# **CSE110A: Compilers**

#### **Topics: Midterm Review of Parsing**

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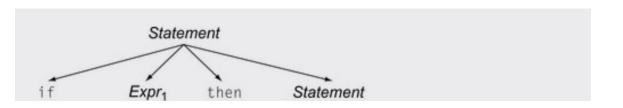
```
int main() {
  printf("");
  return 0;
}
```

What happens when different derivations have different parse trees?

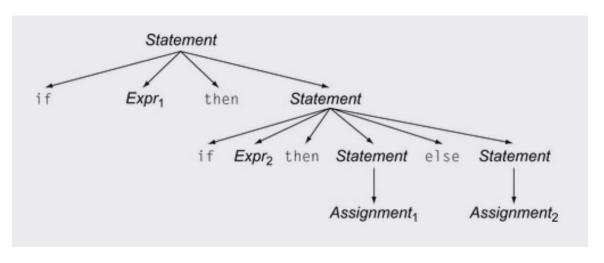
can we derive this string?

```
if Expr_1 then if Expr_2 then Assignment_1 else Assignment_2
```

```
if Expr_1 then if Expr_2 then Assignment_1 else Assignment_2
```

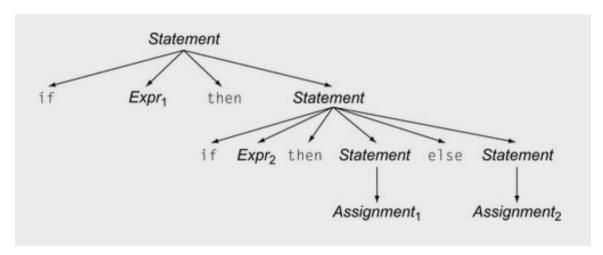


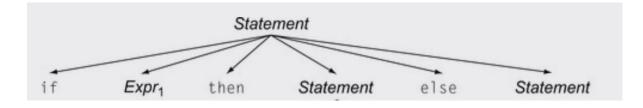
```
if Expr_1 then if Expr_2 then Assignment_1 else Assignment_2
```



Valid derivation

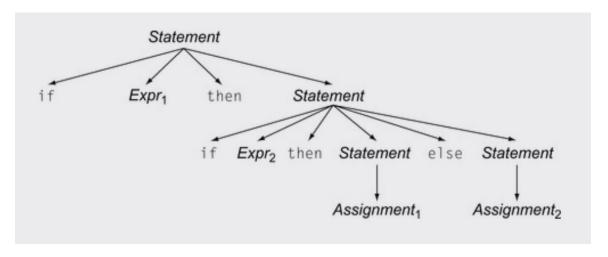
```
if Expr_1 then if Expr_2 then Assignment_1 else Assignment_2
```

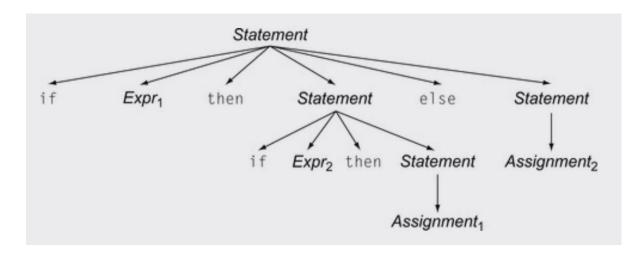




Valid derivation

```
if Expr_1 then if Expr_2 then Assignment_1 else Assignment_2
```

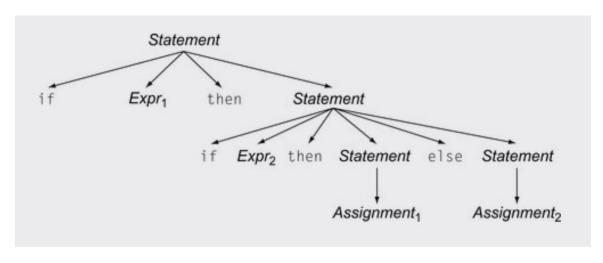


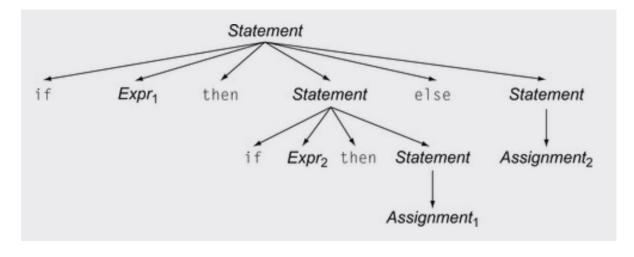


Valid derivation

And another valid derivation

Is this an issue? Don't we only care if a grammar can derive a string?





Valid derivation

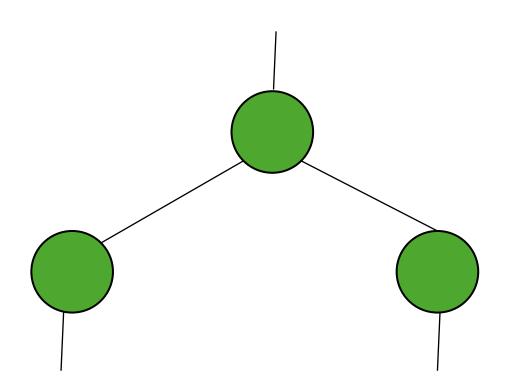
And another valid derivation

# Meaning into structure

 We want to start encoding meaning into the parse structure. We will want as much structure as possible as we continue through the compiler

 The structure is that we want evaluation of program to correspond to a post order traversal of the parse tree (also called the natural traversal)

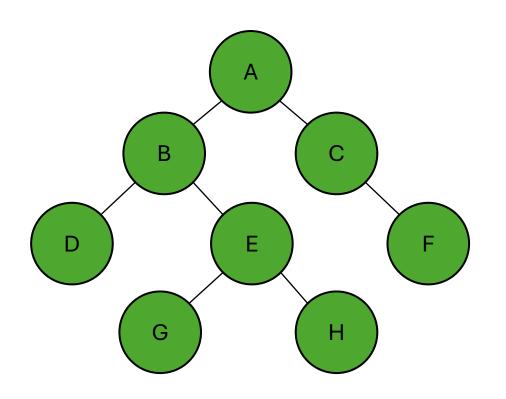
#### Post order traversal



visiting for for different types of traversals:

pre order?
in order?
post order?

#### Review: Possible Orders of Traversal



Traversal Order	Order Visited	Example Output
pre-order	Top-Root->Left-Child->Right-Child	ABDEGHC F
in-order	Left/Bottom->Its-Root->Right/Bottom	DBGEHAC F
post-order	Left/Bottom->Right/Bottom->Its- Root	DGHEBFC A

Traversals never visit the same node twice.

#### Pre-order Traversal (Root-Left-Right. Top to Bottom)

Prioritizes visits from top to bottom, and left to right Useful for serializing and copying trees.

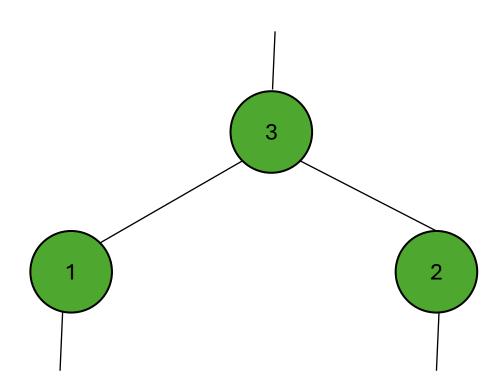
#### **In-order Traversal (Left-Root-Right, Bottom to Top)**

Prioritizes visits from bottom left to right visiting parent nodes on the way. Sometimes used for sorting.

