Rings and Fields

PMATH 334

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Preface

Disclaimer Much of the information on this set of notes is transcribed directly/indirectly from the lectures of PMATH 334 during Winter 2022 as well as other related resources. I do not make any warranties about the completeness, reliability and accuracy of this set of notes. Use at your own risk.

For the table of contents, I am most likely following Dummit, Foote: Abstract algebra.

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Introduction & Motivation

1.1 Fermat's Last Theorem

Fermat's Last Theorem

The equation $x^m + y^m = z^m$ has no non-trivial solutions in integers for $m \ge 3$.

For example, (1,0,1), (-1,0,1) for m even, are trivial solutions.

In 1897, Gabriel Lamé announced that he has a proof. First he assumed that m is a prime. He writes

$$z^{p} = x^{p} + y^{p} = (x + y)(x + \zeta_{p}y)(x + \zeta_{p}^{2}y) \cdots (x + \zeta_{p}^{p-1}y)$$

where $\zeta_p = \cos(\frac{2\pi}{p}) + i\sin(\frac{2\pi}{p})$. Consider the ring

$$\mathbb{Z}[\zeta_p] = \{a_1 + a_2\zeta_p + a_3\zeta_p^2 + \dots + a_{p-2}\zeta^{p-2} : a_i \in \mathbb{Z}\}$$

which is the smallest ring containing \mathbb{Z} and ζ_p .

Then the next step is to show that $(x + \zeta_p^j y)$'s are coprime in $\mathbb{Z}[\zeta_p]$. Let q_i 's be primes.

$$\prod_{i} q_i^{p\alpha_i} = z^p = (x+y)(x+\zeta_p y) \cdots (x+\zeta_p^{p-1} y)$$

If $(x + \zeta_p^j y)$'s are coprime in $\mathbb{Z}[\zeta_p]$, then $(x + \zeta_p^j y) = (\cdots)^p$ is of p-th power (*). But this is wrong if the factorization is non-unique. However, we have $\mathbb{Z}[\zeta_p]$ can be a unique factorization domain (UFD). This means (*) works. Kummer salvages the argument for approximately (conjecturally) 60% of prime exponents. And these primes are called regular primes.

1.2 Straightedge and compass construction

We are given a length 1 straightedge ruler, and a compass. With these, we can

- · connect two points with a straightedge,
- draw a circle, centered at A, and going through B,
- draw intersections of two line segments, circle & line, two circles.

What lengths are constructible? where length means distance between two points. We can do $+,-,\times,\div,\sqrt{}$. Then we can do field extensions:

$$Q \to \mathbb{Q}(\sqrt{2}) \to \mathbb{Q}(\sqrt{2},\sqrt{3}) \to \cdots$$

Is trisection of an angle doable? No, not possible.

Possible to double the cube, square the circle of the same area?

What regular *m*-gons are constructible? This is equivalent to the question: is $\cos(\frac{2\pi}{m}) + i\sin(\frac{2\pi}{m})$ constructible?

These can be answered via field extensions.

Other applications including coding theory.

An introduction to Rings

2.1 Definitions and basic properties

ring

A ring is a set with two binary operations +, \times , such that

- 1. (R, +) is an abelian group.
 - + is commutative and associative.
 - $\exists 0 \in \mathbb{R}, 0 + a = a + 0 = a \text{ for all } a \in R.$
 - $\forall a \in \mathbb{R}, \exists (-a) \in R, a + (-a) = (-a) + a = 0.$
- 2. \times is associative $(a \times b) \times c = a \times (b \times c)$.
- 3. distributive laws hold: $(a + b) \times c = (a \times c) + (b \times c)$.

The ring is called commutative if \times is commutative. The ring is said to have an identity if $\exists 1 \in R$, $1 \times a = a \times 1 = a$, for all $a \in R$, and this does not require the existence of inverse.

For simplicity, we write

$$ab := a \times b$$
, $b-a = b + (-a)$

Example:

 \mathbb{Z} is a commutative ring with identity.

