



ABSTRACT

Breaches are widely observed in the healthcare sector and can be caused by many different types of incidents, including credential-stealing malware, an insider who either purposefully or accidentally discloses patient data, or lost laptops or other devices. The goal of this study is to analyse and develop comprehensive visualizations of the types of breaches that occur in the healthcare industry with respect to various factors.

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CIN: 307401801

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A. DATASET URL: The datasets for this topic has been taken from two separate sources, the links to both the datasets have been mentioned below:

1. <https://www.kaggle.com/archangell/hipaa-breaches-from-20092017/downloads/hipaa-breaches-from-20092017.zip/1>

This dataset has been downloaded from www.kaggle.com and has been originally sourced from **Department of Health and Human Services** containing 9 interesting columns and about 1701 rows for different variations of analysis and visualizations, which can further be used for improving security against such data breaches.

The Columns and their descriptions are as follows:

Size of the dataset: 380KB.

COLUMN NAME	DESCRIPTION	TYPE
Name of covered entity	Name of the entity/company who suffered the breach.	Plain Text
State	Name of the state where the breach occurred.	Plain Text
Covered entity type	Covered entities can be institutions, organizations, or persons who electronically transmit any health information in connection with transactions for which HHS has adopted standards.	Plain Text

Individuals affected	Count of the individuals affected due to the breach.	Number
Breach submission date	Date when the breach took place.	Date
Type of Breach	Classified breaches as per their types	Plain Text
Location of Breached information	Technical Location where the data breach took place.	Plain Text
Business Associate present	Determines presence of a person or entity conducting certain functions on behalf of a covered entity.	Plain Text
Web Description	Description of the web url that was breached.	Plain Text

2. <https://breachlevelindex.com/data-breach-database>

This dataset has been archived from a centralized, global database of data breaches named: Breach Level Index, displaying 8 columns and 1484 rows. It shows that data breaches are very much a growing threat for organizations. The number of records compromised is remarkable, considering the lengths many organizations go to in order to protect their data.

Size of the dataset: 709KB.

COLUMN NAME	DESCRIPTION	TYPE
Rank	Determines the Rank of the breach.	Number
Risk score	Determines the Risk score/Risk level of the breach.	Number

Industry	Shows the most common industries that suffer the breach.	Plain Text
Records Breached	States the number of records breached.	Number
Date of Breach	Shows the date when the breach occurred.	Date
Type of Breach	Tells us about the type of data breach that took place.	Plain Text
Source of Breach	Determines the source/reason of the actual data breach.	Plain Text
Location	States the location(country wise) where the breach happened.	Plain Text

B. DATA CLEANING:

Data cleaning is especially required when integrating heterogeneous data sources and should be addressed together with schema-related data transformations. Data Cleansing or data scrubbing is the process of identifying and correcting inaccurate data from a data set. With reference to customer data, data cleansing is the process of maintaining consistent and accurate (clean) customer database through identification & removal of inaccurate (dirty) data. Here, inaccurate data stands for any data that is Incorrect, incomplete, out-of-date, or wrongly formatted.

- 1. REMOVING DUPLICATE VALUES:** Since the dataset had a few duplicate values (duplicate rows), they were removed using “REMOVE DUPLICATE” option in “DATA” tab in Excel. The dataset had 3 redundant values, which were Removed and 1721 unique records were found.

➔ Before cleaning:

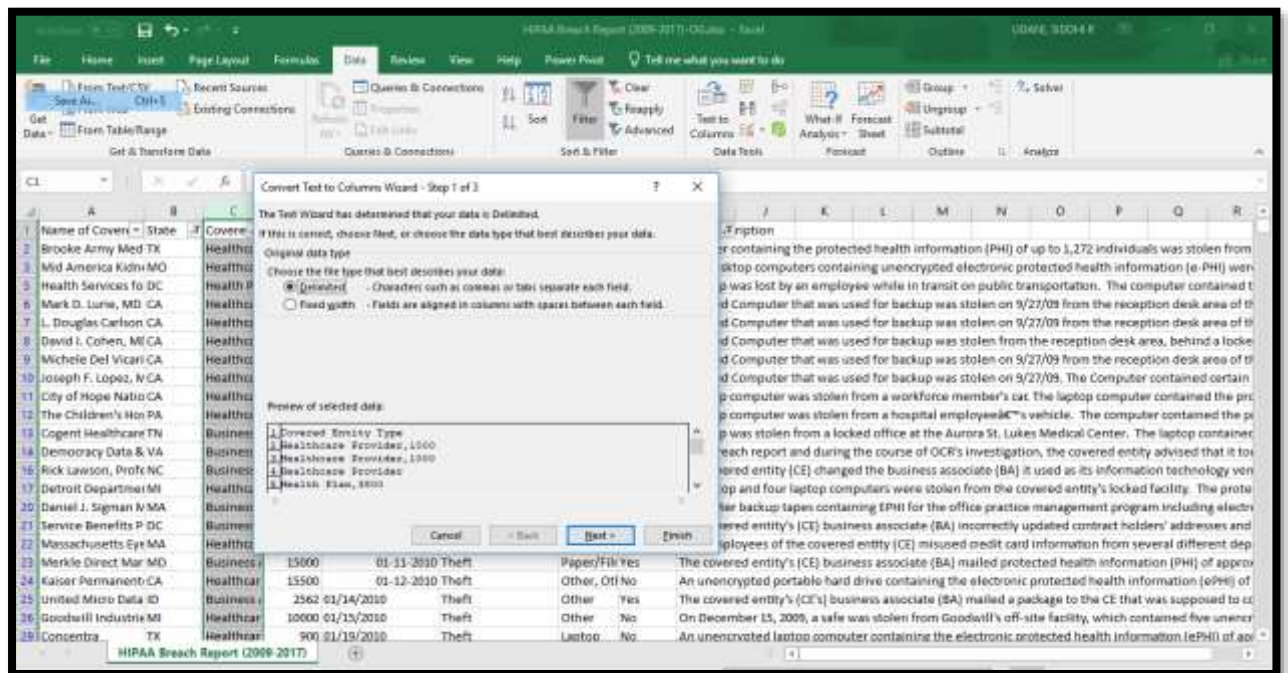
Name of State	Covered Individual	Breach Size	Type of Breach	Location	Business / Web	Description
Brooke Ar TX	Healthcare	1000	10/21/2009 Theft	Paper/Film No		A binder containing the protected health information (PHI) of up to 1,272 individuals was stolen from a staff member's vehicle. The
Mid Amer MO	Healthcare	1000	10/28/2009 Theft	Network No		Five desktop computers containing unencrypted electronic protected health information (e-PHI) were stolen from the covered ent
Alaska De AK	Healthcare	501	10/30/2009 Theft	Other, Off No		/N
L. Douglas CA	Healthcare	3257	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen on 9/22/09 from the reception desk area of the covered entity. The Compu
Health Ser DC	Healthcare	3800	11/17/2009 Loss	Laptop No		A laptop was lost by an employee while in transit on public transportation. The computer contained the protected health informat
Mark D. L. CA	Healthcare	3266	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen on 9/22/09 from the reception desk area of the covered entity. The Compu
Joseph F. CA	Healthcare	952	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen on 9/22/09. The Computer contained certain electronic protected health in
David I. C. CA	Healthcare	857	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen from the reception desk area, behind a locked desk area, probably while a
Michelle D. CA	Healthcare	6345	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen on 9/22/09 from the reception desk area of the covered entity. The Compu
Joseph F. CA	Healthcare	952	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen on 9/22/09. The Computer contained certain electronic protected health in
City of Ho CA	Healthcare	3900	11/23/2009 Theft	Laptop No		A laptop computer was stolen from a workforce member's car. The laptop computer contained the protected health information of
The Child PA	Healthcare	943	11/24/2009 Theft	Laptop No		A laptop computer was stolen from a hospital employee's vehicle. The computer contained the protected health information (I
Cogent He TN	Business /	4400	11/25/2009 Theft	Laptop Yes		A laptop was stolen from a locked office at the Aurora St. Lukes Medical Center. The laptop contained protected health informatio
Democrat VA	Business /	83000	11/25/2009 Other	Paper/Film Yes		In its breach report and during the course of OCR's investigation, the covered entity advised that it took various corrective actions t
Kenn Med CA	Healthcare	596	11/25/2009 Theft	Other No		/N
Kenn Med CA	Healthcare	596	11/25/2009 Theft	Other No		/N
Rick Lawr NC	Business /	2000	11/25/2009 Theft	Desktop C Yes		The covered entity (CE) changed the business associate (BA) it used as its information technology vendor. During the transition, a
Detroit De MI	Healthcare	646	12/15/2009 Theft	Desktop C No		A desktop and four laptop computers were stolen from the covered entity's locked facility. The protected health information invol
Detroit De MI	Healthcare	10000	12/15/2009 Theft	Other Por No		/N
University CA	Healthcare	610	12/15/2009 Other	Email No		/N
Daniel J. S MA	Business /	1860	12/15/2009 Theft	Electronic Yes		Computer backup tapes containing EPHI for the office practice management program including electronic medical records were sto

➔ After cleaning:

