

Collapse

$$p \approx q \iff \forall z \in \Sigma^* \left[ \hat{\delta}(p, z) \in F \iff \hat{\delta}(q, z) \in F \right]$$

Quotient construction

→ equivalence classes treated as single states

DFA  $M$  to an equivalence relation  $\equiv_M$  on  $\Sigma^*$

$$x \equiv_M y \iff \hat{\delta}(s, x) = \hat{\delta}(s, y)$$

MN relation  $\equiv$  on  $\Sigma^*$  for a language  $L$

$$\begin{aligned} & \left\{ \begin{array}{l} (1) \quad x \equiv y \Rightarrow \forall a \in \Sigma [xa \equiv ya] \quad \text{right congruence} \\ (2) \quad x \equiv y \Rightarrow (x \in L \iff y \in L) \quad \equiv \text{refines } L \\ (3) \quad \equiv \text{ is of finite index} \end{array} \right. \end{aligned}$$



Construction: Given an MN relation  $\equiv$  for  $L$ , to construct a DFA  $M_{\equiv} = (Q, \Sigma, \delta, s, F)$  such that  $\mathcal{L}(M_{\equiv}) = L$ .

$$Q = \{ [x] \mid x \in \Sigma^* \} \rightarrow \text{finite by (3)}$$

$$s = [\epsilon]$$

$$F = \{ [x] \mid x \in L \} \rightarrow \text{well-defined by (2)}$$

$$\delta([x], a) = [xa] \rightarrow \text{well-defined by (1)}$$

$$x \in \mathcal{L}(M_{\equiv}) \Leftrightarrow \hat{\delta}(s, x) \in F \Leftrightarrow \hat{\delta}([ \epsilon ], x) \in F \Leftrightarrow [x] \in F \Leftrightarrow x \in L$$

These two constructions are inverses of one another

$$\equiv \mapsto M_{\equiv} \mapsto \equiv_{M_{\equiv}}$$

$$x \equiv_{M_{\equiv}} y \iff \hat{\delta}([e], x) = \hat{\delta}([e], y)$$

$$\iff [x] = [y]$$

$$f: Q' \rightarrow Q$$

$$\iff x \equiv y$$

$$f([x]) = \hat{\delta}(s, x)$$

$$M \mapsto \equiv_M \mapsto M' = M_{\equiv_M}$$

$$'' (Q, \Sigma, \delta, s, F)$$

$$\hookrightarrow (Q', \Sigma, \delta', s', F')$$

$$M = (Q_M, \Sigma, \delta_M, s_M, F_M)$$

$$N = (Q_N, \Sigma, \delta_N, s_N, F_N)$$

$M$  and  $N$  are called isomorphic if there exists a bijective map  $f: Q_M \rightarrow Q_N$  such that

$$(1) \quad f(s_M) = s_N$$

$$(2) \quad f(F_M) = F_N$$

$$(3) \quad f(\delta_M(p, a)) = \delta_N(f(p), a) \\ \forall p \in Q_M \text{ and } \forall a \in \Sigma$$

Def: Let  $L$  be any language (not necessarily regular).

Define an equivalence relation  $\equiv_L$  as follows.

$$x \equiv_L y \iff \forall z \in \Sigma^* [xz \in L \iff yz \in L]$$

Theorem:  $\equiv_L$  is an MN' relation for  $L$ .

Proof:  $\equiv_L$  refines  $L$ . Take  $z = \epsilon$  in the defn.

$$x \equiv_L y \Rightarrow [x \in L \iff y \in L]$$

$\equiv_L$  satisfies right congruence

$$z = aw$$

$$x \equiv_L y \Rightarrow \forall a \in \Sigma \forall w \in \Sigma^* [xaw \in L \iff yaw \in L]$$
$$(\Rightarrow) \forall a \in \Sigma [xa \equiv_L ya]$$

Does such a relation exist for  $L$ ?

Yes. Equality relation.  $\rightarrow$  The finest  $MN'$  relation for  $L$ .

We are interested in the coarsest  $MN'$  relation for  $L$ .  
with as few equiv classes as possible

Theorem:  $\equiv_L$  is the coarsest  $MN'$  relation for  $L$ .

Proof: Let  $\equiv$  be any  $MN'$  relation for  $L$ .

$$\text{TST: } x \equiv y \Rightarrow x \equiv_L y$$

Generalize right congruence for  $\equiv$   $x \equiv y \Rightarrow \forall z \in \Sigma^* [xz \equiv yz]$

By refinement property

$$\boxed{\equiv \subseteq \equiv_L}$$

$$\Rightarrow x \equiv_L y$$

$$\Rightarrow \forall z \in \Sigma^* [xz \in L \Leftrightarrow yz \in L]$$



## Myhill-Nerode theorem

Let  $L \subseteq \Sigma^*$ . Then the following are equivalent

- (1)  $L$  is regular
- (2)  $L$  has an MN relation
- (3)  $\equiv_L$  is of finite index.

(1)  $\Rightarrow$  (2)  $L = \mathcal{L}(M)$  Consider  $\equiv_M$

(2)  $\Rightarrow$  (3) Let  $\equiv$  be an MN relation for  $L$

$\equiv \subseteq \equiv_L$   $\equiv_L$  is not finer than  $\equiv$

(3)  $\Rightarrow$  (1) Do the construction  $\equiv_L \mapsto M_{\equiv_L}$



# Application 1

$M = (Q, \Sigma, \delta, s, F)$  be a collapsed DFA  
without unreachable states

$$L = \mathcal{L}(M)$$

Then  $\equiv_M = \equiv_L$

Proof :  $x \equiv_L y \Leftrightarrow \forall z \in \Sigma^* [xz \in L \Leftrightarrow yz \in L]$

$$\Leftrightarrow \forall z \in \Sigma^* [\hat{\delta}(s, xz) \in F \Leftrightarrow \hat{\delta}(s, yz) \in F]$$
$$\Leftrightarrow \forall z \in \Sigma^* [\hat{\delta}(\hat{\delta}(s, x), z) \in F \Leftrightarrow \hat{\delta}(\hat{\delta}(s, y), z) \in F]$$
$$\Leftrightarrow \hat{\delta}(s, x) \approx \hat{\delta}(s, y) \Leftrightarrow \hat{\delta}(s, x) = \hat{\delta}(s, y) \Leftrightarrow x \equiv_M y$$

## Application 2

$\{a^n b^n \mid n \geq 0\}$  is not regular.

$i \neq j$

$a^i b^i \in L$

$a^j b^i \notin L$

$a^i b^j \notin L$

$a^j b^j \in L$

$a^i \not\equiv_L a^j$

$[a^0], [a^1], [a^2], [a^3], \dots$  are all distinct

$\equiv_L$  is not of finite index

$L$  is not regular.