#### **Node Functions:**

In the code, we used the ReLU (Rectified Linear Unit) activation function for the hidden layer with activation='relu'. ReLU is a common choice for hidden layers as it introduces non-linearity by outputting zero for negative values and the input for positive values.

#### **Data Normalization:**

In the code, the StandardScaler from scikit-learn is used to normalize the input features (citations) with  $X_n$  ormalized = scaler.fit\_transform(X).

#### **Output Interpretation:**

In this regression task, we are predicting a numeric value (2022 citations), so the output interpretation is straightforward. The model aims to predict the numeric value as close as possible to the actual 2022 citations.

The activation function for the output layer is set to linear, which means the output directly represents the predicted value.

## **Optimizer Choices:**

The optimizer is a crucial component of training neural networks. It determines how the model's weights are updated during training to minimize the chosen loss function.

In the code, we've chosen Stochastic Gradient Descent (SGD) as the optimizer with a learning rate of 0.01. This optimizer updates the weights based on the gradients of the loss with respect to the weights. We've also tested with Adam as the optimizer.

The loss function chosen for this regression task is Mean Absolute Error ('mean\_absolute\_error'), which is a common choice for regression problems.

Below are the output screenshots while trying different approaches to get the best results:

## Learning Rate as 0.001 - MAE = 374.7608

```
model.compile(optimizer=tf.keraS.optimizers.SGD(learning_rate=0.001), loss='mea
n_absolute_error', metrics=['mae'])

# Train the model
model.fit(X_train, y_train, epochs=100, batch_size=32, validation_data=(X_test, y_test))
```

```
Epoch 1/100
                                  ==] - 0s 65ms/step - loss: 655.3097 - mae: 655.3097 - val loss: 375.2338 - val mae: 375.2338
3/3 [===:
Epoch 2/100
                                   =] - 0s 22ms/step - loss: 655.3035 - mae: 655.3035 - val_loss: 375.2293 - val_mae: 375.2293
Epoch 3/100
                                      - 0s 24ms/step - loss: 655.2977 - mae: 655.2977 - val_loss: 375.2249 - val_mae: 375.2249
                                   =] - 0s 20ms/step - loss: 655.2920 - mae: 655.2920 - val_loss: 375.2204 - val_mae: 375.2204
Epoch 5/100
                                   =] - 0s 22ms/step - loss: 655.2863 - mae: 655.2863 - val_loss: 375.2159 - val_mae: 375.2159
                                   =] - 0s 23ms/step - loss: 655.2806 - mae: 655.2806 - val_loss: 375.2115 - val_mae: 375.2115
                                   =] - 0s 20ms/step - loss: 655.2751 - mae: 655.2751 - val_loss: 375.2067 - val_mae: 375.2067
Epoch 8/100
                                 :===] - 0s 20ms/step - loss: 655.2684 - mae: 655.2684 - val_loss: 375.2021 - val_mae: 375.2021
3/3 [===
Epoch 9/100
                                 ====] - Øs 19ms/step - loss: 655.2628 - mae: 655.2628 - val_loss: 375.1976 - val_mae: 375.1976
Epoch 10/100
                                  ==] - 0s 20ms/step - loss: 655.2572 - mae: 655.2572 - val_loss: 375.1931 - val_mae: 375.1931
3/3 [===:
Epoch 11/100
                             ======] - 0s 17ms/step - loss: 655.2513 - mae: 655.2513 - val_loss: 375.1886 - val_mae: 375.1886
3/3 [====
Epoch 12/100
                             ======] - 0s 20ms/step - loss: 655.2457 - mae: 655.2457 - val_loss: 375.1840 - val_mae: 375.1840
3/3 [====
Epoch 13/100
Epoch 100/100
                            =======] - 0s 20ms/step - loss: 654.6969 - mae: 654.6969 - val loss: 374.7609 - val mae: 374.7609
3/3 [====
                                  ==] - 0s 53ms/step
1/1 [==
Mean Absolute Error on the Test Data: 374.7608947753906
Output is truncated. View as a <u>scrollable element</u> or open in a <u>text editor</u>. Adjust cell output <u>settings</u>...
```

