# **Dublin City University School of Computing**

**CA4009: Search Technologies** 

**Section 1: Introduction** 

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#### **Rationale**

We are surrounded by many rapidly growing archives of digital media.

Content can be from many sources (e.g. publishers, web, personal, ...) and be in one or more different media forms or languages.

- text: books, professional publications, the web, social media (Facebook, Twitter, Linkedin, ... etc.), enterprise content
- speech: radio, TV, lectures, meetings
- music: recordings, scores
- video: movies, TV, surveillance
- images: scanned images, trademarks, photo archives (Flickr, Picasa, ... etc.)

# **Rationale**

The ability to store and retrieve digital content online gives us the possibility of instant access to potentially unlimited amounts of information.

This information can be used for many purposes, e.g. education, business, research, entertainment.

Storing and distributing all this information is in itself a significant achievement,

but, ... it only has real value if useful content can be located reliably and efficiently when it is of *use* or *relevant* to people!

# Scope of CA4009: Search Technologies

The fundamental question addressed by this module is:

"How can we efficiently identify and access useful information from within collections of various media types for different application areas?"

This module is about: the challenges, technologies and evaluation of *information retrieval* or *search* as applied to multiple content media types.

- i.e. how can we automatically search for things in collections of documents or other digital items?
- why this is difficult, current commercial and research technologies which seek to do this, and how we can test how well they work.

# **Scope of CA4009: Search Technologies**

This module is *NOT* about:

how to use the latest facilities of *Google*;

how to stream music and video from the internet;

search engine optimisation (as least not directly!);

etc.

#### **Definition of Information Retrieval**

The purpose of an **information retrieval (IR)** system is *to satisfy a user's* information need.

The IR system seeks to locate *relevant* content.

Relevant content is content which *the user* deems to completely or partially fulfil their information need, e.g. a web page, image, video or audio clip.

- IR is concerned principally with unstructured information, e.g. as found in natural language texts or videos.
- the lack of structure precludes use of traditional database technologies.

Given the visibility of databases in computing courses, it is perhaps surprising to learn that the majority of digital information is actually stored in unstructured form.

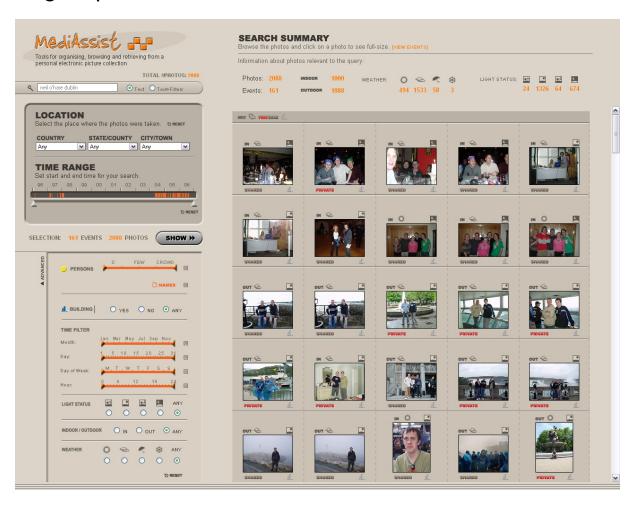
# **Challenges of Information Retrieval**

How would we search for this image?



# **Challenges of Information Retrieval**

MediAssist digital photo search with location and time.



# **Challenges of Information Retrieval**

The MediAssist system enabled users to search personal photo archives based on *time* and *location* at which photo was taken.

But also using features such as *light status*, and *likely weather conditions*.

The usability of the system was enhanced by "smart" design ideas such as mapping the date to time periods, e.g. weekend, middle of the week, summer, winter, etc.

We can think of these ideas as "smart" rather than "clever" since they are based on insights into what might be useful, rather than being technically sophisticated.

Such "smart thinking" is a common feature of successful IR systems.

# **Module Objectives**

- Gain an appreciation of the diverse technologies that can be useful in accessing information contained in unstructured content from different sources, for different user needs, in different languages and/or in different media.
- Gain a basic technical understanding of several of these technologies.
- Understand the importance and difficulty of meaningful evaluation in the development of IR systems.

