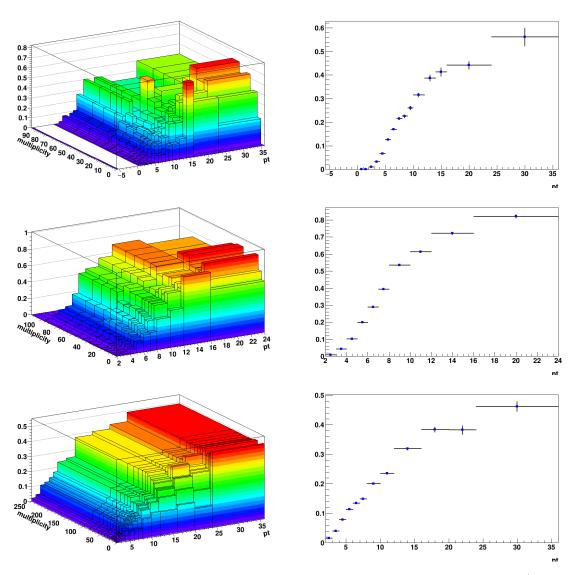
This correction is applied online, by using a map of D meson efficiency as a function of  $p_{\rm T}$  and event multiplicity (in terms of SPD tracklets in  $|\eta|<1$ ) extracted from the enriched Monte Carlo sample LHC18a4a2\_fast. The  $\eta$  dependence was neglected due to the statistics of the available Monte Carlo sample, which rule out the possibility of performing a 3D study.

To properly count the number of trigger particles used to normalize the correlation distributions,  $N_{\text{trig}}$ , each D meson is weighted with the inverse of its efficiency in the invariant mass distribution. The main role of the correction for the D meson efficiency is to account for the  $p_{\text{T}}$  dependence of the correlation distribution within a given D meson  $p_{\text{T}}$  interval. Indeed, only the  $p_{\text{T}}$  shape of the D meson efficiency within the correlation  $p_{\text{T}}^{\text{trig}}$  ranges is relevant while the average value in the  $p_{\text{T}}$  range is simplified due to the normalization of the correlation distribution to the number of trigger particles.

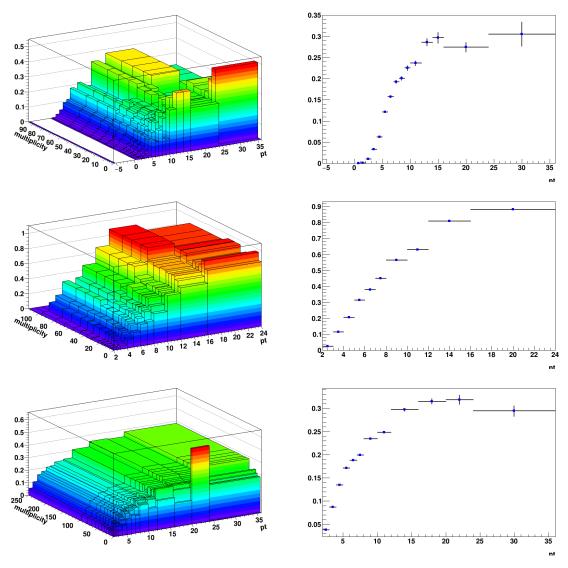
Efficiency plots for  $D^0$ ,  $D^+$  and  $D^{*+}$  mesons are shown in Figs. 13 and 14, for prompt and feed-down D mesons, respectively.



**Figure 12:** 1D (vs  $p_T$ ) tracking efficiency map for standard track selection, evaluated for five species (electron, muon, pion, kaon and proton) and also different species using data sample LHC17l3b\_fast.



**Figure 13:** Top panel:  $(p_T$ , multiplicity) dependence (left) and  $p_T$  dependence (right) of prompt  $D^+$  meson efficiency. Mid panel:  $(p_T$ , multiplicity) dependence (left) and  $p_T$  dependence (right) of prompt  $D^{*+}$  meson efficiency. Bottom panel:  $(p_T$ , multiplicity) dependence (left) and  $p_T$  dependence (right) of prompt  $D^0$  meson efficiency.



**Figure 14:** Top panel:  $(p_T$ , multiplicity) dependence (left) and  $p_T$  dependence (right) of feed-down  $D^+$  meson efficiency. Mid panel:  $(p_T$ , multiplicity) dependence (left) and  $p_T$  dependence (right) of feed-down  $D^{*+}$  meson efficiency. Bottom panel:  $(p_T$ , multiplicity) dependence (left) and  $p_T$  dependence (right) of feed-down  $D^0$  meson efficiency.

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#### Correction for bias on B to D decay topologies

To verify the consistency of the analysis chain and of the corrections applied to the correlation distribu-327 tions extracted from data, a Monte Carlo closure test was setup and tried on the D<sup>0</sup>-h analysis. 328

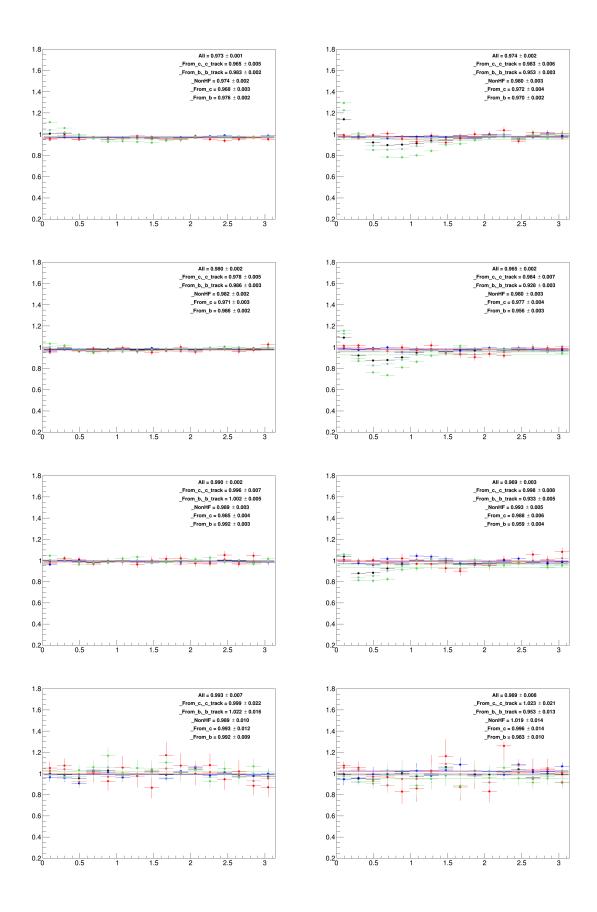
On the Monte Carlo enriched with charm and beauty quarks (LHC18a4a2\_fast, with GEANT3), the 329 correlation analysis was performed both at kinematic level and at reconstructed level. At kinematic 330 level, only acceptance cuts were applied on the D mesons and the associated particles, using the Monte 331 Carlo information for the identification of the D mesons and the hadrons in the event and rejecting the 332 non-primary particles. At reconstructed level, the analysis was performed as if it were executed on data, applying the event selection, the acceptance cuts for D mesons and the associated particles, selecting the 334 D meson candidates with filtering cuts on their daughters, topological cuts and PID selection, and then 335 keeping only the true D mesons by matching with the Monte Carlo truth; non-primary particles were 336 rejected by means of the DCA selection. Event mixing correction was applied both at reconstructed and 337 at kinematic level, where it takes into account just the effects of the acceptance cuts. In addition, at reconstructed level, the efficiency corrections for D mesons and associated tracks were also applied.

The consistency check was performed to verify whether, after having applied all the corrections to the azimuthal correlation plots at reconstructed level, the results were compatible with the ones at kinematic level. Hence, the ratios of fully corrected reconstructed plots over kinematic plots were evaluated in all the  $D^0$   $p_T$  bins and for the various  $p_T$  thresholds for the associated tracks, separating the contributions for the different origins of particles and triggers. The ratios, shown in Figure 15 for exemplary kinematic regions (covering anyway the full span of the measurement), denote a good compatibility with 1, within the uncertainties, of the average reconstructed over generated ratios, in particular for the all D-non HF track case (blue curves), apart from small downward deviations at low  $p_T$ , which will be cured with a 2-3% asymmetric systematic uncertainty, as also previously done in the pp 2010 and p-Pnb 2013 analyses.

The major exceptions to the previous conclusion are clearly the structures in the near side region for the beauty origin case. It was verified that these structures are induced by our topological selection for the D 350 mesons. Indeed, in cases in which the D meson triggers come from B hadrons, applying the topological 351 cuts (especially the cosine of the pointing angle) tends to favour cases with a small angular opening 352 between the products of the B hadron decay (i.e. the D meson trigger itself and other particles), with respect to cases where the B decay particles are less collinear.

In the Monte Carlo closure test, this situation is reflected in the correlation distributions at reconstructed level, where the topological selection is applied, while it does not occur at kinematic level. Hence, in the reconstructed/kinematic ratio, the distribution would show an excess for  $\Delta \varphi = 0$  (due to the favoured decays with small opening angle), which is then compensated by a depletion for larger values of  $\Delta \phi = 0$ (corresponding to B decays with larger angles, which are disfavoured). These structures are prominent at low  $D^0$   $p_T$ , where the topological cuts are tighter, and tend to disappear at higher  $p_T$ , where the selections are released. They are also larger in the higher associated track  $p_T$  ranges, where the fraction of B-hadron decay tracks dominate the overall correlation distributions.