Trivial rings: Let (R, +) be an abelian group. We define $a \times b = 0$ for all $a, b \in R$. The result is a commutative ring with "trivial structure".

 $R = \{0\}$ is a zero ring. 0 = 1 in this case, and it is the only such ring. It leads to assumption $0 \neq 1$, saying $R \neq \{0\}$.

 \mathbb{Q} , \mathbb{R} , \mathbb{C} are commutative rings with identity.

 $\mathbb{Z}_m = \{0, 1, \dots, m-1\}$ with $+, \times \mod m$ is a ring with identity, and commutative.

The real quaternions: $\{a+bi+cj+dk: a,b,c,d\in\mathbb{R}\}$. Addition is "component-wise". And the multiplication follows

$$i^2 = j^2 = k^2 = -1$$
, $ij = -ji = k$, $jk = -kj = i$, $ki = -ik = j$

And this is non-commutative ring, with identity 1.

Let *X* be a set, *A* be a ring. Consider the set $F = \{f : X \to A\}$. Define

$$(f+g)(x) = f(x) + g(x), \qquad (f \times g)(x) = f(x) \times g(x)$$

F commutative & having identity is inherited from the ring *A*.

 $M_m(\mathbb{Z})$ is the ring of square $m \times m$ matrices with coefficients in \mathbb{Z} . It is non-commutative ring with identity.

A function $f : \mathbb{R} \to \mathbb{R}$ is said to have compact support, if $\exists a, b \in \mathbb{R}$, f(x) = 0 for $x \notin [a, b]$. $R = \{f : \mathbb{R} \to \mathbb{R} : f \text{ has compact support}\}$ is a commutative ring, without identity.

Proposition 2.1

Let *R* be a ring. Then

- 1. 0a = a0 for all $a \in R$.
- 2. (-a)b = a(-b) = -(ab) for all $a, b \in R$.
- 3. (-a)(-b) = ab for all $a, b \in R$.
- 4. If *R* has an identity 1, then it is unique, and (-a) = (-1)a.

Proof:

We see that

$$0a = (0+0)a = 0a + 0a$$
$$0a - 0a = (0a + 0a) - 0a = 0a + (0a - 0a)$$
$$0a = 0$$

We also see that

$$(-a)b + ab = ((-a) + a)b = 0b = 0$$

We would like to be able to cancel with respect to x: ab = ac then b = c. However, this is not true in general.

Example:

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

However,

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \neq \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

2.2 Zero divisor and integral domain

zero divisor

A nonzero element $a \in R$ is called a zero divisor, if there exists $b \in R$ and $b \neq 0$, such that ab = 0 or ba = 0.

integral domain

A commutative ring with identity, $1 \neq 0$, is called an integral domain, if it contains no zero divisor.

Proposition 2.2

Let *R* be a ring. Assume that $a, b, c \in R$, and *a* is not a zero divisor. If ab = ac, then either a = 0 or b = c (i.e., we can multiplicatively cancel).

Proof:

Observe that

$$ab = ac$$

$$ab - ac = 0$$

$$a(b - c) = 0$$

As *a* is not zero divisor, then either a = 0 or b - c = 0.

If zero divisors exist, then cancellation does not hold:

$$ab = 0 = a \cdot 0 \not\Rightarrow b = 0$$

Remark:

In integral domains, $ab = 0 \implies a = 0$ or b = 0.

2.3 Field

division ring

A ring with identity 1, $1 \neq 0$, is called a division ring, if every nonzero element has a multiplicative inverse, i.e., for all $a \in R$, $a \neq 0$, there exists $b \in R$, such that ab = ba = 1.

Consider an example ab = 1 existing and ba = 1 not existing.

