

Quiz: Financial Regulation (Remotely Proctored)

Due Jan 25 at 3:30pm **Points** 3 **Questions** 3 **Time Limit** 10 Minutes

Exam Requirements A webcam is required to take this exam.

Exam Security This exam will be monitored by **Proctorio**. [Learn more about Proctorio](https://proctorio.zendesk.com/hc/articles/200972514) (<https://proctorio.zendesk.com/hc/articles/200972514>).

Instructions

This is an individual quiz with a 10 minutes limit. The quiz will be proctored using Proctorio.

Attempt History

	Attempt	Time	Score
LATEST	Attempt 1	2 minutes	2.78 out of 3

Score for this quiz: **2.78** out of 3

Submitted Jan 21 at 5:40pm

This attempt took 2 minutes.

You Answered

Question 1

0.78 / 1 pts

Match each U.S. federal regulatory agency with its oversight purview.

Federal Reserve

National banks



Correct Answer

Bank holding company

You Answered

**Office of the Comptroller of
the Currency**

Bank holding company



Correct Answer

National banks

Correct!

**Federal Deposit Insurance
Corporation**

Insured depository institution



Correct!	National Credit Union Administration	Credit unions ▼
Correct!	Security Exchange Commission	Debt and equity securities ▼
Correct!	Commodity Futures Trading Commission	Derivatives ▼
Correct!	Federal Housing Finance Agency	Housing finance GSEs ▼
Correct!	Consumer Financial Protection Bureau	Consumer protection across ▼
Correct!	Financial Stability Oversight Council	Coordinating systemic risk a ▼

Question 2

1 / 1 pts

Who is for the most part in charge of regulating insurance companies and products in the U.S.?

☒ State agencies.

☐ None.

☐ Federal agencies.

☐ Both.

Question 3

1 / 1 pts

What is a regulatory sandbox?



It is a way regulatory agencies can simulate regulatory changes in a virtual environment.

Correct!



It is a regulatory framework that exempt some approved companies from following some legal requirements.



It is the paralyzing effect of burdensome financial regulation



It is a regulatory framework that makes sure all financial firms follow the same rules.

Quiz Score: **2.78** out of 3