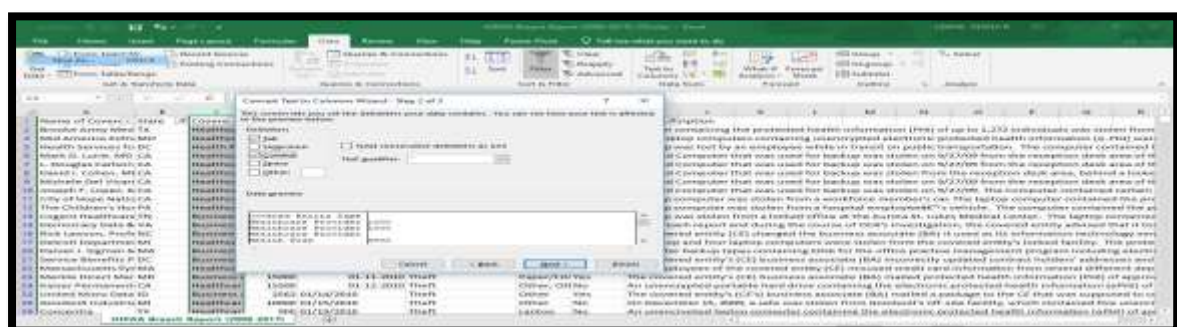
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L. Douglas CA	Healthcare	3257	11/20/2009 Theft	Desktop C No		A shared Computer that was used for backup was stolen on 9/22/09 from the reception desk area of the covered entity. The Compu
Health Ser DC	Healthcare	3800	11/17/2009 Loss	Laptop No		A laptop was lost by an employee while in transit on public transportation. The computer contained the protected health informat
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Cogent He TN	Business /	4400	11/25/2009 Theft	Laptop Yes		A laptop was stolen from a locked office at the Aurora St. Lukes Medical Center. The laptop contained protected health informatio
Democrat VA	Business /	83000	11/25/2009 Other	Paper/Film Yes		In its breach report and during the course of OCR's investigation, the covered entity advised that it took various corrective actions t
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Detroit De MI	Healthcare	646	12/15/2009 Theft	Desktop C No		A desktop and four laptop computers were stolen from the covered entity's locked facility. The protected health information invol
Detroit De MI	Healthcare	10000	12/15/2009 Theft	Other Por No		/N
University CA	Healthcare	610	12/15/2009 Other	Email No		/N
Daniel J. S MA	Business /	1860	12/15/2009 Theft	Electronic Yes		Computer backup tapes containing EPHI for the office practice management program including electronic medical records were sto
Service B. DC	Business /	3400	12/15/2009 Theft	Paper/Film Yes		The covered entity's (CE) business associate (BA) incorrectly updated contract holders' addresses and mailed protected health info
Massachu MA	Healthcare	1076	12/15/2009 Theft	Other No		Two employees of the covered entity (CE) misused credit card information from several different departments that served approxi
Mankie De MD	Business /	13000	12/15/2009 Theft	Paper/Film Yes		The covered entity's (CE) business associate (BA) mailed protected health information (PHI) of approximately 13,000 individuals to

2. SPLITTING COLUMNS: Before there were two data in one column as shown in the picture. I separated these columns using programming feature “TEXT TO COLUMN” where characters such as ‘Tab’ and ‘Comma’ are used to separate the fields in excel. So after separation as shown in the image, the ‘Covered entity type’ has been split into 2 following columns: ‘Covered entity type’ and ‘Individuals Affected’.

➔ Before cleaning:



➔ Cleaning Step:



➔ After cleaning:

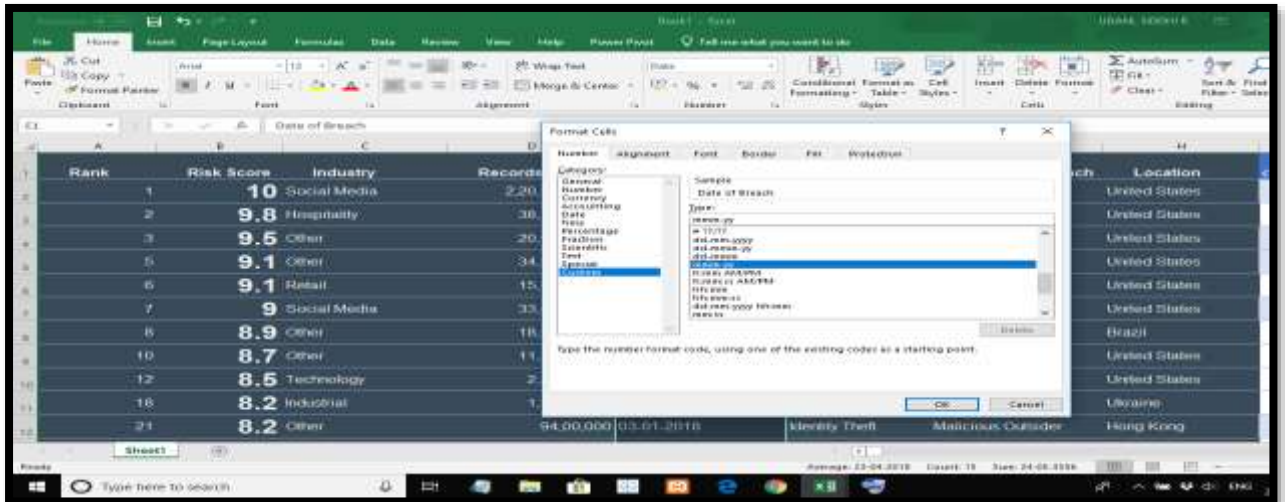
HHS Breach Report (2009-2017) - OIG.xlsx - Sheet														
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3. FORMATTING DATE FORMAT: Since the date format in my dataset was not properly cited as per needed, the dataset was formatted using the 'FORMAT CELLS' option in Excel, and after that was converted in the 'mmmm-yy' (month-year) format.

➔ Before cleaning:

Rank	Risk Score	Industry	Records Breached	Date of Breach	Type of Breach	Source of Breach	Location
1	10	Social Media	2,200,000,000	04-04-2018	Identity Theft	Malicious Outsider	United States
2	9.8	Hospitality	36,300,000	08-08-2018	Identity Theft	Malicious Outsider	United States
3	9.5	Other	20,000,000	07-01-2018	Identity Theft	Malicious Outsider	United States
4	9.1	Other	34,000,000	06-01-2018	Identity Theft	Accidental Loss	United States
5	9.1	Retail	15,000,000	02-05-2018	Account Access	Malicious Outsider	United States
6	9	Social Media	33,600,000	05-03-2018	Financial Access	Accidental Loss	United States
7	8.9	Other	18,010,400	11-12-2018	Identity Theft	Accidental Loss	Brazil
8	8.7	Other	11,350,000	09-01-2018	Identity Theft	Accidental Loss	United States
9	8.5	Technology	2,250,000	07-10-2018	Identity Theft	Malicious Outsider	United States
10	8.2	Industrial	1,850,000	02-07-2018	Account Access	Malicious Outsider	Ukraine
11	8.2	Other	94,000,000	03-01-2018	Identity Theft	Malicious Outsider	Hong Kong

➔ Cleaning Step:



➔ After cleaning:







The screenshot shows the cleaned dataset in Microsoft Excel. The 'Date of Breach' column is now formatted as 'Short Date' (dd-mm-yy). The 'Type of Breach' and 'Source of Breach' columns are visible.

Rank	Risk Score	Industry	Records Breache	Date of Breach	Type of Breach	Source of Breach	Location
1	10	Social Media	2,20,00,00,000	Apr-18	Identity Theft	Malicious Outsider	United States
2	9.8	Hospitality	38,30,00,00,000	Aug-18	Identity Theft	Malicious Outsider	United States
3	9.5	Other	20,00,00,00,000	Jan-18	Identity Theft	Malicious Outsider	United States
5	9.1	Other	34,00,00,00,000	Jan-18	Identity Theft	Accidental Loss	United States
6	9.1	Retail	15,00,00,00,000	Jan-18	Account Access	Malicious Outsider	United States
7	9	Social Media	33,60,00,00,000	Mar-18	Financial Access	Accidental Loss	United States
8	8.9	Other	18,01,04,692	Dec-18	Identity Theft	Accidental Loss	Brazil
10	8.7	Other	11,35,00,00,000	Jan-18	Identity Theft	Accidental Loss	United States
12	8.5	Technology	2,20,00,00,000	Oct-18	Identity Theft	Malicious Outsider	United States
18	8.2	Industrial	1,85,00,00,000	Jul-18	Account Access	Malicious Outsider	Ukraine
21	8.2	Other	94,00,00,000	Jan-18	Identity Theft	Malicious Outsider	Hong Kong

4. REMOVING CRYPTIC VALUES: The dataset contained a few cryptic values with the error which exists if any of the values has the wrong data type. So i removed

these values and replaced them with the actual word: “Theft” and with this method, 460 of such values were cleaned.