#### **Module Outline**

The following topics will be covered in this module:

- Hypertext, the WWW & XML
- Text Retrieval
- Text Retrieval for the WWW
- Information Summarisation
- Semantic Search
- Enterprise Search
- Recommender Systems
- Multimedia Information Retrieval: audio speech and music; images;
   video

#### **Module Assessment**

70% – written examination

details of format will be made available in advance.

30% – Continuous Assessment

- 15% Laboratory exercises week 7 onwards
- 15% Design project details and deadline will be published shortly.

Please note: Much of this module is based on material derived from the discontinued module *CA437*: *Multimedia Information Retrieval*. However, CA4009 is a separate module, and includes material not covered in CA437 and excludes some old material from CA437. The module will be assessed based on the material covered in CA4009 in the current academic year.

# **Module Texts and Study Resources**

#### Main texts:

Introduction to Information Retrieval, C. D. Manning, P. Raghaven and H.Schutze, Cambridge, 2008.

#### Supplementary texts:

Modern Information Retrieval: the concepts and technology behind search (Second edition), R. Baeza-Yates and B. Ribeiro-Neto, Addison Wesley, 2010.

Natural Language Processing for Online Applications Text Retrieval, Extraction and Categorization, P. Jackson and I. Moulinier, JB, 2002.

Study resources for this module will be made available via Loop.

#### **Introduction to Information Retrieval**

### **Approaches to Information Management**

Information retrieval (IR) systems seek to enable us to locate information relevant to our needs more efficiently and more effectively.

Without IR facilities to do this, we would potentially need to read all the available books, articles, etc to find the information that we need.

This is a completely brute force search approach, and obvious entirely impractical for anything more than a very small collection of information items.

With IR, we can greatly reduce user effort by directing them to potentially relevant materials which may contain the information necessary to satisfy our information need.

#### **Introduction to Information Retrieval**

#### **Approaches to Information Management**

Information can be formally organised to assist manual searching. For example:

Flat collection of homogeneous objects:

library card catalogues, files in a large directory, database records, ...

Hierarchical organisation: used extensively in manual information structuring:

filing cabinets, files, documents with chapters, sections, subsections,
 Dewey decimal classification of books,...

While this physical organisation can be efficient if it is clear how to organise the information. However, it is often not clear how the information should be organised or where individual items should be placed.

#### **Introduction to Information Retrieval**

#### **Approaches to Information Management**

One way to associate information with multiple places is *cross-referencing*: links to related information, possibly of interest to the reader:

• These can be implemented using: footnotes, "see also" notes, post-its, encyclopedia references,...

A generalisation of this idea is *hypertextual* linking:

• an extended form of cross-references, multiple information links and no superimposed hierarchy, navigation by following links, ...

links between WWW pages and within *wikipedia* are classic examples of hypertextual information structuring.

But this method of information linking, seeking and navigation does not scale.

#### **Introduction to Information Retrieval**

# **Approaches to Information Management**

Links and structures are limited to the specific intentions of the creator of the links.

Often people will not have the time or resources to place all the links that might be included, or it will not be worth the investment of their time to do so.

As structures become larger and more complex, the absence of all possible useful links means that it will not be possible to use them to locate relevant information efficiently.

In this context search provides a means to locate relevant information at arbitrary positions within such structures.

#### **Introduction to Information Retrieval**

Research in manual indexing to enable location of relevant information has been undertaken by library scientists since the mid-1800's, e.g development of the Dewey decimal system for relative location of books in a library.

Early work in *automatic* indexing and document abstracting began in the 1950's.

As such information retrieval and summarisation are one of the oldest applications of computers.

Computers were used to search for manually labeled items and also for items labeled automatically from the title, abstract, etc.

#### **Introduction to Information Retrieval**

Tests at Cranfield, U.K. in 1966 showed that automatic indexing produced equivalent results to manual indexing. This was a major surprise to those developing the systems.

– Why might automatic systems be as good as or better than human indexes?

IR systems were once used almost exclusively by expert users such as librarians.

Largely due to the WWW, they are now a ubiquitous tool used in online daily life used by many millions of people. variety of users.

- What are the implications of this change for interaction with IR systems?

#### **Introduction to Information Retrieval**

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Archives may contain billions of documents, e.g from the web, usually *only a small number are relevant* to the *user's information need*.

Finding the ones which are relevant is very difficult.

This really is a needle in a haystack problem!

