



# Computer Architecture Experiment

## Topic 2. Pipelined CPU supporting exception & interrupt

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# Outline

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- **Experiment Purpose**
- **Experiment Task**
- **Basic Principle**
- **Operating Procedures**
- **Checkpoints**



# Experiment Purpose

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- Understand the principle of **CPU exception & interrupt** and its processing procedure.
- Master the design methods of pipelined CPU supporting exception & interrupt.
- master methods of program verification of Pipelined CPU supporting exception & interrupt.



# Experiment Task

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- **Design of Pipelined CPU supporting exception & interrupt.**
  - Design **datapath**
  - Design **Co-processor & Controller**
- **Verify the Pipelined CPU with program and observe the execution of program**

# Revie 4.6 Interruption & Exception



- What' s Interruption & Exception ?
- Why need interruption & exception?
- How to deal with interruption & Exception in RISC V ?
  - ▣ Transfer control to exception handler & return from exception
  - ▣ Control status registers
  - ▣ CSR instructions
  - ▣ How to write an exception handler ?

# Interruption & Exception

- The cause of changing CPU' s work flow :
  - ▣ Control instructions in program (bne/beq, jal , etc)  
It is **foreseeable** in programming flow
  - ▣ Something happen suddenly (Exception and Interruption)  
It is **unpredictable**
    - Call Instructions triggered by hardware
- Exception
  - ▣ Arises within the CPU when execute instruction
  - ▣ e.g., overflow, undefined opcode, syscall, ...
- Interrupt
  - ▣ From an external I/O controller
- Dealing with them without sacrificing performance is hard

Type of event	From where?	RISC-V terminology
System reset	External	Exception
I/O device request	External	Interrupt
Invoke the operating system from user program	Internal	Exception
Using an undefined instruction	Internal	Exception
Hardware malfunctions	Either	Either



# Why we need interrupt ?

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- When you double click the mouse .....
- When a network package arrives .....
- When you want to print a sentence on screen .....

Event external to the running program can interrupt the processor : ex Interruption driven I/O

- polling ----waste a lot of processor time
- [Interruption driven I/O](#)
- DMA ---- direct memory access



# Why we need exception ?

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- Processor can be interrupted by exceptional events that occur while the program is running that are caused by the program itself.
- Example:
  - ▣ Page fault:
    - need OS to load the page into the memory from disk, then resume the program
  - ▣ Memory address fault ( segmentation fault )
  - ▣ Undefined opcode
    - The OS will stop the program and then transfer to other process.





# Handling Exceptions

- **Save PC** of offending (or interrupted) instruction
  - In RISC-V: Supervisor Exception Program Counter (SEPC)
- **Save indication of the problem**
  - In RISC-V: Supervisor Exception Cause Register (SCAUSE)
  - 64 bits, but most bits unused
    - Exception code field: 2 for undefined opcode, 12 for hardware malfunction, ...
- **Jump to handler**
  - Assume at 0000 0000 1C09 0000<sub>hex</sub>
  - Entry address in a special register :Supervisor Trap Vector (STVEC), which can be loaded by OS.



# An Alternate Mechanism

- **Vectored Interrupts**
  - ▣ Handler address determined by the cause
- **Exception vector address to be added to a vector table base register:**
  - ▣ Undefined opcode 00 0100 0000<sub>two</sub>
  - ▣ Hardware malfunction: 01 1000 0000<sub>two</sub>
  - ▣ ...: ...
- **Instructions either**
  - ▣ Deal with the interrupt, or
  - ▣ Jump to real handler



# Handler Actions

- Read cause, and transfer to relevant handler
- Determine action required
- If restartable
  - ▣ Take corrective action
  - ▣ use SEPC to return to program (mret)
- Otherwise
  - ▣ Terminate program
  - ▣ Report error using SEPC, SCAUSE, ...
  - ▣ OS make the choice to transfer to another ready process

# Exceptions in RISC V



- ❑ Transfer control to exception handler & return from exception
- ❑ Control status registers
- ❑ CSR instructions
- ❑ How to write an exception handler ?

# Privileged Architecture



- Software stack

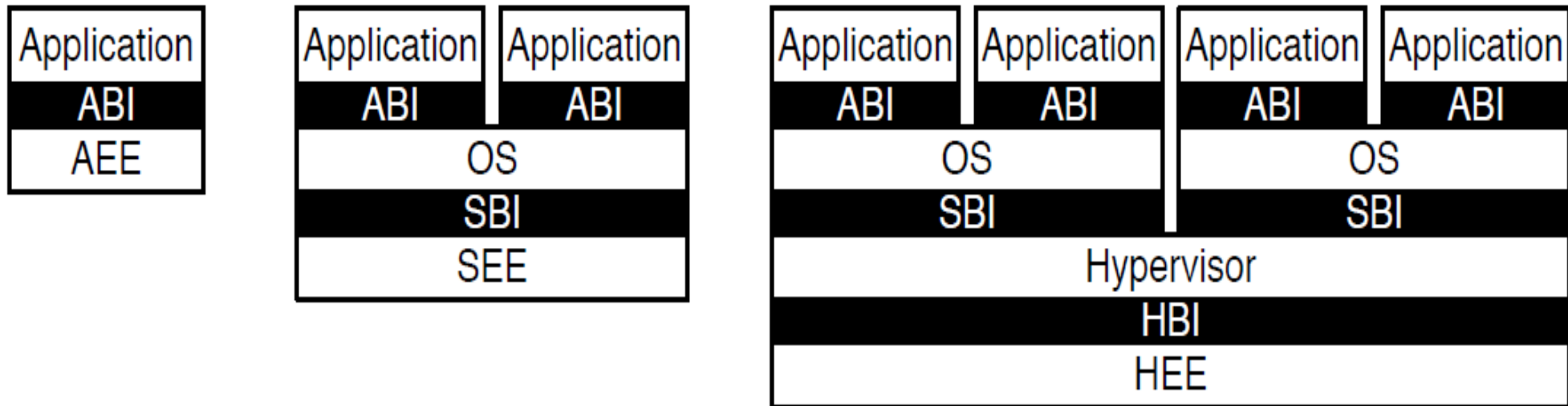


Figure 1.1: Different implementation stacks supporting various forms of privileged execution.

# Privileged level



- Privilege Level in RISC V
  - ▣ Provide **protection** between different components of the software stack

Level	Encoding	Name	Abbreviation
0	00	User/Application	U
1	01	Supervisor	S
2	10	<i>Reserved</i>	
3	11	Machine	M

Table 1.1: RISC-V privilege levels.

Number of levels	Supported Modes	Intended Usage
1	M	Simple embedded systems
2	M, U	Secure embedded systems
3	M, S, U	Systems running Unix-like operating systems

Table 1.2: Supported combinations of privilege modes.

