

1. Consider the following three sets.

- $S$  = The set of all infinite bit sequences,  
 $A$  = The set of all infinite bit sequences containing two consecutive 0's (at least once),  
 $B$  = The set of all infinite bit sequences not containing two consecutive 0's.

In the class, we have seen that  $S$  is uncountable. This exercise deals with the countability/uncountability of  $A$  and  $B$ .

(a) Propose an *injective* map  $f : S \rightarrow A$ , and argue about the countability/uncountability of  $A$ . (5)

*Solution* Take an element (an infinite bit sequence)  $\alpha \in S$ . If  $\alpha$  does not contain 0, that is, if  $\alpha = 111\dots 1\dots$ , take  $f(\alpha) = 00111\dots 1\dots$ . Otherwise, let  $\hat{\alpha}$  be the string obtained by duplicating the *first* 0 in  $\alpha$ , and define  $f(\alpha) = 1\hat{\alpha}$ . For example,  $f(010101\dots) = 10010101\dots$ ,  $f(0111\dots 1\dots) = 100111\dots 1\dots$ , and  $f(000\dots 0\dots) = 10000\dots 0\dots$ .

The injective map implies  $|S| \leq |A|$ . But  $S$  is already uncountable, so  $A$  is uncountable too.

(b) Prove whether  $B$  is countable or uncountable. (5)

*Solution*  $B$  is uncountable. Let  $T$  be the set of all infinite sequences over the alphabet  $\{1, 2\}$ . Define a map  $g : T \rightarrow B$  as follows. Take any  $\beta \in T$ , and replace every occurrence of 2 by 01 to get the string  $\hat{\beta}$ , and define  $g(\beta) = \hat{\beta}$ . Since we replace all occurrences of 2 by 01,  $\hat{\beta}$  does not contain any 2, so  $\hat{\beta} \in S$ . Moreover, the construction does not introduce two consecutive 0's in  $\hat{\beta}$ , so  $\hat{\beta} \in B$  too, that is,  $g$  is well-defined. Finally, it is easy to see that  $g$  is injective (indeed  $g$  is a bijection). It follows that  $|T| \leq |B|$ , and like  $A$ , the set  $B$  is uncountable too.

2. Consider the sequence  $a_0, a_1, a_2, \dots$  defined recursively as follows.

$$\begin{aligned}
 a_0 &= 0, \\
 a_1 &= 1, \\
 a_2 &= 2, \\
 a_n &= 2a_{n-2} + a_{n-3} + 2 \text{ for all } n \geq 3.
 \end{aligned}$$

(a) Derive a closed-form expression for the (ordinary) generating function  $A(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n + \dots$  of the sequence. (5)

*Solution* We have

$$\begin{aligned}
 A(x) &= a_0 + a_1x + a_2x^2 + \sum_{n \geq 3} a_nx^n \\
 &= x + 2x^2 + \sum_{n \geq 3} (2a_{n-2} + a_{n-3} + 2)x^n \\
 &= x + 2x^2 + 2x^2 \sum_{n \geq 3} a_{n-2}x^{n-2} + x^3 \sum_{n \geq 3} a_{n-3}x^{n-3} + 2x^3 \sum_{n \geq 3} x^{n-3} \\
 &= x + 2x^2 + 2x^2(A(x) - 0) + x^3A(x) + \frac{2x^3}{1-x} \\
 &= (2x^2 + x^3)A(x) + \frac{x + x^2}{1-x}.
 \end{aligned}$$

$$A(x) = \frac{x + x^2}{(1-x)(1-2x^2-x^3)} = \frac{x(1+x)}{(1-x)(1+x)(1-x-x^2)} = \frac{x}{(1-x)(1-x-x^2)}.$$

(b) From the closed-form expression of  $A(x)$  derived in Part (a), establish that  $a_n = F_{n+2} - 1$  for all  $n \geq 0$ , where  $F_0, F_1, F_2, \dots$  is the Fibonacci sequence. Use no other method. (5)

*Solution* We can write

$$\frac{x}{(1-x)(1-x-x^2)} = \frac{A}{1-x} + \frac{Bx+C}{1-x-x^2}.$$

Solving gives  $A = -1$  and  $B = C = 1$ , that is,

$$A(x) = \frac{1+x}{1-x-x^2} - \frac{1}{1-x}.$$

The OGF of the Fibonacci sequence is  $\frac{x}{1-x-x^2}$ , that is,  $\frac{x}{1-x-x^2}$  generates  $F_0, F_1, F_2, \dots, F_n, \dots$ . This implies that  $\frac{1}{1-x-x^2}$  generates  $F_1, F_2, F_3, \dots, F_{n+1}, \dots$ . Finally,  $\frac{1}{1-x}$  generates  $1, 1, 1, \dots$ . Therefore, we have  $a_n = F_n + F_{n+1} - 1 = F_{n+2} - 1$  for all  $n \geq 0$ .

**3.** Solve the following recurrence, and obtain the closed-form expression for  $a_n$ .

$$a_n = 8a_{n-2} - 16a_{n-4} + 2^n \quad (\text{for } n \geq 4) \quad \text{with} \quad a_0 = 1, \quad a_1 = \frac{17}{4}, \quad a_2 = 30, \quad a_3 = 41.$$

Note: Use of generating functions is **not** allowed in this exercise.

