

ANN implementation & Cascade Correlation analysis



*MONK's Problems & ML-CUP25 Classification and
Regression Tasks*

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Type of project: **A**

Introduction and objectives

This project presents the design and implementation of a neural network framework developed from scratch in Python which supports multiple training algorithms, regularization strategies, and validation schemes.

For both classification and regression problems different training approaches (standard backpropagation and a cascade-correlation–inspired method) were compared. Results highlight the impact of architecture, regularization, and model selection strategies on generalization performance.

MAIN GOALS:

- To study the behavior and performance of feed-forward neural networks trained with different learning strategies.
- To analyze generalization behavior.
- To evaluate alternative training approaches beyond standard backpropagation.
- To analyze learning dynamics.

Software design & libraries

LANGUAGE AND LIBRARIES USED: Python 3.14, Numpy, Joblib, Matplotlib, Pandas, Graphviz.

The implementation of a custom neural network allowed full control over the network architecture, weight initializer and training algorithms and over loss functions and momentum.

Its main characteristics are:

- Fully-connected feed-forward neural network which allows for modular architecture.
- Support for classification and regression tasks.
- Ensemble methods were only adopted for the MONK classification tasks to reduce variance and improve robustness.
- Multiple independently trained networks were combined.
- Final predictions were obtained by averaging model outputs.

Architecture & implementation specifics

Single and multi-hidden-layer MLPs whose number of hidden units was selected via model selection.

- ACTIVATION FUNCTIONS: Sigmoid, Tanh.
- LOSS FUNCTIONS: Half MSE, MSE, MEE, MAE, Logcosh, Binary Crossentropy, Huber.
- TRAINING ALGORITHMS: Stochastic Gradient Descent (SGD), SGD with momentum, RPROP and QuickProp.
- REGULARIZATION: L2 (Tikhonov).
- OPTIMIZATION DETAILS: Mini-batch training, learning rate decay on validation plateau, early stopping based on validation error.

Implementation specifics for CC

- Number of hidden units: fixed at 20 and controlled by the max_hidden_units hyperparameter;
- Activation function: tanh to map inputs/outputs in the range $[-1, 1]$ both in the hidden and output units;
- Training algorithms: Quickprop and Rprop to speed up convergence and Gradient Ascent to maximize the correlation score S ;
- Learning mode: Full-Batch learning to ensure stable convergence statistics.
- Xavier initialization applied to weights to maintain variance across connections;
- L2 regularization to prevent overfitting;
- Stop conditions: when the validation error stops improving for a set num of epochs (patience) or The network stops adding new hidden units when the error is low enough (tolerance) or the maximum size is reached.

Preprocessing & validation schema

INPUT NORMALIZATION:

- MONK: one-hot encoding.
- CUP: min-max normalization.

OUTPUT NORMALIZATION:

- CUP: Targets scaled to symmetric ranges compatible with Tanh.

Denormalization was applied before computing final performance metrics.

Dataset shuffle both for MONK's problems and CUP.

MONK DATASET:

- Predefined training and test sets.

Test set used only once for final evaluation.

CUP DATASET:

- Explicit split into Training / Validation / Internal Test sets.

Validation set used for model selection and internal test set used for final assessment before blind test.

Validation schema & Screening phase for CC

We implemented a Hold-Out validation strategy managed by `data_manipulation.py`. The dataset was partitioned into a Training Set (85%) for weight optimization and a Validation Set (15%) specifically used to guide the constructive early stopping mechanism, while keeping an Internal Test Set completely isolated for the final model assessment.

The preliminary screening phase revealed that the constructive nature of the Cascade algorithm drastically alters the loss landscape compared to static topologies. Consequently, standard patience settings proved inadequate, requiring a calibrated two-stage search protocol (Coarse and Fine) to identify the optimal balance between learning rates and tolerance thresholds.

Hyperparameter space of CC

Model selection was conducted via a parallelized Grid Search implemented in `cascade_grid_search.py`. We explored a comprehensive hyperparameter space including learning rate, patience, tolerance, and the regularization factor.