The data correlation distribution need to be corrected for this bias, and in particular for the enhancement 363 of b-origin correlation pairs at the centre of the near side region, which would influence the near-side 364 peak features. In order to do this, the amount of the b-origin excess is evaluated from the Reco/Kine 365 ratio, by considering the b-D<sup>0</sup>-all tracks case (dark green points). The excess at Reco level (affecting data) is quantified as a  $\Delta \varphi$  modulation **modul** for the five points an each side of the  $\Delta \varphi = 0$  value (or, equivalently, on the first five points of the reflected distributions, which start from  $\Delta \varphi = 0$ . This is 368 done separately in each  $p_T$  range. Then, the correction is done by applying this modulation to the data 369 correlation distributions, but taking into account that only the correlation entries from  $B \rightarrow D$  are affected, while the  $c \rightarrow D$  correlations need to be left unaltered. In particular, it has to be considered that:



**Figure 15:** Ratios of fully corrected azimuthal correlation plots at reconstructed level over azimuthal correlation plots at kinematic level, in the two D<sup>0</sup>  $p_{\rm T}$  bins, for the different associated  $p_{\rm T}$  ranges. Black points: All D<sup>0</sup>-all hadrons, normalized by all D<sup>0</sup> triggers; light red points: D<sup>0</sup> from c-hadrons from c, normalized by c-D<sup>0</sup> triggers; dark red points: D<sup>0</sup> from c-all hadrons, normalized by c-D<sup>0</sup> triggers; light green points: D<sup>0</sup> from b-hadrons from b, normalized by b-D<sup>0</sup> triggers; dark green points: D<sup>0</sup> from b-all hadrons, normalized by b-D<sup>0</sup> triggers; blue points: All D<sup>0</sup>-hadrons from light quarks, normalized by all D<sup>0</sup> triggers. The panels show the ranges:  $3 < p_{\rm T}({\rm D}) < 5$  GeV/c,  $0.3 < p_{\rm T}({\rm assoc}) < 1$  GeV/c (1st row-left);  $3 < p_{\rm T}({\rm D}) < 5$  GeV/c,  $p_{\rm T}({\rm assoc}) > 1$  GeV/c (1st row-left);  $5 < p_{\rm T}({\rm D}) < 8$  GeV/c,  $0.3 < p_{\rm T}({\rm assoc}) < 1$  GeV/c (2nd row-left);  $5 < p_{\rm T}({\rm D}) < 8$  GeV/c,  $p_{\rm T}({\rm assoc}) > 1$  GeV/c (2nd row-left),  $8 < p_{\rm T}({\rm D}) < 16$  GeV/c,  $p_{\rm T}({\rm assoc}) < 1$  GeV/c (3rd row-left),  $8 < p_{\rm T}({\rm D}) < 16$  GeV/c (4th row-left),  $16 < p_{\rm T}({\rm D}) < 24$  GeV/c,  $0.3 < p_{\rm T}({\rm assoc}) < 1$  GeV/c (4th row-left),  $16 < p_{\rm T}({\rm D}) < 24$  GeV/c,  $p_{\rm T}({\rm assoc}) > 1$  GeV/c (4th row-left).

- On data, the B $\rightarrow$ D correlation pairs are only a fraction (1- $f_{prompt}$ ) of the total.
- The amplitude of  $B \rightarrow D|_{amplit}$  correlation pattern is different (greater) than the amplitude of the  $c \rightarrow D|_{amplit}$  correlation pattern:

Thus, the following equation is applied to get the corrected  $C(\Delta \varphi)_{\rm corr}$  data points starting from the raw ones,  $C(\Delta \varphi)_{\rm raw}$ :

$$C(\Delta \varphi)_{\text{corr}} = C(\Delta \varphi)_{\text{raw}} \cdot \left[ \frac{c \to D|_{\text{amplit}}}{(B+c) \to D|_{\text{amplit}}} \cdot f_{\text{prompt}} + \frac{B \to D|_{\text{amplit}}}{(B+c) \to D|_{\text{amplit}}} \cdot (1 - f_{\text{prompt}}) \cdot \frac{1}{\text{modul}} \right]$$
(3)

where  $(B+c) \to D|_{amplit} = c \to D|_{amplit} \cdot f_{prompt} + B \to D|_{amplit} \cdot (1-f_{prompt})$ , and where the two ampli-377 tudes are evaluated from the Monte Carlo distributions at reconstructed level (so, including the bias), and 378  $f_{\text{prompt}}$  with the procedure described in 3.3.5. Applying the **modul** factor to the beauty part of the data 379 correlation distributions brings its value back to the generated level case, effectively removing the bias. 380 The effect of the correction is a shift of the data points in the near-side region (in general, downward in the first and second points, the upward in the others). The maximum value of the shift is of about 5%, at 382 the centre of the near-side peak, for the lowest D-meson  $p_T$  range (3 <  $p_T$  < 5 GeV/c) and the highest 383 associated track  $p_{\rm T}$  range ( $p_{\rm T} > 3~{\rm GeV}/c$ ). The typical values are instead of a couple of percentage 384 points. The correction is zero in the highest D-meson  $p_T$  range. To take into account for possible in-385 accuracies in the definition of the modulations, or in their rescaling, a systematic uncertainty is applied 386 on the corrected data points, with value  $|C(\Delta \varphi)_{\rm corr} - C(\Delta \varphi)_{\rm raw}|/\sqrt{(12)}$ , on each side of the data points affected by the bias (symmetric uncertainty). 388

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#### 3.3.4 Secondary track contamination

The secondary tracks inside the associated track sample, due to interaction of primary track with the de-390 tector material or to decays of strange hadrons, are mostly removed by the DCA cuts applied during the 391 cut selection phase (DCA(xy) < 1 cm, DCA(z) < 1 cm). Anyway, a small fraction of secondary tracks 392 survives this cut, and the data correlation distributions have to be corrected for this residual contamina-393 tion. The fraction of surviving secondary tracks is evaluated via a study on the LHC18a4a2\_fast sample, 394 395 by counting the number of tracks accepted by the selection whose corresponding generated-level track doesn't satisfy the IsPhysicalPrimary() call, and dividing this number by the total number of accepted 396 tracks. The outcome of the check is reported in Figure 16. As it's visible, no more than about 4.5% 397 secondary tracks pass the selection (6% in the lowest associated  $p_T$  range). Moreover, the fraction of 398 residual secondary tracks is rather flattish along the  $\Delta \varphi$  axis, as shown, for exemplary  $p_T$  regions, in 399 Figure 17, where the inhomogeneities are generally not larger than about 1%. Anyway, to take into ac-400 count these modulations, which vary from bin to bin, the purity correction was performed differentially 401 though the azimuthal axis (i.e. applied bin-per-bin on the azimuthal correlation distributions). In addi-402 tion, this was important to consider since though these structures are small, they could be amplified after 403 the subtraction of the baseline, when going to the yield evaluation. 404

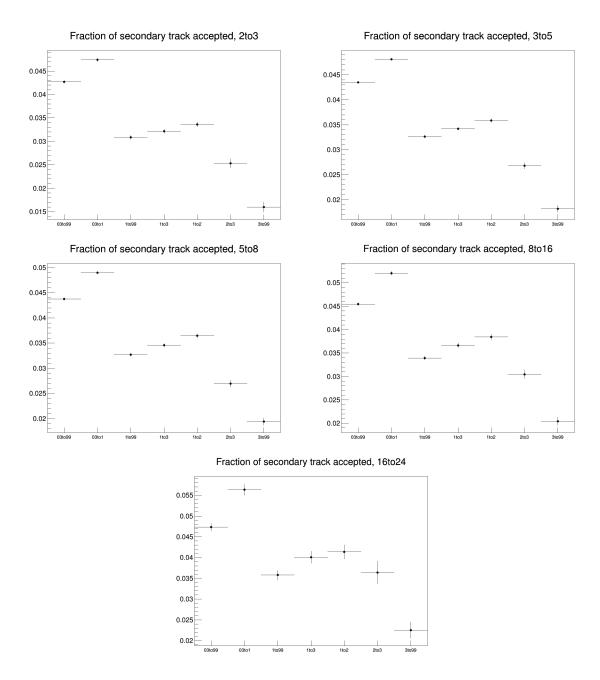
In particular, three approaches were tried, by multiplying the data correlation distribution in each kinematic range by:

- the MC primary/inclusive histogram (blue histogram in Fig. 17)
- a polynomial fit applied to the MC primary/inclusive histogram (red curve in Fig. 17)
- a moving average, considering 3 points, of the MC primary/inclusive histogram (red histogram in
   Fig. 17)

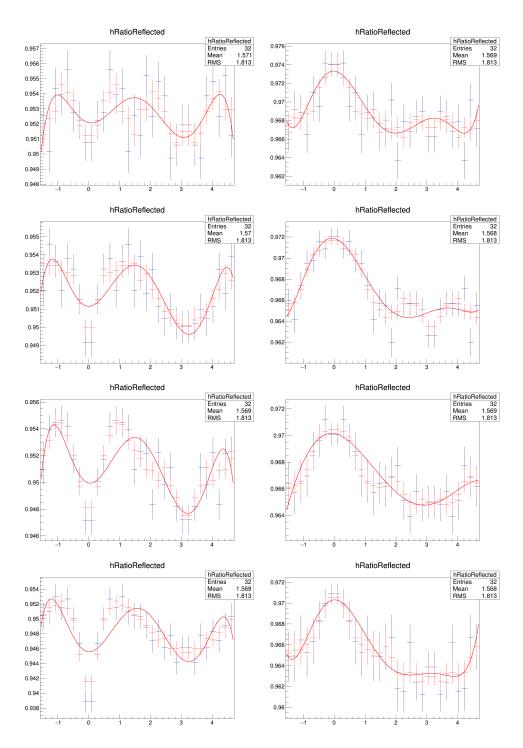
Each approach has pros and cons, since directly using the primary/inclusive histogram gives a correction strongly dependent on the statistical fluctuations, while using the fit or the moving average smoothen the fluctuation, but also the structures with a physical origin (and the fit misses a periodicity condition). For this reason, a comparison of the outcome of the correction after applying either of the approaches (and the old 'flat' correction approach) was performed, which gave full compatibility (within less than 1%) of the correlation distributions corrected with either approach. The moving average approach was chosen as standard correction procedure.