Example:

Real sequences $(x_1, x_2,...)$. Ring of operators on the sequences, \times is composition. Take

$$D: (x_1, x_2, x_3, \ldots) \mapsto (x_2, x_3, x_4, \ldots)$$

$$S: (x_1, x_2, x_3, \ldots) \mapsto (0, x_1, x_2, x_3, \ldots)$$

Then

$$D(S(x_1, x_2,...)) = Id(x_1, x_2,...)$$

but $S \circ D \neq Id$.

field

A commutative division ring is called a field.

Example:

 \mathbb{Q} , \mathbb{R} , \mathbb{C} are fields. Quaternions are "only" a division ring because non-commutative. \mathbb{Z}_p is a field for p prime.

Proposition 2.3

Any finite integral domain is a field.

 \mathbb{Z} is an integral domain, but far from a field.

Check Corollary 10.13 of PMATH 347.

Subring

subring

Let *R* be a ring. A nonzero subset $S \subseteq R$ is called a subring of *R*, if it is a ring with the operations from $(R, +, \times)$ restricted to S.

That means: $S \neq \emptyset$. $x + (-y) \in S$, $\forall x, y \in S$. $xy \in S$, $\forall x, y \in S$.

Example:

 $\mathbb{Z}_2 \subseteq \mathbb{Z}$, but \mathbb{Z}_2 is not a subring of \mathbb{Z} .

 $2\mathbb{Z} = \{2 \cdot z : z \in \mathbb{Z}\}$ (ring has no identity) is a subring of \mathbb{Z} (ring has identity).

Ring of matrices $M_2(\mathbb{R})$ (1 is identity matrix) has a subring $S = \left\{ \begin{pmatrix} a & a \\ a & a \end{pmatrix} : a \in \mathbb{R} \right\}$ and

 $\begin{pmatrix} 1/2 & 1/2 \\ 1/2 & 1/2 \end{pmatrix}$ is the identity in *S*.

Unit 2.5

unit

Assume that *R* is a ring with an identity $1 \neq 0$. A $a \in R$ is called a unit, if there exists $b \in R$ such that ab = ba = 1. Set of units of R is denoted by R^{\times} .

Example:

$$\mathbb{Z}^{\times} = \{\pm 1\}$$

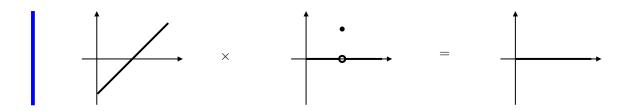
 $\mathbb{Z}_m^{\times} = \{a \in \mathbb{Z}_m : \gcd(a, m) = 1\}, \mathbb{Z}_p^{\times} = \mathbb{Z}_p \setminus \{0\} \text{ for } p \text{ prime.}$

Consider ring R of $[0,1] \to \mathbb{R}$, where $(f \times g)(x) = f(x) \cdot g(x)$, $1_R = 1(x)$. Units are the functions such that $f(x) \neq 0$ for $\forall x \in [0,1]$. Then $f(x)^{-1} = \frac{1}{f(x)}$. All non-units are zero divisors. If g(y) = 0,

then
$$h(x) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{otherwise} \end{cases}$$
 gives $(g \times h) = 0(x) = 0_R$.

Ring of all continuous functions $[0,1] \to \mathbb{R}$ is a subring of the previous ring. Units as before, because 1/f exists and is continuous.

Consider f(x) = x - 1/2.



Ring Homomorphisms

ring homomorphism

Let *R*, *S* be rings.

- 1. A ring homomorphism is $\phi : \mathbb{R} \to S$, such that
 - (a) $\phi(a+b) = \phi(a) + \phi(b)$, for all $a, b \in R$.
 - (b) $\phi(ab) = \phi(a)\phi(b)$, for all $a, b \in R$.
- 2. The kernel of ϕ , ker $\phi = \{a \in R : \phi(a) = 0_S\}$.
- 3. A bijective homomorphism is called isomorphism.

Remark

Isomorphism means "same ring", denote $R \cong S$.

