

Sustaining Democracy with Force: Black Representation During Reconstruction*

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Abstract

The failure of Reconstruction is widely seen as a key factor in the social and economic status of African Americans today. Despite the extension of the franchise to the formerly enslaved, Southern elites used violence and other extralegal means to regain power and ultimately remove these newly granted rights. In this paper, we study the importance of enforcement of political rights on the ability of the formerly enslaved to achieve political power during Reconstruction. We use data on the location of federal troops to predict the election of black politicians in the Congressionally-mandated state constitutional conventions and subsequent state legislatures. Using various estimation strategies, we find that the federal enforcement enhanced black representation and that the presence of the Army interacted positively with other federal efforts such as the Freedmen's Bureau. In light of the recent Supreme Court decisions to weaken the enforcement mechanisms of the Voting Rights Act and subsequent legislative efforts to suppress minority turnout, our evidence has implications on minority representation to this today.

Keywords: minority representation, enfranchisement, Reconstruction, political equality

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1 Introduction

The failure of Reconstruction, which was followed by nearly 75 years of segregation and limited rights for the formerly enslaved and their ancestors, is seen as one of the reasons why American slavery continues to cast such a long shadow.¹ While the factors explaining this failure have long been a focus of historians, this has increasingly become an important area of inquiry among social scientists (Chacon and Jensen, 2020; Heersink and Jenkins, 2020; Logan, 2020, 2019; Mazumder, 2019; Rogowski, 2018; Suryanarayan and White, 2021; Stewart and Kitchens, 2021).

In this paper, we focus on the role that the federal government played in the enforcement of political rights during Reconstruction. Specifically, we examine whether the local presence of the US Army influenced the ability of the formerly enslaved to mobilize and obtain political representation at the state level. We find that black politicians were significantly more likely to be elected to state legislative bodies in occupied counties, and that this empowerment quickly faded after the federal troops were removed more than a decade before the introduction of formal restrictions (e.g., poll taxes and literacy tests) that effectively disenfranchised most Southern blacks until the 1960s.

The importance of federal enforcement during Reconstruction stems from several aspects of this context. For one, post-slavery societies tend to suffer from extreme group-based inequalities (Engerman and Sokoloff, 2002; Alston and Ferrie, 2007; Acemoglu, García-Jimeno and Robinson, 2012). Despite the leveling of *de jure* political rights, these economic inequalities can translate into *de facto* political inequalities (e.g., little influence on policy, lack of descriptive representation). A particular threat to the empowerment of newly enfranchised groups in this context arises from the exercise of political violence. Namely, the granting of

¹See, for example, Foner (2014), Bateman, Katznelson and Lapinski (2018), Valelly (2009), and Ransom and Sutch (2001). The long-run negative relationship between slavery and contemporary outcomes is well established (Craemer et al., 2020). See Nunn (2008) for evidence showing that highly enslaved counties in the US are poorer today, and Acharya, Blackwell and Sen (2016) for evidence that whites in highly enslaved counties are more likely to exhibit more racist attitudes today.

political rights may not change the patterns of representation significantly if the old regime elites—facing threats to their power—respond with violence and coercion (Acemoglu and Robinson, 2008). Indeed, transitions to democracy are frequently accompanied by selective violence and repression.² Hence, the representation of new groups could be minimal if they face violence and do not receive effective protection during a transition.

Second, unlike other slave societies in the Western Hemisphere, Southern slavery was abruptly ended by the Northern states during the American Civil War. Not only was an enormous source of Southern white wealth destroyed with emancipation, Congressional Republicans required black adult male suffrage as a condition for readmission into the Union. This meant that the recently emancipated would immediately enjoy *de jure* political equality with their former enslavers. Like most former post-slavery societies, there were enormous disparities in the distribution of *de facto* power. Almost all Southern economic resources, including the primary asset of land, were in the hands of whites, and in particular the former slaveowners. Thus, despite comprising a minority of the registered voters in many of the then Reconstruction states, Southern whites could—and ultimately did—use their *de facto* power to eventually minimize the political clout of the former slaves.³

In this paper, we study the extent to which federal enforcement, and specifically the security provided by federal troops, promoted equality in political representation between 1868 and 1878. White Southerners responded to black suffrage with violence aimed at curtailing the ability of black communities to exercise their new rights (see e.g., Chalmers 1987; Foner 2014).⁴ Given this hostility to Reconstruction, Congressional Republicans used the US Army to implement these reforms (including registering African Americans and enforcing their right to vote). Yet, Congress never supported an occupation that was sufficient in size to protect the entire black electorate, which was thinly distributed across the vast

²According to Ackerman and Karatnycky (2005), approximately half of all democratic transitions between 1973 and 2000 were violent.

³The data on black registered voter share in 1868 was located in Walton, Puckett and Deskins (2012). Black voters were a majority in five states, and were more than 45% of the registered voters in three more.

⁴Thousands of African Americans were murdered by terrorist organizations, such as the Ku Klux Klan, formed to repress black leaders and their supporters.

rural South (Downs 2015). As a result, a large proportion of the newly enfranchised voters resided in counties that did not house federal troops. In addition, due to fiscal pressures and federal politics, the occupation steadily declined during the period ending abruptly in the late 1870s.⁵

We exploit the spatial variation of troop locations, as well as the temporal dynamics of the occupation, to identify the impact of the Army on the electoral success of black candidates. Specifically, we combine a newly digitized dataset on the race of state legislators and the location of military outposts between 1868 and 1878. Our analysis also includes the delegations to the ten Reconstruction Conventions of 1867-68, which are the first elected bodies in Southern history to include black representatives.⁶ Using this spatial and temporal variation, we find a positive and highly significant correlation between the federal occupation and African American representation during the period.

The location of troops is endogenous to many factors which could directly influence the mobilization of black voters and candidates. We address this concern with two different empirical strategies. First, we use the pre-war railway network to construct an estimating sample which potentially balances unobserved omitted factors. Namely, using the proximity of troops to the railways we create a set of “occupied railway counties,” which are defined by their close proximity to an “army line” (railway lines within 5km of an army site). Thus, counties in close proximity to an army line are compared with counties having the same proximity to a non-army railway line.⁷ In both our complete and restricted samples, we find that the presence of troops is positively and significantly associated with the incidence of black representatives. For instance, an occupied railway county in 1867 is associated with

⁵The occupation entailed provisioning a force with bases scattered across the South. This created a fiscal strain on the federal government, which already suffered from extremely high war-related debt financing (Downs, 2015).

⁶As we describe below, the Reconstruction Acts of 1867 mandated that each Reconstruction state hold a state convention, in which adult black males could participate, and for which black suffrage would be enshrined (Foner, 2014).

⁷The identifying assumption is that conditioning on having a railway line, the location of troops is independent of all the factors which could influence black representation. While this is strong assumption we cannot fully test, we are able to significantly improve the balance between occupied and non-occupied counties in key observable factors.

approximately 0.5 more black delegates in the state conventions of 1867-68.

Second, we leverage two-way fixed effects models to adjust for time-invariant confounds. We find that occupied counties elected 0.11-0.32 more African American state legislators. We also adopt an instrumental variables approach that exploits variation in total deployments driven by national shocks such as the election of President Ulysses S. Grant in 1868 and the Panic of 1873. Specifically, the instrument interacts a measure of propensity for occupation (the location of military outposts in 1865) with the national deployment trend. We continue to observe a strong relationship between occupation and minority representation across multiple specifications of the instrument.

To further investigate the channels through which federal enforcement fostered representation, we empirically explore the interaction between the occupation and a set of factors that are often associated with electoral mobilization, such as human capital, social networks, and state capacity. In general, we find evidence that the enforcement provided by the US Army amplified the effect of other factors often linked with greater minority representation.

In sum, our findings show that enforcement was important for the participation of the formerly enslaved during Reconstruction. While many historical accounts have recognized the importance of the federal occupation for sustaining black political mobilization in this period, our work is the first demonstrate this using rigorous identification strategies and a complete set of black politicians at the state legislative level. The failure of the occupation had an immediate and negative consequence on black interests, one which is still reflected in the unequal contemporary economic status of African Americans.

The evidence that effective democratic participation may require state interventions to limit the importance of disparities in de facto power, especially in a context of deep economic inequalities, also has important implications for contemporary American politics. The federal legislation and amendments in the 1960s that granted African Americans the right to vote, and in particular the Voting Rights Act (1965), included key provisions for their enforcement. This statute's efficacy of not only the extension of suffrage, but also its enforcement, has

been demonstrated in terms of black voter registration (Keele, Cubbison and White, 2021), turnout (Husted and Kenny, 1997), representation of black interests in Congress (Schuit and Rogowski, 2017), and the distribution of state-level public resources (Cascio and Washington, 2013). Yet the decision of the Supreme Court in *Shelby vs. Holder* (2013) to strike-down the provision in the VRA requiring Southern states to seek “pre-clearance” for any changes to voting laws has been followed by a slew of laws aimed at restricting black (and other minority) voters. In particular, the sheer number of new state laws to limit voting in Republican-dominated Southern legislatures following the 2020 Presidential election is widely seen as an attempt to restrict minority voting.⁸

2 Historical Context

On the eve of the American Civil War, which was precipitated by Southern secession and the subsequent formation of the Confederacy, approximately 4 million people in the seceding states were enslaved. With the North’s victory, and the successful adoption of the 13th Amendment, the Republican Party sought to transform the political system of the South (Foner 2014). Despite intense resistance by many Southern whites and Northern Democrats, Congressional Republicans, buoyed by their veto-proof majorities, passed a series of bills in 1867 and 1868 known collectively as the Military Reconstruction Acts. Ten of the eleven Confederate states were placed into military districts with the goal of “reconstructing” their political systems prior to regaining Congressional representation.⁹ In addition to requiring each state to create new state constitutions granting universal manhood suffrage and ratifying the 14th Amendment, these acts provided a mandate for the Army to register eligible black males to vote and protect their ability to exercise the franchise.