➔ Before cleaning:

Document Recovery				
Excel has recovered the following files. Save the ones you wish to keep.				
<div><div> BREACH_LEVEL_INDEX.xlsx - Microsoft Excel 2007 file, 10 KB - 10/15/2010 12:29</div><div> HIPAA Breach Report (2009-2017).xlsx - Microsoft Excel 2007 file, 10 KB - 10/15/2010 12:29</div><div> BREACH_LEVEL_INDEX.xlsx - Microsoft Excel 2007 file, 10 KB - 10/15/2010 12:29</div><div> HIPAA Breach Report (2009-2017).xlsx - Microsoft Excel 2007 file, 10 KB - 10/15/2010 12:29</div><div> BREACH_LEVEL_INDEX.xlsx - Microsoft Excel 2007 file, 10 KB - 10/15/2010 12:29</div><div> BREACH_LEVEL_INDEX.xlsx - Microsoft Excel 2007 file, 10 KB - 10/15/2010 12:29</div></div>				
<div>Work the files you want to save</div> <div>Close</div>				

HIPAA Breach Report (2009-2017)								
Name of Covered Entity	State	Covered Entity	Individual	Breach Submitted	Type of Breach	Location	Business	Web Description
Brooke Army Med TX	Healthcare	1000	10/21/2009	TTIR	Paper/Physical	No	A binder containing the protected health information (PHI)	A binder containing the protected health information (PHI)
Mid America Kidney MD	Healthcare	3800	10/20/2009	TTIR	Network	No	Five desktop computers containing unencrypted electronic	Five desktop computers containing unencrypted electronic
Health Services For DC	Healthcare	5300	11/17/2009	TTIR	Laptop	No	A laptop was lost by an employee while in transit on public	A laptop was lost by an employee while in transit on public
Mark D. Lurie, MD CA	Healthcare	5297	11/20/2009	TTIR	Desktop	No	A shared Computer that was used for backup was stolen	A shared Computer that was used for backup was stolen
L. Douglas Carlson, MD CA	Healthcare	857	11/20/2009	TTIR	Desktop	No	A shared Computer that was used for backup was stolen	A shared Computer that was used for backup was stolen
David L. Cohen, MD CA	Healthcare	8145	11/20/2009	TTIR	Desktop	No	A shared Computer that was used for backup was stolen	A shared Computer that was used for backup was stolen
Michelle Del Vican CA	Healthcare	592	11/20/2009	TTIR	Desktop	No	A shared Computer that was used for backup was stolen	A shared Computer that was used for backup was stolen
Joseph F. Lopez, MD CA	Healthcare	5900	11/23/2009	TTIR	Laptop	No	A laptop computer was stolen from a workforce member	A laptop computer was stolen from a workforce member
City of Hope Natio CA	Healthcare	984	11/23/2009	TTIR	Laptop	No	A laptop computer was stolen from a workforce member	A laptop computer was stolen from a workforce member
The Children's Hosp PA	Healthcare	6400	11/25/2009	TTIR	Laptop	Yes	A laptop was stolen from a locked office at the Aurora St.	A laptop was stolen from a locked office at the Aurora St.
Cogent Healthcare TN	Business	83000	12-08-2009	Other	Paper/Physical	Yes	In its breach report and during the course of OCR's invest	In its breach report and during the course of OCR's invest
Democracy Beta & VA	Business	2000	12-11-2009	TTIR	Desktop	Yes	The covered entity (CE) changed the business associate (BA)	The covered entity (CE) changed the business associate (BA)
Rock Larson, PhDs NC	Healthcare	648	12/15/2009	TTIR	Desktop	No	A desktop and four laptop computers were stolen from the	A desktop and four laptop computers were stolen from the
Detroit Department MI	Healthcare	3800	01-07-2010	TTIR	Electronic	Yes	Computer backup tapes containing PHI for the office and	Computer backup tapes containing PHI for the office and
Daniel J. Sigman & MA	Business	8400	01-08-2010	TTIR	Paper/Physical	Yes	The covered entity's (CE) business associate (BA) misused	The covered entity's (CE) business associate (BA) misused
Service Benefits P DC	Business	1076	01-08-2010	TTIR	Other	No	Two employees of the covered entity (CE) misused credit	Two employees of the covered entity (CE) misused credit
Massachusetts Eye MA	Healthcare	35000	01-11-2010	TTIR	Paper/Physical	Yes	The covered entity's (CE) business associate (BA) misused	The covered entity's (CE) business associate (BA) misused
Merkle Direct Mar MD	Business	15500	01-12-2010	TTIR	Other	Yes	An unencrypted portable hard drive containing the elect	An unencrypted portable hard drive containing the elect
Kaiser Permanente CA	Healthcare	2562	01/14/2010	TTIR	Other	Yes	The covered entity's (CE) business associate (BA) misused	The covered entity's (CE) business associate (BA) misused
United Micro Data ID	Business	18000	01/15/2010	TTIR	Other	No	On December 15, 2009, a safe was stolen from Goodwill's	On December 15, 2009, a safe was stolen from Goodwill's
Goodwill Industries MI	Healthcare	900	01/18/2010	TTIR	Laptop	No	An unencrypted laptop computer containing the electron	An unencrypted laptop computer containing the electron
Concentra TX	Healthcare	1000	01/18/2010	TTIR	Laptop	No		

➔ Cleaning step:

Name of Covered Entity	State	Covered Entity	Individual	Breach Submitted	Type of Breach	Location	Business	Web Description
Brooke Army Med TX	Healthcare	1000	10/21/2009	TTIR	Theft	Laptop	No	A binder containing the protected health information (PHI) five desktop computers containing unencrypted electronic data was lost by an employee while in transit on public transportation.
Mid America Kidney MD	Healthcare	3800	11/17/2009	TTIR	Theft	Laptop	No	A laptop was lost by an employee while in transit on public transportation.
Health Services For DC	Healthcare	5300	11/20/2009	TTIR	Theft	Laptop	No	A shared Computer that was used for backup was stolen.
Mark D. Lurie, MD CA	Healthcare	5297	11/20/2009	TTIR	Theft	Laptop	No	A shared Computer that was used for backup was stolen.
L. Douglas Carlson, MD CA	Healthcare	857	11/20/2009	TTIR	Theft	Laptop	No	A shared Computer that was used for backup was stolen.
Daniel L. Cohen, MD CA	Healthcare	8145	11/20/2009	TTIR	Theft	Laptop	No	A shared Computer that was used for backup was stolen.
Michelle Del Vican CA	Healthcare	592	11/20/2009	TTIR	Theft	Laptop	No	A shared Computer that was used for backup was stolen.
Joseph F. Lopez, MD CA	Healthcare	5900	11/23/2009	TTIR	Theft	Laptop	No	A laptop computer was stolen from a workforce member.
City of Hope Natio CA	Healthcare	984	11/23/2009	TTIR	Theft	Laptop	No	A laptop computer was stolen from a workforce member.
The Children's Hosp PA	Healthcare	6400	11/25/2009	TTIR	Theft	Laptop	Yes	A laptop was stolen from a locked office at the Aurora St. in its breach report and during the course of OCR's investigation the covered entity (CE) changed the business associate (BA) desktop and four laptop computers were stolen from the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Cogent Healthcare TN	Business	83000	12-08-2009	Other	Theft	Laptop	Yes	A laptop was stolen from a locked office at the Aurora St. in its breach report and during the course of OCR's investigation the covered entity (CE) changed the business associate (BA) desktop and four laptop computers were stolen from the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Democracy Beta & VA	Business	2000	12-11-2009	TTIR	Theft	Laptop	Yes	A desktop and four laptop computers were stolen from the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Rock Larson, PhDs NC	Healthcare	648	12/15/2009	TTIR	Theft	Laptop	No	A desktop and four laptop computers were stolen from the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Detroit Department MI	Healthcare	3800	01-07-2010	TTIR	Theft	Laptop	Yes	Computer backup tapes containing PHI for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Daniel J. Sigman & MA	Business	8400	01-08-2010	TTIR	Theft	Laptop	Yes	The covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Service Benefits P DC	Business	1076	01-08-2010	TTIR	Theft	Laptop	No	Two employees of the covered entity (CE) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Massachusetts Eye MA	Healthcare	35000	01-11-2010	TTIR	Theft	Laptop	Yes	The covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Merkle Direct Mar MD	Business	15500	01-12-2010	TTIR	Theft	Laptop	Yes	An unencrypted portable hard drive containing the electronic data of the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Kaiser Permanente CA	Healthcare	2562	01/14/2010	TTIR	Theft	Laptop	Yes	The covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
United Micro Data ID	Business	18000	01/15/2010	TTIR	Theft	Laptop	No	On December 15, 2009, a safe was stolen from Goodwill's office. An unencrypted laptop computer containing the electronic data of the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office and the covered entity's (CE) business associate (BA) misused credit card information (CCI) for the office.
Goodwill Industries MI	Healthcare	900	01/18/2010	TTIR	Theft	Laptop	No	A laptop computer was stolen from a workforce member.
Concentra TX	Healthcare	1000	01/18/2010	TTIR	Theft	Laptop	No	A laptop computer was stolen from a workforce member.