It was also verified with the same Monte Carlo study that applying the DCA selection rejects less than 1% 418 primary tracks (tagged as false positives) from the associated track sample, and less than 1% of heavy-419 flavour origined tracks, again with a flattish azimuthal distribution, inducing hence a fully negligible bias 420 on the data correlation distributions. This is shown in Figure 18. This was also verified for specific 421 charm-origin and beauty-origin tracks, due to their larger DCA with respect to primary tracks from light 422 quarks. In this case, the fraction of rejected charm and beauty tracks stays below 1% in all the kinematic 423 ranges apart from the associated track  $p_T$  regions 0.3-1 and > 0.3 GeV/c, where the rejection can be 424 as high as 2%. In these kinematic ranges, though, the data correlation distributions are dominated by 425 non-heavy-flavour tracks, as it was verified from the simulations, hence the overall bias is still contained 426 below 1%, thus negligible. 427

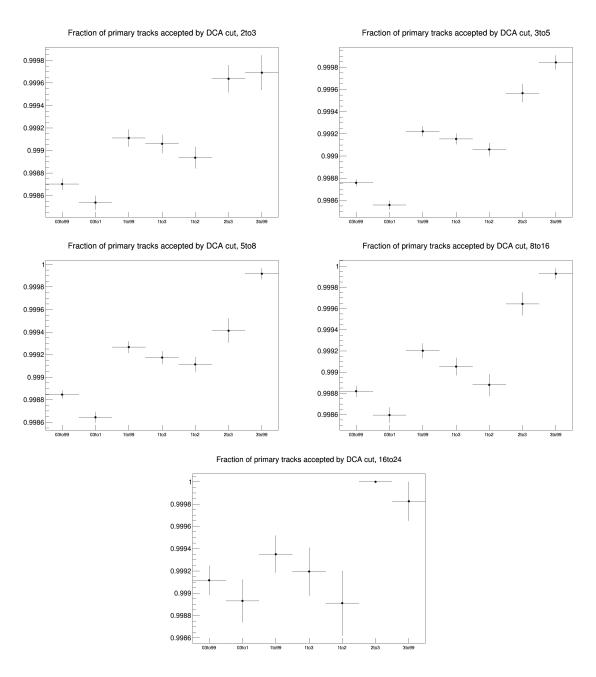
These studies were performed on an enriched Monte Carlo sample, which could not fully reproduce the relative abundances of the species. Anyway, for events with a reconstructed D-meson, this bias is expected to be minor, and only these events are used in the data analysis. In any case, the percentages obtained from the study were found to be consistent within 1%.



**Figure 16:** Fraction of secondary tracks over total amount of tracks which pass the DCA selection. The four panel show the fractions for the D-meson  $p_T$  ranges: 2-3, 3-5, 5-8, 8-16, 16-24, respectively. Inside each panel, the associated track  $p_T$  ranges are shown on the *x*-axis.



**Figure 17:** Fraction of primary track in the reconstructed associated track sample (blue histogram). The polynomial fit function (red curve) and the 3-point moving average (red histogram) are also superimposed. The  $p_T(D)$  ranges are 2-3, 3-5, 5-8, 8-16 GeV/c, respectively for each row, and  $0.3 < p_T(assoc) < 1$ ,  $p_T(assoc) > 1$  GeV/c inside each row.



**Figure 18:** Fraction of primary tracks rejected by the DCA selection. The four panel show the fractions for the D-meson  $p_{\rm T}$  ranges:2-3, 3-5, 5-8, 8-16, 16-24, respectively. Inside each panel, the associated track  $p_{\rm T}$  ranges are shown on the x-axis.

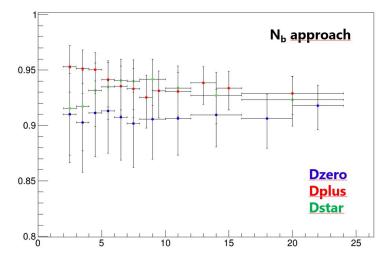


Figure 19:  $f_{prompt}$  as a function of the  $p_T$  for  $D^0$ ,  $D^{*+}$  and  $D^+$  estimated on the basis of FONLL predictions

#### 3.3.5 Beauty feed-down

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The contribution of correlations of D meson from b-hadron decay is subtracted from the data correlation distributions as:

$$\tilde{C}_{\text{prompt D}}(\Delta \varphi) = \frac{1}{f_{\text{prompt}}} \left( \tilde{C}_{\text{inclusive}}(\Delta \varphi) - (1 - f_{\text{prompt}}) \tilde{C}_{\text{feed-down}}^{\text{MC templ}}(\Delta \varphi) \right). \tag{4}$$

In the above equation,  $\tilde{C}_{\text{inclusive}}(\Delta \varphi)$  and  $\tilde{C}_{\text{prompt D}}(\Delta \varphi)$  are per-trigger azimuthal correlation distribu-435 tions before and after feed-down contribution subtraction,  $f_{\text{prompt}}$  is the fraction of prompt D meson 436 and  $\tilde{C}_{\text{feed-down}}^{\text{MC templ}}$  is a template of the azimuthal correlation distribution for the feed-down component ob-437 tained from home-made Monte Carlo simulation at generated level, using PYTHIA6 with Perugia2011 438 tune. In order to avoid biases related to the different event multiplicity in real and simulated events, 439 the correlation distribution was shifted to have its minimum coinciding with the baseline of the data 440 azimuthal-correlation distribution before feed-down subtraction. More details on the procedure can be 441 found in [8]. 442

The value of  $f_{\text{prompt}}$  (Figure 19), which depends on D-meson species and varies as a function of the  $p_{\text{T}}$ , is estimated on the basis of FONLL predictions for the production of feed-down D mesons at central rapidity, in pp collisions at  $\sqrt(s) = 5$  TeV, and using the reconstruction efficiency of prompt and feed-down D mesons, following the so-called  $N_{\text{b}}$  approach defined in [1]. Typical values are about 8-10% for the D<sup>0</sup>, about 4-7% for the D<sup>+</sup> and about 5-8% for the D\*+, fully consistent with the value obtained for the p-Pb data sample, with rather similar cut selections.

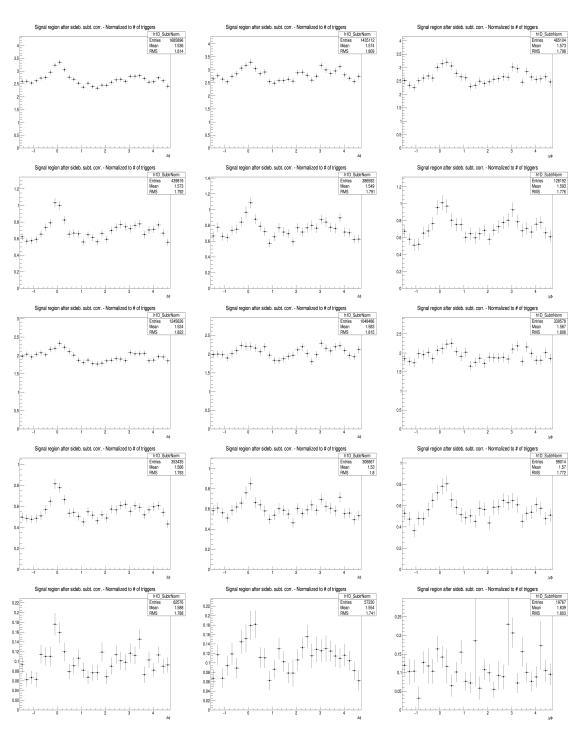
- **4** Systematic uncertainties on  $\Delta \phi$  correlation distributions
- 450 TO BE UPDATED

## 451 5 Results

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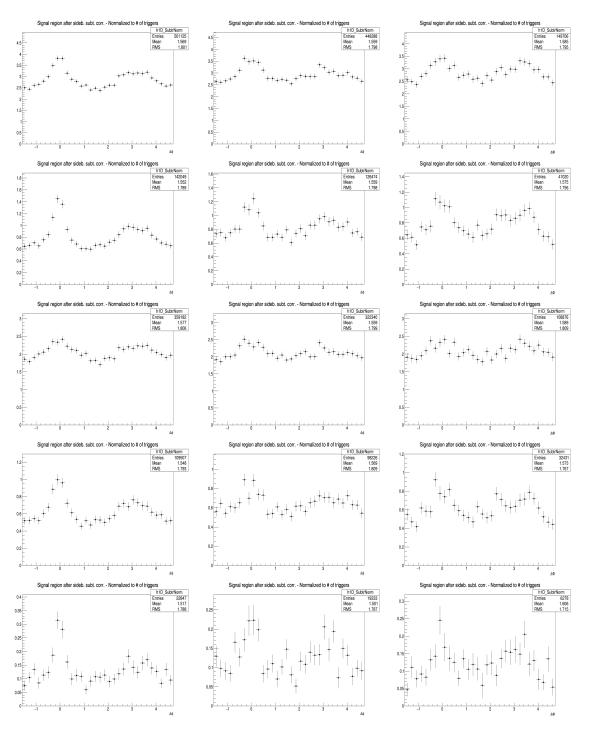
## 5.1 Comparing the three D meson correlation distributions

To check the compatibility of three D meson analyses, Figures 20, 21, 22, 23 show the corrected azimuthal correlation distributions (except for the feed-down subtraction and the secondary contamination removal) for D<sup>0</sup>-h, D\*+-h and D+-h, in each column, on the data sample used in the analysis. Results are shown for 3 < D  $p_T < 5$  GeV/c, 5 < D  $p_T < 8$  GeV/c, 8 < D  $p_T < 16$  GeV/c and 16 < D  $p_T < 24$  GeV/c with associated tracks  $p_T > 0.3$ ,  $p_T > 1$ ,  $0.3 < p_T < 1$  GeV/c,  $1 < p_T < 2$  GeV/c,  $2 < p_T < 3$  GeV/c and  $p_T > 3$  GeV/ $p_T < 3$ 