## Learning rate as 0.01- MAE = 372.2100

```
# Compile the model
model.compile(optimizer=tf.keras.optimizers.SGD(learning_rate=0.01), loss='mean_
absolute_error', metrics=['mae'])
# Train the model
model.fit(X_train, y_train, epochs=100, batch_size=32, validation_data=(X_test, y_test))
```

```
Epoch 1/100
3/3 [====
                               :====] - 0s 76ms/step - loss: 655.7902 - mae: 655.7902 - val_loss: 375.3941 - val_mae: 375.3941
Epoch 2/100
                                    =] - 0s 16ms/step - loss: 655.7517 - mae: 655.7517 - val_loss: 375.3592 - val_mae: 375.3592
3/3 [==
Epoch 3/100
                               =====] - 0s 16ms/step - loss: 655.7137 - mae: 655.7137 - val_loss: 375.3249 - val_mae: 375.3249
3/3 [===
Epoch 4/100
                                    e] - 0s 20ms/step - loss: 655.6766 - mae: 655.6766 - val loss: 375.2909 - val mae: 375.2909
3/3 [==
Epoch 5/100
3/3 [==:
                                  ==] - 0s 24ms/step - loss: 655.6403 - mae: 655.6403 - val_loss: 375.2573 - val_mae: 375.2573
Epoch 6/100
3/3 [==
                                   =] - 0s 24ms/step - loss: 655.6040 - mae: 655.6040 - val_loss: 375.2237 - val_mae: 375.2237
Epoch 7/100
                                   =] - 0s 20ms/step - loss: 655.5681 - mae: 655.5681 - val_loss: 375.1905 - val_mae: 375.1905
3/3 [==
Epoch 8/100
                                   =] - 0s 20ms/step - loss: 655.5319 - mae: 655.5319 - val_loss: 375.1567 - val_mae: 375.1567
3/3 [===
Epoch 9/100
                                  ==] - 0s 20ms/step - loss: 655.4957 - mae: 655.4957 - val_loss: 375.1237 - val_mae: 375.1237
3/3 [===:
Epoch 10/100
                                   =] - 0s 20ms/step - loss: 655.4605 - mae: 655.4605 - val_loss: 375.0910 - val_mae: 375.0910
3/3 [==
Epoch 11/100
                              ======] - 0s 20ms/step - loss: 655.4261 - mae: 655.4261 - val_loss: 375.0586 - val_mae: 375.0586
3/3 [===
Epoch 12/100
                                   =] - 0s 28ms/step - loss: 655.3917 - mae: 655.3917 - val loss: 375.0260 - val mae: 375.0260
3/3 [===
Epoch 13/100
Epoch 100/100
                                   =] - 0s 24ms/step - loss: 652.3836 - mae: 652.3836 - val_loss: 372.2100 - val_mae: 372.2100
3/3 [=
                        =========1 - 0s 56ms/step
Mean Absolute Error on the Test Data: 372.21002197265625
Output is truncated. View as a <u>scrollable element</u> or open in a <u>text editor</u>. Adjust cell output <u>settings</u>...
```