- As a user, what is your current mental model of how a web search engine works?
- What do you think the mental model of the average user of a web search engine is?

#### **Introduction to Information Retrieval**

It is important to realise (and I think easily forgotten!) that the only information available to an IR system describing the user's *information need* is their *information search request* or *query*.

The user may have a clear picture of what they are looking for, but if their query does not describe this adequately the IR system may perform poorly.

Requests entered to web search engines typically consist of about 2.5 words.

– What does this generally mean in terms of the description of the information need contained in search query?

### **Introduction to Information Retrieval**

Users have various forms of information need. For example:

- verificative vs explorative: "is this correct?" vs "tell me about"
- precise vs vague: "Who directed Black Panther?" vs "tell me about the movie Black Panther".
- shifting *vs* static topics: "Keep me informed on breaking news stories about the All-Ireland final." *vs* "What is the GAA?"

These different types of information need may be addressed by a single fact, single relevant document, multiple relevant documents, or by a regular feed of updated relevant documents.

Properly addressing these varied types of information need require the IR system to function differently for each one.

### **Introduction to Information Retrieval**

Interaction: Users interact with systems.

Stated requests are NOT the same as information needs.

You can try to describe your information need in natural language, but unless your request is very simple, your description will fall short of expressing your often complex need.

What you are looking for can depend on context, e.g. time, location, personal experience, interest, subject knowledge.

These factors are generally not included in the search request.

 Relevance needs to be judged in relation to information need NOT the stated search request.

This means that it needs to be judged **manually**, ideally by the person with the information need.

# The Searcher and the IR System: A Cognitive View

- An information need arises from an anomalous state of knowledge (ASK) - in an ASK the searcher realises that they have a need for information, e.g. to complete some task.
- The process of resolving an ASK is essentially a cognitive process on the part of the user.
- Information seeking is part of the process.
- Users' models of information seeking are strongly influenced by systems.
- Conceptually trying to resolve an ASK makes IR hard, since it implies
  that the searcher needs to create a search request to look for
  information that you don't know. This can be stated more formally as the
  idea that there is a "non-specifiability of need" problem.

# The Searcher and the IR System: A Cognitive View

The ASK means that the user may not know or use language correctly to form a search request which properly describes their information need.

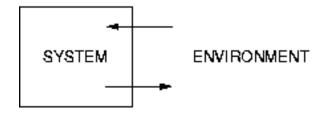
This presents a fundamental challenge to IR systems:

If users are not able to accurately describe their information need, then how can an IR system which is designed to return documents which match the query, reliably resolve an ASK?

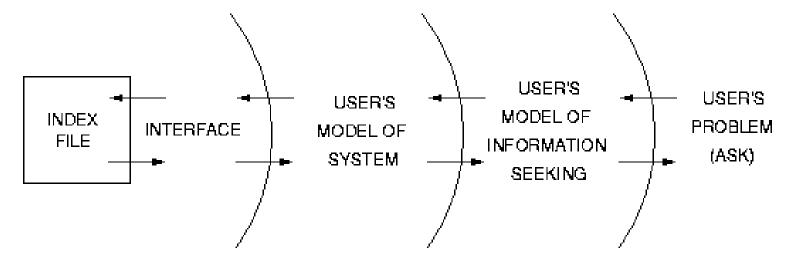
This is a challenge for all search engines.

- How do you deal with this problem when using a search engine?

# The Searcher and the IR System: Boundaries



What is the "system" and where is the user?



It is very difficult to design experiments to assess the existence and placement of these boundaries.

# The Searcher and the IR System: A Cognitive View

The figure shows the process of resolving an ASK using an IR system.

- The user recognises that they have an need for information.
- The user creates a description of their information need as a query and enters it into the IR system.
- The IR system returns potentially relevant items.
- The user then evaluates the relevance of the items returned by the system, and determines if their information need has been satisfied.
- A user typically accesses items until their information need has been satisfied, or they revise the query and try again, or they give up!

### **User Queries**

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Searchers generally enter short, ambiguous and often inaccurate queries.

Example requests from a web query log:

- ecko
- football graduate assistanships
- heliocopter
- quick drive
- shoes

Some people try too hard by using complex logical constructs:

 Horses AND OR AND Equine AND or AND Horse AND AND AND Anatomy

# System Issues

In practice, most users are only concerned with the results of their search.

