

Mathematical Foundations of Cryptography

- some pointers and exercises

Dr. Robert Granger
Surrey Centre for Cyber Security
School of Computer Science and Electronic Engineering

Motivation – why so much mathematics?



‘A Mathematical Theory of Cryptography’

Claude E. Shannon, 1945.

Modern cryptography relies on mathematics, computer science, information theory, computational complexity theory, software engineering, proof techniques, formal verification and more...

Motivation – why so much mathematics?

In this module you will need **solid foundations** upon which to build your understanding. In particular, you should be familiar with the following mathematical concepts:

- Bits, bitstrings and Boolean functions
- Logic and proofs, proof techniques
- Sets and numbers
- Algorithms and their complexity
- Discrete probability theory

The main reference is the book 'Discrete Mathematics and Its Applications' by Kenneth H. Rosen (see Course Materials > Mathematics Background Material > this book). The most relevant chapters for our module are Chapters 1 to 7.

Rosen – please review your familiarity

Bits and Boolean functions (Section 1.1.6) - bit, Binary field $\text{GF}(2) = \{0,1\}$, XOR, AND, NOT, bit strings, bitwise operations, Boolean functions.

Basic proof techniques (Section 1.7/1.8): Direct proof of a conditional statement $p \rightarrow q$, Proof by contraposition, the statement $p \rightarrow q$ is equivalent to $\neg q \rightarrow \neg p$, Proof by contradiction. Exhaustive proof and Proof by cases, Looking for Counterexamples, Proof by induction.

Sets and Numbers (Chapters 2 and 4): Modular arithmetic: $a \pmod n$, Numbers in base 2 and base 16, Binomial coefficient $\binom{n}{k}$

Algorithms (Chapter 3): Growth of functions, Complexity of algorithms

Discrete Probability (Chapter 7): Random variable, Probability distribution, Conditional probability, Expectation & Variance, Uniform & Bernoulli distribution

Motivation – why so much mathematics?

Famous public-key cryptosystems

Diffie-Hellman Key Agreement (1976)

$$(\mathbb{Z}/p\mathbb{Z})^*$$

RSA Encryption/Signatures (1978)

$$(\mathbb{Z}/N\mathbb{Z})^* \text{ where } N = pq$$

Elliptic Curve Cryptography (1985)

$$\{(x,y) \in (\mathbb{Z}/p\mathbb{Z}) \times (\mathbb{Z}/p\mathbb{Z}): y^2 = x^3 + ax + b\} \cup \{\infty\}$$

Post-quantum cryptography can be based on:

- Lattice-based Cryptography
- Multivariate system-based Cryptography
- Code-based Cryptography
- Isogeny-based Cryptography

We also have:

- Secure Multiparty Computation
- Fully Homomorphic Encryption
- Secret Sharing Schemes... And many more...

All are based on (fairly natural) *computational mathematical problems*.

Motivation – why this foundations tutorial?

In **Lecture 6** on Number Theory and Hard Problems
(the start of public-key or Asymmetric Cryptography) you will see:

- Divisibility and Euclid's Algorithm
- Modular Arithmetic
- Integer Factorisation Problem
- Group theory, cyclic groups and generators
- Discrete Logarithm Problem

Facility with the techniques is so important to the subject and the assessment strategy: we typically spend 2 to 3 labs strengthening skills.

Today's Agenda (thanks to Laurian, Postdoctoral Fellow in Cryptography):

- Some instructive discrete maths exercises to try, together with solutions
- A short lecture on the first topic above, with examples and exercises.

Divisibility and Euclid's Algorithm

Number Sets and Binary Operations

Number Sets

| | | |
|----------------|---|--|
| \mathbb{N} | $= \{1, 2, 3, \dots\}$ | positive integers (natural numbers) |
| \mathbb{N}_0 | $= \{0, 1, 2, \dots\}$ | non-negative integers ($\mathbb{N} & 0$) |
| \mathbb{Z} | $= \{0, \pm 1, \pm 2, \dots\}$ | integers |
| \mathbb{Q} | $= \{a/b \mid \forall a \in \mathbb{Z}, b \in \mathbb{Z} \setminus 0\}$ | rational numbers |
| \mathbb{R} | | real numbers |

Binary Operation $\circ : S \times S \rightarrow S$ given $a, b \in S$ it returns $a \circ b$

associative $\forall a, b, c \in S \quad (a \circ b) \circ c = a \circ (b \circ c)$
commutative $\forall a, b \in S \quad a \circ b = b \circ a$

Let $S' \subset S$.