#### Post-order (or natural) Traversal (Left-Right-Root)

Prioritizes traversing subtrees by visiting lowest nodes first, and parent nodes later. Useful for evaluating expression trees (e.g. parsing)

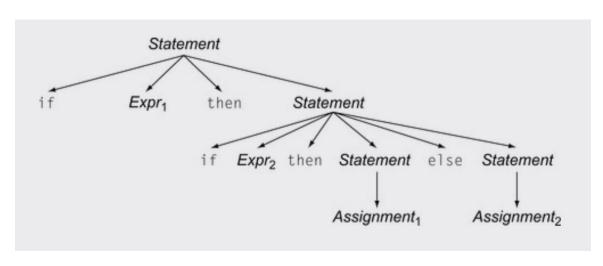
#### Post order traversal

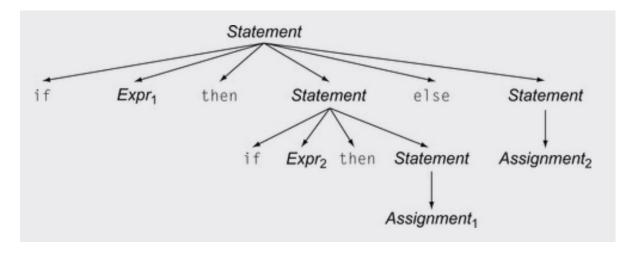


visiting for different types of traversals:

<mark>post order</mark>

Encoding meaning into structure can result in very different programs





Valid derivation

Also a valid derivation

#### Programming language structure

```
int x = 1; //true
int y = 0; //false
int check0 = 0;

if (x)
if (y)
pass();
else
check0 = 1;
```

pop quiz: what is the value of check0 at the end?

#### Programming language structure

```
x = 1
y = 0
check0 = 0
if (x):
if (y):
pass
else:
check0 = 1
print(check0)
```

How does Python handle this?

# Programming language structure

```
x = 1
y = 0
check0 = 0
if (x):
if (y):
pass
else:
check0 = 1
print(check0)
```

```
x = 1
\mathbf{v} = \mathbf{0}
check0 = 0
if (x):
  if (y):
      pass
   else:
      check0 = 1
print(check0)
```

Invalid syntax, you need to indent, which makes it clear

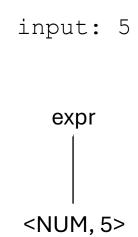
### Ambiguous expressions

#### First lets define tokens:

- NUM = "[0-9]+"
- PLUS = '\+'
- TIMES = '\\*'
- LP = '\ ('
- RP = \)'

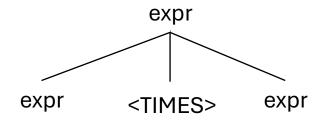
Lets define a simple expression language

```
expr ::= NUM
| expr PLUS expr
| expr TIMES expr
| LPAREN expr RPAREN
```

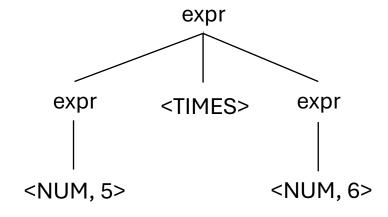


input: 5\*6

input: 5\*6



input: 5\*6



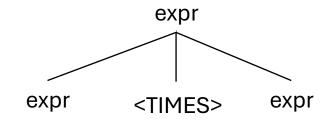
input: 5\*\*6

What happens in an error?

expr

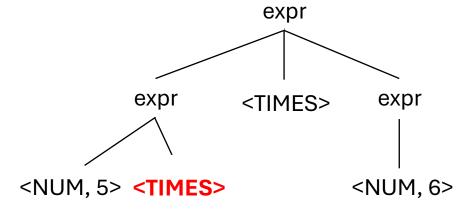
input: 5\*\*6

What happens in an error?



input: 5\*\*6

What happens in an error?



