# **Bilingual Word Embeddings for Phrase-Based Machine Translation**

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#### **Abstract**

We introduce bilingual word embeddings: semantic embeddings associated across two languages in the context of neural language models. We propose a method to learn bilingual embeddings from a large unlabeled corpus, while utilizing MT word alignments to constrain translational equivalence. The new embeddings significantly out-perform baselines in word semantic similarity. A single semantic similarity feature induced with bilingual embeddings adds near half a BLEU point to the results of NIST08 Chinese-English machine translation task.

#### 1 Introduction

It is difficult to recognize and quantify semantic similarities across languages. The Fr-En phrase-pair {'un cas de force majeure', 'case of absolute necessity'}, Zh-En phrase pair {'依然故我', 'persist in a stubborn manner'} are similar in semantics. If co-occurrences of exact word combinations are rare in the training parallel text, it can be difficult for classical statistical MT methods to identify this similarity, or produce a reasonable translation given the source phrase.

We introduce an unsupervised neural model to learn bilingual semantic embedding for words across two languages. As an extension to their monolingual counter-part (Turian et al., 2010; Huang et al., 2012; Bengio et al., 2003), bilingual embeddings capture not only semantic information of monolingual words, but also semantic relationships across different languages. This prop-

erty allows them to define semantic similarity metrics across phrase-pairs, making them perfect features for machine translation.

To learn bilingual embeddings, we use a new objective function which embodies both monolingual semantics and bilingual translation equivalence. The latter utilizes word alignments, a natural sub-task in the machine translation pipeline. Through large-scale curriculum training (Bengio et al., 2009), we obtain bilingual distributed representations which lie in the same feature space. Embeddings of direct translations overlap, and semantic relationships across bilingual embeddings were further improved through unsupervised learning on a large unlabeled corpus.

Consequently, we produce for the research community a first set of Mandarin Chinese word embeddings with 100,000 words trained on the Chinese Gigaword corpus. We evaluate these embedding on Chinese word semantic similarity from *SemEval-2012* (Jin and Wu, 2012). The embeddings significantly out-perform prior work and pruned *tf-idf* base-lines. In addition, the learned embeddings give rise to 0.11 F1 improvement in Named Entity Recognition on the OntoNotes dataset (Hovy et al., 2006) with a neural network model.

We apply the bilingual embeddings in an end-toend phrase-based MT system by computing semantic similarities between phrase pairs. On NIST08 Chinese-English translation task, we obtain an improvement of 0.48 BLEU from a competitive baseline (30.01 BLEU to 30.49 BLEU) with the Stanford Phrasal MT system.

# 2 Review of prior work

Distributed word representations are useful in NLP applications such as information retrieval (Paşca et al., 2006; Manning et al., 2008), search query expansions (Jones et al., 2006), or representing semantics of words (Reisinger et al., 2010). A number of methods have been explored to train and apply word embeddings using continuous models for Collobert et al. (2008) learn embedlanguage. dings in an unsupervised manner through a contrastive estimation technique. Mnih and Hinton ( 2008), Morin and Bengio (2005) proposed efficient hierarchical continuous-space models. To systematically compare embeddings, Turian et al. (2010) evaluated improvements they bring to state-of-theart NLP benchmarks. Huang et al. (2012) introduced global document context and multiple word prototypes. Recently, morphology is explored to learn better word representations through Recursive Neural Networks (Luong et al., 2013).

Bilingual word representations have been explored with hand-designed vector space models (Peirsman and Padó, 2010; Sumita, 2000), and with unsupervised algorithms such as LDA and LSA (Boyd-Graber and Resnik, 2010; Tam et al., 2007; Zhao and Xing, 2006). Only recently have continuous space models been applied to machine translation (Le et al., 2012). Despite growing interest in these models, little work has been done along the same lines to train bilingual distributioned word representations to improve machine translation. In this paper, we learn bilingual word embeddings which achieve competitive performance on semantic word similarity, and apply them in a practical phrase-based MT system.