The architecture dynamically toggled between QuickProp and RProp update rules to maximize convergence speed. The search results highlighted that while deeper networks reduced training error, they required significant L2 regularization to maintain generalization capability on the validation set.

| SEARCH SPACE | | | | | |
|---------------|----------|-----------|------------------|-----------|--------|
| Learning rate | Patience | Tolerance | Max hidden units | Algorithm | Epochs |
| 0.001 | 50 | 0.001 | 20 | QuickProp | 2000 |
| 0.005 | | | | | |
| 0.01 | 80 | 0.0001 | | Rprop | |
| 0.05 | | | | | |

Table 1. Parameters set in the grid search for multi-output regression task.

| BEST CONFIGURATIONS FOUND | | | | | |
|---------------------------|-----------|--------------|-----------|-----------|-----------|
| Rank | Algorithm | Hidden units | TR MEE | VL MEE | TS MEE |
| 1 | QuickProp | 20 | 16.782978 | 23.525320 | 24.716925 |
| 2 | QuickProp | 20 | 92.172781 | 90.402928 | 90.296196 |
| 3 | QuickProp | 20 | 92.134299 | 90.756010 | 90.923462 |

Table 2. Ranking of the three best configurations found for CUP regression task.

Final model selection

Model selection was made through GRID SEARCH which allowed for exhaustive exploration of learning rate, L2 regularization parameter, momentum and network architecture.

Average validation performance was used for comparison.

SELECTION CRITERION:

Lowest validation loss, stable learning curve and limited gap between training and validation error.

FINAL MODEL CHARACTERISTICS:

- Multi-layer architecture.
- Tanh activation.
- SGD with momentum.
- L2 regularization.
- Early stopping.

ANN grid search on Monk problems

| Task | Learning rates tested | L2 lambda tested | Best L2 lambda found | Best learning rate found | Seeds per learning rate | Best seed found |
|---------------|------------------------------------|---|----------------------|--------------------------|-------------------------|-----------------|
| MON K 1 | [0.3, 0.4, 0.5, 0.6, 0.7, 0.8] | / | / | 0.1 | 5 | 223 |
| MON K 2 | [0.001, 0.002, 0.003, 0.005, 0.01] | / | / | 0.005 | 5 | |
| MON K 3 | [0.05, 0.1, 0.15, 0.2, 0.25, 0.3] | / | / | 0.05 | 5 | |
| MON K 3 (reg) | | [0.003, 0.0005, 0.005, 0.1, 0.15, 0.2, 0.25, 0.3] | | | | |

Table 3. Grid search for the Monk tasks.

ANN grid search on CUP problem

| Task | Learning rate tested | Best learning rate found | L2 Lambda tested | Best L2 Lambda found | Momentums tested | Best momentum found | Architectures tested | Best architecture found | MSE (TR) /MEE(VS) / MEE(TS) |
|------|-----------------------|--------------------------|---------------------------|----------------------|------------------|---------------------|---|-------------------------|----------------------------------|
| CUP | [0.005, 0.001, 0.002] | 0.005 | [0.00005, 0,0001, 0.0005] | 0.0005 | [0.9, 0.95] | 0.9 | [12, 50, 30, 4], [12, 60, 40, 4]. [12. 80, 60, 4], [12, 60, 40, 20, 4], [12, 60, 4], [12, 80, 4] | [12, 60, 40, 4] | 0.125161/ 26.2214/ 26.2644 |

Table 4. Grid search for the CUP task.

Final model assessment for CC

The final model was selected by isolating the configuration with the lowest Mean Euclidean Error (MEE) on the Validation set, prioritizing architectures with stable learning curves to ensure robust generalization.

| FINAL MODEL HYPERPARAMETERS | | | | | |
|-----------------------------|----------|-----------|------------------|-----------|-----------------------|
| Learning rate | Patience | Tolerance | Max hidden units | Algorithm | #Epochs, Momentum, Mu |
| 0.040000000000000001 | 70 | 0.001 | 20 | QuickProp | 2000, 0.0, 1.5 |

| MEE VALUES (performance metrics calculated on the original scale) | | |
|---|-----------|-----------|
| MEE TR | MEE VL | MEE TS |
| 16.953521 | 22.744240 | 23.938295 |

Estimation of the training computing time: 16.3 min
HW resources: CPU: AMD64 Family 23 Model 24 Stepping 1, Authentic AMD Ryzen5, Cores: 8, OS: Windows 11