# CSR Address Mapping Convention

- CSR[11..0] 4096
- 12 bit encoding space



The lowest privileged level  
that can access CSR

11: read only  
00/01/10: read/write

Machine CSRs				
00	11	XXXX	0x300-0x3FF	Standard read/write
01	11	0XXX	0x700-0x77F	Standard read/write
01	11	100X	0x780-0x79F	Standard read/write
01	11	1010	0x7A0-0x7AF	Standard read/write debug CSRs
01	11	1011	0x7B0-0x7BF	Debug-mode-only CSRs
01	11	11XX	0x7C0-0x7FF	Custom read/write
10	11	0XXX	0xB00-0xB7F	Standard read/write
10	11	10XX	0xB80-0xBBF	Standard read/write
10	11	11XX	0xBC0-0xBFF	Custom read/write
11	11	0XXX	0xF00-0xF7F	Standard read-only
11	11	10XX	0xF80-0xFBF	Standard read-only
11	11	11XX	0xFC0-0xFFF	Custom read-only



# 8 important CSR for exception handling

## ■ 8 CSR :

- mtvec ( Machine Trap Vector): jump to this address when exception
- mepc (Machine Exception PC): the instruction raise the exception
- mcause (Machine exception Cause): which kind of exception(cause)
- mie (Machine Interrupt Enable): which exception can be handled or neglected
- mip (Machine interrupt pending): pending interruptions (read only register)
- mtval (Machine trap value): error address , illegal instruction, or 0
- mscratch ( Machine Scratch):
- mstatus ( Machine status) : processor status





# Pipelined CPU supporting exception & interrupt

Number	Privilege	Name	Description
Machine Information Registers			
0xF11	MRO	mvendorid	Vendor ID.
0xF12	MRO	marchid	Architecture ID.
0xF13	MRO	mimpid	Implementation ID.
0xF14	MRO	mhartid	Hardware thread ID.
Machine Trap Setup			
0x300	MRW	mstatus	Machine status register.
0x301	MRW	misa	ISA and extensions
0x302	MRW	medeleg	Machine exception delegation register.
0x303	MRW	mideleg	Machine interrupt delegation register.
0x304	MRW	mie	Machine interrupt-enable register.
0x305	MRW	mtvec	Machine trap-handler base address.
0x306	MRW	mcounteren	Machine counter enable.
Machine Trap Handling			
0x340	MRW	mscratch	Scratch register for machine trap handlers.
0x341	MRW	mepc	Machine exception program counter.
0x342	MRW	mcause	Machine trap cause.
0x343	MRW	mtval	Machine bad address or instruction.
0x344	MRW	mip	Machine interrupt pending.

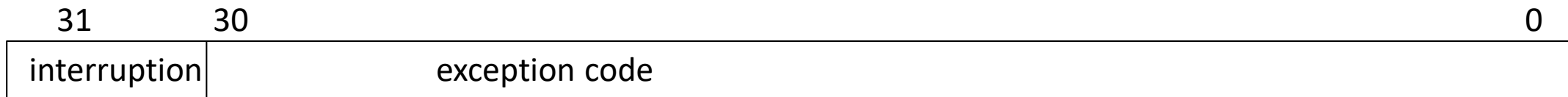
base[31..2]	mode
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- **Store the interruption handler entrance address**
- **The base can be explained according to mode code**
  - ▣ 00:  $PC \leftarrow \text{base}$
  - ▣ x1: vector mode,  $PC \leftarrow \text{mtval} - 1 + 4x$  (not required)

- **Save the instruction address when exception raised or interruption happens.**
  - the PC indicate the instruction that raise the exception
  - the instruction need to be executed after back from interruption
    - next instruction



# mcause



- If `mcause[31] == 1` then the trap was caused by an interruption.
- Exception code field: a code identifying the last exception.



# Exception Causes

## Asynchronization exception

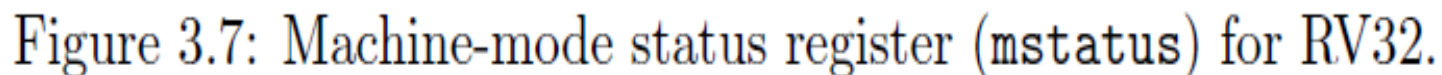
- Software interrupt
- Timer interrupt
- External interrupt

## Synchronization exception

- Address misaligned
- Access fault
- illegal instruction
- Breakpoint
- Environment call

Interrupt / Exception mcause[XLEN-1]	Exception Code mcause[XLEN-2:0]	Description
1	1	Supervisor software interrupt
1	3	Machine software interrupt
1	5	Supervisor timer interrupt
1	7	Machine timer interrupt
1	9	Supervisor external interrupt
1	11	Machine external interrupt
0	0	Instruction address misaligned
0	1	Instruction access fault
0	2	Illegal instruction
0	3	Breakpoint
0	4	Load address misaligned
0	5	Load access fault
0	6	Store address misaligned
0	7	Store access fault
0	8	Environment call from U-mode
0	9	Environment call from S-mode
0	11	Environment call from M-mode
0	12	Instruction page fault
0	13	Load page fault
0	15	Store page fault

图 10.3: RISC-V 异常和中断的原因。中断时 mcause 的最高有效位置 1，同步异常时置 0，且低有效位标识了中断或异常的具体原因。只有在实现了监管者模式时才能处理监管者模式中断和页面错误异常。



- MIE is an interruption enable (global ) (different from the MIE register )
- When an interruption/ exception raised:  $mstatus[7] \leftarrow mstatus[3]$
- When MRET is executed:  $mstatus[3] \leftarrow mstatus[7]$

# CSR instructions

31	25 24	20 19	15 14	12 11	7 6	0	
csr	rs1	001	rd	1110011	I csrrw		
csr	rs1	010	rd	1110011	I csrrs		
csr	rs1	011	rd	1110011	I csrrc		
csr	zimm	101	rd	1110011	I csrrwi		
csr	zimm	110	rd	1110011	I csrrsi		
csr	zimm	111	rd	1110011	I csrrci		





# CSR instructions

- **csrrw rd, csr, rs1:**  $t \leftarrow \text{CSRs}[\text{csr}], \text{CSRs}[\text{csr}] \leftarrow x[\text{rs1}], x[\text{rd}] \leftarrow t$
- **csrrs rd, csr, rs1:**  $t \leftarrow \text{CSRs}[\text{csr}], \text{CSRs}[\text{csr}] \leftarrow t \mid x[\text{rs1}], x[\text{rd}] \leftarrow t$
- **csrrc rd, csr, rs1:**  $t \leftarrow \text{CSRs}[\text{csr}], \text{CSRs}[\text{csr}] \leftarrow t \ \& \ \sim x[\text{rs1}],$   
 $x[\text{rd}] \leftarrow t$
- **csrrwi rd, csr, zimm[4..0]:**  $x[\text{rd}] \leftarrow \text{CSRs}[\text{csr}], \text{CSRs}[\text{csr}] \leftarrow \text{zimm}$
- **csrrsi rd, csr, zimm[4..0]:**  $t \leftarrow \text{CSRs}[\text{csr}], \text{CSRs}[\text{csr}] \leftarrow t \mid \text{zimm};$   
 $x[\text{rd}] \leftarrow t$



# How to Checks for Exceptions(Hardware)



- **Add test logic**

- ▣ illegal instruction, load address misaligned, store address misaligned

- **add control signal**

- ▣ CauseWrite for mcause
- ▣ EPCWrite for mepc
- ▣ TVALWrite for mtval

- **process of control**

- ▣  $mepc \leftarrow PC(\text{exception}) \text{ or } PC + 4(\text{interruption})$
- ▣  $mcause \leftarrow \text{set correspondent bit}$
- ▣  $mtval \leftarrow \text{memory address or illegal instruction}$
- ▣  $mstatus.mpie \leftarrow Mstatus.mie; mstatus.mie \leftarrow 0; mstatus.mpp \leftarrow mp; mp \leftarrow 11$
- ▣  $PC \leftarrow \text{address of process routine ( mtvec, ex. c0000000 )}$



