Solving 3D frictional contact problem

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Team-Project TRIPOP.

INRIA. Centre de recherche de l'Université Grenoble Alpes (UGA)

"Jean Jacques Moreau's fan club". Convex Analysis and Nonsmooth Mechanics.

- ▶ 6 permanents, 6 PhD, 4 Post-docs, 3 Engineer,
- Nonsmooth simulation and numerical modeling for natural gravitational risk in mountains.
- Nonsmooth dynamical systems : Modeling, analysis, simulation and Control.

Current Personal research themes

- Nonsmooth Dynamical systems in the large: Higher order Moreau's sweeping process. Complementarity systems and Filippov systems
- Time—integration techniques for nonsmooth mechanical systems: Mixed higher order schemes, Time—discontinuous Galerkin methods, Projected time—stepping schemes and generalized α—schemes.
- Formulation and numerical solvers for Coulomb's friction and Signorini's problem.
- Non-associated plasticity of geomaterials with contact, friction and impact
- Coupling SPH/DEM/FEM and MPM/DEM/FEM
- Data-driven modeling and data assimilation.

Introduction

The 3D frictional contact problem

Signorini condition and Coulomb's friction 3D frictional contact problems From the mathematical programming point of view

An existence result

Numerical solution procedure.

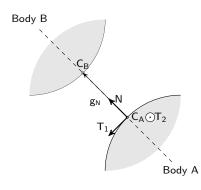
VI based methods Nonsmooth Equations based methods Matrix block–splitting and projection based algorithms Proximal point algorithms Optimization based approach Siconos/Numerics

Preliminary Comparisons

Measuring error Performance profiles

Conclusions & Perspectives

FCLIB: a collection of discrete 3D Frictional Contact (FC) problems



- ▶ gap function $g_N = (C_B C_A)N$.
- reaction forces velocities

$$r = r_N N + r_T$$
, with $r_N \in \mathbf{R}$ and $r_T \in \mathbf{R}^2$.

$$u = u_N N + u_T$$
, with $u_N \in \mathbb{R}$ and $u_T \in \mathbb{R}^2$.

Signorini conditions

position level :0
$$\leqslant g_N \perp r_N \geqslant 0$$
.

velocity level :
$$\begin{cases} 0 \leqslant u_N \perp r_N \geqslant 0 & \text{if } g_N \leqslant 0 \\ r_N = 0 & \text{otherwise.} \end{cases}$$

Modeling assumption

Let μ be the coefficient of friction. Let us define the Coulomb friction cone K which is chosen as the isotropic second order cone

$$K = \{ r \in \mathbb{R}^3 \mid ||r_{\mathsf{T}}|| \leqslant \mu r_{\mathsf{n}} \}. \tag{1}$$

Coulomb friction postulates

► for the sticking case that

$$u_{\mathsf{T}}=0, \quad r\in K, \tag{2}$$

and for the sliding case that

$$u_{\rm T} \neq 0, \quad r \in \partial K, \frac{r_{\rm T}}{\|r_{\rm T}\|} = -\frac{u_{\rm T}}{\|u_{\rm T}\|}.$$
 (3)

Disjunctive formulation of the frictional contact behavior

Second Order Cone Complementarity (SOCCP) formulation

▶ Modified relative velocity $\hat{u} \in \mathbb{R}^3$ defined by (De Saxcé, 1992)

$$\hat{u} = u + \mu \| u_{\mathsf{T}} \| \mathsf{N}. \tag{5}$$

Second-Order Cone Complementarity Problem (SOCCP)

$$K^{\star} \ni \hat{u} \perp r \in K \tag{6}$$

if $g_N \leq 0$ and r = 0 otherwise.