These reforms led, however briefly, to a radical transformation of the party system in

⁸Fausset, Richard, Nick Corasaniti and Mark Leibovich “Why the Georgia G.O.P.’s Voting Rollbacks Will Hit Black People Hard,” *New York Times*, March 25, 2021. <https://www.nytimes.com/2021/03/25/us/politics/georgia-black-voters.html>

⁹Tennessee was exempted because it had already been re-admitted to the Union in 1866 due in part to the influence of President Andrew Johnson, who was a native of the state.

the South. For instance, following the Reconstruction conventions of 1868 (and 1869), the Republican Party, which was non-existent in the prewar South, won nine gubernatorial elections and majorities in 17 legislative chambers (Dubin 2007, 2010). The effectiveness at transforming Southern politics is demonstrated not only by the success of the Republican Party (the vast majority of whose voters were African American), but also by the election of thousands of black politicians and officials to local, state, and federal office throughout the South (Foner 1993). This political revolution also saw an expanding role of the state in providing redistributive public goods, in particular, in public education.¹⁰

When Southern whites intensified the use of violence to prevent Republican candidates and black voters from participating, Congress responded by passing three “Enforcement Acts” in 1870 and 1871. These laws empowered the federal government to regulate state and local elections, enforce political and civil rights, and prosecute those that interfered with political participation. Between 1871 and 1874, the federal government successfully prosecuted more than 1000 violations, and non-state groups such as the Ku Klux Klan were severely constrained (Walton, Puckett and Deskins 2012). Yet, the national government’s ability to protect African Americans in the South waned quickly after the Congressional elections of 1874, which gave Democrats a majority in the House. This allowed Democrats to prevent further military appropriations for Reconstruction.¹¹ The efforts to protect black voters ended with the Compromise of 1877, which allowed Republicans to retain the presidency in exchange for, among other promises, a commitment to remove troops from the the South (Foner 2014).

While African Americans retained their de jure voting rights and in practice remained politically active, the removal of federal troops sharply affected their electoral power. White militias increased their violence and as result the number of black politicians elected fell

¹⁰According to Foner (2014), “Republicans had established, for the first time in Southern history, the principle of state responsibility for public education.”

¹¹In addition, the Supreme Court through a series of sentences in the mid-1870s severely curtailed the ability of the federal government to prosecute violations of the 14th Amendment (Foner 2014).

significantly below the rates observed at the end of the 1860s and early 1870s.¹² Although Southern Democrats used targeted violence and electoral fraud to regain political power (Tolnay and Beck 1995), opposition groups still contested and occasionally overturned Democratic rule in some states. Only following the Era of Disenfranchisement (1888-1908) were Southern elites able to establish a “One-Party South”, in which the Democratic Party won nearly every Congressional and state-legislative seat in the region (Kousser 1974).

2.1 Southern Republican Party

While the Republican Party became dominant in the North soon after it was formed in the mid-1850s, it was essentially nonexistent in the prewar South. The choice of Congressional Republicans to pursue an expansion in the South was tied to their decision to grant civil rights to the former slaves. Prior to the Civil War, each slave counted as three-fifths of a person towards the state’s Congressional representation (and therefore also in the Electoral College). Since the 14th Amendment reformed this such that each person counted equally, the defeated South stood to *gain* representation in the House and electoral votes in the electoral college. By enfranchising the former slaves, Congressional Republicans hoped that they could comprise the backbone of a competitive Southern Republican party that did not cede total control of the region to the Democrats.¹³

Republican leaders believed that in order to attract enough white voters to ensure sufficient support, the party’s most prominent elected officials should be white.¹⁴ Yet, despite the constraints placed on top positions, black Republicans were elected in substantial numbers to all other state and local-level offices. While we know that thousands of African Americans were elected to public office in this period (see e.g., Foner 1993), there exists

¹²In particular, the spike in political violence occurred in the various local, state, and federal elections across the South in 1875 and 1876 allowed the Democratic Party to win back control of the remaining Republican-led Reconstruction states (Foner 2014).

¹³See Abbott (1986) and Heersink and Jenkins (2020) for detailed studies on the strategies of Republicans in trying to build a competitive southern wing.

¹⁴Of the more than twenty Republicans elected as governor in the Reconstruction states between 1868 and 1890, none were African American. Furthermore, only 2 of the 29 Republicans elected to the US Senate during this period were African American.

no comprehensive directory of politicians by partisan affiliation or race. The state conventions that were mandated by the 1867 Military Reconstruction Acts, however, do provide a snapshot of the politicians that comprised the Southern Republican Party. Using roll calls from these conventions, Hume and Gough (2008) classify each delegate by their partisan affiliation. Of those delegates classified as a Republican, approximately a third were African American.¹⁵ Of the remaining Republican delegates, 40% were Southern whites, who were derisively known as “*scalawags*,” and 25% were Northerners and known as “*carpetbaggers*.”

Historians have documented that division within southern Republicans coincided with racial and wealth differences. *Scalawags*, for instance, were often wealthy former Whigs (including former planters) who opportunistically joined the party and pushed a platform of economic development, especially state support for railroad construction. *Carpetbaggers*, who were Northern whites (often Union soldiers) who settled in the South following the war, tended to support greater social equality. While these two groups often battled for the leadership of the Southern wing of the party, the main emphasis of both groups was to promote policies promoting economic development. African-American politicians, on other hand, were more interested in redistributive policies, in particular, in raising tax revenues to fund public education and land redistribution (Foner, 2014; Hahn, 2003; Logan, 2020). Thus, black representation was critical for the promotion of policies preferred by black voters. A key question is what determined whether a black politician was elected, especially in districts in which blacks formed a high proportion of the voters.

3 Effect of Enforcement on Representation

In this section, we empirically explore the relationship between the presence of federal troops and the incidence of black representatives at the various stages of Reconstruction. We begin the analysis examining the initial success of black and white Republican delegates in the

¹⁵There was enormous variation across conventions. African Americans were a majority in Louisiana and South Carolina and comprised more than 25% of delegates in all conventions (Hume and Gough 2008).

various state constitutional conventions mandated in the Reconstruction acts. We then study this association using a panel of black representatives in each state legislature between 1868 and 1880. Our econometric results suggest that troops were a key determinant for the electoral success of these politicians.

3.1 Data

We use multiple sources listing the personal characteristics of state convention delegates and state legislators to construct an original measure of black representation at the local level during the period. Our first source is Hume and Gough (2008) which presents detailed biographical information on each of the delegates elected to the Reconstruction conventions between November 1867 and June 1868.¹⁶ The electorate of these conventions was composed mainly of newly enfranchised former slaves and native southern whites. According to the census records, approximately 23% of the delegates participating in these assemblies were black (223 out of 973). The convention in South Carolina reports having the highest share of black delegates (58%) while Texas had the fewest (9%). Their data also shows that the vast majority of black delegates were literate and nearly half were free before the War.

For lower house representatives to the state legislatures, our primary source of biographical information is Foner (1993) who lists more than 1500 African Americans elected to federal, state, and local offices in the Reconstruction states from 1868 until each returned to Democratic rule. Unfortunately Foner’s directory is both temporally limited and incomplete. For one, Foner ends his collection for each state in the year in which Democrats regain control from Republicans (i.e., “Redemption”). Setting this limitation aside, his list is still incomplete for the period prior to Redemption.¹⁷ Thus, we extended this dataset with state-specific sources for Alabama (Bailey 2010), Arkansas (Wintory 2006), Florida (Brown