S' is closed under \circ $\forall a, b \in S' \quad a \circ b \in S'$

Primes and Composites

Primes and Composites

Let $N \in \mathbb{N}$.

N is called **prime** if its only positive divisors are 1 and N ; else N is called **composite**.

Theorem (Factorisation - Euclid)

Every positive integer $N \in \mathbb{N}$ can be uniquely expressed as

$$N = P_1^{e_1} \cdots P_r^{e_r}$$

where

P_1, \dots, P_r are distinct **primes**

e_1, \dots, e_r are **positive integers**.

Example

$$\begin{aligned} N &= 90 \\ &= 2 \cdot 3^2 \cdot 5 \end{aligned}$$



Factorisation Examples

$$90 = 2 \cdot 3^2 \cdot 5$$

$$1024 = 2^{10}$$

$$41067 = 3^5 \cdot 13^2$$

$$56700 = 2^2 \cdot 3^4 \cdot 5^2 \cdot 7$$

$$1234567 = 127 \cdot 9721$$

$$3335750811866041 = 42356411 \cdot 78754331$$

Divisibility

Divisibility Let $a, b \in \mathbb{Z}$.

- We say a is a **divisor of** b iff $b = az$ for some $z \in \mathbb{Z}$
- $a|b \stackrel{\text{def}}{=} a$ is a **divisor** of b , or a **divides** b
- if a does not divide b then we write $a\nmid b$

Theorem For all $a, b, c \in \mathbb{Z}$ we have

- $a|a$, $1|a$, and $a|0$
- $0|a$ if and only if $a = 0$
- $a|b$ and $a|c$ implies $a|(b+c)$
- $a|b$ and $b|c$ implies $a|c$

Greatest Common Divisor

Theorem (Divisibility with Remainder)

Let $a, b \in \mathbb{Z}$ with $b > 0$.

There exist unique $q, r \in \mathbb{Z}$ such that $a = bq + r$ and $0 \leq r < b$.

q is called the **quotient**, r is called the **remainder**.

Example
 $26 = 7 \cdot 3 + 5$
 $a = 26, b = 7$
 $q = 3, r = 5$

Greatest Common Divisor $d = \gcd(a, b)$ (sometimes just (a, b))

- d is the largest integer such that $d|a$ and $d|b$.
- If $d = 1$ then a and b are called **relatively prime** or **co-prime**.

Example
 $\gcd(18, 12) = 6$
 $\gcd(13, 27) = 1$

GCD Theorem

Let $a, b \in \mathbb{Z}$.

There exist $x, y \in \mathbb{Z}$ such that $xa + yb = d$ where $d = \gcd(a, b)$ and
 d is the smallest positive integer that can be expressed in this way.

Implications of the GCD Theorem

Let $a, b, c \in \mathbb{Z}$.

Fact (Exercise in Lab 6)

If $c|ab$ and $\gcd(a,c) = 1$ then $c|b$.

If p is prime and $p|ab$ then either $p|a$ or $p|b$.

Example $5|7 \cdot 10$, $\gcd(5, 7) = 1$, $5|10$

Fact (Exercise in Lab 6)

If $a|c$ and $b|c$ and $\gcd(a, b) = 1$ then $ab|c$.

Example $5|70$ and $7|70$, $\gcd(5,7) = 1$, $35|70$

How should one compute $\gcd(a,b)$?

Without loss of generality we may assume $a > b > 0$.

Option 1 (naive):

Find the prime factorisations of a and of b . Then for each prime that divides both a and b take the minimum exponent and form the product over all such primes.

Option 2 (Euclid's ingenious observation, 300BC):

Since $d|a$ and $d|b$ we have $d|(a - qb)$ for any $q \in \mathbb{Z}$.