The set K^* is the dual convex cone to K defined by

$$K^* = \{ u \in \mathbb{R}^3 \mid r^\top u \geqslant 0, \quad \text{for all } r \in K \}. \tag{7}$$

(Acary and Brogliato, 2008; Acary et al., 2011)

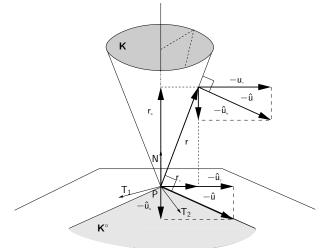


Figure: Coulomb's friction and the modified velocity \hat{u} . The sliding case.

3D frictional contact problem

Multiple contact notation

For each contact $\alpha \in \{1, \dots n_c\}$, we have

▶ the local velocity : $u^{\alpha} \in \mathbb{R}^3$, and

$$u = [[u^{\alpha}]^{\top}, \alpha = 1 \dots n_c]^{\top}$$

▶ the local reaction vector $r^{\alpha} \in \mathbb{R}^3$

$$r = [[r^{\alpha}]^{\top}, \alpha = 1 \dots n_c]^{\top}$$

the local Coulomb cone

$$K^{\alpha} = \{r^{\alpha}, ||r_{\mathsf{T}}^{\alpha}|| \leqslant \mu^{\alpha}|r_{\mathsf{N}}^{\alpha}|\} \subset \mathbf{R}^{3}$$

and the set K is the cartesian product of Coulomb's friction cone at each contact, that

$$K = \prod_{\alpha} K^{\alpha} \tag{8}$$

and K^* is dual



3D frictional contact problems

Problem 1 (General discrete frictional contact problem)

Given

- ightharpoonup a symmetric positive definite matrix $M \in \mathbb{R}^{n \times n}$,
- ightharpoonup a vector $f \in \mathbb{R}^n$,
- ightharpoonup a matrix $H \in \mathbb{R}^{n \times m}$,
- ightharpoonup a vector $w \in \mathbb{R}^m$,
- ightharpoonup a vector of coefficients of friction $\mu \in I\!\!R^{n_c}$,

find three vectors $v \in \mathbb{R}^n$, $u \in \mathbb{R}^m$ and $r \in \mathbb{R}^m$, denoted by $FC/I(M, H, f, w, \mu)$ such that

$$\begin{cases} Mv = Hr + f \\ u = H^{\top}v + w \\ \hat{u} = u + g(u) \\ K^{*} \ni \hat{u} \perp r \in K \end{cases}$$

$$(9)$$

with
$$g(u) = [[\mu^{\alpha} || u_{\tau}^{\alpha} || N^{\alpha}]^{\top}, \alpha = 1 \dots n_{\epsilon}]^{\top}.$$

3D frictional contact problems

Problem 2 (Reduced discrete frictional contact problem)

Given

- ightharpoonup a symmetric positive semi–definite matrix $W \in \mathbb{R}^{m imes m}$,
- ightharpoonup a vector $q \in \mathbb{R}^m$,
- ▶ a vector $\mu \in \mathbf{R}^{n_c}$ of coefficients of friction,

find two vectors $u \in \mathbf{R}^m$ and $r \in \mathbf{R}^m$, denoted by $\mathrm{FC/II}(W,q,\mu)$ such that

$$\begin{cases} u = Wr + q \\ \hat{u} = u + g(u) \\ K^* \ni \hat{u} \perp r \in K \end{cases}$$
 (10)

with
$$g(u) = [[\mu^{\alpha} || u_{\tau}^{\alpha} || N^{\alpha}]^{\top}, \alpha = 1 \dots n_c]^{\top}.$$

Relation with the general problem

$$W = H^{\top} M^{-1} H$$
 and $g = H^{\top} M^{-1} f + w$.

3D frictional contact problems

Wide range of applications

Origin of the linear relations .

$$Mv = Hr + f$$
, $u = H^{T}v + w$

- ► Time-discretization of the discrete dynamical mechanical system. Event-capturing or event-detecting time-stepping schemes
- ► Time-discretization and space discretization of the dynamic problem of solids
- Space discretization of the quasi-static problem of solids.
- ► HBM, Flexible MBS, ...
- → These problems are really representative of a lot of applications with a possible linearization (Newton procedure)
- → Nonlinear version is also possible

From the mathematical programming point of view

Nonmonotone and nonsmooth SOCCP

$$K^* \ni Wr + q + g(Wr + q) \perp r \in K \tag{11}$$

Many possible reformulations

Variational inequality or normal cone inclusion

$$-(Wr+q+g(Wr+q))\stackrel{\Delta}{=} -F(r) \in N_K(r). \tag{12}$$

- Nonsmooth equations G(r) = 0
- and many other ...

