

# **COL 362 & COL 632**

Relational

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# Functional Dependencies

- There are usually a variety of constraints (rules) on the data in the real world.
- **Legal instance of a relation:** an instance that satisfies all real-world constraints
  - A legal instance of a database = all relations are legal
- Require that the **value for a certain set of attributes** determines **uniquely the value for another set of attributes**.
  - A functional dependency is a generalization of the notion of a key.

# Functional Dependencies

- Let  $R$  be a relation schema  
 $\alpha \subseteq R$  and  $\beta \subseteq R$
- The **functional dependency**  
 $\alpha \rightarrow \beta$  holds on  $R$  if and only if

for any legal relations  $r(R)$ , whenever any two tuples  $t_1$  and  $t_2$  of  $r$  agree on the attributes  $\alpha$ , they also agree on the attributes  $\beta$ .

That is,  $t_1[\alpha] = t_2[\alpha] \Rightarrow t_1[\beta] = t_2[\beta]$

$R(A_1, A_2, A_3, B_1, B_2, B_3)$

$A_1 A_2 A_3 \rightarrow B_1$

$A_1 A_2 A_3 \rightarrow B_2$

$A_1 A_2 A_3 \rightarrow B_1 B_2 B_3$

$\alpha$   $\beta$

1963, LA, "Bucket List" →

# Functional Dependencies

- Example (let's figure out the right FDs)

Actor (Name, DOB, Address)

Movie (Title, Year, Language, StudioName)

PlaysIn (Name, DOB, Title, Year)

→ Name DOB → Address  
[ Title Year → Language StudioName NO  
Title → Language NO  
Language → Title Year NO  
Name DOB → Title Year NO

NO: 20  
yes: 10 ✓

Actor (Name, DOB, Address, MovieTitle, Year, Language) ↖

→ Name DOB → Address ✓  
Name DOB Address → Language ✗  
→ Name DOB MovieTitle Year → Address ✓  
MovieTitle Year DOB → Name ✗  
→ DOB Address MovieTitle → Name ✗  
DOB Address MovieTitle Year → Name ✗

Can you figure out the functional dependencies from the data?

# Functional Dependencies from Data

Name	DOB	Address	MovieTitle	Year	Language
Ayushmaan Khurrana	1984	Mumbai	DoctorG	2022	Hindi
Ayushmaan Khurrana	1984	Mumbai	Andhadhun	2018	Hindi
Henry Cavill	1983	Beverley Hills	Man of Steel	2013	English
Leonardo DiCaprio	1974	Beverley Hills	The Revenant	2015	English

Address → Language  
Name DOB → Address ✓



# Trivial and non-trivial FDs

- Trivial: In general,  $\alpha \rightarrow \beta$  is trivial if  $\beta \subseteq \alpha$
- Non-trivial: partial overlap of attributes
- Completely non-trivial: no overlap of attributes

$$\alpha \cap \beta \neq \emptyset$$
$$\alpha = \beta$$

Actor (Name, DOB, Address)

Movie (Title, Year, Language)

PlaysIn (Name, DOB, Title, Year)

Name DOB  $\rightarrow$  Address

Name DOB  $\rightarrow$  Name

Name DOB  $\rightarrow$  Name Address

Completely non-trivial

Trivial

Non-trivial

# Keys and superkeys

$\alpha' \subset \alpha$

Movie (Title, Year, Language, Length)

{Title, Year}

## Key

- Functionally determines all other attributes
- Minimal

Keys need not be unique

{Title, Year, Language}

## Superkey

- Functionally determines all other attributes
- Not necessarily minimal

## Terminology

- Key = Candidate Key
- Superkey
- Primary key
- Prime attribute

# Inferring FDs

- Given a set of FDs, which other FDs follow from it?
- **Example:**
  - Given: Name DOB  $\rightarrow$  Address  
Address  $\rightarrow$  City
  - Inferred: Name, DOB  $\rightarrow$  City



Inferred through *transitivity* of FDs



# Armstrong's Axioms

- Reflexivity

If B is a subset of A, then  $A \rightarrow B$

- Augmentation

If  $A \rightarrow B$ , then  $AC \rightarrow BC$

- Transitivity

If  $A \rightarrow B$  and  $B \rightarrow C$ , then  $A \rightarrow C$

# Closure of FDs

- **Given:**  $F$ , the set of FDs
- **Output:**  $F^+$ , the closure of  $F$ , containing all FDs derivable from  $F$

- **Example:**  $R(A, B, C, D, E)$

$AB \rightarrow C$   
 $C \rightarrow ED$

↑  
Basis (i.e.,  $F$ )



Is this set  $F^+$

$AB \rightarrow ED$   
 $AB \rightarrow E$   
 $ABC \rightarrow ED$   
 $C \rightarrow E$   
 $C \rightarrow D$