If we choose q to be the quotient and r the remainder then $d|r$ and $0 \leq r < b$.

Hence $\gcd(a,b) = \gcd(b,r)$ and $a > b > r \geq 0$.

REPEAT UNTIL $r = 0$ and then \gcd is the previous remainder.

Example gcd computations using Euclid

Example 1: compute $\text{gcd}(100,67)$

$$100 = 67 \cdot 1 + 33 \quad (q = 1, r = 33)$$

$$67 = 33 \cdot 2 + 1 \quad (q = 2, r = 1)$$

$$33 = 1 \cdot 33 + 0 \quad (q = 33, r = 0)$$

So $\text{gcd}(100,67) = \text{gcd}(33,1) = 1$.

Example 2: compute $\text{gcd}(101,38)$

$$101 = 38 \cdot 2 + 25 \quad (q = 2, r = 25)$$

$$38 = 25 \cdot 1 + 13 \quad (q = 1, r = 13)$$

$$25 = 13 \cdot 1 + 12 \quad (q = 1, r = 12)$$

$$13 = 12 \cdot 1 + 1 \quad (q = 1, r = 1)$$

$$12 = 1 \cdot 12 + 0 \quad (q = 12, r = 0)$$

So $\text{gcd}(101,38) = \text{gcd}(12,1) = 1$.

Example gcd computations using Euclid

Example 3: compute $\gcd(102, 17)$

$$102 = 17 \cdot 6 + 0 \quad (q = 6, r = 0)$$

So $\gcd(102, 17) = 17$ (we treat 17 as the previous remainder).

Example 4: compute $\gcd(102, 18)$

$$102 = 18 \cdot 5 + 12 \quad (q = 5, r = 12)$$

$$18 = 12 \cdot 1 + 6 \quad (q = 1, r = 6)$$

$$12 = 6 \cdot 2 + 0 \quad (q = 2, r = 0)$$

So $\gcd(102, 18) = \gcd(12, 6) = 6$.

Extended Euclidean Algorithm

Recall if $a, b \in \mathbb{Z}$ then $\exists x, y \in \mathbb{Z}$ with $xa + yb = \gcd(a, b) = d$

Extended Euclidian Algorithm eGCD(a, b) returns (d, x, y)

Idea: rewind the gcd computation (Note: a recursive algorithm is given in Lecture 6)

Example 1: compute eGCD(100,67). Recall

$$100 = 67 \cdot 1 + 33$$

$$67 = 33 \cdot 2 + 1$$

$$33 = 1 \cdot 33 + 0$$

So $\gcd(100, 67) = 1$. Starting with the second to last line, we express the gcd as

$$1 = 67 - 33 \cdot 2$$

$$= 67 - 2 \cdot (100 - 67 \cdot 1) \quad (\text{using 1}^{\text{st}} \text{ equation, eliminating 33})$$

$$= -2 \cdot 100 + 3 \cdot 67$$

So $(d, x, y) = (1, -2, 3)$.

Extended Euclidean Algorithm

Example 2: compute eGCD(101,38). Recall

$$101 = 38 \cdot 2 + 25$$

$$38 = 25 \cdot 1 + 13$$

$$25 = 13 \cdot 1 + 12$$

$$13 = 12 \cdot 1 + 1$$

$$12 = 1 \cdot 12 + 0$$

So $\gcd(101,38) = 1$. Starting with the second to last line, we express the gcd as

$$1 = 13 - 12 \cdot 1$$

$$= 13 - 1 \cdot (25 - 1 \cdot 13) \quad (\text{using 3rd equation, eliminating 12})$$

$$= -1 \cdot 25 + 2 \cdot 13$$

$$= -1 \cdot 25 + 2 \cdot (38 - 1 \cdot 25) \quad (\text{using the 2nd equation, eliminating 13})$$

$$= 2 \cdot 38 - 3 \cdot 25$$

$$= 2 \cdot 38 - 3 \cdot (101 - 2 \cdot 38) \quad (\text{using the 1st equation, eliminating 25})$$

$$= -3 \cdot 101 + 8 \cdot 38$$

So $(d,x,y) = (1,-3,8)$.