### Thrust Normal to Velocity Vector

July 22, 2021

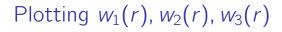
## Expressing w(r) in terms of $\phi$ and $\beta$

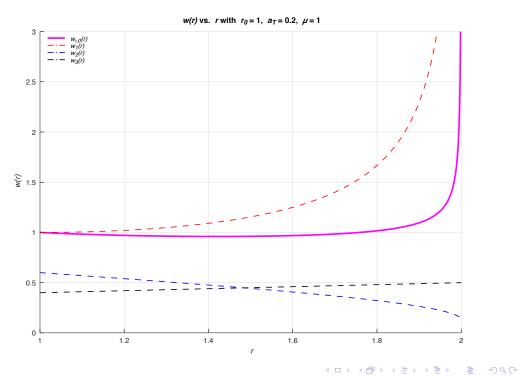
Approach: reexpress components of w(r) and substitute  $r(\phi)$ 

$$w(r) = \underbrace{\frac{r_0}{\sqrt{r(2r_0 - r)}}}_{w_1(r)} \underbrace{\left[1 - \frac{a_T r_0^2}{\mu} \left(3\sin^{-1}\left(\sqrt{\frac{r}{2r_0}}\right) - \frac{3\pi}{4} + 2\right)\right]}_{w_2(r)} + \underbrace{\frac{a_T r_0}{2\mu} \left(r + 3r_0\right)}_{w_3(r)}$$

For plots:  $r_0=1, \mu=1, a_T=0.2$ Definitions:  $k(\phi)=\phi+\pi/4, \ r(\phi)=2r_0\sin^2(k), \ \beta=\sqrt{\frac{4\mu}{(3\pi+8)a_Tr_0^2}}$ Subscript p denotes function of  $\phi$ .







# Reexpressing $w_1(r)$

$$w_{1p}(\phi) = \frac{r_0}{\sqrt{r(2r_0 - r)}}\Big|_{r(\phi)}$$
 (1)

$$= \frac{r_0}{\sqrt{2r_0\sin^2(k)(2r_0 - 2r_0\sin^2(k))}}$$
 (2)

$$= \frac{r_0}{\sqrt{4r_0^2 \sin^2(k) \cos^2(k)}}$$
 (3)

$$=\frac{1}{\sin(2k)}\tag{4}$$

Obtain 3 using  $\cos^2(k) = 1 - \sin^2(k)$  and 4 by  $\sin(2k) = 2\sin(k)\cos(k)$ .

### Reexpressing $w_2(r)$

$$w_{2p}(\phi) = 1 - \frac{a_T r_0^2}{\mu} \left( 3 \arcsin(\sqrt{\frac{r}{2r_0}}) - \frac{3\pi}{4} + 2 \right)_{|_{r(\phi)}}$$
 (5)

$$=1-\frac{a_{T}r_{0}^{2}}{\mu}\underbrace{\left(3k-\frac{3\pi}{4}+2\right)}_{3\phi+2}\tag{6}$$

$$=1-\frac{a_T r_0^2}{\mu} (3\phi + 2) \tag{7}$$



### Reexpressing $w_3(r)$

$$w_{3p}(\phi) = \frac{a_T r_0}{2\mu} (r + 3r_0)_{|_{r(\phi)}}$$
 (8)

$$=\frac{a_T r_0}{2\mu} (r_0(2\sin^2(k)+3)) \tag{9}$$

$$=\frac{a_T r_0^2}{2\mu} (2\sin^2(k) + 3) \tag{10}$$

$$=\frac{2}{\beta^2(3\pi+8)}(2\sin^2(k)+3)\tag{11}$$

Obtain 11 by 
$$\beta = \sqrt{\frac{4\mu}{(3\pi + 8)a_T r_0^2}} \implies \frac{1}{\beta^2} = \frac{(3\pi + 8)a_T r_0^2}{4\mu}$$



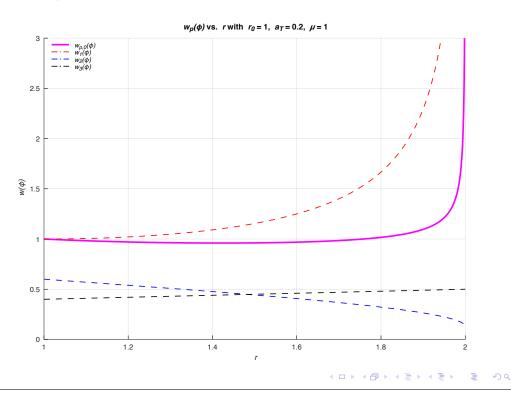
# Expression for $w_p(\phi)$

$$w_{p}(\phi) = \frac{1}{\sin(2k)} \left[ 1 - \frac{a_{T} r_{0}^{2}}{\mu} (3\phi + 2) \right] + \frac{2}{\beta^{2} (3\pi + 8)} (2\sin^{2}(k) + 3)$$

$$= w_{1p}(\phi) w_{2p}(\phi) + w_{3p}(\phi)$$
(12)
$$= (13)$$

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# Checking answer with plots



## Expressing y(r) in terms of $\phi$ and $\beta$

Approach similar to w(r):

$$y(r) = \underbrace{\sqrt{\frac{\mu(2r_0 - r)}{rr_0}}}_{y_1(r)} \underbrace{\sqrt{1 - w(r)^2}}_{y_2(r)}$$



