



The Arc Index of Theta-Curve and Handcuff Graph

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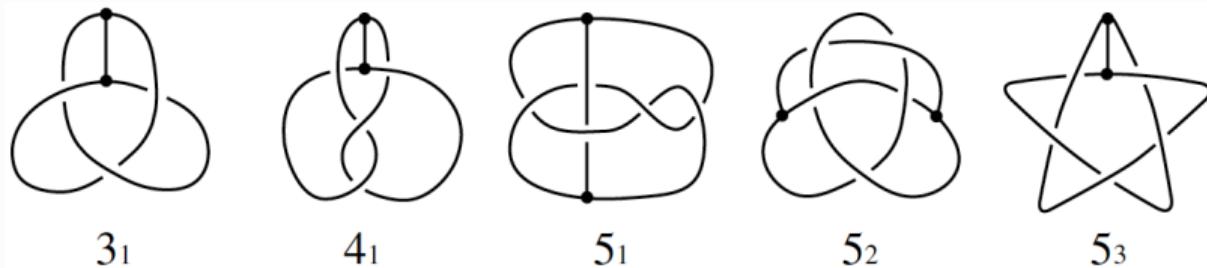
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Introduction

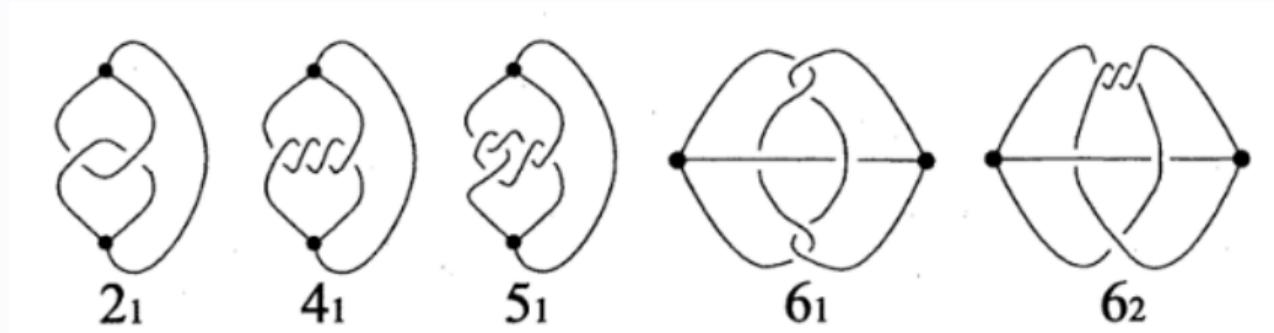
Theta-Curves

- A **theta-curve** T is a graph embedded in S^3 , which consists of two vertices v_1, v_2 and three edges e_1, e_2, e_3 , such that each edge joins the vertices.
- A **constituent knot** T_{ij} , $1 \leq i < j \leq 3$, is a subgraph of T that consists of two vertices v_1, v_2 and two edges e_i, e_j .
- Theta-curves are roughly classified by comparing the triples of constituent knots.
- A theta-curve is said to be **trivial** if it can be embedded in a 2-sphere in S^3 .