# When jump to exception handler ?

- **Jump to handler**

- Assume at 0000 0000 1C09 0000<sub>hex</sub>

- **jump when**

mstatus.MIE = 1 && mie[i] = 1 && mip [i]= 1



# Ex. Exception handler

```
# save registers
csrrw a0, mscratch, a0  # save a0; set a0 = &temp storage
sw a1, 0(a0)            # save a1
sw a2, 4(a0)            # save a2
sw a3, 8(a0)            # save a3
sw a4, 12(a0)           # save a4

# decode interrupt cause
csrr a1, mcause          # read exception cause
bgez a1, exception       # branch if not an interrupt
andi a1, a1, 0x3f        # isolate interrupt cause
li a2, 7                 # a2 = timer interrupt cause
bne a1, a2, otherInt     # branch if not a timer interrupt
```



## Ex. Exception handler (cont.)

```
# handle timer interrupt by incrementing time comparator
la a1, mtimecmp          # a1 = &time comparator
lw a2, 0(a1)             # load lower 32 bits of comparator
lw a3, 4(a1)             # load upper 32 bits of comparator
addi a4, a2, 1000         # increment lower bits by 1000 cycles
sltu a2, a4, a2           # generate carry-out
add a3, a3, a2            # increment upper bits
sw a3, 4(a1)             # store upper 32 bits
sw a4, 0(a1)             # store lower 32 bits

# restore registers and return
lw a4, 12(a0)            # restore a4
lw a3, 4(a0)             # restore a3
lw a2, 4(a0)            # restore a2
lw a1, 0(a0)            # restore a1
csrrw a0, mscratch, a0   # restore a0; mscratch = &temp storage
mret                    # return from handler
```



# Pipelined CPU supporting exception & interrupt

## Environment Call and Breakpoint

31	20 19	15 14	12 11	7 6	0
funct12	rs1	funct3	rd	opcode	
12	5	3	5	7	
ECALL	0	PRIV	0	SYSTEM	
EBREAK	0	PRIV	0	SYSTEM	

## Trap-Return Instructions

31	20 19	15 14	12 11	7 6	0
funct12	rs1	funct3	rd	opcode	
12	5	3	5	7	
MRET/SRET/URET	0	PRIV	0	SYSTEM	

# How to back to the exception breakpoint ?

- mret

- ▣  $PC \leftarrow CSRs[mepc]$
- ▣  $mstatus.MIE \leftarrow mstatus.MPIE$
- ▣  $mp \leftarrow mstatus.MPP$

31	25 24	20 19	15 14	12 11	7 6	0
0011000	00010	00000	000	00000	1110011	

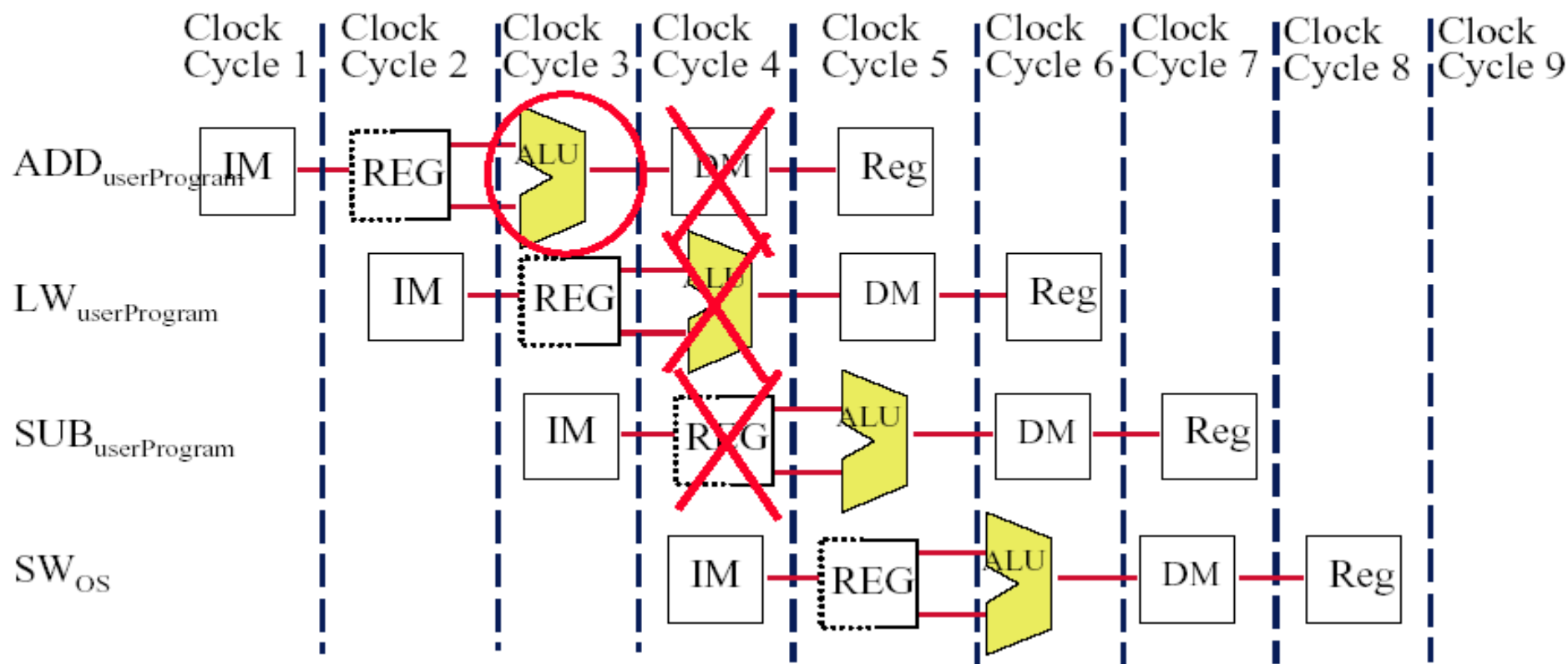


# How to support exception in pipelined CPU ?



# Flow of Instructions During Exception

□ Example: Add instruction **overflows** in clock cycle 3







# Precise Exceptions

- If the pipeline can be stopped so that the instructions issued before the faulting instruction complete, then the pipeline is said to implement **precise exceptions**
  - ▣ All instructions before the faulting instruction complete
  - ▣ And instructions following the faulting instruction, including the faulting instruction, **do not change the state** of the machine.
- Under this model, restarting is easy:
  - ▣ Simply re-execute the original faulting instruction.
  - ▣ Or, if it is not a resumable instruction, i.e. an integer overflow, start with the next instruction.



# Imprecise Exceptions

- Difficult to do when some instructions take multiple cycles to complete

- ▣ Some instructions may complete before an exception is detected

- ▣ Example

- Multiply r1, r2, r3 ;    multiply takes 10 cycles

- Add r10, r11, r12 ;    add takes 5 cycles

- ▣ Add will complete before multiply is done. If multiply overflows, then an exception will be raised AFTER the add has updated the value in R10.

- ▣ This is an imprecise exception.