## Reexpressing $y_1(r)$

$$y_{1p}(\phi) = \sqrt{\frac{\mu(2r_0 - r)}{rr_0}} \Big|_{r(\phi)}$$
 (14)

$$=\sqrt{\frac{\mu 2r_0(1-\cos^2(k))}{2r_0^2\sin^2(k)}}$$
 (15)

$$=\sqrt{\frac{\mu 2r_0\cos^2(k)}{2r_0^2\sin^2(k)}}$$
 (16)

$$=\sqrt{\frac{\mu}{r_0}}\cot(k)\tag{17}$$



### Reexpressing $y_2(r)$

Given  $y_{2p}(\phi) = \sqrt{1 - w_p(\phi)^2}$ . Define  $\varphi(\phi)$  such that  $\frac{\varphi(\phi)}{(3\pi + 8)^2 \beta^4 \sin^2(2k)} = 1 - w_p(\phi)^2$ . Then, by squaring 12,

$$1 - w_p(\phi)^2 = \frac{(3\pi + 8)^2 \beta^4 \sin^2(2k) - \left[ (3\pi + 8)^2 \beta^4 - 8(3\phi + 2)(3\pi + 8)\beta^2 + 16(3\phi + 2)^2 \right]}{(3\pi + 8)^2 \beta^4 \sin^2(2k)}$$

$$- \frac{2\sin(2k)(4\sin^2(k) + 6)((3\pi + 8)\beta^2 - 4(3\phi + 2))}{(3\pi + 8)\beta^2 - 4(3\phi + 2)}$$
(19)

$$-\frac{2\sin(2k)(4\sin^2(k)+6)((3\pi+8)\beta^2-4(3\phi+2))}{(3\pi+8)^2\beta^4\sin^2(2k)}$$
 (19)

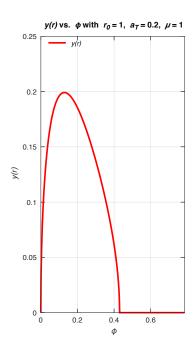
$$-\frac{\sin^2(2k)(16\sin^4(k) + 48\sin^2(k) + 36)}{(3\pi + 8)^2\beta^4\sin^2(2k)}$$
 (20)

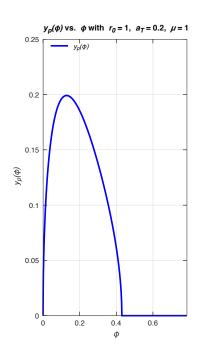
Extracting the denominator,

$$y_p(\phi) = \frac{\sqrt{\varphi(\phi)}}{\beta^2(3\pi + 8)\sin(2k)}\sqrt{\frac{\mu}{r_0}}\cot(k)$$
 (21)



# Plots to verify $y_p(\phi)$





## Minimizing w(r) or $w_p(\phi)$

- $\triangleright$  Began by differentiating w(r) and simplifying
- ▶ Substituted  $r(\phi)$  to find  $w_p'(\phi)$
- ▶ Solving for  $\phi$  in  $w_p'(\phi)$  would not be feasible by hand since there were trigonometric and linear terms of  $\phi$ 
  - Obtained a greatly simplified expression that was used for minimization
- Used Octave to minimize the expression numerically



### First derivative test on w(r)

$$w_1'(r) = \frac{d}{dr} r_0 (2r_0 r - r^2)^{-1/2}$$
(22)

$$= -\frac{1}{2}r_0(2r_0 - 2r)(2r_0r - r^2)^{-3/2}$$
 (23)

$$= -\frac{1}{2}r_0(2r_0 - 2r)(2r_0r - r^2)^{-3/2}$$

$$= \frac{-r_0(r_0 - r)}{(2r_0r - r^2)^{3/2}}$$
(23)

$$w_2'(r) = \frac{-3a_T r_0^2}{\mu} \frac{d}{dr} \arcsin \sqrt{\frac{r}{2r_0}}$$
 (25)

$$=\frac{-3a_{T}r_{0}^{2}}{\mu}\frac{\frac{1}{4r_{0}}\left(\frac{r}{2r_{0}}\right)^{-\frac{1}{2}}}{\sqrt{1-\frac{r}{2r_{0}}}}\tag{26}$$

$$=\frac{-3a_{T}r_{0}}{\mu}\frac{1}{4\sqrt{\frac{r}{2r_{0}}(\frac{2r_{0}-r}{2r_{0}})}}\tag{27}$$

$$= -\frac{3a_T r_0}{2\mu\sqrt{2r_0r - r^2}} \tag{28}$$

$$2\mu\sqrt{2r_0r - r^2}$$

$$w_3'(r) = \frac{d}{dr} \frac{a_T r_0}{2\mu} (r + 3r_0)$$

$$a_T r_0$$
(29)

$$=\frac{a_T r_0}{2\mu} \tag{30}$$



### First derivative test on w(r) (continued)

$$w'(r) = \frac{d}{dr} \left[ w_1(r)w_2(r) + w_3(r) \right]$$
 (31)

$$= w_1'(r)w_2(r) + w_1(r)w_2'(r) + w_3'(r)$$
 (32)

Substituting expressions found prior,

$$w'(r) = \underbrace{\frac{-r_0(r_0 - r)}{(2r_0r - r^2)^{3/2}}}_{w_1'(r)} \underbrace{\left[1 - \frac{a_T r_0^2}{\mu} \left(3\arcsin(\sqrt{\frac{r}{2r_0}}) - \frac{3\pi}{4} + 2\right)\right]}_{w_2(r)}$$
(33)

$$\underbrace{-\frac{3a_{T}r_{0}}{2\mu\left(2r_{0}r-r^{2}\right)}}_{w_{1}(r)w_{2}'(r)} + \underbrace{\frac{a_{T}r_{0}}{2\mu}}_{w_{3}(r)} \tag{34}$$



### Reubstituting with $r(\phi)$ and simplifying

First,  $2r_0r - r^2 = 4r_0^2\sin^2(k) - 4r_0\sin^4(k) = 4r_0^2\sin^2(k)\cos^2(k)$ .