Not possible!

```
input: (1+5) *6
```

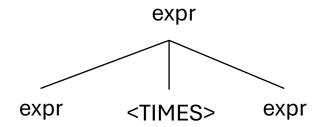
| expr TIMES expr

| LPAREN expr RPAREN

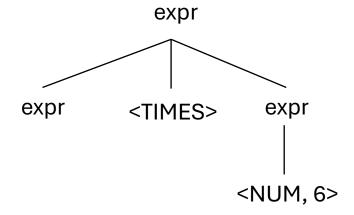
```
expr ::= NUM
| expr PLUS expr
```

input: (1+5) \*6

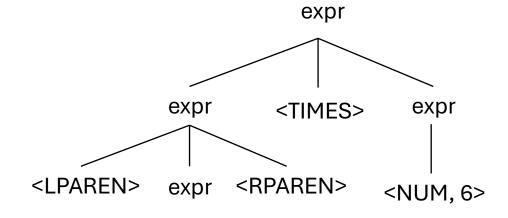
input: (1+5)\*6



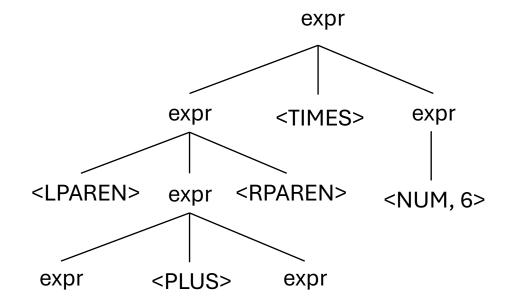
input: (1+5) \*6



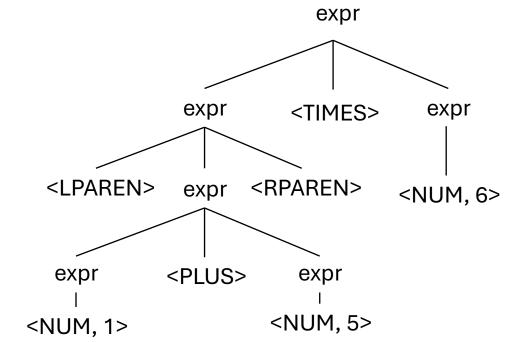
input: (1+5) \*6



input: (1+5)\*6

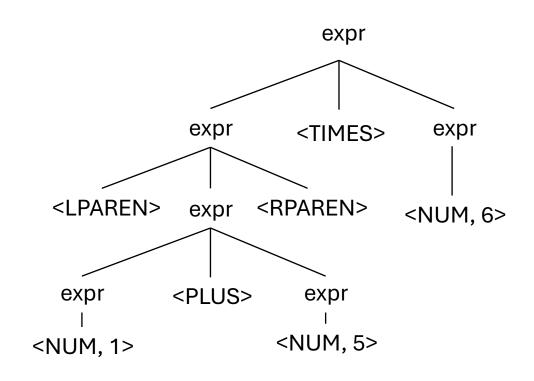


input: (1+5) \*6



#### Does this parse tree capture the structure we want?

```
input: (1+5)*6
```

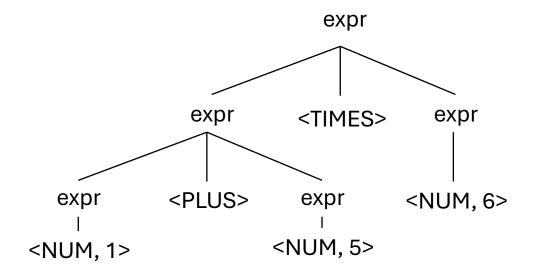


#### Parse trees

• How about: 1 + 5 \* 6

#### Parse trees

• How about: 1 + 5 \* 6



# AMBIGUOUS GRAMMARS AND PRECEDENCE

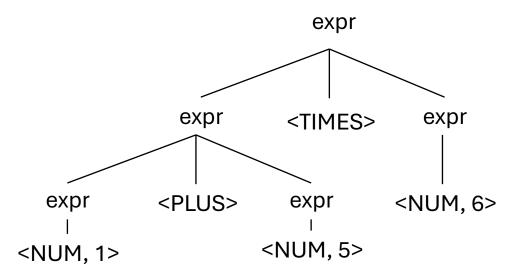
#### **Ambiguous Grammars**

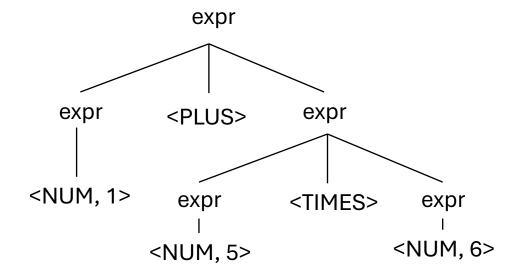
•input: 1 + 5 \* 6

```
expr <TIMES> expr expr <NUM, 6>
<NUM, 1>
```

#### **Ambiguous Grammars**

•input: 1 + 5 \* 6





#### **Avoiding Ambiguity**

- How to avoid ambiguity related to precedence?
- Define precedence into the grammar:
  - Ambiguity comes from conflicts. Explicitly define how to deal with conflicts by indicating that:
    - \* has higher precedence than +
- Some parser generators support this,
  - e.g. YACC(C), Bison (C), Antlr (Java), PLY(Python)

#### **Avoiding Precedence Ambiguity**

How to avoid ambiguity related to precedence?

- Second way: add new production rules
  - One non-terminal for each level of precedence
  - lowest precedence at the top
  - highest precedence at the bottom
- Lets try with expressions and the following:

#### **Avoiding Precedence Ambiguity**

#### Second way: new production rules

- One non-terminal for each level of precedence
- lowest precedence at the top
- highest precedence at the bottom

Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM

Precedence increases going down

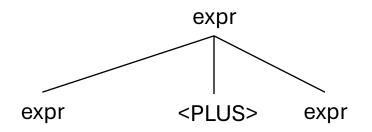
Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM

input: 1+5\*6

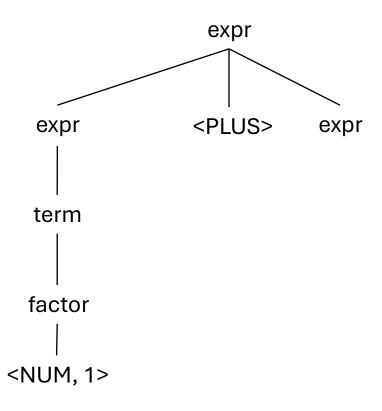
expr

Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM

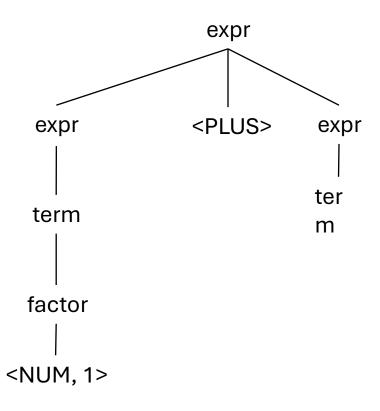
Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM



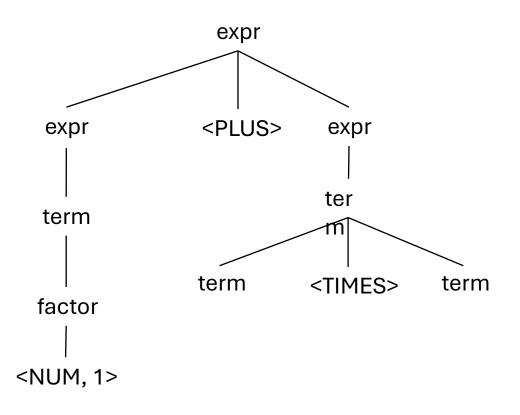
Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM



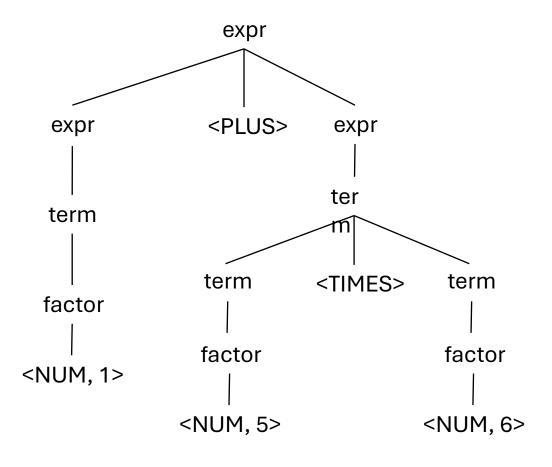
Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM



Operator	Name	Productions
+	expr	: expr PLUS expr
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()	factor	: LPAREN expr RPAREN   NUM



Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LPAREN expr RPAREN   NUM



(and considering precedence)

Let's try it for an RE language, {| . \* ()}

- Assume . is a concatenation operator
- Terminals are in upper-case

Operator	Name (LHS)	Productions (RHS)
I	choice	choice PIPE choice concat
•	concat	concat DOT concat star
*	star	star STAR unit
()	unit	LPAR choice RPAR CHAR

(and considering precedence)

Let's try it for an RE language, {| . \* ()}

- Assume . is a concatenation operator
- Terminals are in upper-case

Operator	Name	Productions
I	choice	: choice PIPE choice   concat
	concat	: concat DOT concat   starred
*	starred	: starred STAR   unit
()	unit	: LPAREN choice RPAREN   CHAR

(and considering precedence)

Let's try it for an RE language, {| . \* ()}

- Assume . is a concatenation operator
- Terminals are in upper-case

Operator	Name	Productions
I	choice	: choice PIPE choice   concat
•	concat	: concat DOT concat   starred
*	starred	: starred STAR   unit
()	unit	: LPAREN choice RPAREN   CHAR

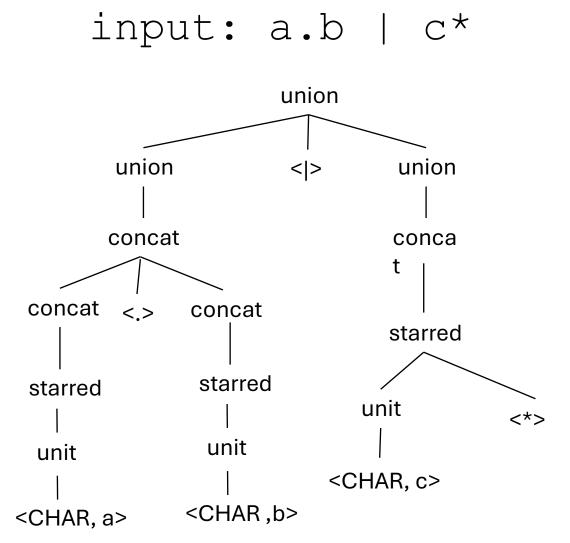
input: a.b | c\*

(and considering precedence)