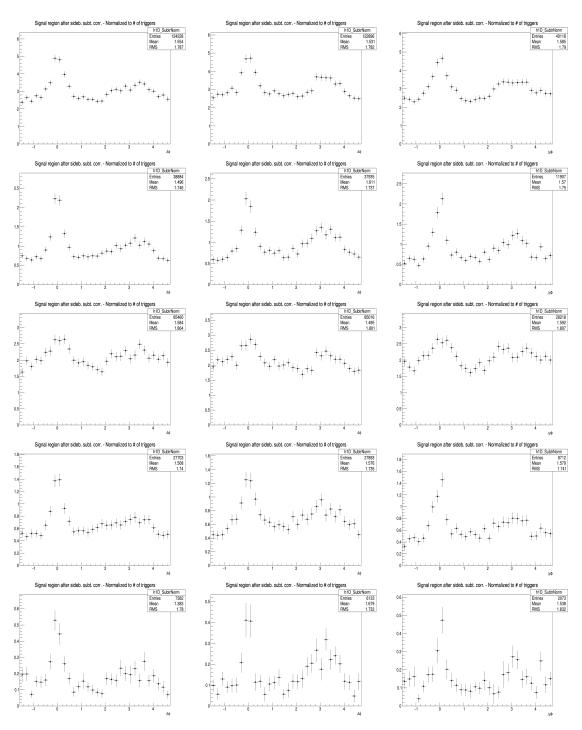
**Figure 20:** Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:**  $D^0$ , **Column-Middle:**  $D^+$  and **Column-Right:**  $D^{*+}$ ) and different associated tracks  $p_T$  ranges (**Row 1-5:**  $3 < Dp_T < 5 \text{ GeV}/c$ ,  $p_T$  (Assoc) > 0.3, >1.0, 0.3-1.0, 1.0-2.0 and 2.0-3.0 GeV/c respectively)

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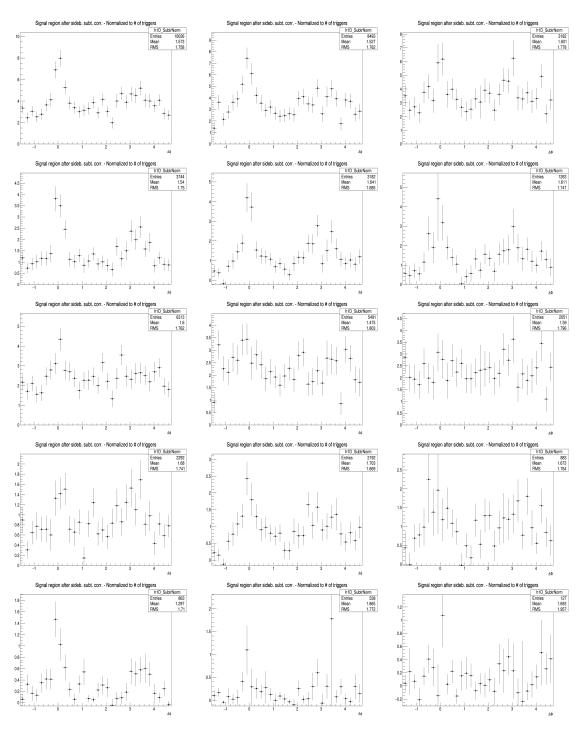
**Figure 21:** Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:**  $D^0$ , **Column-Middle:**  $D^+$  and **Column-Right:**  $D^{*+}$ ) and different associated tracks  $p_T$  ranges (**Row 1-5:**  $5 < Dp_T < 8 \text{ GeV}/c$ ,  $p_T$  (Assoc) > 0.3, >1.0, 0.3-1.0, 1.0-2.0 and 2.0-3.0 GeV/c respectively)

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**Figure 22:** Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:**  $D^0$ , **Column-Middle:**  $D^+$  and **Column-Right:**  $D^{*+}$ ) and different associated tracks  $p_T$  ranges (**Row 1-5:**  $8 < Dp_T < 16$  GeV/c,  $p_T$  (Assoc) > 0.3, > 1.0, 0.3-1.0, 0.3

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**Figure 23:** Corrected distribution of D-hadrons azimuthal correlations for the three species (apart from feed-down and purity), from analysis on the data sample, for the analyzed D-meson (**Column-Left:**  $D^0$ , **Column-Middle:**  $D^+$  and **Column-Right:**  $D^{*+}$ ) and different associated tracks  $p_T$  ranges (**Row 1-5:**  $16 < Dp_T < 24 \text{ GeV}/c$ ,  $p_T$  (Assoc) > 0.3, >1.0, 0.3-1.0, 1.0-2.0 and 2.0-3.0 GeV/c respectively)

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An agreement of the distributions from the three mesons within the uncertainties is found in all the 459 kinematic ranges. 460

Despite being evaluated in the full  $2\pi$  range, the range of final results was then reduced to  $[0,\pi]$  radians, 461 reflecting the points outside that range over the value of 0. This allowed to reduce the impact of statistical 462 fluctuations on the data points (supposing equal statistics for a pair of symmetric bins, after the reflection 463 the relative statistical uncertainty for the resulting bin is reduced by a factor  $1/\sqrt{2}$ ). 464

# 5.2 Average of $D^0$ , $D^+$ and $D^{*+}$ results

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Given the compatibility within the uncertainties among the D<sup>0</sup>, D<sup>+</sup> and D<sup>\*+</sup> azimuthal correlations, and since no large differences are visible in the correlation distributions observed in Monte Carlo simulations based on Pythia with Perugia0, 2010 and 2011 tunes<sup>1</sup>, it was possible to perform a weighted average (eq. 5) of the azimuthal correlation distributions of  $D^0$ ,  $D^+$  and  $D^{*+}$ , in order to reduce the overall uncertainties. Although some correlation between the mesons could be present (about the 30% of the  $D^0$ , and also part of the  $D^+$ , come from  $D^{*+}$  decays), the three selected D-meson samples can be treated as uncorrelated. The sum of the statistical uncertainties; the systematics uncertainty on S and B extraction and on background shape, are added in quadrature and the inverse of this sum was used as weight,  $w_i$ .

$$\left\langle \frac{1}{N_{\rm D}} \frac{dN^{\rm assoc}}{dp_{\rm T}} \right\rangle_{Dmesons} = \frac{\sum_{\rm i=meson} w_i \frac{1}{N_{\rm D}} \frac{dN_i^{\rm assoc}}{d\Delta \varphi}}{\sum_{\rm i=meson} w_i} \quad , w_i = \frac{1}{\sigma_{i,\rm stat}^2 + \sigma_{i,\rm uncorr.syst.}^2}$$
 (5)

The statistical uncertainty and the uncertainties on S and B extraction and on background shape (those 474 used for the weights) on the average were then recalculated using the following formula: 475

$$\sigma^2 = \frac{1}{n_D} \frac{\sum_{i=\text{meson}} w_i \sigma_i^2}{\sum_{i=\text{meson}} w_i}$$
 (6)

where  $n_D$  is the number of mesons considered in the average. It can be observed that for  $\sigma_i^2 = 1/w_i$  the formula coincides with the standard one giving the uncertainty on a weighted average. The contribution to the average systematic uncertainty for those uncertainty sources not included in the weight definition, was evaluated via error propagation on the formula of the weighted average (5), resulting in equation (7) and (8) for sources considered uncorrelated and correlated among the mesons. In particular, the uncertainties on the associated track reconstruction efficiency, on the contamination from secondary, on the feed-down subtraction, and that resulting from the Monte Carlo closure test were considered fully correlated among the mesons, while those deriving from the yield extraction (included in the weight definition) and on the D meson reconstruction and selection efficiency were treated as uncorrelated.

$$\sigma^{2} = \frac{\sum_{i=\text{meson}} w_{i}^{2} \sigma_{i}^{2}}{\left(\sum_{i=\text{meson}} w_{i}\right)^{2}}$$

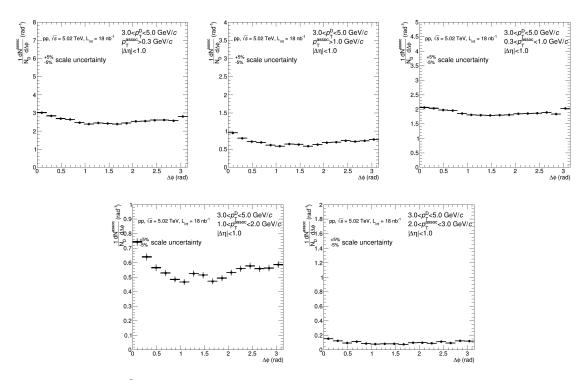
$$\sigma = \frac{\sum_{i=\text{meson}} w_{i} \sigma_{i}}{\sum_{i=\text{meson}} w_{i}}$$
(8)

$$\sigma = \frac{\sum_{i=\text{meson}} w_i \sigma_i}{\sum_{i=\text{meson}} w_i}$$
 (8)

Figures 24, 25, 26, 27 show the averages of the azimuthal correlation distributions of D<sup>0</sup>, D<sup>+</sup> and D\*+ and charged particles with  $p_{\rm T} > 0.3~{\rm GeV}/c$ ,  $0.3 < p_{\rm T} < 1~{\rm GeV}/c$ ,  $p_{\rm T} > 1~{\rm GeV}/c$ ,  $1 < p_{\rm T} < 2~{\rm GeV}/c$ , 2 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < 0.3 < $p_{\rm T} < 3~{\rm GeV}/c$  in the D meson  $p_{\rm T}$  ranges  $3 < p_{\rm T} < 5~{\rm GeV}/c$ ,  $5 < p_{\rm T} < 8~{\rm GeV}/c$ ,  $8 < p_{\rm T} < 16~{\rm GeV}/c$  and  $16 < p_{\rm T} < 24~{\rm GeV}/c$ . As expected, a rising trend of the height of the near-side peak with increasing Dmeson  $p_T$  is observed, together with a decrease of the baseline level with increasing  $p_T$  of the associated

 $<sup>^1</sup>$ A slight near side hierarchy is present among the three meson results, with D\*+ meson having a lower peak amplitude than  $D^0$  and  $D^+$ . It was verified that this is induced by the presence of  $D^0$  and  $D^+$  mesons coming from  $D^{*+}$ , the latter having on average a larger  $p_T$  and coming, hence, on average, from a larger  $p_T$  quark parton, which fragments in slightly more tracks in the near-side.

tracks. To further increase the statistical precision on the averaged correlation distributions, given the symmetry around 0 on the azimuthal axis, the distributions were reflected and shown in the range  $[0,\pi]$ . This reduces the statistical uncertainty on the poins by, approximately, a factor of  $1/\sqrt{2}$ .