$$w_{1p}'(\phi) = \frac{2r_0^2 \sin^2(k) - r_0^2}{(2r_0 \sin(k) \cos(k))^3} = \frac{2\sin^2(k) - 1}{r_0 \sin^3(2k)}$$
(35)

$$w_{2p}(\phi) = 1 - \frac{a_T r_0^2}{\mu} (3\phi + 2) \tag{36}$$

$$w_1(r)w_2'(r) = -\frac{3a_T r_0}{2\mu(4r_0^2\sin^2(k)\cos^2(k))} = -\frac{3a_T r_0}{2\mu\sin^2(2k)}$$
(37)

Then,

$$w_{p}'(\phi) = \frac{2\sin^{2}(k) - 1}{r_{0}\sin^{3}(2k)} \left(1 - \frac{a_{T}r_{0}^{2}}{\mu}(3\phi + 2)\right) - \frac{3a_{T}r_{0}}{2\mu\sin^{2}(2k)} + \frac{a_{T}r_{0}}{2\mu}$$
(38)



### Reubstituting with $r(\phi)$ and simplifying (continued)

$$w_{p}'(\phi) = \underbrace{\frac{2\sin^{2}(k) - 1}{r_{0}\sin^{3}(2k)} \left(1 - \frac{a_{T}r_{0}^{2}}{\mu}(3\phi + 2)\right)}_{-2\mu\cos(2k)\left(1 - \frac{a_{T}r_{0}^{2}}{\mu}(3\phi + 2)\right)} \underbrace{-\frac{3a_{T}r_{0}}{2\mu\sin^{2}(2k)} + \frac{a_{T}r_{0}}{2\mu}}_{-\frac{a_{T}r_{0}^{2}\sin^{3}(2k)}{2\mu r_{0}\sin^{3}(2k)}}$$
(39)

$$=\frac{a_{\tau}r_0^2\left(\sin^2(2k)-3\right)\sin(2k)-2\mu\cos(2k)\left(1-\frac{a_{\tau}r_0^2}{\mu}(3\phi+2)\right)}{2\mu r_0\sin^3(2k)}\tag{40}$$



### Minimization of $w_p(\phi)$

Note that  $2\mu r_0 \sin^3(2k) \neq 0 \implies \sin(2k) \neq 0$ . So  $2(\phi + \pi/4) \notin \{0, \pi\}$  means  $\phi \neq \pi/4$  since  $\phi \in [0, \pi/4)$ .

$$w_p'(\phi) = \frac{a_T r_0^2 \left(\sin^2(2k) - 3\right) \sin(2k) - 2\mu \cos(2k) \left(1 - \frac{a_T r_0^2}{\mu} (3\phi + 2)\right)}{2\mu r_0 \sin^3(2k)} = 0 \quad (41)$$

$$\implies a_T r_0^2 \left( \sin^2(2k) - 3 \right) \sin(2k) - 2\mu \cos(2k) \left( 1 - \frac{a_T r_0^2}{\mu} (3\phi + 2) \right) = 0 \qquad (42)$$

$$\frac{\sin(2k)}{2\mu\cos(2k)} = \underbrace{\frac{\tan(2k)}{2\mu}}_{d_1(\phi)} = \underbrace{\frac{1 - \frac{a_T r_0^2}{\mu}(3\phi + 2)}{a_T r_0^2(\sin^3(2k) - 3)}}_{d_2(\phi)} \tag{43}$$

Numerically finding the intersection of  $d_1(\phi)$  and  $d_2(\phi)$  yields the value of  $\phi$  at min $(w_p(\phi))$ , which can then be used to find r for min(w(r)).



# Numerical minimization of $w_p(\phi)$

### Code:

```
d1 = tan(2*k)/(2*mu);
d2 = (1-a_T*r_0^2/mu * (3*phi+2))./(a_T*r_0^2*(sin(2*k).^2-3));
% minimization
intersect = find(abs(d1 - d2) <= min(abs(d1 - d2)));

ix = phi(intersect)
iy = mean([d1(intersect) d2(intersect)])
r_min = r(intersect)</pre>
```

### Output:

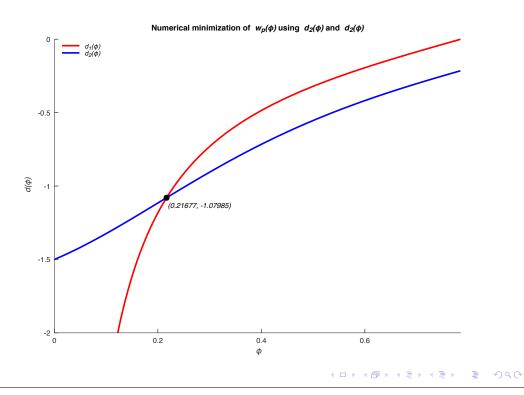
```
ix = 0.2168

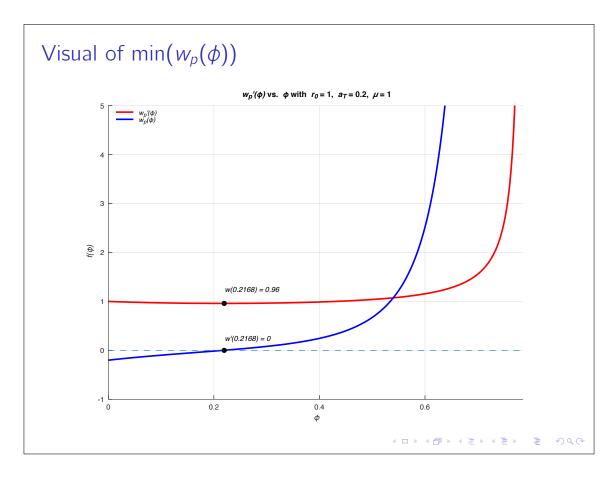
iy = -1.0799

r_min = 1.4201
```