They rarely understand or consider the underlying system.

They even more rarely make use of sophisticated tools provided by a search engine.

- 1% use advanced search facilities.
- 10% use query syntax usually incorrectly!

Average length of search queries is around 2.5 words!

• Perhaps surprisingly this is true for both general interest and professional users carrying out search as part of their work, e.g. medical doctors.

# System Issues

IR systems are generally concerned with performance in terms of two key concepts:

- precision (fraction of retrieved items that are relevant) is generally more important than
- recall (fraction of available relevant items that have been retrieved).

Although there are special applications such as patent search where high recall is very important.

Precision and recall will be formally defined in a later lecture.

#### **Multimedia Information Retrieval**

Developments in computer hardware and networks mean that archives increasingly contain non-text content such as speech, image video and music.

Spoken content can be transcribed using automatic speech recognition or manually (but this can be expensive), we can then apply text search methods.

For image and video search, we can search textual annotations or use multimedia content features by directly matching on the visual contents.

Also, there is much legacy material in hardcopy printed form which cannot be searched automatically. This content must first be digitised to enable it to be searched online.

# **Multimedia Information Retrieval**

Music can be search based on signal processing to find similar items using notations such as music scores or MIDI representations.

Note that speech, video and music have a real-time temporal dimension.

As such free browsing individual retrieved items to find relevant material can be time consuming and inefficient.

In these situations it is desirable to augment retrieval of potential relevant items to include the facility to suggest where in the retrieved item the user should begin playback to most efficiently access relevant content.

# **Multilingual Information Retrieval**

We will begin by considering English language text information retrieval.

However, while English remains the dominant language of the web, the proportion contained in other languages continues to increase:

- it is generally agreed that English is now significantly < 50% of total web content.

Thus it makes sense to look beyond English language documents.

There are various aspects of this topic.

We need IR systems which enable search in different languages.

This enables users to search online for information in any language with which they are familiar.

# **Multilingual Information Retrieval**

But, also users may want to search for information only available in languages with which they are not familiar.

To support this, *machine translation* can be used to translate search requests, from one language to search for documents in one or more other languages.

Machine translation is currently far from perfect, but automated translations can enable users to gist the subject matter of retrieved material.

Retrieved documents can then be translated into the searchers native language to enable them to access the information.

Note: Many people can read material in a second language much better than they can write in it! For these users, document translation may not be needed.

#### **Semantic Search**

One challenge to effective retrieval is the query-document mismatch problem where a query and a relevant document describe the same concept using different words.

Application of semantic analysis to (at least partially) understand or interpret queries and documents. Understanding what the contents of the document means can help to address the mismatch problem, and thus improve reliability of retrieving relevant documents.

Topics covered within semantic search include:

 Development and use of knowledge graphs. These are used by Google to create information "cards" describing entities, including popular people, places, etc.

#### **Semantic Search**

- Question answering, where instead of providing documents whose content may address the user's information need, a question answering system interprets the query as a direct question and seeks to provide the answer to the question, e.g. the name of a capital city, the specific year that an event happened, etc.
- Vector representation of words and concepts. Words are represented in a common vector space enabling direct comparison of words and vector summation to represent complex concepts.
  - The vectors for "river" and "stream" may be similar.
  - The addition of the vectors for "Dublin" and "river" can be similar to the vector for "Liffey".

### **Enterprise Search**

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While people often think in terms of search relating only to web search engines, another important area is enterprise search.

Enterprise search is the practice of identifying and enabling content across an enterprise to be indexed, searched, and displayed to authorized users.

A major challenge is the need to index data and documents from a variety of sources such as: file systems, intranets, document management systems, e-mail, and databases; then to present an integrated list of ranked potentially relevant items from these sources.

Access controls are often vital if user access is to be restricted only to data and documents to which they have been granted access to within an enterprise.

## **Information Omnipresence**

The availability of information is now becoming ubiquitous via widespread internet connectivity to devices such as smartphones and tablets.

Internet connected devices can search for and download information in a wide variety of locations, or more generally *contexts*.

This means that users often now seek to exploit or rely on the web and other information repositories as ever present source of information to address their information needs instantly whenever and wherever they arise.

## **Information Omnipresence**

The selection and delivery of information can potentially take into account the user's *context*.