➔ After cleaning:

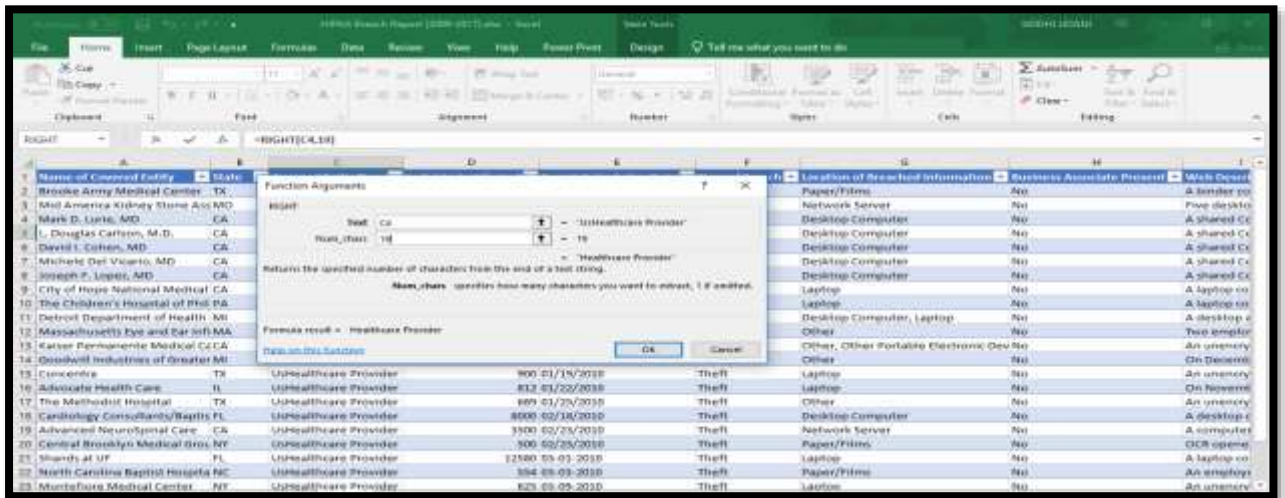
Name of Covered Entity	State	Covered Entity Type	Individual(s) Affected	Breach Notification Date	Type of Breach	Location of Breached Information	Business Associate Present	Where Stored
Brooks Army Medical Center	TX	Healthcare Provider	3000	10/21/2009	Theft	Paper/Films	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Army Medical Center.
Mid America Medical Clinic	MO	Healthcare Provider	3500	10/29/2009	Theft	Network Server	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Mid America Medical Clinic.
Health Services Inc.	DC	Healthcare Provider	3600	11/17/2009	Theft	Network Server	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Health Services Inc.
Mark B. Lurie, MD	CA	Healthcare Provider	5488	11/20/2009	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
L. Douglas Carlson, M.D.	CA	Healthcare Provider	5227	11/20/2009	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
David S. Cohen, MD	CA	Healthcare Provider	897	11/20/2009	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
Michael D. Viscio, MD	CA	Healthcare Provider	8488	11/20/2009	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
Joseph F. Lopez, MD	CA	Healthcare Provider	952	11/20/2009	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
City of Hope National Medical Center	CA	Healthcare Provider	3900	11/23/2009	Theft	Laptop	Yes	A laptop computer was stolen from a locked office at the City of Hope National Medical Center.
The Children's Hospital of Philadelphia	PA	Healthcare Provider	6400	11/25/2009	Theft	Laptop	Yes	A laptop computer was stolen from a locked office at the Children's Hospital of Philadelphia.
Corning Healthcare Inc.	CA	Healthcare Provider	8000	12/01/2009	Theft	Network Server	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Corning Healthcare Inc.
Rock Landen, Prof. Inc.	CA	Healthcare Provider	2000	12/11/2009	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
David S. Cohen, MD	CA	Healthcare Provider	3800	01/02/2010	Theft	Desktop Computer	Yes	A shared computer that was used for backup was stolen.
Severance Benefits P. Inc.	CA	Healthcare Provider	3400	01/08/2010	Theft	Network Server	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Severance Benefits P. Inc.
Massachusetts Eye and Ear	MA	Healthcare Provider	1000	01/08/2010	Theft	Other	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Massachusetts Eye and Ear.
Mark B. Lurie, MD	CA	Healthcare Provider	5488	01/11/2010	Theft	Network Server	Yes	A folder containing the protected health information (PHI) of a patient was stolen from a locked office at the Mark B. Lurie, MD.
United Micro Data ID	CA	Healthcare Provider	35000	01/12/2010	Theft	Other, Other	Yes	An unencrypted portable hard drive containing the PHI of a patient was stolen from a locked office at the United Micro Data ID.
Goodwill Industries Inc.	CA	Healthcare Provider	30000	01/14/2010	Theft	Other	Yes	On December 15, 2009, a safe was stolen from Goodwill's San Francisco location. The safe contained the PHI of a patient.
Goodwill Industries Inc.	CA	Healthcare Provider	3000	01/19/2010	Theft	Laptop	Yes	An unencrypted laptop computer containing the PHI of a patient was stolen from a locked office at the Goodwill Industries Inc.

5. ELIMINATING LEADING & TRAILING UNWANTED VALUES: The dataset contained some columns with unwanted leading values, that were removed using the 'RIGHT' function from the 'TEXT' option under the 'FORMULA' toolbar, which returns the specified number of characters from the end of the text string, thereby cleaning it. Here, the 'UsHealthcare Provider' value was changed to 'Healthcare Provider' for better understanding. The screenshot represents this task for one specific cell at a time, however, the other cells too were cleaned using the same method.

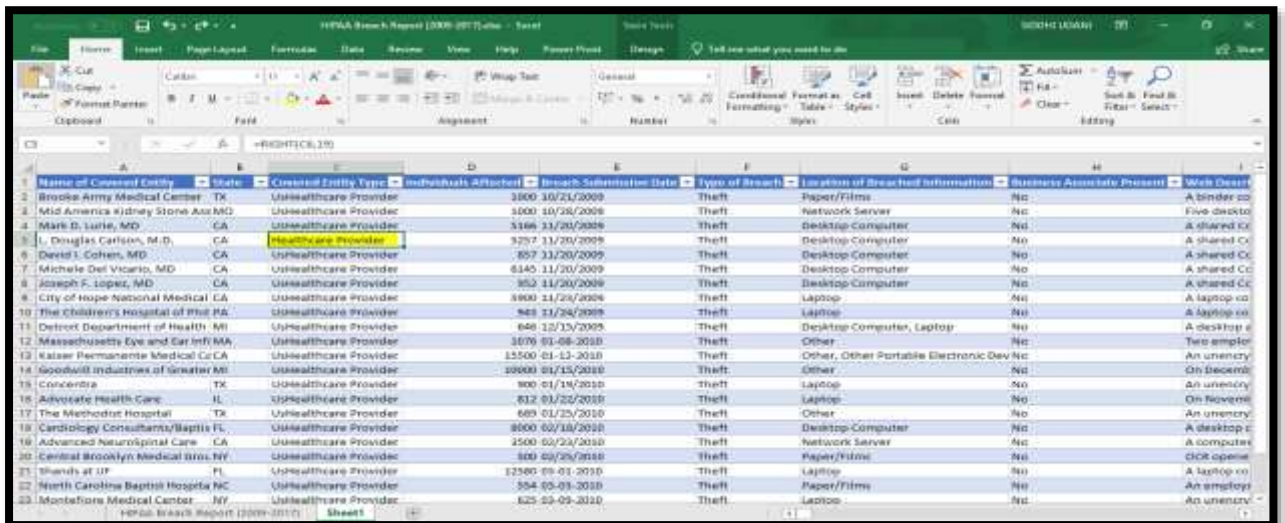
➔ Before cleaning:

Name of Covered Entity	State	Covered Entity Type	Individual(s) Affected	Breach Notification Date	Type of Breach	Location of Breached Information	Business Associate Present	Where Stored
Brooks Army Medical Center	TX	Healthcare Provider	3000	10/21/2009	Theft	Paper/Films	Yes	A folder con
Mid America Medical Clinic	MO	Healthcare Provider	3500	10/29/2009	Theft	Network Server	Yes	PHI of a pati
Health Services Inc.	DC	Healthcare Provider	3600	11/17/2009	Theft	Network Server	Yes	A folder con
Mark B. Lurie, MD	CA	Healthcare Provider	5488	11/20/2009	Theft	Desktop Computer	Yes	A shared co
L. Douglas Carlson, M.D.	CA	Healthcare Provider	5227	11/20/2009	Theft	Desktop Computer	Yes	A shared co
David S. Cohen, MD	CA	Healthcare Provider	897	11/20/2009	Theft	Desktop Computer	Yes	A shared co
Michael D. Viscio, MD	CA	Healthcare Provider	8488	11/20/2009	Theft	Desktop Computer	Yes	A shared co
Joseph F. Lopez, MD	CA	Healthcare Provider	952	11/20/2009	Theft	Desktop Computer	Yes	A shared co
City of Hope National Medical Center	CA	Healthcare Provider	3900	11/23/2009	Theft	Laptop	Yes	A laptop co
The Children's Hospital of Philadelphia	PA	Healthcare Provider	6400	11/25/2009	Theft	Laptop	Yes	A laptop co
Corning Healthcare Inc.	CA	Healthcare Provider	8000	12/01/2009	Theft	Network Computer, Laptop	Yes	A desktop a
Rock Landen, Prof. Inc.	CA	Healthcare Provider	2000	12/11/2009	Theft	Other	Yes	Two laptop
David S. Cohen, MD	CA	Healthcare Provider	3800	01/02/2010	Theft	Other	Yes	On Decembe
Severance Benefits P. Inc.	CA	Healthcare Provider	3400	01/08/2010	Theft	Other	Yes	On Decembe
Massachusetts Eye and Ear	MA	Healthcare Provider	1000	01/08/2010	Theft	Other	Yes	An unenryp
Mark B. Lurie, MD	CA	Healthcare Provider	5488	01/11/2010	Theft	Network Server	Yes	A folder con
United Micro Data ID	CA	Healthcare Provider	35000	01/12/2010	Theft	Other, Other	Yes	A laptop co
Goodwill Industries Inc.	CA	Healthcare Provider	30000	01/14/2010	Theft	Other	Yes	An unenryp
Goodwill Industries Inc.	CA	Healthcare Provider	3000	01/19/2010	Theft	Laptop	Yes	An unenryp