**Figure 24:** Average of D<sup>0</sup>, D<sup>+</sup> and D<sup>\*+</sup> azimuthal correlation distributions, in the D meson  $p_{\rm T}$  range  $3 < p_{\rm T} < 5~{\rm GeV}/c$  with associated tracks with  $p_{\rm T} > 0.3~{\rm GeV}/c$ ,  $p_{\rm T} > 1~{\rm GeV}/c$ ,  $0.3 < p_{\rm T} < 1~{\rm GeV}/c$ ,  $1 < p_{\rm T} < 2~{\rm GeV}/c$  and  $2 < p_{\rm T} < 3~{\rm GeV}/c$ 

The usage of weighted average requires, as an underlying assumption, identical results expected for different species (or, at least, compatible within the uncertainties). Anyway, it was also verified that the usage of the arithmetic average instead of the weighted average increases the uncertainties on the points, but produces a negligible shift of their central values.

#### 5.3 Fit observable $p_T$ trends and uncertainties

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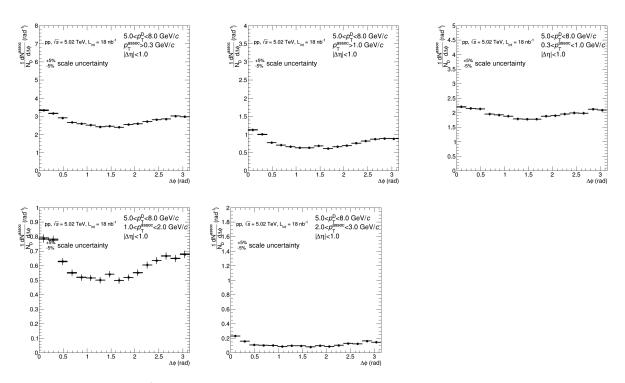
In order to extract quantitative and physical information from the data correlation patterns, the averaged D-h correlation distributions are fitted with two Gaussian functions (with means fixed at  $\Delta \varphi = 0$  and  $\Delta \varphi = \pi$  values), plus a constant term (baseline). A periodicity condition is also applied to the fit function to obtain the same value at the bounds of  $2\pi$  range. The expression of the fit function is reported below (equation 9):

$$f(\Delta \varphi) = c + \frac{Y_{NS}}{\sqrt{2\pi}\sigma_{NS}} e^{-\frac{(\Delta \varphi - \mu_{NS})^2}{2\sigma_{NS}^2}} + \frac{Y_{AS}}{\sqrt{2\pi}\sigma_{AS}} e^{-\frac{(\Delta \varphi - \mu_{AS})^2}{2\sigma_{AS}^2}}$$
(9)

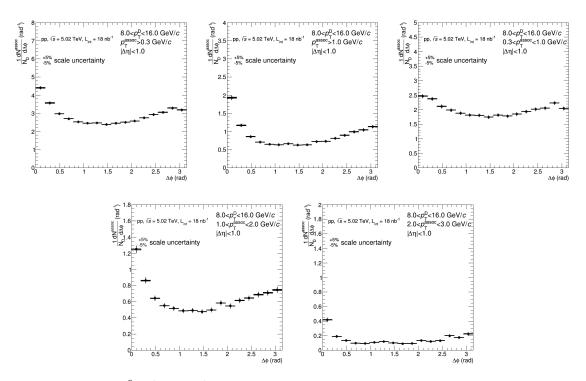
where baseline is calculated as the weighted average of the points lying in the so-called "transverse region", i.e. the interval  $\frac{\pi}{4} < |\Delta \varphi| < \frac{\pi}{2}$ .

Results from the fit for the studied kinematical regions are shown in Figure 28

From the fit outcome, it is possible to retrieve the near-side and away-side yield and widths (integral and sigma of the Gaussian functions, respectively), as well as the baseline height of the correlation distribution. The near-side observables give information on the multiplicity and angular spread of the tracks from the fragmentation of the charm jet which gave birth to the D-meson trigger. At first order, instead, the away-side observables are related to the hadronization of the charm parton produced in the opposite direction (though the presence of NLO processes for charm production breaks the full validity



**Figure 25:** Average of D<sup>0</sup>, D<sup>+</sup> and D<sup>\*+</sup> azimuthal correlation distributions, in the D meson  $p_{\rm T}$  range 5 <  $p_{\rm T}$  < 8 GeV/c with associated tracks with  $p_{\rm T} > 0.3$  GeV/c,  $p_{\rm T} > 1$  GeV/c,  $0.3 < p_{\rm T} < 1$  GeV/c,  $1 < p_{\rm T} < 2$  GeV/c and  $2 < p_{\rm T} < 3$  GeV/c



**Figure 26:** Average of D<sup>0</sup>, D<sup>+</sup> and D<sup>\*+</sup> azimuthal correlation distributions, in the D meson  $p_{\rm T}$  range  $8 < p_{\rm T} < 16~{\rm GeV}/c$  with associated tracks with  $p_{\rm T} > 0.3~{\rm GeV}/c$ ,  $p_{\rm T} > 1~{\rm GeV}/c$ ,  $0.3 < p_{\rm T} < 1~{\rm GeV}/c$ ,  $1 < p_{\rm T} < 2~{\rm GeV}/c$  and  $2 < p_{\rm T} < 3~{\rm GeV}/c$ 

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of this assumption). The baseline value is a rough indicator of the underlying event multiplicity, though below the baseline level also charm and beauty-related pairs are contained (especially in cases of NLO production for the heavy quarks).

The evaluation of the systematic uncertainties on the observables obtained from the fits is performed as follows (THIS PARAGRAPH WILL BE UPDATED):

- The fits are repeated by changing the range of the transverse region in which the baseline is evaluated. Alternate definitions of  $\frac{\pi}{4} < |\Delta \varphi| < \frac{3\pi}{8}$ ,  $\frac{3\pi}{8} < |\Delta \varphi| < \frac{\pi}{2}$  and  $\frac{\pi}{4} < |\Delta \varphi| < \frac{5\pi}{8}$  are considered.
- In addition,  $\Delta \varphi$  correlation points are shifted to the upper and lower bounds of their uncorrelated systematic boxes, and refitted.
- The fits are also repeated by moving the baseline value from its default value (i.e. with the default transverse region) on top and on bottom of its statistic uncertainty. This helps to account, though in a systematic uncertainty, for the statistical uncertainty on the baseline position (since in the fit the baseline is constrained, and its error is not propagated to the other observables).
- The envelope between (i) the RMS of the relative variations of the parameters between the fit outcomes defined in the first two points, and (ii) the relative variations of the parameters from the fit outcomes defined in the third point, is considered as systematic uncertainty for the near-side and away-side widths.
- For the estimation of the baseline and of the near-side and away-side yields, instead, the previous value is added in quadrature with the  $\Delta \varphi$ -correlated systematics in the correlation distributions, since these values are affected by a change in the global normalization of the distributions.
- In addition, for all the fit observables, an additional fit variation is performed assuming, instead of a flat baseline, a  $v_{2\Delta}$ -like modulation, with the following  $v_2$  values for the associated tracks (assuming  $v_{2\Delta} = v_2(h) \cdot v_2(D)$ : 0.04 (0.3-1 GeV/c), 0.06 (>0.3 GeV/c), 0.08 (1-2 GeV/c), 0.09 (>1 GeV/c, 2-3 GeV/c), 0.1 (>3 GeV/c), on the basis of ATLAS preliminary results for heavy-flavour muons at 8 TeV; for the D-meson triggers the following  $v_2$  values were instead assumed: 0.05 (3-5 GeV/c), 0.03 (5-8 GeV/c), 0.02 (8-24 GeV/c), on the basis of previous ALICE measurements in p-Pb collisions at 5 TeV [3]. The difference of the fit observables with respect to the standard fits is taken as uncertainty. Due to its peculiarity, this systematic uncertainty is summed in quadrature with the others to obtain the total uncertainty, but is also shown separately in the figures.

$$\sigma^{syst} = \sqrt{(Max(\Delta par^{ped.mode}, \Delta par^{\Delta \varphi point}))^2 + (\sigma^{corr}_{Syst})^2}$$
 (10)

NOTE: THE SYSTEMATIC BOXES IN ALL THE FIT OBSERVABLES FOR THE pp AT 5 TeV
SAMPLE WILL BE UPDATED AFTER THE SYSTEMATICS EVALUATION, HENCE THEY
ARE NOT FINAL.

5.3.1 Results for near-side yield and width, away-side yield and width, and baseline

(TO BE UPDATED. ANYWAY, THE RESULTS OF THE FIT ARE PRESENTED IN THE COM-PARISON FIGURES, SO ALL THE INFORMATION IS ALREADY PRESENT.) Figures ??, ??, ??, ?? and ?? show the near-side associated yield, width (the sigma of the Gaussian part of the fit functions), away-side associated yield, width and the height of the baseline, for the average correlation distributions, in the kinematic ranges studied in the analysis, together with their statistical and systematic uncertainties. For each kinematic range, the correspondent plot showing the systematic uncertainty of the considered observable from the variation of the fit procedure is reported as well (which is the full systematic uncertainty for the widths).

