POLITECNICO DI TORINO 01URRSM

Computational Intelligence Final Report

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1 Introduction

Though I'm an Erasmus student, I had a great time taking this course and have learnt a lot about problem solving algorithms, game theory and reinforcement learning. Above all, I not only learnt from professors, but also from peers that are a lot older than me, and peer reviews really helped.

This report details my activities throughout the semester, and is a testament to my time in Turin.

2 Lab 1

2.1 Solution

Lab 1 concerned the combinatorial optimisation of the set cover problem, which is NP-hard. The problem is to find a minimum set of subsets of a given set of subsets such that all elements of the given set are covered. Since a solution cannot be found in polynomial time, any implemented solution is guaranteed to be suboptimal. For this lab, the problem is tackled through a collection of search algorithms:

- 1. Naive Greedy
- 2. Greedy with a better cost function
- 3. A* Traversal Using a Priority Queue
- 4. A* Traversal Using a Fully Connected Graph

2.1.1 Naive Greedy

```
def naive_greedy(N):
       goal = set(range(N))
       covered = set()
       solution = list()
4
       all_lists = sorted(problem(N, seed=42), key=lambda 1: len(1))
       while goal != covered:
           x = all_lists.pop(0)
           if not set(x) < covered:</pre>
               solution.append(x)
               covered |= set(x)
10
11
       print(
12
           f"Naive greedy solution for N={N}: w={sum(len(_) for _ in solution)}
13
              (bloat={(sum(len(_) for _ in solution)-N)/N*100:.0f}%)"
       )
```

The greedy algorithm essentially traverses through a sorted list of subsets and keeps adding the subset to the solution set if it covers any new elements. The algorithm is very naive as it does not take into account the number of new elements.

2.1.2 Greedy with basic heuristic approximation

This version of the greedy algorithm takes the subset with the lowest heuristic f where S_e is the expected solution (containing all the unique elements) and n_i is

the current subset:

$$f_i = 1/|n_i - S_e|$$

In real-life scenarios, the cost depends on the relative price of visiting a node/-choosing an option. Since we consider all options to be arbitrarily priced, we use a constant cost of 1.

```
1 def set_covering_problem_greedy(N, subsets, costs):
    cost = 0
    visited_nodes = 0
    already_discovered = set()
    final_solution = []
    expected_solution = set(list(itertools.chain(*subsets)))
    covered = set()
    while covered != expected_solution:
        subset = min(subsets, key=lambda s: costs[subsets.index(s)] /
        \rightarrow (len(set(s)-covered) + 1))
       final_solution.append(subset)
        cost += costs[subsets.index(subset)]
11
        visited_nodes = visited_nodes+1
12
        covered |= set(subset)
13
    print("NUMBER OF VISITED NODES: ", visited_nodes)
14
    print("w: ", sum(len(_) for _ in final_solution))
        f"Naive greedy solution for N={N}: w={sum(len(_) for _ in final_solution)}
17
        )
18
    print(
19
        f"My solution for N={N}: w={sum(len(_) for _ in final_solution)}
        21
    return final_solution, cost
22
23
    for n in [5, 10, 50, 100, 500, 1000]:
24
      subsets = problem(n, seed=SEED)
      set_covering_problem_greedy(n, subsets, [1]*len(subsets))
```

2.1.3 A* Search Using a Priority Queue

The A* algorithm requires a monotonic heuristic function that symbolises the remaining distance between the current state and the goal state. In the case of the set cover problem, the heuristic function is the number of elements that are not covered by the current solution set, such that finding all unique elements symbolises reaching the goal state. The algorithm is implemented using a priority queue.

The implemented algorithm can be surmised as pseudocode below:

- 1. Add the start node to the priority queue
- 2. While the state is not None, cycle through the subsets and compute the cost of adding this subset to the final list.
- 3. If the cost has not been stored yet and the new state is not in the queue, update the parent of each state. If travelling in this route produces a cheaper cost, update the cost of the node and its parent.
- 4. Finally, compute the path we travelled through.

```
from typing import Callable
     from helpers import State, PriorityQueue
     import numpy as np
4
     class AStarSearch:
5
         def __init__(self, N, seed=42):
             # N is the number of elements to expect
             self.N = N
             self.seed = seed
10
         def add_to_state(self, st, subset):
11
12
             Unnecessary function to add a subset to a state because we are using
13
       the State class instead of a normal np.array
14
             state_list = st.copy_data().tolist()
15
             state_list.append(subset)
16
             return State(np.asarray(state_list, dtype=object))
17
         def are_we_done(self, state):
20
             Check if we have reached the goal state (such that all elements are
21
       covered in range(N))
22
             flattened_list = self.flatten_list(state.copy_data().tolist())
23
             for i in range(self.N):
                 if i not in flattened_list:
25
                     return False
26
             # print("We are done")
27
             return True
28
         def flatten_list(self, 1):
31
             Utility function to flatten a list of lists using itertools
32
33
             return list(itertools.chain.from_iterable(1))
34
         def h(self, state):
```

```
37
              Heuristic Function h(n) = number of undiscovered elements
38
39
             num_undiscovered_elements = len(set(range(self.N)) -
40

→ set(self.flatten_list(state.copy_data().tolist())))
             return num_undiscovered_elements
41
42
         def astar_search(
43
             self.
44
             initial_state: State,
45
             subsets: list,
             parents: dict,
             cost_of_each_state: dict,
48
             priority_function: Callable,
49
             unit_cost: Callable,
50
         ):
             frontier = PriorityQueue()
             parents.clear()
53
             cost_of_each_state.clear()
54
55
             visited_nodes = 1
56
             state = initial_state
57
             parents[state] = None
             cost_of_each_state[state] = 0
              # to find length at the end without needed to flatten the state
60
             discovered_elements = []
61
62
             while state is not None and not self.are_we_done(state):
63
                  for subset in subsets:
                      # if this list has already been collected, skip
65
                      if subset in state.copy_data():
66
                           # print("Already in")
67
                           continue
68
                      new_state = self.add_to_state(state, subset)
                      state_cost = unit_cost(subset)
70
                      # if new_state not in cost_of_each_state or
71
                       \rightarrow cost\_of\_each\_state[new\_state] > cost\_of\_each\_state[state] +
                       \rightarrow state_cost:
                      if new_state not in cost_of_each_state and new_state not in
72
                       \hookrightarrow frontier:
                          parents[new_state] = state
73
                           cost_of_each_state[new_state] = cost_of_each_state[state] +
74
                           \rightarrow state_cost
                           frontier.push(new_state, p=priority_function(new_state))
75
                      elif new_state in frontier and cost_of_each_state[new_state] >
76

    cost_of_each_state[state] + state_cost:

                          parents[new_state] = state
77
                           cost_of_each_state[new_state] = cost_of_each_state[state] +
78
                           \hookrightarrow state_cost
                  if frontier:
79
```

```
state = frontier.pop()
80
                      visited_nodes += 1
81
                  else:
82
                      state = None
83
              path = list()
              s = state
86
87
              while s:
88
                  path.append(s.copy_data())
89
                  s = parents[s]
              print(f"Length of final list: {len(self.flatten_list(path[0]))}")
92
              print(f"Found a solution in {len(path):,} steps; visited
93
                  {len(cost_of_each_state):,} states")
              print(f"Visited {visited_nodes} nodes")
              print(
                  f"My solution for N={self.N}: w={sum(len(_) for _ in path[0])}
                      (bloat={(sum(len(_) for _ in
                      path[0])-self.N)/self.N*100:.0f}%)"
              )
97
              return list(reversed(path))
98
         def search(self, constant_cost=False):
100
              GOAL = State(np.array(range(self.N)))
101
              subsets = problem(self.N, seed=self.seed)
102
              initial_state = State(np.array([subsets[0]]))
103
104
              parents = dict()
              cost_of_each_state = dict()
106
107
              self.astar_search(
108
                  initial_state = initial_state,
109
                  subsets = subsets,
                  parents = parents,
111
                  cost_of_each_state = cost_of_each_state,
112
                  priority_function = lambda state: cost_of_each_state[state] +
113

    self.h(state),

                  unit_cost = lambda subset: 1 if constant_cost else len(subset)
114
              )
115
```

The unit cost during search can either be set to a constant of 1 or the length of chosen subsets. The latter is employed as it helps the algorithm focus on finding all the elements with minimal overhead (redundant elements).

2.1.4 A* Search with Fully Connected Graph (Failed Idea)

An initial idea I had was to build a fully connected graph where each subset is in it's own node, and run an A* star search to traverse it and find a shortest path.

For several logical and overhead reasons, this idea produced poor results and large bloats for big Ns.

Given A = [2, 4, 5], B = [2, 3, 1] and C = [1, 2],



Figure 1: Fully connected graph

The heuristic function is slightly different:

$$h_i = len(s_i) - len(s_i \cap S_e)$$

where s_i is the current subset and S_e is the expected solution. It takes into account both the length of the new subset (to minimise final weight) and the number of undiscovered elements that it can contribute.

We can also immediately return a very large heuristic value such as 100 in the case of duplicating elements in the subset or in any situation where we want a certain node to be immediately skipped.

```
class AStarSearchFullyConnectedGraph:
       def __init__(self, adjacency_list, list_values, N):
           self.adjacency_list = adjacency_list
           self.list_values = list_values
           H = \{\}
           for key in list_values:
               # heuristic value is length of list
               H[key] = len(list_values[key])
           self.H = H
           # holds the lists of each visited node
10
           self.final_list = []
11
           # N is the count of elements that should be in the final list
12
           self.N = N
13
           self.discovered_elements = set()
```

```
def flatten_list(self, _list):
16
           return list(itertools.chain.from_iterable(_list))
17
18
       def get_neighbors(self, v):
19
           return self.adjacency_list[v]
21
       def get_number_of_elements_not_in_second_list(self, list1, list2):
22
           count = 0
23
           # flattened_list = self.flatten_list(list2)
24
           for i in set(list1):
25
               # print("i: ", i)
               if i not in list2:
                    count += 1
28
           # if count > 1:
29
                  print("count: ", count)
30
           return len(set(list1) - set(list2))
31
       # f(n) = h(n) + g(n)
33
34
       def h(self, n):
35
           num_new_elements =
36

→ self.get_number_of_elements_not_in_second_list(self.list_values[n],
            → self.discovered_elements)
           # if self.list_values[n] in self.final_list:
37
                 return 1000
38
           return num_new_elements
39
           # return self.H[n] / (num_new_elements + 1)
40
41
       def get_node_with_least_h(self):
42
           min_h = float("inf")
43
           min_node = None
44
           for node in self.adjacency_list:
45
               if self.h(node) < min_h:</pre>
46
                   min_h = self.h(node)
                   min_node = node
48
           return min_node
49
50
       def get_node_with_least_h_and_not_in_final_list(self):
51
           min_h = float("inf")
52
           min_node = None
           for node in self.adjacency_list:
               if self.h(node) < min_h and node not in self.final_list:</pre>
55
                   min h = self.h(node)
56
                   min_node = node
57
           return min_node
       # visited_node = [1, 2, 3]
60
       # final_list = [[4, 5], [1]]
61
       def are_we_done(self):
62
           # flattened_list = list(itertools.chain.from_iterable(self.final_list))
63
```

```
for i in range(self.N):
64
                if i not in self.discovered_elements:
65
                    return False
66
            print("We are done")
67
            return True
69
       def insert_unique_element_into_list(self, _list, element):
70
            if element not in _list:
71
                _list.append(element)
72
            return _list
73
       def a_star_algorithm(self):
            # start_node is node with lowest cost
76
            start_node = self.get_node_with_least_h()
77
78
            open_list = [start_node]
            closed_list = []
81
            g = \{\}
82
83
            g[start_node] = 0
84
85
            parents = {}
            parents[start_node] = start_node
88
            while len(open_list) > 0:
89
                n = None
90
                # find a node with the highest value of f() - evaluation function
                for v in open_list:
93
                    if n == None \ or \ g[v] + self.h(v) > g[n] + self.h(n):
94
                         n = v;
95
96
                if n == None:
                    print('Path does not exist!')
98
                    return None
99
100
                print(f"Visiting node: {n}")
101
                self.final_list.append(self.list_values[n])
102
                # self.discovered_elements.union(self.list_values[n])
103
                # add list_values[n] to discovered_elements
                for i in self.list_values[n]:
105
                    self.discovered_elements.add(i)
106
                print(len(self.discovered_elements))
107
108
                # if the current node is the stop_node
                # then we begin reconstructin the path from it to the start_node
110
                if self.are_we_done():
111
                    reconst_path = []
112
113
```

```
while parents[n] != n:
114
                        reconst_path.append(n)
115
                        n = parents[n]
116
117
                   reconst_path.append(start_node)
119
                   reconst_path.reverse()
120
121
                   print(f"Number of elements in final list:
122
                    print('Path found: {}'.format(reconst_path))
123
                   print(
124
                        f"My solution for N={N}: w={sum(len(_) for _ in
125

    self.final_list)} (bloat={(sum(len(_) for _ in

    self.final_list)-N)/N*100:.0f}%)"
126
                   return reconst_path
128
                # for all neighbors of the current node do
129
               for (m, weight) in self.get_neighbors(n):
130
                   values = self.list_values[m]
131
                    if m not in open_list and m not in closed_list:
132
                        # open_list.add(m)
                        open_list = self.insert_unique_element_into_list(open_list,
134
                        # sort open_list by self.h
135
                        open_list = sorted(open_list, key=self.h)
136
                        parents[m] = n
137
                        g[m] = g[n] + weight
139
                   else:
140
                        if g[m] + self.h(m) > g[n] + self.h(n) + weight:
141
                            g[m] = g[n] + weight
142
                            parents[m] = n
144
                            # if m in closed_list:
145
                                  closed_list.remove(m)
146
                                  # open_list.add(m)
147
                            #
                                  open_list =
148
                               self.insert_unique_element_into_list(open_list, m)
                                  open_list = sorted(open_list, key=self.h)
149
150
151
               open_list.remove(n)
152
153
               open_list = sorted(open_list, key=self.h)
               closed_list = self.insert_unique_element_into_list(closed_list, n)
155
           print('Path does not exist!')
156
           return None
157
```

2.2 Results

2.3 Received Reviews

Diego Mangasco

REVIEW BY DIEGO GASCO (DIEGOMANGASCO) SET COVERING (GREEDY): I appreciated a lot the comparison between the professor's Naive greedy approach and your greedy approach! The idea to implement a sort of priority function to choose the best set to add to the solution is nice (a kind of cherry picking). I think you decided to take the set with lowest "f" because you want to keep low the total weight as you can. What if you merge this idea with the number of new elements that the new set can bring to your solution? You can try to find a sort of trade-off between having a new small set and having a new useful one!

SET COVERING (A* TRAVERSAL USING PRIORITY QUEUE): In my implementation I basically used the same approach in developing my A* algorithm! Like you, I decided to implement my heuristics as the number of undiscovered elements, and I took as cost, the length of the new set added in the solution. I also noticed that, with cost sets as unit and not as the length of the new set, the process is much faster, but the solution that we reached is not optimal, so I decided to keep the length as cost.

The only small difference with my implementation is the use of the data structures. To don't have to deal with list manipulation, I preferred to focused my structures in a more set-oriented way. But never mind, these are just personal preferences!