➔ Cleaning step:



➔ After cleaning:



6. Converting numbers stored as ‘SCIENTIFIC’ into ‘NUMBERS’: In the Dataset, under the ‘Records Breached’ column, the column contained numeric values in the ‘SCIENTIFIC’ format, that were cleaned into normal ‘NUMERIC’ format, using the ‘NUMBER’ field from the ‘FORMAT CELL’ option, for better representation and

understanding, since the scientific format is difficult to interpret and not suitable for visualizations.

➔ Before cleaning:

Rank	Risk Score	Industry	Records Breached	Date of Breach	Type of Breach	Source of Breach	Location
1	10	Social Media	2.200E+09	04-04-2018	Identity Theft	Malicious Outsider	United States
2	9.8	Hospitality	3.830E+08	08-08-2018	Identity Theft	Malicious Outsider	United States
3	9.5	Other	2.000E+08	07-01-2018	Identity Theft	Malicious Outsider	United States
4	9.3	Hospitality	1.300E+08	06-28-18	Identity Theft	Malicious Outsider	China
5	9.1	Other	3.400E+08	00-01-2018	Identity Theft	Accidental Loss	United States
6	9.1	Retail	1.500E+08	02-01-2018	Account Access	Malicious Outsider	United States
7	9	Social Media	3.360E+08	05-03-2018	Financial Access	Accidental Loss	United States
8	8.9	Other	1.801E+08	11-12-2018	Identity Theft	Accidental Loss	Brazil
9	8.9	Social Media	1.000E+08	11/30/18	Account Access	Malicious Outsider	United States
10	8.7	Other	1.135E+08	08-01-2018	Identity Theft	Accidental Loss	United States
11	8.6	Technology	1.000E+08	06/20/18	Identity Theft	Accidental Loss	United States
12	8.5	Technology	2.200E+07	07-10-2018	Identity Theft	Malicious Outsider	United States

➔ Cleaning step:

Rank	Risk Score	Industry	Records Breached	Date of Breach	Type of Breach	Source of Breach	Location
1	10	Social Media	2.200E+09	04-04-2018	Identity Theft	Malicious Outsider	United States
2	9.8	Hospitality	3.830E+08	08-08-2018	Identity Theft	Malicious Outsider	United States
3	9.5	Other	2.000E+08	07-01-2018	Identity Theft	Malicious Outsider	United States
4	9.3	Hospitality	1.300E+08	06-28-18	Identity Theft	Malicious Outsider	China
5	9.1	Other	3.400E+08	00-01-2018	Identity Theft	Accidental Loss	United States
6	9.1	Retail	1.500E+08	02-01-2018	Account Access	Malicious Outsider	United States
7	9	Social Media	3.360E+08	05-03-2018	Financial Access	Accidental Loss	United States
8	8.9	Other	1.801E+08	11-12-2018	Identity Theft	Accidental Loss	Brazil
9	8.9	Social Media	1.000E+08	11/30/18	Account Access	Malicious Outsider	United States
10	8.7	Other	1.135E+08	08-01-2018	Identity Theft	Accidental Loss	United States
11	8.6	Technology	1.000E+08	06/20/18	Identity Theft	Accidental Loss	United States
12	8.5	Technology	2.200E+07	07-10-2018	Identity Theft	Malicious Outsider	United States

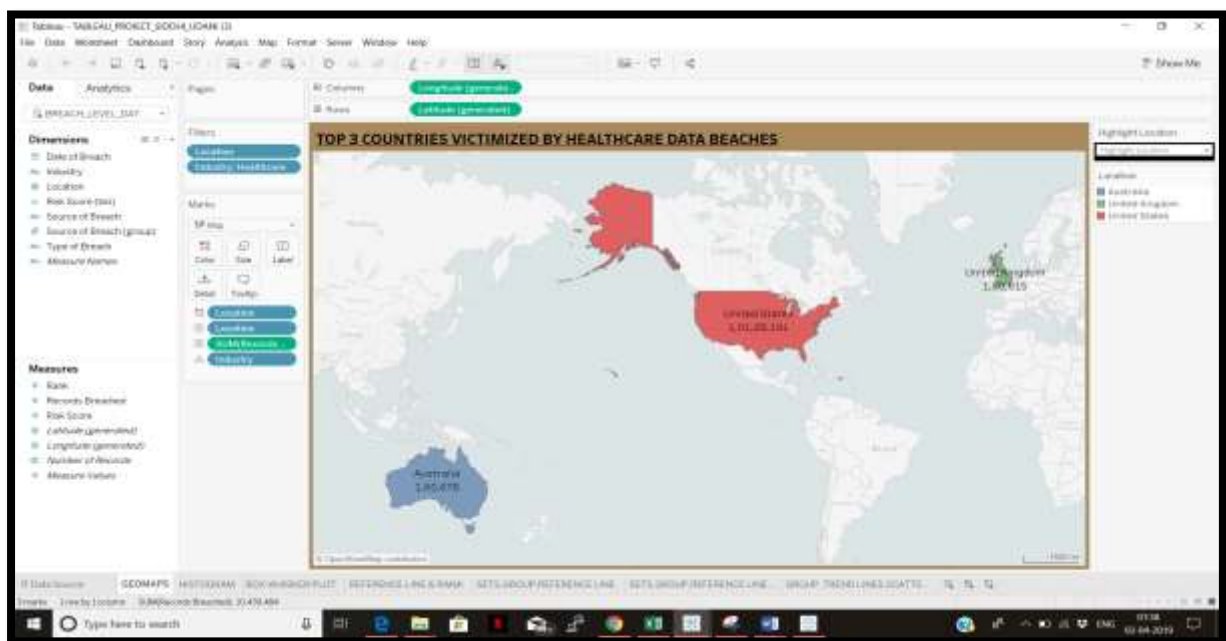
➔ After cleaning:

Breach_Level_Hook.xlsx - Excel								
Records Breached								
Rank	Risk Score	Industry	Records Breached	Date of Breach	Type of Breach	Source of Breach	Location	
1	10	Social Media	2200000000	04-04-2018	Identity Theft	Malicious Outsider	United States	
2	9.8	Hospitality	383000000	09-08-2018	Identity Theft	Malicious Outsider	United States	
3	9.5	Other	200000000	07-01-2018	Identity Theft	Malicious Outsider	United States	
4	9.3	Hospitality	130000000	08/28/18	Identity Theft	Malicious Outsider	China	
5	9.1	Other	340000000	06-01-2018	Identity Theft	Accidental Loss	United States	
6	9.1	Retail	150000000	02-01-2018	Account Access	Malicious Outsider	United States	
7	9	Social Media	536000000	05-03-2018	Financial Access	Accidental Loss	United States	
8	8.9	Other	180104892	11-12-2018	Identity Theft	Accidental Loss	Brazil	
9	8.9	Social Media	100000000	11/30/18	Account Access	Malicious Outsider	United States	
10	8.7	Other	113500000	09-01-2018	Identity Theft	Accidental Loss	United States	
11	8.6	Technology	100000000	08/20/18	Identity Theft	Accidental Loss	United States	
12	8.5	Technology	220000000	07-10-2018	Identity Theft	Malicious Outsider	United States	

C. DATA VISUALIZATIONS:

1. Determine the top 3 countries where the highest number of healthcare records are being breached?

DATA VISUAL:



VISUALS USED: GEOGRAPHIC MAPS

- Map based on longitude and latitude were generated. Color shows details about location. The marks are labelled by location. Details are shown for Healthcare Industry. The view is filtered on location and Industry.
- The 2017 Breach Level Index from Gemalto shows that data breaches are very much a growing threat for organizations. The number of records compromised is remarkable, Broken down by region, **North America** led the way in the number of both compromised records and security incidents. Following this, **Australia** stood second by being victimized due to healthcare breaches and the third last victim being **United Kingdom**.