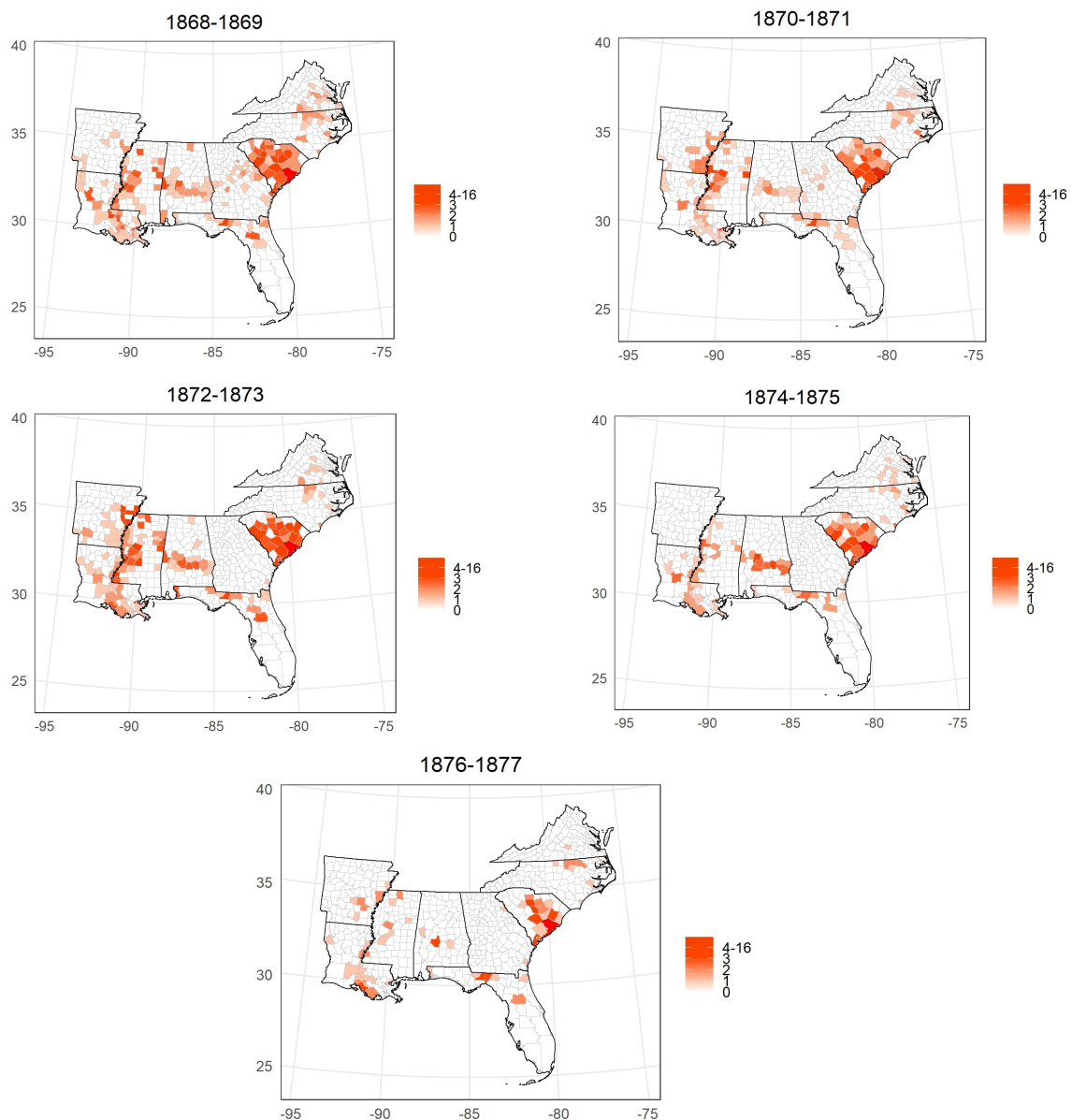
¹⁶The first of these conventions took place in Alabama between November 5 and December 6, 1867. Voters in Texas elected the last in June 1, 1868 and lasted until February 6, 1869 (Hume and Gough (2008, p. 3).

¹⁷For example, Foner (1993) lists 45 unique state House of Representative seats held by African Americans in Florida, Brown (1998) counts an additional 135 with 28 held before 1876, the year of redemption in this state.

1998), Louisiana (Perkins 1929; Vincent 2011), Mississippi (Satcher 1976), North Carolina (Justesen 2009; Logan 1984; Balanoff 1972), South Carolina (Bryant 1974; Hine 1983), Texas (Brewer 1935), and Virginia (Jackson 1945). This increases the number of black state legislators in these states from 1021 to 1902. To the best of our knowledge, these lists have never been collected and systematized before.

Figure 1

Trends in African American Representation, by electoral cycle



Our main measure is a count variable reflecting the number of African American representatives in each state’s lower chamber between 1868 and 1880. This variable is a precise measure of local political representation and is easily comparable across states. State lower houses were also regularly apportioned to each county as a whole number, as opposed to state upper chambers which were composed of multi-county districts.¹⁸ In addition, house representatives were elected with regularity (every two years). Membership in the state legislature was also consequential, as state governments in this period were responsible for large portions of public spending in these states.¹⁹ The trends in this variable across legislative cycles between 1868 and 1878 are shown in Figure 1.

Our main explanatory variable is based on the U.S. Army occupation information collected and systematized by Downs (2015). This work is the first comprehensive source of military deployments during the period and represents a significant improvement over previous studies. It includes troop counts, unit type, and the geographical coordinates for each federal garrison in the Reconstruction states between 1865-1880. These coordinates were matched with contemporaneous county boundaries by the year and month in which troops were deployed.²⁰ We aggregate monthly deployments to the county-year level by taking the median deployment level for each year.

The military occupation dataset shows that although there were a hundred thousand troops stationed the immediate post-war South (late 1865), this number quickly declined to twenty thousand over the next five years. During brunt of Congressional Reconstruction, only Texas ever had more than 10 posts in any given year between 1869-1876 (Gillette 1982).²¹ Furthermore, the already stretched occupation force declined throughout this period. In

¹⁸The exceptions are Arkansas, Texas and Virginia. In these cases, we split representative counts over the respective counties weighting by total county population.

¹⁹For example, according to the 1870 Census, state governments in the South collected nearly 60% of total state and local (county and municipal) tax revenues. By comparison, Northern state governments in 1870 collected less than 25%. The federal government was also a much smaller share of public spending than it became in the 20th century.

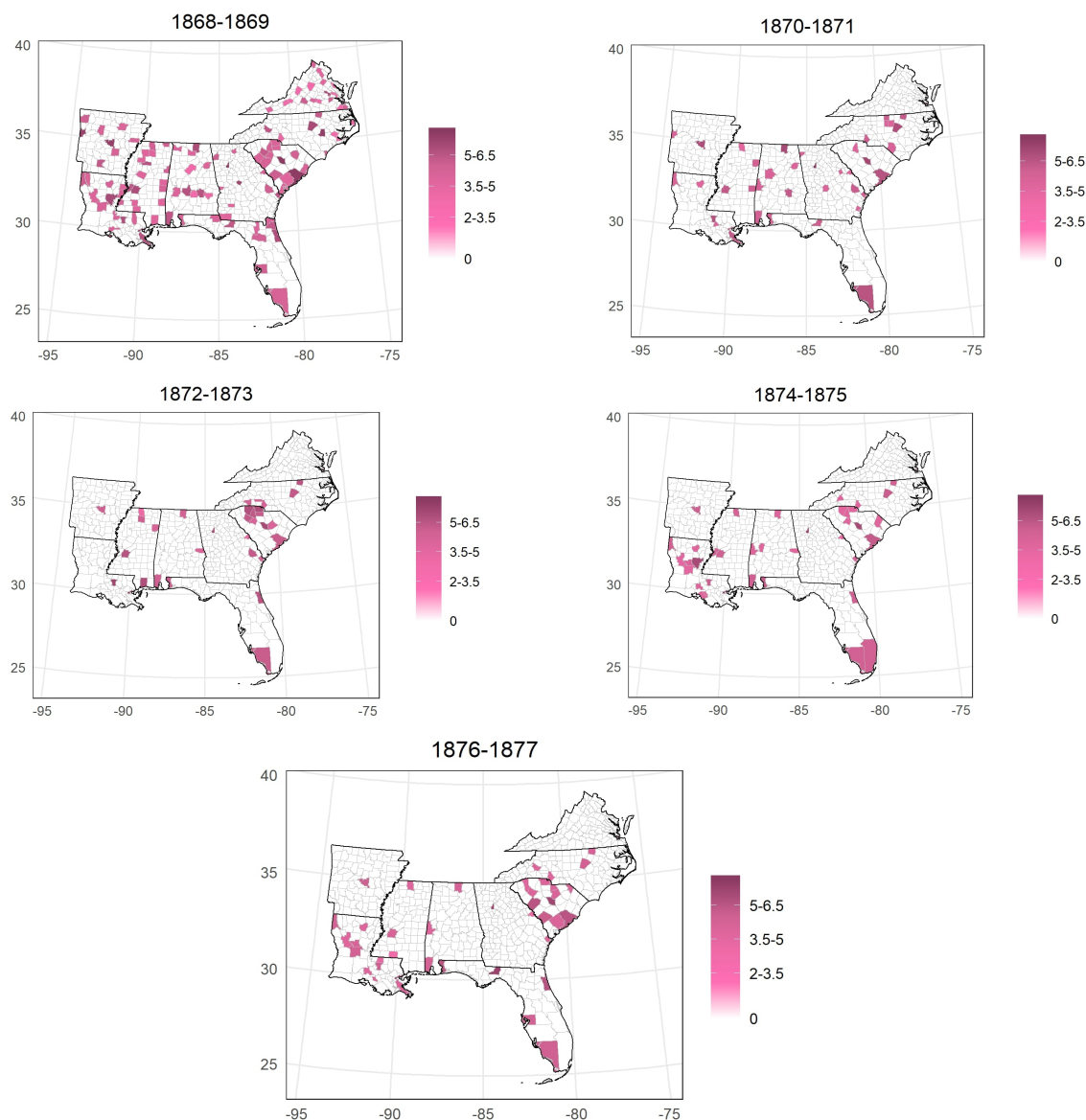
²⁰The historical county boundaries were constructed using: http://publications.newberry.org/ahcbp/downloads/united_states.html

²¹By comparison, Down’s (2015) data indicates that in 1866 the average Confederate state had troops located in only 17 different counties.