SET COVERING (A* TRAVERSAL USING A FULLY CONNECTED GRAPH) Unfortunately I couldn't try this implementation of A*, because I didn't understand the data structure "adjacency list" and there isn't a block that starts this piece of code like for the previous solutions Reading your explanation about the algorithm idea, I can say that this approach can be useful with a solution space that is not huge, but can become computationally expansive with large N (due to the connections you might have to manage). But anyway with small/medium N it can be helpful in reducing the time of the classical A*.

Ramin

The code is written in a clear way and it's easy to understand. The code style is clear and the code is well organized in classes. The fact that you tried to implement a sort of priority function to choose the best set to add to the solution is nice and smart. Also you decided to implement your heuristics as the number of elements that have not been found yet, which is also a great idea. My only question is that , what is the best way to estimate the weight, considering the new items?

Arman

Hi Sid,

here is my review:

The algorithm you tried as an augmented greedy solution is finding good solutions for small Ns, e.g. 29 for N=20 which is close to the exact solution. (you forgot to put N=20 in the solutions as well, it's good to add it as you are using this as your baseline). The function which it uses for cost is actually a kind of heuristic used in a greedy context. It is an interesting use case. for large Ns, It does not improve the solution, although meaningfully reduces the number of visited nodes. It's a kind of behaviour we observe when using heuristics in other search algorithms as well.

for A* search, your code is pretty clean and organised specially implementing in a class which makes it reusable. the heuristic is reasonable and simple. comparing length as cost and unit cost is useful to see the difference. My experience was that not using cost and not keeping parents did not made much difference in this specific problem and it makes code much smaller and faster.

The fact that you used the itertools methods has made your code cleaner and more elegant. It is better to implement loops, e.g. in are_we_done() using comprehension, using inner loops in separate line will affect the speed significantly.

Using a fully connected graph is interesting experiment, I will follow.

Bests

2.4 Given Reviews

2.4.1 Shayan

Shayan's code

```
import random
import logging
3 logging.getLogger().setLevel(logging.INFO)
 def custom_search(N, seed):
      goal = set(range(N))
      covered = set()
      solution = list()
      all_lists = problem(N, seed=42)
      random.seed(seed)
      random.shuffle(all_lists) #shuffle list to pop random
11
      while goal != covered: #while set of covered nums is not equal to goal
12
          x = all_lists.pop(0) #pick a list from all_lists
13
          if not set(x) < covered: #if set of picked list is not a subset of
14
             covered
              solution.append(x) #append it to the solution
15
              covered |= set(x) #covered gets updated and becomes a union of
              → covered plus picked set
17
18
      logging.info(
          f"custom search solution for N={N}: w={sum(len(_) for _ in solution)}
          22 logging.getLogger().setLevel(logging.DEBUG)
  for N in [5, 10, 20, 100, 500, 1000]:
      custom_search(N, 99)
```

Hi Shayan,

I had a look at your code and had a few thoughts:

- 1. You seem to be using a completely random approach to solving the problem, making a random, uninformed choice at each iteration of the loop. When running the algorithm with different random seeds, a different bloat factor and w are produced. The gist is that picking subsets randomly neither guarantees a heuristically optimal solution nor is the runtime optimised.
- 2. One suggestion to make informed decisions when choosing subsets is to sort the list by undiscovered elements / length of the list / other factors that affect the efficiency of the solution. This would still be a greedy, heuristically approximate solution that could improve both performance and runtime. Furthermore, you could consider traversing the list through more powerful search algorithms such as Djikstra or A-Star.

2. (Miscellaneous) While the results are in the notebook, perhaps you can add them to the markdown file to compare it with other algorithms in the future.

Thank you! If there are any other details I can add, please do let me know.

2.4.2 Arman

Arman's code

```
import enum
       from itertools import count
       import logging
       import random
       from gx_utils import *
       from heapq import heappush
       from typing import Callable
       import statistics
       # import queues
10
       logging.basicConfig(format="%(message)s", level=logging.INFO)
11
12
       N = 1000
       NUMBERS = {x for x in range(N)}
16
       def problem(N, seed=None):
17
           random.seed(seed)
18
           return [
               list(set(random.randint(0, N - 1) for n in range(random.randint(N //
                \rightarrow 5, N // 2))))
               for n in range(random.randint(N, N * 5))
21
           ]
22
       class State:
           def __init__(self, list_numbers:set):
25
               self.lists_ = list_numbers.copy()
26
           def add(self,item):
27
               self.lists_.add(item)
28
               return self
29
           def __hash__(self):
               #return hash(bytes(self.lists_))
               return hash(str(self.lists_))
32
           def __eq__(self, other):
33
               #return bytes(self.lists_) == bytes(other.lists_)
34
               return str(self.lists_) == str(other.lists_)
35
           def __lt__(self, other):
                #return bytes(self.lists_) < bytes(other.lists_)</pre>
37
               return str(self.lists_) < str(other.lists_)</pre>
38
           def __str__(self):
39
               return str(self.lists_)
40
```

```
def __repr__(self):
41
               return repr(self.lists_)
42
           def copy_data(self):
43
               return self.lists_.copy()
44
           def get_weight(self,ref_lists):
               return len([x for n in self.lists_ for x in ref_lists[n]])
           def get_items(self,ref_lists):
47
               return set([x for n in self.lists_ for x in ref_lists[n]])
48
49
50
      def goal_test(current_state:State,ref_lists):
           """get all the members of the lists in the current_state and check if it
           53
           current_numbers = {x for n in current_state.lists_ for x in ref_lists[n]}
54
           return current_numbers == NUMBERS
      def valid_actions(current_state:State,ref_lists):
57
           """returns set of indexes not currently added to this state"""
58
           return {indx for indx,_ in enumerate(ref_lists) if indx not in
59

    current_state.lists_}

60
      def result(current_state,action):
61
           next_state=State(current_state.copy_data()).add(action)
           return next_state
63
64
       def search(initial_state:State, ref_lists,priority_function:Callable):
65
           frontier = PriorityQueue()
66
           state = initial_state
           state_count = 0
68
           while state is not None and not goal_test(state,ref_lists):
69
               for a in valid_actions(state,ref_lists):
70
                   new_state = result(state,a)
71
                   if new_state not in frontier:
                       frontier.push(new_state,p=priority_function(new_state))
73
                   elif new_state in frontier:
                       pass
75
               if frontier:
76
                   state = frontier.pop()
77
                   state_count+=1
               else:
                   state = None
80
81
           logging.info(f"Found a solution with cost: {state.get_weight(ref_lists)}
82
           → and {state_count} number of visited states, last state: {state}")
      def heuristic(state:State,ref_lists,N):
84
           remained = NUMBERS - state.get_items(ref_lists)
85
           return len(remained) + random.randint(0,len(remained)//2)
86
87
```

```
88
       if __name__ == "__main__":
89
           ref_lists = problem(N,seed=42)
90
           #print(ref_lists)
91
           initial_state = State(set())
           # #Breath_first
94
            # search(initial_state, ref_lists, priority_function=lambda state:
95
               state.get_weight(ref_lists))
96
           # #Depth_first
           # search(initial_state, ref_lists,priority_function=lambda state:
               -state.get_weight(ref_lists))
99
           # #Heuristic
100
           search(initial_state, ref_lists,priority_function=lambda state:
               heuristic(state,ref_lists, N))
```

Hi Arman,

Here are my observations with regard to your solution for Lab 1:

- 1. The priority queue is a suitable choice to store and select subsets in each iteration of your loop. All 4 traversal algorithms are compared by editing the priority function, and similar to mine, A-star performed best.
- 2. Your heuristic function is particularly interesting because it combines the "potential new elements" with a random number.

```
def heuristic(state:State,ref_lists,N):
    remained = NUMBERS - state.get_items(ref_lists)
    return len(remained) + random.randint(0,len(remained)//2)
```

There also wasn't an explanation in the Readme, so I'm very curious as to the reason behind this heuristic. I ran your code with and without this random component and found that using it improves performance for larger values of N such as N=100 or N=500, but not so for smaller values like N=20. If you could add an explanation to your Readme about the heuristic, I would be very interested to read it.

- 3. Your algorithm does not hit a bottleneck for values of N > 50, in which case most people's code "exploded". Therefore, any solution, though not necessarily optimal, is reached.
- 4. One suggestion I have is to experiment with other heuristic functions, such as those that consider both the number of attainable new elements and the length of the incoming subset.

3 Lab 2

3.1 Solution

In this lab, we will take a GA approach to solving the set-covering problem. As a background, let's assume we have 500 potential lists that should form a complete subset.

The final product should be a list of 0s and 1s that indicate which lists should be included in the final set. We use a genetic approach to obtain this list via:

- 1. Mutation: randomly change a 0 to a 1 or vice versa
- 2. Crossover: randomly select a point in the list and swap the values after that point

3.1.1 Representing the problem

We will represent the problem as a list of 0s and 1s. The length of the list will be the number of lists we have. The 0s and 1s will indicate whether or not the list should be included in the final set.

The objective of the algorithm is to find an optimal (or at least as optimal as possible) set of 0s and 1s that will cover all the elements in the list.

3.1.2 Assessing Fitness

Based on knowledge obtained in previous labs, the heuristic function evolved and these were the factors I considered:

- 1. Potential duplicates
- 2. Undiscovered elements
- 3. Length of subset

The following equations were formulated for fitness assessment:

$$len(distinct_elements)$$
 (1)

$$len(distinct_elements)/(num_duplicates + 1)$$
 (2)

 $len(distinct_elements)/(num_duplicates+1) - num_undiscovered_elements \eqno(3)$

N	W
5	
10	10
20	24
50	100
100	197
500	1639
1000	3624

Table 1: Results of the algorithm

$$len(distinct_elements)/(num_undiscovered_elements + 1)$$
 (4)

After multiple trials, the best fitness function is the simplest, which is simply the number of distinct elements.

3.2 Results

The following are the results of the algorithm after 1000 generations (only the best results are reported):

With larger values of N, a smaller population and offspring size is sufficient. Early stopping is used to detect the plateau, so the algorithm doesn't run endlessly. However, the minima is often reached in less than 100 generations.

3.2.1 The Case of Mutations

Plateau Detection and Dynamic Change of Mutation Rate Based on the rate of change of the fitness, the mutation rate (number of elements in genome to mutate) is adjusted.

```
1 def choose_mutation_rate(fitness_log):
     # choose mutation rate based on change in fitness_log
     if len(fitness_log) == 0:
         return 0.2
     if len(fitness_log) < 3:</pre>
         considered_elements = len(fitness_log)
     else:
         considered_elements = 3
     growth_rate = np.mean(np.diff(fitness_log[-considered_elements:]))
     if growth_rate <= 0:</pre>
10
         return 0.4
11
     elif growth_rate < 0.5:
12
         return 0.3
     elif growth_rate < 1:</pre>
         return 0.01
```

3.3 Mutation Functions

3.3.1 Flip Mutation

```
def flip_mutation(genome, mutate_only_one_element=False):
2
      Flips random bit(s) in the genome.
3
       Parameters:
      mutate_only_one_element: If True, only one bit is flipped.
      modified_genome = genome.copy()
      if mutate_only_one_element:
           # flip a random bit
           index = random.randint(0, len(modified_genome) - 1)
10
           modified_genome[index] = 1 - modified_genome[index]
      else:
           # flip a random number of bits
13
           num_to_flip = choose_mutation_rate(fitness_log) * len(modified_genome)
14
           to_flip = random.sample(range(len(modified_genome)), int(num_to_flip))
15
           # to_flip = random.sample(range(len(modified_genome)), random.randint(0,
16
           → len(modified_genome)))
           modified_genome = [1 - modified_genome[i] if i in to_flip else
           → modified_genome[i] for i in range(len(modified_genome))]
18
       # mutate only if it brings some benefit to the weight
19
       # if calculate_weight(modified_genome) < calculate_weight(genome):</pre>
20
            return modified_genome
22
      return return_best_genome(modified_genome, genome)
23
```