Numerical methods

- ► Numerous numerical methods exist.
- ▶ Many of them are adaptations of mathematical programming methods.

From the mathematical programming point of view

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An existence result. (F. Cadoux PhD)

Let us introduce a slack variable

$$s^{\alpha} := \|u^{\alpha}_{\mathsf{T}}\|$$

New formulation of the modified velocity with $A \in \mathbb{R}^{m \times n_c}$

$$\hat{u} := u + As$$
 $(g(u) = As)$

The problem $FC/I(M, H, f, w, \mu)$ can be reformulated as

$$\begin{cases} Mv = Hr + f \\ \widetilde{u} = H^{\top}v + w + As \\ K^{*} \ni \widehat{u} \perp r \in K \end{cases}$$

The problem (14) appears to be the KKT condition of

primal problem

$$\begin{cases}
\min \quad J(v) := \frac{1}{2} v^{\top} M v + f^{\top} v \\
H^{\top} v + w + A s \in K^{\star}
\end{cases} (D_s)$$

dual problem

$$\begin{cases}
\min \quad J_s(r) := \frac{1}{2} r^\top W r - q_s^\top r \\
r \in K
\end{cases} (P_s)$$

with $q_s = q + As$

Interest

Two convex program → existence of solutions under feasibility conditions.

Fixed point problem

Introducing

$$u(s) := \operatorname{argmin}_{u}(P_s) = \operatorname{argmin}_{u}(D_s)$$

practically computable by optimization software, and

$$F^{\alpha}(s) := \|u_T^{\alpha}(s)\|,$$

the incremental problem becomes a fixed point problem

$$F(s) = s$$

Key assumption

$$\exists v \in \mathbb{R}^m : Hv + w \in \text{int} K^*$$
 (13)

Using Assumption (13),

- ▶ the application $F: \mathbb{R}^n_+ \to \mathbb{R}^n_+$ is well-defined, continuous and bounded
- apply Brouwer's theorem

Theorem 3

A fixed point exists

This result is a variant of a previous result obtained by Klarbring and Pang, 1998.

Numerical validation of the assumption

The assumption by solving a linear program over a product of SOC.

Find $x \geqslant 0$

$$\begin{cases} \max x \\ Hv + w - ax \in K^* \end{cases}$$

where $a = [N^{\alpha,\top}]^{\top} \in \mathbb{R}^m$.

Numerical interest

The fixed point equation F(s) = s can be tackled by

► fixed-point iterations

$$s \leftarrow F(s)$$

Newton iterations

$$s \leftarrow \operatorname{Jac}[F](s) \backslash F(s)$$

▶ Variants possible (truncated resolution of inner problem...)

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Numerical solution procedure

- VI based methods
- Nonsmooth Equations based methods
- ▶ Matrix block-splitting and projection based algorithms
- ► Proximal point algorithms
- Optimization based approach
- ► Interior point techniques

VI based methods

Standard methods

▶ Basic fixed point iterations with projection

[FP-VI]

$$\mathsf{z}_{\mathsf{k}+1} \leftarrow \mathsf{P}_\mathsf{X}(\mathsf{z}_\mathsf{k} - \rho_\mathsf{k}\,\mathsf{F}(\mathsf{z}_\mathsf{k}))$$

Extragradient method

[EG-VI]

$$\mathsf{z}_{\mathsf{k}+1} \leftarrow \mathsf{P}_\mathsf{X}(\mathsf{z}_\mathsf{k} - \rho_\mathsf{k}\,\mathsf{F}(\mathsf{P}_\mathsf{X}(\mathsf{z}_\mathsf{k} - \rho_\mathsf{k}\mathsf{F}(\mathsf{z}_\mathsf{k}))))$$