(10)

*Solution* The characteristic equation for the homogeneous part of the given recurrence is:

$$r^4 - 8r^2 + 16 = 0 \quad \Rightarrow \quad (r-2)^2(r+2)^2 = 0$$

which derives the four roots as, 2, 2, -2, and -2. Hence, the general form of the homogeneous solution is:

$$a_n^{(h)} = (A + Bn)2^n + (C + Dn)(-2)^n.$$

From the given recurrence, we also get the general form of the particular solution is:

$$a_n^{(p)} = En^2 2^n.$$

Solving for the particular solution constant from the following:

$$En^2 2^n = 8E(n-2)^2 2^{n-2} - 16E(n-4)^2 2^{n-4} + 2^n, \quad \text{we get} \quad E = \frac{1}{8}.$$

Therefore, the final generic solution form is:  $\left( a_n = a_n^{(h)} + a_n^{(p)} \right)$

$$a_n = (A + Bn)2^n + (C + Dn)(-2)^n + \frac{1}{8}n^2 2^n.$$

Now, solving for the constants in the above equation, we find:

$$\begin{aligned} a_0 &= 1 = A + C \\ a_1 &= \frac{17}{4} = 2A + 2B - 2C - 2D + \frac{1}{4} \\ a_2 &= 30 = 4A + 8B + 4C + 8D + 2 \\ a_3 &= 41 = 8A + 24B - 8C - 24D + 9 \end{aligned}$$

which yields  $A = 1, \quad B = 2, \quad C = 0, \quad D = 1$ . So, the final solution to the given recurrence is:

$$a_n = 2^n + 2n2^n + n(-2)^n + \frac{1}{8}n^2 2^n = \left[ 1 + 2n + \frac{1}{8}n^2 \right] 2^n + n(-2)^n, \quad n \geq 0.$$

**4. (a)** Let  $A = \mathbb{Z} \times \mathbb{Z}$ , and  $\lambda$  a fixed (constant) positive integer. Define two operations  $\oplus$  and  $\odot$  on  $A$  as

$$\begin{aligned} (a, b) \oplus (c, d) &= (a + c, b + d), \\ (a, b) \odot (c, d) &= (ad + bc, bd + \lambda ac). \end{aligned}$$

$A$  is a commutative ring with identity under these two operations. You do not have to verify the ring axioms, but only mention what the additive and the multiplicative identities are in  $A$  (no need to prove their identity properties). Also, prove that  $A$  is an integral domain if and only if  $\lambda$  is **not** a perfect square. (2 + 4)

**Solution** Additive identity:  $(0, 0)$ . Multiplicative identity:  $(0, 1)$ .

Suppose that  $\lambda$  is not a perfect square, and  $(a, b) \odot (c, d) = (0, 0)$ , that is,  $ad + bc = 0$  and  $bd + \lambda ac = 0$ . But then,  $a(bd + \lambda ac) - b(ad + bc) = 0$ , that is,  $(\lambda a^2 - b^2)c = 0$ . Since  $\lambda$  is not a perfect square, we cannot have  $\lambda a^2 - b^2 = 0$  or  $\lambda = (b/a)^2$ . Therefore we must have  $c = 0$ . This in turn implies  $ad = 0$  and  $bd = 0$ . If  $d = 0$ , we have  $c = d = 0$ , whereas if  $d \neq 0$ , we have  $a = b = 0$ . That is,  $A$  does not contain non-zero zero divisors.

Conversely, let  $\lambda = \alpha^2$ . We can now force  $\lambda a^2 - b^2 = 0$  by taking  $a = 1$  and  $b = \pm \alpha$ . But  $(1, \alpha)$  and  $(1, -\alpha)$  are non-zero elements of  $A$ , and we have  $(1, \alpha) \odot (1, -\alpha) = (-\alpha + \alpha, -\alpha^2 + \lambda) = (0, 0)$ .

(b) Let  $(G, \circ)$  be a group, and  $c$  a fixed element of  $G$ . Define a binary operation  $*$  on  $G$  by  $a * b = a \circ c \circ b$  for all  $a, b \in G$ . Prove that  $(G, *)$  is a group, clearly showing that all the properties of a group are satisfied. (4)

**Solution**  $(G, *)$  is a group, because it satisfies the following properties of a group.

**Closure:** For any  $p, q \in G$ ,  $p * q = p \circ c \circ q \in G$ , since  $c \in G$  and  $G$  is closed under the operation  $\circ$ .

**Associativity:** For any  $p, q, r \in G$ , since  $G$  is associative under the operation  $\circ$ , we get:

$$(p * q) * r = (p \circ c \circ q) \circ c \circ r = p \circ c \circ (q \circ c \circ r) = p * (q * r)$$

**Identity:**  $c^{-1}$  is the identity element. For any element  $p \in G$ , we get:

$$p * c^{-1} = p \circ c \circ c^{-1} = p \circ e_G = p \quad \text{and} \quad c^{-1} * p = c^{-1} \circ c \circ p = e_G \circ p = p$$

where,  $e_G \in G$  is the identity element with respect to the group  $(G, \circ)$ .

**Inverse:** For any element  $p \in G$ , let  $p' \in G$  be its inverse with respect to  $*$ . Now, by definition we should get  $p * p' = c^{-1} = p' * p$ .

$$\therefore p \circ c \circ p' = c^{-1} \quad \text{or} \quad p' \circ c \circ p = c^{-1} \Rightarrow p' = c^{-1} \circ p^{-1} \circ c^{-1}$$

where,  $p^{-1}$  is the inverse of  $p$  with respect to the operation  $\circ$ .