ANN – Monk results

| Task | Architecture | Loss type | Training algorithm | Momentum | Max epochs | Batch size | patience | Actual epochs trained | L2 lambda | Decay | Activation function | Weight initializer | Half MSE (TR/VS/TS) | Accuracy (TR/VS/TS) (%) |
|--------------|--------------|-----------|--------------------|----------|------------|----------------|----------|-----------------------|-----------|-------|---------------------|--------------------|------------------------------------|---------------------------------|
| MONK 1 | [17, 5, 1] | Half MSE | SGD | 0.9 | 1500 | 1 (SGD online) | 50 | 242 | 0.0 | 0.9 | Sigmoid | Xavier | 0.000364/ 0.000364/ 0.000358 | 100% /100% / 100% |
| MONK 2 | [17, 8, 1] | Half MSE | SGD | 0.9 | 2000 | 1 (SGD online) | 50 | 235 | 0.0 | 0.9 | Sigmoid | Xavier | 0.000812/ 0.000812/ 0.000788 | 100% /100% / 100% |
| MONK 3 | [17, 6, 1] | Half MSE | SGD | 0.85 | 2000 | 1 (SGD online) | 50 | 235 | 0.0 | 0.9 | Sigmoid | Xavier | | 97.26% / 97.96% / 98.84 % |
| MONK 3 (reg) | [17, 6, 1] | Half MSE | SGD | 0.85 | 2000 | 1 (SGD online) | 50 | | / | | | | | |

Table 5. Average predictions results for the Monk's task.

| Task | Half MSE (TR/VS/TS) | Accuracy (TR/VS/TS) (%) |
|--------|---|--------------------------------|
| MONK 1 | 0.016839 / 0.019390 / 0.029984 | 98.8372% / 94.7368% / 93.5185% |
| MONK 2 | [0.001, 0.002, 0.003, 0.005, 0.01] | 5 |
| MONK 3 | [0.003, 0.0005, 0.005, 0.1, 0.15, 0.2, 0.25, 0.3] | 5 |

Table 6. Ensemble results for the Monk's task.