Example:

$$\{0,1\} = \mathbb{Z}_2 = R$$
, $S = \{a,b\}$ with $a + a = a$, $a + b = b$,... Then $R \cong S$.

Example:

 $\mathbb{Q} = \{ \frac{a}{b} : a, b \in \mathbb{Z} \}$ with cancellation $\frac{a}{b} = \frac{ca}{cb}$

Can we say $\mathbb{Z} \subseteq \mathbb{Q}$? not in the purest sense. \mathbb{Z} corresponds to $\{\frac{a}{1} : a \in \mathbb{Z}\}$.

 \mathbb{Q} contains an isomorphic copy of \mathbb{Z} . $S \subseteq \mathbb{Q}$ such that $S \cong \mathbb{Z}$.

Example:

$$\phi: \mathbb{Z} \to \mathbb{Z}_2$$
. $\phi(2k) = 0$, $\phi(2k+1) = 1$. Then

$$\ker \phi = 2\mathbb{Z}$$

$$\phi^{-1}(0) = 2\mathbb{Z} = \ker \phi$$

$$\phi^{-1}(1) = 1 + 2\mathbb{Z}$$

$$= 1 + \ker \phi$$

$$= 3 + \ker \phi$$

Example:

 $\phi: \mathbb{Z}[x] \to \mathbb{Z}: p(x) \mapsto p(0)$. Then

$$\ker \phi = \phi^{-1}(0) = \{ a_d x^d + a_{d-1} x^{d-1} + \dots + a_1 x + 0 : a_i \in \mathbb{Z} \}$$
$$= x \mathbb{Z}[x] = \{ x \cdot p(x) : p(x) \in \mathbb{Z}[x] \}$$

and

$$\phi^{-1}(a) = x\mathbb{Z}[x] + ax^0 = \ker \phi + ax^0$$

Example:

 $\phi: \mathbb{Z}[x] \to \mathbb{Z}_2: p(x) \mapsto p(0) \mod 2$. Then

$$\ker \phi = \phi^{-1} = x\mathbb{Z}[x] + 2\mathbb{Z}$$

$$\phi^{-1}(1) = 1 + \ker \phi$$

Example:

 $\phi: \mathbb{Z} \to \mathbb{R}: a \mapsto a$, then $\ker \phi = \{0_{\mathbb{R}}\}.$

Proposition 3.1

Let R, S be rings, $\phi : \mathbb{R} \to \S$ be homomorphism.

- 1. The image of ϕ , (Im(ϕ), or ϕ (R)) is a subring of S.
- 2. $\ker \phi$ is a subring of R. Moreover, $\forall r \in R, \forall \alpha \in \ker \phi, r\alpha \in \ker \phi, \alpha \in \ker \phi$. (That is $\ker \phi$ is closed under multiplication by the elements from R)

Proof.

1. If $a, b \in \phi(R)$, then

$$a - b = \phi(x_a) - \phi(x_b) = \phi(x_a - x_b) = \phi(x_{a-b}) \in \phi(R)$$

2. $\phi(r\alpha) = \phi(r) \cdot \phi(\alpha) = \phi(r) \cdot 0 = 0$

Can we get a ring structure on $a + \ker \phi$? There is a factor ring $R / \ker \phi$. For example, $\mathbb{Z}/2\mathbb{Z} \cong \mathbb{Z}_2$.

3.1 Quotient rings

ideal

Let *R* be a ring, let $I \subseteq R$ be a subring, let $r \in R$.

- 1. *I* is called a left ideal, if $rI \subseteq I$ where $rI = \{ri : i \in I\}$.
- 2. *I* is called a right ideal, if $Ir \subseteq I$.
- 3. *I* is an ideal, if it is left & right ideal (two sided ideal).

additive quotient

Let $I \subseteq R$ be an ideal. The additive quotient is defined as $R/I = \{a + I : a \in R\}$.