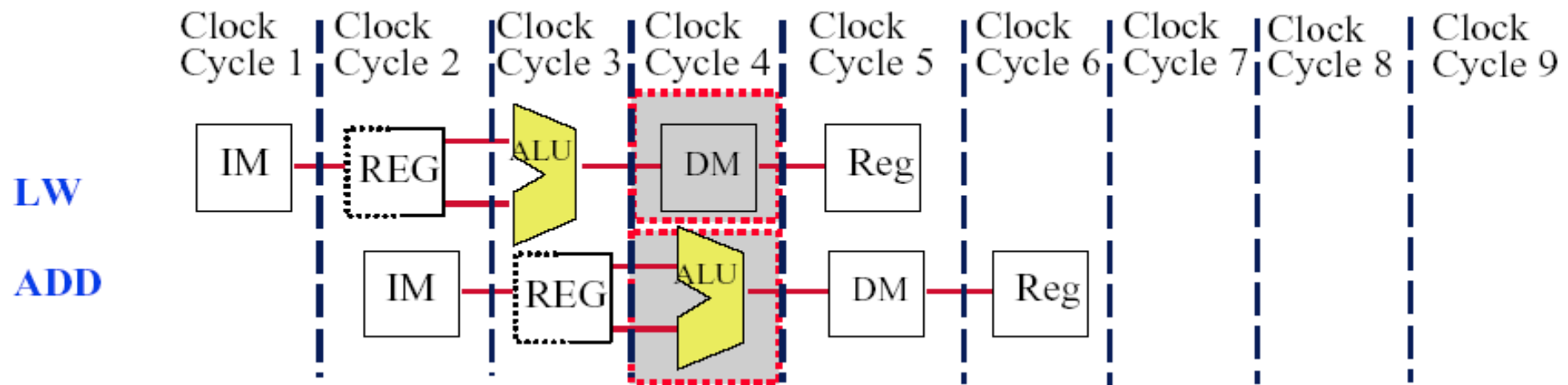
# Which stage can exceptions occur in?



- | <u>Stage</u> | <u>Problem exceptions occurring</u>  |
|--------------|--|
| IF           | page fault on instruction fetch;<br>misaligned memory access;<br>memory protection violation |
| ID           | undefined or illegal opcode  |
| EX           | arithmetic exception   |
| MEM          | page fault on data fetch;<br>misaligned memory access;<br>memory-protection violation        |
| WB           | none   |

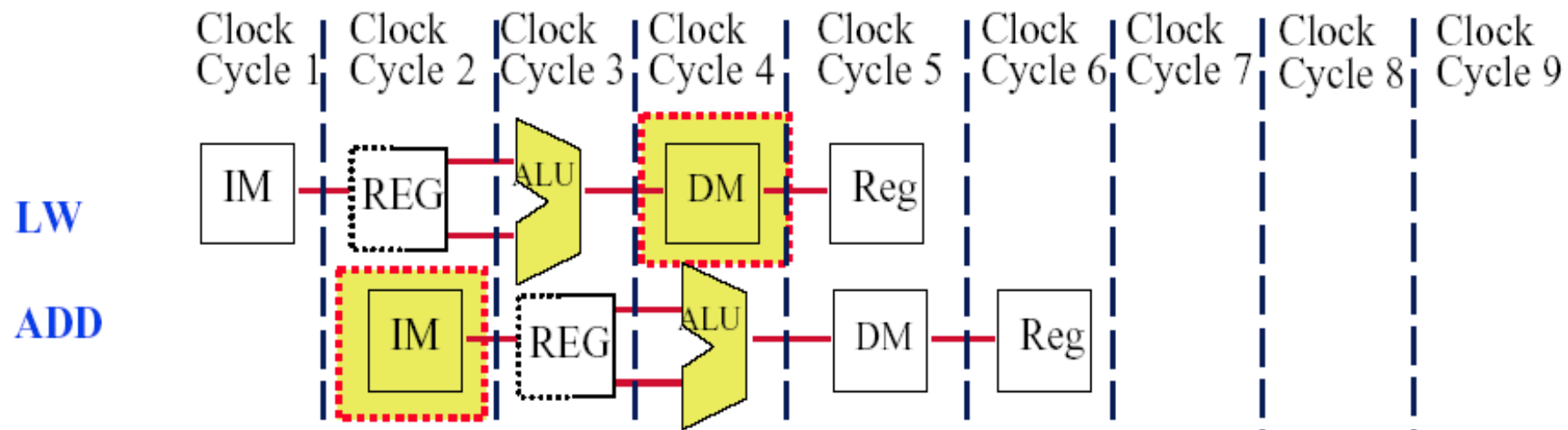
# Multiple Exceptions in one clock cycle

- In Clock Cycle 4, **LW** can have a data page fault while the **ADD** has an arithmetic exception
- Handled by servicing the page fault and then restarting the **LW** instruction
- The **ADD's** arithmetic exception will occur again because the **ADD** instruction is restarted after the exception is handled



# Multiple Exceptions out-of-order

- **ADD** causes an exception in the instruction fetch stage while **LW** causes an exception in the memory access stage
- If we implement precise exceptions, **LW** exception must be handled first
- This is done by having hardware post exceptions by order of instruction





# Exception ordering

- When the instruction is about to exit the pipeline (MEM/WB), any pending exceptions for the instruction are examined.
- If an instruction generates multiple exceptions, the exception occurring in the earliest stage takes precedence.
- This is done by keeping an **exception vector** for each instruction:
  - If an exception is posted, it is added to the vector and all **writes that affect system state are disabled**.

# About Exceptions

- **One of the single messiest parts of designing a modern CPU**
  - ❑ It isn't pretty, it's easy to get wrong
  - ❑ It's often not too elegant
  - ❑ It usually takes huge wads of special logic
- **Further complicated by modern CPU mechanisms**
  - ❑ **Deep pipes**
  - ❑ **Superscalar** --lots of instructions in flight in parallel
  - ❑ **Out-of-order execution**
    - time order of exceptions  $\neq$  program order of the instructions on which the exceptions happened
  - ❑ Maintaining illusion of “sequential instruction execution” gets really complicated.



# Implement precise interruption in Pipelined CPU

- 1、 When an exception is raised, there are several instructions flying in the pipeline, how to stop the pipeline at the right place and safely shutdown the pipeline ?
  - If an exception is posted, it is added to the vector and all **writes that affect system state are disabled**
  - Record the correspond cause and information ( such as memory address )
  
- 2、 How to keep the right exception ordering ?
  - Trap when there is exception or interruption **at the stage of WB**