# 3 Algorithm and methods

## 3.1 Unsupervised training with global context

Our method starts with embedding learning formulations in Collobert et al. (2008). Given a context window c in a document d, the optimization minimizes the following Context Objective for a word w in the vocabulary:

$$J_{CO}^{(c,d)} = \sum_{w^r \in V_R} \max(0, 1 - f(c^w, d) + f(c^{w^r}, d))$$
(1)

Here f is a function defined by a neural network.  $w^r$  is a word chosen in a random subset  $V_R$  of the vocabulary, and  $e^{w^r}$  is the context window containing word  $w^r$ . This unsupervised objective function contrasts the score between when the correct word is placed in context with when a random word is placed in the same context. We incorporate the global context information as in Huang et al. (2012), shown to improve performance of word embeddings.

## 3.2 Bilingual initialization and training

In the joint semantic space of words across two languages, the Chinese word '政府' is expected to be close to its English translation 'government'. At the same time, when two words are not direct translations, e.g. 'lake' and the Chinese word '潭' (deep pond), their semantic proximity could be correctly quantified.

We describe in the next sub-sections the methods to intialize and train bilingual embeddings. These methods ensure that bilingual embeddings retain their translational equivalence while their distributional semantics are improved during online training with a monolingual corpus.

## 3.2.1 Initialization by MT alignments

First, we use MT Alignment counts as weighting to initialize Chinese word embeddings. In our experiments, we use MT word alignments extracted with the Berkeley Aligner (Liang et al., 2006) <sup>1</sup>. Specifically, we use the following equation to compute starting word embeddings:

$$W_{t\text{-}init} = \sum_{s=1}^{S} \frac{C_{ts} + 1}{C_t + S} W_s \tag{2}$$

In this equation, S is the number of possible target language words that are aligned with the source word.  $C_{ts}$  denotes the number of times when word t in the target and word s in the source are aligned in the training parallel text;  $C_t$  denotes the total number of counts of word t that appeared in the target language. Finally, Laplace smoothing is applied to this weighting function.

<sup>&</sup>lt;sup>1</sup>On NIST08 Zh-En training data and data from GALE MT evaluation in the past 5 years

Single-prototype English embeddings by Huang et al. (2012) are used to initialize Chinese embeddings. The initialization readily provides a set (*Align-Init*) of benchmark embeddings in experiments (Section 4), and ensures translation equivalence in the embeddings at start of training.

#### 3.2.2 Bilingual training

Using the alignment counts, we form alignment matrices  $A_{en \to zh}$  and  $A_{zh \to en}$ . For  $A_{en \to zh}$ , each row corresponds to a Chinese word, and each column an English word. An element  $a_{ij}$  is first assigned the counts of when the ith Chinese word is aligned with the jth English word in parallel text. After assignments, each row is normalized such that it sums to one. The matrix  $A_{zh \to en}$  is defined similarly. Denote the set of Chinese word embeddings as  $V_{zh}$ , with each row a word embedding, and the set of English word embeddings as  $V_{en}$ . With the two alignment matrices, we define the Translation Equivalence Objective:

$$J_{TEO-en\to zh} = ||V_{zh} - A_{en\to zh}V_{en}||^2 \qquad (3)$$

$$J_{TEO-zh\to en} = \|V_{en} - A_{zh\to en}V_{zh}\|^2 \qquad (4)$$

We optimize for a combined objective during training. For the Chinese embeddings we optimize for:

$$J_{CO-zh} + \lambda J_{TEO-en\to zh} \tag{5}$$

For the English embeddings we optimize for:

$$J_{CO-en} + \lambda J_{TEO-zh\to en} \tag{6}$$

During bilingual training, we chose the value of  $\lambda$  such that convergence is achieved for both  $J_{CO}$  and  $J_{TEO}$ . A small validation set of word similarities from (Jin and Wu, 2012) is used to ensure the embeddings have reasonable semantics. <sup>2</sup>

In the next sections, 'bilingual trained' embeddings refer to those initialized with MT alignments and trained with the objective defined by Equation 5. 'Monolingual trained' embeddings refer to those intialized by alignment but trained without  $J_{TEO-en\rightarrow zh}$ .