Example:

$$\mathbb{Z}/3\mathbb{Z} = \{\{\ldots, -6, 3, 0, 3, 6, \ldots\}, \{\ldots, -5, -2, 1, 4, \ldots\}, \{\ldots, -4, -1, 2, 5, 8, \ldots\}\}.$$
 Additive group.

Let $I = 3\mathbb{Z}$. Then a + I are called (additive) cosets.

Proposition 3.2

Let R be a ring, I an ideal of R, then R/I is a ring with the operations

$$(a+I) +_{R/I} (b+I) =: (a+_R b) + I$$

$$(a+I) \times_{R/I} (b+I) = (a \times_R b) + I$$

The ring properties R/I follow from R being a ring.

quotient ring

R/I is called the quotient ring of R by I.

Remark:

If I is not an ideal, then the definition of the operations on R/I is not well defined.

Example:

Let *R* be commutative ring with identity $1 \neq 0$, $m \geq 2$. Let $M_m(R)$ be ring of square matrices with coefficients in *R*.

Denote

$$L_i(R) = \{ A \in M_m(R) \mid A_{ik} = 0, \forall i \in [n], k \in [m] \setminus \{j\} \}$$

which means only the *j*-th column can have non-zero entries. Then $L_j(R)$ is a left ideal in $M_m(R)$. This can be verified by the matrix multiplication. $L_j(R)$ is not a right ideal, i.e., $L_j(R) \cdot M \notin L_j(R)$ for some $M \in M_m(R)$.

Analogously, a right ideal can be obtained by taking

$$T_i(R) = \left\{ A \in M_m(R) \mid A_{kj} = 0, \forall k \in [n] \setminus \{i\}, j \in [m] \right\}$$

Example:

Let
$$R = \mathbb{Z}[x]$$
 and $I = x^2 \mathbb{Z}[x]$.

Then
$$R/I = \{a + bx + p(x) : a, b \in \mathbb{Z}, p(x) \in I\}.$$

For $a \in R/I$, \bar{a} denotes a + I.

3.2 Isomorphism theorems

Lemma 3.3

Let *I* be an ideal in *R*, then a + I = b + I ($\bar{a} = \bar{b}$) if and only if $b - a \in I$. Namely, every member of the coset can be the representative.

 \Box

Theorem 3.4: First isomorphism theorem

If $\phi : R \to S$ is a ring homomorphism, then $\ker \phi$ is an ideal in R, $\operatorname{Im} \phi$ is a subring of S, and $R/\ker \phi \cong \operatorname{Im} \phi$.

Proof:

Theorem 4.2 of http://www.math.uwaterloo.ca/~lwmarcou/notes/pmath334.pdf

Consider $\tau : R / \ker \phi \to \phi(R) : r + \ker \phi \mapsto \phi(r)$.

Example:

 $\mathbb{Z}[x]/2\mathbb{Z}[x] \cong \mathbb{Z}_2[x]$. We can define $\phi : p(x) \mapsto p(x) \mod 2$.

Theorem 3.5

For any ideal $I \subseteq R$, the map $R \to R/I$ defined by $\pi : r \mapsto r + I$ is a surjective ring homomorphism with kernel I. It is called the natural projection of R onto R/I. Thus every ideal is a kernel of some homomorphism.

Proof:

Prove surjectivity is as before in first iso theorem. The prove homomorphism, both \times and +. Now prove ker ϕ .

- Let $i \in I$, then $\pi(i) = i + I = I = 0_{R/I}$.
- Let $a \in R \setminus I$, then $\pi(a) = a + I$, but $a \notin I$. Thus by lemma, $a + I \neq I = 0 + I$.

Theorem 3.6: Second isomorphism theorem

Let *A* be a subring of *R*, *B* an ideal of *R*. Then $A + B = \{a + b : a \in A, b \in B\}$ is a subring of *R*. $A \cap B$ is an ideal of *R* and $(A + B)/B \cong A/A \cap B$.

Proof:

Consider the map $\phi: A \to (A+B)/B: a \mapsto a+B$. Then apply first isomorphism theorem.

