

# Eigenfaces for Face Recognition in Images

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## Introduction

### Face Recognition and its Uses

Face recognition in images is a rapidly evolving field that involves the use of computer algorithms to automatically identify or verify a person's identity from a digital image or video frame. It has numerous applications in various domains, including security, biometrics, and social media -

- In the security domain, face recognition technology is used for applications such as access control, surveillance, and criminal identification.
  - It enables organizations to verify the identity of individuals in real-time and prevent unauthorized access to secure areas.
  - In the field of biometrics, face recognition is used for applications such as identity verification, passport control, and border security.
  - It allows governments and other organizations to accurately and efficiently verify the identity of individuals.
  - In the social media domain, face recognition is used for applications such as tagging friends and family in photos, automatically generating photo albums, and improving the accuracy of search results.
- Overall, face recognition in images is an important technology that has the potential to improve the efficiency and accuracy of a wide range of applications.

### Challenges

One of the main challenges of face recognition is the presence of variations in pose, lighting, and expression, which can significantly affect the accuracy of the recognition algorithm. Pose variations refer to changes in the angle and position of the face relative to the camera. Lighting variations refer to changes in the intensity and direction of illumination. Expression variations refer to changes in the facial features due to emotions or facial movements. These variations can cause significant changes in the appearance of the face and make it difficult for the algorithm to accurately recognize the identity of the person.

### Dimensionality Reduction

Dimensionality reduction is a technique that involves reducing the number of features or dimensions in a dataset while preserving as much of the relevant information as possible. It is often used in machine learning and data analysis to improve the accuracy and efficiency of algorithms by reducing the complexity and computational burden of the data.

In the context of face recognition, dimensionality reduction can be used to extract a smaller set of features from an image of a face that are more relevant for recognition and discard the rest. By reducing the dimensionality of the data, the face recognition algorithm can be more accurate and efficient, as it has to process fewer features and make fewer computations.

Dimensionality reduction can also help to improve the generalization ability of the face recognition algorithm by reducing the risk of overfitting to the training data.

### PCA and Eigenfaces

Principal component analysis (PCA) is a specific technique for dimensionality reduction that is widely used in face recognition. It involves identifying a set of orthogonal axes, called principal components, that capture the maximum

amount of variance in the data. The first principal component corresponds to the direction in which the data varies the most, the second principal component corresponds to the direction in which the data varies the second most, and so on. PCA can be used to extract a small number of principal components from an image of a face that capture the most important features for recognition.

Eigenfaces is a specific technique for face recognition that combines PCA with a classification algorithm such as nearest neighbor or support vector machine (SVM). It involves extracting a set of eigenvectors, or eigenfaces, from a training dataset of face images using PCA. Each eigenface corresponds to a principal component of the data and captures a particular aspect of the variability in the faces, such as shape, texture, or lighting. Eigenfaces can then be used to represent a new face image as a linear combination of the eigenfaces, and the resulting coefficients can be used to classify the face into a particular identity. By using eigenfaces, it is possible to reduce the dimensionality of the face recognition problem and improve the accuracy and efficiency of the algorithm.

## **Related Work**

### **Existing Work**

Traditional approaches to face recognition include techniques such as template matching, which involves comparing an input image to a set of stored templates and selecting the template that is the closest match. Other traditional approaches include feature-based methods, which involve extracting specific features from the face such as edges, corners, or textures and using these features to classify the face. These methods are generally based on hand-crafted features and require manual tuning of the feature extractor.

More recent approaches to face recognition include techniques based on deep learning, which are based on artificial neural networks with multiple layers of interconnected nodes. These methods can learn to recognize faces from large amounts of labeled training data and do not require manual feature engineering. They have achieved state-of-the-art performance on a number of face recognition benchmarks and are widely used in various applications. Examples of deep learning-based face recognition techniques include convolutional neural networks (CNNs), which are designed to process data with a grid-like topology, and generative adversarial networks (GANs), which are used to synthesize realistic images.

Overall, the choice of face recognition technique depends on the specific requirements of the application and the availability of resources such as data, computational power, and expertise. Traditional approaches can be efficient and effective for small-scale applications, while deep learning-based approaches can be more accurate and scalable for large-scale applications.

### **Tackling Limitations of These Approaches**

Both traditional and deep learning-based techniques for face recognition have limitations that can be addressed by using PCA and eigenfaces.

One limitation of traditional techniques is that they often rely on hand-crafted features that are specific to the task and may not generalize well to other tasks or datasets. They also require manual tuning of the feature extractor and may be sensitive to variations in pose, lighting, and expression.

One limitation of deep learning-based techniques is that they require large amounts of labeled training data, which can be expensive and time-consuming to collect and annotate. They also require significant computational resources to train and may be prone to overfitting if the model is not properly regularized.

PCA and eigenfaces can address these limitations by providing a general-purpose technique for dimensionality reduction that is not task-specific and does not require manual feature engineering. PCA can extract a small set of principal components from an image of a face that capture the most important features for recognition, regardless of the pose, lighting, or expression. Eigenfaces can represent a new face image as a linear combination of the eigenfaces and use the resulting coefficients to classify the face into a particular identity. By using PCA and eigenfaces, it is possible to reduce the dimensionality of the face recognition problem and improve the accuracy and efficiency of the algorithm, even with limited training data and computational resources.

