



Lecture 4: Covering and fibration II



G-principal covering



Definition

Let G be a discrete group. A continuous action $G \times X \rightarrow X$ is called **properly discontinuous** if for any $x \in X$, there exists an open neighborhood U of x such that

$$g(U) \cap U = \emptyset, \quad \forall g \neq 1 \in G.$$

We define the **orbit space**

$$X/G = X/\sim$$

where $x \sim g(x)$ for any $x \in X, g \in G$.



Proposition

Assume G acts properly discontinuously on X , then the quotient map $X \rightarrow X/G$ is a covering with fiber G .

Proof.

For any $x \in X$, let U be the neighbourhood satisfying

$$g(U) \cap U = \emptyset, \forall g \neq 1 \in G.$$

Then

$$p^{-1}(p(U)) = \bigsqcup_{g \in G} gU$$

is a disjoint union of open sets. Thus, p is locally trivial with discrete fiber G , hence a covering. □



Definition

A left (right) G -principal covering is a covering $p : E \rightarrow B$ with a left (right) properly discontinuous G -action on E over B

$$\begin{array}{ccc} E & \xrightarrow{g} & E, \quad \forall g \in G \\ & \searrow p \quad \swarrow p & \\ & B & \end{array}$$

such that the induced map $E/G \rightarrow B$ is a homeomorphism.



Example

$\exp: \mathbb{R}^1 \rightarrow S^1$ is a \mathbb{Z} -principal covering for the action
 $n: t \rightarrow t + n, \forall n \in \mathbb{Z}$.

Example

$S^n \rightarrow \mathbb{RP}^n \simeq S^n / \mathbb{Z}_2$ is a \mathbb{Z}_2 -principal covering.



Proposition

Let $p: E \rightarrow B$ be a G -principal covering. Then **transport commutes with G -action**, i.e.,

$$T_{[\gamma]} \circ g = g \circ T_{[\gamma]}, \quad \forall g \in G, \gamma \text{ a path in } B.$$

Proof.

Let $\gamma: b_0 \rightarrow b_1$ and $e_0 \in p^{-1}(b_0)$. Then $\tilde{\gamma}: e_0 \rightarrow e_1 = T_{[\gamma]}(e_0)$ for some $e_1 \in p^{-1}(b_1)$. If we apply the transformation g to the path $\tilde{\gamma}$, we find another lift of γ but with endpoints $g(e_0)$ and $g(e_1)$.

Therefore

$$T_{[\gamma]}(g(e_0)) = g(e_1).$$

It follows that $T_{[\gamma]}(g(e_0)) = g(e_1) = g(T_{[\gamma]}(e_0))$. □



Theorem

Let $p : E \rightarrow B$ be a G -principal covering, E path connected, $e \in E, b = p(e)$. Then we have an exact sequence of groups

$$1 \rightarrow \pi_1(E, e) \rightarrow \pi_1(B, b) \rightarrow G \rightarrow 1.$$

In other words, $\pi_1(E, e)$ is a normal subgroup of $\pi_1(B, b)$ and

$$G = \pi_1(B, b)/\pi_1(E, e).$$



Proof

Let $F = p^{-1}(b)$. The previous proposition implies $\pi_1(B, b)$ -action and G -action on F commute. It induces a $\pi_1(B, b) \times G$ action on F . Consider its stabilizer at e and two projections

$$\begin{array}{ccc}
 & \text{Stab}_e(\pi_1(B, b) \times G) & \\
 & \searrow \text{pr}_1 \qquad \qquad \text{pr}_2 \swarrow & \\
 \pi_1(B, b) & & G
 \end{array}$$

pr_1 is an isomorphism and pr_2 is an epimorphism with $\ker(\text{pr}_2) = \text{Stab}_e(\pi_1(B, b)) = \pi_1(E, e)$.