Or check Theorem 4.3 of http://www.math.uwaterloo.ca/~lwmarcou/notes/pmath334.pdf.

Remark:

$$(A+B)/B = \{a+b+B : a \in A, b \in B\} = \{a+B : a \in A\} \stackrel{?}{=} A/B$$

This reduction can't happen because *B* is not necessarily an ideal of *A*.

Example:

Let $R = \mathbb{Z}$, then $a\mathbb{Z} + b\mathbb{Z} = \gcd(a, b) \cdot \mathbb{Z}$. $a\mathbb{Z} \cap b\mathbb{Z} = \operatorname{lcm}(a, b) \cdot \mathbb{Z}$. Then by second iso thm

$$\frac{\gcd(a,b)\mathbb{Z}}{b\mathbb{Z}} \cong \frac{a\mathbb{Z}}{\operatorname{lcm}(a,b)\mathbb{Z}}$$

Lemma 3.7

If $m \mid n$, then $m\mathbb{Z}$ is an ideal of $m\mathbb{Z}$, and $|m\mathbb{Z}/n\mathbb{Z}| = \frac{n}{m}$.

The coset representative in $(m\mathbb{Z}/n\mathbb{Z})$ are $\{0, m, 2m, \dots, (\frac{n}{m}-1)m\}$. Applying to $A+B/B \cong A/A \cap B$,

we have

$$\frac{b}{\gcd(a,b)} = \frac{\operatorname{lcm}(a,b)}{a} \implies ab = \operatorname{lcm}(a,b) \cdot \gcd(a,b)$$

Theorem 3.8: Third isomorphism theorem

Let $I \subseteq J$ be ideals in R. Then J/I is an idea; in R/I and $(R/I)/(J/I) \cong R/J$.

Proof:

Define $\phi: R/I \to R/J: a+I \mapsto a+J$. Then show that $\ker \phi = J/I$ and then use first isomorphism theorem.

Or check Theorem 4.4 of http://www.math.uwaterloo.ca/~lwmarcou/notes/pmath334.pdf

Example:

 $(\mathbb{Z}/6\mathbb{Z})/(3\mathbb{Z}/6\mathbb{Z}) \cong \mathbb{Z}/3\mathbb{Z} \cong \mathbb{Z}_3.$

Theorem 3.9: Fourth isomorphism theorem/correspodence theorem

Let R be ring, I ideal in R. The correspondence $A \leftrightarrow A/I$ is an inclusion preserving bijection between the set of subrings (A) of R, $I \subseteq A \subseteq R$, and the set of subrings of R/I. Furthermore, A/I is an ideal in R/I if and only if A is an ideal in R ($I \subseteq A$).

Proof

No first isomorphism theorem. Expand and verify the definitions.

The interesting part is: subring of R/I gives subring of R.

More on Ideals

Let $A \subseteq R$ with identity.

(A)

- 1. (A) = the smallest ideal containing A (in R)
- 2. Let

$$RA = \left\{ \sum r_i a_i : r_i \in R, a_i \in A \right\}$$

$$AR = \left\{ \sum a_i r_i : r_i \in R, a_i \in A \right\}$$

$$RAR = \left\{ \sum r_i a_i r'_i : r_i, r'_i \in R, a_i \in A \right\}$$

where these are all finite sums.

- 3. If $A = \{a\}$, then (A) =: (a) is called a principal ideal.
- 4. If an ideal I = (A) for A finite, we call I finitely generated.

Remark:

$$(A) = \bigcap_{\substack{I \text{ ideal of } R \\ A \subseteq I}} I$$

The intersection is indeed an ideal.

 $(A) \subseteq \cap I$ because (A) is the smallest. $\cap I \subseteq (A)$ because it contains I = (A).

Note that $\cup I_{\alpha}$ is not an ideal in general.

What is (A)?