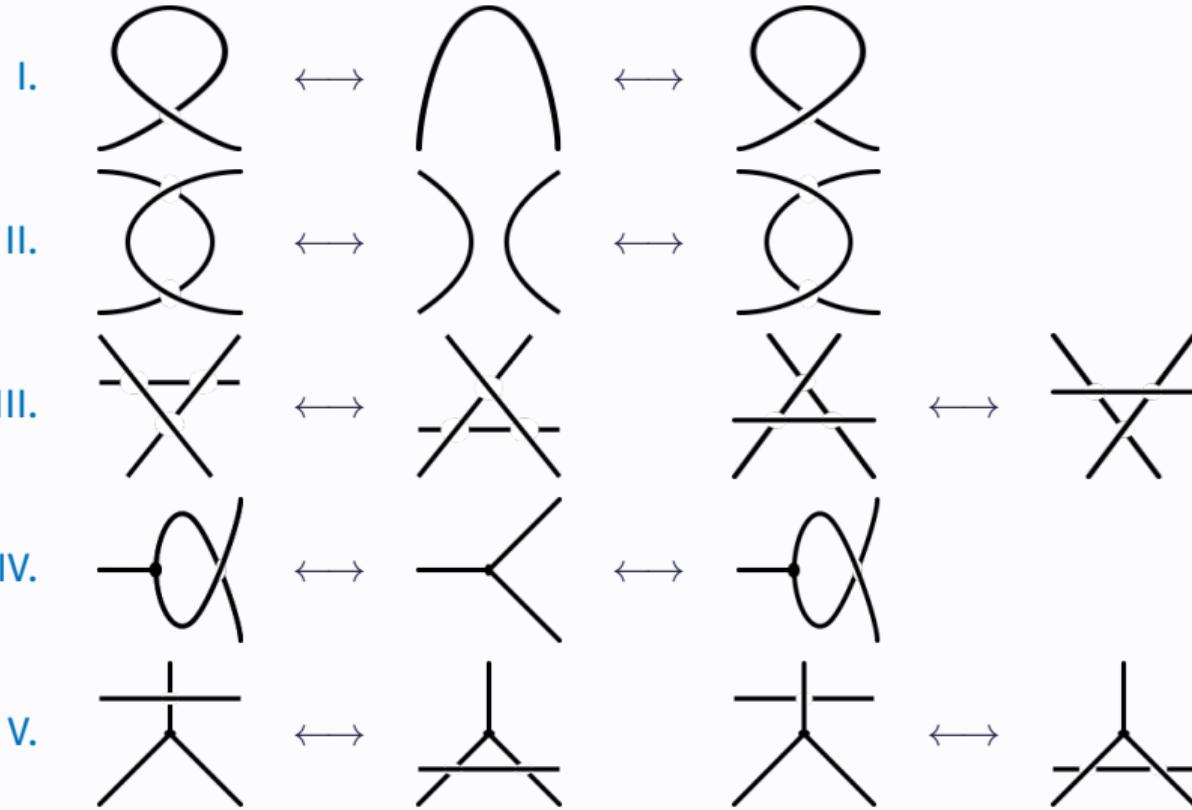


Handcuff Graphs

- A **handcuff graph** H is a graph embedded in S^3 consisting of two vertices (v_1, v_2) and three edges (e_1, e_2, e_3) , where e_3 has distinct endpoints v_1 and v_2 , and e_1 and e_2 are loops based at v_1 and v_2 .
- A **constituent link H_{12}** , is a subgraph of H that consists of two vertices v_1, v_2 and two edges e_1, e_2 .



Reidemeister Moves for Theta-Curves and Handcuff Graphs



Arc Presentation

- **Arc presentation** of a theta-curve or handcuff graph is an embedding of them.
- It is contained in the union of finitely many half planes (called **pages**).
- The embedding is with the common boundary line (called **axis**).
- Each vertex lies in the axis.
- Each page contains a properly embedded single arc.
- **Arc index**, is the minimal number of pages among all possible arc presentations of graph.
- This arc presentation with the minimal number of pages is **minimal arc presentation**.

Arc Presentation



Trefoil



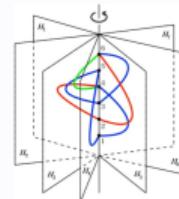
θ_{5,2}



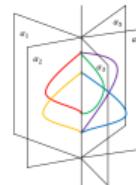
Φ_{2,1}



Open Book



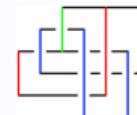
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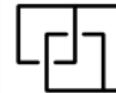
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Grid Diagram



Grid Diagram



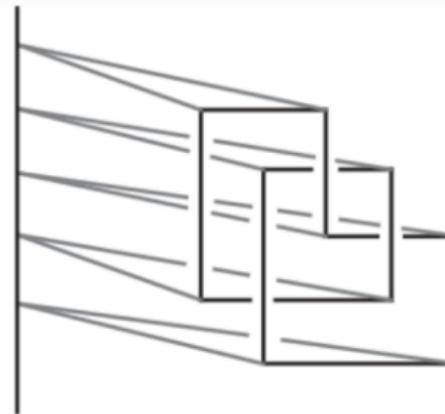
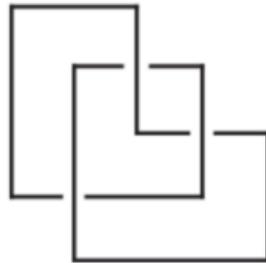
Grid Diagram

Grid Diagram

- The **grid diagram** of theta-curve or handcuff graph is a diagram with only vertical and horizontal strands.
- $(\text{number of vertical strands}) + 1 = (\text{number of horizontal strands})$
- At every crossing, the vertical strand crosses over the horizontal strand.
- No two horizontal strands are in the same row.
- No two vertical strands are in same column.

Grid Diagram

- A grid diagram gives rise to an arc presentation and vice versa.

