# Problem Set VIII

Introduction to Graph Theory, MATH 3545 November 7, 2024 Professor Gabor Lippner

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# 8 Optimal Colorings

**Problem 1.** Let  $c: V(G) \to \{1, 2, ..., \chi(G)\}$  be a proper coloring of G with the smallest number of colors. Show that there must exist a vertex of color 1 that is adjacent to vertices of every other possible color.

**Solution.** Proof. We will prove this statement by contradiction. Assume that in our minimal proper coloring  $c: V(G) \to \{1, 2, ..., \chi(G)\}$ , no vertex of color 1 is adjacent to vertices of every other color (i.e., colors 2 through  $\chi(G)$ ).

Assumption (for contradiction): For every vertex v with c(v) = 1, there exists at least one color  $k_v \in \{2, 3, ..., \chi(G)\}$  such that v is not adjacent to any vertex with that color  $k_v$ .  $k_v$  can be different colors for each vertex of color 1.

#### Constructing a New Coloring:

- 1. **Define a Function:** For each vertex v with c(v) = 1, select a color  $k_v \in \{2, 3, ..., \chi(G)\}$  such that v is not adjacent to any vertex of color  $k_v$ .
- 2. Recolor Vertices of Color 1: Recolor each vertex v (originally of color 1) with the color  $k_v$  for that vertex. Since v is not adjacent to any vertex of color  $k_v$ , this recoloring will still be a proper coloring.
- 3. Resulting Coloring Uses Fewer Colors: After recoloring, all vertices originally colored 1 now have colors in  $\{2, 3, ..., \chi(G)\}$ . Therefore, the new coloring will use only  $\chi(G) 1$  colors.

#### Contradiction:

- The new coloring is a proper coloring of G using fewer than  $\chi(G)$  colors.
- This contradicts the definition of  $\chi(G)$  as the minimal number of colors needed to properly color G.

**Conclusion:** Therefore, for the original coloring to be  $\chi(G)$ , our original assumption must be false. Therefore, there must exist at least one vertex v with c(v) = 1 such that v is adjacent to vertices of every other color in  $\{2, 3, \ldots, \chi(G)\}$ .

**Remark 1.** A visual representation can help illustrate why recoloring the vertices reduces the total number of colors used. Consider the following diagram:

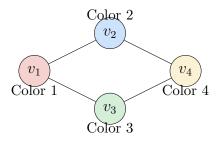


Figure 1: Example of a graph with a proper but not optimal coloring

In this simplified example, if vertex  $v_1$  (color 1) is not adjacent to a vertex of color 4, we can recolor  $v_1$  with color 4, reducing the total number of colors used.

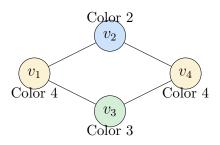


Figure 2: Example of a graph with a proper and optimal coloring

**Problem 2.** Show that for any graph G there is an ordering of its vertices such that the greedy coloring algorithm uses exactly  $\chi(G)$  colors, where  $\chi(G)$  is the chromatic number of G.

**Solution.** We will prove this by constructing an ordering of the vertices that allows the greedy coloring algorithm to use exactly  $\chi(G)$  colors.

# *Proof.* 1. Obtain an Optimal Coloring:

Begin by finding a proper coloring of G that uses exactly  $\chi(G)$  colors. Let the color classes (independent sets) be denoted as  $S_1, S_2, \ldots, S_{\chi(G)}$ , where each  $S_i$  consists of vertices colored with color i.

#### 2. Order the Vertices:

Construct an ordering of the vertices by listing all the vertices in  $S_1$  first, followed by all the vertices in  $S_2$ , and so on, up to  $S_{\chi(G)}$ . That is, the ordering is:

$$v_1, v_2, \ldots, v_{|S_1|}, v_{|S_1|+1}, \ldots, v_{|S_1|+|S_2|}, \ldots, v_n$$

where n is the total number of vertices in G.

# 3. Apply the Greedy Coloring Algorithm:

Run the greedy coloring algorithm on G using the constructed ordering:

- Vertices in  $S_1$ : Since  $S_1$  is an independent set, none of its vertices are adjacent. When the algorithm processes each vertex in  $S_1$ , it assigns color 1 (the smallest available color).
- Vertices in  $S_2$ : Each vertex in  $S_2$  may be adjacent to vertices in  $S_1$  (all colored 1) but not to other vertices in  $S_2$ . The algorithm assigns color 2 to each vertex in  $S_2$ . However, some vertices in  $S_2$  may not be adjacent to any vertices in  $S_1$  and can also be colored 1. This will not affect the number of colors used but sets a bound that the color assigned to a set can be  $\leq$  the number of the independent set.
- Continue for  $S_3, \ldots, S_{\chi(G)}$ : Similarly, for each  $S_i$ , the algorithm assigns color i to all its vertices, as they are only adjacent to vertices in earlier color classes (which have colors < i).

**Problem 3.** For every  $k \geq 0$  construct a tree  $T_k$  with  $\Delta(T_k) = k$  and an ordering of its nodes such that the greedy coloring uses exactly k+1 colors.

**Solution.** For a tree to to have a  $\Delta(T_k) = k$ , the node with degree of k must be adjacent to nodes with colors  $1, 2, \ldots, k$ . This would then make the node with degree k have color k+1.