#### 5.3.2 Comparisons of pp and p-Pb at 5 TeV

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Figure 29 (two pages) shows the average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations for 2017 pp and 554 2016 p-Pb for all the kinematic ranges of trigger and associated particles  $p_{\rm T}$ . Overall, compatibility 555 within uncertainties between the two collision systems is found for all the common kinematic ranges 556 analyzed, and a similar evolution of the correlation pattern with transverse momentum holds for the two 557 systems. Focusing on the peak regions, while one can appreciate a full near-side compatibility, for some 558 559 kinematic regions the away-side region seems to be enhanced in p-Pb with respect to pp. As it could be already noticed from the comparison of the distributions, near-side observables are fully consistent. For 560 the away-side region, instead, the peak widths are roughly compatible (wichin rather large uncertainties), 561 while a hint of larger yields in p-Pb can be observed, especially from 5 to 16 GeV/c for the D-meson 562  $p_{\rm T}$ , generally in all the associated track  $p_{\rm T}$  regions. In Figs. 30,?? the comparison of the observables 563 extracted from the fits (near-side yield and width) is also presented. 564

# 5.3.3 Comparisons of pp at 5, 7 and 13 TeV

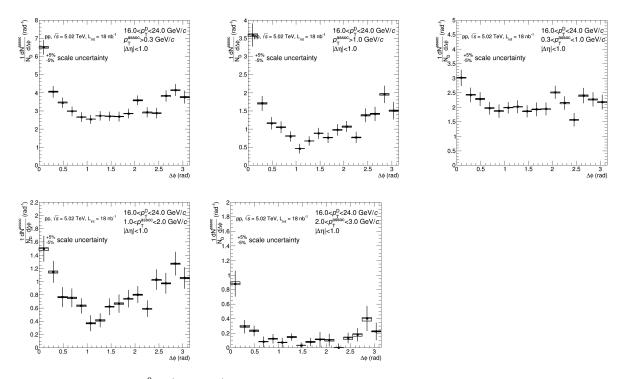
Figure 32 shows the average of D<sup>0</sup>, D<sup>+</sup> and D\*<sup>+</sup> azimuthal correlations for pp at 5 TeV compared with pp at 7 TeV and 13 TeV for all the common kinematic ranges of trigger and associated particles *p*<sub>T</sub> analysed. The data distribution of pp at 5 TeV have much better uncertainties than 7 TeV and also quite better than 13 TeV. Compatibility within uncertainties between the three energy systems is found for all the common kinematic ranges analyzed. In Figs. 33 and 34, the comparison of the observables extracted from the fits (near-side yield and width for first page, away-side yield and width for second page) is also presented. The near-side observables do not show difference above the uncertainties, which are not small (especially for past results), not allowing to quantitatively appreciate any energy dependence of the yields, expected to be of the order of 8-10% for 5 vs 7 TeV and of 15-20% for 5 vs 13 TeV results from Pythia6 and Pythia8 simulations. Qualitatively, anyway, it can be observed that yield values at 13 TeV are generally larger than yields at 5 TeV, following the expectations of some mild energy scaling of this observable. Nothing can be said, instead, for the away-side observables, where the precision is not enough to draw any conclusion, even qualitatively - also because model expectations for away-side observables at different energies predict much similar results at the three energies, much smaller than the current uncertainties. Indeed, away-side results at 7 and 13 TeV were not approved at all, in the past).

## 5.3.4 Comparisons of pp at 5 TeV and model predictions

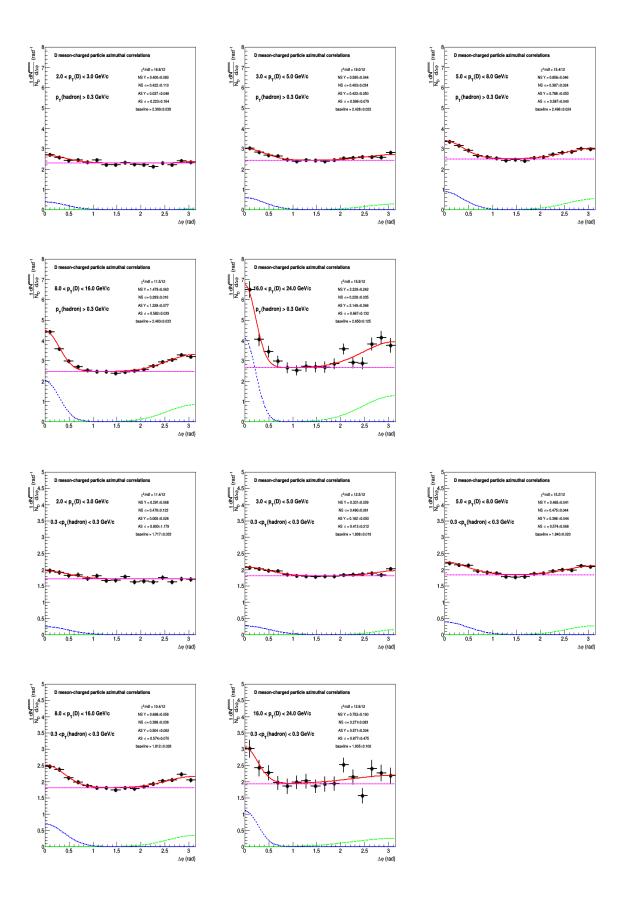
Figure 35 (two pages) shows the average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations for pp for several 582 ranges of trigger and associated p<sub>T</sub>, compared to different Pythia6 tunes (Perugia 0, 2010, 2011), Pythia 583 8 (tune 4C) and POWHEG+PYTHIA at the same collision energy. A substantial agreement in the over-584 all momentum evolution of the correlation pattern is observed within uncertainties for what concerns the 585 near-side region, apart from very high  $p_{\rm T}$  of the D-meson, where the peak seems to be slightly underes-586 timated, at least by PYTHIA predictions. For the away-side region, the models themselves differentiate 587 in predicting the height of the peak, and generally the strength of the peak overestimate the data mea-588 surements, especially for the older Perugia tunes (PYTHIA6-Perugia0 and PYTHIA6-Perugia2010). In 589 Figs. 36 and 37 (two pages for each) the comparison of the extracted physical observables (near-side 590 and away-side yield, width and baseline height) is presented. For the near-side yields, POWHEG tends 591 to predict larger values than PYTHIA6,8, in all associated track  $p_T$  regions. Data results seem to be-592 have in-between of the two predictions, apart from  $16 < p_T(D) < 24 \text{GeV}/c$  range, where excluding the 593 lowest associated p<sub>T</sub> range, data are better described by POWHEG. For the near-side width, POWHEG 594 also tends to predict wider peaks, in this case generally overpredicting the observed values, which are 595 better matched by PYTHIA predictions (though no model can be ruled out with current uncertainties). 596 Focusing on the away-side region, POWHEG expectation foresee smaller and narrower peaks, with re-597 spect to all PYTHIA6,8 predictions, which is confirmed by data, especially for the yields, and in the 598 intermediate D-meson  $p_{\rm T}$  region.. All the models, except possibly PYTHIA6-Perugia0, predict similar

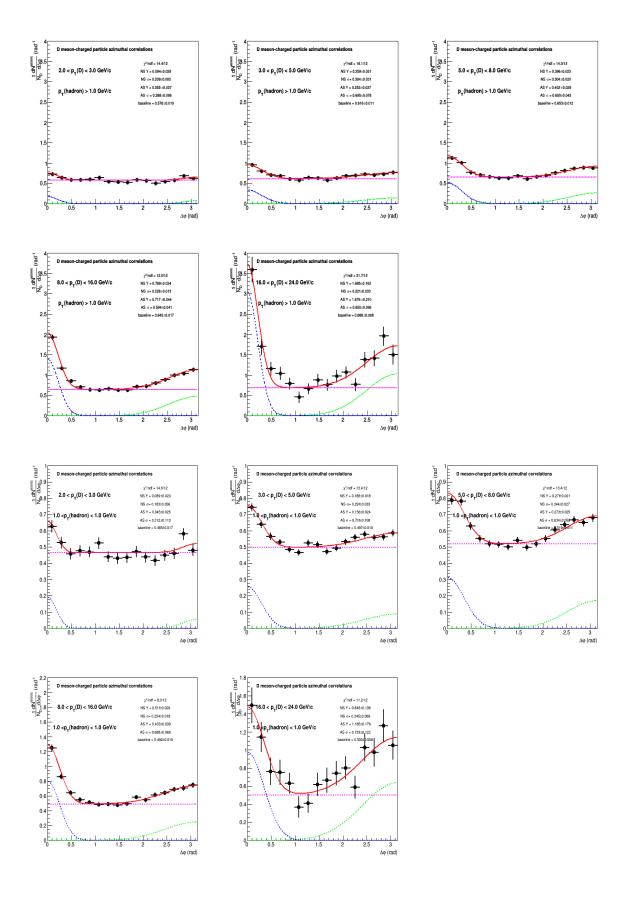
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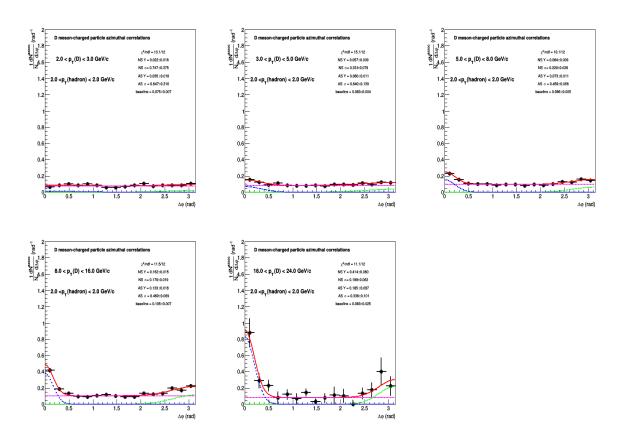
baseline values, which generally describe well the data measurements.