## **Learning Rate 0.1 - MAE = 50.66**

Till this point this is the best configuration as it has the minimum error value. Also this is better than HW3 approaches. The best value we got in HW3 was 66.2

```
# Compile the model
model.compile(optimizer=tf.keras.optimizers.SGD(learning_rate=0.1), loss='mean_a
bsolute_error', metrics=['mae'])

# Train the model
model.fit(X_train, y_train, epochs=100, batch_size=32, validation_data=(X_test, y_test))
```

```
Epoch 1/100
                               =====] - 1s 68ms/step - loss: 655.3660 - mae: 655.3660 - val_loss: 374.7382 - val_mae: 374.7382
3/3 [==
Epoch 2/100
3/3 [==
                                 ===] - 0s 24ms/step - loss: 654.8558 - mae: 654.8558 - val_loss: 374.1905 - val_mae: 374.1905
Epoch 3/100
3/3 [===:
                                ====] - 0s 20ms/step - loss: 654.2409 - mae: 654.2409 - val loss: 373.4483 - val mae: 373.4483
Epoch 4/100
                                 ===] - 0s 23ms/step - loss: 653.4294 - mae: 653.4294 - val_loss: 372.3853 - val_mae: 372.3853
3/3 [==:
Epoch 5/100
3/3 [==
                                 ===] - Os 21ms/step - loss: 652.2924 - mae: 652.2924 - val_loss: 370.8205 - val_mae: 370.8205
Epoch 6/100
                            =======] - 0s 21ms/step - loss: 650.6823 - mae: 650.6823 - val loss: 368.4176 - val mae: 368.4176
3/3 [==
Epoch 7/100
3/3 [==
                                 ===] - Os 21ms/step - loss: 648.1846 - mae: 648.1846 - val_loss: 364.5019 - val_mae: 364.5019
Epoch 8/100
                               ====] - 0s 20ms/step - loss: 644.1442 - mae: 644.1442 - val_loss: 358.3681 - val_mae: 358.3681
3/3 [==
Epoch 9/100
                                ====] - 0s 24ms/step - loss: 637.8605 - mae: 637.8605 - val_loss: 348.5956 - val_mae: 348.5956
3/3 [==
Epoch 10/100
3/3 [==
                                :===] - 0s 27ms/step - loss: 628.7740 - mae: 628.7740 - val_loss: 335.1234 - val_mae: 335.1234
Epoch 11/100
                               =====] - 0s 29ms/step - loss: 617.2496 - mae: 617.2496 - val_loss: 321.6957 - val_mae: 321.6957
3/3 [===
Epoch 12/100
                             ======] - 0s 20ms/step - loss: 602.6212 - mae: 602.6212 - val_loss: 304.9284 - val_mae: 304.9284
3/3 [==
Epoch 13/100
Epoch 100/100
                     =========] - 0s 17ms/step - loss: 70.8724 - mae: 70.8724 - val_loss: 50.6612 - val_mae: 50.6612
3/3 [======
1/1 [==
                            ======1 - 0s 48ms/step
Mean Absolute Error on the Test Data: 50.661170959472656
Output is truncated. View as a scrollable element or open in a text editor. Adjust cell output settings...
```

# Changing the optimizer to Adam and keeping the other configurations same as before MAE = 82.4599

```
# Compile the model
model.compile(optimizer=tf.keras.optimizers.Adam(learning_rate=0.1), loss='mean_
absolute_error', metrics=['mae'])

# Train the model
model.fit(X_train, y_train, epochs=100, batch_size=32, validation_data=(X_test, y_test))
```

```
Epoch 1/100
                              =====] - 1s 81ms/step - loss: 655.5745 - mae: 655.5745 - val loss: 374.7823 - val mae: 374.7823
3/3 [===
Epoch 2/100
3/3 [==
                                  ==] - 0s 20ms/step - loss: 654.7521 - mae: 654.7521 - val_loss: 374.0492 - val_mae: 374.0492
Epoch 3/100
3/3 [===
                                  ==] - 0s 16ms/step - loss: 653.8057 - mae: 653.8057 - val loss: 373.0440 - val mae: 373.0440
Epoch 4/100
3/3 [===
                                  ==] - Os 24ms/step - loss: 652.4332 - mae: 652.4332 - val_loss: 371.8514 - val_mae: 371.8514
Epoch 5/100
                                  ==] - 0s 21ms/step - loss: 650.7925 - mae: 650.7925 - val loss: 370.4333 - val mae: 370.4333
3/3 [=
Epoch 6/100
                                  ==] - 0s 23ms/step - loss: 648.5540 - mae: 648.5540 - val_loss: 368.6756 - val_mae: 368.6756
3/3 [==
Epoch 7/100
                                  =] - 0s 24ms/step - loss: 646.1489 - mae: 646.1489 - val loss: 366.6850 - val mae: 366.6850
3/3 [==
Epoch 8/100
                                 ==] - 0s 24ms/step - loss: 642.5319 - mae: 642.5319 - val_loss: 364.2446 - val_mae: 364.2446
3/3 [===
Epoch 9/100
3/3 [=
                                  ≔] - 0s 20ms/step - loss: 638.4163 - mae: 638.4163 - val_loss: 361.4142 - val_mae: 361.4142
Epoch 10/100
3/3 [===
                            ======] - 0s 16ms/step - loss: 633.4517 - mae: 633.4517 - val_loss: 358.2069 - val_mae: 358.2069
Epoch 11/100
3/3 [===
                                  ==] - 0s 21ms/step - loss: 628.2601 - mae: 628.2601 - val loss: 354.6049 - val mae: 354.6049
Epoch 12/100
                             ======] - 0s 24ms/step - loss: 622.1798 - mae: 622.1798 - val_loss: 350.5348 - val_mae: 350.5348
3/3 [=====
Epoch 13/100
Epoch 100/100
                                     - 0s 24ms/step - loss: 94.9666 - mae: 94.9666 - val_loss: 82.4600 - val_mae: 82.4600
                                     - 0s 40ms/step
1/1 [=
Mean Absolute Error on the Test Data: 82.45997619628906
```