ANN – Monk learning curves

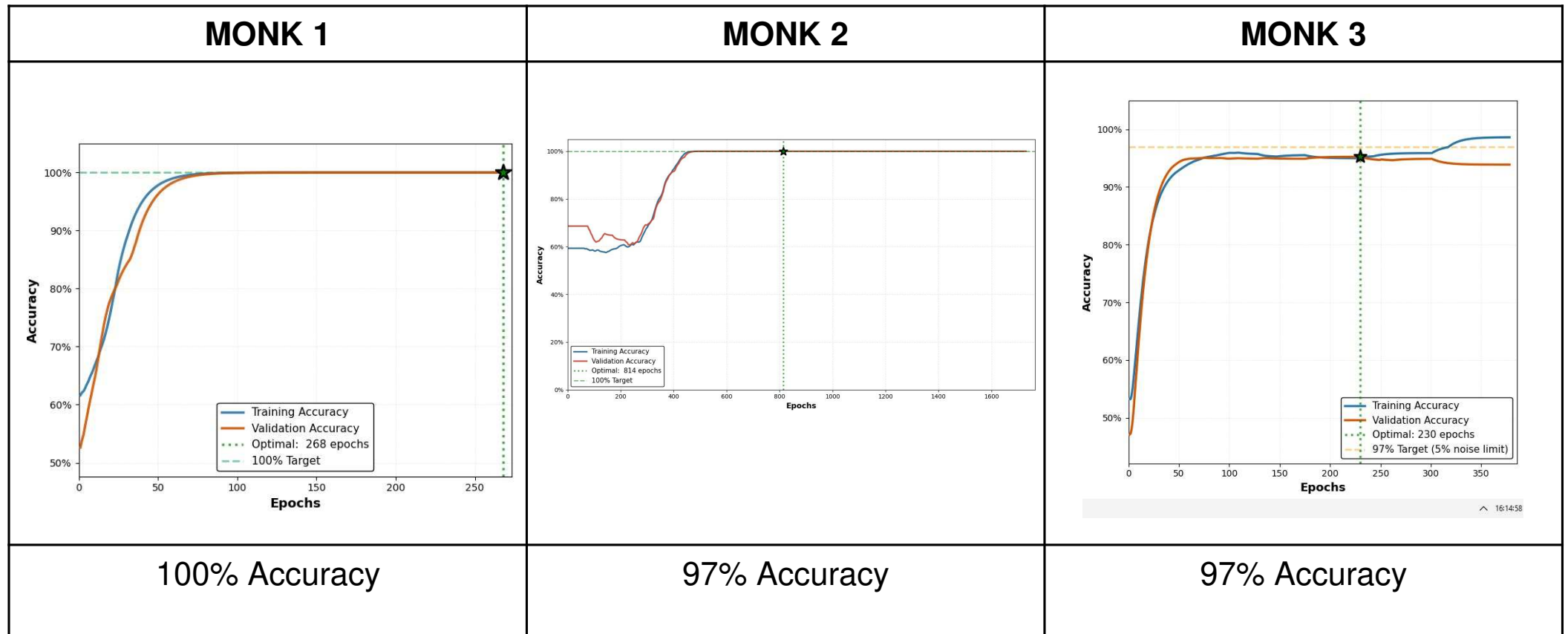


Table 7. Plots of the accuracy obtained on Monk's problems.

ANN – Monk confusion matrices

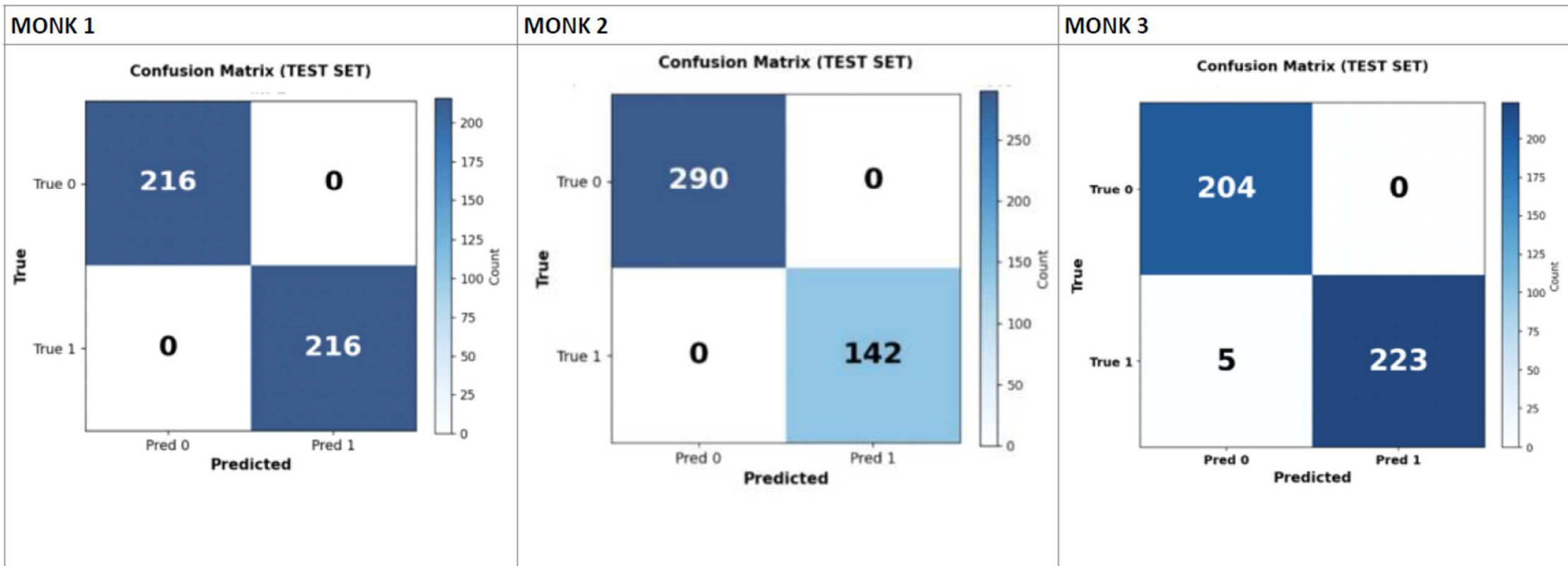


Figure 1. Confusion matrixes for the three MONK's benchmarks.

