# K-Means Based Prototype Selection for Nearest Neighbor Classifier

# Sijie Wang

siw019@ucsd.edu

#### **Abstract**

Speeding up nearest neighbor classification can be accomplished by replacing the training set by a carefully chosen subset of "prototypes". A K-Means based algorithm can be used to choose prototypes from the training set. The prototypes chosen was used for 1-NN based classification and the algorithm was implemented and tested on the MNIST data set.

### 1 High Level Description

KMeans algorithm can partition the dataset into K pre-defined distinct non-overlapping clusters where each data point belongs to only one group. It assigns data points to a cluster such that the sum of the squared distance between the data points and the cluster's centroid is at the the minimum. The less variation we have within clusters, the more similar the data points are within the same cluster. Thus, using KMeans algorithm can improve the performance.

#### 2 Pseudocode

# Algorithm 1 KMeans Algorithm

Specifiy the number n of clusters to assign Random initialize n centroids Repeat:

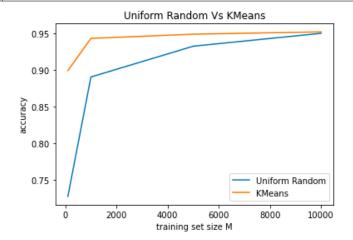
Assign each data point to the closest centroid Then, compute and update the mean of each cluster End until the centroid is fixed

Use above algorithm to fit the model with samplings, predict the labels by the model and compute the accuracy

#### 3 Experimental Results

Cases are considered M = 100, 1000, 5000, 10000 1-NN classification was performed and 10 clusters was selected for KMeans algorithm.

M	Uniform Random Accuracy	KMeans Accuracy
100	0.7159	0.8992
1000	0.8865	0.9432
5000	0.9343	0.9489
10000	0.9478	0.9521



### 4 Critical Evaluation

Based on above table and graph, clearly that accuracy after implementing KMeans is higher than Uniform Random. KMeans algorithm can capture the properties of the dataset accurately.