Changing optimizer back to SGD as Adam optimizer didn't give better results than SGD. Changing epoch value to 1000- MAE = 59.2657

```
# Compile the model
model.compile(optimizer=tf.keras.optimizers.SGD(learning_rate=0.1), loss='mean_a
bsolute_error', metrics=['mae'])

# Train the model
model.fit(X_train, y_train, epochs=1000, batch_size=32, validation_data=(X_test,
y_test))
```

```
Epoch 1/1000
3/3 [===
                               =====] - 0s 82ms/step - loss: 655.7281 - mae: 655.7281 - val_loss: 374.9934 - val_mae: 374.9934
Epoch 2/1000
                                   ==] - 0s 29ms/step - loss: 655.1717 - mae: 655.1717 - val loss: 374.4603 - val mae: 374.4603
3/3 [==
Epoch 3/1000
                                  ==] - 0s 61ms/step - loss: 654.6402 - mae: 654.6402 - val_loss: 373.8889 - val_mae: 373.8889
3/3 [===
Epoch 4/1000
                                 ===] - 0s 25ms/step - loss: 654.0497 - mae: 654.0497 - val_loss: 373.1460 - val_mae: 373.1460
3/3 [=====
Epoch 5/1000
                                   =] - 0s 29ms/step - loss: 653.2870 - mae: 653.2870 - val_loss: 372.1059 - val_mae: 372.1059
3/3 [==
Epoch 6/1000
                              :======] - 0s 20ms/step - loss: 652.2032 - mae: 652.2032 - val_loss: 370.5202 - val_mae: 370.5202
3/3 [====
Epoch 7/1000
3/3 [===
                                  ==] - 0s 16ms/step - loss: 650.5985 - mae: 650.5985 - val_loss: 368.0124 - val_mae: 368.0124
Epoch 8/1000
                                  :==] - 0s 19ms/step - loss: 648.1227 - mae: 648.1227 - val_loss: 364.0886 - val_mae: 364.0886
3/3 [===
Epoch 9/1000
                                  ==] - 0s 20ms/step - loss: 644.2202 - mae: 644.2202 - val loss: 357.8642 - val mae: 357.8642
3/3 [=
Epoch 10/1000
3/3 [==
                                  ==] - 0s 25ms/step - loss: 637.9470 - mae: 637.9470 - val_loss: 347.3245 - val_mae: 347.3245
Epoch 11/1000
                                  ==] - 0s 20ms/step - loss: 627.7758 - mae: 627.7758 - val_loss: 331.8119 - val_mae: 331.8119
3/3 [==
Epoch 12/1000
                                   =] - 0s 22ms/step - loss: 614.6639 - mae: 614.6639 - val_loss: 315.5286 - val_mae: 315.5286
3/3 [===:
Epoch 13/1000
Epoch 1000/1000
                                  ==] - 0s 20ms/step - loss: 62.9983 - mae: 62.9983 - val_loss: 59.2658 - val_mae: 59.2658
3/3 [=====
1/1 [==
                                  ===] - 0s 40ms/step
Mean Absolute Error on the Test Data: 59.26579666137695
Output is truncated. View as a scrollable element or open in a text editor. Adjust cell output settings.
```

#### Best case:

Changing learning rate to 0.01 with epoch as 1000 and SGD optimizer.