3.3.2 Scramble Mutation

3.3.3 Swap Mutation

3.3.4 Inversion Mutation

3.4 Full Code

```
import numpy as np
import itertools
  def calculate_fitness(genome):
4
       Calculates the fitness of the given genome.
       The fitness is the number of unique elements
       The weight is the total number of elements in the genome
       # fitness is number of distinct elements in genome
10
      all_elements = []
11
      distinct_elements = set()
      weight = 0
      for subset, gene in zip(prob, genome):
14
           # if the particular element should be taken
15
           if gene == 1:
16
               distinct_elements.update(subset)
17
               weight += len(subset)
               all_elements += subset
      num_duplicates = len(all_elements) - len(set(all_elements))
20
      num_undiscovered_elements = len(set(range(N)) - distinct_elements)
21
       # print(set(range(N)) - distinct_elements)
22
       # print("num_undiscovered_elements", num_undiscovered_elements)
23
       # return num_undiscovered_elements, -weight
       # return len(distinct_elements), -weight
       # return num_undiscovered_elements / (len(distinct_elements) + 1), -weight
26
      return len(distinct_elements) / (num_undiscovered_elements + 1), -weight
27
       # other potential fitness functions:
28
       # return len(distinct_elements) / (num_duplicates + 1)
29
       # return len(distinct_elements) / (num_duplicates + 1) -
       → num_undiscovered_elements, -weight
       # return len(distinct_elements) / (num_undiscovered_elements + 1), -weight
31
32
  def generate_element():
33
34
       Randomly generates offspring made up of 0s and 1s.
       1 means the element is taken, 0 means it is not.
37
      genome = [random.randint(0, 1) for _ in range(N)]
38
      fitness = calculate_fitness(genome)
39
       # genome = np.random.choice([True, False], size=PROBLEM_SIZE)
      return Individual(genome, fitness)
  initial_population = [generate_element() for _ in range(POPULATION_SIZE)]
43
44
45 len(initial_population)
46
```

```
47 fitness_log = []
48
  def calculate_weight(genome):
49
50
       Weight Function
       Weight is the sum of the lengths of the subsets that are taken
53
       # select the subsets from prob based on the best individual
54
       final = [prob[i] for i, gene in enumerate(genome) if gene == 1]
55
       weight = len(list(itertools.chain.from_iterable(final)))
56
       return weight
   def choose_mutation_rate(fitness_log):
59
       # choose mutation rate based on change in fitness_log
60
       if len(fitness_log) == 0:
61
           return 0.2
62
       if len(fitness_log) < 3:</pre>
           considered_elements = len(fitness_log)
64
       else:
65
           considered_elements = 3
66
       growth_rate = np.mean(np.diff(fitness_log[-considered_elements:]))
67
       if growth_rate <= 0:</pre>
68
           return 0.4
       elif growth_rate < 0.5:</pre>
70
           return 0.3
71
       elif growth_rate < 1:</pre>
72
           return 0.01
73
74
       else:
           return 0.1
76
   def plateau_detection(num_generations, fitness_log):
77
78
       Checks if the fitness has plateaued for the last num_generations.
79
       if len(fitness_log) < num_generations:</pre>
81
           return False
82
       return all(fitness_log[-num_generations] == fitness_log[-i] for i in range(1,
83
       → num_generations))
84
   def flip_mutation(genome, mutate_only_one_element=False):
86
       Flips random bit(s) in the genome.
87
       Parameters:
88
       mutate_only_one_element: If True, only one bit is flipped.
89
90
       modified_genome = genome.copy()
       if mutate_only_one_element:
92
           # flip a random bit
93
           index = random.randint(0, len(modified_genome) - 1)
94
           modified_genome[index] = 1 - modified_genome[index]
95
```

```
else:
96
            # flip a random number of bits
97
           num_to_flip = choose_mutation_rate(fitness_log) * len(modified_genome)
98
           to_flip = random.sample(range(len(modified_genome)), int(num_to_flip))
99
            # to_flip = random.sample(range(len(modified_genome)), random.randint(0,
100
            → len(modified_genome)))
           modified_genome = [1 - modified_genome[i] if i in to_flip else
101
            → modified_genome[i] for i in range(len(modified_genome))]
102
       return modified_genome
103
       # mutate only if it brings some benefit to the weight
       # if calculate_weight(modified_genome) < calculate_weight(genome):</pre>
              return modified_genome
106
107
108
   def return_best_genome(genome1, genome2):
109
       return genome1
       # if calculate_fitness(genome1) > calculate_fitness(genome2):
111
             return genome1
112
       # else:
113
              return genome2
114
116 def mutation(genome):
       1.1.1
117
       Runs a randomly chosen mutation on the genome. Mutations are:
118
       1. Bit Flip Mutation
119
       2. Scramble Mutation
120
       3. Swap Mutation
121
       4. Inversion Mutation
       Refer to README for more details.
123
       111
124
       # check type of genome (debugging)
125
        # if type(genome) == tuple:
126
             print("genome is tuple")
              print(genome)
128
129
       possible_mutations = [flip_mutation, scramble_mutation, swap_mutation,
130
        → inversion_mutation]
       chosen_mutation = random.choice(possible_mutations)
131
       return chosen_mutation(genome)
132
       # if random.random() < 0.1:</pre>
134
              for _ in range(num_elements_to_mutate):
135
                  index = random.randint(0, len(genome) - 1)
136
137
                  genome[index] = 1 - genome[index]
       # mutate a random number of elements
       # to_flip = random.randint(0, len(genome))
139
       # # flip the bits
140
       \# return [1 - genome[i] if i < to\_flip else genome[i] for i in
141
        → range(len(genome))]
```

```
142
   def scramble_mutation(genome):
143
144
       Randomly scrambles the genome.
145
        # select start and end indices to scramble
147
       modified_genome = genome.copy()
148
       start = random.randint(0, len(modified_genome) - 1)
149
       end = random.randint(start, len(modified_genome) - 1)
150
        # scramble the elements
151
       modified_genome[start:end] = random.sample(modified_genome[start:end],
152
           len(modified_genome[start:end]))
       return return_best_genome(modified_genome, genome)
153
154
   def swap_mutation(genome):
155
156
        Randomly swaps two elements in the genome.
158
       modified_genome = genome.copy()
159
       index1 = random.randint(0, len(modified_genome) - 1)
160
       index2 = random.randint(0, len(modified_genome) - 1)
161
       modified_genome[index1], modified_genome[index2] = modified_genome[index2],
162
        \  \, \to \  \, \texttt{modified\_genome[index1]}
163
       return return_best_genome(modified_genome, genome)
164
   def inversion_mutation(genome):
165
        111
166
167
        Randomly inverts the genome.
       modified_genome = genome.copy()
169
        # select start and end indices to invert
170
       start = random.randint(0, len(modified_genome) - 1)
171
       end = random.randint(start, len(modified_genome) - 1)
172
        # invert the elements
       modified_genome = modified_genome[:start] + modified_genome[start:end][::-1]
174
           + modified_genome[end:]
       return return_best_genome(modified_genome, genome)
175
176
   def crossover(genome1, genome2):
177
178
        Crossover the two genomes by randomly selecting a point
179
180
        # crossover at a random point
181
        crossover_point = random.randint(0, len(genome1))
182
       modified_genome = genome1[:crossover_point] + genome2[crossover_point:]
183
       return modified_genome
185
186 def roulette_wheel_selection(population):
        111
187
       Selects an individual from the population based on the fitness.
188
```

```
,,,
189
        # calculate the total fitness of the population
190
       total_fitness = sum([individual.fitness[0] for individual in population])
191
        # select a random number between 0 and the total fitness
192
       random_number = random.uniform(0, total_fitness)
        # select the individual based on the random number
194
       current_fitness = 0
195
       for individual in population:
196
            current_fitness += individual.fitness[0]
197
            if current_fitness > random_number:
198
                return individual
199
   def stochastic_universal_sampling(population):
201
202
        Select using Stochastic Universal Sampling.
203
204
       point_1 = random.uniform(0, 1)
       point_2 = point_1 + 1
206
        # In Progress
207
208
   def rank_selection(population):
209
210
        Select using Rank Selection. Read more here:
211
       https://www.tutorialspoint.com/qenetic_algorithms/genetic_algorithms_parent_selection.h
213
        # sort the population based on the fitness
214
       population.sort(key=lambda x: x.fitness[0], reverse=True)
215
        # calculate the total rank
       total_rank = sum([i for i in range(len(population))])
217
        # select a random number between 0 and the total rank
218
       random_number = random.uniform(0, total_rank)
219
        # select the individual based on the random number
220
       current_rank = 0
       for i, individual in enumerate(population):
222
            current_rank += i
            if current_rank > random_number:
224
                return individual
225
226
   def tournament(population, selection_method='tournament'):
228
229
        Selects the best individual from a random sample of the population.
230
        111
231
232
       if selection_method == 'roulette':
            participant = roulette_wheel_selection(population)
            participant = Individual(participant.genome, participant.fitness)
234
       elif selection_method == 'rank':
235
            participant = rank_selection(population)
236
            participant = Individual(participant.genome, participant.fitness)
237
```

```
else:
238
            participant = max(random.sample(population, k=2), key=lambda x:
239

    x.fitness)

            participant = Individual(participant.genome, participant.fitness)
240
        return participant
241
242
   def generate(population, generation):
243
244
        Create offspring from the population using either:
245
        1. Cross Over + Mutation
246
        2. Mutation
        # can either cross over between two parents or mutate a single parent
249
        if random.random() < 0.2:</pre>
250
            parent = tournament(population)
251
            # if random.random() <= 0.3:</pre>
252
                  genome = mutation(parent.genome)
            genome = mutation(parent.genome)
254
            child = Individual(parent, calculate_fitness(parent))
255
        else:
256
            # crossover
257
            parent1 = tournament(population)
258
            parent2 = tournament(population)
259
            genome = crossover(parent1.genome, parent2.genome)
260
            # if random.random() <= 0.3:</pre>
261
                  genome = mutation(genome)
262
            genome = mutation(genome)
263
            child = Individual(genome, calculate_fitness(genome))
264
       fitness_log.append((generation + 1, child.fitness[0]))
266
267
       return child
268
269
       best = max(initial_population, key=lambda x: x.fitness)
271
       best_individual = max(initial_population, key=lambda x: x.fitness)
272
       for i in range(NUM_GENERATIONS):
273
            # create offspring
274
            offspring = [generate(initial_population, i) for i in
275

¬ range(OFFSPRING_SIZE)]

            # calculate fitness
276
            # offspring = [Individual(child.genome, calculate_fitness(child.genome))
277

    for child in offspring]

278
279
            initial_population = initial_population + offspring
            initial_population = sorted(initial_population, key=lambda x: x.fitness,
            → reverse=True)[:POPULATION_SIZE]
281
            fittest_offspring = max(initial_population, key=lambda x: x.fitness)
282
283
```

```
if fittest_offspring.fitness > best_individual.fitness:
    best_individual = fittest_offspring

# get the best individual
print(calculate_weight(best_individual.genome))
```

3.5 Received Reviews

s295103

Your commitment to this lab can be seen from all the approaches you implemented and tested. My only issue is with the plateau detection function that is bound to always return False in that implementation. Also a suggestion: try to enforce the constraint that all individuals' genome must be a solution with full set cover; in this way you'll vastly reduce the search space.

s295103

Design considerations - Overall good solution, nice work trying multiple parent selection functions, different fitness functions, and using multiple mutation functions

Implementation considerations - After calling the problem() function it is necessary to reset the seed to a random value using 'random.seed()' otherways all runs will always use 42 as seed value, so they won't be truly random

```
def flip_mutation(genome, mutate_only_one_element=False): is never

called with mutate_only_one_element=True

genome = mutation(parent.genome)

child = Individual(parent, calculate_fitness(parent))
```

should substituted by

```
genome = mutation(parent.genome)
child = Individual(genome, calculate_fitness(genome))
```

for the mutation to have effect, since in every mutation you do

```
def *_mutation(genome):
    modified_genome = genome.copy()
    ...
    return modified_genome
```

can become

```
initial_population = sorted(initial_population, key=lambda x: x.fitness,
    reverse=True)[:POPULATION_SIZE]
fittest_offspring = initial_population[0]
```

so that you don't need to search for the max in the list you just sorted - The README and the important parts of the code are very clean and structured, but there are some comments, unused functions, an unfinished function, and other parts of the file that can be cleaned up a little

Ricardo Nicida Kazama

the README. Ι wondering if the function In was return_best_genome(modified_genome, genome) might disturb the exploration of your algorithm since a worse solution that could go towards the global optimum might be chosen instead of the current better solution that is going to a local optimum. Analyzing your code, I notice that the part where you would compare the genomes to pick the best is commented. Therefore, maybe you experienced what I previously mentioned. In the following part of the code, the use of the iterator "i" is a bit confusing since the one being taken into account for the function generate(initial population, i) is the one in range $(OFFSPRING\ SIZE)$. However, from what I understood, the second input should be the generation number.

Highlights/overall: The solution includes many different mutations which show an extra effort to improve the results with a broad approach. The change in the mutation rate based on the *fitness_log* is an interesting idea and seems to be effective. The code and results are very good!

3.6 Given Reviews

3.6.1 Erik

Erik's code

```
14
15
  def fitness_function(entry, goal_set):
       duplicates = len(entry) - len(set(tuple(entry)))
17
      miss = len(goal_set.difference(set(entry)))
      return (-1000 * miss) - duplicates
19
20
21
  def calculate_fitness(individual):
22
       flat_individual = [item for sublist in individual for item in sublist]
       fitness_val = fitness_function(flat_individual, set(range(N)))
      return fitness_val
25
26
27
  def select_parents(population):
28
      nr_of_boxes = int(POPULATION_SIZE * (POPULATION_SIZE + 1) / 2)
29
      random.seed(None)
      random_wheel_nr = random.randint(1, nr_of_boxes)
31
      parent_number = POPULATION_SIZE
32
       increment = POPULATION_SIZE - 1
33
       curr_parent = 0
34
      while random_wheel_nr > parent_number:
35
           curr_parent += 1
           parent_number += increment
37
           increment -= 1
38
      return population[curr_parent]
39
40
41
  # randomize an index and merge 0-index from parent 1 and index-len of parent two,
   → mutate with 5% chance
  def crossover(first_parent, second_parent):
43
       slice_index_one = random.randint(0, min(len(first_parent[0]) - 1,
44
       → len(second_parent[0]) - 1))
       child = first_parent[0][:slice_index_one] +

→ second_parent[0][slice_index_one:]
      return child
46
47
48
  # mutate child and return
  def mutate_child(individual, problem_space):
       index = random.randint(0, len(individual) - 1)
51
      random_list = problem_space[random.randint(0, len(problem_space) - 1)]
52
      random_gene = random_list[random.randint(0, len(random_list) - 1)]
53
       individual = individual[:index] + individual[index+1:] + [random_gene]
54
      return individual
55
57
  def update_population(population, new_children):
58
      new_population = population + new_children
59
       sorted_population = sorted(new_population, key=lambda i: i[1], reverse=True)
60
```

```
return sorted_population[:POPULATION_SIZE]
61
62
63
  def main():
64
      logging.basicConfig(level=logging.DEBUG)
      problem_space = problem(N, seed=42)
66
      population = select_rand_solution(problem_space)
67
68
      # should hold current population with the calculated fitness
69
      current_individuals = []
70
      # setup data structure, list of tuples containing ([entries], fitness) and
       \hookrightarrow sort
      for individual in population:
73
          current_individuals append((individual, calculate_fitness(individual)))
74
      current_individuals = sorted(current_individuals, key=lambda 1: 1[1],
         reverse=True)
77
      counter = 0
78
      while counter < NR_OF_GENERATIONS:
          # a) Select individuals with a good fitness score for reproduction.
80
          cross_over_list = []
          for i in range(OFFSPRING_SIZE):
              parent_one = select_parents(current_individuals)
83
              parent_two = select_parents(current_individuals)
84
85
              # b) Let them produce offspring. Mutate with 5% chance
86
              tmp_child = crossover(parent_one, parent_two)
              if random.random() > 0.95:
88
                  tmp_child = mutate_child(tmp_child, population)
89
90
              cross_over_list.append((tmp_child, calculate_fitness(tmp_child)))
91
          current_individuals = update_population(current_individuals,
           counter += 1
94
95
      for solution in current_individuals:
96
          if goal_check(solution[0]):
              logging.info(f'Best solution for N={N} was

    in current_individuals[0][0])}')

              break
99
```

Hi Eric,

Here's my review concerning your approach to lab 2.

There are a few high-level, cosmetic attributes you did well: 1. Each function is well-documented and well-labelled, so I could easily understand the purpose of each one. One way to improve could be to leverage Python docstrings, where you

can also explain input parameters and output values. To do this, add:

```
def mutation(genome):
    '''
    Function mutates genome using .... strategy, etc.
    args:
    genome: str - Input genome
    '''
```

3. Using a Python script made it easy for me to run code iteratively for many different values of N/Offspring sizes/etc. without having to run all the cells. I was able to reproduce your best results after a few tries.

Let's break down the solution itself:

- 1. I noticed that you leveraged a completely random roulette-wheel-based selection, which leverages completely on random chance, compared to a fitness-based tournament selection which performed better (at least from my experience with this lab). Perhaps, you could try experimenting with different parent selection methods instead of just one.
- 2. Your fitness function is particularly interesting, standing out from most others I've seen. It takes into account duplicates in the subset:

```
def fitness_function(entry, goal_set):
    duplicates = len(entry) - len(set(tuple(entry)))
    miss = len(goal_set.difference(set(entry)))
    return (-1000 * miss) - duplicates
```

I understand that the infinitesimal blowup by *1000 may theoretically help punish the algorithm if it is far from the goal. I modified your code with 2 different fitness functions:

```
return miss-duplicates
```

```
return (-1000 * miss)-duplicates
```

and the results were the same, so I look forward to reading about your motivation for this in the README.

Since you're only subtracting the two values (one is much larger than the other), you can do 1 of 2 things to improve convergence: divide the values, or return them as a tuple (like we did for the first lab). You could also try different mathematical equations for the fitness function, that takes into account duplicates, undiscovered

elements, length, etc., kind of like the heuristic functions we used early for graph algorithms.

- 3. Only one type of mutation is used (randomly flipping a bit). You could try other mutation methods and randomly choose between them to increase exploration power.
- 4. The probability to decide whether to mutate is quite high. In the Telegram chat, most people reported that mutations were detrimental to reaching minima, so I understand why you might have limited your mutations, but perhaps you could vary this number based on the changing fitness. Perhaps, mutate more often/more extensively to explore and reduce the vigour to exploit. You can also experiment with permutations of evolution like recombination + mutation, recombination only, mutation only, etc. All these contribute to the exploration power of your approach.
- 5. There is definitely a scaling problem for large values of N, such as N = 1000. One thing to note is that minima is often reached within a fraction of 1000 generations (I logged your generational results out).
- 5. Representing the problem space as 0s and 1s could result in cleaner code and faster computation, but this is more of a personal preference and does not really affect the solution.