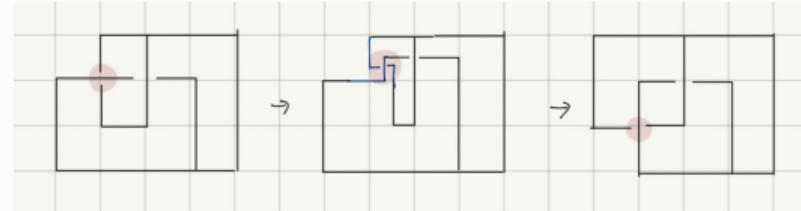
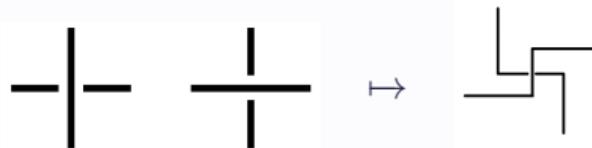


Arc Presentation of the Theta-Curve and Handcuff Graph

Theorem

Every theta-curve and handcuff graph admit a grid diagram.

PROOF



Corollary

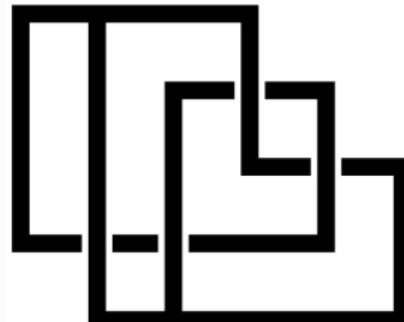
Every theta-curve and handcuff graph admit a arc presentation.

Determinant of θ -curve and Handcuff graph

THC-cromwell matrix

- The **Cromwell Matrix** of a knot is an $n \times n$ binary matrix each of whose rows and columns has exactly two 1s.
- The **THC-cromwell matrix** is an expansion of cromwell matrix into θ -curves and handcuff graphs.

$$\begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

Determinant of the cromwell matrices of Knot

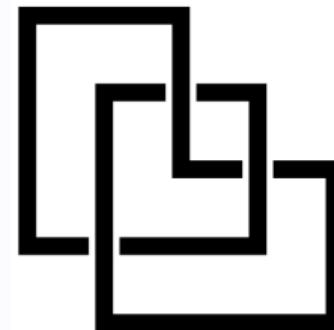
Theorem

Let K be a knot. Then the determinant of a cromwell matrix of K is 0 or ± 2 .

PROOF



grid diagram



Cromwell

Cromwell

$$\begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

row/column
operations

$$\begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

CASE 1. When n is an even number.

$$\begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 2 & 2 & 2 & 2 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

So the determinant of K is 0.

CASE 2. When n is an odd number.

$$\begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 2 & 2 & 2 & 2 & 2 \end{bmatrix}$$



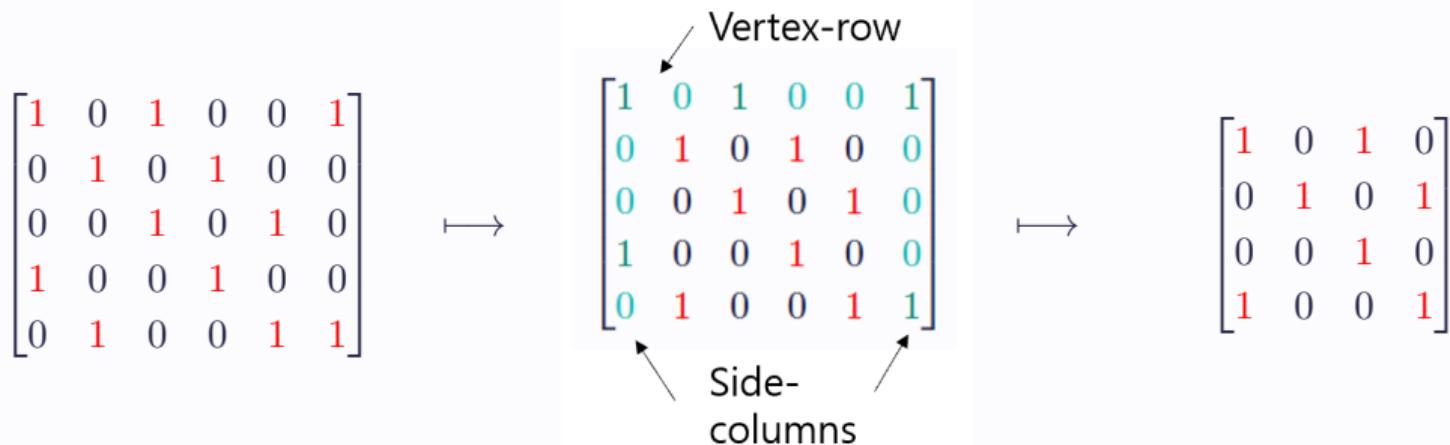
$$\begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 2 \end{bmatrix}$$

So the determinant of K is ± 2 .