**Figure 27:** Average of D<sup>0</sup>, D<sup>+</sup> and D\*+ azimuthal correlation distributions, in the D meson  $p_{\rm T}$  range  $16 < p_{\rm T} < 24~{\rm GeV}/c$ , with associated tracks with  $p_{\rm T} > 0.3~{\rm GeV}/c$ ,  $p_{\rm T} > 1~{\rm GeV}/c$ ,  $0.3 < p_{\rm T} < 1~{\rm GeV}/c$ ,  $1 < p_{\rm T} < 2~{\rm GeV}/c$  and  $2 < p_{\rm T} < 3~{\rm GeV}/c$ 

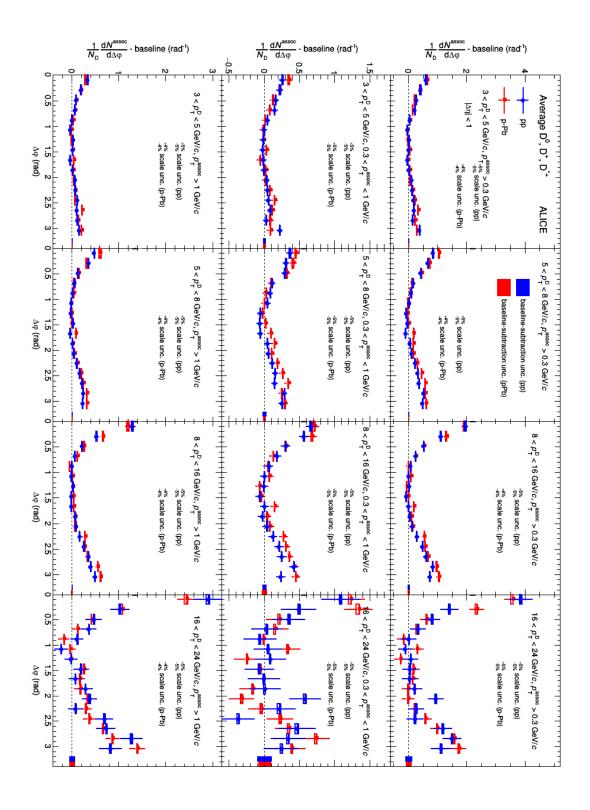


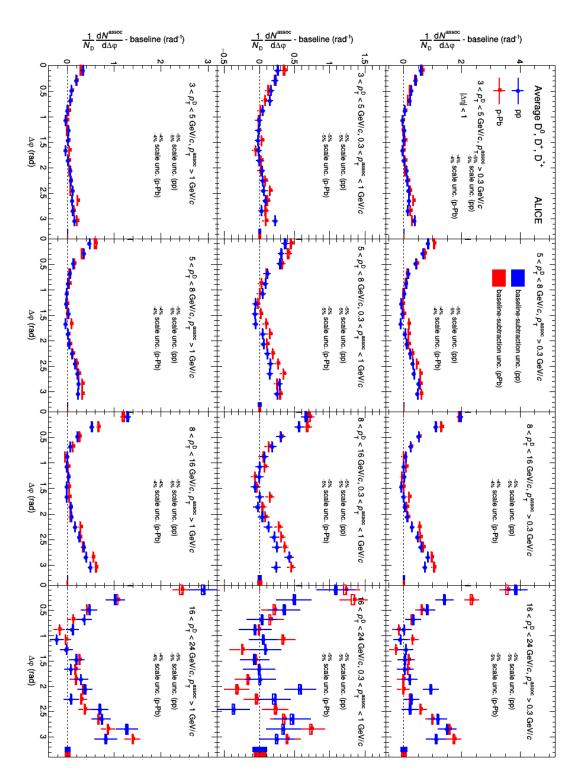




**Figure 28:** Fits to azimuthal correlation distributions and baseline estimation. Each set of five panels is for, from top to bottom:  $p_T > 0.3 \text{ GeV}/c$ ,  $0.3 < p_T < 1 \text{ GeV}/c$ ,  $p_T > 1 \text{ GeV}/c$ ,  $1 < p_T < 2 \text{ GeV}/c$  and  $2 < p_T < 3 \text{ GeV}/c$ . The corresponding  $p_T$  ranges of D-mesons are reported in each panel.

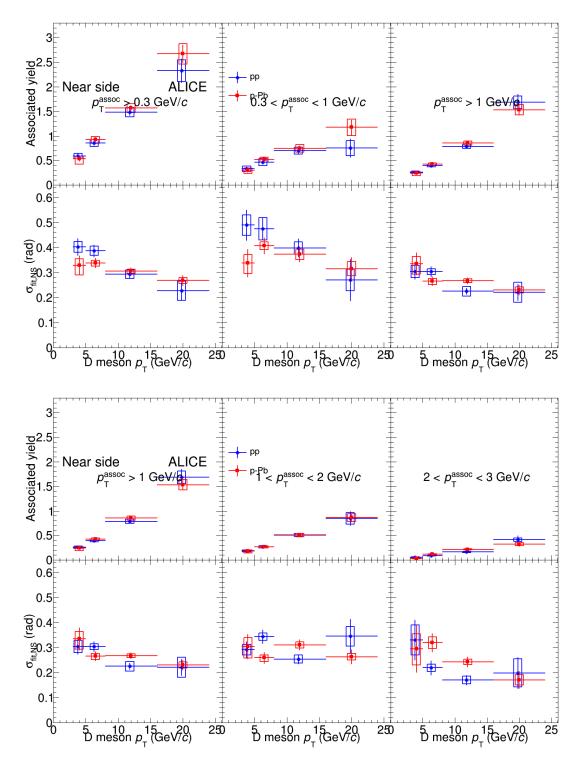
52 SESULTS



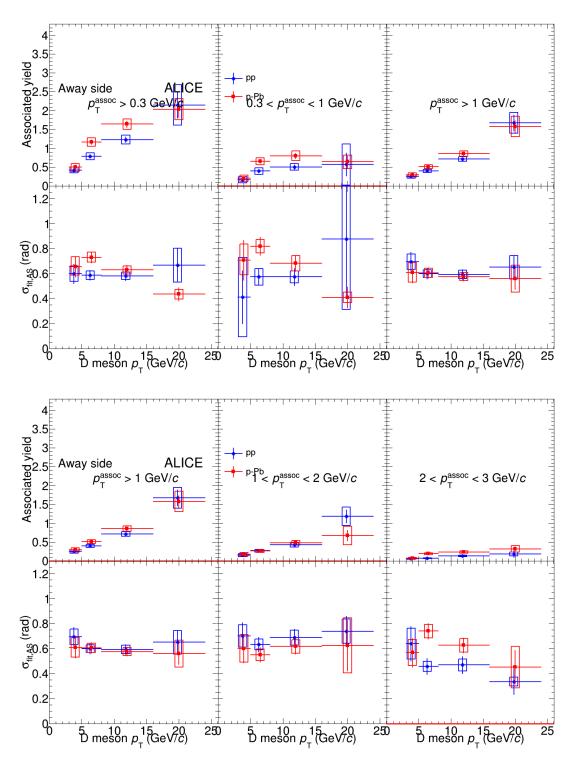


**Figure 29:** Average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations in pp (blue) and p-Pb (red) in all the kinematic ranges of trigger and associated particles.

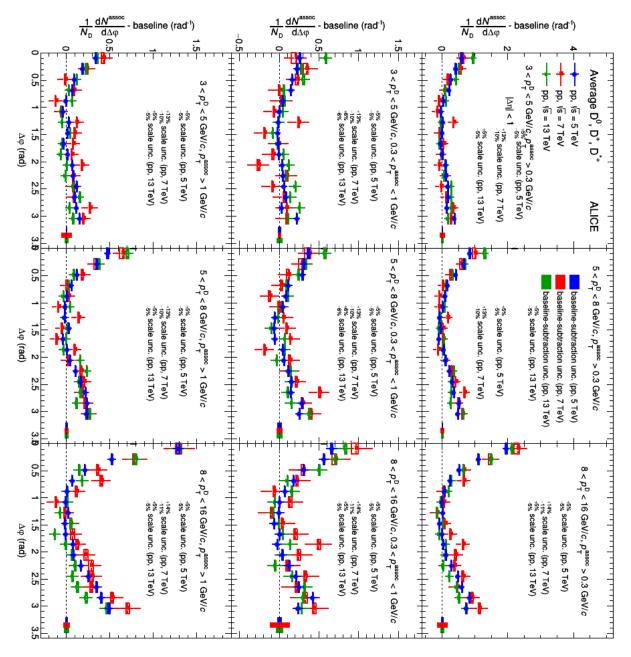
54 SESULTS



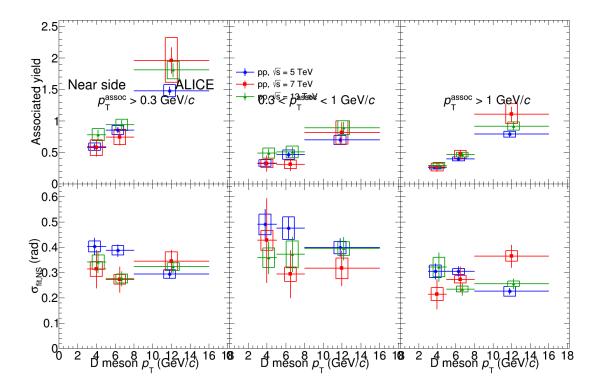
**Figure 30:** Near-side yield and sigmas for the average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations in pp (red) and p-Pb (black) in all the kinematic regions of trigger and associated track.