With fixed ρ , we get the Uzawa Algorithm of De Saxcé-Feng

[FP-DS]

Self-adaptive procedure for ρ_k

[UPK]

Armijo-like :
$$m_k \in \mathbb{N}$$
 such that
$$\begin{cases} \rho_k = \rho 2^{m_k}, \\ \rho_k \|F(z_k) - F(\bar{z}_k)\| \leqslant \|z_k - \bar{z}_k\| \end{cases}$$

Nonsmooth Equations based methods

Nonsmooth Equations based methods

Nonsmooth Newton on G(z) = 0

$$z_{k+1} = z_k - \Phi^{-1}(z_k)(G(z_k)), \qquad \Phi(z_k) \in \partial G(z_k)$$

► Alart–Curnier Formulation (Alart and Curnier, 1991)

$$\begin{cases} r_{N} - P_{\mathbf{R}_{+}^{n_{c}}}(r_{N} - \rho_{N}u_{N}) = 0, \\ r_{T} - P_{D(u_{TN} + \rho_{N})}(r_{T} - \rho_{T}u_{T}) = 0, \end{cases}$$

► Jean-Moreau Formulation

$$\begin{cases} r_{N} - P_{\mathbf{R}_{+}^{n_{C}}}(r_{N} - \rho_{N}u_{N}) = 0, \\ r_{T} - P_{D(u, r_{N-1})}(r_{T} - \rho_{T}u_{T}) = 0, \end{cases}$$

Direct normal map reformulation

[NSN-AC]

[NSN-MJ]

$$r - P_{\kappa} \left(r - \rho (u + g(u)) \right) = 0$$

Extension of Fischer-Burmeister function to SOCCP

Matrix block-splitting and projection based algorithms (Jean and Touzot, 1988; Moreau, 1994)

Block splitting algorithm with $W^{\alpha\alpha} \in I\!\!R^3$

[NSGS-*]

$$\begin{cases} u_{i+1}^{\alpha} - W^{\alpha\alpha} P_{i+1}^{\alpha} = q^{\alpha} + \sum_{\beta < \alpha} W^{\alpha\beta} r_{i+1}^{\beta} + \sum_{\beta > \alpha} W^{\alpha\beta} r_{i}^{\beta} \\ \widehat{u}_{i+1}^{\alpha} = \left[u_{N,i+1}^{\alpha} + \mu^{\alpha} || u_{T,i+1}^{\alpha} ||, u_{T,i+1}^{\alpha} \right]^{T} \\ \mathbf{K}^{\alpha,*} \ni \widehat{u}_{i+1}^{\alpha} \perp r_{i+1}^{\alpha} \in \mathbf{K}^{\alpha} \end{cases}$$

$$(14)$$

for all $\alpha \in \{1 \dots m\}$.

Over-Relaxation

[PSOR-*]

One contact point problem

- closed form solutions
- Any solver listed before.

Proximal point technique (Moreau, 1962, 1965; Rockafellar, 1976)

Principle

We want to solve

$$\min_{x} f(x) \tag{15}$$

We define the approximation problem for a given x_k

$$\min_{x} f(x) + \rho \|x - x_k\|^2 \tag{16}$$

with the optimal point x^* .

$$x^* \stackrel{\Delta}{=} \operatorname{prox}_{f,\rho}(x_k) \tag{17}$$

Proximal point algorithm

$$x_{k+1} = \operatorname{prox}_{f, o_k}(x_k)$$

Special case for solving G(x) = 0

$$f(x) = \frac{1}{2}G^{\top}(x)G(x)$$

Optimization based methods

► Alternating optimization problems (Panagiotopoulos et al.)

PANA-*

Successive approximation with Tresca friction (Haslinger et al.)