1871, federal soldiers were stationed in the South in around 200 posts (eight thousand troops total). This fell to 160 posts in 1872. By 1876, it was down to 71 (Blair 2005). In Figure 2 we show the trends in the location of Army outposts over the same electoral cycles used in Figure 1.

Figure 2

Trends in Troop Deployments, by electoral cycle



In the context of insurgency and civil wars, scholars have noted that military interventions are more likely to focus on high resistance areas with high levels of political violence. Areas

conducive to resistance typically lack state capacity (Fearon and Laitin 2003), are rural and/or rugged (Duffy Toft 2002; Kalyvas 2006) and suffer from ethnic cleavages (Posen 1993; Cederman, Wimmer and Min 2010). As such, these factors are likely to partially explain the location of troops and if they are correlated with black mobilization this could be a source of bias. We use a number of time-varying measures in our panel models to control for these factors. First, to control for county size and urbanization we include the total county (log) population and population density (available from the Census and linearly interpolated). We also include a Gini coefficient of land inequality (the distribution of land holdings at the county level is provided by the census) as a measure of the *de-facto* power of elites. We also control for each county’s black-population share, as the geographical concentration of African Americans could account for both the incidence of troop deployments and the electoral success of black politicians. This variable is available from the 1860, 1870 and 1880 Censuses (values are linearly interpolated between census years). The descriptive statistics of each of these variables are presented in the appendix.

3.2 The Constitutional Conventions of Reconstruction

We begin our analysis using the delegates to each state’s Reconstruction (constitutional) convention (held between late 1867 and early 1869). Our dependent variable is the number of black delegates elected from each county to these conventions. We code all delegates identified by Hume and Gough (2008) as having either a black or a mixed racial heritage as black. In these models we control for a set of economic and geographic factors which could influence both the selection into being a delegate and the level of black mobilization. Our baseline controls are black share (black population over total population), total county population and population density, all from the 1860 Census. As additional controls, we include per capita wealth, per capita farm value, and two geographical characteristics (elevation and distance to the state capital).

As shown in Panel A of Table 1, counties occupied in 1867 were significantly wealthier,

had higher black share, greater population, and had lower altitude compared to non-occupied counties. Each of these accords with the military posts being more likely in the former high slave-share districts of these states.

Table 1 Descriptive Statistics

| | Non-Occupied | | Occupied | | Difference |
|------------------------------|--------------|----------|-----------|----------|------------|
| | Mean | St. Dev. | Mean | St. Dev. | t |
| | (1) | (2) | (3) | (4) | (5) |
| Panel A: Full Sample | | | | | |
| Black share | 0.397 | 0.010 | 0.442 | 0.018 | -2.192 |
| Total Population | 11058.130 | 302.694 | 13885.290 | 855.401 | -3.872 |
| Population Density | 20.182 | 0.544 | 20.121 | 2.169 | 0.039 |
| Per capita Farm Values | 198.403 | 6.285 | 204.532 | 13.322 | -0.443 |
| Per capita Wealth | 661.967 | 13.317 | 839.324 | 30.798 | -5.893 |
| ln Mean elevation | 4.642 | 0.056 | 4.273 | 0.107 | 3.074 |
| ln Distance to state capital | 11.829 | 0.031 | 11.684 | 0.097 | 1.882 |
| Counties | 428 | | 119 | | |
| Panel B: Restricted Sample | | | | | |
| Black share | 0.467 | 0.013 | 0.505 | 0.017 | -1.713 |
| Total Population | 13337.580 | 451.698 | 15740.770 | 948.164 | -2.598 |
| Population Density | 24.057 | 0.604 | 25.896 | 2.322 | -1.003 |
| Per capita Farm Values | 205.422 | 7.435 | 214.455 | 12.262 | -0.660 |
| Per capita Wealth | 740.336 | 18.375 | 829.551 | 26.823 | -2.760 |
| ln Mean elevation | 4.661 | 0.071 | 4.295 | 0.126 | 2.719 |
| ln Distance to state capital | 11.645 | 0.047 | 11.526 | 0.102 | 1.215 |
| Counties | 204 | | 100 | | |

Columns 1-3 of Table 2 report the estimates from a simple linear model in which we take the number of black delegates in the 1867-68 conventions as a function of an occupation dummy in 1867.²² All the standard errors reported are robust to heteroskedasticity. In a simple bivariate model (column 1), the occupation has a positive and highly significant correlation with the number of black representatives. The point estimate of 0.49 (S.E.=0.1)

²²The results are analogous if instead we transform this count measure of delegates into a dummy indicator and estimate a linear probability model.

indicates that a county occupied in 1867 was associated with approximately 0.5 more black delegates compared to a non-occupied county. This account for almost 70% of the standard deviation observed in the number of black delegates to these conventions. Table 2 also presents these models with our baseline controls (column 2) and full set of additional controls (column 3). While the point estimate in these models decreases, both remain highly significant.

In models 4 to 6 we take as the dependent variable the total number of delegates in these conventions identified with the Republican Party. This include delegates with black or mixed racial heritage plus Northern whites (“carpetbaggers”).²³ The point estimate in these models is larger and highly significant. In the model with the full set of controls (column 6), the average occupied county is associated with almost 0.7 more Republicans compared to the average non-occupied county (point estimate of 0.68, S.E.=0.12).

The imbalance in key covariates between occupied and non-occupied counties, as shown in Table 1, indicates the selection effects may be biasing our estimates. Our strategy for testing the robustness of these findings in light of these selection concerns is to use the pre-war railway network to construct a sample of non-occupied counties sharing a key observable with occupied counties. Namely, the presence of a railway line was crucial given the logistical difficulties the Army had in provisioning troops (Downs 2015). The use of cavalry regiments was very limited (these were quickly dismantled after 1865), and as a result the occupation relied almost exclusively on infantry (Bradley 2015). Hence, the use of rail transport was crucial for the ability of troops to mobilize in different areas.²⁴ Indeed, according to our data, counties within 10 kilometers of a railway line were 56% more likely to be occupied in 1867 compared to other counties.

We use this importance of railways to find counties which presumably have similar likeli-

²³This Northern whites represented approximately 44% of all the Republican representatives (174 out of 397) in these conventions.

²⁴Downs and Nesbit (2015) estimate that infantry troops could march up to fifteen miles per day, while the cavalry would ride for thirty (at an average speed of five miles per hour). For example, an officer stationed in South Carolina reported that his troops “show a very credible efficiency but they frequently have to march long distances to quell disturbances...A small force of cavalry would be of infinite service.”

Table 2 Occupation and Representation in the 1867-68 Conventions

| | Black Delegates State Conventions 1867-68 | | | Republican Delegates State Conventions 1867-68 | | |
|---------------------------------|--|------------------|------------------|---|------------------|------------------|
| Panel A | (1) | (2) | (3) | (4) | (5) | (6) |
| 1{Occupation 1867} | 0.490 (0.103) | 0.390 (0.086) | 0.414 (0.093) | 0.833 (0.138) | 0.685 (0.112) | 0.688 (0.120) |
| Counties | 549 | 546 | 541 | 549 | 546 | 541 |
| <i>R</i> -squared | 0.080 | 0.265 | 0.279 | 0.119 | 0.336 | 0.351 |
| Panel B | (7) | (8) | (9) | (10) | (11) | (12) |
| 1{Occupied Railway County 1867} | 0.500 (0.120) | 0.405 (0.102) | 0.410 (0.103) | 0.811 (0.158) | 0.674 (0.133) | 0.641 (0.130) |
| Counties | 306 | 306 | 304 | 306 | 306 | 304 |
| <i>R</i> -squared | 0.075 | 0.260 | 0.293 | 0.110 | 0.326 | 0.354 |
| Baseline Controls | No | Yes | Yes | No | Yes | Yes |
| Additional Controls | No | No | Yes | No | No | Yes |

Notes: Panel A is the full sample of counties in the ten Reconstruction states for each Congressional-mandated state convention. In Panel B, the sample is restricted to counties with a railroad in 1860. For both samples, Occupation is an indicator function of whether or not a county was occupied in 1867.

hoods of being occupied (given the availability of rail transport). Namely, we characterize a set of counties in which the Army had more presence given their proximity to a railway line. We call this set “occupied railway counties” and define them as counties having a railway line within 5 kilometers of an Army site. We then restrict the estimating sample by comparing these with counties having a railway line but not an Army site in the same close proximity.²⁵ See Appendix A, Figure 4 for a map of these areas and the comparison counties.