CC – Monk results

| TASKS | #eta, patience, tolerance, max units, algorithm, l2_lambda, epochs, mom, (mu , eta plus, eta minus) | MSE (TR/TS) | ACCURACY (TR/TS) |
|---------------|--|-------------------|------------------|
| MONK 1 | 0.2, 60, 0.001, 6, Rprop, 0.0, 2000, 0.0, (1.2 , 0.5) | 0.000290/0.001123 | 100%/100% |
| MONK 2 | 0.24, 60, 0.001, 6, QuickProp, 0.001, 2000, 0.0 (1.5) | 0.000150/0.000160 | 100%/100% |
| MONK 3 | 0.01, 30, 0.03, 2, 0.1, QuickProp, 2000, 0.0 (1.5) | 0.062535/0.054864 | 91%/96% |

Table 8. Average prediction results obtained for the MONK's tasks.

CC - Monk-1 learning curves

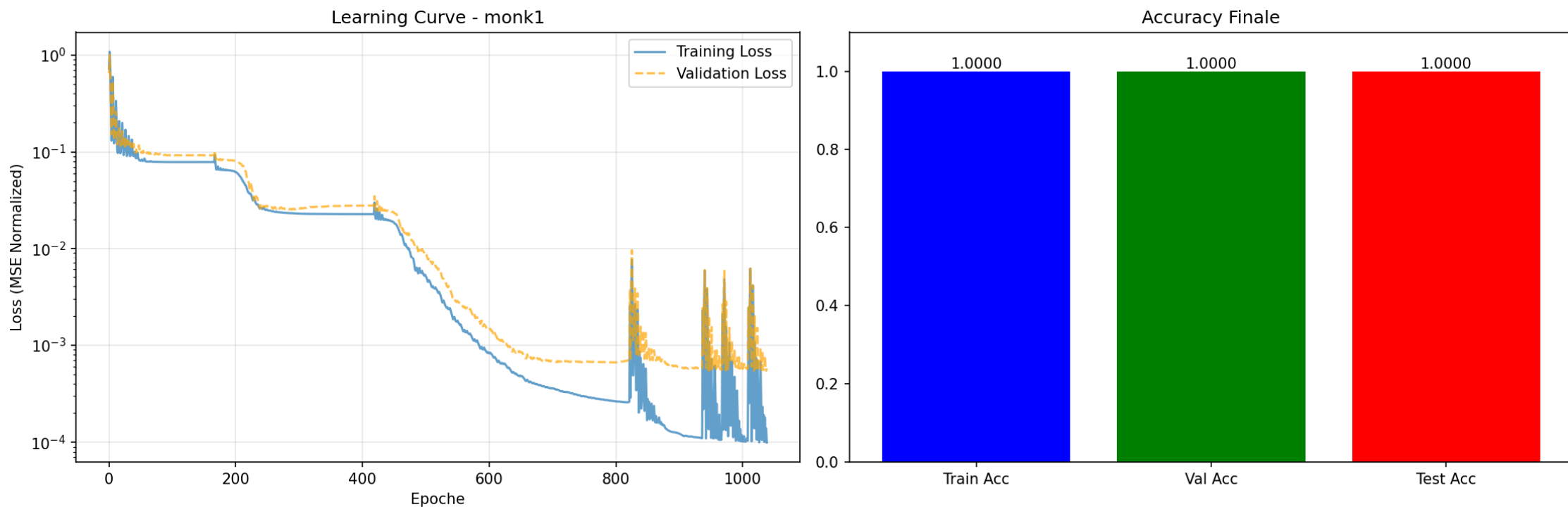


Figure 2. Plot of the Learning Curve and accuracy obtained on Monk 1 with Cascade Correlation.