- location, time, weather, current associates, diary, potentially their current activities walking, driving, etc.
- Information can be supplied without interactive query input, e.g. while driving - travel conditions - wet roads, traffic congestion, etc. can be pushed to the user.

The same information may be pushed to all users matching a specific context, or delivery may be personalised based on a model of the user's interests.

#### **Personalization and Context**

Search engines behave the same for all users for any search request.

But!!: different users have different information needs at different times.

Should a search engine respond differently for different users?

Should a search engine respond differently for the same user in different contexts?

Should a search engine respond differently depending on the platform to which the information is being delivered?

Personalization and exploitation of context (time, location, biometric state, ... etc) offer the possibility of adaptation IR to deliver different results to different users in different settings.

## **An Emerging Topic – Lifelogging**

Lifelogging relates to capture, storage and exploitation of as many sources of information relating to an individual's life experiences as possible.

This can include: all computer activities, smartphone use, GPS tracking, but also images captured using a device such as the Microsoft SenseCam, and biometric sensor data capturing features such as heart rate, skin conductivity and skin temperature, which can vary with engagement with an activity or emotional response to a situation.

Lifelogs enable an individual to search for information from their past, reminisce or reflect on past experiences, share experiences (such as a holiday), etc.

# **An Emerging Topic – Lifelogging**

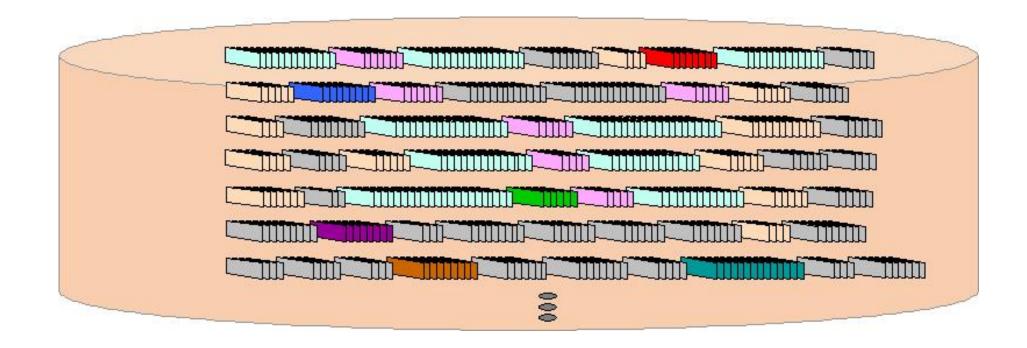
Ubiquitous image capture - Microsoft SenseCam<sup>a</sup>



<sup>&</sup>lt;sup>a</sup>You can now buy these as Vicon Revue http://www.viconrevue.com/proactive camera.

## **An Emerging Topic – Lifelogging**

How can we organise the many thousands of images captured by a SenseCam in a meaningful way to allow search and browsing to find useful and interesting things?

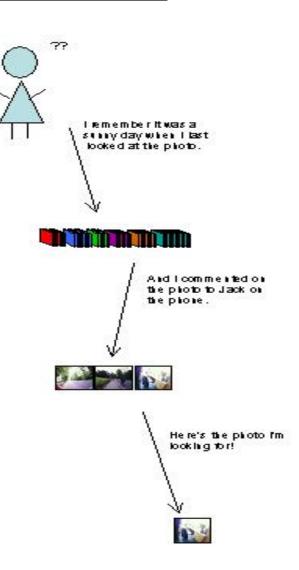


## **An Emerging Topic – Lifelogging**

How can we find things based only on context?

I remember it was a sunny day and I was talking with Jack on the phone when I last saw the photo.

Linking content and context for searching a "human digital memory."



# **Web Search Engines**

What are the features of a good search engine?

### **Components of an IR System**

The increasing challenges of search arising from the complexity of and volume of material available, and the advances in technologies such as personalisation and exploitation of data indicating the context of search, are increasing the complexity of search applications.

Nevertheless, a core component of a search application is standard text information retrieval.