# Intersection of $d_1(\phi)$ and $d_2(\phi)$





### 1 Simplifying expression for $w_p(\phi)$

The sine function is a phase shift of the cosine function, so

$$\sin(2k) = \sin(2\phi + \pi/2) = \cos(2\phi). \tag{1}$$

It is also given that

$$2\sin^2(2k) = 1 - \cos(2k). \tag{2}$$

Because  $\cos(2k) = -\sin(2\phi)$  by a similar argument,

$$2\sin^2(2k) = 1 + \sin(2\phi). \tag{3}$$

Finally

$$w_p(\phi) = \underbrace{\frac{1}{\sin(2\phi + \pi/2)}}_{\cos(2\phi)} \left[1 \underbrace{-\frac{a_T r_0^2}{\mu}}_{-\frac{4}{\beta^2(3\pi + 8)}} (3\phi + 2)\right] + \frac{2}{\beta^2(3\pi + 8)} \underbrace{\left(2\sin^2(\phi + \pi/4) + 3\right)}_{\sin(2\phi) + 4}$$
(4)

$$= \frac{1}{\cos(2\phi)} \left[ 1 - \frac{4}{\beta^2 (3\pi + 8)} (3\phi + 2) \right] + \frac{2}{\beta^2 (3\pi + 8)} (\sin(2\phi) + 4) \tag{5}$$

## 2 Numerical evaluation of $y(\phi_0) = 0$

**Notation:** Let  $\phi_0$  satisfy  $y(\phi_0) = 0, w_p(\phi_0) = 1$  and  $\phi_{\min}$  minimize  $w_p(\phi)$ .

To evaluate  $y_p(\phi) = 0$ , we first define phi = (1:1:1000)\*pi/4/1000. Using this vector to formulate y\_p(Beta), where Beta is a variable constant, we have a vector of length 1000 representing  $y_p(\phi)$ . Note that

$$y_p(\phi) = \frac{\sqrt{\varphi(\phi)}}{\beta^2 (3\pi + 8)\sin(2\phi + pi/2)} \sqrt{\frac{\mu}{r_0}} \cot(\phi + \pi/4)$$
(6)

 $\varphi(\phi)$  was defined in Eq. 18-20 of the presentation, and the above expression comes from Eq. 21 of the presentation. This implies that  $\sin(2\phi + \pi/2) \neq 0$ , and further that  $y_p$  is only defined for  $\varphi(\phi) \geq 0$ . Thus, the vector  $\mathbf{y}_p(\mathsf{Beta})$  must be shortened to reflect this and exclude complex numbers. From calculations, we find that the real representation of  $y_p$  is  $\mathbf{y}_p(\mathsf{Beta})$  (1:548) using  $a_T = 0.2, r_0 = 1, \mu = 1$  with  $\beta \approx 1.07$ . To find the section of this vector that is  $\in \mathbb{R}$ , we have called a function realBreakpoint(vector) in Figure 1. Sorting this vector and determining the corresponding  $\phi_0$  solves the problem, where we expect  $\mathbf{y}_m$ inValue = 0 and phi\_0 to be the corresponding value of  $\phi$ :

```
[y_values index_vector] = sort(y_p(Beta)(1:548));
y_minValue = y_values(1);
phi_0 = index_vector(1);
```

This approach can be extended for a changing parameter  $\beta$ . Since  $\delta = \frac{1}{\beta^2} \in (0.001, 1), \ \beta = \sqrt{\frac{1}{\delta}} \in (1, \sqrt{1000})$ . In Octave/MATLAB, we can implement it as

```
delta = (1:1:1000)/1000;
Beta_vec = sqrt(1./delta);
```

Mathematically, we say that

$$\vec{\beta} = \begin{bmatrix} \beta_1 \\ \vdots \\ \beta_n \end{bmatrix} \text{ and } \vec{\delta} = \begin{bmatrix} 1/\beta_1^2 \\ \vdots \\ 1/\beta_n^2 \end{bmatrix}$$
 (7)

where we choose n = 1000. Thus, by iterating through the values of Beta\_vec, we can generate a corresponding vector phi\_m1 to represent how  $\phi_0$  changes with respect to  $\delta$ :

```
phi_m1 = zeros(1,n);
w_1 = zeros(1,n);
w_min = zeros(1,n);

for i = 1:n
    y_real = y_p(Beta_vec(i))(1:realBreakpoint(y_p(Beta_vec(i))));
    [yvals idx] = sort(y_real);
    if (length(idx) > 1)
        w_1(i) = w_p(Beta_vec(i))(idx(2));
        phi_m1(i) = phi(idx(2));
        y_0(i) = yvals(2);
    endif
end
```