CC - Monk-2 learning curves

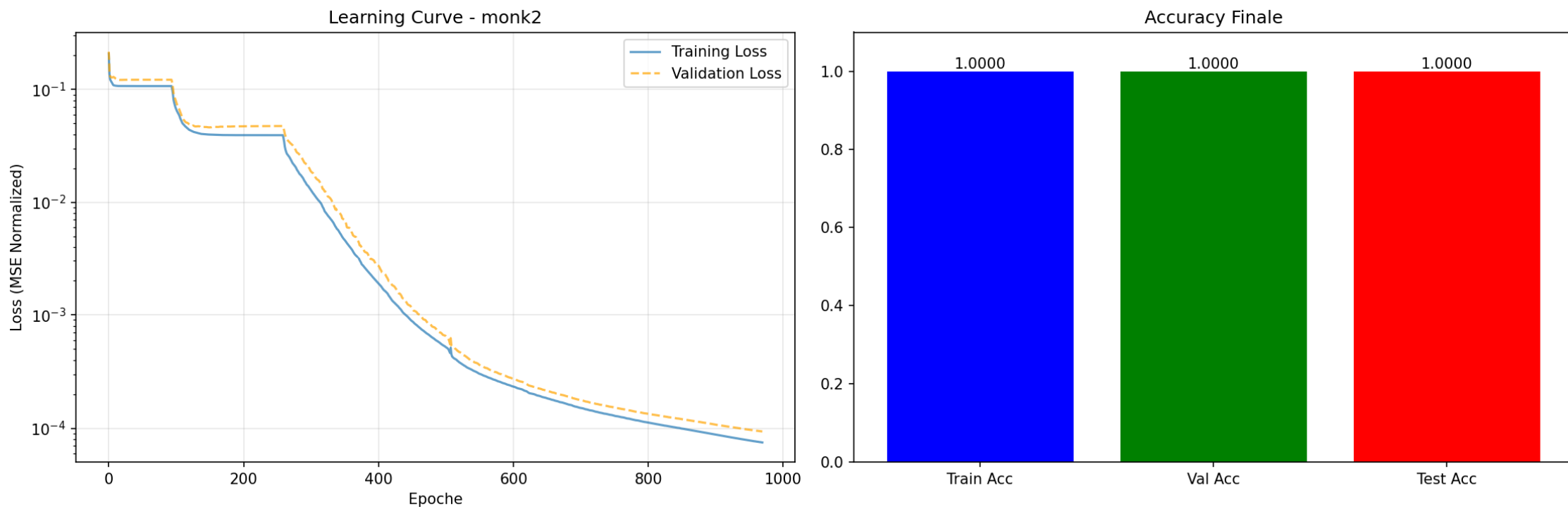


Figure 3. Plot of the Learning Curve and accuracy obtained on Monk 2 with Cascade Correlation.

CC - Monk-3 learning curves

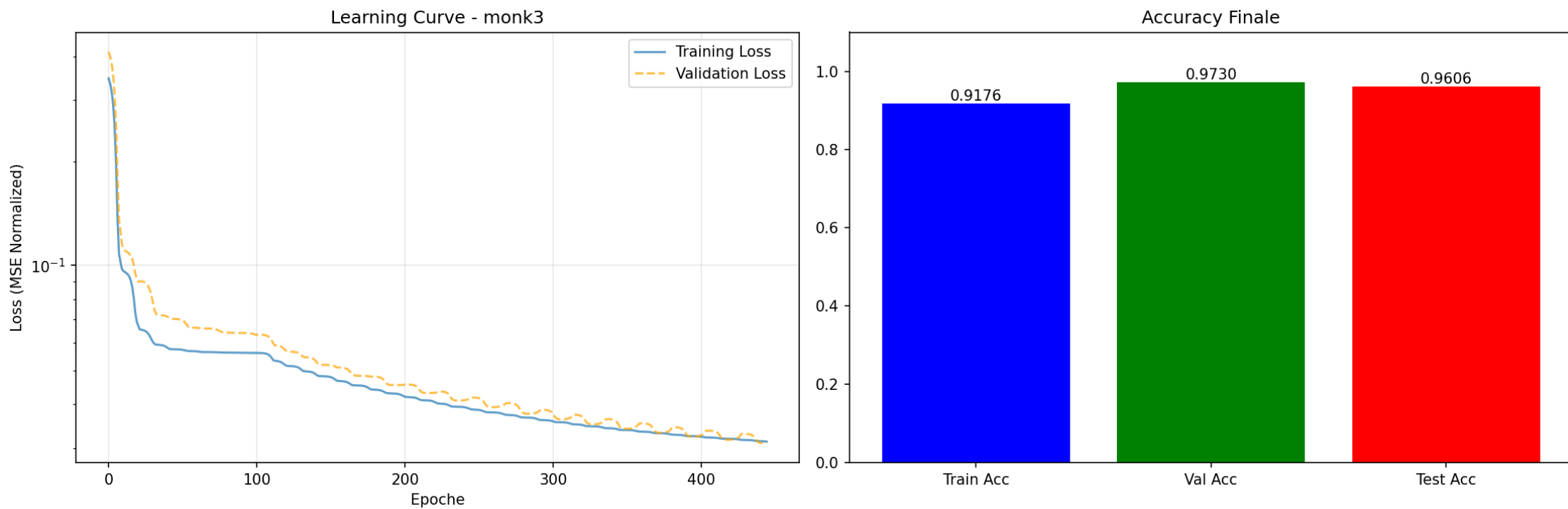


Figure 4. Plot of the Learning Curve and accuracy obtained on Monk 3 with Cascade Correlation.