### 3.3 Curriculum training

We train 100k-vocabulary word embeddings using curriculum training (Turian et al., 2010) with Equation 5. For each curriculum, we sort the vocabulary by frequency and segment the vocabulary by a band-size taken from {5k, 10k, 25k, 50k}. Separate bands of the vocabulary are trained in parallel using minibatch L-BFGS on the Chinese Gigaword corpus <sup>3</sup>. We train 100,000 iterations for each curriculum, and the entire 100k vocabulary is trained for 500,000 iterations. The process takes approximately 19 days on a eight-core machine. We show visualization of learned embeddings overlaid with English in Figure 1. The two-dimensional vectors for this visualization is obtained with t-SNE (van der Maaten and Hinton, 2008). To make the figure comprehensible, subsets of Chinese words are provided with reference translations in boxes with green borders. Words across the two languages are positioned by the semantic relationships implied by their embeddings.

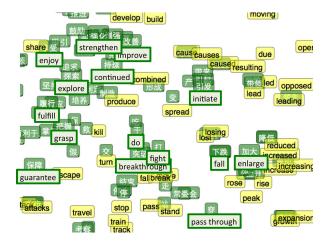


Figure 1: Overlaid bilingual embeddings: English words are plotted in yellow boxes, and Chinese words in green; reference translations to English are provided in boxes with green borders directly below the original word.

# 4 Experiments

# 4.1 Semantic Similarity

We evaluate the Mandarin Chinese embeddings with the semantic similarity test-set provided by the or-

<sup>&</sup>lt;sup>2</sup>In our experiments,  $\lambda = 50$ .

<sup>&</sup>lt;sup>3</sup>Fifth Edition. LDC catelog number *LDC2011T13*. We only exclude *cna\_cmn*, the Traditional Chinese segment of the corpus.

Table 1: Results on Chinese Semantic Similarity

Method	Sp. Corr.	K. Tau
	$(\times 100)$	$(\times 100)$
Prior work (Jin and Wu, 2012)		5.0
Tf-idf		
Naive tf-idf	41.5	28.7
Pruned tf-idf	46.7	32.3
Word Embeddings		
Align-Init	52.9	37.6
Mono-trained	59.3	42.1
Biling-trained	60.8	43.3

ganizers of *SemEval-2012* Task 4. This test-set contains 297 Chinese word pairs with similarity scores estimated by humans.

The results for semantic similarity are shown in Table 1. We show two evaluation metrics: Spearman Correlation and Kendall's Tau. For both, bilingual embeddings trained with the combined objective defined by Equation 5 perform best. For pruned tf-idf, we follow Reisinger et al. (2010; Huang et al. (2012) and count word co-occurrences in a 10word window. We use the best results from a range of pruning and feature thresholds to compare against our method. The bilingual and monolingual trained embeddings<sup>4</sup> out-perform pruned tf-idf by 14.1 and 12.6 Spearman Correlation ( $\times 100$ ), respectively. Further, they out-perform embeddings initialized from alignment by 7.9 and 6.4. Both our tf-idf implementation and the word embeddings have significantly higher Kendall's Tau value compared to Prior work (Jin and Wu, 2012). We verified Tau calculations with original submissions provided by the authors.