[TRESCA-*]

$$\begin{cases} \theta = h(r_{N}) \\ \min \frac{1}{2} r^{\top} W r + r^{\top} q \\ \text{s.t.} \quad r \in C(\mu, \theta) \end{cases}$$
 (18)

where $C(\mu, \theta)$ is the cylinder of radius $\mu\theta$.

Fixed point on the norm of the tangential velocity [A., Cadoux, Lemaréchal, Malick(2011)] [ACLM-*].

$$\begin{cases}
s = \|u_{\mathsf{T}}\| \\
\min \frac{1}{2} r^{\mathsf{T}} W r + r^{\mathsf{T}} (q + \alpha s) \\
\text{s.t.} \quad r \in K
\end{cases} \tag{19}$$

Fixed point or Newton Method on F(s) = s

Siconos/Numerics

SICONOS

Open source software for modelling and simulation of nonsmooth systems

SICONOS/NUMERICS

Collection of C routines to solve FC3D problems in dense, sparse or block sparse versions:

- ▶ VI solvers: Fixed point, Extra-Gradient, Uzawa
- VI based projection/splitting algorithm: NSGS, PSOR
- Semismooth Newton methods:
 Alart-Curnier, Jean-Moreau, Natural map, Ficher-Bursmeister
- Proximal point algorithm
- Optimization based solvers. Panagiotopoulos, Tresca, SOCQP
- ► Interior point methods, ...

Collection of routines for optimization and complementarity problems

- ► LCP solvers (iterative and pivoting (Lemke))
- Standard QP solvers (Projected Gradient (Calamai & Moré), Projected CG (Moré & Toraldo), active set technique)
- linear and nonlinear programming solvers.

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Measuring errors

Full error criteria

$$error = \frac{\|F_{vi-2}^{nat}(r)\|}{\|q\|}.$$
 (20)

Cheap error

$$\mathsf{error}_{\mathsf{cheap}} = \frac{\|r_{k+1} - r_k\|}{\|r_k\|}. \tag{21}$$

The tolerance of solver is then self-adapted in the loop to meet the required tolerance based on the error given by (20).

Performance profiles Dolan and Moré, 2002

- ightharpoonup Given a set of problems $\mathcal P$
- ightharpoonup Given a set of solvers S
- \triangleright A performance measure for each problem with a solver $t_{p,s}$ (cpu time, flops, ...)
- Compute the performance ratio

$$\tau_{p,s} = \frac{t_{p,s}}{\min_{s \in \mathcal{S}} t_{p,s}} \geqslant 1 \tag{22}$$

lacktriangle Compute the performance profile $ho_s(au):[1,+\infty] o [0,1]$ for each solver $s\in\mathcal{S}$

$$\rho_{s}(\tau) = \frac{1}{|\mathcal{P}|} |\{ p \in \mathcal{P} \mid \tau_{p,s} \leqslant \tau \}|$$
 (23)

The value of $\rho_s(1)$ is the probability that the solver s will win over the rest of the solvers.