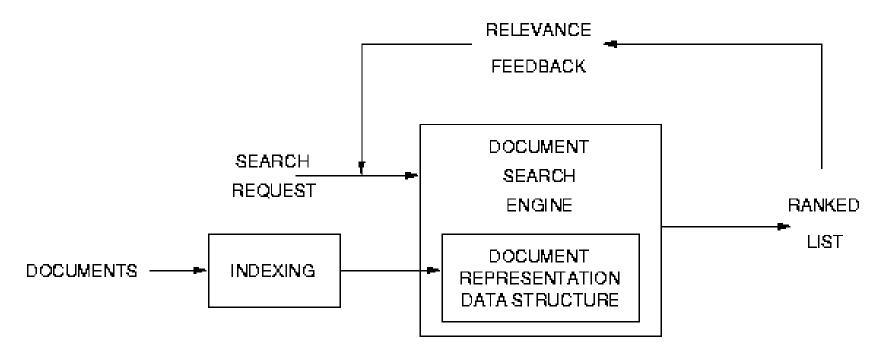
### **Components of an IR System**

Such text IR systems typically consist of a standard set of components, the exact specifications of the components for a specific IR system are subject to design decisions taken to develop a system suitable for the specific application for which it is intended.

The standard components are shown in the following diagram and then described in overview.

We will look at each of these in detail in later lectures of this module.

## **Components of an IR System**



Components of a standard information retrieval system.

### **Components of an IR System**

- Document Collection:
  - existing document set, e.g. business reports, newspaper articles.
  - web documents, collected using a web spider, crawler, robot, bot.

Documents usually need to be preprocessed to standard format, e.g. case conversion, removal of HTML, stop word removal, stemming.

- Document Indexing:
  - convert documents into a fast searchable format,.e.g. inverted file.

## **Components of an IR System**

- Search Request:
  - enter search request expressing user information need.
- Document Searching:
  - compute a set of potentially relevant documents, and return to user, usually ranked by a matching score, which is intended to rank documents in order of likelihood of relevance.
- Relevance Feedback:
  - modify search, e.g. expand query using extra search items, based on relevance data; and run the search again.

#### Web Search

Speed of response is crucial.

Also, even with the resources of a Web search company, efficiency is vital to minimise the computational cost of providing a search service.

Web search engines can potentially pre-compute results for popular queries - perhaps daily - then provide these results rather than re-computing the results each time this query is entered.

The scale of the Web means that the thousands of documents may match each user search request.

Text IR is an important component of Web search. However, it cannot reliably differentiate between these documents which match the query.

#### Web Search

How should a search engine determine which items are most likely to be of interest to the user?

In addition to text IR, Web search engines use many other features to help determine document rank including:

- Web link structure,
- frequency with which users click on each document,
- subjective "quality" of each webpage or website.

If you can think of a method to improve efficiency or effectiveness of an operational Web search engine, the chances are that it is already being used or has been tried and withdrawn because it was not useful for some reason.

How did we arrive at the current situation of vast online information resources?

Before the development of written scripts most information was passed by word of mouth.

Then before the development of the printing press documents were handwritten.

Handwritten copies of books were usually held in libraries; often in monasteries with no right of public access, but how many people could read anyway?

This means that individual copies of documents were rare and precious.

With the advent of printing and greater literacy, books became more widely available and more widely read. But,

- you still needed a copy of the book,
- manual typesetting meant that the printed book itself is the only source of the information.

It has been argued that history will view the development of the Internet as the third great development in knowledge dissemination.

The internet makes information is available from anywhere at any time - assuming that you have access to a networked computing device and permission to access the information.

What are the implications of this easy availability of information for literacy in society as a whole?

What might be the impact on those without access to the required technologies and/or access permission to the information and/or literacy skills and education to make use of the information available to them?

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Curiously early electronic preparation of documents was seen as a replacement for mechanical preparation, not as the creation of accessible digital content.

This was driven both by the mental model of document preparation and purpose - people expected to prepare and receive physical documents, and had no expectation of the existence of an electronic version.

Also, storage space was limited, and people were anxious to free up space on early PCs and macs for the production of their next document by deleting documents with which they were now finished.

The introduction of the internet, expectations of electronic versions, reduced storage cost and the practical advantages of making electronic content available online, have changed perspectives of this completely.

In the area of multimedia, early radio and television broadcasts were transmitted live with no copy being made.

Recording was regarded as a means of time shifting between performance and broadcast, and not as a means of making a permanent copy.