LOCATION (COUNTRY)	SUM OF RECORDS BREACHED
1.UNITED STATES	1,01,28,191
2.AUSTRALIA	1,60,678
3.UNITED KINGDOM	1,50,615

2. What is the trend of Risk score for the Healthcare Industry?

DATA VISUAL:



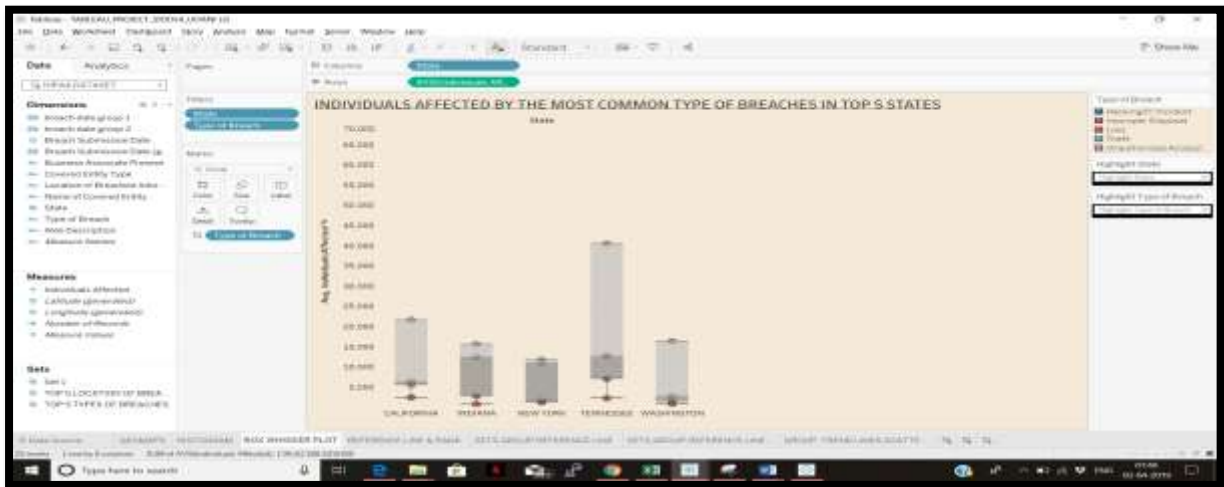
VISUALS USED: HISTOGRAM

- The Risk score determined how seriously an organization was affected when a data breach occurred. DATA BREACH RISK ASSESSMENT CALCULATOR Calculates your own risk score and breach severity using the Breach Level Index data.
- The visualization shows the trend of sum of Risk score for Risk score(bin). Color shows the sum of records breached. The dataset is filtered on Industry, which keeps healthcare.
- The histogram depicts that a minimum of **16** records were breached with a minimum risk score of **17.2** whereas the maximum number of **1,37,40,000** records were breached with a risk score of **51.0**. The risk score has been mentioned on the basis of the sum of the records.

RISK SCORE BIN	SUM OF RECORDS BREACHED	CUMULATIVE RISK SCORE
0	16	17.2
1	223	77.8
2	5,877	77.3
3	96,782	309.9
4	372,807	482.6
5	1,986,200	303.9
6	1,557,612	68.4
7	13,740,000	51.0

3. Which are the top 5 states where the highest number of individuals were affected on the basis of the most common types of breaches?

DATA VISUAL:



VISUALS USED: BOX AND WHISKER PLOT

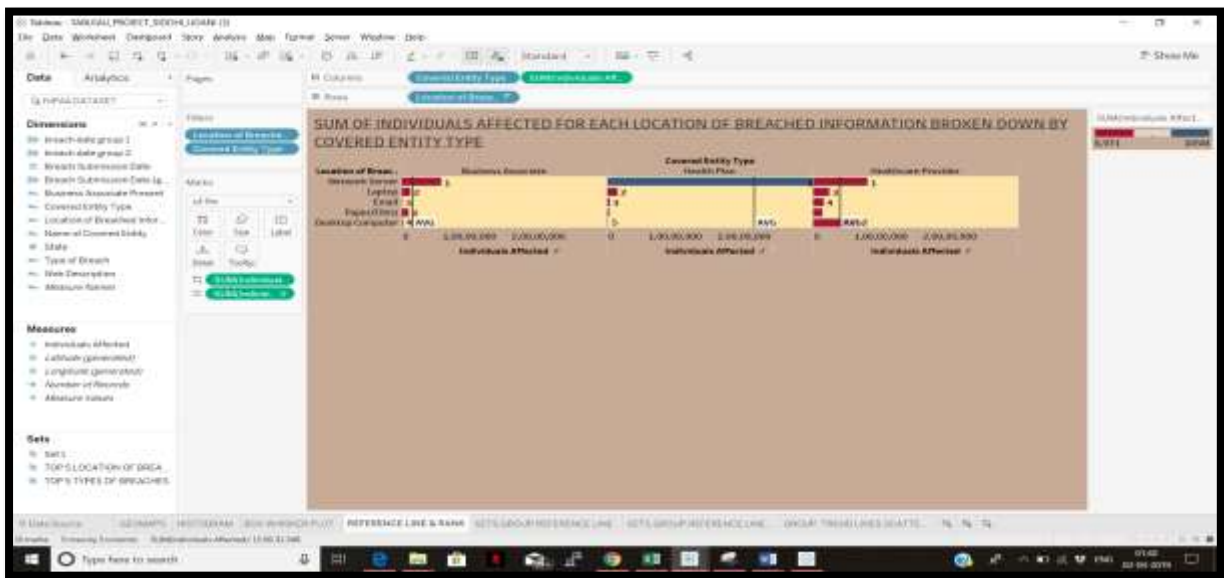
- The plot shows the Average of individuals affected for each state. Color shows details about type of breach. The view is filtered on state and type of breach. The state filter keeps California, Indiana, New York, Tennessee and Washington. The type of breach filter keeps Hacking/IT Incident, Improper disposal, Loss, Theft and Unauthorized access/disclosure.
- From this visual we understand that highest number of individuals were affected in the state of Tennessee due to 'Unauthorized access/disclosure' type of breach.

STATE	MAXIMUM OF AVG INDIVIDUALS AFFECTED	TYPE OF BREACH
CALIFORNIA	21510	<u>THEFT</u>

INDIANA	<u>15479</u>	<u>UNAUTHORIZED</u> <u>ACCESS/DISCLOSURE</u>
NEW YORK	<u>11679</u>	<u>THEFT</u>
TENNESSEE	<u>40357</u>	<u>UNAUTHORIZED</u> <u>ACCESS/DISCLOSURE</u>
WASHINGTON	<u>16217</u>	<u>UNAUTHORIZED</u> <u>ACCESS/DISCLOSURE</u>

4. How many individuals were affected for each location of breached information broken down by covered entity type?

DATA VISUAL:



VISUALS USED: REFERENCE LINE AND RANK

- The visualization shows the sum of individuals affected for each location of breached information broken down by covered entity type. Color shows sum of individuals

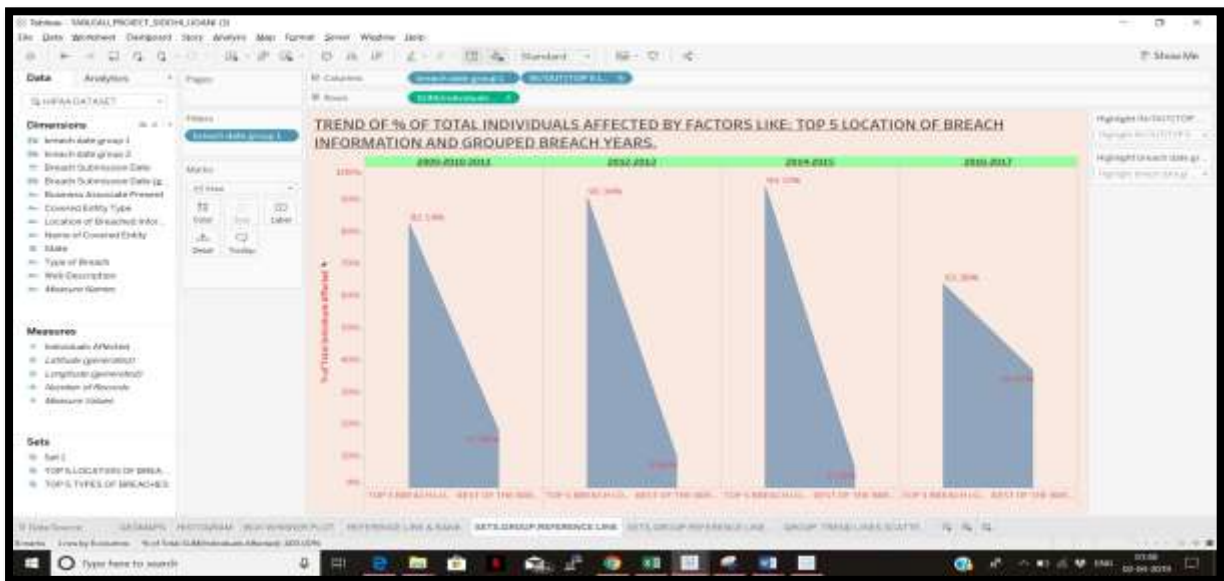
affected. The marks are labelled by Rank of individuals affected. The location of breached information filter has multiple members selected like Network server, Laptop, Email, Paper/films and Desktop computer.