All in all, good job! I just want to read more about your exciting fitness function. Let's discuss below!

3.6.2 Karl

Karl's code

```
# helping functions
3 def lists_to_set(genome):
       11 11 11
4
       convert genome to set
       :param genome: the sub-lists with random integers between 0 and N-1
       :return: set of contained elements in the genome
      list_elems = [single_elem for 1 in genome for single_elem in 1]
      s = set(list_elems)
10
      return s
11
  # find out how many duplicates there are in the population
  def count_duplicates(genome):
15
       Count how many duplicates there are in the genome
16
       :param genome: the sub-lists with random integers between 0 and N-1
17
```

```
:return: the count
18
19
       list_elems = [single_elem for 1 in genome for single_elem in 1]
20
       duplicates = sum([len(list(group))-1 for key, group in
21
           groupby(sorted(list_elems))])
       return duplicates
22
    to initialize the population
  def create_population(STATE_SPACE, GOAL):
25
       Initialize the population.
26
       :param STATE_SPACE: List of lists generated from problem-function
       :param GOAL: set of integers from 0 to N-1
28
       :return: a list of tuples: (genome, fitness), for each individual in the
29
       population.
       n n n
30
       population = []
31
       for _ in range(POPULATION_SIZE):
           individual = []
33
           for _ in range(random.randint(1,len(STATE_SPACE))):
34
               1 = random.choice(STATE_SPACE)
35
               if 1 not in individual: #check duplicates here
36
                   individual.append(1)
37
           #individual =
           → random.choices(STATE_SPACE, k=random.randint(1, len(STATE_SPACE)))
           fitness = compute_fitness(individual, GOAL)
39
           population.append((individual,fitness))
40
       return population
41
42
  def compute_fitness(genome, GOAL):
44
       fitness is a tuple of (-#of_elems_missing,-#duplicates) which should be
45
       maximized
       :param genome: the sub-lists with random integers between 0 and N-1
46
       :param GOAL: set of integers from 0 to N-1
47
       :return: the fitness
48
       HHHH
49
       # violated constraints, i.e. how many elements are missing
50
       vc = GOAL.difference(lists_to_set(genome))
51
       duplicates = count_duplicates(genome)
52
       # it is worse to lack elements than having duplicates
       fitness = (-len(vc), -duplicates)
       return fitness
55
56
  def goal_check(genome, GOAL):
57
58
       Check if all required elements are in the genome
       :param genome: the sub-lists with random integers between 0 and N-1
60
       :param GOAL: set of integers from 0 to N-1
61
       :return: boolean value if goal reached or not
62
63
```

```
return GOAL == lists_to_set(genome)
64
65
   def parent_selection(population):
66
67
       parent selection using ranking system
       P(choose fittest parent) = POPULATION_SIZE/n_slots
69
       P(choose second fittest parent) = (POPULATION_SIZE-1)/n_slots
70
71
       P(choose\ least\ fit\ parent) = 1/n_slots
72
        :param population: list of individuals
73
        :return: parent to generate offspring
       ranked_population = sorted(population, key=lambda t : t[1], reverse=True)
76
       # number of slots in spinning wheel = POPULATION_SIZE(POPULATION_SIZE+1)/2
77
        \hookrightarrow (arithmetic sum)
       n_slots = POPULATION_SIZE*(POPULATION_SIZE+1)/2
       wheel_number = random.randint(1,n_slots)
       curr_parent = 0
80
       parent_number = POPULATION_SIZE
81
       increment = POPULATION_SIZE-1
82
       while wheel_number > parent_number:
83
            curr_parent +=1
84
           parent_number +=increment
            increment -= 1
       return ranked_population[curr_parent]
87
88
   # make one child from each cross-over, and mutate with low prob
   def cross_over(parent1, parent2, STATE_SPACE, mutation_prob = 0.1):
91
       Compute cross-over between two selected parents. Mutate child with
92
       mutation_prob.
       :param parent1: individual
93
        :param parent2: individual
94
        :param STATE_SPACE: List of lists generated from problem-function
        :param mutation_prob: the probability to perform mutation
        :return: the child created
97
        11 11 11
98
       cut1 = random.randint(0,len(parent1[0]))
99
       cut2 = random.randint(0,len(parent2[0]))
100
       child = parent1[0][:cut1]+parent2[0][cut2:]
       if random.random() < mutation_prob:</pre>
            mutate(child, STATE_SPACE)
103
       return child
104
105
106
   def mutate(child, STATE_SPACE):
108
       Replace one list in the child with a random one from the state space.
109
        :param child:
110
       :param STATE_SPACE:
111
```

```
:return: the mutated child
112
113
       idx = random.randint(0,len(child))
114
       #child = child[:idx] + child[idx+1:] +
115
        → STATE_SPACE[random.randint(0,len(STATE_SPACE)-1)]
       i = 0
116
       while i<10:
117
            i+=1
118
            if STATE_SPACE[random.randint(0,len(STATE_SPACE)-1)] not in child:
119
                 child = child[:idx] + child[idx+1:] +
120
                     STATE_SPACE[random.randint(0,len(STATE_SPACE)-1)]
                 break
121
       return child
122
123
   def update_population_plus(population, offspring):
124
125
        Using the plus strategy to update population to next generation.
        :param population:
127
        :param offspring:
128
        :return: the best individuals in union(population, offspring)
129
130
       tot = population + offspring
131
       ranked_population = sorted(tot, key=lambda t : t[1], reverse=True)
132
133
       return ranked_population[:POPULATION_SIZE]
134
   def update_population_comma(offspring):
135
136
        Using the plus strategy to update population to next generation.
137
        :param offspring:
        :return: the best individuals in from offspring
139
140
       ranked_pop = sorted(offspring, key=lambda t : t[1], reverse=True)
141
       return ranked_pop[:POPULATION_SIZE]
142
144 def update_mutation_prob(best_solution, best_this_iter, mutation_param, it):
145
       Update the mutation probability according to how the performance evolves. If
146
       no improvement, mutation probability increases (favour exploration). If
       improvement, mutation probability decreases (favour exploitation).
        :param best_solution: The best solution so far
147
        :param best_this_iter: The best solution of this generation
148
        :param mutation_param:
149
        :param it: iteration number
150
        :return: the new mutation probability
151
152
       if best_solution[1] >= best_this_iter[1]:
           mutation_param +=1
154
       elif best_solution[1] >= best_this_iter[1] and mutation_param>0:
155
            mutation_param -= 1
156
       return mutation_param/(1+it), mutation_param
157
```

```
def solve_problem(N):
158
       STATE_SPACE = problem(N,seed=42)
159
       GOAL = set(range(N))
160
       population = create_population(STATE_SPACE, GOAL)
161
       best_sol = population[0] #to be updated after each iter
       found_in_iter = 0 #to be updated
163
       mutation_param = 1 #increase if solution doesn't improve
       mutation_prob = 0.1 #init value
165
       for i in range(ITERS):
166
           offspring = []
167
           for __ in range(OFFSPRING_SIZE):
168
                parent1, parent2 = parent_selection(population),
                    parent_selection(population)
                child = cross_over(parent1,parent2, STATE_SPACE, mutation_prob)
170
                child_fitness = compute_fitness(child, GOAL)
171
                offspring.append((child,child_fitness))
172
           population = update_population_plus(population, offspring)
            #population = update_population_comma(offspring)
174
           best_curr = sorted(population, key=lambda 1:1[1], reverse=True)[0]
175
           mutation_prob, mutation_param = update_mutation_prob(best_sol, best_curr,
176

→ mutation_param, i)
            if goal_check(best_curr[0],GOAL) and best_curr[1] > best_sol[1]:
177
                best_sol = best_curr
178
                found_in_iter = i
179
       logging.info(f'Best solution found in {found_in_iter} iters and has weight
180
          {-best_sol[1][1]}')
       return best_sol
181
   # main
   # settings
POPULATION_SIZE = 50
   OFFSPRING_SIZE = 30
   ITERS = 100
   for N in [5,10,20,50,100,1000,2000]:
189
       best_sol = solve_problem(N)
190
       print(f'N = {N}')
191
       logging.info(f'The best weight for N = \{N\}: \{-best\_sol[1][1]+N\}'\}
192
```

Hi Karl,

Here's my review about your approach to lab 2. The key positives (cosmetic and logical):

- 1. The notebook is well-documented and cells are used appropriately. I also like that you described the steps of the algorithm before implementing it.
- 2. You were the only other person who compared both the (parent, offspring) and (parent + offspring) method for the algorithm. As evident in the results, parent + offspring produced more optimal weights for smaller values of N.
 - 3. Parent selection also accounts for the second and third-best genomes, which

could add more diversity to the selection algorithm. I don't fully understand how your wheel selection works and would love to read more about this either through comments/README.

Potential Improvements:

1. Your fitness function also includes duplicates, which can be detrimental to the optimality of any solution, and using a tuple is a good idea. You could also try different mathematical heuristic-like combinations of these various factors, like subtracting/dividing.

```
# it is worse to lack elements than having duplicates
fitness = (-len(vc), -duplicates)
return fitness
```

- 2. Only one type of mutation is used, so you could try multiple different mutation methods and randomly choose between them. Specific methods are more aggressive than others, so the choice between methods could also be based on fitness improvement.
- 4. The mutation probability is constant, and could potentially be dynamic, with the same intuition behind (2) above. In cases where the fitness is worsening, you could mutate more aggressively, and when it's time to exploit, it could be reduced as a solution is nearing.

6. You could experiment with different combinations of crossover and mutation, based on different probabilities instead of simply crossover followed by mutation. Certain evolution methods are more aggressive than others, so this could mix it up a bit.

All in all, good job!

3.6.3 Ricardo

Ricardo's code

```
from itertools import compress
    from collections import namedtuple
    N = 5
    POPULATION_SIZE = 10
    OFFSPRING_SIZE = 2
    GENERATIONS = 5
    PROB = 0.5 # probability to choose 1 for each one of the locus in the
     → population
     Individual = namedtuple('Individual', ('genome', 'fitness', 'goal_reached',
     \rightarrow 'W'))
     # this function evaluats the fitness and if the goal was reached
     def fitness_goal_eval(list_of_lists, genome, goal):
10
         current_goal = goal
11
         solution = list(compress(list_of_lists, genome))
12
         # fitness = 0
13
         new_elements = 0
         repeated_elements = 0
         w = 0
16
         goal_reached = False
17
18
         if len(solution) == 0:
19
             return 0, False, 0
20
         for list_ in solution:
22
             list_length = len(list_)
23
             list_ = set(list_)
24
             cg_length = len(current_goal)
25
             current_goal = current_goal - list_
             cg_new_length = len(current_goal)
28
             # fitness += cg_length - cg_new_length # new elements (positive)
29
             # fitness += (cg_length - cg_new_length) - list_length # repeated
30

→ elements (negative)

             new_elements += cg_length - cg_new_length
                                                          # new elements
             repeated_elements += list_length - (cg_length - cg_new_length) #
32
             \rightarrow repeated elements
33
             w += list_length
34
35
         if cg_new_length == 0:
             goal_reached = True
38
         fitness = new_elements - repeated_elements
39
40
         return fitness, goal_reached, w
41
42
43
     def generate_population(list_of_lists, goal):
44
         population = list()
45
46
```

```
genomes = [tuple(random.choices([1, 0], weights=(PROB,1-PROB),
47
             k=len(list_of_lists))) for _ in range(POPULATION_SIZE)]
48
         for genome in genomes:
49
             fitness, goal_reached, w = fitness_goal_eval(list_of_lists, genome,
50
             → goal)
             population.append(Individual(genome, fitness, goal_reached, w))
51
         return population
52
53
54
     def select_parent(population, tournament_size=2):
         subset = random.choices(population, k=tournament_size)
         return max(subset, key=lambda i: i.fitness)
57
58
59
     def cross_over(p1, p2, genome_size, list_of_lists, goal):
60
         g1, f1 = p1.genome, p1.fitness
         g2, f2 = p2.genome, p2.fitness
62
         cut = int((f1+1e-6)/(f1+f2+1e-6)*genome\_size) # the cut is proportional
63
         \rightarrow to the fitness of the genome
        ng1 = g1[:cut] + g2[cut:]
64
         return ng1
65
67
     def mutation(g, genome_size, k=1): # for larger N try to eliminate some of the
68
     → 1 in the genome because the bloat was getting to high
         for _ in range(k):
69
             cut = random.randint(1, genome_size)
             if N < 20:
                 ng = g[:cut-1] + (1-g[cut-1],) + g[cut:]
72
             elif N< 500:
73
                 cut_size = int(genome_size*0.2)
74
                 new_genome_cut = tuple(random.choices([1, 0], weights=(1, 39),
75

    k=2*cut_size))
                 ng = g[:cut-1-cut_size] + new_genome_cut + g[cut+cut_size:]
76
             else:
77
                 cut_size = int(genome_size*0.2)
78
                 new_genome_cut = tuple(random.choices([1, 0], weights=(1, 99),
79

    k=2*cut_size))

                 ng = g[:cut-1-cut_size] + new_genome_cut + g[cut+cut_size:]
        return ng
     def genetic_algorithm():
82
         # create problem
83
         list_of_lists = problem(N, seed=42)
84
         genome_size = len(list_of_lists)
85
         goal = set(range(N))
87
         # create the population
88
         population = generate_population(list_of_lists, goal)
89
90
```

```
for g in range(GENERATIONS):
91
             population = sorted(population, key=lambda i: i.fitness,
92
              → reverse=True) [:POPULATION_SIZE-OFFSPRING_SIZE]
93
             for i in range(OFFSPRING_SIZE):
                  p1 = select_parent(population,

    tournament_size=int(0.2*genome_size))

                  p2 = select_parent(population,
96

→ tournament_size=int(0.2*genome_size))
                  o = cross_over(p1, p2, genome_size, list_of_lists, goal)
97
                  fitness, goal_reached, w = fitness_goal_eval(list_of_lists, o,
                  → goal)
                  o = mutation(o, genome_size, k=2)
99
100
                  population.append(Individual(o, fitness, goal_reached, w))
101
102
104
         for i in population:
105
              if i.goal_reached:
106
                  return i, population
107
108
         print(f"No solution for current population (N={N})")
109
         return None, population
110
     N = 500
111
     POPULATION_SIZE = 100
112
     OFFSPRING_SIZE = 20
113
     GENERATIONS = 200
114
     PROB = 0.5
116
     logging.getLogger().setLevel(logging.INFO)
117
118
     solution, population = genetic_algorithm()
119
     if solution != None:
         logging.info(
121
             f" Genetic algorithm solution for N={N:,}: "
             + f"fitness={solution.fitness:,} "
123
             + f"w={solution.w:,} "
124
              + f"(bloat={solution.w/N*100:.0f}%)"
125
     INFO:root: Genetic algorithm solution for N=500: fitness=-1,980 w=2,980
      POPULATION_SIZE = 50
128
     OFFSPRING_SIZE = 20
129
130
     GENERATIONS = 200
     PROB = 0.5
132
     logging.getLogger().setLevel(logging.INFO)
133
134
     for N in [5, 10, 20, 100, 500, 1000]:
135
```

```
solution, population = genetic_algorithm()
if solution != None:
    logging.info(
        f" Genetic algorithm solution for N={N:,}: "
        + f"fitness={solution.fitness:,} "
        + f"w={solution.w:,} "
        + f"(bloat={solution.w/N*100:.0f}%)"
)
```

Hi Ricardo,

Here is my review pertaining to your approach to Lab 2.