We present the results using this restricted sample in Panel B of Table 2 (columns 7-12). As seen, the point estimates are remarkably similar to the ones we obtained using the full sample. For instance, in the model with the full set of controls, an occupied railway county is associated with approximately 0.4 more black representatives in the conventions of 1867-68 compared to non-occupied railway counties.

Lastly, in Table 3, we vary the distance to an Army railway line to explore the sensitivity of the 5 kilometer threshold for proximity of military presence in our estimates. We expect such influence on the number of black representatives to diminish as the distance to a railway line controlled by the Army increases. Hence, we vary the distance from 10 kilometers to 20 and 30, which increases the number of counties under military influence. As expected, the occupied railway county dummy approximates a local effect which declines as the distance to an army line increases. This is true for both the models taking the set of black representatives (columns 1-3) and the set of all Republican delegates (columns 4-6).

3.3 State Legislatures (1868-76)

We now explore the impact of troops on the dynamics of representation using a panel of black representatives in each state’s lower house from 1868 to 1880. We rely on a two-way fixed effects approach to control for time-invariant factors that may account for a county’s occupation status. We also adjust for time-varying observables that may have affected

²⁵In the full sample, 106 counties had an Army outpost within 5km on a railway line. 238 had a railway line but were not occupied and only 25 were occupied but the troops were not stationed within 5 km of a railway line.

Table 3 Sensitivity Test

| | Black Delegates | | | Republican Delegates | | |
|---------------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| | State Conventions <10km | State Conventions <20km | State Conventions <30km | State Conventions <10km | State Conventions <20km | State Conventions <30km |
| | (1) | (2) | (3) | (4) | (5) | (6) |
| 1{Occupied Railway County 1867} | 0.410 (0.103) | 0.192 (0.073) | 0.128 (0.061) | 0.641 (0.130) | 0.296 (0.091) | 0.196 (0.079) |
| Counties | 304 | 358 | 396 | 304 | 358 | 396 |
| Baseline Controls | Yes | Yes | Yes | Yes | Yes | Yes |
| Additional Controls | Yes | Yes | Yes | Yes | Yes | Yes |

local occupation including population density, total population, Black population share, foreign share and land inequality. As deployment decisions were heavily influenced by district commanders, we include nonlinear district-specific time trends.

Table 4 presents two-way fixed effects estimates where the independent variables are contemporaneous occupation variables - a binary indicator, the (logged) number of military units and the (logged) number of military troops. In Columns (1)-(3), we find support for the claim that occupied counties experienced significantly greater minority representation in state legislatures between 1868-1880. Occupied counties elected 0.12 more black state legislators, or 34.5% of the average minority representation at the time, a substantively large effect. This point estimate is robust to the inclusion of controls (column 2), and district-specific time trends (column 3).²⁶

We also find that replacing the occupation dummy with specific measures of the number of military units and the number of troops, respectively, had a similarly large substantive effect. Namely, an increase in the number of military units by 1% was associated with an increase in representation by 11%; and a 1% increase in the number of troops corresponded to a 3-4% increase. Columns (2)-(3),(5)-(6) and (8)-(9) further controls for black share,

²⁶These are included in a flexible non-parametric way by interacting district dummies with electoral period dummies.

population density, total population and land Gini. The direction and magnitude of the effects is robust to controlling for each of these factors as well as district-period fixed effects.

Finally, we present an IV strategy based on shocks to the troop deployments arising from contentious Congressional appropriations procedures and military needs outside of the South (see Appendix B for more details). Specifically, county-level troop deployments are instrumented with an interaction between total deployments in the rest of the US (overall as well as outside of the state or district in which the county is located) and a cross-sectional measure of propensity for occupation (early occupation in 1865).

Appendix Table 7 reports two stage least squares (2SLS) estimates. We specify multiple versions of the instrument to limit the extent of spill-overs that could violate the identifying assumptions (e.g., the potential that deployments in nearby counties would directly affect representation). Across specifications, the 2SLS estimates indicate a strong relationship between troop deployments and African American representation. An increase in troop deployments by one percent was associated with a 0.49 increase in the number of black representatives.

Table 4 County Occupation Status and Incidence of Black State Legislators

| | Black State Legislators | | | | | | | | |
|-----------------------------|-------------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) |
| Occupation Indicator $t-1$ | 0.116 (0.067) | 0.160 (0.070) | 0.132 (0.071) | | | | | | |
| (ln) Military Units $t-1$ | | | | 0.110 (0.040) | 0.133 (0.042) | 0.118 (0.040) | | | |
| (ln) Mean Troops $t-1$ | | | | | | | 0.035 (0.016) | 0.044 (0.017) | 0.037 (0.017) |
| Controls | No | Yes | Yes | No | Yes | Yes | No | Yes | Yes |
| District \times Period FE | No | No | Yes | No | No | Yes | No | No | Yes |
| Counties | 634 | 634 | 634 | 634 | 634 | 634 | 634 | 634 | 634 |
| Observations | 4274 | 4148 | 4148 | 4274 | 4148 | 4148 | 4274 | 4148 | 4148 |

All estimates adjust for county- and period-fixed effects. Controls include Black population share, foreign-born share, (ln) total population, population density and land Gini.

4 Channels of Representation

Our evidence thus far demonstrates that the presence of federal troops increased the incidence of black representation in the various state legislatures during Reconstruction. This finding contributes to an existing literature studying the specific factors influencing black political participation during this period, such as the the presence of a Freedman’s Bureau office (Rogowski, 2018), the importance of the prewar *free* black population (Logan, 2020) and black social networks (Chay and Munshi, 2012), as well as other factors, such as political competition.

In this section, we explore how the presence of federal troops interacts with these other factors. We find that these mechanisms, which have been theorized to facilitate collective action and political mobilization, are more pronounced in occupied counties. We interpret these findings as showing that the security provided by the Army enhanced black political participation in counties in which pre-existing black human capital was higher, social networks were more dense, and federal capacity was higher. On the other hand, we find no evidence that preexisting levels of political competition were correlated with greater black political representation in occupied counties.

4.1 Freedmen’s Bureau

We first explore the relationship between county occupation status and the presence of the other primary federal bureaucracy operating in the South on behalf of the formerly enslaved: the Freedman’s Bureau. Congress created the Bureau of Refugees, Freedmen and Abandoned Land (Freedmen’s Bureau) in early 1865 for the purpose of providing social and legal services to former slaves and black veterans. Although the Bureau had important achievements, particularly in building schools and universities across the South, it was largely understaffed and constantly resisted by white Southerners. Bureau agents were often targeted by white supremacists who viewed them as too sympathetic with black empowerment. Given this hos-

tility the military commanders were also responsible for supporting the Bureau’s operations and protecting their agents. Since education and health are potentially complementary to black social capital and the supply of potential officeholders, we expect the Bureau to have a positive and significant effect on black mobilization and representation, and one that should be more pronounced in the occupied counties.

4.2 Social Networks

Since at least Putnam, Leonardi and Nanetti (1994), scholars have argued that strong horizontal social capital may be essential for promoting good governance. Voting and, in particular, running for office are costly and sometimes fatal for ethnic minorities. Therefore, areas that are able to reduce the costs of political action through social connectedness may enjoy more representation.

Social capital was deeply entrenched amongst former slaves, albeit informally. Historians have long noted particular socio-cultural conditions in intermediate and large plantations. In contrast with small farms, where masters and slaves often worked and ate alongside each other, large plantations were based on a highly regimented and hierarchical system in which slaves lived in close-knit slave quarters and practiced highly-specialized work (Stampp 1966).²⁷ The occupational hierarchy induced by their masters and the self-autonomy within the slave quarter created opportunities for internal social hierarchies and leadership. The churches formed within the quarters of large farms are also believed to have contributed to solidarity and cooperation among slaves. And although slaves in large plantations were significantly more productive than free laborers or slaves on small farms (Fogel 1994), large plantations alternated between periods of intense productivity and periods of lower productivity and resistance (Genovese 1976).

At the same time, a competing view argues that at least in the immediate aftermath of the Civil War, the former slaves found themselves in a situation “designed to destroy

²⁷Gang laborers on large farms accounted for 50% of the adult slave population in 1860

social capital among slaves and between slaves and freemen” (Putnam 2001). In this view, as slavery reduced social capital, social capital amongst the formerly enslaved should have a negative or small correlation with the observed patterns of black representation.

We explore these opposing views of how social capital among former slaves may have interacted with the federal enforcement. Specifically, following Chay and Munshi (2012), we use the median number of county slave-holding size, as a proxy for spatial variation in the density of black social networks.²⁸

4.3 Supply of Black Politicians

Logan (2020) theorized that the supply of potential black politicians reflected in part the presence of a pool of qualified candidates (e.g., literate). He uses the variation in a county’s population of free blacks in 1860 as proxy for candidate supply. Although small (they were just 2% of the 1860 Southern population), many free blacks were educated, owned property, and maintained businesses (especially in comparison to the enslaved). Furthermore, they tended to be spatially concentrated. Therefore, we also examine how prewar free black population interacts with county occupation status on influencing black representation during Reconstruction.