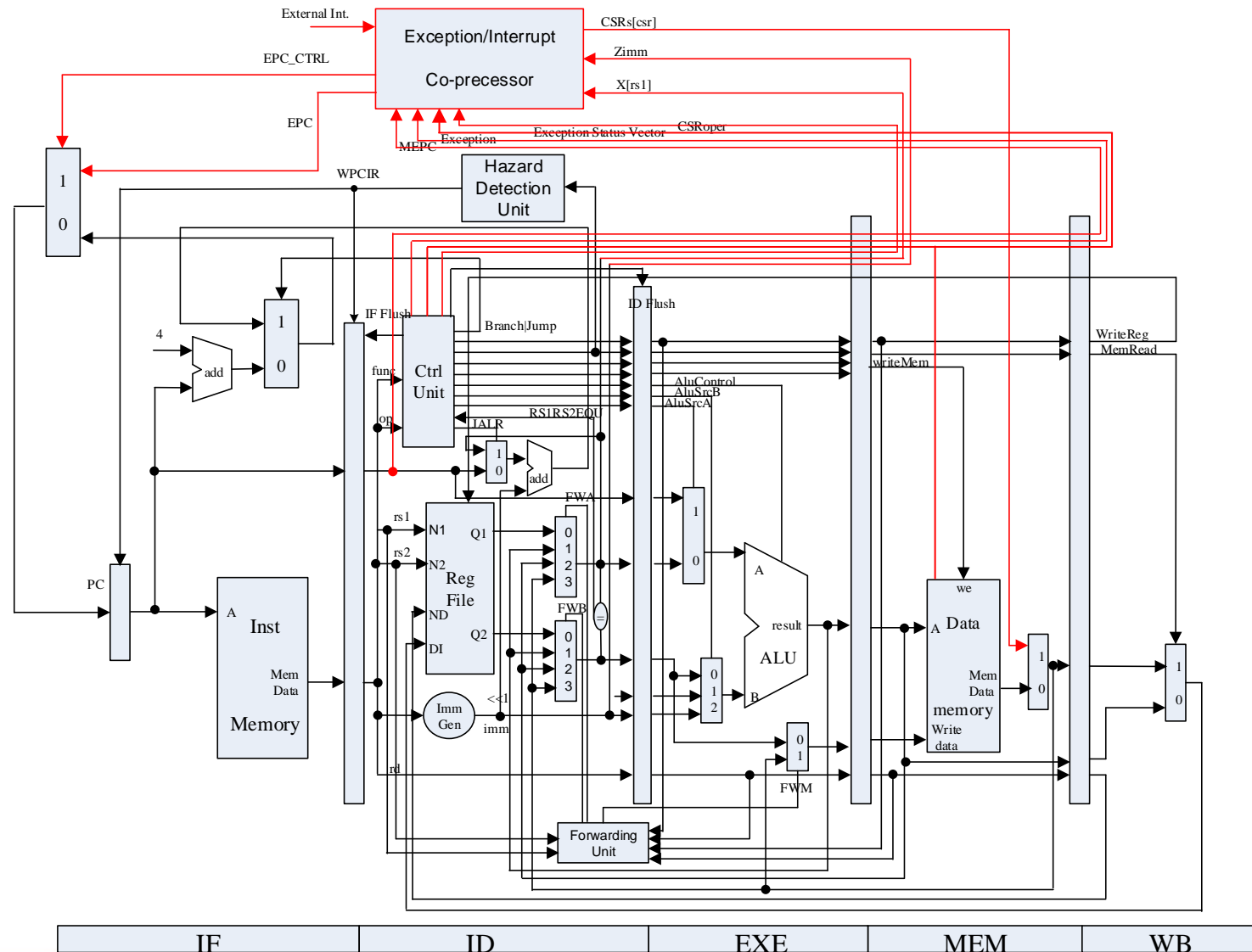


## Pipelined CPU supporting exception & interrupt

发生异常/中断时，硬件自动经历如下的状态转换：

- 异常指令的PC被保存在mepc中，PC被设置为mtvec。mepc指向导致异常的指令；对于中断，它指向中断处理后应该恢复执行的位置。
- 根据异常来源设置mcause，并将mtval设置为出错的地址或者其它适用于特定异常的信息字。
- 把控制状态寄存器mstatus中的MIE位置零以禁用中断，并把先前的MIE值保留到MPIE中。
- 发生异常之前的权限模式保留在mstatus的MPP域中，再把权限模式更改为M。

# Pipelined CPU supporting exception & interrupt





# Instr. Mem.(1)

NO.	Instruction	Addr.	Label	ASM	Comment
0	00000013	0	__start:	addi x0, x0, 0	
1	00402103	4		lw x2, 4(x0)	
2	00802203	8		lw x4, 8(x0)	
3	00c02283	C		lw x5, 12(x0)	
4	01002303	10		lw x6, 16(x0)	
5	01402383	14		lw x7, 20(x0)	
6	306850f3	18		csrrwi x1, 0x306, 16	
7	306020f3	1C		csrr x1, 0x306	
8	306310f3	20		csrrw x1, 0x306, x6	
9	306020f3	24		csrr x1, 0x306	
10	00000013	28		addi x0, x0, 0	
11	07800093	2C		addi x1, x0, 120	
12	30509073	30		csrw 0x305, x1	
13	00000013	34		addi x0, x0, 0	
14	00000073	38		ecall	



# Instr. Mem.(2)

NO.	Instruction	Addr.	Label	ASM	Comment
15	00000013	3C		addi x0, x0, 0	
16	00000012	40		addi x0, x0, 0	# change to illegal
17	00000013	44		addi x0, x0, 0	
18	07f02083	48		lw x1, 127(x0)	
19	08002083	4C		lw x1, 128(x0)	# l access fault
20	00000013	50		addi x0, x0, 0	
21	08102023	54		sw x1, 128(x0)	# s access fault
22	00000013	58		addi x0, x0, 0	
23	00000013	5C		addi x0, x0, 0	
24	00000013	60		addi x0, x0, 0	
25	00000013	64		addi x0, x0, 0	
26	00000013	68		addi x0, x0, 0	
27	00000013	6C		addi x0, x0, 0	
28	00000013	70		addi x0, x0, 0	
29	00000067	74		jr x0	



# Instr. Mem.(3)

NO.	Instruction	Addr.	Label	ASM	Comment
30	34102cf3	78	trap:	csrr x25, 0x341	# mepc
31	34202df3	7C		csrr x27, 0x342	# mcause
32	30002e73	80		csrr x28, 0x300	# mstatus
33	30402ef3	84		csrr x29, 0x304	# mie
34	34402f73	88		csrr x30, 0x344	# mip
35	004c8113	8C		addi x2, x25, 4	
36	34111073	90		csrw 0x341, x2	
37	30200073	94		mret	# 30200073 mret
38	00000013	98		addi x0, x0, 0	
39	00000013	9C		addi x0, x0, 0	
40	00000013	A0		addi x0, x0, 0	
41	00000013	A4		addi x0, x0, 0	



# Data Mem.

NO.	Data	Addr.	Comment
0	000080BF	0	
1	00000008	4	
2	00000010	8	
3	00000014	C	
4	FFFF0000	10	
5	0FFF0000	14	
6	FF000F0F	18	
7	F0F0F0F0	1C	
8	00000000	20	
9	00000000	24	
10	00000000	28	
11	00000000	2C	
12	00000000	30	
13	00000000	34	
14	00000000	38	
15	00000000	3C	

NO.	Instruction	Addr.	Comment
16	00000000	40	
17	00000000	44	
18	00000000	48	
19	00000000	4C	
20	A3000000	50	
21	27000000	54	
22	79000000	58	
23	15100000	5C	
24	00000000	60	
25	00000000	64	
26	00000000	68	
27	00000000	6C	
28	00000000	70	
29	00000000	74	
30	00000000	78	
31	00000000	7C	