Corollary

If $E \rightarrow B$ is a G -covering and E is a simply connected, then

$$\pi_1(B) = G.$$



Example

Apply this Corollary to the covering $\exp: \mathbb{R}^1 \rightarrow S^1$, we find a group isomorphism (degree map)

$$\deg: \pi_1(S^1) \rightarrow \mathbb{Z}.$$

Example

As we will see, S^n is simply connected if $n > 1$. It follows that

$$\pi_1(\mathbb{RP}^n) = \mathbb{Z}_2, \quad n > 1.$$



Applications



Definition

$i: A \subset X$ be a subspace. A continuous map $r: X \rightarrow A$ is called a **retraction** if $r \circ i = 1_A$. It is called a **deformation retraction** if furthermore we have a homotopy $i \circ r \simeq 1_X$ rel A . We say A is a (deformation) retract of X if such a (deformation) retraction exists.



Proposition

If $i : A \subset X$ is a retract, then $r_* : \pi_1(A) \rightarrow \pi_1(X)$ is injective.

Corollary

Let D^2 be the unit disk in \mathbb{R}^2 . Then its boundary S^1 is not a retract of D^2 .

Proof.

Since D^2 is contractible, we have $\pi_1(D^2) = 1$. But $\pi_1(S^1) = \mathbb{Z}$. Then the corollary follows from the proposition above. □



Theorem (Brouwer fixed point Theorem)

Let $f: D^2 \rightarrow D^2$. Then there exists $x \in D^2$ such that $f(x) = x$.

Proof.

Assume f has no fixed point. Let l_x be the ray starting from $f(x)$ pointing toward x . Then

$$D^2 \rightarrow S^1, \quad x \rightarrow l_x \cap \partial D^2$$

is a retraction of $\partial D^2 = S^1 \subset D^2$. Contradiction. □



Theorem (Fundamental Theorem of Algebra)

Let $f(x) = x^n + c_1x^{n-1} + \cdots + c_n$ be a polynomial with $c_i \in \mathbb{C}$, $n > 0$. Then there exists $a \in \mathbb{C}$ such that $f(a) = 0$.

Proof.

Assume f has no root in \mathbb{C} . Define a homotopy of maps

$$F: S^1 \times I \rightarrow S^1, \quad F(e^{i\theta}, t) = \frac{f(\tan(\frac{\pi t}{2})e^{i\theta})}{|f(\tan(\frac{\pi t}{2})e^{i\theta})|}.$$

On one hand, $\deg(F|_{S^1 \times 0}) = 0$. On the other hand, $\deg(F|_{S^1 \times 1}) = n$. But they are homotopic hence representing the same element in $\pi_1(S^1)$. Contradiction. □



Proposition (Antipode)

Let $f: S^1 \rightarrow S^1$ be an antipode-preserving map, i.e. $f(-x) = f(x)$. Then $\deg(f)$ is odd. In particular, f is NOT null homotopic.

Proof.

Let $\sigma: S^1 \rightarrow S^1$ be the antipode map, with $\sigma(x) = -x$. Then $\deg(\sigma) = -1$. Let

$$F: \mathbb{R}^1 \rightarrow \mathbb{R}^1$$

$$F(x+1) = F(x) + \deg(f),$$

be a lifting of f . Since f is antipode-preserving,

$F(x+1/2) = F(x) + m$ for $m \in \mathbb{Z} + 1/2$. So $F(x+1) = F(x) + 2m$ which implies $\deg(f) = 2m$ is odd. □



Theorem (Borsuk-Ulam)

Let $f: S^2 \rightarrow \mathbb{R}^2$. Then there exists $x \in S^2$ such that $f(x) = f(-x)$.

Proof.

Assume $f(x) \neq f(-x), \forall x \in S^2$. Define

$$\rho: S^2 \rightarrow S^1, \quad \rho(x) = \frac{f(x) - f(-x)}{|f(x) - f(-x)|}.$$

Let D^2 be the upper hemi-sphere of S^2 . It defines a homotopy between constant map and $\rho|_{\partial D^2}: S^1 \rightarrow S^1$, hence $\deg(\rho|_{\partial D^2}) = 0$. On the other hand, $\rho|_{\partial D^2}$ is antipode-preserving:

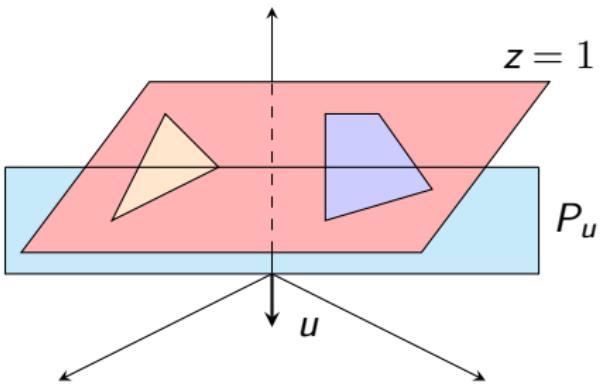
$$\rho|_{\partial D^2}(-x) = -\rho|_{\partial D^2}(x).$$

Hence $\deg(\rho|_{\partial D^2})$ is odd. Contradiction. □



Corollary (Ham Sandwich Theorem)

Let A_1, A_2 be two bounded regions of positive areas in \mathbb{R}^2 . Then there exists a line which cuts each A_i into half of equal areas.





Proof

Let $A_1, A_2 \subset \mathbb{R}^2 \times \{1\} \subset \mathbb{R}^3$.

Given $u \in S^2$, let P_u be the plane passing the origin and perpendicular to the unit vector u . Let $A_i(u) = \{p \in A_i | p \cdot u \leq 0\}$. Define the map

$$f: S^2 \rightarrow \mathbb{R}^2, \quad f_i(u) = \text{Area}(A_i(u)).$$

By Borsuk-Ulam, $\exists u$ such that $f(u) = f(-u)$. The intersection $\mathbb{R}^2 \times \{1\} \cap P_u$ gives the required line since

$$f(u) = f(-u) \iff f_i(u) = \frac{1}{2}(A_i).$$





Classification of coverings



Definition

The **universal cover** of B is a covering map $p : E \rightarrow B$ with E simply connected.

The universal cover is unique (if exists) up to homeomorphism. This follows from the lifting criterion and the unique lifting property of covering maps.



Definition

A space is **semi-locally simply connected** if for any $x_0 \in X$, there is a neighbourhood U_0 such that the image of the map $i_*: \pi_1(U_0, x_0) \rightarrow \pi_1(X, x_0)$ is trivial.

We recall the following theorem from point-set topology.

Theorem (Existence of the universal cover)

Assume B is path connected and locally path connected. Then universal cover of B exists if and only if B is semi-locally simply connected space.



Definition

We define the category $\text{Cov}(B)$ of coverings of B where

- ▶ an object is a covering map $p : E \rightarrow B$
- ▶ a morphism between two coverings $p_1 : E_1 \rightarrow B$ and $p_2 : E_2 \rightarrow B$ is a map $f : E_1 \rightarrow E_2$ such that the following diagram is commutative

$$\begin{array}{ccc}
 E_1 & \xrightarrow{f} & E_2 \\
 & \searrow p_1 & \swarrow p_2 \\
 & B &
 \end{array}$$

Definition

Let B be connected. We define $\text{Cov}_0(B) \subset \text{Cov}(B)$ to be the subcategory whose objects consist of connected coverings of B .



Proposition

Let B be connected and locally path connected. Then any morphism in $\text{Cov}_0(B)$ is a covering map.

$$\begin{array}{ccc} E_1 & \xrightarrow{f} & E_2 \\ & \searrow p_1 & \swarrow p_2 \\ & B & \end{array}$$

In other words, if B is connected and p_1, p_2 are coverings, then f is also a covering.



Definition

We define the category $G\text{-}\underline{\text{Set}}$ where

- ▶ an object is a set S with G -action
- ▶ morphisms are G -equivariant set maps, i.e. $f: S_1 \rightarrow S_2$ such that $f \circ g = g \circ f$, for any $g \in G$.

Given a covering $p: E \rightarrow B$, $b \in B$, the transport functor implies

$$p^{-1}(b) \in \pi_1(B, b)\text{-}\underline{\text{Set}}.$$



Lemma

Let B be path connected. Then $\pi_1(B, b)$ acts transitively on $p^{-1}(b)$ if and only if E is path connected.

Proof.

We prove the "only if" part.

Let us fix a point $e_0 \in p^{-1}(b)$. Assume $\pi_1(B, b)$ acts transitively on $p^{-1}(b)$. This implies that any point in $p^{-1}(b)$ is connected to e_0 by a path. Given any point $e \in E$, let γ be a path in B that connects $p(e)$ to b . The transport functor $T_{[\gamma]}$ gives a path connecting e to some point in $p^{-1}(b)$. This further implies that e is path connected to e_0 . This proves the "only if" part.