 $M\Delta F = 41.4748$ 

This is the best result we got among all the configurations.

```
# Compile the model
model.compile(optimizer=tf.keras.optimizers.SGD(learning_rate=0.01), loss='mean_
absolute_error', metrics=['mae'])
# Train the model
model.fit(X_train, y_train, epochs=1000, batch_size=32, validation_data=(X_test, y_test))
```

```
Epoch 1/1000
                                   =] - 0s 76ms/step - loss: 654.6774 - mae: 654.6774 - val_loss: 374.7103 - val_mae: 374.7103
3/3 [==
Epoch 2/1000
                                   =] - 0s 20ms/step - loss: 654.6100 - mae: 654.6100 - val_loss: 374.6586 - val_mae: 374.6586
3/3 [=
Epoch 3/1000
3/3 [==
                                   =] - 0s 20ms/step - loss: 654.5422 - mae: 654.5422 - val_loss: 374.6062 - val_mae: 374.6062
Epoch 4/1000
                                   =| - 0s 16ms/step - loss: 654.4731 - mae: 654.4731 - val loss: 374.5522 - val mae: 374.5522
3/3 [=:
Epoch 5/1000
3/3 [==
                                   ==] - 0s 22ms/step - loss: 654.4009 - mae: 654.4009 - val_loss: 374.4981 - val_mae: 374.4981
Epoch 6/1000
3/3 [==
                                   ==] - 0s 29ms/step - loss: 654.3297 - mae: 654.3297 - val_loss: 374.4421 - val_mae: 374.4421
Epoch 7/1000
                                   =] - 0s 25ms/step - loss: 654.2526 - mae: 654.2526 - val loss: 374.3852 - val mae: 374.3852
3/3 [=
Epoch 8/1000
                                      - 0s 16ms/step - loss: 654.1753 - mae: 654.1753 - val_loss: 374.3269 - val_mae: 374.3269
3/3 [==
Epoch 9/1000
                                   ==] - 0s 24ms/step - loss: 654.0985 - mae: 654.0985 - val loss: 374.2681 - val mae: 374.2681
3/3 [==
Epoch 10/1000
                                      - 0s 24ms/step - loss: 654.0176 - mae: 654.0176 - val_loss: 374.2070 - val_mae: 374.2070
3/3 [===
Epoch 11/1000
                                   ==] - Os 21ms/step - loss: 653.9351 - mae: 653.9351 - val_loss: 374.1451 - val_mae: 374.1451
3/3 [====
Epoch 12/1000
                                  ==] - 0s 24ms/step - loss: 653.8521 - mae: 653.8521 - val_loss: 374.0808 - val_mae: 374.0808
3/3 [==
Epoch 13/1000
Epoch 1000/1000
                                   =] - 0s 24ms/step - loss: 50.8248 - mae: 50.8248 - val_loss: 41.4749 - val_mae: 41.4749
1/1 [==:
                            =======] - 0s 48ms/step
Mean Absolute Error on the Test Data: 41.474884033203125
Output is truncated. View as a scrollable element or open in a text editor. Adjust cell output settings...
```

#### **Comparison:**

#### **HW3 Result:**

```
Average Difference Magnitude for Nearest Neighbour method: 66.2

Average Difference Magnitude for Nearest Centroid method: 167.8077677224736

Average Difference Magnitude for Average Cluster method: 191.3357142857143
```

## **Neural Network Result:**

```
Mean Absolute Error on the Test Data: 59.26579666137695

Mean Absolute Error on the Test Data: 41.80929183959961
```

The accuracy of the neural network is higher than the accuracy of the nearest neighbor and average cluster methods. The neural network model outperforms the nearest neighbor and average cluster methods on this problem. This is likely because the neural network can learn complex relationships between the input features and the output target.

Hence, neural network model is a good choice for predicting 2022 citations based on all the 2017-2021 citations. It is able to achieve high accuracy and outperforms other simple methods, such as nearest neighbor and average cluster.

#### References:

github.com/keras-team/keras/issues/12062 https://www.tensorflow.org/api\_docs/python/tf/keras/Sequential