Assume *R* is commutative. Then (*A*) contains $a \in R$, and also $ra, r \in R, a \in R$, and their sums. This is precisely the definition of *RA*. Thus $RA \subseteq (A)$.

Note that $1 \in R$. Then $A \subseteq RA$, and RA is an ideal itself. By minimality, $(A) \subseteq RA$.

To conclude, (A) = RA = AR = RAR in the commutative case.

In particular, the principal ideal $(A) = a \cdot R = \{ar : r \in R\}$, because let $A = \{a\}$, we have

$$AR = \left\{ \sum ar_i : r_i \in R \right\} = \left\{ a\left(\sum r_i\right) : r_i \in R \right\}$$

works in commutative rings.

Warning In non-commutative rings, we have (A) = RAR, so

$$(a) = RaR \neq \{r_i a r_i' : r_i, r_i' \in R\}$$

Example:

 $R = \mathbb{Z}$, the principal ideal (m) is $m\mathbb{Z}$.

Example:

Let $R = \{f : [0,1] \to \mathbb{R}\}$. Then $I = \{f \in R : f(1/2) = 0\}$ is an ideal. And I = (g) where

$$g(x) = \begin{cases} 0 & \text{if } x = 1/2\\ 1 & \text{otherwise} \end{cases}$$

For $h \in I$, $h = g \cdot h \in (g)$. Note that g is an identity element of I, but not of R.

Example:

 $C = \{f : [0,1] \to \mathbb{R} \mid f \text{ is continuous}\}$ is a subring of R. $I = \{f \in C : f(1/2) = 0\}$ is again an ideal. BUT! I is not a principal ideal, I is not even finitely generated (not easily proven).

Note that *I* here is different from last example, where the instructor made a mistake at first.

Example:

Let $R = \mathbb{Q}[x]$. Consider subring $S = x\mathbb{Q}[x] + \mathbb{Z}$. An ideal $I = x\mathbb{Q}[x]$.

- 1. I = (x) in R
- 2. *I* is an ideal in *S* where *I* is not finitely generated

If *I* is finitely generated in *S*, then there exists $p_1, \ldots, p_k \in I$

$$I = (p_1, ..., p_k) = \left\{ \sum_{i=1}^k p_i(x) q_i(x) : q_i \in S \right\}$$

As p_i are in ideal $I = x\mathbb{Q}[x]$, p_i don't have constant term. However, this is not possible. Take an element $\frac{a}{b}x \in I$, then

$$\frac{a}{b}x = \sum_{i=1}^{k} p_i(x)q_i(x)$$

As p_i 's are fixed, one need to find proper q_i 's to make this equation hold. Now consider b to be a prime such that b does not divide the product of denominators of p_i 's, then it's impossible to find any q_i 's to make this equation holds. Therefore I is not a finite generated ideal in S.

Proposition 4.1

Let *I* be an ideal in *R* with identity $1 \neq 0$.

- 1. I = R if and only if I contains a unit.
- 2. Let *R* be commutative. Then *R* is a field if and only if the only ideals in *R* are 0 and *R*.

Proof:

Statement 1

- (⇒) Because $1 \in R = I$, and 1 is a unit.
- (\Leftarrow) Let $u \in I$ be a unit. Then $u \cdot u^{-1} = 1 \in I$. Let $r \in I$, as $1 \in I$, then $1 \cdot r \in I$, hence I = R.

Statement 2

- (⇒) Let $0 \neq I \subseteq R$ be an ideal. Then it contains a unit. Then by (1), I = R.
- (\Leftarrow) Take arbitrary 0 ≠ $r \in R$. The ring (r) can't be zero ideal, hence (r) = R. Thus 1 ∈ (r). That means there exists $s \in R$, such that 1 = $r \cdot s$. Then $s = r^{-1}$. Hence r is a unit.

Corollary 4.2

A nonzero homomorphism from a field to a ring is an injection.

Proof:

Let ϕ be such a homomorphism. $\ker \phi$ is an ideal of the field. This implies $\ker \phi = 0$ (injective homomorphism) or R, the whole field. And the second possibility tells us ϕ is a zero map, which is eliminated by the assumption.