Proposition

Assume B is path connected and locally path connected. Let $p_1, p_2 \in \text{Cov}(B)$. Then there is a set isomorphism

$$\text{Hom}_{\text{Cov}(B)}(p_1, p_2) \simeq \text{Hom}_{\pi_1(B, b)\text{-}\underline{\text{Set}}}(p_1^{-1}(b), p_2^{-1}(b))$$

for any $b \in B$.



Proof

Let $f \in \text{Hom}_{\text{Cov}(B)}(p_1, p_2)$

$$\begin{array}{ccc}
 E_1 & \xrightarrow{f} & E_2 \\
 & \searrow p_1 & \swarrow p_2 \\
 & B &
 \end{array}$$

It induces a map by restricting f to the fiber $p_1^{-1}(b)$

$$f_b: p_1^{-1}(b) \rightarrow p_2^{-1}(b).$$

f_b is $\pi_1(B, b)$ -equivariant (why?). Thus we obtain a map

$$\begin{aligned}
 \Phi: \text{Hom}_{\text{Cov}(B)}(p_1, p_2) &\rightarrow \text{Hom}_{\pi_1(B, b)\text{-}\underline{\text{Set}}}(p_1^{-1}(b), p_2^{-1}(b)) \\
 f &\mapsto f_b
 \end{aligned}$$

The injectivity of Φ comes from the uniqueness of the lifting.



To prove surjectivity, we can assume E_1 is path connected, and $\pi_1(B, b)$ acts transitively on $p_1^{-1}(b)$ (why?). Given

$$f_b: p_1^{-1}(b) \rightarrow p_2^{-1}(b),$$

let us fix two points $e_i \in p_i^{-1}(b)$ such that $f(e_1) = e_2$. The $\pi_1(B, b)$ -equivariance of f_b gives rise to the homomorphism

$$\begin{aligned} \text{Stab}_{e_1}(\pi_1(B, b)) &\longrightarrow \text{Stab}_{e_2}(\pi_1(B, b)) \\ = \pi_1(E_1, e_1) &= \pi_1(E_2, e_2). \end{aligned}$$

By Lifting Criterion, we obtain $f: E_1 \rightarrow E_2$ as required. □



Theorem

Assume B is path connected, locally path connected and semi-locally simply connected. $b \in B$. Then there exists an equivalence of categories

$$\text{Cov}(B) \simeq \pi_1(B, b) \text{-}\underline{\text{Set}}.$$



Proof

Let us denote $\pi_1 = \pi_1(B, b)$. Let $\tilde{p} : \tilde{B} \rightarrow B$ be a fixed universal cover of B and $\tilde{b} \in \pi_1^{-1}(b)$ chosen.

We will define the following functors

$$\text{Cov}(B) \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} \pi_1\text{-}\underline{\text{Set}}.$$

Let $p : E \rightarrow B$ be a covering, we define

$$F(p) := p^{-1}(b).$$



Let $S \in \pi_1\text{-}\underline{\mathbf{Set}}$, we define

$$G(S) := \tilde{B} \times_{\pi_1} S = \tilde{B} \times S / \sim,$$

where $(e \cdot g, s) \sim (e, g \cdot s)$ for any $e \in \tilde{B}, s \in S, g \in \pi_1$. Note that here $e \cdot g$ represents the (right) π_1 -action on \tilde{B} .

We next construct natural isomorphisms

$$F \circ G \xrightarrow{\eta} 1, \quad G \circ F \xrightarrow{\tau} 1.$$



η is the natural isomorphism

$$\eta_S \in \text{Hom}_{\pi_1\text{-}\underline{\text{Set}}}(F \circ G(S), S), \quad \eta_S(e, s) = g \cdot s \quad \text{if } e = \tilde{b} \cdot g.$$

τ is the natural isomorphism

$$\tau_p \in \text{Hom}_{\text{Cov}(B)}(G \circ F(p), p) \simeq \text{Hom}_{\pi_1\text{-}\underline{\text{Set}}}(p^{-1}(b), p^{-1}(b))$$