Positives (both cosmetic and logical):

1. Your dynamic mutation method where you changed the strategy for different values of N is quite interesting. Larger N values will have 1s removed more aggressively, which is quite intuitive. Though this is not completely "dynamic", it is a good start. Just like your crossover is proportional to fitness, the same could be done for the "aggression" of the mutation.

- > A quick tip: both the 'elif' and 'else' have the same code block, so it could just be an 'if' an 'else'.
- 2. The tournament size dynamically changes based on the genome size. Yuri et al. (2018) advocated against the indiscriminate tournament size of k = 2.
- 3. The fitness function seems to be heuristic-like, considering both the number of new and repeated elements.
- 4. You used a list of 0s and 1s as binary indicators of whether to take a list in the subset. I feel that this is an efficient and intuitive representation.
- 5. You added an extra attribute 'goal_reached' to each element of the population, so when you loop through to find the final solution at the end, you not only get a working solution, but the one which produces the highest fitness.

Things to look at:

1. A mutation of some form is *always* applied in each generation after

crossover. To balance between exploitation and exploration, you could choose to mutate based on a random probability/change of the fitness function. I personally found that aggressive mutations worked well in early generations, but as minima is nearing, continually mutating did not improve the solution. One option is to choose between (i) crossover only, (ii) crossover then mutate, (iii) mutate only, etc. in each generation.

2. MINOR- Reporting results in a table in the README makes it easier to compare.

All in all, good job!

3.6.4 Francesco

Francesco's code

```
import random
     import logging
     import numpy as np
     from collections import namedtuple
     def problem(N, seed=None):
         random.seed(seed)
         return [
             list(set(random.randint(0, N - 1) for n in range(random.randint(N // 5,
              \rightarrow N // 2))))
             for n in range(random.randint(N, N * 5))
10
     def tournament(population, tournament_size=2):
11
         return max(random.choices(population, k=tournament_size), key=lambda i:
12
         → i.fitness)
13
     def w(genome):
14
         return sum(len(_) for _ in genome)
16
     def covering(genome):
17
         s = set()
18
         for _ in genome:
19
            s = s.union(set(_))
         return len(s)
^{21}
22
    def intersection(lst1, lst2):
23
```

```
lst3 = [value for value in lst1 if value in lst2]
24
          return 1st3
25
26
     def shuffle(g1,g2,g3):
27
          a = [1 \text{ for } 1 \text{ in } g1 \text{ if } 1 \text{ not in } g3]
          b = [1 \text{ for } 1 \text{ in } g2 \text{ if } 1 \text{ not in } g3]
29
          gnew = g3.copy()
30
31
          if a:
32
               c = 1
33
          else:
               c = 0
35
          for i in range(max(len(a),len(b))):
36
               if c:
37
                    if a and i < len(a):
38
                        gnew.append(a[i])
39
                    if b:
                        c = 0
41
42
               else:
43
                    if b and i < len(b):
44
                        gnew.append(b[i])
45
                    if a:
46
                        c = 1
47
48
          return gnew
49
50
     def cross_over(g1, g2):
51
          g3 = intersection(g1,g2)
          g3 = shuffle(g1,g2,g3)
53
          return g3
55
56
     def mutation(genome):
58
          mutation = random.choice(all_lists)
59
          if mutation in genome:
60
               genome.remove(mutation)
61
          else:
62
               genome.append(mutation)
          return genome
65
66
     def create_population(mu):
67
          population = []
68
          for i in range(mu):
69
               g = []
70
               while covering(g) != N:
71
                    if len(g) < N*2:
72
                        r = random.choice(all_lists)
73
```

```
if r not in g:
74
                           g.append(r)
75
                  else:
76
                      g = []
77
              population.append(g)
         return [Individual(g, tuple((covering(g),-w(g)))) for g in population]
79
     N = 1000
80
     all_lists = problem(N,seed=42)
81
     Individual = namedtuple("Individual", ["genome", "fitness"])
82
     mu = 2000
83
     GENERATIONS = 100
     OFFSPRINGS_SIZE = 1100
     population = create_population(mu)
86
87
     for g in range(GENERATIONS):
88
         new_population = []
89
         for _ in range(OFFSPRINGS_SIZE):
              o = \prod
91
              if random.random() < 0.001:</pre>
92
                  p = tournament(population)
93
                  o = mutation(p.genome)
94
              else:
95
                  p1 = tournament(population)
                  p2 = tournament(population)
                  o = cross_over(p1.genome, p2.genome)
98
              new_population.append(Individual(o, tuple((covering(o),-w(o)))))
99
         population += new_population
100
         population = sorted(population, key= lambda i : i.fitness,
101

    reverse=True)[:mu]

102
     print(f'w={w(population[0].genome)}, cov={covering(population[0].genome)}')
103
```

Hi Francesco,

Here is my quick review pertaining to your approach to Lab 2.

Positives (both cosmetic and logical):

- 1. The README was well-documented and I was able to come close to your best results when running the notebook locally with the specified hyperparameters.
- 2. The shuffling after the intersection seems to add a sort of random diversity to the evolved set, so that is great. I'll take inspiration from this. However, I don't fully understand the mechanism of the shuffle function. It would be great if I could read some comments or if the variables a, b and c could be renamed.
- 3. The hyperparameters like offspring size were varied for different sizes of N, which was the same thing I did. I was wondering if there was an intuition for choosing certain values. This could be explained in the README.

Some things to look at:

- 1. Mutations are rarely applied in each generation (at an extremely low probability of 0.001). I recall there was a discussion on the Telegram group about the detrimental effect mutating had on the final solution, so I understand why you might have done this. However, I found that mutating in early generations helps improve exploration power.
- 2. A constant 'tournament_size' of 2 is used for all values of N. Although early papers suggested the use of a constant, indiscriminate tournament size, recent papers like Yuri et al. advocated for adapting this parameter. I also used a constant size in my work, but this is something we can look at.
- 3. In the instances where mutation is done, only one type of mutation is used. You could try a diverse mix of mutation strategies like flipping, inversion, scrambling, etc. Since mutations haven't worked too well for you so far, the choice of strategy and aggression could be something to explore.
- 4. Runtime is rather slow for large values of N, which was the same case for me. This could also be because of the large number of generations (2000) the solution has to iterate through.

All in all, good job.

4 Lab 3

Nim is a simple game where two players take turns removing objects from a pile. The player who removes the last object wins. The game is described in detail here. There is a mathematical strategy to win Nim, by ensuring you always leave the opponent with a nim-sum number of objects (groups of 1, 2 and 4).

In this notebook, we will play nim-sum using the following agents:

- 1. An agent using fixed rules based on nim-sum
- 2. An agent using evolved rules
- 3. An agent using minmax
- 4. An agent using reinforcement learning (both temporal difference learning and monte carlo learning)

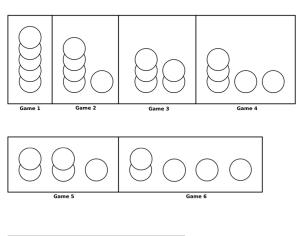
4.1 Solution

4.1.1 Fixed Rules

I came up with multiple rules, through discussion with friends and through research papers that define fixed rules for playing Nim. There are currently 4 rules implemented. The rules are as follows:

- 1. If one pile, take x number of sticks from the pile.
- 2. If two piles, take x number of sticks from the larger pile.
- 3. If two piles: a. If 1 pile has 1 stick, take x sticks b. If 2 piles have multiple sticks, take x sticks from the larger pile
- 4. If three piles and two piles have the same size, remove all sticks from the smallest pile
- 5. If n piles and n-1 piles have the same size, remove x sticks from the smallest pile until it is the same size as the other piles

Approach 1: A Lot of If-Elses The above rules are applied directly. An if-else sequence decides which strategy to employ based on the current layout and statistics on the nim board.





Player 1 has a winning strategy for all of these games! In game 1, the first player can just take all of the stones immediately. In games 2, 3, 4, and 5, the first player should use his first move to leave his opponent with two piles of the same size, and then mirror the opponents moves for the rest of the game (this will be explained in more detail in exercise 4). In games 6 and 7, the first player should use his first move to leave his opponent with four piles with one stone each; since they each can only take one stone for each of the next four turns, player 1 will win. $\hfill \Box$

Figure 2: Fixed Rules

```
from collections import Counter
       from copy import deepcopy
       from itertools import accumulate
       import logging
       from operator import xor
       import random
6
       from typing import Callable
       from lib import Genome, Nim, Nimply
10
11
       class FixedRuleNim:
12
           def __init__(self):
13
               self.num_moves = 0
14
               self.OFFSPRING_SIZE = 30
15
               self.POPULATION_SIZE = 100
               self.GENERATIONS = 100
17
               self.nim\_size = 5
18
19
           def nim_sum(self, nim: Nim):
20
               Returns the nim sum of the current game board
               by taking an XOR of all the rows.
23
               Ideally, agent should try to leave nim sum of 0 at the end of turn
24
25
               *_, result = accumulate(nim.rows, xor)
26
               return result
27
```

```
28
           def init_population(self, population_size, nim: Nim):
29
30
                Initialize population of genomes,
31
               key is rule, value is number of sticks to take
                The rules currently are:
33
                1. If one pile, take $x$ number of sticks from the pile.
34
               2. If two piles:
35
                    a. If 1 pile has 1 stick, wipe out the pile
36
                    b. If 2 piles have multiple sticks, take x sticks from any pile
37
               3. If three piles and two piles have the same size, remove all sticks
       from the smallest pile
               4. If n piles and n-1 piles have the same size, remove x sticks from
39
       the smallest pile until it is the same size as the other piles
40
               population = []
41
               for i in range(population_size):
                    # rules 3 and 4 are fixed (apply for 3 or more piles)
43
                    # different strategies for different rules (situations on the
44
                    \rightarrow board.)
                    individual = {
45
                        'rule_1': [0, random.randint(0, (nim.num_rows - 1) * 2)],
46
                        'rule_2a': [random.randint(0, 1), random.randint(0,
47
                         \rightarrow (nim.num_rows - 1) * 2)],
                        'rule_2b': [random.randint(0, 1), random.randint(0,
48
                        \rightarrow (nim.num_rows - 1) * 2)],
                        'rule_3': [nim.rows.index(min(nim.rows)), min(nim.rows)],
49
                        'rule_4': [nim.rows.index(max(nim.rows)), max(nim.rows) -
50

    min(nim.rows)]

51
                    genome = Genome(individual)
52
                    population.append(genome)
53
               return population
54
           def statistics(self, nim: Nim):
56
57
               Similar to Squillero's cooked function to get possible moves
58
                and statistics on Nim board
59
60
                # logging.info('In statistics')
                # logging.info(nim.rows)
               stats = {
63
                    'possible_moves': [(r, o) for r, c in enumerate(nim.rows) for o
64

    in range(1, c + 1) if nim.k is None or o <= nim.k],
</pre>
                    # 'possible_moves': [(row, num_objects) for row in
65

→ range(nim.num_rows) for num_objects in range(1,
                    \rightarrow nim.rows[row]+1)],
                    'num_active_rows': sum(o > 0 for o in nim.rows),
66
                    'shortest_row': min((x for x in enumerate(nim.rows) if x[1] > 0),
67
                    \rightarrow key=lambda y: y[1])[0],
```

```
'longest_row': max((x for x in enumerate(nim.rows)), key=lambda
68
                     \rightarrow y: y[1])[0],
                     # only 1-stick row and not all rows having only 1 stick
69
                     '1_stick_row': any([1 for x in nim.rows if x == 1]) and not
70
                     \rightarrow all([1 for x in nim.rows if x == 1]),
                     'nim_sum': self.nim_sum(nim)
71
                }
72
73
                brute_force = []
74
                for move in stats['possible_moves']:
75
                    tmp = deepcopy(nim)
                    tmp.nimming_remove(*move)
                    brute_force.append((move, self.nim_sum(tmp)))
78
                stats['brute_force'] = brute_force
79
80
                return stats
            def strategy(self):
83
84
                Returns the best move to make based on the statistics
85
86
                def engine(nim: Nim):
87
                    stats = self.statistics(nim)
                    if stats['num_active_rows'] == 1:
                         # logging.info('m1')
90
                        return Nimply(stats['shortest_row'], random.randint(1,
91
                             stats['possible_moves'][0][1]))
                    elif stats["num_active_rows"] % 2 == 0:
                         # logging.info('m2')
                         if max(nim.rows) == 1:
94
                             return Nimply(stats['longest_row'], 1)
95
                         else:
96
                             pile = random.choice([i for i, x in enumerate(nim.rows)
97
                             \rightarrow if x > 1])
                             return Nimply(pile, nim.rows[pile] - 1)
98
                    elif stats['num_active_rows'] == 3:
99
                         # logging.info('m3')
100
                         unique_elements = set(nim.rows)
101
                         # check if 2 rows have the same number of sticks
102
                         two_rows_with_same_elements = False
                         for element in unique_elements:
                             if nim.rows.count(element) == 2:
105
                                 two_rows_with_same_elements = True
106
                                 break
107
108
                         if len(nim.rows) == 3 and two_rows_with_same_elements:
                             # remove 1 stick from the longest row
110
                             logging.info(nim.rows)
111
                             return Nimply(stats['longest_row'], max(max(nim.rows) -
112
                             → nim.rows[stats['shortest_row']], 1))
```

```
else:
113
                              # do something random
114
                             return Nimply(*random.choice(stats['possible_moves']))
115
                     elif stats['num_active_rows'] >= 4:
116
                         # logging.info('m4')
                         counter = Counter()
118
                         for element in nim.rows:
119
                              counter[element] += 1
120
                         if len(counter) == 2:
121
                             if counter.most_common()[0][1] == 1:
122
                                  # remove x sticks from the smallest pile until it is
                                  \rightarrow the same size as the other piles
                                  return Nimply(stats['shortest_row'],
124
                                  → max(nim.rows[stats['shortest_row']] -
                                     counter.most_common()[1][0], 1))
                         return random.choice(stats['possible_moves'])
                    else:
                         # logging.info('m5')
127
                         return random.choice(stats['possible_moves'])
128
                return engine
129
130
            def random_agent(self, nim: Nim):
131
                Random agent that takes a random move
133
134
                stats = self.statistics(nim)
135
                return random.choice(stats['possible_moves'])
136
137
            def battle(self, opponent, num_games=1000):
139
                Battle this agent against another agent
140
                111
141
                wins = 0
142
                for _ in range(num_games):
                    nim = Nim()
144
                    while not nim.goal():
145
                         nim.nimming_remove(*self.play(nim))
146
                         if sum(nim.rows) == 0:
147
                             break
148
                         nim.nimming_remove(*opponent.play(nim))
149
                    if sum(nim.rows) == 0:
150
                         wins += 1
151
                return wins
152
153
        if __name__ == '__main__':
154
            rounds = 20
            evolved_agent_wins = 0
156
            for i in range(rounds):
157
                nim = Nim(5)
158
                orig = nim.rows
159
```

```
fixedrule = FixedRuleNim()
160
                engine = fixedrule.strategy()
161
162
                # play against random
163
                player = 0
                while not nim.goal():
165
                    if player == 0:
166
                         move = engine(nim)
167
                         logging.info('move of player 1: ', move)
168
                         nim.nimming_remove(*move)
169
                         player = 1
170
                         logging.info("After Player 1 made move: ", nim.rows)
                    else:
172
                         move = fixedrule.random_agent(nim)
173
                         logging.info('move of player 2: ', move)
174
                         nim.nimming_remove(*move)
175
                         player = 0
                         logging.info("After Player 2 made move: ", nim.rows)
177
                winner = 1 - player
178
                if winner == 0:
179
                     evolved_agent_wins += 1
180
            logging.info(f'Fixed rule agent won {evolved_agent_wins} out of {rounds}
181

    games¹)
```