## 4.2 Named Entity Recognition

We perform NER experiments on OntoNotes (v4.0) (Hovy et al., 2006) to validate the quality of the Chinese word embeddings. Our experimental setup is the same as Wang et al. (2013). With embeddings, we build a naive feed-forward neural network (Collobert et al., 2008) with 2000 hidden neurons and a sliding window of five words. This naive setting, without sequence modeling or sophisticated

Table 2: Results on Named Entity Recognition

Embeddings	Prec.	Rec.	F1	Improve
Align-Init	0.34	0.52	0.41	
Mono-trained	0.54	0.62	0.58	0.17
Biling-trained	0.48	0.55	0.52	0.11

Table 3: Vector Matching Alignment *AER* (lower is better)

Embeddings	Prec.	Rec.	AER
Mono-trained	0.27	0.32	0.71
Biling-trained	0.37	0.45	0.59

join optimization, is not competitive with state-of-the-art (Wang et al., 2013). Table 2 shows that the bilingual embeddings obtains 0.11 F1 improvement, lagging monolingual, but significantly better than *Align-Init* (as in Section3.2.1) on the NER task.

### 4.3 Vector matching alignment

Translation equivalence of the bilingual embeddings is evaluated by naive word alignment to match word embeddings by cosine distance.<sup>5</sup> The Alignment Error Rates (AER) reported in Table 3 suggest that bilingual training using Equation 5 produces embeddings with better translation equivalence compared to those produced by monolingual training.

#### 4.4 Phrase-based machine translation

Our experiments are performed using the Stanford Phrasal phrase-based machine translation system (Cer et al., 2010). In addition to NIST08 training data, we perform phrase extraction, filtering and phrase table learning with additional data from GALE MT evaluations in the past 5 years. In turn, our baseline is established at 30.01 BLEU and reasonably competitive relative to NIST08 results. We use Minimum Error Rate Training (MERT) (Och, 2003) to tune the decoder.

In the phrase-based MT system, we add one feature to bilingual phrase-pairs. For each phrase, the word embeddings are averaged to obtain a feature vector. If a word is not found in the vocabulary, we disregard and assume it is not in the phrase; if no word is found in a phrase, a zero vector is assigned

<sup>&</sup>lt;sup>4</sup>Due to variations caused by online minibatch L-BFGS, we take embeddings from five random points out of last 10<sup>5</sup> minibatch iterations, and average their semantic similarity results.

<sup>&</sup>lt;sup>5</sup>This is evaluated on 10,000 randomly selected sentence pairs from the MT training set.

Table 4: NIST08 Chinese-English translation BLEU

Method	BLEU
Our baseline	30.01
Embeddings	
Random-Init Mono-trained	30.09
Align-Init	30.31
Mono-trained	30.40
Biling-trained	30.49

to it. We then compute the cosine distance between the feature vectors of a phrase pair to form a semantic similarity feature for the decoder.

Results on NIST08 Chinese-English translation task are reported in Table 4<sup>6</sup>. An increase of 0.48 BLEU is obtained with semantic similarity with bilingual embeddings. The increase is modest, just surpassing a reference standard deviation 0.29 BLEU Cer et al. (2010)<sup>7</sup> evaluated on a similar system. We intend to publish further analysis on statistical significance of this result as an appendix. From these suggestive evidence in the MT results, random initialized monolingual trained embeddings add little gains to the baseline. Bilingual initialization and training seem to be offering relatively more consistent gains by introducing translational equivalence.

#### 5 Conclusion

In this paper, we introduce bilingual word embeddings through initialization and optimization constraint using MT alignments The embeddings are learned through curriculum training on the Chinese Gigaword corpus. We show good performance on Chinese semantic similarity with bilingual trained embeddings. When used to compute semantic similarity of phrase pairs, bilingual embeddings improve NIST08 end-to-end machine translation results by just below half a BLEU point. This implies that semantic embeddings are useful features for improving MT systems. Further, our results offer suggestive evidence that bilingual word embeddings act as high-quality semantic features and embody bilingual translation equivalence across languages.

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<sup>&</sup>lt;sup>6</sup>We report case-insensitive BLEU

<sup>&</sup>lt;sup>7</sup>With 4-gram BLEU metric from Table 4

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