Further improvement: For further improving accuracy, we can try different number of clusters. In this project, I only choose 10 clusters, we may find the best accuracy in a different number of cluster.

```
In [1]: import operator
        import struct
        import numpy as np
        import datetime
        import matplotlib.pyplot as plt
In [2]: train_images_idx3_ubyte_file = './data/train-images-idx3-ubyte'
        train labels idx1 ubyte file = './data/train-labels-idx1-ubyte'
        test images_idx3_ubyte_file = './data/t10k-images-idx3-ubyte'
        test_labels_idx1_ubyte_file = './data/t10k-labels-idx1-ubyte'
In [3]: def load_idx3_ubyte(idx3_ubyte_file):
            bin data = open(idx3 ubyte file, 'rb').read()
            offset = 0
            fmt header = '>iiii'
            count number, num images, num rows, num cols = struct.unpack from(fmt h
            image_size = num_rows * num_cols
            offset += struct.calcsize(fmt_header)
            fmt image = '>' + str(image size) + 'B'
            images = np.empty((num images, num rows, num cols))
            for i in range(num images):
                images[i] = np.array(struct.unpack_from(fmt_image, bin_data, offset
                offset += struct.calcsize(fmt image)
            return images
In [4]: def load idx1 ubyte(idx1 ubyte file):
            bin data = open(idx1_ubyte_file, 'rb').read()
            offset = 0
            fmt header = '>ii'
            count_number, num_images = struct.unpack_from(fmt header, bin data, off
            offset += struct.calcsize(fmt header)
            fmt image = '>B'
            labels = np.empty(num images)
            for i in range(num images):
                labels[i] = struct.unpack_from(fmt_image, bin_data, offset)[0]
                offset += struct.calcsize(fmt image)
            return labels
In [5]: X train = load idx3 ubyte(train images idx3 ubyte file)
        Y train = load idx1 ubyte(train labels idx1 ubyte file)
        X test = load idx3 ubyte(test images idx3 ubyte file)
        Y test = load idx1 ubyte(test labels idx1 ubyte file)
```

```
In [6]: print(len(X_train))
         print(len(Y train))
         print(len(X_test))
         print(len(Y_test))
         60000
         60000
         10000
         10000
In [7]: from sklearn import metrics
In [8]: from sklearn.neighbors import KNeighborsClassifier
In [9]: def get_rand_accuracy(X_train, Y_train, M):
             random indices = np.random.choice(X train.shape[0], replace=False, size
             X_random = X_train[random_indices]
             Y random = Y train[random indices]
             knn rand = KNeighborsClassifier(n neighbors = 1)
             X_random = X_random.reshape(len(X_random),-1)
             Y random = Y random.reshape(len(Y random),-1)
             knn rand.fit(X random, Y random)
             X \text{ test} = X \text{ test.reshape}(len(X \text{ test}), -1)
             y randpred = knn rand.predict(X test)
             return metrics.accuracy score(Y test, y randpred)
In [10]: M = [100, 1000, 5000, 10000]
         acc list = []
         for m in M:
             acc list.append(get rand accuracy(X train, Y train, m))
         print(acc list)
         <ipython-input-9-feaff7c0179b>:8: DataConversionWarning: A column-vector
         y was passed when a 1d array was expected. Please change the shape of y t
         o (n samples, ), for example using ravel().
           knn rand.fit(X random, Y random)
         <ipython-input-9-feaff7c0179b>:8: DataConversionWarning: A column-vector
         y was passed when a 1d array was expected. Please change the shape of y t
         o (n samples, ), for example using ravel().
           knn rand.fit(X random, Y random)
         <ipython-input-9-feaff7c0179b>:8: DataConversionWarning: A column-vector
         y was passed when a 1d array was expected. Please change the shape of y t
         o (n samples, ), for example using ravel().
           knn_rand.fit(X_random, Y random)
         <ipython-input-9-feaff7c0179b>:8: DataConversionWarning: A column-vector
         y was passed when a 1d array was expected. Please change the shape of y t
         o (n_samples, ), for example using ravel().
           knn rand.fit(X random, Y random)
         [0.7159, 0.8865, 0.9343, 0.9478]
```

```
In [11]: import numpy as np
    from os.path import join
    import struct as st
    import matplotlib.pyplot as plt
    import copy
```

```
In [12]: class KMeans:
             def init (self, X train, Y train, n, M):
                 self.x_train = np.array(X_train)
                 self.y_train = np.array(Y_train)
                 self.n = n
                 self.M = M
             def create centroids(self):
                 np.random.seed(np.random.randint(0, M))
                 self.centroids = []
                 for i in range(self.n):
                     self.centroids.append(self.fit_data[np.random.choice(range(len(
             def update centroids(self):
                 for i in range(self.n):
                     cluster = self.clusters['data'][i]
                     if cluster != []:
                         self.centroids[i] = np.mean(np.vstack((self.centroids[i], c
                     else:
                         self.centroids[i] = self.fit_data[np.random.choice(range(le
             def fit(self, fit_data, fit_labels):
                 self.fit_data = fit_data
                 self.fit labels = fit labels
                 self.pred_labels = [None for _ in range(self.fit_data.shape[0])]
                 self.create centroids()
                 prev centroids = [np.zeros(shape=(fit data.shape[1],)) for in ran
                 prev centroids = copy.deepcopy(self.centroids)
                 self.clusters = {'data': {i: [] for i in range(self.n)}}
                 self.clusters['labels'] = {i: [] for i in range(self.n)}
                 for i, cen in enumerate(self.centroids):
                     dist = np.linalq.norm(cen)
                     if dist < min dist:</pre>
                         min dist = dist
                         self.pred labels[j] = i
                 if self.pred labels[j] is not None:
                     self.clusters['data'][self.pred labels[j]].append(sample)
                     self.clusters['labels'][self.pred labels[j]].append(self.fit la
                 for id, matrix in list(self.clusters['data'].items()):
                     self.clusters['data'][id] = np.array(matrix)
                 self.update centroids()
                 #calculate the accuracy
                 for cluster, labels in list(self.clusters['labels'].items()):
                     if isinstance(labels[0], (np.ndarray)):
                         labels = [1[0] for 1 in labels]
                     counter = 0
                     max label = max(set(labels), key=labels.count)
                     self.clusters labels.append(max label)
```

```
for label in labels:
    if label == max_label:
        counter += 1
acc = counter/len(list(labels))
self.clusters_accuracy.append(acc)
self.accuracy = sum(self.clusters_accuracy)/self.n
```

```
In [13]: M = [100, 1000, 5000, 10000]
KM_acc_list = []
for m in M:
    random_indices = np.random.choice(X_train.shape[0], replace=False, size
    X_random = X_train[random_indices]
    Y_random = Y_train[random_indices]
    kmeans = KMeans(X_random, Y_random, n = 10, M = m)
    kmeans.fit(X_random, Y_random)
    KM_acc_list.append(kmeans.accuracy)
print(KM_acc_list)
```

[0.8992, 0.9432, 0.9489, 0.9521]

```
In [14]: x = M
    y1 = acc_list
    y2 = KM_acc_list
    plt.plot(x, y1, label = "Uniform Random")
    plt.plot(x, y2, label = "KMeans")
    plt.xlabel("training set size M")
    plt.ylabel("accuracy")
    plt.title('Uniform Random Vs KMeans')
    plt.legend()
    plt.show()
```