Figure 1: Numerically finding  $\vec{\phi}_0$  over  $\vec{\delta}$ 

In Figure 1 above, the vector  $\mathbf{y}_{-}0$  is updated with the value  $y_p(\phi_{0,i})$  in each iteration for each value of  $\beta$ , and is expected to be 0. The vector  $\mathbf{w}_{-}1$  is updated with  $w_p(\phi_{m,i})$ , which is expected to be unity. As was done with  $\mathbf{y}_{-}p(\mathsf{Beta})$ , we have defined a vector  $\mathbf{w}_{-}p(\mathsf{Beta})$  to represent  $w_p(\phi)$  where  $\mathsf{Beta}$  is a constant that can be varied. Thus, we should expect  $w_p(\vec{\phi}_{\min}) = \vec{1}$ ,  $y_p(\vec{\phi}_0) = \vec{0} \in \mathbb{R}^n$ , and the

existence of 
$$\vec{\phi}_m = \begin{bmatrix} \phi_{m,1} \\ \vdots \\ \phi_{m,n} \end{bmatrix} \in \mathbb{R}^n$$
 at the end of the loop. The second index of y\_p(Beta\_vec(i))

is accessed to find  $\phi_{0,i}$  within the loop because  $\phi = 0$  always satisfies  $y_p(\phi) = 0$ , and we want to find the second such value. The conditional check is to account for cases where the domain of  $y_p(\phi) \in \mathbb{R}$  is very small (i.e. length(y\_p(Beta\_vec(i)) is 1), so we are only able to find  $\phi_{0,i} = 0 \implies y(\phi_0) = 0$ .

The results of  $\phi_0$  vs.  $\delta$  are expressed in Figure 2 below.  $y_p(\phi_0) \approx 0$  and  $w_p(\phi_{\min}) \approx 1$  within numerical error, which verifies the validity of these results.

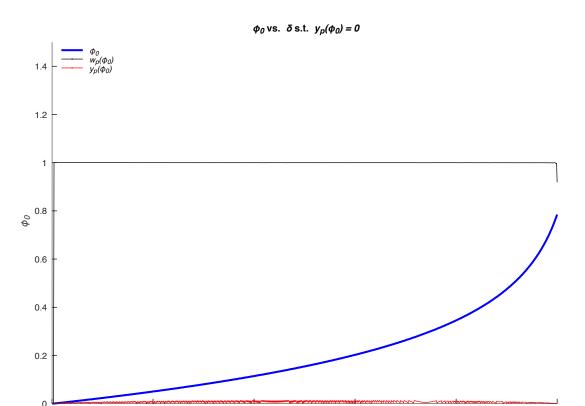


Figure 2: A plot of these results

0.6

8.0

0.4

### 3 Numerical minimization of $w_p(\phi)$

0.2

We use a similar approach as before to minimize  $w_p(\phi)$  numerically. In Figure 1, w\_1(i) was updated to reflect the value of  $w_p(\phi_{\min,i})$  where  $\phi = \phi_{\min,i}$  minimized  $y_p(\phi)$  for corresponding values of  $\beta_i, \delta_i$  from Eq. 7. The following code defines a vector phi\_m2 of length n = 1000 and populates it with the value of  $\phi_{\min,i}$  that minimizes  $w_p(\phi)$  for  $\beta_i$ . The corresponding minimum values are stored in another vector w\_min. Note that the first index of the sorted vector w\_vals is accessed, since we are looking for the absolute minimum.

```
phi_m2 = zeros(1,n);
w_min = zeros(1,n);
for i = 1:n
    [w_vals idx] = sort(w_p(Beta_vec(i)));
    phi_m2(i) = phi(idx(1));
    w_min(i) = w_vals(1);
end
```

Figure 3: Iteratively finding  $\vec{\phi}_{\min}$  for various  $\beta$ 

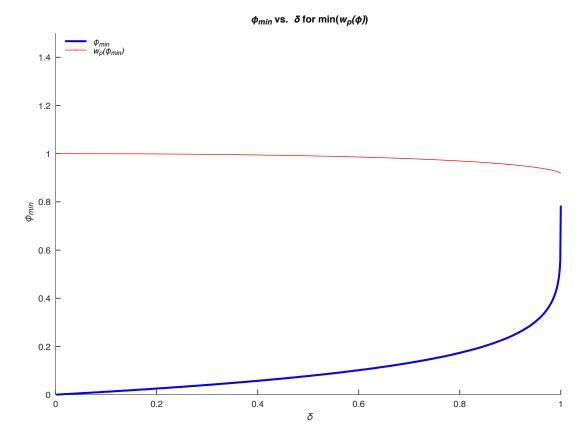


Figure 4: Values of  $\phi_{\min}$  that minimize  $w_p(\phi)$  for various  $\beta$ 

Figure 4, showing  $\phi_{\min}$  (representing phi\_m2) vs.  $\delta$ , summarizes these results.

### 4 Bisection and Newton-Raphson method for finding roots

### 4.1 Bisection method

We will use the bisection method to find when  $y_p(\phi) = 0$  for a given tolerance  $\epsilon$ . The requirement for the bisection method is that the function in question is continuous on the closed interval  $[a, b]^1$ , so the roots of  $y_p(\phi)$  can be found. The roots of  $w'(\phi)$  will be found using the Newton's method later.

#### 4.2 Newton-Raphson method

In Eq. 40 from the presentation,  $w_p'(\phi)$  was not expressed in terms of  $\beta$ , so the following expression will be used:

$$w_p'(\phi) = \frac{2(\sin^2(2\phi + \pi/2) - 3)\sin(2\phi + \pi/2)}{(3\pi + 8)\beta^2\sin^3(2\phi + \pi/2)} - \frac{\cos(2\phi + \pi/2)\left(1 - \frac{4}{(3\pi + 8)\beta^2}(3\phi + 2)\right)}{r_0\sin^3(2\phi + \pi/2)}$$
(8)

<sup>&</sup>lt;sup>1</sup>Bisection method - Wolfram MathWorld

This method cannot be used to solve for the root of  $y_p(\phi)$  because although the function exists in the domain  $\phi \in [0, \pi/4)$ ,  $\lim_{\phi \to 0^+} y_p'(\phi)$  and  $\lim_{\phi \to \pi/4^-} y_p'(\phi)$  do not exist, so the function is not differentiable on the entire interval<sup>2</sup>.