4.1 Maximal ideals

maximal ideal

An ideal M is an arbitrary ring R is called a maximal ideal if $M \neq R$ and there is no proper $(\neq R)$ ideal $I, M \subseteq I \subseteq R$.

Alternatively, ideal *I* of a ring *R* is maximal if the only ideals containing *I* are *I* and *R*.

Theorem 4.3

Assume that R ring is commutative. The ideal M is maximal if and only if R/M is a field.

Proof:

By 4th iso thm, or correspondence theorem, R/M is a field \Leftrightarrow ideals of R/M are zero ideals and $R/M \Leftrightarrow$ only ideals of R containing M are M and $R \Leftrightarrow M$ is maximal.

Example:

 $p\mathbb{Z}$ is maximal ideal for any p prime.

Theorem 4.4

 $p\mathbb{Z}$ is maximal if and only if $\mathbb{Z}/p\mathbb{Z}$ is a field.

Example:

(2, x) in $\mathbb{Z}[x]$ is maximal. $\mathbb{Z}[x]/(2, x) \cong \mathbb{Z}_2$ because (2, x) is a kernel of $\phi : p(x) \mapsto p(0) \mod 2$.

Example:

Let $R = \{f : [0,1] \to \mathbb{R}\}$ and $M_c = \{f \in R : f(c) = 0\}$. Consider $\phi : R \to \mathbb{R} : f \mapsto f(c)$. Then $\ker \phi = M_c$. As $\mathbb{R} = \phi(R)$, then $R/M_c \cong \mathbb{R}$ is a field. Hence M_c maximal.

4.2 Maximal ideals and Zorn's Lemma

Consult Section 10.3 of PMATH 347 if needed.

Is every ideal (proper) contained in some maximal ideal? No. Consider Q with standard + and $a \times b = 0_+$ for all $a, b \in \mathbb{Q}$. We have ideals

$$\left\{\frac{a}{2}: a \in \mathbb{Z}\right\} \subseteq \left\{\frac{a}{4}: a \in \mathbb{Z}\right\} \subseteq \cdots \subseteq \left\{\frac{a}{2^k}: a \in \mathbb{Z}\right\} \subseteq \cdots$$

These ideals are not contained in a maximal ideal. This happens because there's no identity.

Theorem 4.5

In a ring with an identity, every proper is contained in some maximal ideal.

Wrong idea Given I, then $I \subseteq \bigcup_{\substack{I \subseteq A \\ A \neq R}} A$. But this is not an ideal. For example, $\mathbb{Z}_6 \subseteq \mathbb{Z}_2 \cup \mathbb{Z}_3$ is not an ideal.

Right idea $I \subseteq \bigcup_{A \in C} A$ for C being a "chain"

$$I \subseteq A_1 \subseteq A_2 \subseteq \cdots \subseteq A_m \subseteq \cdots$$

partial order

A partial order on a set S is a relation on X such that

- 1. $a \le a$ for all $a \in S$,
- 2. If $a \le b$ and $b \le a$ then a = b for all $a, b \in S$,
- 3. If $a \le b$ and $b \le c$, then $a \le c$ for all $a, b, c \in S$.

So set inclusion \subseteq is a partial order.

The ordering does not have to be "linear": $sth \le sth \le sth \le ...$ For sets, we can have

$$\{a,b\} \subseteq \{a,b,d\}$$

$$\{a\} \qquad \qquad \{a,b,c,d,e\}$$

$$\{a,c\} \subseteq \{a,c,e\}$$

A chain *C* in a partially ordered set (S, \leq) is a subset such that for all $x, y \in C$, $x \leq y$ or $y \leq x$ (i.e., all elements are comparable).

Zorn's Lemma

Let (S, \leq) be a partially ordered set with the property that each chain has an upper bound in S. Then S contains a maximal element.