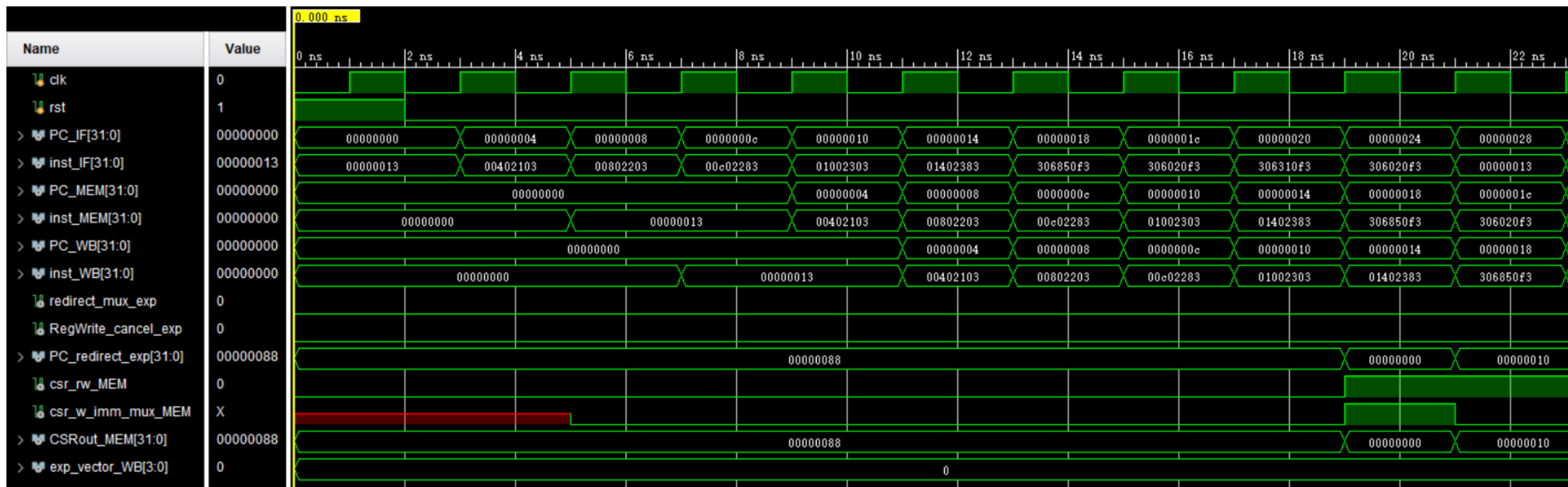


# Test Bench

```
RV32core core(  
    .debug_en(1'b0),  
    .debug_step(1'b0),  
    .debug_addr(7'b0),  
    .debug_data(),  
    .clk(clk),  
    .rst(rst),  
    .interrupter(1'b0)  
);  
  
initial begin  
    clk = 0;  
    rst = 1;  
    #2 rst = 0;  
end  
always #1 clk = ~clk;
```