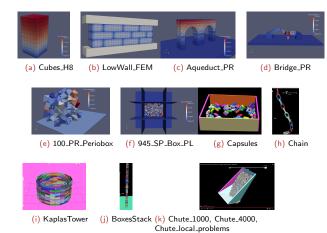


Figure: Illustrations of the FClib test problems

Preliminary Comparisons

Performance profiles

Test set	code	friction coefficient μ	# of problems	# of d.o.f.	# of contacts	contact density c	rank ratio(W)
Cubes_H8_2	LMGC90	0.3	15	162	[3:5]	[0.02:0.09]	1
Cubes_H8_5	LMGC90	0.3	50	1296	[17:36]	[0.02:0.09]	1
Cubes_H8_20	LMGC90	0.3	50	55566	[361 : 388]	[0.019:0.021]	1
LowWall_FEM	LMGC90	0.83	50	{7212}	[624 : 688]	[0.28:0.29]	1
Aqueduct_PR	LMGC90	0.8	10	{1932}	[4337 : 4811]	[6.81:7.47]	[6.80 : 7.46]
Bridge_PR	LMGC90	0.9	50	{138}	[70 : 108]	[1.5 : 2.3]	[2.27:2.45]
100_PR_Periobox	LMGC90	0.8	106	{606}	[14 : 578]	[0.2:3]	[1.76 : 3.215]
945_SP_Box_PL	LMGC90	0.8	60	{5700}	[2322 : 5037]	[1.22 : 2.65]	[1.0 : 2.66]
Capsules	Siconos	0.7	249	[96:600]	[17:304]	[0.53:1.52]	[1.08:1.55]
Chain	Siconos	0.3	242	{60}	[8:28]	[0.5:1.3]	[1.05:1.6]
KaplasTower	Siconos	0.7	201	[72:792]	[48 : 933]	[3.0 : 3.6]	[2.0 : 3.53]
BoxesStack	Siconos	0.7	255	[6:300]	[1:200]	[1.86 : 2.00]	[1.875 : 2.0]
Chute_1000	Siconos	1.0	156	[276 : 5508]	[74 : 5056]	[0.69 : 2.95]	[1.0 : 2.95]
Chute_4000	Siconos	1.0	40	[17280 : 20034]	[15965 : 19795]	[2.51 : 3.06]	-
Chute_local_problems	Siconos	1.0	834	3	1	1	1

Table: Description of the test sets of FCLib library (v1.0)

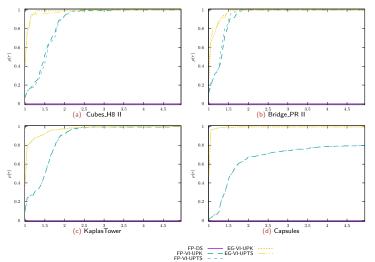
Parameters of the simulation campaign

Test set	precision	prescribed time limit (s)	mean performance of the fastest solver $\mu\{\min\{t_{\mathbf{p},s},s\in S\}\}$	std. deviation performance of the fastest solver $\sigma(\min\{t_{p,s},s\in S\})$	mean performance of the fastest solver by contact $\mu\{\min\{t_{p,s}/n_{C,p},s\in\mathcal{S}\}\}$	std. deviation performance of the fastest solver by contact $\sigma(\min\{t_{p,s}/n_{\mathcal{C},p},s\in S\})$	# of unsolved problems				
Cubes_H8_*	10-08	100	1.73	2.13	4.83-03	5.78-03	0				
Cubes_H8_* II	10-04	100	0.92	1.06	2.66 - 03	2.83-03	0				
LowWall_FEM	10-08	400	13.1	3.50	1.91 - 02	5.09-03	0				
LowWall_FEM II	10-04	400	14.8	2.85	2.16-02	4.54-03	0				
Aqueduct_PR	10-04	200	5.80	6.36	4.90-04	3.03-04	0				
Bridge_PR	10-08	400	10.3	12.9	1.23-01	2.88-01	0				
Bridge_PR II	10-04	100	0.048	0.038	1.30-03	1.42-03	0				
100_PR_Periobox	10-04	100	0.064	0.062	1.56 - 04	1.22-04	0				
945_SP_Box_PL	10-04	100	3.20	1.71	6.45 - 04	3.36-04	0				
Capsules	10-08	50	1.46.10 -02	1.74.10 - 02	5.67-05	6.26-05	0				
Chain	10-08	50	6.19.10-04	3.68.10-04	3.15.10-05	1.46.10-05	0				
KaplasTower	10-08	200	1.27.10 -01	3.75.10 - 01	1.84.10 -04	4.57.10 -04	0				
KaplasTower II	10-04	100	2.84.10 -02	1.51.10-01	3.39.10	1.84.10 -04	0				
BoxesStack	10-08	100	3.42.10 -02	8.87.10 - 02	3.24.10 - 04	9.77.10 - 04	0				
Chute_1000	10-04	200	2.62	3.06	6.76 - 04	6.58-04	0				
Chute_4000	10-04	200	10.52	7.88	5.71-04	4.07-04	0				
Chute_local_problems	10-08	10	1.80.10 -04	1.57.10 - 05	1.80.10 -04	1.57.10 -05	0 3				