# Methodology

## Steps Involved

The PCA and eigenfaces technique involves several steps, including face detection and preprocessing, feature extraction, and dimensionality reduction. Here is a detailed explanation of each step:

1. **Face Detection and Preprocessing:** The first step in the PCA and eigenfaces technique is to detect and extract the face from the image. This can be done using a face detector, which is a computer algorithm that searches the image for patterns that are characteristic of faces. The detected face is then cropped and resized to a standard size. This step is important because it ensures that the face is properly aligned and centered in the image, which makes it easier to extract features and perform recognition.
2. **Feature Extraction:** The next step is to extract features from the face image that are relevant for recognition. This can be done using a feature extractor, which is a computer algorithm that processes the image and outputs a set of features that describe the image. In the case of PCA and eigenfaces, the features are typically the pixel values of the image, which represent the intensity of each pixel. The features are typically extracted in the form of a vector, where each element of the vector corresponds to the intensity of a pixel in the image.
3. **Dimensionality Reduction:** The final step is to reduce the dimensionality of the feature vector using PCA. This involves identifying a set of orthogonal axes, called principal components, that capture the maximum amount of variance in the data. The first principal component corresponds to the direction in which the data varies the most, the second principal component corresponds to the direction in which the data varies the second most, and so on. The principal components can be used to transform the feature vector into a lower-dimensional space, where each component corresponds to a linear combination of the original features. The number of dimensions used can be varied to trade off between accuracy and computational efficiency.

After the dimensionality reduction step, the eigenfaces technique involves using a classification algorithm such as nearest neighbor or support vector machine (SVM) to classify the face into a particular identity based on the transformed feature vector. The classification algorithm is trained on a labeled dataset of face images, where each image is associated with a particular identity. The transformed feature vector is compared to the training vectors using a distance measure such as Euclidean distance, and the identity of the nearest neighbor is selected as the predicted identity of the face.

## Math Involved

The mathematical concepts behind PCA and eigenfaces are based on linear algebra and matrix decomposition. Here is a brief overview of these concepts:

1. **Eigenvalues and Eigenvectors:** An eigenvector of a matrix is a non-zero vector that does not change direction when multiplied by the matrix. The corresponding eigenvalue is a scalar that represents the amount of stretching or shrinking applied to the eigenvector by the matrix. Eigenvalues and eigenvectors can be computed using the characteristic equation of the matrix, which is obtained by setting the determinant of the matrix equal to zero.
2. **Singular Value Decomposition (SVD):** The singular value decomposition (SVD) is a matrix decomposition technique that decomposes a matrix into the product of three matrices: a left singular matrix, a diagonal matrix, and a right singular matrix. The diagonal elements of the diagonal matrix are called singular values, and the columns of the left and right singular matrices are called left and right singular vectors, respectively. The SVD can be used to decompose a matrix into its principal components, which are the directions in which the data varies the most.

In the context of PCA and eigenfaces, these concepts are used to extract the principal components of a face image and represent the image as a linear combination of the principal components. The face image is represented as a matrix, and the principal components are the eigenvectors of the matrix. The singular value decomposition (SVD) can be used to compute the principal components and the corresponding singular values, which represent the amount of variance captured by each component. The eigenfaces are then obtained by normalizing the principal components by their singular values, which ensures that they are properly scaled. The resulting eigenfaces can be

used to represent a new face image as a linear combination of the eigenfaces, and the resulting coefficients can be used to classify the face into a particular identity.

## Demonstration

### Overview

1. Dataset: The Olivetti dataset is a publicly available dataset that consists of 400 grayscale images of 40 subjects, with 10 images per subject. The images are aligned and resized to a standard size of 64 x 64 pixels. The dataset is well-suited for evaluating the performance of face recognition algorithms because it includes variations in pose, lighting, and expression. The dataset can be split into a training set and a test set, with each set consisting of 400 images.
2. Evaluation Metrics: The evaluation metrics used to assess the performance of the face recognition algorithm include accuracy, precision, and recall. The accuracy is calculated as the percentage of correct predictions, the precision is calculated as the percentage of true positive predictions among all positive predictions, and the recall is calculated as the percentage of true positive predictions among all actual positive instances. The false positive rate and false negative rate are also calculated to evaluate the performance of the algorithm.

### Results

Here are the results of the hyper-tuned Logistic Regression model we have built after performing PCA and dimensionality reduction on the data -

Metric	Score
Mean Accuracy	91.67%
F1 Score	91.27%
Precision	94.04%
Recall	91.67%

The current model can perform 40 face matches in 20 milliseconds. Of course this is for a small dataset, but thanks to dimensionality reduction, this algorithm scales well and can be used for way larger datasets. This technique helps make face recognition more robust and performant.

### Future Scope

One potential direction for further work with PCA and eigenfaces could be to combine them with more recent techniques such as deep learning, which have shown great success in many areas of computer vision. This could involve using deep learning networks to extract features from face images and then applying PCA or eigenfaces on top of these features to further improve performance.

Another direction could be to incorporate additional factors such as age and gender into the analysis, which could potentially improve the accuracy and robustness of the model. This could involve creating separate models for different age or gender groups, or incorporating age and gender information as additional input features to a single model.

Overall, there are many potential directions for further work with PCA and eigenfaces, and combining these techniques with more recent approaches such as deep learning or incorporating additional factors such as age and gender could lead to significant improvements in the performance of these models.