Let's try it for an RE language, {| . \* ()}

- Assume . is a concatenation operator
- Terminals are in upper-case

Operator	Name	Productions
I	choice	: choice PIPE choice   concat
•	concat	: concat DOT concat   starred
*	starred	: starred STAR   unit
()	unit	: LPAREN choice RPAREN   CHAR



#### How many levels of precedence does C have?

• <a href="https://en.cppreference.com/w/c/language/operator\_precedence">https://en.cppreference.com/w/c/language/operator\_precedence</a>

# Fixing Grammar for Associativity

#### Let's make some more parse trees

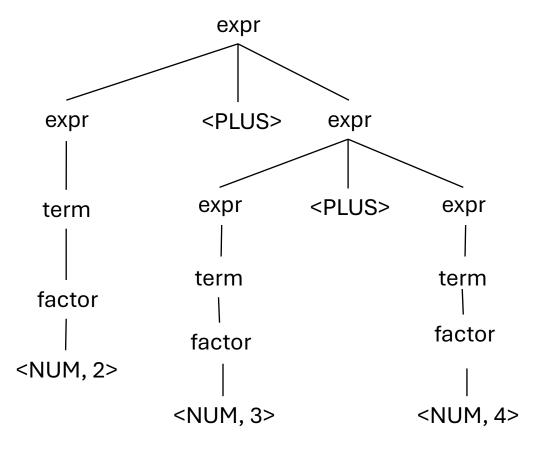
input: 2+3+4

Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LP expr RP   NUM

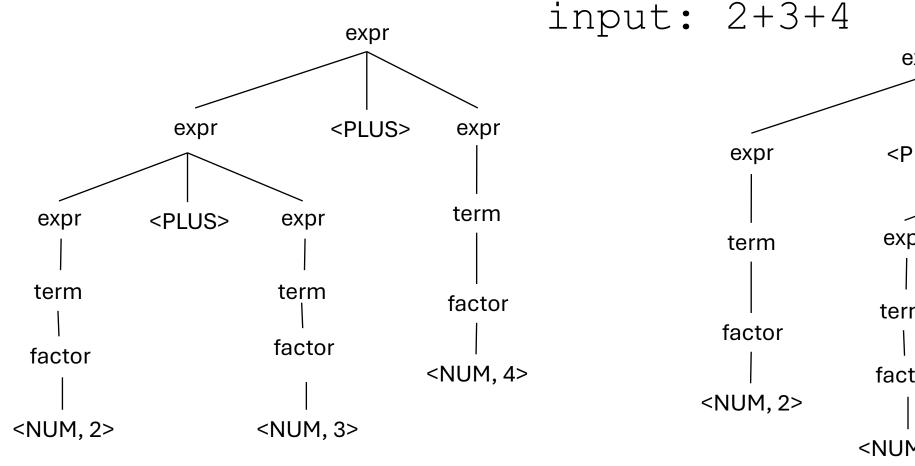
#### Let's make some more parse trees

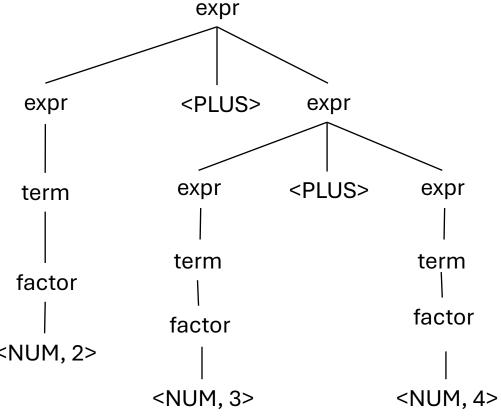
Operator	Name	Productions
+	expr	: expr PLUS expr
*	term	: term TIMES term   factor
()	factor	: LP expr RP   NUM

input: 2+3+4



#### This is ambiguous, is it an issue?

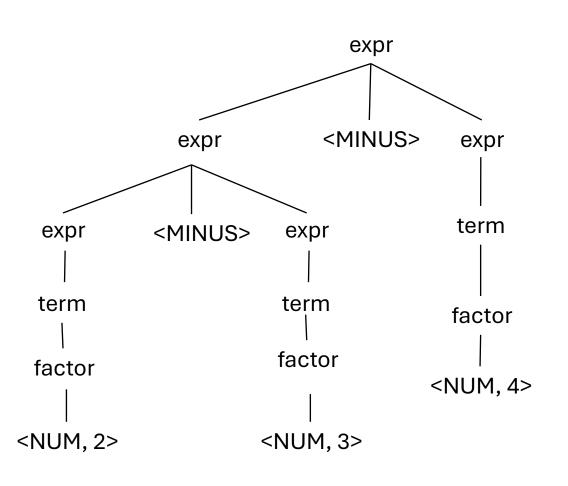




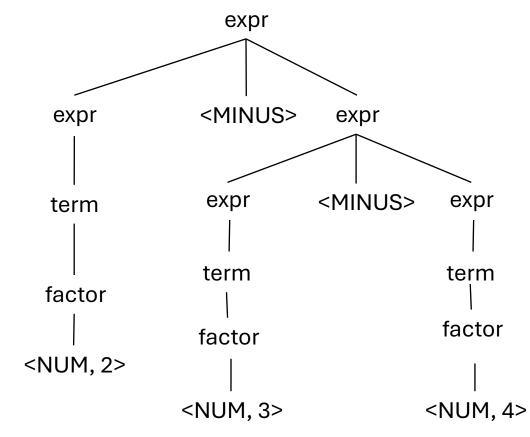
#### What about for a different operator?

input: 2-3-4

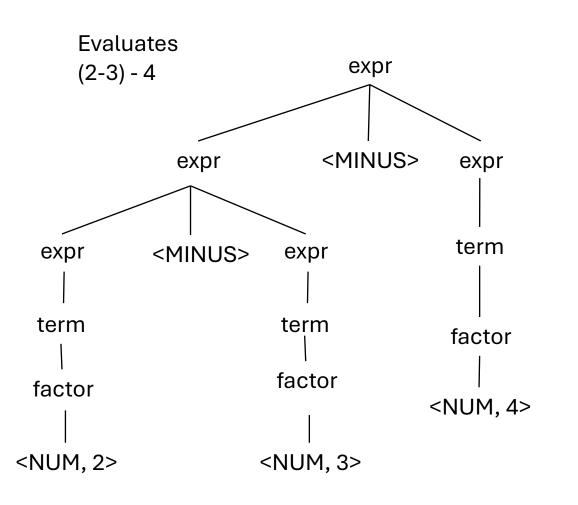
#### What about for a different operator?



input: 2-3-4



#### What about for a different operator?



input: 2-3-4**Evaluates** expr 2 - (3 - 4)<MINUS> expr expr <MINUS> expr expr term term term factor factor factor

<NUM, 3>

<NUM, 4>

<NUM, 2>

#### Associativity

If an operator is not associative then we define

- left to right (left-associative)
  - 2-3-4 is evaluated as ((2-3) 4)
  - What other operators are left-associative

- right-to-left (right-associative)
  - Any operators you can think of?