Approach 2: Nim-Sum Will always win

```
1 from copy import deepcopy
2 from itertools import accumulate
3 from operator import xor
4 import random
5 import logging
6 from lib import Nim
  # 3.1: Agent Using Fixed Rules
  class ExpertNimSumAgent:
       1.1.1
10
       Play the game of Nim using a fixed rule
11
       (always leave nim-sum at the end of turn)
12
       111
13
       def __init__(self):
           self.num_moves = 0
16
       def nim_sum(self, nim: Nim):
17
           111
18
           Returns the nim sum of the current game board
19
           by taking an XOR of all the rows.
20
           Ideally, agent should try to leave nim sum of 0 at the end of turn
21
22
           *_, result = accumulate(nim.rows, xor)
23
```

```
return result
24
           # return sum([i^r for i, r in enumerate(nim._rows)])
25
26
       def play(self, nim: Nim):
27
           # remove objects from row to make nim-sum 0
           nim_sum = self.nim_sum(nim)
29
           all_possible_moves = [(r, o) for r, c in enumerate(nim.rows) for o in
30
           \rightarrow range(1, c+1)]
           move_found = False
31
           for move in all_possible_moves:
32
               replicated_nim = deepcopy(nim)
               replicated_nim.nimming_remove(*move)
               if self.nim_sum(replicated_nim) == 0:
35
                   nim.nimming_remove(*move)
36
                   move_found = True
37
                   break
38
           # if a valid move not found, return random move
           if not move_found:
40
               move = random.choice(all_possible_moves)
41
               nim.nimming_remove(*move)
42
43
           # logging.info(f"Move {self.num_moves}: Removed {move[1]} objects from
44
           → row {move[0]}")
           self.num_moves += 1
45
```

4.1.2 Evolved Agent Approach 1

The rules are evolved using a genetic algorithm. A dictionary of strategies is evolved. The key is the rule (scenario/antecedent). The value is the maximum number of sticks to leave on the board in this scenario.

For instance, for rule 1, the value tuned is the in "If one pile, leave a max of x sticks in the pile".

```
rule_strategy = {
    "one_pile": 2,
    "two_piles": 3,
    "three_piles": 3,
    "n_piles": 4
}

# after mutation / crossover
rule_strategy = {
    "one_pile": 3,
    "two_piles": 2,
    "three_piles": 3,
```

Opponent 1	Opponent 2	Win Rate
Evolved	Random	70%

```
"n_piles": 4
```

Mutation essentially swaps the values in the dictionaries. Crossover takes two parents and randomly chooses strategies for different rules. Intuitively, the machine tries to learn the best strategy for each scenario on the board.

```
111
2 In this file, I will try to implement Nim where there is an evolved set of
   \rightarrow rules/strategies.
3 For each scenario, I will have a set of rules that will be used to determine the
   → best move.
4 They are obtained from discussion with friends and from the paper "The Game of
   → Nim" by Ryan Julian
5 The rules currently are:
6 1. If one pile, take $x$ number of sticks from the pile.
7 2. If two piles:
      a. If 1 pile has 1 stick, take x sticks
       b. If 2 piles have multiple sticks, take x sticks from the larger pile
10 3. If three piles and two piles have the same size, remove all sticks from the
   \rightarrow smallest pile
_{11} 4. If n piles and n-1 piles have the same size, remove x sticks from the smallest
   \rightarrow pile until it is the same size as the other piles
12
14 from collections import Counter, namedtuple
15 from copy import deepcopy
16 from itertools import accumulate
17 import logging
18 from operator import xor
  import random
  from typing import Callable
20
  from lib import Genome, Nim, Nimply
23
  class BrilliantEvolvedAgent:
24
      def __init__(self):
25
           self.num_moves = 0
26
           self.OFFSPRING_SIZE = 200
           self.POPULATION_SIZE = 50
           self.GENERATIONS = 100
29
           self.nim_size = 5
30
31
      def nim_sum(self, nim: Nim):
           Returns the nim sum of the current game board
```

```
by taking an XOR of all the rows.
35
           Ideally, agent should try to leave nim sum of 0 at the end of turn
36
37
           *_, result = accumulate(nim.rows, xor)
38
           return result
40
       def init_population(self, population_size, nim: Nim):
41
42
           Initialize population of genomes,
43
           key is rule, value is number of sticks to take
44
           The rules currently are:
           1. If one pile, take $x$ number of sticks from the pile.
           2. If two piles:
47
               a. If 1 pile has 1 stick, wipe out the pile
48
               b. If 2 piles have multiple sticks, take x sticks from any pile
49
           3. If three piles and two piles have the same size, remove all sticks
50
       from the smallest pile
           4. If n piles and n-1 piles have the same size, remove x sticks from the
51
       smallest pile until it is the same size as the other piles
           5. If none of the above rules apply, just pick a random pile and take a
52
       random number of sticks
           111
53
           population = []
54
           for i in range(population_size):
                # rules 3 and 4 are fixed (apply for 3 or more piles)
56
                # different strategies for different rules (situations on the board)
57
               individual = {
58
                    'rule_1': [0, random.randint(0, (self.nim_size - 1) * 2)],
59
                    'rule_2a': [random.randint(0, 1), random.randint(0,
                    \rightarrow (self.nim_size - 1) * 2)],
                    'rule_2b': [random.randint(0, 1), random.randint(0,
61
                    \hookrightarrow (self.nim_size - 1) * 2)],
                    'rule_3': [nim.rows.index(min(nim.rows)), min(nim.rows)],
62
                    'rule_4': [nim.rows.index(max(nim.rows)), max(nim.rows) -

→ min(nim.rows)]
               }
64
               genome = Genome(individual)
65
               population.append(genome)
66
           return population
67
       def crossover(self, parent1, parent2, crossover_rate):
70
           Crossover function to combine two parents into a child
71
           111
72
           child = \{\}
           for rule in parent1.rules:
               if random.random() < crossover_rate:</pre>
75
                    child[rule] = parent1.rules[rule]
76
               else:
77
                    child[rule] = parent2.rules[rule]
78
```

```
return Genome(child)
79
80
       def tournament_selection(self, population, tournament_size):
81
82
            Tournament selection to select the best genomes
            tournament = random.sample(population, tournament_size)
85
            tournament.sort(key=lambda x: x.fitness, reverse=True)
86
            return tournament[0]
87
88
       def mutate(self, genome: Genome, mutation_rate=0.5):
90
            Mutate the genome by switching one of the rules (can end up in something
91
        stupid like removing more sticks than there are, but this is checked in the
        strategy function)
92
            rule = random.choice(list(genome.rules.keys()))
            # swap some keys
94
            if rule == 'rule_1':
95
                genome.rules[rule] = [0, random.randint(0, (self.nim_size - 1) * 2)]
96
            elif rule == 'rule_2a':
97
                genome.rules[rule] = [random.randint(0, 1), random.randint(0,
98
                 \rightarrow (self.nim_size - 1) * 2)]
            elif rule == 'rule_2b':
99
                genome.rules[rule] = [random.randint(0, 1), random.randint(0,
100
                 \rightarrow (self.nim_size - 1) * 2)]
            elif rule == 'rule_3':
101
                genome.rules[rule] = [random.randint(0, self.nim_size - 1),
102

¬ random.randint(0, (self.nim_size - 1) * 2)]

            elif rule == 'rule_4':
103
                genome.rules[rule] = [random.randint(0, self.nim_size - 1),
104

¬ random.randint(0, (self.nim_size - 1) * 2)]

            return genome
105
            # rule = random.choice(list(genome.rules.keys()))
106
            # if random.random() < mutation_rate:</pre>
107
                  genome.rules[rule] = [random.randint(0, 1), random.randint(0,
108
            \rightarrow self.nim_size * 2)]
            # return genome
109
            # rule = random.choice(list(genome.keys()))
110
            # genome[rule] = random.randint(1, 10)
111
112
       def statistics(self, nim: Nim):
113
114
            Similar to Squillero's cooked function to get possible moves
115
            and statistics on Nim board
116
            111
            stats = {
118
                'possible_moves': [(r, o) for r, c in enumerate(nim.rows) for o in
119
                 \rightarrow range(1, c + 1) if nim.k is None or o <= nim.k],
```

```
# 'possible_moves': [(row, num_objects) for row in
120
                 → range(nim.num_rows) for num_objects in range(1,
                    nim.rows[row]+1)],
                'num_active_rows': sum(o > 0 for o in nim.rows),
121
                 'shortest_row': min((x for x in enumerate(nim.rows) if x[1] > 0),
                 \rightarrow key=lambda y: y[1])[0],
                 'longest_row': max((x for x in enumerate(nim.rows)), key=lambda y:
123
                 \rightarrow y[1])[0],
                # only 1-stick row and not all rows having only 1 stick
124
                 '1_stick_row': any([1 for x in nim.rows if x == 1]) and not all([1
125
                 \rightarrow for x in nim.rows if x == 1]),
                'nim_sum': self.nim_sum(nim)
126
            }
127
128
            brute_force = []
129
            for move in stats['possible_moves']:
130
                tmp = deepcopy(nim)
                tmp.nimming_remove(*move)
132
                brute_force.append((move, self.nim_sum(tmp)))
133
            stats['brute_force'] = brute_force
134
135
            return stats
136
137
       def strategy(self, genome: dict):
139
            Returns the best move to make based on the statistics
140
141
            def evolution(nim: Nim):
142
                stats = self.statistics(nim)
                if stats['num_active_rows'] == 1:
144
                    num_to_leave = genome.rules['rule_1'][1]
145
                     # see which move will leave the most sticks
146
                    most_destructive_move = max(stats['possible_moves'], key=lambda
147
                     \rightarrow x: x[1])
                    if num_to_leave >= most_destructive_move[1]:
148
                         # remove only 1 stick
149
                         return Nimply(most_destructive_move[0], 1)
150
                    else:
151
                         # make the move that leaves the desired number of sticks
152
                         move = [(row, num_objects) for row, num_objects in

    stats['possible_moves'] if nim.rows[row] - num_objects ==
                          → num_to_leave]
                         if len(move) > 0:
154
                             return Nimply(*move[0])
155
                         else:
156
                             # make random move
                             return Nimply(*random.choice(stats['possible_moves']))
158
159
                elif stats['num_active_rows'] == 2:
160
                     # rule 2a
161
```

```
if stats['1_stick_row']:
162
                         # if there is a 1-stick row, have to choose between wiping it
163
                         → out or taking from the other row
                         if genome.rules['rule_2a'][0] == 0:
164
                             # wipe out the 1-stick row
                             logging.info('wiping out 1-stick row')
166
                             pile = [row for row in range(nim.num_rows) if
167
                             \rightarrow nim.rows[row] == 1][0]
                             return Nimply(pile, 1)
168
                         else:
169
                             # take out the desired number of sticks from the other
170
                             → row
                             pile = random.choice([index for index, x in
171
                             \rightarrow enumerate(nim.rows) if x > 1])
                             num_objects_to_remove = max(1, nim.rows[pile] -
172

    genome.rules['rule_2a'][1])

                             # move = [(row, num_objects) for row, num_objects in
173
                             → stats['possible_moves'] if nim.rows[row] -
                             → num_objects == genome.rules['rule_2a'][1]]
                             return Nimply(pile, num_objects_to_remove)
174
                    # rule 2b
175
                    # both piles have many elements, take from either the smallest or
176
                     \hookrightarrow the largest pile
                    else:
177
                         if genome.rules['rule_2b'][0] == 0:
178
                             # take from the smallest pile
179
                             pile = stats['shortest_row']
180
                             num_objects_to_remove = max(1, nim.rows[pile] -
181

    genome.rules['rule_2b'][1])

                             return Nimply(pile, num_objects_to_remove)
182
                         else:
183
                             # take from the largest pile
184
                             pile = stats['longest_row']
185
                             num_objects_to_remove = max(1, nim.rows[pile] -

    genome.rules['rule_2b'][1])

                             return Nimply(pile, num_objects_to_remove)
187
188
                elif stats['num_active_rows'] == 3:
189
                    unique_elements = set(nim.rows)
190
                    # check if 2 rows have the same number of sticks
                    two_rows_with_same_elements = False
                    for element in unique_elements:
193
                         if nim.rows.count(element) == 2:
194
                             two_rows_with_same_elements = True
195
                             break
196
                    if len(nim.rows) == 3 and two_rows_with_same_elements:
198
                         # remove 1 stick from the longest row
199
                        return Nimply(stats['longest_row'], max(max(nim.rows) -
200
                         → nim.rows[stats['shortest_row']], 1))
```

```
else:
201
                         # do something random
202
                         return Nimply(*random.choice(stats['possible_moves']))
203
204
                counter = Counter()
205
                for element in nim.rows:
206
                    counter[element] += 1
207
                if len(counter) == 2:
208
                    if counter.most_common()[0][1] == 1:
209
                         # remove x sticks from the smallest pile until it is the same
210
                         → size as the other piles
                         return Nimply(stats['shortest_row'],
211
                         → max(nim.rows[stats['shortest_row']] -
                             counter.most_common()[1][0], 1))
                     # else:
212
                         return random.choice(stats['possible_moves'])
213
                # for large number of piles, general rule to remove all but 1 stick
215
                 → from a random pile
                if stats["num_active_rows"] % 2 == 0:
216
                    if nim.rows[stats['longest_row']] == 1:
217
                         return Nimply(stats['longest_row'], 1)
218
                    else:
219
                         pile = random.choice([i for i, x in enumerate(nim.rows) if x
220

→ > 1])
                         return Nimply(pile, nim.rows[pile] - 1)
221
222
                else:
223
                     # this is a fixed rule, does not have random component
224
                     # rule from the paper Ryan Julian: The Game of Nim
225
                     # If n piles and n-1 piles have the same size, remove x sticks
226
                     \rightarrow from the smallest pile until it is the same size as the other
                     \hookrightarrow piles
                     # check if only 1 pile has a different number of sticks
227
                     # just make a random move if all else fails
228
                    return random.choice(stats['possible_moves'])
229
            return evolution
230
231
        def random_agent(self, nim: Nim):
232
233
            Random agent that takes a random move
            111
235
            stats = self.statistics(nim)
236
            return random.choice(stats['possible_moves'])
237
238
        def dumb_agent(self, nim: Nim):
240
            Agent that takes one element from the longest row
241
            111
242
            stats = self.statistics(nim)
243
```

```
return (stats['longest_row'], 1)
244
245
       def aggressive_agent(self, nim: Nim):
246
247
            Agent that takes the largest possible move
249
            stats = self.statistics(nim)
250
            if stats['num_active_rows'] % 2 == 0:
251
                return random.choice(stats['possible_moves'])
252
            else:
253
                row = stats['longest_row']
254
                return (row, nim.rows[row])
256
            # stats = self.statistics(nim)
257
            # return max(stats['possible_moves'], key=lambda x: x[1])
258
259
       def calculate_fitness(self, genome):
261
            Calculate fitness by playing the genome's strategy against a random
262
        agent
            (cannot use nim sum agent as it is too good)
263
            111
264
            wins = 0
265
            for i in range(5):
                nim = Nim(5)
267
                player = 0
268
                engine = self.strategy(genome)
269
                while not nim.goal():
270
                    if player == 0:
                         move = engine(nim)
272
                         nim.nimming_remove(*move)
273
                         player = 1
274
                    else:
275
                         nim.nimming_remove(*self.random_agent(nim))
                         player = 0
277
                winner = 1 - player
278
                if winner == 0:
279
                    wins += 1
280
            return wins / 5
281
       def select_survivors(self, population: list, num_survivors: int):
284
            Select the best genomes from the population
285
            111
286
            return sorted(population, key=lambda x: x.fitness,
287
            → reverse=True)[:num_survivors]
288
       def learn(self, population_size=100, mutation_rate=0.1, crossover_rate=0.7,
289
           nim: Nim = None):
            initial_population = self.init_population(population_size, nim)
290
```

```
for genome in initial_population:
291
                genome.fitness = self.calculate_fitness(genome)
292
            for i in range(self.GENERATIONS):
293
                # logging.info(f'Generation {i}')
294
                new_offspring = []
295
                for j in range(self.OFFSPRING_SIZE):
296
                    parent1 = random.choice(initial_population)
297
                    parent2 = random.choice(initial_population)
298
                     child = self.crossover(parent1, parent2, crossover_rate)
299
                     child = self.mutate(child)
300
                    new_offspring.append(child)
301
                initial_population += new_offspring
                initial_population = self.select_survivors(initial_population,
303
                 → population_size)
            best_strategy = initial_population[0]
304
            return best_strategy
305
       def battle(self, opponent, num_games=1000):
307
            111
308
            Battle this agent against another agent
309
310
            wins = 0
311
            for _ in range(num_games):
312
                nim = Nim()
313
                while not nim.goal():
314
                    nim.nimming_remove(*self.play(nim))
315
                     if sum(nim.rows) == 0:
316
                         break
317
                    nim.nimming_remove(*opponent.play(nim))
                if sum(nim.rows) == 0:
319
                    wins += 1
320
            return wins
321
322
   if __name__ == '__main__':
       rounds = 20
324
        evolved_agent_wins = 0
325
       for i in range(rounds):
326
            nim = Nim(5)
327
            orig = nim.rows
328
            brilliantagent = BrilliantEvolvedAgent()
329
            best_strategy = brilliantagent.learn(nim=nim)
            engine = brilliantagent.strategy(best_strategy)
331
332
            # play against random
333
            player = 0
334
            while not nim.goal():
                if player == 0:
336
                    move = engine(nim)
337
                    logging.info('move of player 1: ', move)
338
                    nim.nimming_remove(*move)
339
```

```
player = 1
340
                    logging.info("After Player 1 made move: ", nim.rows)
341
                else:
342
                    move = brilliantagent.random_agent(nim)
343
                    logging.info('move of player 2: ', move)
                    nim.nimming_remove(*move)
345
                    player = 0
346
                    logging.info("After Player 2 made move: ", nim.rows)
347
            winner = 1 - player
348
            if winner == 0:
349
                evolved_agent_wins += 1
350
       logging.info(f'Evolved agent won {evolved_agent_wins} out of {rounds} games')
351
```

