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**2.1.1** Let  $M$  be a deterministic finite automaton. Under exactly what circumstances is  $e \in L(M)$ ? Prove your answer.

**Solution:**

$e \in L(M)$  if and only if  $s \in F$ .

□ Suppose  $e \in L(M)$ . Then, by definition of  $L(M)$ ,  $(s, e) \vdash_M^* (q, e)$ , where  $q \in F$ . Because it is not the case that  $(s, e) \vdash_M (q, w)$  for any configuration  $(q, w)$  ( $w \neq e$ ),  $(s, e) \vdash_M^* (q, e)$  must be in the reflexive transitive closure of  $\vdash_M$  by virtue of reflexivity – that is,  $(s, e) = (q, e)$ .

Therefore,  $s = q$  and thus  $s \in F$ .

□ Suppose  $s \in F$ . Because  $\vdash_M^*$  is reflexive,  $(s, e) \vdash_M^* (s, e)$ . Because  $s \in F$ , we have  $e \in L(M)$  by definition of  $L(M)$ .

**2.1.2** Describe informally the languages accepted by the following DFA.

**Solution:**

(c) All strings with the same number of  $as$  and  $bs$  and in which no prefix has more than two  $bs$  than  $as$ , or  $as$  than  $bs$ .

(d) All strings with the same number of  $as$  and  $bs$  and in which no prefix has more than one more  $a$  than  $b$ , or vice-versa.

**2.1.3** Construct DFA accepting each of the following languages.

(c)  $\{w \in \{a, b\}^* : w \text{ has neither } aa \text{ nor } bb \text{ as a substring}\}$ .

(e)  $\{w \in \{a, b\}^* : w \text{ has both } ab \text{ and } ba \text{ as a substring}\}$ .

**Solution:** (c)  $M = (K, \Sigma, \delta, sF)$ , where

$$K = \{q_0, q_1, q_2, q_3\}, \Sigma = \{a, b\}, s = q_0, F = \{q_0, q_1, q_2\}$$

q	a	$\delta(q, a)$
$q_0$	$a$	$q_1$
$q_0$	$b$	$q_2$
$q_1$	$a$	$q_3$
$q_1$	$b$	$q_2$
$q_2$	$a$	$q_1$
$q_2$	$b$	$q_3$
$q_3$	$a$	$q_3$
$q_3$	$b$	$q_3$

(e)  $M = (K, \Sigma, \delta, sF)$ , where

$$K = \{q_0, q_1, q_2, q_3, q_4, q_5\}, \Sigma = \{a, b\}, s = q_0, F = \{q_5\}$$

q	a	$\delta(q, a)$
$q_0$	$a$	$q_1$
$q_0$	$b$	$q_2$
$q_1$	$a$	$q_1$
$q_1$	$b$	$q_3$
$q_2$	$a$	$q_4$
$q_2$	$b$	$q_2$
$q_3$	$a$	$q_5$
$q_3$	$b$	$q_3$
$q_4$	$a$	$q_4$
$q_4$	$b$	$q_5$
$q_5$	$a$	$q_5$
$q_5$	$b$	$q_5$

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2.2.2 Which regular expression for the languages accepted by the NFA of Problem 2.2.1 .

**Solution:**

a)  $a^*$

b)  $a(ba \cup baa)^*(b \cup ba)$

**2.2.6 (a) Find a simple NFA accepting  $(ab \cup aab \cup aba)^*$ .**

**(b) Convert the NFA of part (a) to a DFA by the method in section 2.2.**

**Solution:**

(a)  $M = (K, \Sigma, \Delta, sF)$ , where  $K = \{q_0, q_1, q_2, q_3\}$ ,  $\Sigma = \{a, b\}$ ,  $s = q_0$ ,  $F = \{q_0\}$

$(q \quad \sigma \quad q_i)$
$(q_0 \quad a \quad q_1)$
$(q_1 \quad a \quad q_2)$
$(q_1 \quad b \quad q_0)$
$(q_1 \quad b \quad q_3)$
$(q_2 \quad a \quad q_0)$
$(q_3 \quad b \quad q_0)$

(b) Determinizing the above machine results in the following DFA:

$K = \{\{q_0\}, \{q_1\}, \{q_3\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_3\}, \emptyset\}$ ,  $\Sigma = \{a, b\}$ ,  $s = \{q_0\}$ ,  $F = \{\{q_0\}, \{q_0, q_1\}, \{q_0, q_2\}\}$