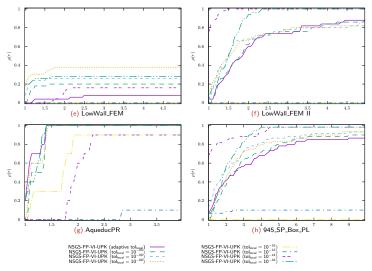
Parameters of the simulation campaign

- ► More than 2500 problems
- Around 30 solvers with their variants
- ▶ More than 27000 runs between few seconds up to 400s.

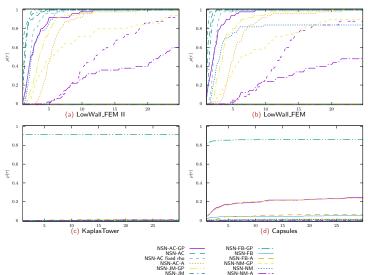
Comparison of numerical methods FP-DS, FP-VI-* and FP-EG-*



Influence of the local solver in NSGS-★ algorithms.



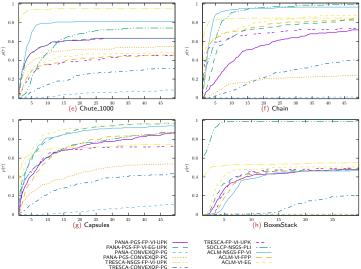
Comparison of NSN-* algorithms.



NSN-JM-A

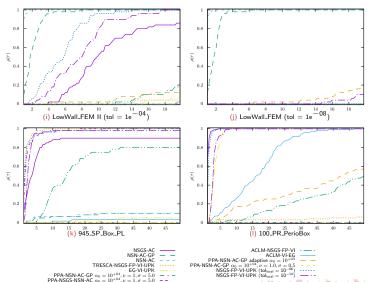
NSN-AC-HYBRID

Comparison of the optimization based solvers



Performance profiles

Comparisons by families of solvers



Conclusions & Perspectives

Conclusions

- A bunch of articles in the literature 47000 articles since 2000 on "Coulomb friction numerical method" in Google Scholar.
- 2. No "Swiss-knife" solution : choose efficiency OR robustness
- 3. Newton-based solvers solve efficiently some problems, but robustness issues
- First order iterative methods (VI, NSGS, PSOR) solves all the problems but very slowly
- The rank of the H matrix (≈ratio number of contacts unknows/number of d.o.f) plays an important role on the robustness
- 6. Optimisation-based and proximal-point algorithm solvers are interesting but it is difficult to forecast theirs efficiencies
- 7. Need for a second order method when H is rank-deficient (IPM?)

Conclusions & Perspectives

Perspectives

- Develop new algorithm and compare other algorithm in the literature. (interior point techniques, issues with standard optimization software.)
- 2. Improve the robustness of Newton solvers and accelerate first-order method
- 3. Complete the collection of benchmarks → FCLIB

FCLIB: a collection of discrete 3D Frictional Contact (FC) problems

Our inspiration: MCPLIB or CUTEst

What is FCLIB?

- A open source collection of Frictional Contact (FC) problems stored in a specific HDF5 format
- ► A open source light implementation of Input/Output functions in C Language to read and write problems (Python and Matlab coming soon)

Goals of the project

Provide a standard framework for testing available and new algorithms for solving discrete frictional contact problems share common formulations of problems in order to exchange data

Call for contribution http://fclib.gforge.inria.fr

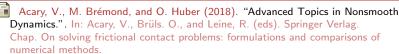
All the results may be found in Acary et al., 2018

On solving frictional contact problems: formulations and comparisons of numerical methods. Acary, Brémond, Huber. Advanced Topics in Nonsmooth Dynamics, Acary, V. and Brüls. O. and Leine, R. (eds). Springer Verlag. 2018

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