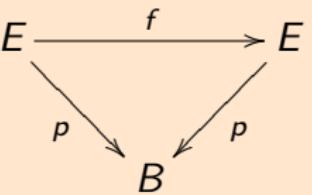
$$G \circ F(p) : \widetilde{B} \times_{\pi_1} p^{-1}(b) \rightarrow B$$

determined by the identity in $\text{Hom}_{\pi_1\text{-}\underline{\text{Set}}}(p^{-1}(b), p^{-1}(b))$. □



Definition

Let B be path connected and $p : E \rightarrow B$ be a connected covering. A **deck transformation** (or **covering transformation**) of p is a homeomorphism $f : E \rightarrow E$ such that $p \circ f = p$.



Let $\text{Aut}(p)$ denote the group of deck transformation.



Note that $\text{Aut}(p)$ acts freely on E by the Uniqueness of Lifting.

Proposition

Let B be path connected and $p : E \rightarrow B$ be a connected covering. Then $\text{Aut}(p)$ acts properly discontinuous on E .



Theorem

Assume B is path connected, locally path connected. Let $p : E \rightarrow B$ be a connected covering, $e \in E, b = p(e) \in B$

$$G = \pi_1(B, b), H = \pi_1(E, e).$$

Then

$$\text{Aut}(p) \simeq N_G(H)/H$$

where

$$N_G(H) := \{r \in G \mid rHr^{-1} = H\}$$

is the normalizer of H in G .

Proof.

By the above proposition,

$$\text{Aut}(p) \simeq \text{Hom}_{G\text{-}\underline{\text{Set}}}(G/H, G/H) = N_G(H)/H.$$



Example

For the universal cover $p : \tilde{B} \rightarrow B$, this implies that

$$\text{Aut}(p) = \pi_1(B, b).$$

Therefore p is a $\pi_1(B, b)$ -principal covering.



Definition

We define the orbit category $\text{Orb}(G)$

- ▶ objects consist of (left) coset G/H , where H is a subgroup of G
- ▶ morphisms are G -equivariant maps: $G/H_1 \rightarrow G/H_2$.

$\text{Orb}(G)$ is a full subcategory of $G\text{-}\underline{\text{Set}}$ consisting of single orbits.

Remark

G/H_1 and G/H_2 are isomorphic in $\text{Orb}(G)$ if and only if H_1 and H_2 are conjugate subgroups of G .



If we restrict to connected coverings, we find an equivalence

$$\text{Cov}_0(B) \simeq \text{Orb}(\pi_1(B, b)).$$

$$\begin{array}{ccc} \pi_1(B, b) & \longrightarrow & \tilde{\pi}_1(B, b)/H \\ & \searrow & \swarrow \\ & 1 & \end{array} \iff \begin{array}{ccc} \tilde{B} & \xrightarrow{f} & \tilde{B}/H \\ & \searrow & \swarrow \\ & B & \end{array}$$

The universal cover $\tilde{B} \rightarrow B$ corresponds to the orbit $\pi_1(B, b)$. For the orbit $\pi_1(B, b)/H$, it corresponds to

$$E = \tilde{B}/H \rightarrow B.$$



A more intrinsic formulation is as follows. Given a covering $p: E \rightarrow B$, we obtain a transport functor

$$T_p: \Pi_1(B) \rightarrow \underline{\mathbf{Set}}.$$

Given a commutative diagram

$$\begin{array}{ccc} E_1 & \xrightarrow{f} & E_2 \\ & \searrow p_1 & \swarrow p_2 \\ & B & \end{array}$$

we find a natural transformation

$$\tau: T_{p_1} \Rightarrow T_{p_2}, \quad \tau = \{f: p_1^{-1}(b) \rightarrow p_2^{-1}(b) \mid b \in B\}.$$



The above structure can be summarized by a functor

$$T : \text{Cov}(B) \rightarrow \text{Fun}(\Pi_1(B), \underline{\text{Set}}).$$

Theorem

Assume B is path connected, locally path connected and semi-locally simply connected. Then

$$T : \text{Cov}(B) \rightarrow \text{Fun}(\Pi_1(B), \underline{\text{Set}})$$

is an equivalence of categories.