4.4 Initial Mobilization & Political Competition

We also test two additional factors that may have affected the electoral success of black candidates. First, we test the effects of *initial mobilization* or early success by using the number of black delegates in these conventions as a direct measure of the “initial” mobilization capacity and effectiveness of black politicians. While the *de jure* franchise did not vary over time or between counties during Reconstruction, this capacity was surely undermined

²⁸While used by other scholars as a proxy for preexisting black social networks, median slaveholding size (i.e., a measure of plantation density) is likely correlated with many factors that could affect black representation through channels other than social networks. We urge readers to interpret these findings as a suggestive test of this mechanism.

by the targeted violence during the period. If troops effectively raised the cost of violence and made repression less likely, we expect that patterns of early black representation to be more persistent in occupied counties. However, if troop deployments do not help maintain early gains in minority representation, this would suggest that the effect of the occupation on representation operates through channels different from early mobilization.

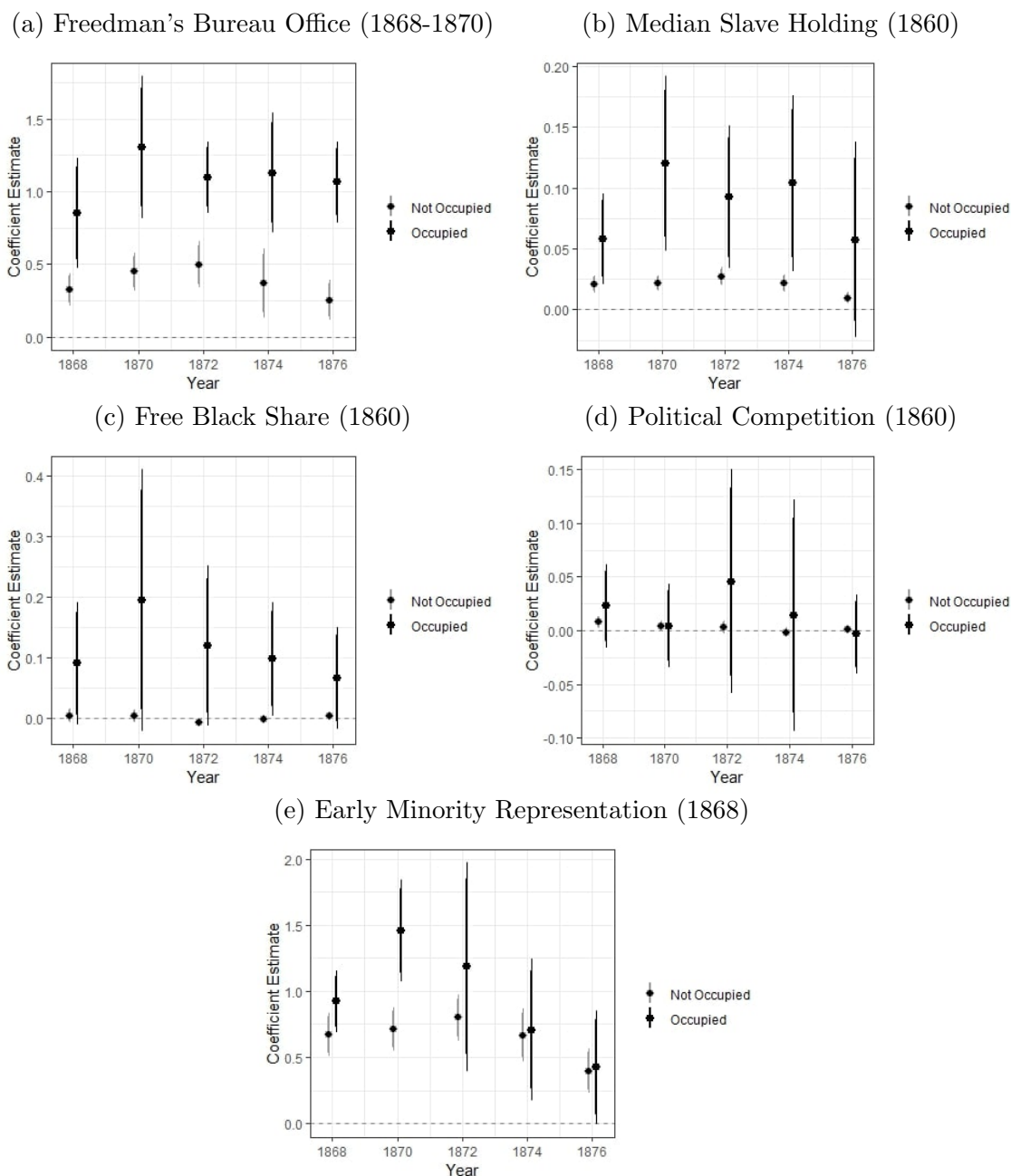
Second, we explore whether political competition amplifies the effect of federal troops on black representation. A strong incumbency advantage has been linked to both ethnic and gender under-representation (Darcy 1994; Palmer and Simon 2010). This is because the level of political competition in single-member districts lowers legislators' perceived chances of winning (Maestas et al. 2006). To capture this mechanism, we use the Democratic vote margin in 1860 presidential elections as a proxy for prewar electoral competitiveness. We expect competition to interact positively with troops in explaining black representation (e.g., black politicians in occupied counties won competitive districts more easily).

4.5 Results

Figure 3 plots the marginal effects of each moderator on the number of Black state legislators, adjusting for covariates and state fixed effects. The independent variable in each figure, the posited theoretical mechanism, is allowed to vary by occupation status. Each pair of points (with vertical lines depicting 90% and 95% confidence intervals) corresponds to a cross-sectional regression limited to a particular election cycle.

Figure 3 (a) indicates that troops had the most significant impact in areas where a Freedman's Bureau office was present between 1868-1870. Unoccupied counties with Freedman's Bureau offices elected 0.45 more African American state legislators than similar unoccupied counties without a bureau office. In contrast, occupied counties with Freedman's Bureau offices elected 1.2 more Black legislators than comparable counties without the bureau's presence. The difference is statistically significant and persists even as the number of troops falls in 1874 and 1876.

Figure 3 Effect of Mechanisms on Black State Legislators, by Occupation Status



Each figure displays the marginal effect (with associated 90 and 95% confidence intervals in black) of each moderator on African American representation in state legislatures. The underlying cross-sectional regressions adjust for covariates and state fixed effects.

Figure 3 (b)-(c) provide some evidence for the claim that federal troops activated pre-existing black mobilization. From 1870-1874, areas with a higher density of early social ties between enslaved blacks (as proxied by median farm slave-holding) elected significantly more state legislators when occupied. Figure 3(c) also suggests that the occupation amplified the effect of preexisting human capital. Specifically, free black population share in 1860 predicts an increase in minority representation (Logan, 2020), but only in occupied counties (this effect is significant at the 10% confidence level).

Next, Figure 3 (d) suggests that county variation in political competition (as measured by county Democratic vote share in 1860) cannot account for the relationship between occupation and representation. Unreported regressions suggest that this pattern is not particular to Presidential elections - gubernatorial and Congressional election vote share and other measures of political competition fail to account for this relationship. While estimated more precisely for non-occupied counties, neither occupied nor unoccupied counties experienced markedly greater minority representation as a function of pre-war political competition.

Finally, Figure 3 (e) highlights that federal troops extended the gains from early representation in the short run. Counties that elected minority delegates to the Constitutional conventions also elected more minority representatives throughout the Reconstruction period. However, occupied counties with early representation elected significantly more Black state legislators in the first post-Convention election (1870) than non-occupied counties. By 1872, the effect of minority representation in the conventions was statistically no different in occupied and non-occupied counties.

5 Conclusion

In this paper, we have empirically explored whether federal enforcement affected the incidence of minority representation following the wide-scale expansion of the franchise. We study this question using the federal occupation and enfranchisement of the former slaves

following the South’s defeat in the US Civil War. The brief period in which the federal government used the Army to enforce the political rights of African Americans saw the election of thousands of African Americans across the South, the rise of the Southern wing of the Republican Party, and a huge expansion in the role of the Southern state.

We use an original panel dataset of black politicians elected to state legislative bodies in the ten Southern Reconstruction states between 1868 and 1876 to test whether federal enforcement positively influenced the incidence black representation. Using the local presence of federal troops, we find strong evidence that the local presence of US Army troops increased black representation during this period. Specifically, our evidence shows that when protected by federal forces, Southern counties elected roughly 20% more African American state legislators. While consistent with a large historical literature on the importance of federal troops to limit Southern white backlash to black emancipation and enfranchisement, our study is to first to provide rigorous and encompassing evidence of this.

This paper builds on the view that in the face of *de facto* power imbalances, *de jure* political equality may not be enough to allow previously exploited and disenfranchised groups to influence politics. It also relies on a large literature showing the importance of black officeholders for black voters to receive proper representation (Tate, 2018; Cobb and Jenkins, 2001; Broockman, 2013). The ultimate failure during Reconstruction to protect the ability of Southern blacks to effectively participate in politics helped ensure their continued exploitation and disenfranchisement for nearly another hundred years. This failure also speaks to the consequences of current efforts to restrict minority voting and the failure of the federal government to stem the erosion of their political rights since the VRA’s passage.