#### Associativity

If an operator is not associative then we define

- left to right (left-associative)
  - 2-3-4 is evaluated as ((2-3) 4)
  - What other operators are left-associative

- right-to-left (right-associative)
  - Assignment, power operator

#### How to encode associativity?

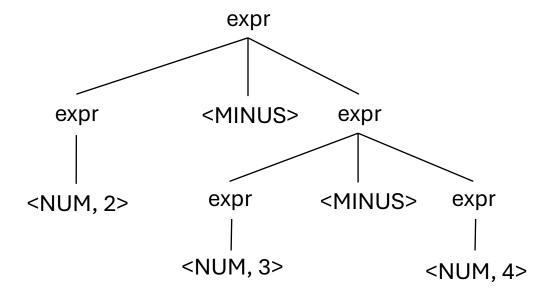
• Like precedence, some tools (e.g. YACC/Bison) allow associativity specification through keywords:

```
• "+": left, "^": right
```

 Also like precedence, we can also encode it into the production rules

Operator	Name	Productions
~	expr	: expr MINUS expr

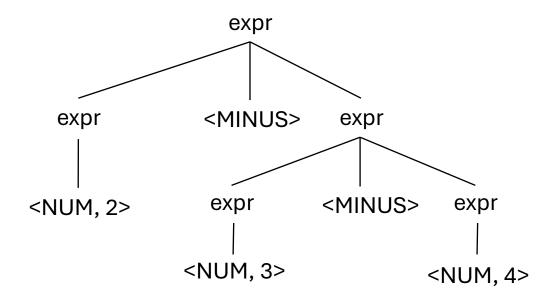




We want to disallow this parse tree

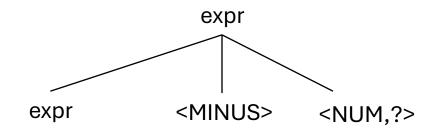
Operator	Name	Productions
-	expr	: expr MINUS <mark>NUM</mark>   NUM





No longer allowed

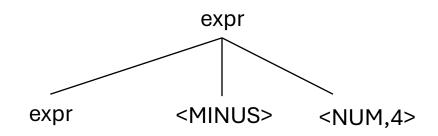
input: 2-3-4



Operator	Name	Productions
-	expr	: expr MINUS NUM

Lets start over

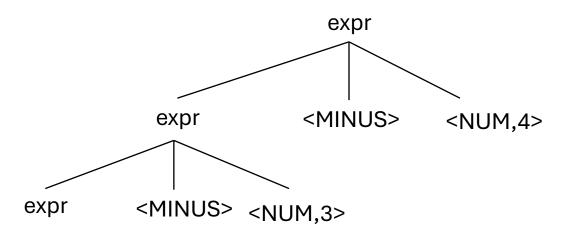
input: 2-3-4



Operator	Name	Productions
-	expr	: expr MINUS NUM

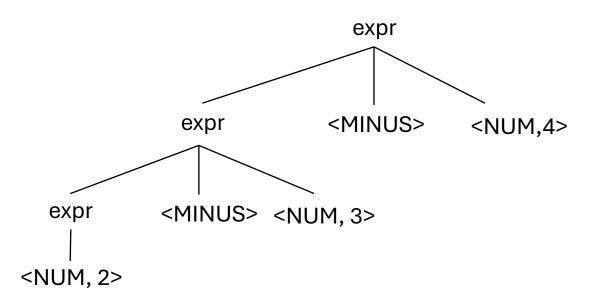
input: 
$$2-3-4$$

Operator	Name	Productions
-	expr	: expr MINUS NUM



input: 2-3-4

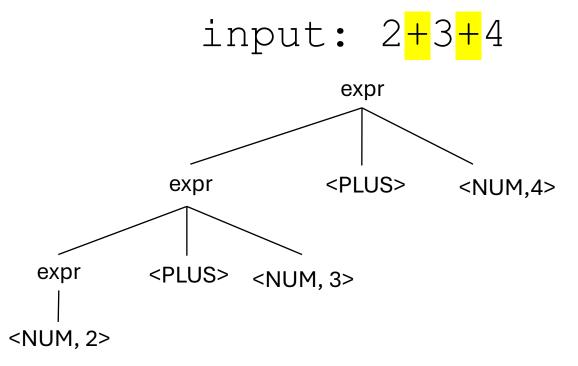
Operator	Name	Productions
-	expr	: expr MINUS NUM



## Should you have associativity when its not required?

Benefits?
Drawbacks?

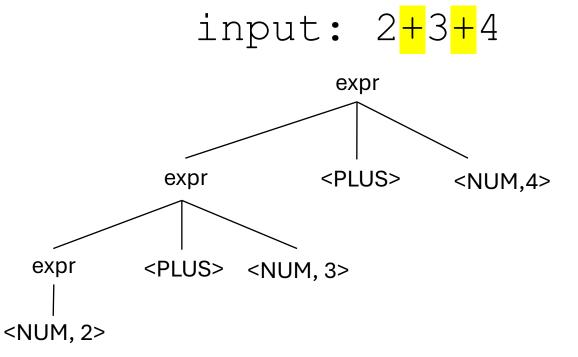
Operator	Name	Productions
+	expr	: expr PLUS expr



## Should you have associativity when its not required?

Benefits?
Drawbacks?

Operator	Name	Productions
+	expr	: expr PLUS <mark>NUM</mark>   NUM



Good design principle to avoid ambiguous grammars, even when strictly not required too.

Helps with debugging, etc. etc.

Many tools will warn if it detects ambiguity

#### Let's make a richer expression grammar

Let's do operators  $[+, *, -, /, ^]$  and ()

Operator	Name	Productions

Tokens:

NUM = "[0-9]+"

PLUS = '\+'

TIMES = '\\*'

LP = '\(')

RP = \)'

MINUS = '-'

DIV = '/'

CARROT =' \^'

## Let's make a richer expression grammar

Let's do operators  $[+, *, -, /, ^]$  and ()

Operator	Name	Productions
+,-	expr	: expr PLUS term   expr MINUS term   term
*,/	term	: term TIMES pow   term DIV pow   pow
^	pow	: factor CARROT pow   factor
()	factor	: LPAR expr RPAR   NUM

Tokens:

NUM = "[0-9]+"

PLUS = '\+'

TIMES = '\\*'

LP = '\(')

RP = \)'

MINUS = '-'

DIV = '/'

CARROT =' \^'

## What associativity do operators in C have?

• <a href="https://en.cppreference.com/w/c/language/operator\_precedence">https://en.cppreference.com/w/c/language/operator\_precedence</a>