ANN – CUP results

| Task | Training algorithm | Loss type | Batch size | Max epochs | Patience | Decay | Actual epochs trained | μ | Weight initializer | Activation function | Seed |
|------|--------------------|-----------|------------|------------|----------|-------|-----------------------|-------|--------------------|---------------------|------|
| CUP | SGD | MSE | 16 | 1500 | 150 | 0.98 | 242 | 1.75 | Xavier | Tanh | 345 |

Table 9. Average predictions results for the CUP's task.

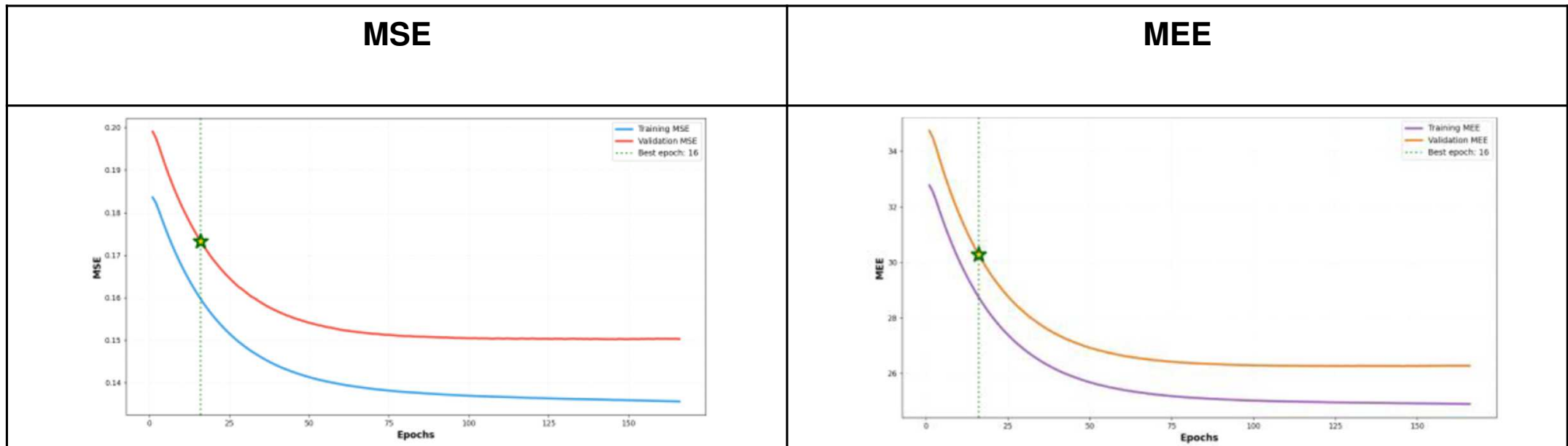


Figure 5. Plot of MSE loss (TR/VS), MEE loss (TR/VS) the CUP's task.

CC - Final model learning curve



Figure 6. Learning curves obtained on CUP regression task with Cascade Correlation.

Comparison of training approaches

Standard backpropagation (SGD + momentum):

- Stable convergence.
- Strong baseline performance.

Cascade-correlation–inspired approach:

- Different convergence dynamics.
- Competitive validation performance.
- Higher training cost in many configurations.

Both approaches achieve comparable generalization when properly regularized.

Discussion and conclusions

Regularization is essential for stable generalization on CUP.

Larger architectures improve expressiveness but increase overfitting risk.

Random grid search explores the hyperparameter space more efficiently than exhaustive grid search.

The Cascade Correlation approach identified regularization as the single most critical factor for the Cascade-Correlation architecture on the CUP dataset; without it, the aggressive unit-addition strategy tends to overfit the training noise. Furthermore, while RProp demonstrated faster convergence in early stages, QuickProp provided superior stability as the network depth increased.

Blind Test Results: PlusUltra_ML-CUP25-TS.csv

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