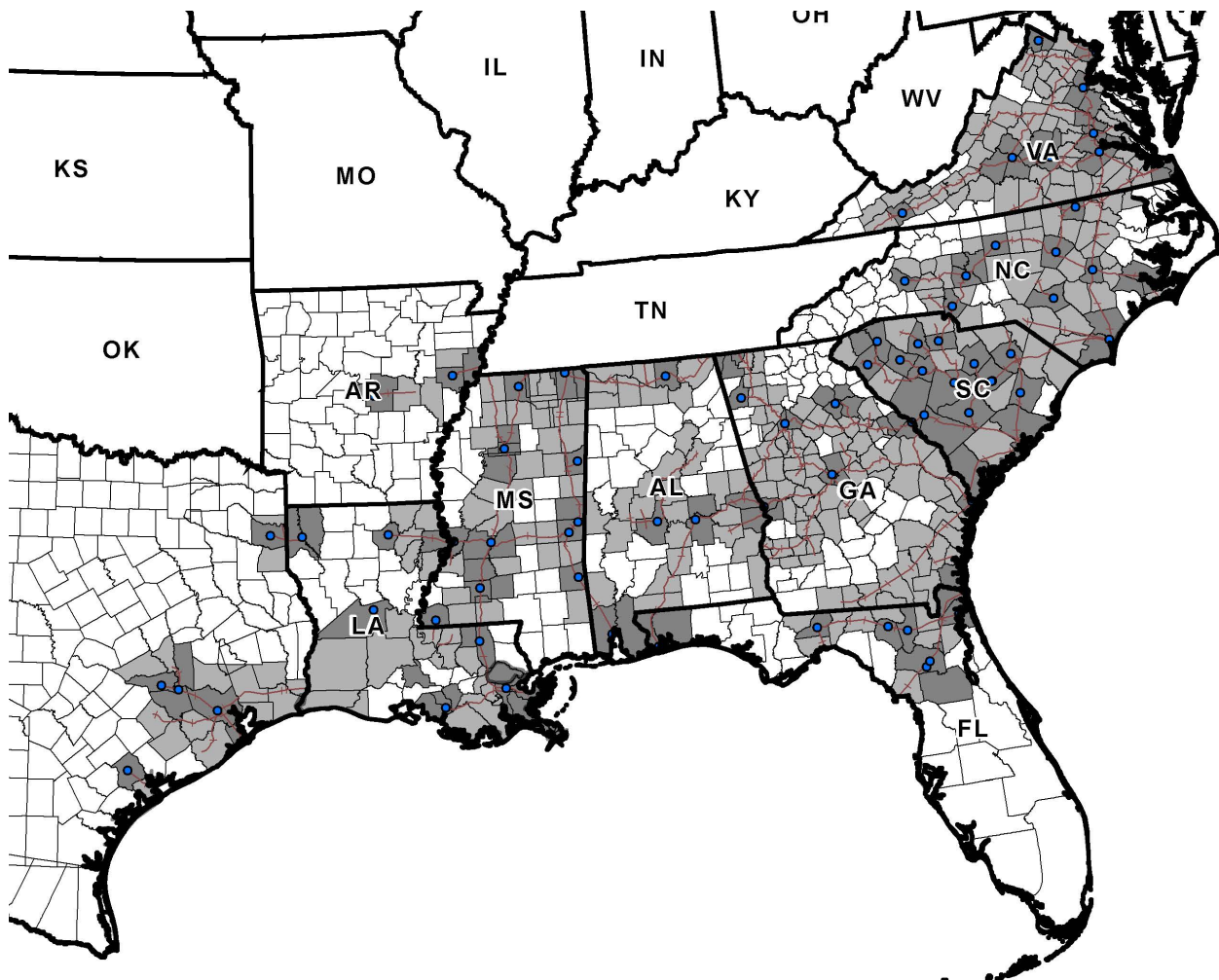
Appendix

Appendix A Summary Statistics and Maps

Table 5 Descriptive Statistics

| | N | Mean | Std. Dev. | Min. | Max. |
|--------------------------------------|-----|--------|-----------|--------|---------|
| 1868-1869 | | | | | |
| Black Legislators | 640 | 0.486 | 1.103 | 0.000 | 13.000 |
| Occupation Indicator | 634 | 0.177 | 0.382 | 0.000 | 1.000 |
| Military Units | 634 | 1.268 | 3.567 | 0.000 | 24.000 |
| Troops | 634 | 21.644 | 72.642 | 0.000 | 643.000 |
| 1870-1871 | | | | | |
| Black Legislators | 637 | 0.446 | 1.200 | 0.000 | 16.000 |
| Occupation Indicator | 634 | 0.065 | 0.246 | 0.000 | 1.000 |
| Military Units | 634 | 0.628 | 2.896 | 0.000 | 24.000 |
| Troops | 634 | 7.625 | 38.137 | 0.000 | 501.250 |
| 1872-1873 | | | | | |
| Black Legislators | 641 | 0.493 | 1.187 | 0.000 | 13.000 |
| Occupation Indicator | 634 | 0.054 | 0.225 | 0.000 | 1.000 |
| Military Units | 634 | 0.631 | 2.932 | 0.000 | 24.000 |
| Troops | 634 | 7.172 | 38.635 | 0.000 | 436.250 |
| 1874-1875 | | | | | |
| Black Legislators | 636 | 0.327 | 1.024 | 0.000 | 14.000 |
| Occupation Indicator | 634 | 0.062 | 0.240 | 0.000 | 1.000 |
| Military Units | 634 | 0.528 | 2.393 | 0.000 | 20.000 |
| Troops | 634 | 8.231 | 48.453 | 0.000 | 679.500 |
| 1876-1877 | | | | | |
| Black Legislators | 639 | 0.183 | 0.736 | 0.000 | 12.000 |
| Occupation Indicator | 634 | 0.066 | 0.249 | 0.000 | 1.000 |
| Military Units | 634 | 0.636 | 2.756 | 0.000 | 24.000 |
| Troops | 634 | 6.993 | 38.296 | 0.000 | 467.000 |
| Mechanisms | | | | | |
| Black Convention Delegates (1867/68) | 511 | 0.415 | 0.903 | 0.000 | 10.000 |
| Free Black Share (1860) | 490 | 3.913 | 6.864 | 0.000 | 50.518 |
| Freedman's Bureau Office (1860-1870) | 640 | 0.548 | 0.726 | 0.000 | 10.000 |
| Median Slave-holding (1860) | 592 | 16.426 | 14.045 | 0.000 | 109.000 |
| Controls (1868-1869) | | | | | |
| (log) Total Population | 640 | 9.105 | 0.724 | 4.276 | 12.144 |
| (log) Population Density | 639 | 2.842 | 0.869 | -1.883 | 7.189 |
| Black Share | 640 | 0.398 | 0.211 | 0.002 | 0.924 |
| Foreign Share | 514 | 0.011 | 0.035 | 0.000 | 0.494 |
| Land Gini | 640 | 0.455 | 0.075 | 0.000 | 0.643 |

Figure 4 Occupied Railway Counties, 1867



Blue dots represent a military outpost in 1867. Dark gray counties are “treated” counties, having a railway line and a military outpost within close proximity (less than 5 km). Light gray counties are the comparison counties, namely counties having a railway line but not a military outpost in close proximity.

Appendix B Instrumental Variables Approach

We estimate the following two-stage least squares regression model:

$$Troops_{ct} = \beta_1(Occupied_{c1865} \times \sum_j Troops_{jt}) + \mathbf{X}'_{ct}\gamma_1 + \alpha_c + \delta_{dt} + \varepsilon_{ct}, \quad (1)$$

$$Black\ Legislators_{ct} = \beta_2 Troops_{ct} + \mathbf{X}'_{ct}\gamma_2 + \alpha_c + \delta_{dt} + \mu_{ct} \quad (2)$$

where $Occupied_{c1865}$ is an indicator for the presence of any troops immediately after the cessation of the war in 1865 in county c ; $\sum_j Troops_{jt}$ is the sum of troop deployments across all U.S. counties; α_c and δ_{dt} are county and military district-year fixed effects; and \mathbf{X}_{ct} is the set of controls defined above. Standard errors are clustered at the county-level throughout.

The intuition behind this strategy is similar to a difference-in-differences estimator. The first stage estimates the difference in deployment levels between early occupation counties and comparable counties following high nationwide deployments relative to periods of lower deployments. Due to their strategic value, we would expect early occupation counties to experience more significant troop deployments during periods of intensive nationwide deployment.

Similarly, the second stage estimates the difference in political outcomes between early occupation counties and comparable counties following high national deployment levels relative to periods of low national deployment levels. Notably, the local average treatment effect (LATE) represents the average effect for compliers only, i.e., counties where local deployments increased as nationwide deployments increased. The LATE is a different effect than the estimates in Table 4 because the treatment effect for counties in which local deployments were unresponsive to national level troop shocks is netted out in Equation 1.