4.1.3 Evolved Agent Approach 2 (Probability Thresholds)

Strategies were originally chosen based on probability thresholds and a random number. The list of probabilities (thresholds) are evolved using a genetic algorithm. Intuitively, the machine tries to learn the best probability of choosing each strategy, regardless of the rule.

I discussed this approach with both Prof. Squillero and Calabrese. They both agreed that this was worth exploring. However, upon implementing, I realised that tuning probability thresholds produces poor, near-random performance, as the system is making decisions without any knowledge of the current situation on the board, or any knowledge of the rules.

```
# 3.2: Agent Using Evolved Rules (Randomly Chooses Between Strategies Based

→ on Probabilities)

from itertools import accumulate

from operator import xor

import random

import numpy as np
```

```
from lib import Nim
8
       class EvolvedAgent1:
9
10
           Plays Nim using a set of rules that are evolved
12
           def __init__(self):
13
               self.num_moves = 0
14
15
           def nim_sum(self, nim: Nim):
16
               Returns the nim sum of the current game board
               by taking an XOR of all the rows.
19
               Ideally, agent should try to leave nim sum of 0 at the end of turn
20
21
               *_, result = accumulate(nim.rows, xor)
               return result
24
           def play_nim(self, nim: Nim, prob_list: list):
25
26
               GA can choose between the following strategies:
               1. Randomly pick any row and any number of elements from that row
28
               2. Pick the shortest row
               3. Pick the longest row
30
               4. Pick based on the nim-sum of the current game board
31
32
               all_possible_moves = [(r, o) for r, c in enumerate(nim.rows) for o in
33
                \rightarrow range(1, c+1)]
               strategies = {
                    'nim_sum': random.choice([move for move in all_possible_moves if
35

    self.nim_sum(deepcopy(nim).nimming_remove(*move)) == 0]),
                    'random': random.choice(all_possible_moves),
36
                    'all_elements_shortest_row': (nim.rows.index(min(nim.rows)),
37

→ min(nim.rows)),
                    '1_element_shortest_row': (nim.rows.index(min(nim.rows)), 1),
38
                    'random_element_shortest_row': (nim.rows.index(min(nim.rows)),
39
                    → random.randint(1, min(nim.rows))),
                    'all_elements_longest_row': (nim.rows.index(max(nim.rows)),
40
                    \rightarrow max(nim.rows)),
                    '1_element_longest_row': (nim.rows.index(max(nim.rows)), 1),
41
                    'random_element_longest_row': (nim.rows.index(max(nim.rows)),
42
                    → random.randint(1, max(nim.rows))),
               }
43
44
               p = random.random()
45
               strategy = None
               if p < prob_list[0]:</pre>
47
                    strategy = strategies['random']
48
               elif p >= prob_list[0] and p < prob_list[1]:</pre>
49
```

```
strategy =
50
                    \rightarrow random.choice([strategies['all_elements_shortest_row'],
                        strategies['1_element_shortest_row'],

    strategies['random_element_shortest_row']])

               elif p >= prob_list[1] and p < prob_list[2]:</pre>
                    strategy = random.choice([strategies['all_elements_longest_row'],

→ strategies['1_element_longest_row'],
                      strategies['random_element_longest_row']])
               else:
53
                    strategy = strategies['nim_sum']
54
               nim.nimming_remove(*strategy)
               self.num_moves += 1
57
               return sum(nim.rows)
58
59
           def play(self, nim: Nim):
60
               Play the game of Nim using the evolved rules
62
63
               prob_list = [0.25, 0.5, 0.75, 1]
64
               prob_list = self.evolve_probabilities(nim, prob_list, 20, 5)
65
               self.play_nim(nim, prob_list)
66
           def crossover(self, p1, p2):
                111
69
               Crossover between two parents
70
71
               return np.random.choice(p1 + p2, size=4, replace=True)
           def evolve_probabilities(self, nim: Nim, prob_list: list,
74
            → num_generations: int, num_children: int):
               111
75
               Evolve the probabilities of the strategies
76
               # create initial population
78
               population = [prob_list for _ in range(num_children)]
79
               # create initial fitness scores
80
               fitness_scores = [self.play(nim, p) for p in population]
81
               # create initial parents
82
               parents = [population[i] for i in np.argsort(fitness_scores)[:2]]
               # create new population
               new_population = []
85
               for _ in range(num_generations):
86
                    # create children
87
                   for _ in range(num_children):
88
                        p1 = random.choice(parents)
                        p2 = random.choice(parents)
90
                        child = self.crossover(p1, p2)
91
                        # child = []
92
                        # for i in range(len(parents[0])):
93
```

```
# crossover between parents
94
95
                               child.append(random.choice(parents)[i])
96
                        new_population.append(child)
97
                    # create fitness scores
                    fitness_scores = [self.play_nim(nim, p) for p in new_population]
                    # create new parents
100
                    parents = [new_population[i] for i in
101
                    → np.argsort(fitness_scores)[:2]]
                    # create new population
102
                    new_population = []
103
                return parents[0]
104
```

4.1.4 Minmax

In 'minmax.py', the minimax algorithm is implemented. It recursively traverses the game tree to maximise potential returns. As a result, it is a near-optimal strategy that reported '100%' win rate against random opponents.

Since the recursive algorithm is slow:

- 1. The tree is pruned momentarily, stopping the algorithm from exploring parts of the tree that will not materialise on the game board.
- 2. A maximum depth is set, so that the recursive loop is stopped when a particular depth is reached.

Although not significant, an '@lru_cache' decorator is applied on the minmax operation after ensuring that the Nim state (row composition) is serializable.

```
1 from copy import deepcopy
2 from functools import lru_cache
3 from itertools import accumulate
4 import math
5 from operator import xor
6 from evolved_nim import BrilliantEvolvedAgent
7 import logging
8 from lib import Nim
10 logging.basicConfig(level=logging.INFO)
11
  class MinMaxAgent:
      def __init__(self):
          self.num_moves = 0
14
15
      def nim_sum(self, nim: Nim):
16
           111
17
           Returns the nim sum of the current game board
           by taking an XOR of all the rows.
```

```
Ideally, agent should try to leave nim sum of 0 at the end of turn
20
21
           *_, result = accumulate(nim.rows, xor)
22
           return result
23
       def evaluate(self, nim: Nim, is_maximizing: bool):
25
26
           Returns the evaluation of the current game board
27
           111
28
           if all(row == 0 for row in nim.rows):
29
               return -1 if is_maximizing else 1
           else:
               return -1
32
33
       @lru_cache(maxsize=1000)
34
       def minmax(self, nim: Nim, depth: int, maximizing_player: bool, alpha: int =
       \rightarrow -1, beta: int = 1, max_depth: int = 7):
           111
36
           Depth-limited Minimax algorithm to find the best move with alpha-beta
37
       pruning and depth limit
           111
38
           logging.info("Depth ", depth)
39
           if depth == 0 or nim.goal() or depth == max_depth:
40
                # logging.info("Depth ", depth)
               # logging.info("Nim goal ", nim.goal())
42
               return self.evaluate(nim, maximizing_player)
43
44
           if maximizing_player:
45
               value = -math.inf
               for r, c in enumerate(nim.rows):
47
                   for o in range(1, c+1):
48
                        # make copy of nim object before running a nimming operation
49
                        replicated_nim = deepcopy(nim)
50
                        replicated_nim.nimming_remove(r, o)
                        value = max(value, self.minmax(replicated_nim, depth-1,
                        → False, alpha, beta))
                        alpha = max(alpha, value)
53
                        if beta <= alpha:</pre>
54
                            logging.info("Pruned")
55
                            break
               return value
57
           else:
58
               value = math.inf
59
               for r, c in enumerate(nim.rows):
60
                    for o in range(1, c+1):
61
                        # make copy of nim object before running a nimming operation
                        replicated_nim = deepcopy(nim)
63
                        replicated_nim.nimming_remove(r, o)
64
                        value = min(value, self.minmax(replicated_nim, depth-1, True,
65
                            alpha, beta))
```

```
beta = min(beta, value)
66
                         if beta <= alpha:</pre>
67
                             logging.info("Pruned")
68
                             break
69
                return value
71
       def play(self, nim: Nim):
72
73
            Agent returns the best move based on minimax algorithm
74
75
            possible_moves = []
            for r, c in enumerate(nim.rows):
                for o in range(1, c+1):
78
                    # make copy of nim object before running a nimming operation
79
                    replicated_nim = deepcopy(nim)
80
                    replicated_nim.nimming_remove(r, o)
81
                    possible_moves.append((r, o, self.minmax(replicated_nim, 10,
                     → False)))
            # sort possible moves by the value returned by minimax
83
            possible_moves.sort(key=lambda x: x[2], reverse=True)
84
            # return the best move
85
            return possible_moves[0][0], possible_moves[0][1]
86
       def battle(self, opponent, num_games=1000):
88
89
            Battle this agent against another agent
90
            111
91
            wins = 0
            for _ in range(num_games):
                nim = Nim()
94
                while not nim.goal():
95
                    nim.nimming_remove(*self.play(nim))
96
                    if sum(nim.rows) == 0:
97
                         break
                    nim.nimming_remove(*opponent.play(nim))
99
                if sum(nim.rows) == 0:
100
                    wins += 1
101
            return wins
102
103
   if __name__ == "__main__":
105
       rounds = 10
106
107
       minmax_wins = 0
108
109
       for i in range(rounds):
            nim = Nim(num_rows=5)
            agent = MinMaxAgent()
111
            random_agent = BrilliantEvolvedAgent()
112
            player = 0
113
            while not nim.goal():
114
```

```
if player == 0:
115
                    move = agent.play(nim)
116
                    logging.info(f"Minmax move {agent.num_moves}: Removed {move[1]}
117
                    → objects from row {move[0]}")
                    logging.info(nim.rows)
                    nim.nimming_remove(*move)
119
                else:
120
                    move = random_agent.random_agent(nim)
121
                    logging.info(f"Random move {random_agent.num_moves}: Removed
122

→ {move[1]} objects from row {move[0]}")
                    logging.info(nim.rows)
                    nim.nimming_remove(*move)
                player = 1 - player
125
126
           winner = 1 - player
127
            if winner == 0:
128
                minmax_wins += 1
            # player that made the last move wins
130
            logging.info(f"Player {winner} wins in round {i+1}!")
131
132
       logging.info(f"Minmax wins {minmax_wins} out of {rounds} rounds")
133
```

4.1.5 Reinforcement Learning

Both temporal difference learning (TDL) and monte carlo learning (MCL) are implemented. In TDL, the Q values are updated after each move. In MCL, the learning is episodic so a goal dictionary is traversed backwards.

State Hashing The state for TDL consists of a key-value dictionary. The representation is: (the rows in nim, action tuple): Q. The rows are hashed into a string, with each value separated by a hyphen. In TDL, Q values are updated after each move.

Temporal Difference Learning (TDL)

$$Q(s, a) \leftarrow Q(s, a) + \alpha \left(r + \gamma \max_{a'} Q(s', a') - Q(s, a) \right)$$

TDL exploits the Markov property of the game, where the next state is only dependent on the current state and the action taken. Performance was initially poor, but improved after tuning the hyperparameters (alpha, gamma, epsilon).