From observing the graph of  $y_p(\phi)$  on Page 6, this is confirmed visually. Since the endpoints of  $y_p(\phi)$  on this interval are its two roots, they cannot be found through this method. The bisection method works, however, since the only requirement is continuity of  $y_p(\phi)$  on the interval.

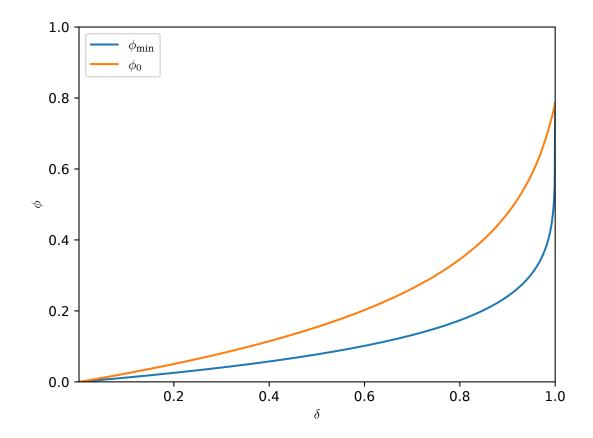


Figure 5: A plot of  $\phi_{\min}$  and  $\phi_0$  vs  $\delta$ 

The code used to implement both algorithms is located here. Figure 5 demonstrates the bisection method for finding  $\phi_0$  and Newton's method for  $\phi_{\min}$ .

### 5 Curve fitting

#### 5.1 Objective

The least-squared method of curve fitting<sup>3</sup> was used to generate the closed-form equations  $\phi_0(\delta)$  and  $\phi_{\min}(\delta)$ .

The approach is to define an error function  $E(\phi) = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (\phi_i - \phi(\delta_i))^2}$  that represents the RMS error between the fitted curve and original data. n is the number of data points in the set

<sup>&</sup>lt;sup>2</sup>Newton's method

<sup>&</sup>lt;sup>3</sup>Curve fitting: least squares methods

 $\{(\delta_1, \phi_1), \dots, (\delta_n, \phi_n)\}$  and  $\phi(\delta)$  represents the fitted curve. Because a polynomial curve is desired, the coefficients of the terms in  $\delta(\phi)$  belong to  $\vec{c} \in \mathbb{R}^{k+1}$ , so there are k+1 coefficients in the fitted polynomial. Since  $\phi(\delta; \vec{c}), E : \mathbb{R}^{k+1} \to \mathbb{R}; \vec{c}$ . The objective is then to find a  $\vec{c}$  which minimizes  $E(\vec{c})$ . Similarly, each  $\phi(\delta_i)$  becomes a function of  $\vec{c}$ .

Minimizing  $E(\vec{c}) = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (\phi_i - \phi(\delta_i))^2}$  is the same as minimizing  $E_1(\vec{c}) = \sum_{i=1}^{n} (\phi_i - \phi(\delta_i))^2$ , so the next step is to solve

$$\frac{\partial E_1}{\partial c_j} = 2\sum_{i=1}^n (\phi_i - \phi(\delta_i)) \frac{\partial \phi(\delta_i)}{\partial c_j} = 0$$
(9)

$$\sum_{i=1}^{n} \frac{\partial \phi(\delta_i)}{\partial c_j} \phi_i = \sum_{i=1}^{n} \frac{\partial \phi(\delta_i)}{\partial c_j} \phi(\delta_i)$$
(10)

$$\sum_{i=1}^{n} \delta_i^{j-1} \phi_i = \sum_{i=1}^{n} c_j \delta_i^{2j-1} + \dots + c_1 \delta_i^{j-1} = \sum_{\ell=1}^{j} \sum_{i=1}^{n} c_{j-\ell+1} \delta_i^{2j-\ell-1}$$
(11)

where j = 1, ..., k + 1 and the polynomial is of degree k.

A vectorized implementation yields

$$\begin{bmatrix} \sum_{i=1}^{n} \delta_{i}^{k} \phi_{i} \\ \vdots \\ \sum_{i=1}^{n} \delta_{i}^{1} \phi_{i} \end{bmatrix} = \begin{bmatrix} \sum_{i=1}^{n} \delta_{i}^{2k} & \cdots & \sum_{i=1}^{n} \delta_{i}^{k} \\ \vdots & \ddots & \vdots \\ \sum_{i=1}^{n} \delta_{i}^{k} & \cdots & \sum_{i=1}^{n} \end{bmatrix} \begin{bmatrix} c_{k+1} \\ \vdots \\ c_{1} \end{bmatrix} = \begin{bmatrix} \sum_{i=1}^{n} c_{k+1} \delta_{i}^{2k} & \cdots & \sum_{i=1}^{n} c_{1} \delta_{i}^{k} \\ \vdots & \ddots & \vdots \\ \sum_{i=1}^{n} c_{k+1} \delta_{i}^{k} & \cdots & \sum_{i=1}^{n} c_{1} \end{bmatrix}$$
(12)

$$\vec{b} = A\vec{c} \tag{13}$$

The matrix-vector equation  $A\vec{c} = \vec{b}$  can then be solved for  $\vec{c}$  using an optimized linear algebra library, and the resulting coefficients used to generate a function  $\phi(\delta)$ .