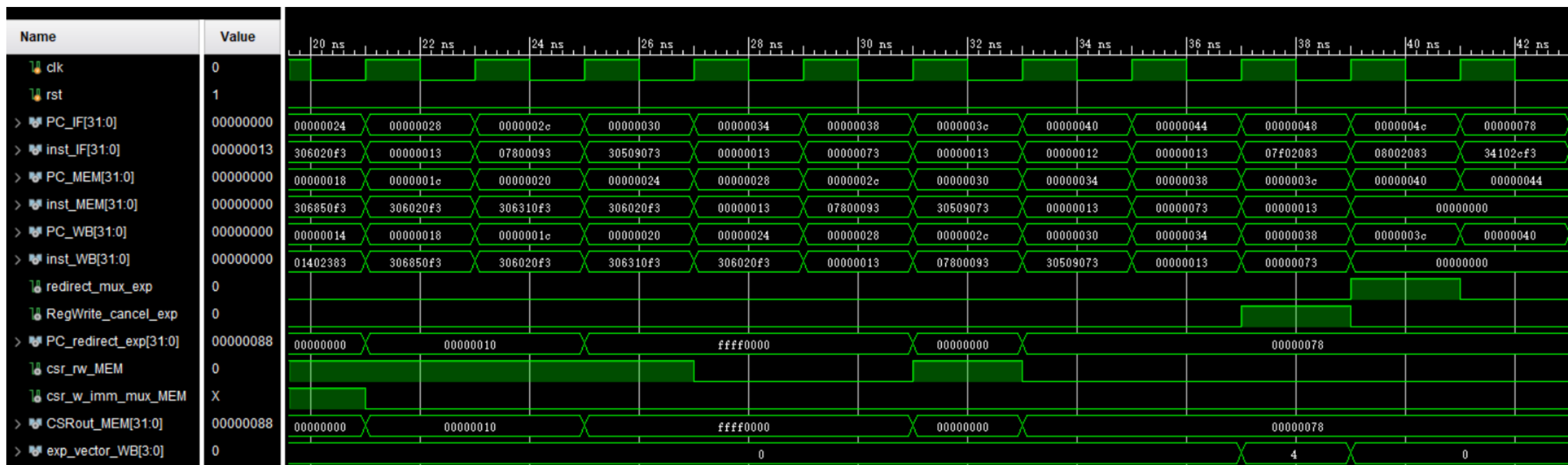


# Simulation (1)



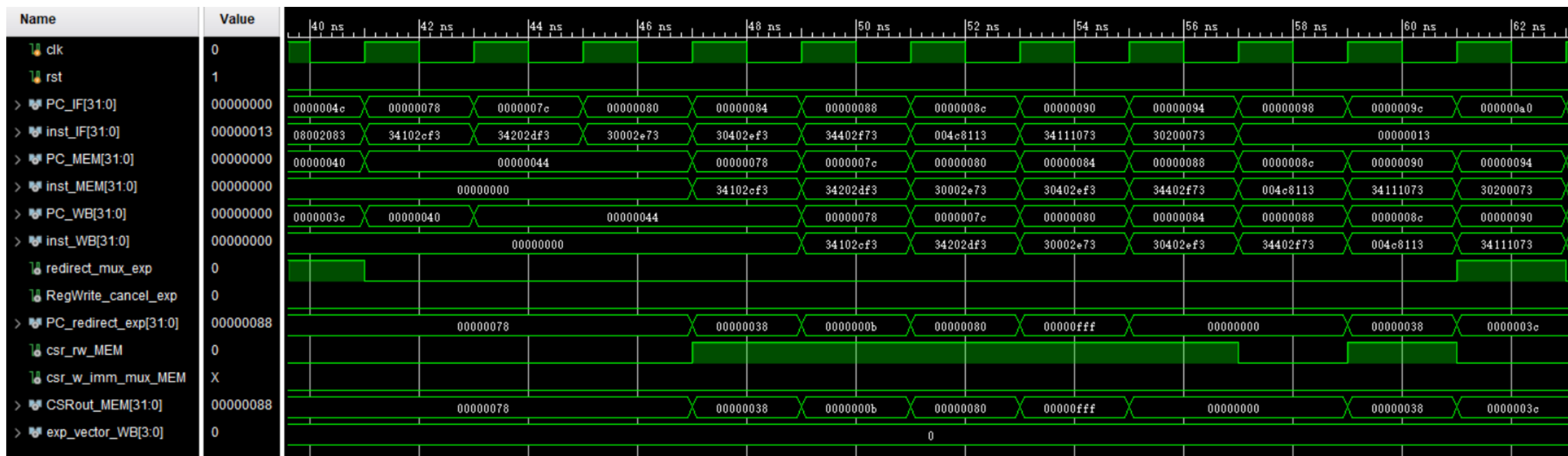


# Simulation (2)



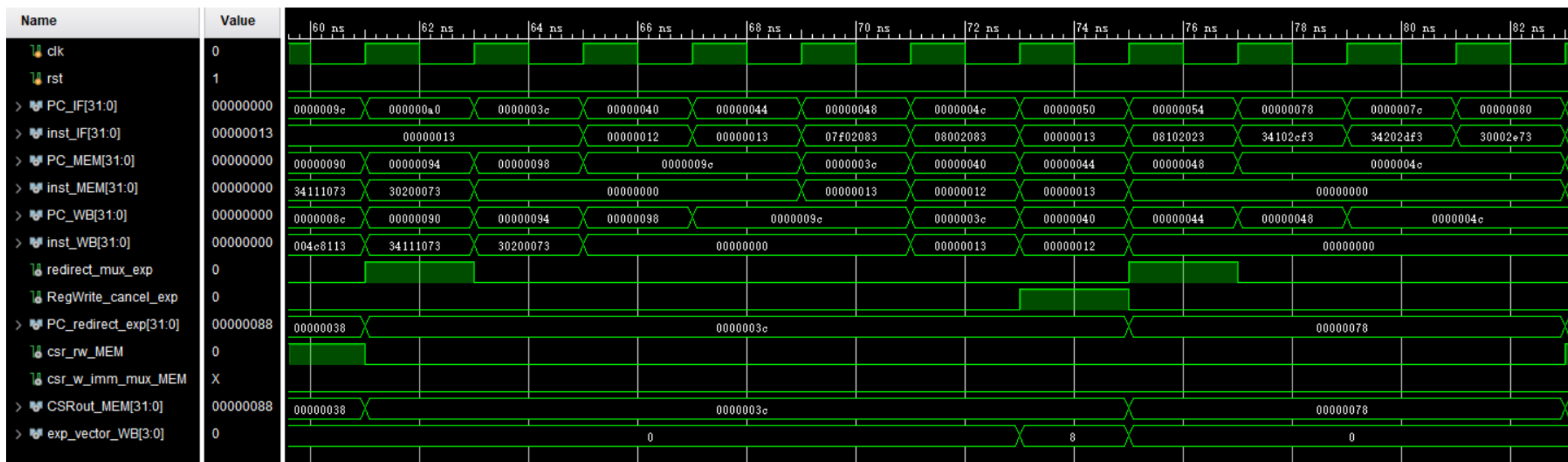


# Simulation (3)

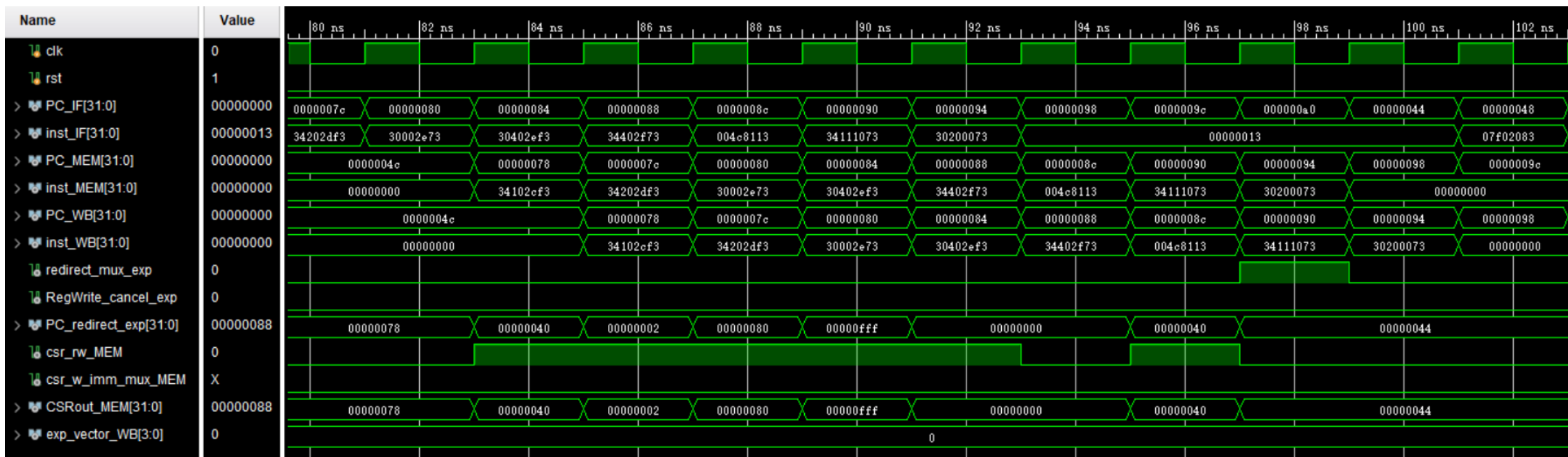




# Simulation (4)

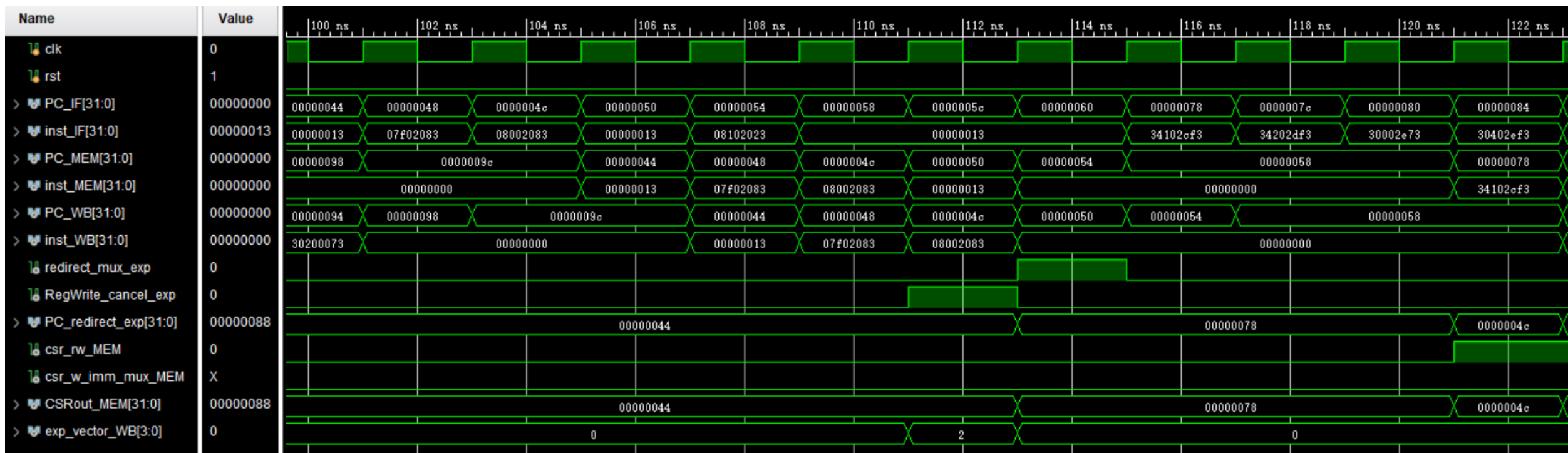


# Simulation (5)

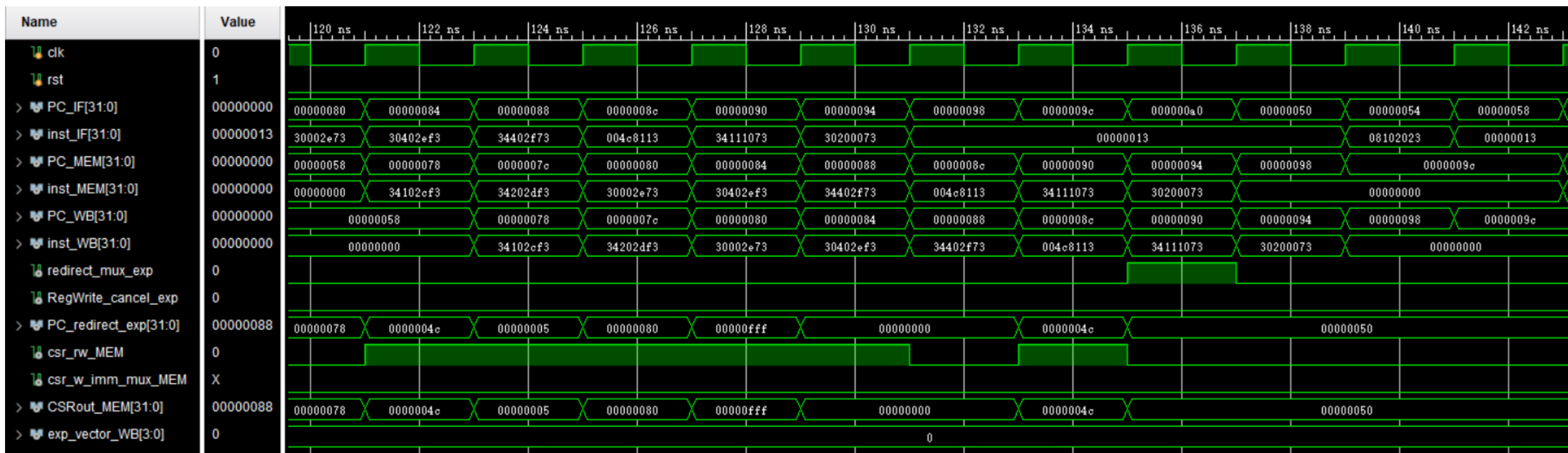




# Simulation (6)

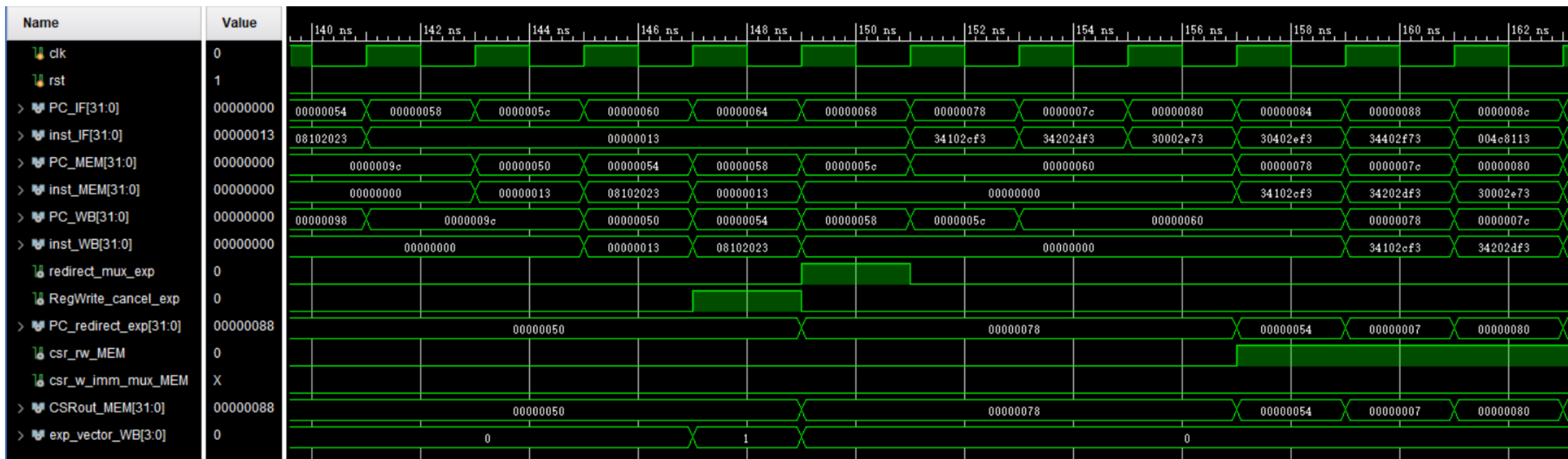