Appendix B.1 Assumptions

Before presenting the findings, we highlight the conditions under which the LATE has a causal interpretation. In particular, we discuss causal independence, the exclusion restriction, the stable unit treatment value assumption (SUTVA), relevance (a non-zero effect on outcomes) and monotonicity (Angrist, Imbens and Rubin 1996).

To begin with, the instrument is arguably exogenous under two conditions. First, national troop deployments must not be correlated to local political factors. We believe this is a reasonable assumption, as national deployments fluctuated with intense debates in Washington about the relative merits of occupation on the one hand and the financial costs/democratic risks on the other. Federal support followed the tide of financial shocks and the need to repay heavy civil war debt. For example, the Panic of 1873 raised concerns about the economy’s stability which lead investors to shy away from US bonds. Figure 2 shows the fluctuations in total troop deployments across time; an examination of troop deployments by district indicates even greater district-specific variance.

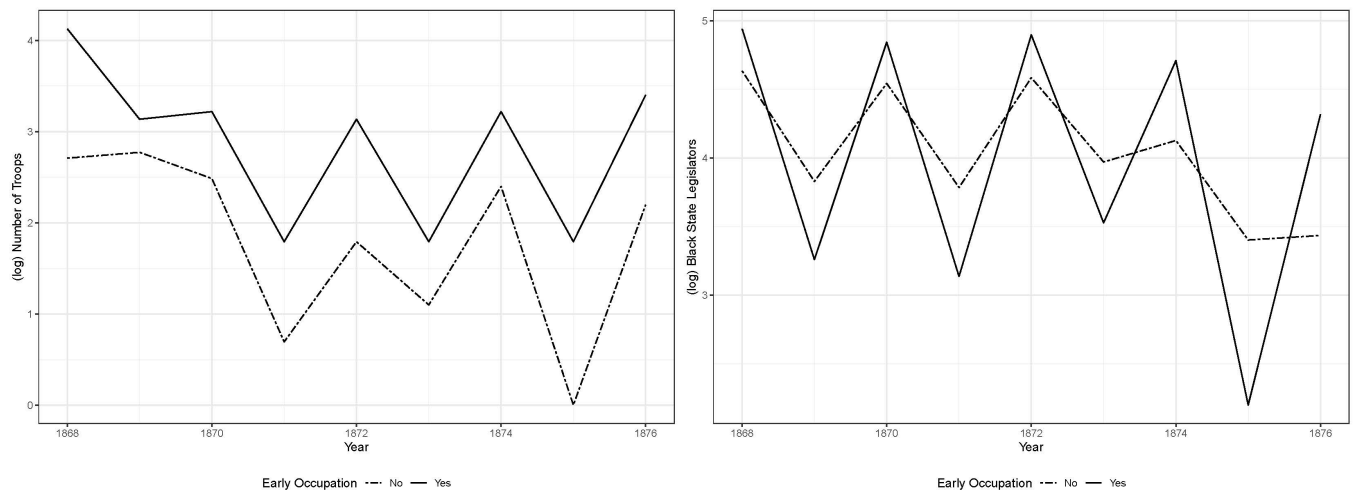
To further limit the extent to which the instrument could be correlated with local conditions, we report specifications replacing the total troop count with the total out-of-state troop deployments ($Occupied_{cs1865} \times \frac{1}{N} \sum_{j \neq s} Troops_{jt}$) and the total out-of-district deployments ($Occupied_{cd1865} \times \frac{1}{N} \sum_{j \neq d} Troops_{jt}$), where s and d index states and districts respectively.

Second, differences between early occupation counties and other counties must not simultaneously explain later occupation and minority representation. The two-way fixed effects in Equation 1 limit the influence of time-invariant factors (such as the propensity for local violence or Black political organizing). Nonetheless, the familiar difference-in-differences assumption would be violated if (a) changes in the national deployment trend lead to different deployment levels in early occupation counties relative to other counties and (b) these changes also lead to different levels of minority representation for early occupation counties relative to other counties.

Figure 5 provides graphical support for the parallel trends assumption. First, the top panel

plots the (logged) deployment trend, by early occupation status. The figure reveals several contracted declining periods, but neither group diverge significantly from one another. That is, there is little graphical evidence of a correlation between national deployment shocks and a divergence in deployment levels between early occupation and non-early occupation counties. The bottom panel plots the (logged) total number of African American state representatives, by early occupation status. We find no systematic evidence of a divergent trends in minority representation for early occupation counties vis-à-vis other counties.

Figure 5 Parallel Trends Assumption



Next, the instrument arguably satisfies the exclusion restriction as any national factors simultaneously affecting non-state troop counts and local outcomes for African Americans would be removed by the inclusion of time-fixed effects. As a robustness test, we address potential threats to the exclusion restriction induced by counties bordering other states by constructing an out-of-district count that removes adjacent states in the same district.

Relatedly, SUTVA would be violated if the incidence of troop commitments of any county affected another county's political outcomes. If, as we have argued, spatial proximity to troop deployments predicted better outcomes for African Americans in the Reconstruction South, it is possible that these effects may not have respected county borders. However, the likelihood of spillover effects is low because nearly the entire occupation force were infantry

troops who could cover 10 to 15 miles per day in counties that were often 400 square miles or more (Downs, 2015).

Furthermore, we find no evidence of spillovers in a simple empirical test presented in Table 6). For non-occupied counties, we compare black representation outcomes for counties for which any adjacent neighbor was contemporaneously occupied against counties whose neighbors were not occupied. Columns (1)-(3) provide little evidence that the occupation of neighboring counties systematically impacted black representation, whether we condition on covariates or restrict our analysis to a more comparable subset of counties with railway lines in 1860. Next, we compare the sum of all minority legislators for counties that were occupied against counties that were not occupied. In Columns (4-6), we similarly find that a binary measure of occupation has no statistical correlation with contemporaneous black representation in neighboring counties.

Table 6 Occupation & Political Representation (Spatial Effects)

| | <i>African American Legislators</i> | | | <i>Sum of African American Legislators (Adj. Counties)</i> | | |
|------------------------------------|-------------------------------------|------------------|------------------|--|------------------|------------------|
| | (1) | (2) | (3) | (4) | (5) | (6) |
| Any Adjacent Occupation Indicators | 0.026 (0.055) | 0.052 (0.064) | 0.113 (0.122) | | | |
| Occupation Indicators | | | | 0.048 (0.070) | 0.008 (0.065) | 0.024 (0.087) |
| County FE | Yes | Yes | Yes | Yes | Yes | Yes |
| District \times Year FE | Yes | Yes | Yes | Yes | Yes | Yes |
| Railways Sample | No | No | Yes | No | No | Yes |
| Standard Controls | No | Yes | Yes | No | Yes | Yes |
| Observations | 3980 | 3873 | 1531 | 4274 | 4148 | 1734 |
| Counties | 634 | 634 | 264 | 634 | 634 | 264 |

Columns (2),(3),(5),(6) adjust for Black population share, (log) total population, population density and land Gini.

As for the monotonicity assumption, we think it is unlikely that any county would experience *fewer* troop deployments if the national deployment of troops increases but *more* deployments if the national level falls (i.e., no defiers). Nonetheless, if changes in troop

deployments were largely driven by inter-regional transfers, it is possible that We include the baseline specification (all national deployments) to limit this concerns.

Appendix B.2 Results

Table 7 presents results leveraging this IV-2SLS strategy. The instrument is constructed from the total troop count (Columns 1-2), the out-of-state count (Columns 3-4) and out-of-district count (Columns 5-6). Estimates in Panel B indicate that the instruments have a strong relationship with local troop deployments. Estimates in the first panel provide further evidence for the strong relationship between troop deployments and Black representation. An increase in troop deployments by one percent was associated with 0.49 more African American representatives. In addition to being highly significant, the effect is robust to covariate adjustment and multiple specifications of the instrument.

Table 7 Occupation & Political Representation (IV)

| | <i>A. Second Stage</i> | | | | | |
|---|------------------------|------------------|------------------|------------------|------------------|--------------------|
| (log) Troops | 0.497 (0.089) | 0.540 (0.097) | 0.507 (0.092) | 0.551 (0.101) | 0.500 (0.092) | 0.558 (0.096) |
| | <i>B. First Stage</i> | | | | | |
| (log) Total Troops × Early Base Indicator ₁₈₆₅ | 0.830 (0.095) | 0.829 (0.093) | | | | |
| (log) Non-state Troops × Early Base Indicator ₁₈₆₅ | | | 0.824 (0.096) | 0.820 (0.095) | | |
| (log) Non-district Troops × Early Base Indicator ₁₈₆₅ | | | | | 0.493 (0.057) | 0.488ct (0.052) |
| Kleibergen-Paap Wald F-stat. | 77.12 | 78.07 | 74.04 | 74.58 | 75.66 | 89.01 |
| County FE | Yes | Yes | Yes | Yes | Yes | Yes |
| District × Year FE | Yes | Yes | Yes | Yes | Yes | Yes |
| Standard Controls | No | Yes | No | Yes | No | Yes |
| Observations | 4274 | 4148 | 4274 | 4148 | 4274 | 4148 |
| Counties | 634 | 634 | 634 | 634 | 634 | 634 |

Controls include Black population share, (log) total population, population density and land Gini.

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