## New topic: Algorithms for Parsing

#### One goal:

• Given a string s and a CFG G, determine if G can derive s

• We will do that by implicitly attempting to derive a parse tree for S

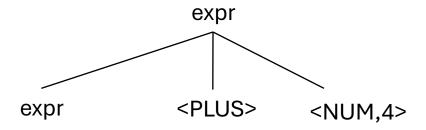
- Two different approaches, each with different trade-offs:
  - Top down
  - Bottom up

input: 2+3+4

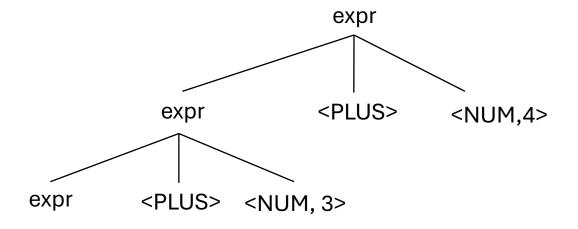
expr

Operator	Name	Productions
+	expr	: expr PLUS NUM

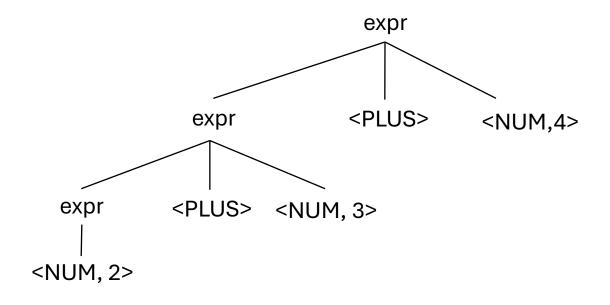
Operator	Name	Productions
+	expr	: expr PLUS NUM



Operator	Name	Productions
+	expr	: expr PLUS NUM



Operator	Name	Productions
+	expr	: expr PLUS NUM



#### Pros:

- Algorithm is simpler
- Faster than bottom-up
- Easier recovery

#### Cons:

- Not efficient on arbitrary grammars
- Many grammars need to be re-written

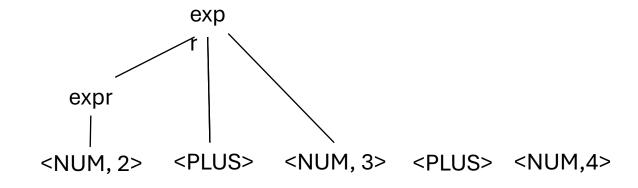
input: 2+3+4

Operator	Name	Productions
+	expr	: expr PLUS NUM

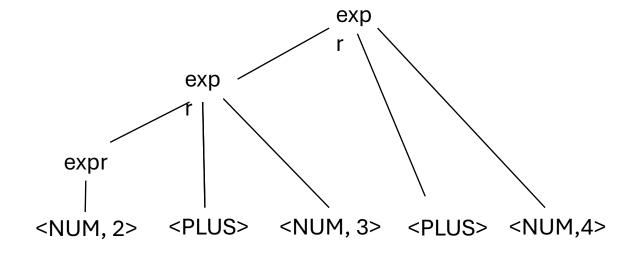
<NUM, 2> <PLUS> <NUM, 3> <PLUS> <NUM,4>

Operator	Name	Productions
+	expr	: expr PLUS NUM

Operator	Name	Productions
+	expr	: expr PLUS NUM



Operator	Name	Productions
+	expr	: expr PLUS NUM



## Bottom up

#### Pros:

- can handle grammars expressed more naturally
- can encode precedence and associativity even if grammar is ambiguous

#### Cons:

- algorithm is complicated
- in many cases slower than top down

Let's start with top-down parsing:

Algorithm: LL(1) Parsing Left to Right with Look Ahead of 1

```
root = start symbol; # Expr
focus = root;
push (None);
to match = s.token(); # Read first token
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1  # First symbol in rule
  else if (focus == to match):
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

1:	Expr	::= Expr Op Unit
2:		Unit
3:	Unit	::= '(' Expr ')'
4:		ID
5:	Ор	::= \+'
6:		\ <b>*</b> /

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push (None);
                                   Currently we assume
to match = s.token();
                                   this is magic and picks
                                   the right rule every time
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push (None);
                                   Currently we assume
to match = s.token();
                                   this is magic and picks
                                   the right rule every time
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
```

Variable	Value
focus	Ор
to_match	·+'
s.istring	b) *c
stack	Unit ')' Op, Expr, None

Accept

Expanded Rule #	Sentential Form
start	Expr
1	Expr Op Unit
2	Unit Op Unit
3	'('Expr ')' Op Unit
1	'(' Expr Op Unit ')' Op Unit
2	'(' Unit Op Unit ')' Op Unit
And so on	'(' ID Op Unit ')' Op Unit

```
root = start symbol;
focus = root;
push (None);
                                   What can go wrong if
to match = s.token();
                                   we don't have a magic
                                   choice
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
push (None);
                                  What can go wrong
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
```

Variable	Value
focus	
to_match	
s.istring	
stack	

#### Can we derive the string (a+b) \*c

Expanded Rule	Sentential Form
start	Expr
2	Expr Op Unit
2	Expr Op Unit Op Unit
2	Expr Op Unit Op Unit Op Unit
2	Expr Op Unit

Infinite recursion!

# Top down parsing does not handle left recursion

direct left recursion

indirect left recursion

Top down parsing cannot handle either of these

# Top down parsing does not handle left recursion

- In general, any CFG can be re-written without left recursion
  - However, the transformation may affect associativity
  - or increase the number of rules
  - but it is always possible

```
Fee ::= Fee "a"
```

What does this grammar describe?

# Fee ::= Fee "a"

The grammar can be rewritten as

In general, A and B can be any sequence of non-terminals and terminals

```
Fee ::= Fee A Fee2

| B Fee2
| Fee ::= B Fee2
| Fee2 ::= A Fee2
| ""
```

Lets do this one as an example:

```
Fee ::= B Fee2

| Fee ::= B Fee2
| Fee2 ::= A Fee2
| ""
```

```
A = ?
B = ?
```

Lets do this one as an example:

```
A = Op Unit
B = Unit
```

Lets do this one as an example:

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
   to_match = s.token()
    focus = pop()
  else if (to_match == None and focus == None)
   Accept
 Variable
                      Value
```

variable	value
focus	
to_match	
s.istring	
stack	

1:	Expr	::= Unit Expr2
2:	Expr2	::= Op Unit Expr2
3:		""
4:	Unit	::= '(' Expr ')'
5:		ID
6:	Ор	::= \+'
7:		\*/

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
                                              How to handle
push (None);
                                              this case?
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to_match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
  Variable
                      Value
  focus
  to_match
  s.istring
```

stack

1: 1	Expr	::=	Unit	Expi	r2
2: 1	Expr2	::=	Op U	Jnit E	Expr2
3:		""			
4: 1	Unit	::=	`(`	Expr	`)'
5 <b>:</b>			ID		
6: 0	Эp	::=	<b>\</b> +'		
7:		1	1 * /		

Expanded Rule	Sentential Form
start	Expr

```
root = start symbol;
focus = root;
                                              How to handle
push (None);
                                              this case?
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    if A == "": focus=pop(); continue; # ignore it
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
    Accept
 Variable
                     Value
 focus
 to_match
 s.istring
```

stack

1:	Expr	::=	Unit	Exp	r2
2:	Expr2	::=	Op U	nit 1	Expr2
3:		""	•		
4:	Unit	::=	<b>'('</b> ]	Expr	`)'
5 <b>:</b>			ID		
6 <b>:</b>	Ор	::=	<b>`+'</b>		
7:		1	\ <b>*</b> /		