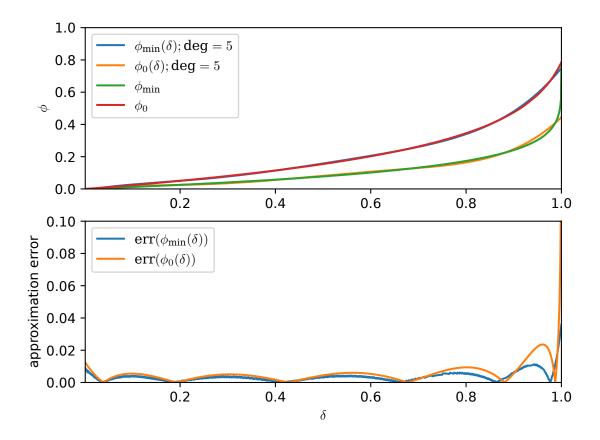


Figure 6: Using degree 5 polynomials to approximate  $\phi_0(\delta)$  and  $\phi_{\min}(\delta)$ 

A better approximation is desired since even with degree 5, a polynomial approximation cannot fit well to the smooth curves of  $\phi_0$  and  $\phi_{\min}$ . This is evidenced by the Runge effect visible in the error plot of Figure 6.

### 5.2 Attempted approximation using Chebyshev polynomial interpolation

The Chebyshev polynomial approximations resulted in a more pronounced Runge effect, as depicted below.

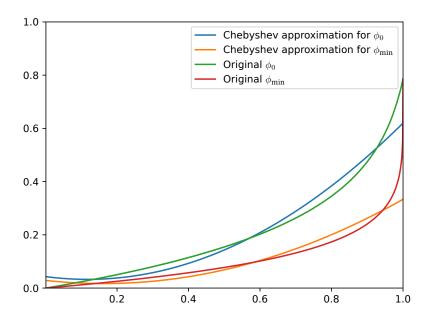


Figure 7: Chebyshev polynomial approximations

### 5.3 Attempted approximation using exponential function

By far the most accurate fit before the original polynomial approximation, an exponential function of the form  $\phi = a \exp(b(\delta - c)) + d$  was used. Significant Runge effect is still evident in the plot below.

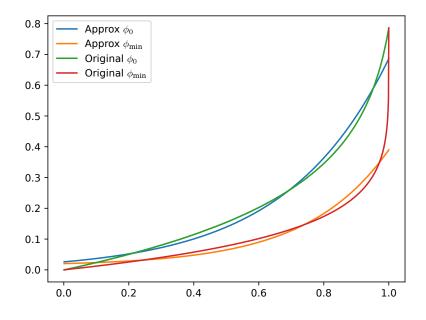


Figure 8: Exponential approximations

### 5.4 Attempted elliptical integral of first kind approximation

Through parameterizing the first-order elliptic integral K(k;n) for some  $n \in \mathbb{R}$  and  $k \in [0,1]$  for both translation and dilation control, curve fitting was attempted:

$$K(k;n) = -\frac{\pi}{2n} + \int_0^1 \frac{dx}{n\sqrt{(1-x^2)(1-k^2x^2)}}$$
 (14)

The optimization routine returned a value of n=1 for both  $\phi_0$  and  $\phi_{\min}$ , indicating that the first-order approximation is not effective. The plot is shown below.

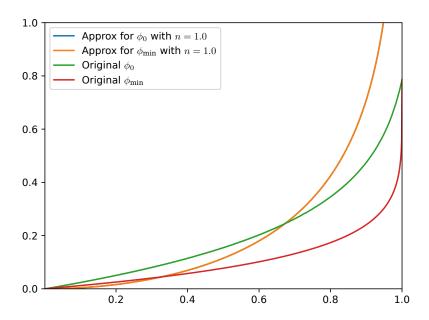


Figure 9: Elliptical approximations

Further parameterization attempts of K(k) did not yield better results, as the curvature of the elliptic integral function cannot be manipulated easily to fit the data.

#### 5.5 Univariate spline approximation

This method yielded the best results. A univariate spline of degree k=3 with smoothness factor s=0.001 was used to approximate  $\phi_0$  and  $\phi_{\min}$ . Figure 10 shows that the method was successful, with minimized Runge effect and typical error magnitudes lower than that of the polynomial approximation.

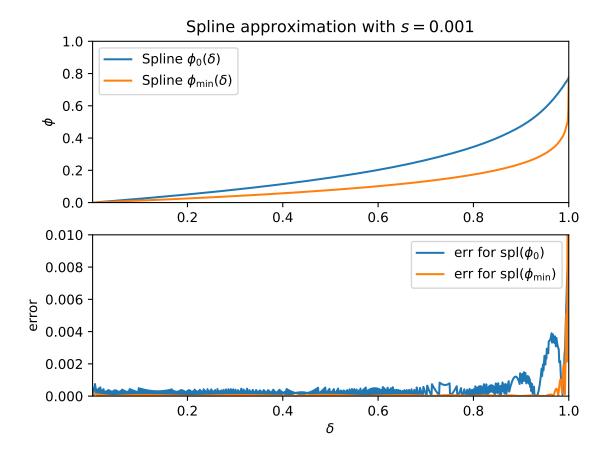


Figure 10: Spline approximation plot

The computer output below details the properties of each spline:

```
---- phi_0 spline approx -----
LSQ Error =
                   0.001000051241244917
Knots =
                [0.001 0.501 0.751 0.876 1.
                [-4.40529375e-04 3.92784349e-02
                                                 1.04654145e-01 2.56034241e-01
Coeffs =
 4.15005836e-01 5.76096213e-01 7.73738936e-01]
----- phi_min spline approx -----
LSQ Error =
                   0.0009999540547108812
                [0.001 0.501 0.626 0.751 0.814 0.876 0.907 0.938 0.969 0.977 0.985 0.993
Knots =
0.997 1.
Coeffs =
                [-4.58365176e-05
                                 1.99513345e-02 4.68542634e-02
                                                                1.06810792e-01
                                                 2.46198594e-01
 1.41001801e-01 1.78919227e-01
                                 2.10975405e-01
 2.81539962e-01
                 3.21468750e-01
                                3.64172354e-01
                                                 3.99187610e-01
 4.27132615e-01 5.02562701e-01 4.92718655e-01 7.78749733e-01]
```

### 6 Simplifying the expression $1 - w_p(\phi)^2$ from $y_p(\phi)$

Objective: obtain the simplest expression for  $1 - w_p(\phi)^2$  in terms of  $\phi, \beta$ .