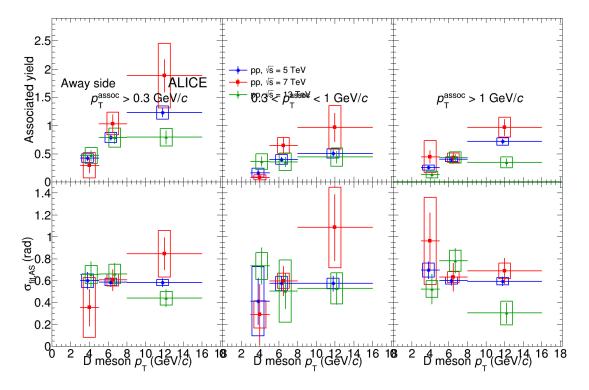
**Figure 31:** Away-side yield and sigmas for the average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations in pp (red) and p-Pb (black) in all the kinematic regions of trigger and associated track.



**Figure 32:** Average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations in pp at 5 (blue), 7 (red) and 13 (green) TeV in all the common kinematic ranges of trigger and associated particles.

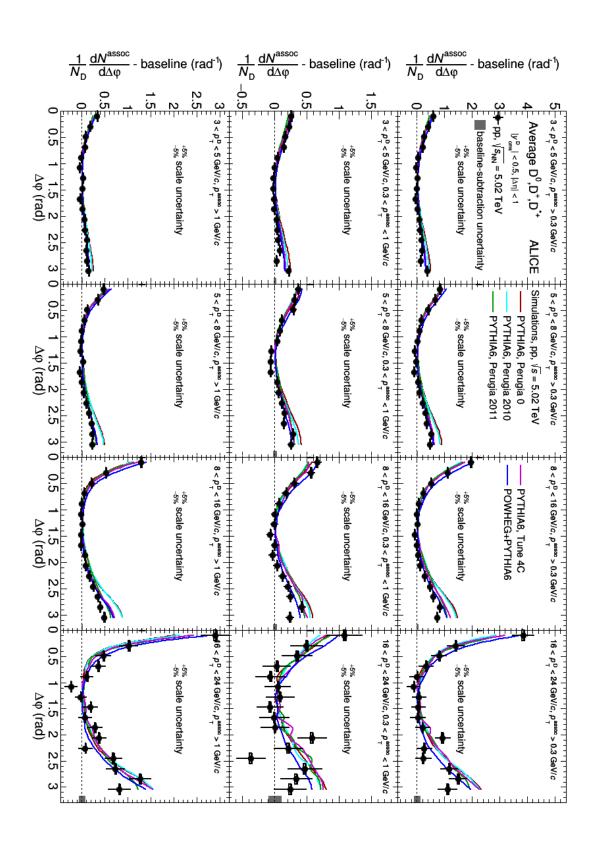


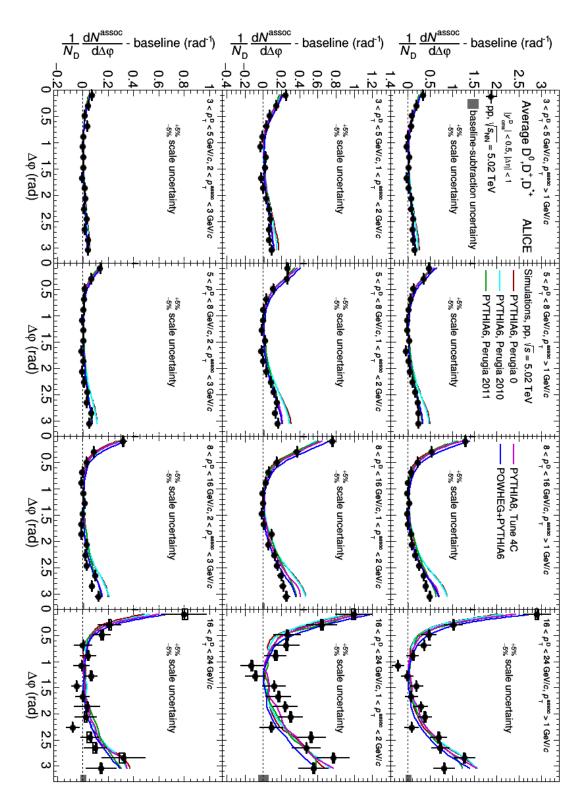
**Figure 33:** Near-side yield and width for the average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations in pp at 5 (blue), 7 (red) and 13 (green) TeV in all the common kinematic regions of trigger and associated track.



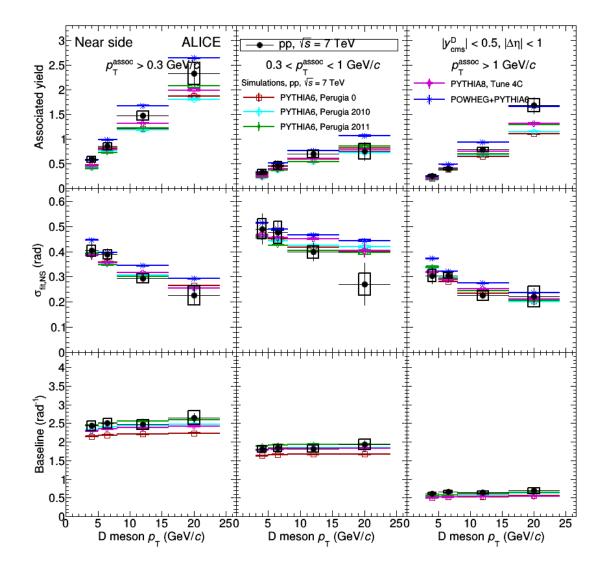
**Figure 34:** Away-side yield and width for the average of  $D^0$ ,  $D^+$  and  $D^{*+}$  azimuthal correlations in pp at 5 (blue), 7 (red) and 13 (green) TeV in all the common kinematic regions of trigger and associated track.

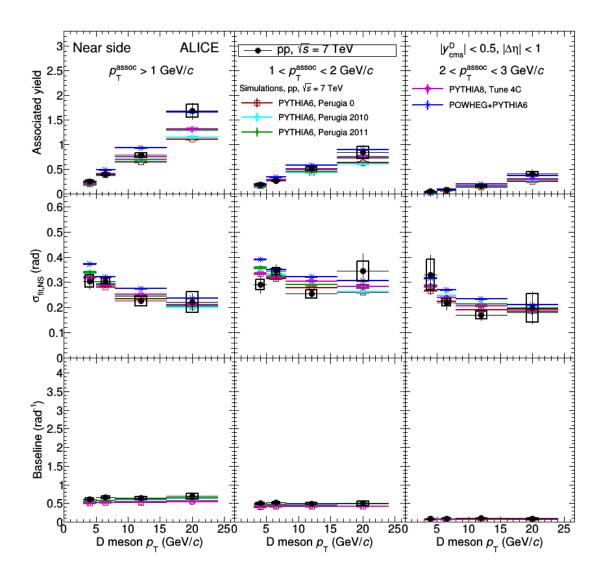
58 SESULTS





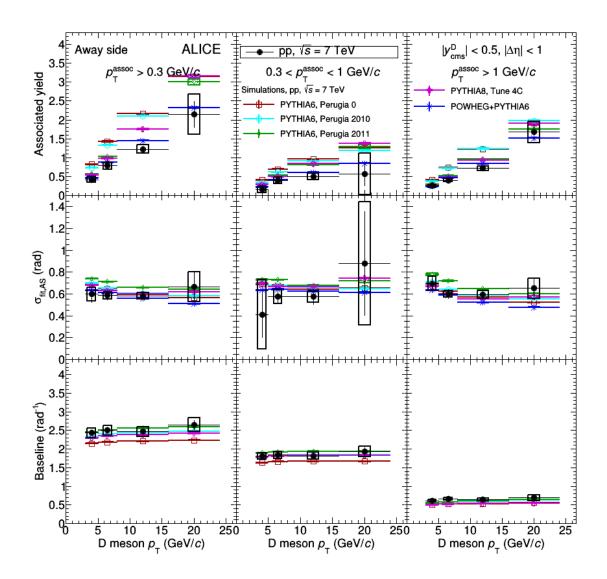
**Figure 35:** Comparison of  $\Delta \varphi$  azimuthal distribution for D-meson averages, obtained from data and simulations different event generators (PHYTIA, with three tunes, and POWHEG+PYTHIA), in the different kinematic ranges analyzed.

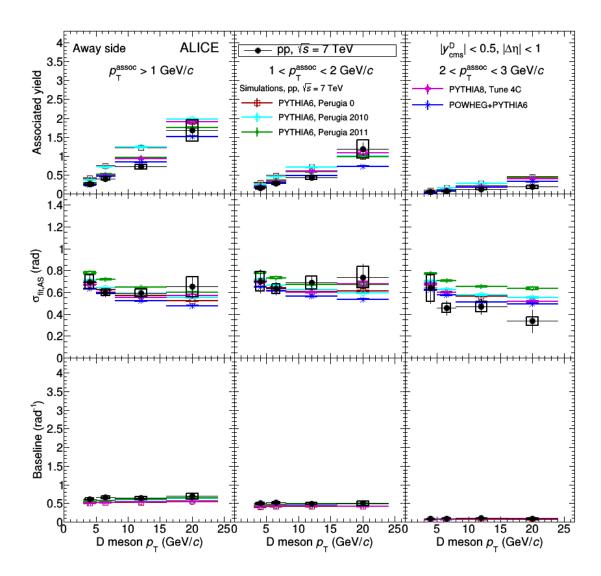




**Figure 36:** Near-side fit parameters obtained for D-meson averages, extracted from data and simulations different event generators (PYTHIA,6 with three tunes, PYTHIA8 and POWHEG+PYTHIA), in the different kinematic ranges analyzed.

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**Figure 37:** Near-side fit parameters obtained for D-meson averages, extracted from data and simulations different event generators (PYTHIA6, with three tunes, PYTHIA8 and POWHEG+PYTHIA), in the different kinematic ranges analyzed.

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