Expanded Rule	Sentential Form
start	Expr

direct left recursion

indirect left recursion

Top down parsing cannot handle either

Identify indirect left left recursion

$$Expr\_base \rightarrow_{lhs} Expr\_op \rightarrow_{lhs} Expr\_base$$

Identify indirect left left recursion

$$Expr\_base \rightarrow_{lhs} Expr\_op \rightarrow_{lhs} Expr\_base$$

Substitute indirect non-terminal closer to initial non-terminal

```
1: Expr base ::= Unit
                                 1: Expr base ::= Unit
2: | Expr op
                                   | <mark>Expr_base</mark> Op Unit
3: Expr_op ::= Expr_base Op Unit
                                 3: Expr op ::= Expr base Op Unit
4: Unit ::= '(' Expr_base ')'
                                 4: Unit ::= '(' Expr_base ')'
5:
  | ID
                                 5:
                                               ΙD
6: Op ::= '+'
                                 6: Op ::= '+'
             1 * /
7:
                                 7:
                                              1 * /
```

Identify indirect left left recursion

What to do with production rule 3?

$$Expr\_base \rightarrow_{lhs} Expr\_op \rightarrow_{lhs} Expr\_base$$

Substitute indirect non-terminal closer to initial non-terminal

```
1: Expr base ::= Unit
                                      1: Expr base ::= Unit
            | Expr op
                                           | <mark>Expr_base</mark> Op Unit
3: Expr_op ::= Expr_base Op Unit
                                      3: Expr op ::= Expr base Op Unit
4: Unit ::= '(' Expr_base ')'
                                      4: Unit ::= '(' Expr base ')'
5:
                ΙD
                                       5:
6: Op ::= '+'
                                       6: Op ::= '+'
                1 * /
7:
                                       7:
                                                       1 * /
```

Identify indirect left left recursion

What to do with production rule 3? It may need to stay if another production rule references it!

$$Expr\_base \rightarrow_{lhs} Expr\_op \rightarrow_{lhs} Expr\_base$$

Substitute indirect non-terminal closer to initial non-terminal

## Next time: algorithms for syntactic analysis

- Continue with our top down parser.
  - Backtracking
  - Lookahead sets

## **TOP-DOWN PARSERS**

## **ELIMINATING LEFT-RECURSION**

# ORACULARITY: MAKING A BACKTRACK FREE LL(1) PARSER USING FIRST, FOLLOW and FIRST+ SETS

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1, B2, B3...BN);
    if B1 == "": focus=pop(); continue;
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
   Accept
 Variable
                      Value
 focus
                      Expr2
                      None
 to_match
                      66 22
 s.istring
```

None

stack

1:	Expr	::=	ID 1	Expr2
2:	Expr2	::=	<b>\+'</b>	Expr2
₹•		1	// //	

#### Could we make a smarter choice here?

Can we match: "a"?

Expanded Rule	Sentential Form			
start	Expr			
1	ID <mark>Expr2</mark>			

## The First Set

For each production choice, find the set of tokens that each production can start with

```
First sets:
1: Expr ::= Unit Expr2
                                       1: {}
2: Expr2 ::= Op Unit Expr2
                                      2: {}
3:
           \\ //
                                       3: {}
4: Unit ::= '(' Expr ')'
5:
                                       5: {}
               ID
        ::= \+'
6: Op
                                       6: {}
              1 * /
7:
                                       7: {}
```

## The First Set

For each production choice, find the set of tokens that each production can start with

```
First sets:

1: {'(', ID}
2: {'+', '*'}
3: {""}
4: {'(')
5: {ID}
6: {'+'}
7: {'*'}
```

We can use first sets to decide which rule to pick!

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
  else if (to match == None and focus == None)
   Accept
```

#### Variable

#### Value

focus	
to_match	
s.istring	
stack	

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
3: ""
4: Unit ::= '(' Expr ')'
5: I
           ΙD
6: Op ::= '+'
7: | \*/
First sets:
1: { '(', ID}
3: {""}
4: { '(')
5: {ID}
6: { '+'}
7: { \*/ }
```

We simply use to\_match and compare it to the first sets for each choice

For example, Op and Unit

## The Follow Set

Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with.

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Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with.

## The First+ Set

The First+ set is conditional combination of First and Follow sets

$$\begin{aligned} \operatorname{FIRST}^+(A \to \beta) = \begin{cases} \operatorname{FIRST}(\beta) & \text{if} \in \notin \operatorname{FIRST}(\beta) \\ \operatorname{FIRST}(\beta) \cup \operatorname{FOLLOW}(A) & otherwise \end{cases} \end{aligned}$$

## Calculating Follow(A) Set

```
1: Expr
         ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
                                         We need to find the tokens
             \\ //
3:
                                         that any string that follows the
4: Unit ::= '(' Expr ')'
                                         production can start with.
5:
                 ID
6: Op ::= '+'
                           Follow Set
                1 * /
7:
                                  ID
                          Expr
                          Expr2
                                                                V
                          Unit
                          Op
                                   V
```

Rules with "" in their First set need special attention

First sets: Follow sets:

1: {'(', ID} 1: NA

2: {'+', '\*'} 2: NA

3: {""}

4: {'(')}

4: NA

5: {ID}

5: NA

6: {'+'}

7: {'\*'}

7: NA

To compute FOLLOW(A) for all nonterminals A, apply the following rules until nothing can be added to any FOLLOW set:

- 1. Place \$ in FOLLOW(S), where S is the start symbol and \$ is the input right endmarker.
- 2. If there is a production  $A \Rightarrow \alpha B\beta$ , then everything in FIRST( $\beta$ ), except for  $\varepsilon$ , is placed in FOLLOW(B).
- 3. If there is a production  $A \Rightarrow \alpha B$ , or a production  $A \Rightarrow \alpha B\beta$  where FIRST( $\beta$ ) contains  $\epsilon$  (i.e.,  $\beta \Rightarrow \epsilon$ ), then everything in FOLLOW(A) is in FOLLOW(B).

### The Follow Set

#### **Follow Set**

	ID	+	*	)	(	е	\$
Expr				V			V
Expr Expr2 Unit				V			V
Unit		V	V	V			V
Ор	V				V		

Rules with "" in their First set need special attention

We need to find the tokens that any string that follows the production can start with. \$ and None in Follow/First+ sets are the same. The None/\$ terminal refer to the end of a valid language sentence.

## The First+ Set

#### The First+ set is the combination of First and Follow sets

## Do we need backtracking?

The First+ set is the combination of First and Follow sets

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

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## Do we need backtracking?

The First+ set is the combination of First and Follow sets

```
First+ sets:

1: {'(', ID}

2: {'+', '*'}

3: {None, ')'}

4: {'(')}

5: {ID}

6: {'+'}

7: {'*'}
```

These grammars are called LL(1)

- L scanning the input left to right
- L left derivation
- 1 how many look ahead symbols

They are also called predictive grammars

Many programming languages are LL(1)

For each non-terminal: if every production has a disjoint First+ set then we do not need any backtracking!

Recursive Descent allows for easy predictive lookahead of two or more.

## LEFT-FACTORING A GRAMMAR

## **Left Factoring**

Left Factoring: the process of extracting and isolating common prefixes in a set of productions

$$A ::= a B_1 | a B_2 | a B_3 | c_1 | c_2 | c_3$$

So, define new Non-Terminal for Common Suffixes

#### Left Factor this way:

A ::= 
$$a B$$
  
B ::=  $B_1 | B_2 | B_3$   
C ::=  $c_1 | c_2 | c_3$ 

Often permits a disjoint First Set for every production (RHS) avoiding backtracking.

We cannot select the next rule based on a single look ahead token!

#### We can refactor

#### We can refactor

// We will need to compute the follow set

It is not always possible to rewrite grammars into a predictive form, but many programming languages can be.

#### We can refactor

// We will need to compute the follow set

## A Pattern for Left Factoring

$$A ::= a B_1 | a B_2 | a B_3 | c_1 | c_2 | c_3$$

#### Left Factor this way:

$$A := a B$$

$$B ::= B_1 | B_2 | B_3$$

$$C := c_1 | c_2 | c_3$$

S : Expr

1. Expr : Expr + Term

2. | Expr - Term

3. | Term

4. Term : Term \* Factor

5. | term / Factor

6. | Factor

7. Factor: (Expr)

8. | num

9. | name

## We now have a full top-down LL(1) parsing algorithm!