The original expression for  $1-w_p(\phi)^2$  is below, with the denominator removed for simplicity.

$$(3\pi + 8)^{2}\beta^{4}\sin^{2}(2k)(1 - w_{p}(\phi)^{2}) = \underbrace{(3\pi + 8)^{2}\beta^{4}\sin^{2}(2k) - \left[(3\pi + 8)^{2}\beta^{4} - 8(3\phi + 2)(3\pi + 8)\beta^{2} + 16(3\phi + 2)^{2}\right]}_{\gamma_{1}(\phi)}$$
(15)

$$-\underbrace{2\sin(2k)(4\sin^2(k)+6)((3\pi+8)\beta^2-4(3\phi+2))}_{\gamma_2(\phi)}$$
(16)

$$-\underbrace{\sin^2(2k)(16\sin^4(k) + 48\sin^2(k) + 36)}_{\gamma_3(\phi)}$$
 (17)

$$= \gamma_1(\phi) - \gamma_2(\phi) - \gamma_3(\phi) \tag{18}$$

Applying Equations 1-3 to the denoted sub-functions  $\gamma_1(\phi), \gamma_2(\phi), \gamma_3(\phi)$ , we get

$$\gamma_1(\phi) = (3\pi + 8)^2 \beta^4 \left(\cos^2(2\phi) - 1\right) + 8(3\phi + 2)\left((3\pi + 8)\beta^2 - 2(3\phi + 2)\right) \tag{19}$$

$$\gamma_2(\phi) = -2(8\cos(2\phi) + 2\sin(2\phi)\cos(2\phi))\left((3\pi + 8)\beta^2 - 4(3\phi + 2)\right)$$
(20)

$$= -4\cos(2\phi)(\sin(2\phi) + 2)\left((3\pi + 8)\beta^2 - 4(3\phi + 2)\right) \tag{21}$$

$$\gamma_3(\phi) = -\cos^2(2\phi) \left( 16\sin\left(\phi + \frac{\pi}{4}\right)^4 + 48\sin^2\left(\phi + \frac{\pi}{4}\right) + 36 \right)$$
 (22)

$$= -\cos^2(2\phi) \left(4\sin^2(2\phi) + 6\right)^2 \tag{23}$$

Putting this together, a simplified expression is

$$1 - w_p(\phi)^2 = \frac{\gamma_1}{(3\pi + 8)^2 \beta^4 \cos^2(2\phi)} + \frac{\gamma_2}{(3\pi + 8)^2 \beta^4 \cos^2(2\phi)} + \frac{\gamma_3}{(3\pi + 8)^2 \beta^4 \cos^2(2\phi)}$$
(24)

$$= \frac{(3\pi + 8)^{2}\beta^{4}\cos^{2}(2\phi)}{(3\pi + 8)^{2}\beta^{4}\cos^{2}(2\phi)} + \frac{(3\pi + 8)^{2}\beta^{4}\cos^{2}(2\phi)}{(3\pi + 8)^{2}\beta^{4}\cos^{2}(2\phi)}$$

$$= \underbrace{\frac{\cos^{2}(2\phi) - 1}{\cos^{2}(2\phi)}}_{-\frac{(1-\cos^{2}(2\phi))}{\cos^{2}(2\phi)}} + \frac{8(3\phi + 2)((3\pi + 8)\beta^{2} - 2(3\phi + 2))}{(3\pi + 8)^{2}\beta^{4}\cos^{2}(2\phi)}$$

$$= \underbrace{\frac{-(1-\cos^{2}(2\phi))}{\cos^{2}(2\phi)}}_{-\frac{(1-\cos^{2}(2\phi))}{\cos^{2}(2\phi)}} = \underbrace{-\tan^{2}(2\phi)}_{-\frac{(1-\cos^{2}(2\phi))}{\cos^{2}(2\phi)}} = \underbrace{-\tan^{2}(2\phi)}_{-\frac{(1-\cos^{2}($$

$$\frac{-(1-\cos^2(2\phi))}{\cos^2(2\phi)} = \frac{-\sin^2(2\phi)}{\cos^2(2\phi)} = \boxed{-\tan^2(2\phi)}$$

$$-\frac{4(\sin(2\phi)+2)((3\pi+8)\beta^2-4(3\phi+2))}{(3\pi+8)^2\beta^4\cos(2\phi)} - \frac{(4\sin^2(2\phi)+6)^2}{(3\pi+8)^2\beta^4}$$
(26)

Because  $y_p(\phi) = \sqrt{1 - w_p(\phi)^2} \sqrt{\frac{\mu}{r_0}} \cot(\phi + \pi/4)$ , by substitution

$$y_p(\phi) = \sqrt{-\tan^2(2\phi) + \frac{8(3\phi + 2)((3\pi + 8)\beta^2 - 2(3\phi + 2))}{(3\pi + 8)^2\beta^4\cos^2(2\phi)} - \frac{4(\sin(2\phi) + 2)((3\pi + 8)\beta^2 - 4(3\phi + 2))}{(3\pi + 8)^2\beta^4\cos(2\phi)} - \frac{(4\sin^2(2\phi) + 6)^2}{(3\pi + 8)^2\beta^4}\sqrt{\frac{\mu}{r_0}}\cot(\phi + \pi/4)$$
(27)