$\{q\}$	$\sigma$	$\{\delta(q, \sigma)\}$
$\{q_0\}$	$a$	$\{q_1\}$
$\{q_0\}$	$b$	$\emptyset$
$\{q_1\}$	$a$	$\{q_3\}$
$\{q_1\}$	$b$	$\{q_0, q_2\}$
$\{q_0, q_2\}$	$a$	$\{q_0, q_1\}$
$\{q_0, q_2\}$	$b$	$\emptyset$
$\{q_0, q_1\}$	$a$	$\{q_1, q_3\}$
$\{q_0, q_1\}$	$b$	$\{q_0, q_2\}$
$\{q_3\}$	$a$	$\emptyset$
$\{q_3\}$	$b$	$\{q_0\}$
$\{q_1, q_3\}$	$a$	$\{q_3\}$
$\{q_1, q_3\}$	$b$	$\{q_0, q_2\}$
$\emptyset$	$a$	$\emptyset$
$\emptyset$	$b$	$\emptyset$

**2.2.10 Describe exactly what happens when the construction of this section**

applied to a FA that is already deterministic.

**Solution:**

Only  $|K|$  of the  $2^{|K|}$  states of the new automaton will be reachable.

Each of these states will have  $\{q\}$  for some  $q \in K$ . If we identify  $\{q\}$  with  $q$ , we have a bijection between the states of the old automata and the reachable states of the new one. With respect to this bijection,  $\delta$ ,  $s$ , and  $F$  will be identical between the old machine and the new. Since  $\Sigma$  is the same, there is a natural isomorphism between the old and the automaton formed from the new one by discarding unreachable states.

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**2.3.4** Using the construction in the proof of theorem 2.3.1, construct FA accepting these languages.

(b)  $((a \cup b)^*(e \cup c)^*)^*$ .

**Solution:** (b) Ommited.

**2.3.7** Apply the construction in Example 2.3.2 to obtain regular expressions responding to each of the FA above. Simplify the resulting regular expressions as much as you can.

**Solution:**

(a)  $a^*b(ba^*b \cup a)^*$

(b)  $((a \cup b)(a \cup b))^*$

(c)  $(a \cup b)^*abaa(a \cup b)^*$

(d)  $(a \cup \emptyset^*)(ba^*a)^*b(b \cup a)^*$

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**2.4.5** Using the pumping theorem and closure under intersection, show that the following are not regular.

(a)  $\{ww^R : w \in \{a, b\}^*\}$

**Solution:**

Assume  $L$  is regular, by the closure property under intersection so is  $L_1 = L \cap a^*bba^*$ .

Consider language  $L_1$ .

Let  $k$  be the constant whose existence the pumping theorem guarantees.

Choose string  $w = a^k bba^k \in L_1$ .

Clearly  $|w| \geq k$ . So the pumping theorem must hold.

Let  $w = xyz$  such that  $|xy| \leq k$  and  $y \neq \epsilon$ , then  $y = a^i$  where  $i > 0$ .

But then  $xy^n z = a^{k+(n-1)i} bba^k$ , which is clearly asymmetric for any  $n \neq 1$ .

The theorem fails, and thus that  $L_1$  is not regular, therefore  $L$  is not regular.

**2.4.8 Are the following statements true or false? Explain your answer in each case.**

- (a) Every subset of a regular language is regular.
- (b) Every regular language has a regular proper subset.
- (c) If  $L$  is regular, then so is  $\{xy \mid x \in L \text{ and } y \notin L\}$ .
- (d)  $\{w \mid w = w^R\}$  is regular.
- (e) If  $L$  is regular, then so is  $\{w \mid w \in L \text{ and } w^R \in L\}$ .
- (f) If  $C$  is any set of regular languages, then  $\cup C$  is a regular language.
- (g)  $\{xyx^R \mid x, y \in \Sigma^*\}$  is regular.

**Solution:**

(a) **False.** Every language, including those we know not to be regular, is a subset of the regular language  $\Sigma^*$ .

(b) **False.** The empty set, which is a regular language, has no proper subsets at all, so it certainly cannot have a proper subset which is also a regular.

(c) **True.**  $\{xy \mid x \in L \text{ and } y \notin L\} = L \circ \bar{L}$ . Since  $L$  is regular, so is its complement, and thus their concatenation is regular.

(d) **False.** This can be shown by trying to pump the string  $a^k b a^k$ .  $y$  will have to consist only of  $a$ s and the resulting  $xy^2 z$  will be unbalanced. Note, however, that this language is regular over an alphabet of one symbol. (It is true when  $C$  is required to be finite).

(e) **True.** This language is  $L \cap L^R$ . If  $L$  is regular, then so is  $L^R$ . Since both  $L$  and  $L^R$  are regular, so is their intersection.

**Solution:**

(f) **False.** Any language can be written as the (possibly infinite) union of the singleton sets containing its individual elements. Since not every language is regular, this claim is false.

(g) **True.**  $\{xyx^R \mid x, y \in \Sigma^*\} = \Sigma^*$ . By letting  $x = e$ ,  $y$  can vary over all the strings of  $\Sigma^*$ .)