*Proof.* Therefore we can start with a graph like this where the color size is:

$$\{1, 2, 3, 4, 5\}$$

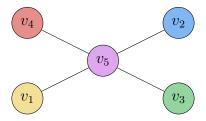


Figure 3: Tree  $T_4$  with  $\Delta(T_4) = 4$  and greedy coloring where the center node must be colored 5

From here, we can work backwards and construct a edges that force the adjacent edge to be that next smallest color. For example, starting at  $v_2$ , we can make it connected to node that is colored 1. And for  $v_3$ , we can make it connected to a node that is colored 2 and 1 and so on. Ultimately, as we branch out we will have a graph that uses exactly k+1 colors.

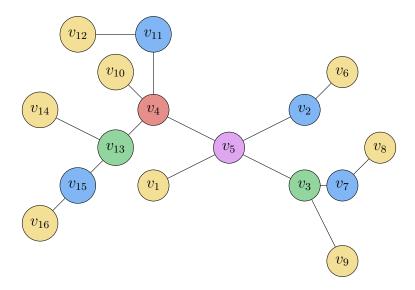


Figure 4: Tree  $T_k$  with  $\Delta(T_k) = k$  and greedy coloring using k+1 colors

**Remark 2.** As the graph depicts, this construction requires k+1 colors to properly color the graph. When you break down the graph you can see that certain components are repeated. For example the component of  $v_3$  is the same as the component of  $v_{13}$  connected to  $v_4$ . This indicates that a contructions of graphs using k+1 colors involve repeating components of graphs that are required to construct graphs of smaller k values. The process of constructing a graph with k+1 colors involves bulding components of  $\{T_0, T_1, \ldots, T_{k-1}\}$  and the  $T_k$  is added in the center and conects to all these components.

**Problem 4.** Suppose we color every point in the plane red, green, or blue. Show that there will be 2 points of the same color whose distance is exactly 1 inch.

**Solution.** We will prove this by constructing a specific geometric configuration.

*Proof.* Consider the following configuration:

# 1. Initial Setup:

- Let point P be located at the center of the plane and colored red.
- Draw a circle  $C_1$  with a radius of 1 inch centered at P.
- Place six points  $A_1, A_2, \ldots, A_6$  equally spaced around  $C_1$  at every  $60^{\circ}$ .

#### 2. Distances Between Points:

- The distance between P and any  $A_i$  is exactly 1 inch.
- The distance between adjacent points  $A_i$  and  $A_{i+1}$  is:

$$|A_i A_{i+1}| = 2 \times 1 \times \sin\left(\frac{60^\circ}{2}\right) = 1$$
 inch.

# 3. Coloring the Points on $C_1$ :

- None of the points  $A_i$  can be colored red; otherwise, they would be 1 inch from P, resulting in two red points 1 inch apart.
- Therefore, the points  $A_i$  are colored either green or blue.
- To avoid adjacent same-color points (since  $|A_iA_{i+1}| = 1$  inch), we must color them alternately: green, blue, green, blue, green, blue.

#### 4. Constructing Outer Points:

- For each pair of adjacent points  $A_i$  (green) and  $A_{i+1}$  (blue), there exists a point  $B_i$  such that  $|A_iB_i| = |A_{i+1}B_i| = 1$  inch.
- Each  $B_i$  forms an equilateral triangle with  $A_i$  and  $A_{i+1}$ .
- The points  $B_i$  lie on a larger circle  $C_2$  centered at P with radius  $R = \sqrt{3}$  inches.

### 5. Coloring the Points on $C_2$ :

• Since  $B_i$  is 1 inch from both  $A_i$  and  $A_{i+1}$  (which are colored green and blue), to avoid creating a monochromatic pair at a distance of 1 inch,  $B_i$  must be colored red.

### 6. Analyzing Distances Between Red Points:

• All  $B_i$  and P are colored red.

- Therefore there exists infinite points colored red on  $C_2$ .
- ullet And there are infinite red points on  $C_2$  that are exactly 1 inch apart.

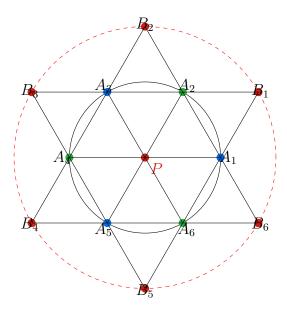


Figure 5: Geometric configuration with points colored red, blue, and green

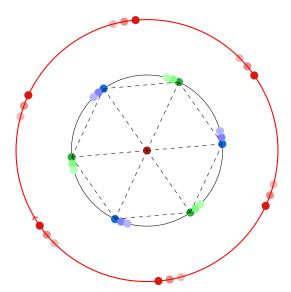


Figure 6: Geometric configuration shifted around the center point P illustrating the infinite number of red points on circle  $C_2$ .

**Remark 3.** This proof illustrates that in any 3-coloring of the plane, there must exist two points of the same color that are exactly 1 inch apart. The graph above illustrates this configuration where an infinite number of red points creates a circle of radius  $\sqrt{3}$  inches, with infinite pairs of points exactly 1 inch apart.

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