- Covered entities are defined in the HIPAA rules as (1) Business associate, (2) health care plans, and (3) health care providers who electronically transmit any health information in connection with transactions for which HHS has adopted standards.
- The average reference line has been calculated for the covered entity types. This visual indicates that majority of the individuals having their covered entity types as: Business associate, health care plans and health care providers had most of their records breached due to 'NETWORK SERVER' glitches.

COVERED ENTITY TYPE	VALUE OF THE AVERAGE REFERENCE LINE	LOCATION OF BREACH (MAX INDIVIDUALS AFFECTED)	LOCATION OF BREACH (MIN INDIVIDUALS AFFECTED)
BUSINESS ASSOCIATE	1,633,086	NETWORK SERVER	EMAIL
HEALTH PLAN	21,506,832.2	NETWORK SERVER	DESKTOP COMPUTER
HEALTHCARE PROVIDER	3,986,351	NETWORK SERVER	PAPER/FILMS

5. What is the trend of % of total individuals affected by the top 5 location of data breaches information, yearwise?

DATA VISUAL:



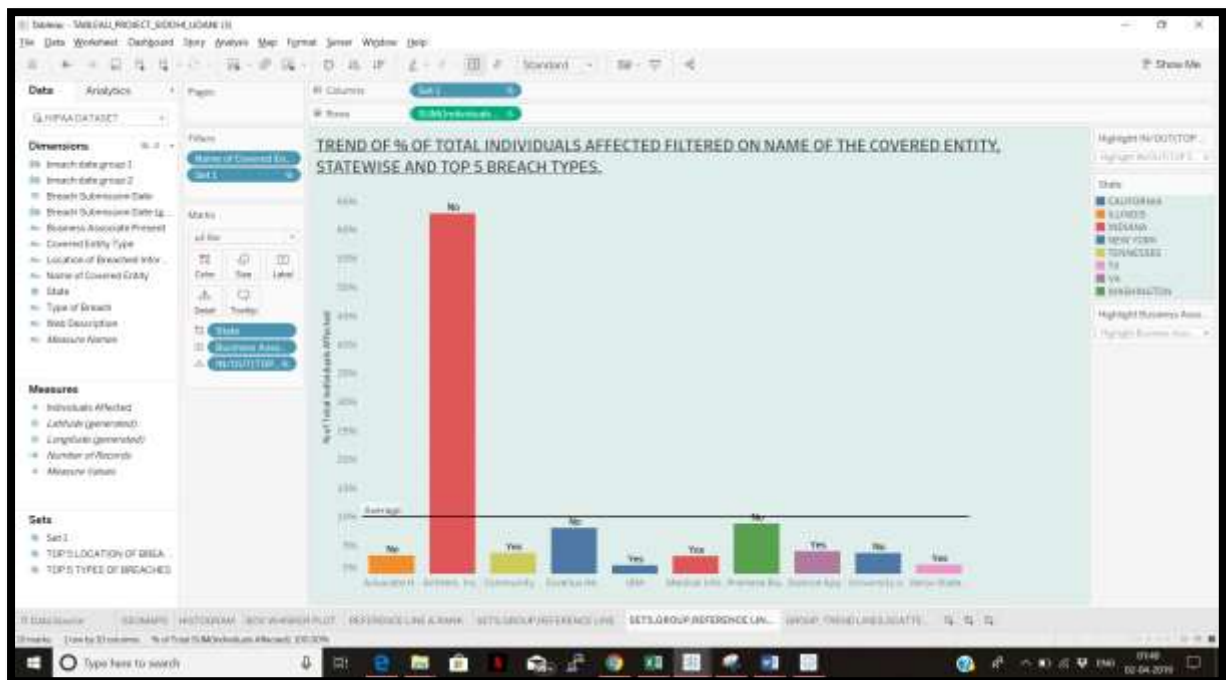
VISUALS USED: SETS AND GROUPS

- The visual represents the % of total individuals affected for each top 5 location of breached information (in/out) broken down by breach date group 1. The view is filtered on breach date group 1, which keeps '2009-2010-2011', '2012-2013', '2014-2015' and '2016-2017'. Percents are based on each row of each pane of the table.
- The sets for the 'Location of breached information' has been made as follows:
 - Top 5 location of breached information includes (IN members): Desktop computer, Laptop, Network server, other and paper/films.
 - Rest of the breached location (OUT members): All the remaining locations of breached information.
- On interpreting the data we understand that the year from 2014-2015 recorded the highest number of data breached reports with a sudden decline in the year 2016 and 2017.

YEAR	% OF TOTAL INDIVIDUALS AFFECTED DUE TO TOP 5 LOCATION OF BREACHES	% OF TOTAL INDIVIDUALS AFFECTED DUE TO THE REMAINING LOCATION OF BREACHES
2009-2010-2011	82.14%	17.86%
2012-2013	90.34%	9.66%
2014-2015	93.72%	6.28%
2016-2017	63.38%	36.62%

6. What was the name of the covered entities in the top few states that suffered data breaches, indicating the presence/absence of business associate?

DATA VISUAL:



VISUALS USED: SETS, GROUPS AND REFERENCE LINE

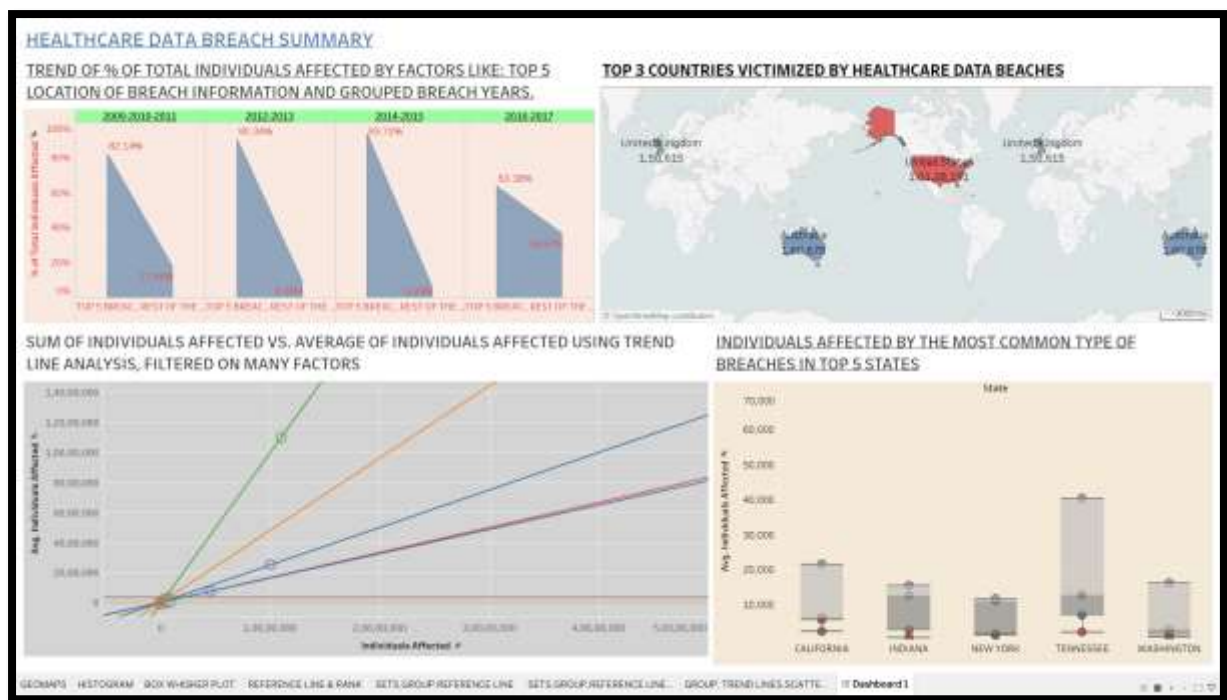
- The trend displays the % of total individuals affected for set 1, where the set 1 domain represents the top 10 names of the covered entities where the highest number of individuals were affected. The set 1 includes the members as viewed in the visual. Color shows details about state. The marks are labelled by business associate present. Details are shown for IN/OUT of TOP 5 TYPES OF BREACHES. Percents are based on each row of each pane of the table.
- The visual indicates that 62.77% of the total individuals were affected in the state of 'INDIANA' due to the top 5 types of breaches, where the NAME OF THE COVERED ENTITY is: Anthem, Inc. Affiliated covered entity, where there was NO business associate present.