The best reported win rate is 80% against a random opponent after 5000 rounds of training at a 0.4 epsilon (exploration rate) and 1000 iterations of testing at 0 epsilon (max exploitation). Learning rate is decayed accordingly.

```
class NimRLTemporalDifferenceAgent:
3 An agent that learns to play Nim through temporal difference learning.
  def __init__(self, num_rows: int, epsilon: float = 0.4, alpha: float = 0.3,
      gamma: float = 0.9):
       """Initialize agent."""
       self.num_rows = num_rows
       self.epsilon = epsilon
      self.alpha = alpha
      self.gamma = gamma
      self.current_state = None
      self.previous_state = None
12
       self.previous_action = None
13
       self.Q = dict()
14
15
  def init_reward(self, state: Nim):
       '''Initialize reward for every state and every action with a random value'''
17
       for i in range(1, state.num_rows):
18
           nim = Nim(num_rows=i)
19
           for r, c in enumerate(nim.rows):
20
               for o in range(1, c+1):
21
                   self.set_Q(hash_list(nim.rows), (r, o),
                               np.random.uniform(0, 0.01))
23
24
  def get_Q(self, state: Nim, action: tuple):
25
       """Return Q-value for state and action."""
26
       if (hash_list(state.rows), action) in self.Q:
           logging.info("Getting Q for state: {} and action:
           → {}".format(hash_list(state.rows), action))
           logging.info("Q-value: {}".format(self.Q[(hash_list(state.rows),
29
           → action)]))
           return self.Q[(hash_list(state.rows), action)]
30
       else:
           # initialize Q-value for state and action
32
           self.set_Q(hash_list(state.rows), action, np.random.uniform(0, 0.01))
33
           return self.Q[(hash_list(state.rows), action)]
34
35
  def set_Q(self, state: str, action: tuple, value: float):
36
       """Set Q-value for state and action."""
37
       # logging.info("Setting Q for state: {} and action: {} to value:
38
       → {}".format(state, action, value))
      self.Q[(state, action)] = value
39
40
  def get_max_Q(self, state: Nim):
41
       """Return maximum Q-value for state."""
42
      max_Q = -math.inf
43
       # logging.info(state.rows)
44
      for r, c in enumerate(state.rows):
45
           for o in range(1, c+1):
46
```

```
# logging.info("Just Q: {}".format(self.get_Q(state, (r, o))))
47
               \max_{Q} = \max(\max_{Q}, \text{ self.get}_{Q}(\text{state}, (r, o)))
48
       # logging.info("Max Q: {}".format(max_Q))
49
       return max_Q
50
   def get_average_Q(self, state: Nim):
       """Return average Q-value for state."""
53
       total_Q = 0
54
       for r, c in enumerate(state.rows):
55
           for o in range(1, c+1):
56
               total_Q += self.get_Q(state, (r, o))
       return total_Q / len(state.rows)
59
   def get_possible_actions(self, state: Nim):
60
       """Return all possible actions for state."""
61
       possible_actions = []
62
       for r, c in enumerate(state.rows):
           for o in range(1, c+1):
64
               possible_actions.append((r, o))
65
       return possible_actions
66
67
   def get_action(self, state: Nim):
68
       """Return action based on epsilon-greedy policy."""
       if random.random() < self.epsilon:</pre>
70
           return random.choice(self.get_possible_actions(state))
71
       else:
72
           logging.info("Getting best action")
73
           max_Q = -math.inf
           best_action = None
           for r, c in enumerate(state.rows):
76
               for o in range(1, c+1):
                    Q = self.get_Q(state, (r, o))
78
                    if Q > max_Q:
79
                        max_Q = Q
                        best_action = (r, o)
           return best_action
82
83
   def register_state(self, state: Nim):
84
       # for each possible move in state, initialize random Q value
85
       for r, c in enumerate(state.rows):
           for o in range(1, c+1):
               if (hash_list(state.rows), (r, o)) not in self.Q:
88
                    val = np.random.uniform(0, 0.01)
89
                    # logging.info("Registering state: {} and action: {} to
90
                    \rightarrow {}".format(state.rows, (r, o), val))
                    self.set_Q(hash_list(state.rows), (r, o), val)
               else:
92
                    logging.info("State already registered: {} and action:
93
                    → {}".format(state.rows, (r, o)))
94
```

```
def update_Q(self, reward: int, game_over: bool):
       """Update Q-value for previous state and action."""
96
97
       if game_over:
98
           # self.set_Q(hash_list(self.previous_state.rows), self.previous_action,
            \rightarrow reward)
           self.set_Q(hash_list(self.previous_state.rows), self.previous_action,
100
               self.get_Q(self.previous_state, self.previous_action) + self.alpha *
               (reward - self.get_Q(self.previous_state, self.previous_action)))
101
       else:
102
       # if reward != -1:
103
           self.register_state(self.current_state)
104
           if self.previous_action is not None:
105
               self.set_Q(hash_list(self.previous_state.rows), self.previous_action,
106

    self.get_Q(self.previous_state, self.previous_action) +

                            self.alpha * (reward + self.gamma) *
107

→ self.get_Q(self.previous_state,
                               self.previous_action)))
       # else:
108
             self.set_Q(hash_list(self.previous_state.rows), self.previous_action,
109
          self.get_Q(self.previous_state, self.previous_action) + self.alpha *
           (reward - self.get_Q(self.previous_state, self.previous_action)))
110
   def print_best_action_for_each_state(self):
111
       for state in self.Q:
112
           logging.info("State: {}".format(state[0]))
113
           nim = Nim(5)
           nim.rows = unhash_list(state[0])
115
           logging.info("Best action: {}".format(self.choose_action(nim)))
116
117
   def test_against_random(self, round, random_agent):
118
       wins = 0
       for i in range(rounds):
120
           nim = Nim(num_rows=5)
121
           player = 0
122
           while not nim.goal():
123
               if player == 0:
124
                   move = self.choose_action(nim)
                    # logging.info(f"Reinforcement move: Removed {move[1]} objects
126
                    → from row {move[0]}")
                   nim.nimming_remove(*move)
127
               else:
128
                   move = random_agent(nim)
129
                    # logging.info(f"Random move {random_agent.num_moves}: Removed
                    → {move[1]} objects from row {move[0]}")
                   nim.nimming_remove(*move)
131
               player = 1 - player
132
133
```

```
winner = 1 - player
134
            if winner == 0:
135
                wins += 1
136
137
       logging.info(f"Win Rate in round {round}: {wins / rounds}")
139
   def battle(self, agent, rounds=1000, training=True, momentary_testing=False):
140
        """Train agent by playing against other agents."""
141
       agent_wins = 0
142
       winners = []
143
       for episode in range(rounds):
            # logging.info(f"Episode {episode}")
            nim = Nim(num_rows=5)
146
            self.current_state = nim
147
            self.previous_state = None
148
            self.previous_action = None
149
            player = 0
            while True:
151
                reward = 0
152
                if player == 0:
153
                     self.previous_state = deepcopy(self.current_state)
154
                    self.previous_action = self.get_action(self.current_state)
155
                    self.current_state.nimming_remove(
156
                         *self.previous_action)
157
                    player = 1
158
                else:
159
                    move = agent(self.current_state)
160
                     # logging.info("Random agent move: {}".format(move))
161
                    self.current_state.nimming_remove(*move)
                    player = 0
163
164
                # learning by calculating reward for the current state
165
                if self.current_state.goal():
166
                    winner = 1 - player
                    if winner == 0:
168
                         logging.info("Agent won")
169
                         agent_wins += 1
170
                         reward = 1
171
                    else:
172
                         logging.info("Random won")
                         reward = -1
174
                    winners.append(winner)
175
                    self.update_Q(reward, self.current_state.goal())
176
                    break
177
                else:
178
                     self.update_Q(reward, self.current_state.goal())
180
            # decay epsilon after each episode
181
            self.epsilon = self.epsilon - 0.1 if self.epsilon > 0.1 else 0.1
182
            self.alpha *= -0.0005
183
```

```
if self.alpha < 0.1:
184
                self.alpha = 0.1
185
186
            if training and momentary_testing:
187
                if episode % 100 == 0:
                    logging.info(f"Episode {episode} finished, sampling")
189
                    random_agent = BrilliantEvolvedAgent()
190
                    self.test_against_random(
191
                         episode, random_agent.random_agent)
192
193
        if not training:
194
            logging.info("Reinforcement agent won {} out of {} games".format(
                agent_wins, rounds))
196
        # self.print_best_action_for_each_state()
197
       return winners
198
199
   def choose_action(self, state: Nim):
        """Return action based on greedy policy."""
201
       max_Q = -math.inf
202
       best_action = None
203
       for r, c in enumerate(state.rows):
204
            for o in range(1, c+1):
205
                Q = self.get_Q(state, (r, o))
206
                if Q > max_Q:
                    max_Q = Q
208
                    best_action = (r, o)
209
        if best_action is None:
210
            return random.choice(self.get_possible_actions(state))
211
       else:
            return best_action
213
214
215 if __name__ == "__main__":
216 rounds = 10000
217 minmax_wins = 0
218
219 nim = Nim(num_rows=5)
agent_tda = NimRLTemporalDifferenceAgent(num_rows=5)
221 random_agent = RandomAgent()
222
   # agentG = NimRLMonteCarloAgent(num_rows=7)
   agent_tda.battle(random_agent.play, rounds=10000)
   agent_tda.epsilon = 0.1
225
226
227 # TESTING
228 logging.info("Testing against random agent")
229 agent_tda.battle(random_agent.random_agent, training=False, rounds=1000)
```

Monte Carlo Learning

$$Q(s, a) \leftarrow Q(s, a) + \alpha \left(G - Q(s, a)\right)$$

In MCL, the learning is episodic so a goal dictionary is traversed backwards. MCL takes a more holistic approach to learning, where rewards are based on every past move.

```
logging.basicConfig(level=logging.INFO)
3 def hash_list(1):
       111
4
       Hashes a list of integers into a string
       return "-".join([str(i) for i in 1])
  def unhash_list(l):
10
11
       Unhashes a string of integers into a list
12
       return [int(i) for i in l.split("-")]
15
16
  def decay(value, decay_rate):
17
       return value * decay_rate
18
19
  class NimRLMonteCarloAgent:
       def __init__(self, num_rows: int, epsilon: float = 0.3, alpha: float = 0.5,
22
          gamma: float = 0.9):
           """Initialize agent."""
           self.num_rows = num_rows
           self.epsilon = epsilon
           self.alpha = alpha
26
           self.gamma = gamma
27
           self.current_state = None
28
           self.previous_state = None
29
           self.previous_action = None
           self.G = dict()
31
           self.state_history = []
32
33
       def get_action(self, state: Nim):
34
           """Return action based on epsilon-greedy policy."""
           if random.random() < self.epsilon:</pre>
               action = random.choice(self.get_possible_actions(state))
               if (hash_list(state.rows), action) not in self.G:
38
                    self.G[(hash_list(state.rows), action)] = random.uniform(1.0,
39
                    \rightarrow 0.01)
               return action
           else:
41
               max_G = -math.inf
42
               best_action = None
43
```

```
for r, c in enumerate(state.rows):
44
                    for o in range(1, c+1):
45
                        if (hash_list(state.rows), (r, o)) not in self.G:
46
                            self.G[(hash_list(state.rows), (r, o))] =
47
                             \rightarrow random.uniform(1.0, 0.01)
                            G = self.G[(hash_list(state.rows), (r, o))]
48
                        else:
49
                            G = self.G[(hash_list(state.rows), (r, o))]
50
                        if G > max_G:
51
                            max_G = G
52
                            best_action = (r, o)
               return best_action
55
       def update_state(self, state, reward):
56
           self.state_history.append((state, reward))
57
       def learn(self):
           target = 0
60
61
           for state, reward in reversed(self.state_history):
62
               self.G[state] = self.G.get(state, 0) + self.alpha * (target -
63
                   self.G.get(state, 0))
               target += reward
65
           self.state_history = []
66
           self.epsilon -= 10e-5
67
68
       def compute_reward(self, state: Nim):
69
           return 0 if state.goal() else -1
71
       def get_possible_actions(self, state: Nim):
72
           actions = []
73
           for r, c in enumerate(state.rows):
74
               for o in range(1, c+1):
                    actions.append((r, o))
           return actions
78
       def get_G(self, state: Nim, action: tuple):
79
           return self.G.get((hash_list(state.rows), action), 0)
80
       def battle(self, opponent, training=True):
           player = 0
83
           agent_wins = 0
84
           for episode in range(rounds):
85
               self.current_state = Nim(num_rows=self.num_rows)
86
               while True:
                    if player == 0:
88
                        action = self.get_action(self.current_state)
89
                        self.current_state.nimming_remove(*action)
90
                        reward = self.compute_reward(self.current_state)
91
```

```
self.update_state(hash_list(self.current_state.rows), reward)
92
                        player = 1
93
                    else:
94
                         action = opponent(self.current_state)
95
                         self.current_state.nimming_remove(*action)
                         player = 0
98
                    if self.current_state.goal():
99
                         logging.info("Player {} wins!".format(1 - player))
100
                         break
101
                winner = 1 - player
                if winner == 0:
104
                    agent_wins += 1
105
                # episodic learning
106
                self.learn()
107
                if episode % 1000 == 0:
109
                    logging.info("Win rate: {}".format(agent_wins / (episode + 1)))
110
            if not training:
111
                logging.info("Win rate: {}".format(agent_wins / rounds))
112
```

4.2 Acknowledgements

I have discussed with Karl Wennerstrom and Diego Gasco.

My reinforcement agent initially performed very poorly until I realised that there was a bug in update_Q, where I forgot to hash the nim state before checking the presence of the compound key in the Q dictionary. Hence, it was reinitialised every time, effectively rendering random performance and wasting a big chunk of my time.

4.3 Received Reviews

Xiusss

Hi! Your code is really clean. There are a lot of useful and really detailed comments. Monte Carlo method is a good choice, well done! Despite it didn't give you the outcome you expected, I found the approach referred to as "approach 2" of task 3.2 really interesting.

NIce!

Francesco Sattolo

Design considerations:

- The rule based agent works correctly
- The first evolution approach is very interesting since it evolves taking into consideration the current state of the board.
- The second evolution approach is similar to what I've done so good job coming up with both In the fitness function maybe you could also make it compete with different strategies and not only with pure_random, so that it can improve more. You could also consider different Nim games with different size, to face a bigger variety of situations With the minmax agent some strategies can be implemented to improve performances with bigger Nim games (for example considering as equal different Nim games like 1,2,3,4 and 1,2,4,3) Very good job with the reinforcement learning agent

Implementation considerations:

- Executing the code as it is does not produce any output for me, I managed to see some output by replacing logging.info invocations with print. The reason, for example in fixed_rules_nim.py is that the line logging.basicConfig(level=logging.INFO) is missing, and sometimes you use the "print syntax" for the parameters, which is not accepted by the logging library (('move of player 1: ', move)). My suggestion is to always use f-strings, since they are accepted by both print and logging.info and are very powerful and easy to use.
- There are some "copy-paste" oversights, like the init_population which is not used in the fixed_rule_nim.py or some variable names.
- There is no way to see the ExpertNimSumAgent in action.
- For the ExpertNimSumAgent there is a way to compute the best move (the one that brings the nim sum=0) without bruteforcing it, which will improve performance. You can find it in my repository.
- *_, result = accumulate(state.rows, xor) can be replaced by result = reduce(state.rows, xor)
- In the evaluate function of the MinMaxAgent you could use the goal function that you defined for the Nim class for consistency.
- Hardcoding lru cache size of 1000 would probably not contain many possible states when working with big games.
- You use 7 as max hardcoded depth, but actually you start with depth = 10 and remove 1 depth at every iteration. This effectively means that you only go 3 layers deep, which only allow you to solve very small Nim games.
- Well written readme

4.4 Given Reviews

5 Final Project

6 Conclusion

Ok bye.