The master tapes from recorded radio and TV shows were often reused since they were not regarded as potentially valuable, and the physical master tape was comparatively expensive.

The world has moved on: recording and broadcasting agencies now have large archives and home recording and archiving is now common.

The means for people to make their own copies means that it may become more difficult to revise history!!

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There are now significant efforts to digitise the achieves of national broadcasters and other agencies.

Similar issues with photography. Traditionally photographs were produced as prints or slides with the negatives often stored, Many people are now digitising archives of physical photographs.

Digitized copies of documents and other content can be made available online for research purposes. This means that people have access to content that would either be too remote for them to visit or too precious or fragile for them to have permission to access. For example, rare handwritten manuscripts written hundreds of years ago.

## Moving from the Industrial Age to the Information Age

The industrial revolution saw the dawn of the **Industrial Age**. This encapsulated the concept of the means of production with the concept of economies of scale in production. The age of atoms.

We now see the development of the information revolution opening up the **Information Age**: Economies of scale with less regard for time and space. Manufacturing of information can take place anywhere at anytime.

The cloud for services and data storage is enabling the arrival of the invisible computer.

## Moving from the Industrial Age to the Information Age

In the information age broadcast of media reaches ever larger audiences.

The proliferation of broadcast channels gives access to huge amounts of information with increased possibilities for *narrowcasting*, where the audience is a small group wishing to receive specialist programming.

We now have a form of *post-information age* (Negroponte) where we can have an audience of size one, and the information provision is personalised potentially for each individual.

Note that this is quite different to narrowcasting to specific focused communities.

## Moving from the Industrial Age to the Information Age

Information provided to the individual can be augmented to include additional information and links related to the broadcast sources.

This additional information may be in different media or languages.

Video-on-demand or catch up TV services can be uniquely configured for the individual.

## **Post-Information Age**

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Information retrieval and access is related to both narrowcasting,

 how do we find the content related to a topical area that we are interested in?

and, post-information age personalisation,

how do we provide interesting and useful material to the individual?

In these applications search can help to provide this unique personal experience.

How should we best represent the user in this setting?

How should we best represent the user's context?

#### **Information Overload**

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With 4 or 5 TV channels you could "channel surf" - - with 4000 channels you can't – at least not efficiently.

You need a personal assistant or a personal agent to:

- choose your TV programmes for you,
- organise news (newspaper, TV) for you,
- schedule your diary and answer the telephone.

#### More generally:

- How can we filter out irrelevant information?
- How can we find other relevant material?
- Can we discover information within information? (information inference)

### **Information Overload**

Search is very important in addressing the problem of *information overload*.

The diversity of media and languages of online information means that we need to be interested in multimedia IR, multilingual IR and personalised IR.

Conceptually, we can think of search as providing a dynamic narrowcast to a personalised audience of one.

#### **Issues in Information**

It is perhaps easy to assume that the intention of the information society is that everyone will have access to all information, once everyone has access to computing devices connected to the internet.

But even a little thought reveals that this is obviously not true.

So we can begin to ask some important questions:

Who has the information?

Who has access to it?

Can we depend on the timing of its delivery?

### **Issues in Information**

### **Security of Information**

Security of information is important to businesses, governments (personal and national security issues), individuals.

We see regular breaches via hacking, etc.

The effects on individuals are rarely fully reported - identity theft issues, etc.

How can unauthorised access be prevented?

#### **Issues in Information**

### **Reliability of Information**

Information can be wrong.

Individual written records may be wrong:

- people make mistakes,
- software contains errors,
- sensor values may be wrong faulty sensors.

There is so much information that we cannot hope to manually check everything - and even if we could - the correct values may not be knowable (missing sensor data) or there may be further human mistakes.

### **Issues in Information**

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## **Reliability of Information**

Attempt to correct automatically:

- spelling correction,
- smoothing to look for outliers in values from sensors,
- use of knowledge sources to check values, e.g. facts in documents.

### **Issues in Information**

### Reliability of Information

Distribution of copies mean that information can be verified. If the copies are different, which one should be believed?

Digital information can be distorted.

every copy is a perfect replica of the original. No carbon dating!

Centrally held digital libraries can be modified.

online newspapers, images, video footage, "paintings"

Will we be able to trust the legacy of the digital age?

The novel 1984 by George Orwell provides much food for thought on the centralised manipulation of societal information.