NAME OF THE COVERED ENTITY	STATE	BUSINESS ASSOCIATE PRESENT	% OF TOTAL INDIVIDUALS AFFECTED
ADVOCATE HEALTH AND HOSPITALS MEDICAL GROUP	ILLINOIS	NO	3.21%
ANTHEM,INC AFFILIATED COVERED ENTITY	INDIANA	NO	62.77%

COMMUNITY HEALTH SYSTEMS PROFESSIONAL	TENNESSEE	YES	3.58%
EXCELLUS HEALTH PLAN	NEW YORK	NO	7.97%
IBM	NEW YORK	YES	1.51%
MEDICAL INFORMATICS ENGINEERING	INDIANA	YES	3.11%
PREMERA BLUE CROSS	WASHINGTON	NO	8.76%
SCIENCE APPLICATIONS INTERNATIONAL CORPORATION	VIRGINIA	YES	3.90%
UNIVERSITY OF CALIFORNIA, LOS ANGELES HEALTH	CALIFORNIA	NO	3.59%
XEROX STATE HEALTHCARE, LLC	TEXAS	YES	1.59%

D. DASHBOARD:

DASHBOARD DATA VISUAL:



The dashboard above shows four different and major elements for the discoveries in healthcare data breaches. The visual made using the geographic maps depicts the top 3 countries where the highest number of healthcare data breaches took place. The box and whisker plot determines the average of individuals affected in the top 5 states, being filtered on the most common type of data breaches. The linear trend line analysis using **scatter plot** is computed for the average of individuals affected. The area based graph visual, made using sets and groups, represents the year wise distribution of the percent of individuals affected. Thus, all of these key points were summarized using the dashboard feature of tableau.

VISUAL USED FOR ADDITIONAL VISUALIZATION ON DASHBOARD: SCATTER PLOT, TREND LINE ANALYSIS.

E. STORY TELLING:

HIPAA journal provides the most comprehensive coverage of the HIPAA breaches in addition to independent advice about HIPAA compliance and the best practices to adopt and avoid data breaches. The **Breach Level Index** indicates that the date breaches have been increasing in frequency and size over the last couple of years. This dataset on the other hand, explores the attributes of breaches like: number of records, date of breach, type of breach, source of breach, its location and the risk score.

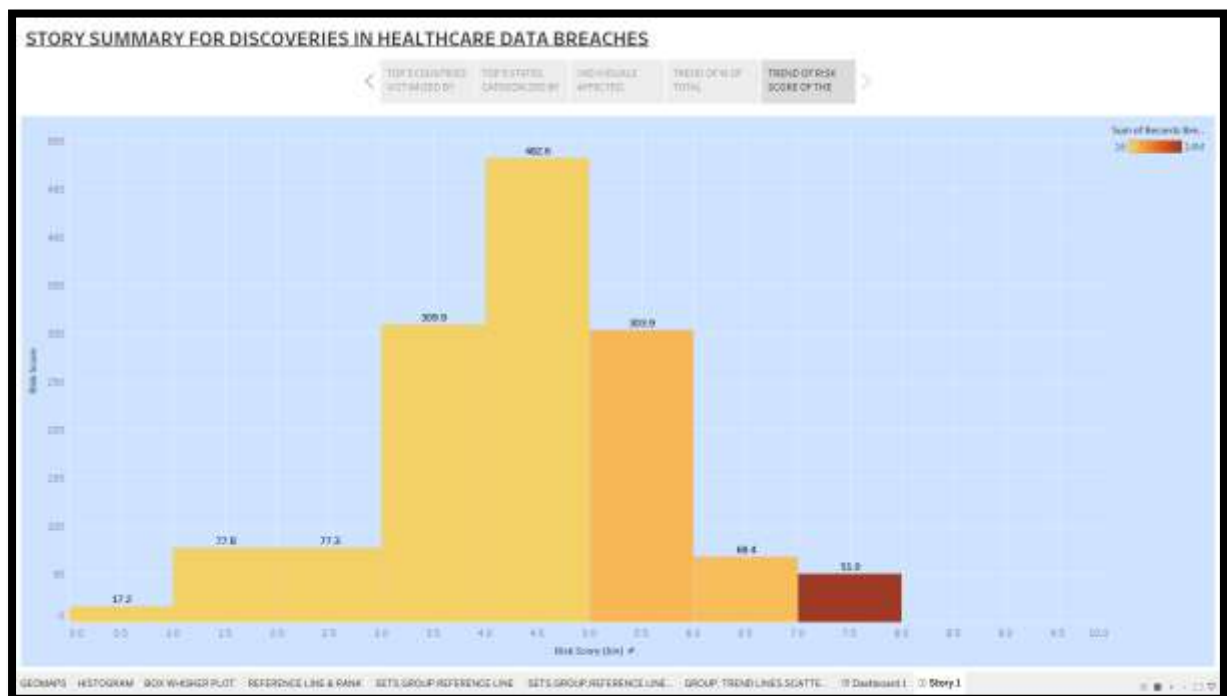


FIGURE: STORY TELLING CAPTION POINT

The threat landscape has continued to evolve throughout the year, with hackers ramping up targeted, sophisticated attacks. Ransomware continued to plague the healthcare sector, while phishing attacks and insider errors led to some of the biggest breaches in the recent years. However, resources and staffing gaps continues to be problematic. And hackers will continue to pummel the sector with targeted attacks through 2019 and beyond, globally.

Taking a look at the Breach level index report, out of all the countries in the world, the highest number of healthcare data breaches were targeted in **UNITED STATES**, followed by **AUSTRALIA** and **UNITED KINGDOM**. Since United States reported the highest number of breaches, it became important to determine the top particular states from where these breaches actually originated. After summarizing a visual over this question, I analysed that, **California, Indiana, New York, Tennessee and Washington** were the states which populated the count of healthcare data breaches.

Major security breaches in healthcare in the last few years that have resulted in the **exposure/theft** or due to **unauthorized access/disclosure of healthcare data records**. More than 41% of the population of the United States have had some of their protected health information exposed as a result of those breaches, which have been occurring at a rate of almost one a day over the past three years[1].

There has been a downward trend in the number of **theft/loss incidents** over the past three years as healthcare organizations have started encrypting records on portable electronic devices. However, improper disposal incidents have risen year over year as have hacking incidents. In **2017, hacking/IT incidents** were the main cause of healthcare data breaches[4] .

It seems that every day another hospital is in the news as the victim of a data breach. The routine is familiar - individuals receive notification by (e)mail of the breach due to **unauthorized access/disclosure, loss of information** to outsider sources, **hacking/IT Theft, improper disposal** of data. (One might wonder - Is there even anyone left who isn't being monitored?). The top 5 states in USA mentioned in the above explanation were determined on the basis of these types of breaches.

According to the Ponemon Institute and Verizon Data Breach Investigations Report[5], the health industry experiences more data breaches than any other sector. There may be

some potential for bias in this claim, due to the well-defined, legally mandated reporting requirements of the **Health Insurance Portability and Accountability Act (HIPAA)**, which makes it more likely healthcare breaches will be reported compared to breaches in other sectors. The HIPAA dataset has various covered entity types who electronically transmit health information in connection with any transaction for which HHS(HEALTH AND HUMAN SERVICES) has adopted a standard, out of which the top 3 covered entities were: **BUSINESS ASSOCIATE, HEALTH PLAN, HEALTHCARE PROVIDER**. All of these breaches occur at a certain technical location, the **top 5 locations** being: **Network server, Laptop, Email, Paper/Films and Desktop computer**.

The visual indicated that healthcare data records were breached highest while on the Network server, amongst all the 3 covered entity types, in particular, which was the leading factor behind several breaches where data records were disclosed because organizations didn't take proper action to secure their cloud-based assets. That was the case especially with instances where companies violated users' privacy.

As per the previous analysis on the location of the breach, when we further dive deep in these records being breached yearwise, we determine that the year **2014** and **2015**(represented as 2014-2015), recorded the highest number of healthcare data breaches, as high upto **93.72%** in the top 5 location as mentioned above. More healthcare security breaches are being reported than at any other time since HIPAA required covered entities to disclose data breaches, although the number of individuals affected by healthcare data breaches has been declining year-over year for the past three years, and the visual exactly represents this, stating a decline of healthcare data breaches, as low as **63.38%** in the year **2016** and **2017**.

Breaches are widely observed in the healthcare sector and can be caused by many different types of incidents, including credential-stealing malware, an insider who either purposefully or accidentally discloses patient data, or lost laptops or other devices. Personal Health Information (PHI) is more valuable on the black market than credit card credentials or regular Personally Identifiable Information (PII). Therefore, there is a higher incentive for cyber criminals to target medical databases, so they can sell the PHI or use it for their own personal gain [2].

Each of the healthcare data breaches that take place are recorded with a certain level of risk associated with it, which indicates how severe the breach was and how badly it affected the organization as well as the individuals associated to it. From our histogram visual we depicted that the highest number of breach records, that is **1,37,40,000**, were affected with a breach score of **51.0**, which is really high. As per the Breach Level Index report for the year 2018, from an industry perspective, **Healthcare** companies experienced the greatest amount of security events in the years 2017 and 2018. Medical organizations were at the top of the list, though the number of incidents occurred was higher.[6].

These trends regarding data breaches look grim, but experts are working on ways to stop these breaches. The health care industry is comparatively unprepared when it comes to data security. Confronting the problem involves not only understanding the threat, but being proactive with combating it, which means not only solving old problems but racing to protect against new ones. It seems as though not a day goes by without a headline screaming that some organisation has experienced a data breach, putting the business – and its customers and partners – at risk. To keep your own organisation out of the news, it's important to understand the most common causes of data breaches and what you can do to mitigate the threats they present[3].

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