```
root = start symbol;
focus = root;
push (None);
to match = s.token();
while (true):
  if (focus is a nonterminal)
    pick next rule (A ::= B1,B2,B3...BN);
    push (BN... B3, B2);
    focus = B1
  else if (focus == to match)
    to match = s.token()
    focus = pop()
```

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'('}
5: {ID}
6: {'+'}
7: {'*'}
```

First+ sets for each production rule

input grammar, refactored to remove left recursion

```
else if (to_match == None and focus == None)
   Accept
```

To pick the next rule, compare to \_match with the possible first+ sets. Pick the rule whose first+ set contains to \_match.

If there is no such rule then it is a parsing error.

## Moving on to a simpler implementation:

Recursive Descent Parser

How do we parse an Expr?

How do we parse an Expr?
We parse a Unit followed by an Expr2

```
How do we parse an Expr?
We parse a Unit followed by an Expr2

We can just write exactly that!

def parse_Expr(self):
    self.parse_Unit();
    self.parse Expr2();
```

return

How do we parse an Expr2?

```
2: Expr2 ::= Op Unit Expr2
3: ""
4: Unit ::= '(' Expr ')'
5:
              ΙD
6: Op ::= '+'
7:
First+ sets:
1: { '(', ID}
2: { '+', '*'}
3: {None, ')'}
4: { '(')
5: {ID}
6: { '+'}
7: { \*'}
```

1: Expr ::= Unit Expr2

How do we parse an Expr2?

```
1: Expr ::= Unit Expr2
                                                                How do we parse an Expr2?
2: Expr2 ::= Op Unit Expr2
                N//
3:
                                         def parse_Expr2(self):
4: Unit ::= '(' Expr ')'
5:
                     ΙD
                                           token_id = get_token_id(self.to_match)
6: Op ::= '+'
7:
                   1 * /
                                           # Expr2 ::= Op Unit Expr2
                                           if token id in ["PLUS", "MULT"]:
                                            self.parse Op()
                                            self.parse Unit()
                                            self.parse Expr2()
First+ sets:
                                            return
1: { '(', ID}
                                           # Expr2 ::= ""
2: { '+', '*'}
                                           if token_id in [None, "RPAR"]:
3: {None, ')'}
                                            return
4: { '(')
                                           else:
                                             raise ParserException(self.linennumber # line number (for you to do)
5: {ID}
                                                                                  # observed token
                                                    self.to match,
6: { '+'}
                                                    ["PLUS", "MULT", "RPAR"])
                                                                             # expected token
7: { \*/ }
```

How do we parse a Unit?

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'(')}
5: {ID}
6: {'+'}
7: {'*'}
```

```
1: Expr ::= Unit Expr2
2: Expr2 ::= Op Unit Expr2
               \\ //
3:
4: Unit ::= '(' Expr ')'
5:
                           def parse_Unit(self):
90 : 9
7:
                  1 * /
                              token id = get token id(self.to match)
                              # Unit ::= '(' Expr ')'
                              if token id == "LPAR":
First+ sets:
                                self.eat("LPAR")
1: { '(', ID}
                                self.parse Expr()
2: { '+', '*'}
                                self.eat("RPAR")
3: {None, ')'}
                                return
4: { '(')
5: {ID}
                             # Unit :: = ID
                              if token id == "ID":
6: { \+' }
                                self.eat("ID")
7: { \*/ }
                                return
```

How do we parse a Unit?

```
def eat(self, expected_token):
   if self.to_match == expected_token:
      self.advance() # move to the next token
   else:
      raise ParserException(
            self.current_line, # line# (for you to do)
            self.to_match, # observed token
      [expected_token]) # i.e. PAR or RPAR
```

How do we parse a Unit?

```
Note: function eat must ensure that to_match has the
            ::= Unit Expr2
1: Expr
                                                               expected token ID and advances to the next token, i.e.
    Expr2 ::= Op Unit Expr2
                                                               something like this:
3:
                 \\ //
                                                                  def eat(self, expected_token):
4: Unit
              ::= '(' Expr ')'
                                                                    if self.to_match == expected_token:
5:
                                                                      self.advance() # move to the next token
6: Op
                                                                    else:
7:
                     1 * /
                            def parse_Unit(self):
                                                                      raise ParserException(
                                                                                self.current line,
                              token id = get token id(self.to match)
                                                                                                    # line# (for you to do)
                                                                                                    # observed token
                                                                                 self.to_match,
                              # Unit ::= '(' Expr ')'
                                                                                 [expected token]) # LPAR or RPAR or ID)
                              if token id == "LPAR":
First+ sets:
                                                              class ParserException(Exception):
                                self.eat("LPAR")
1: { '(', ID}
                                                                def __init__(self, line_number, found_token, expected_tokens):
                                self.parse_Expr()
2: { \+', \*' }
                                                                 self.line_number = line_number
                                self.eat("RPAR")
3: {None, ')'}
                                                                 self.found token = found token
                                return
                                                                 self.expected_tokens = expected_tokens
                                                                 # Create a readable error message
                                                                 message = (f"Parse error on line {line_number}: found
                              # Unit :: = ID
                                                              '{found_token}', "
                              if token id == "ID":
                                                                      f"expected one of {expected tokens}")
                                self.eat("ID")
                                                                 super().__init__(message)
                                return
```

How do we parse an Op?

```
First+ sets:
1: {'(', ID}
2: {'+', '*'}
3: {None, ')'}
4: {'(')}
5: {ID}
6: {'+'}
7: {'*'}
```

```
1: Expr ::= Unit Expr2
                                                         How do we parse an Op?
2: Expr2 ::= Op Unit Expr2
              \\ //
3:
                                                                    def eat(self, expected_token):
4: Unit ::= '(' Expr ')'
5:
                  ΙD
                                                                       raise ParserException(
6: Op ::= '+'
                           def parse_Op(self):
                                                                            self.current line,
7:
                                                                            self.to match, # observed token
                              token_id = get_token_id(self.to_match)
                                                                            [expected token]) # expected token
                              # Op ::= '+'
                              if token_id == "PLUS":
First+ sets:
                               self.eat("PLUS")
1: { '(', ID}
                                return
2: { '+', '*'}
3: {None, ')'}
                              # Op ::= '*'
4: { '(')
                              if token id == "MULT":
5: {ID}
                               self.eat("MULT")
                               return
```

# Recursive Descent IS THAT SIMPLE

and allows lookahead > 1