# Simulation (7)



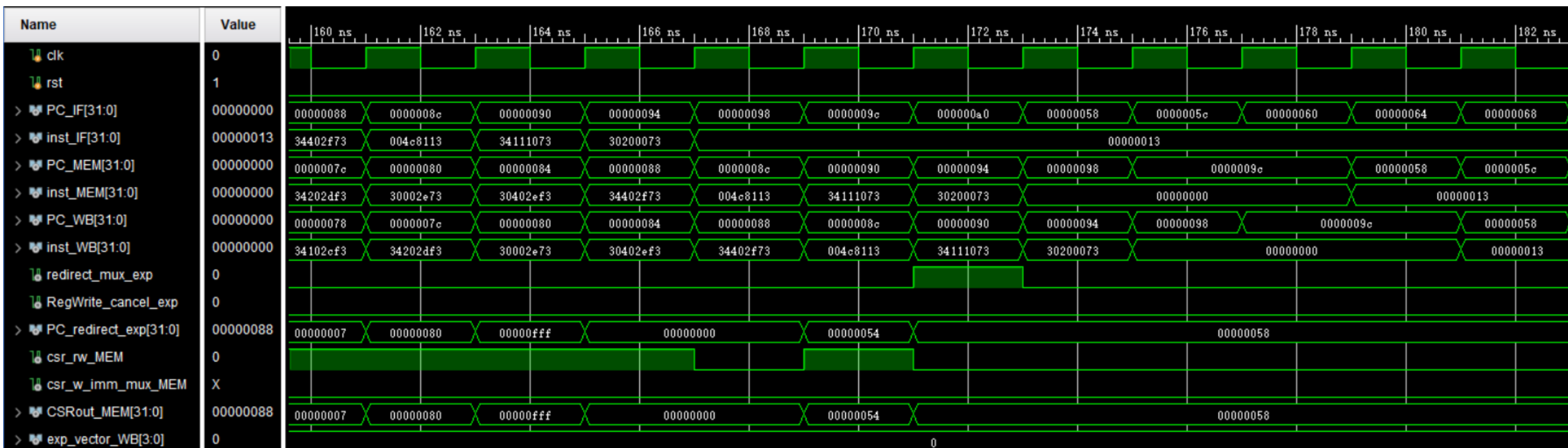


# Simulation (8)





# Simulation (9)







# Checkpoints

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- **CP 1:**  
Waveform Simulation of the Pipelined CPU with the verification program
- **CP 2:**  
FPGA Implementation of the Pipelined CPU with the verification program



# Thanks!