□

H-deletion of THC-cromwell matrices

- The **vertex-row** of THC-cromwell matrix M is a row which contains three 1s, M_{ia}, M_{ib}, M_{ic} , where $a < b < c$, as its elements.
- The **side-column** of THC-cromwell matrix M is a column which contains the leftmost 1 of vertex-row (M_{ia}) or the rightmost 1 of vertex row (M_{ic}).
- The **H-deletion** Matrix of the THC-cromwell matrix G is $(n - 1) \times (n - 1)$ matrix which deleted vertex-row and its two side-columns from the matrix G .



Determinant of the THC-cromwell matrices

Theorem

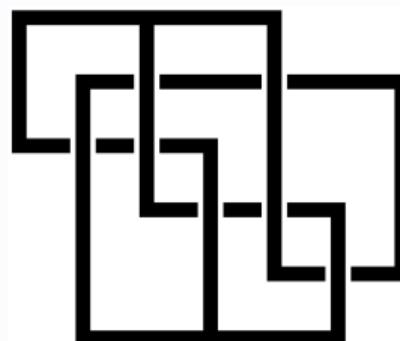
Let M be any THC-cromwell matrix of θ -curve or handcuff graph.

- $\det^*(M) = \pm 1 \iff M \text{ represents } \theta\text{-curve}$
- $\det^*(M) = 0 \text{ or } \pm 2 \iff M \text{ represents handcuff graph}$

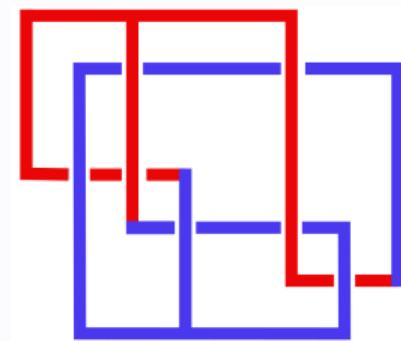
where $\det^*(M)$ = determinant of H -deletion matrix of M

PROOF

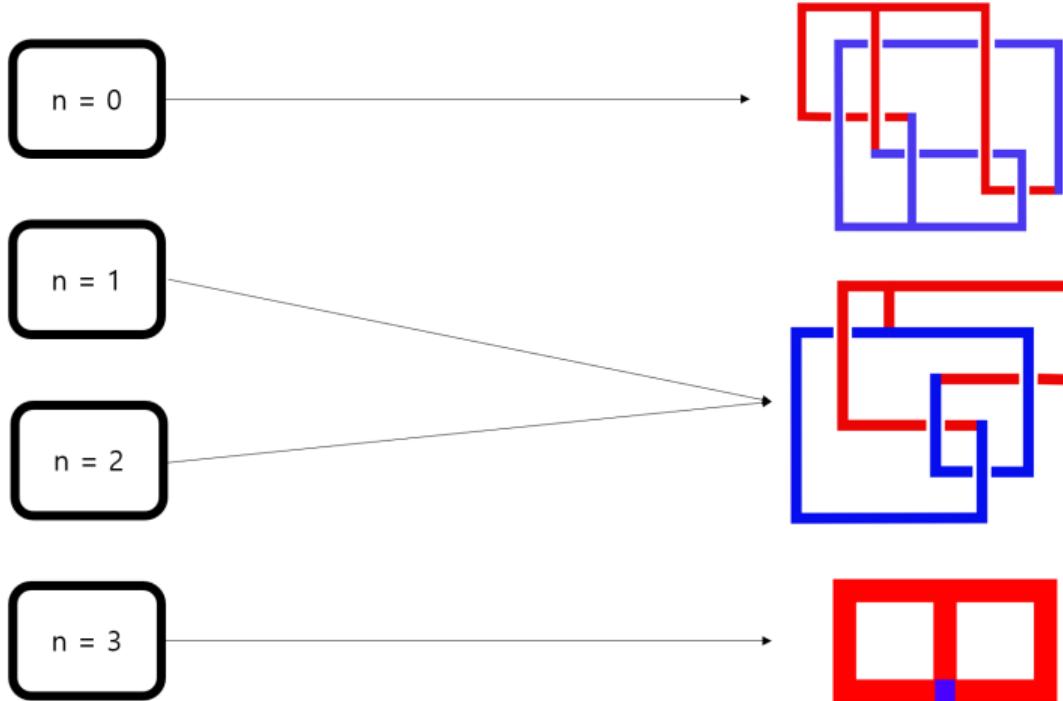
$$\begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{bmatrix} \longleftrightarrow$$



H -deletion



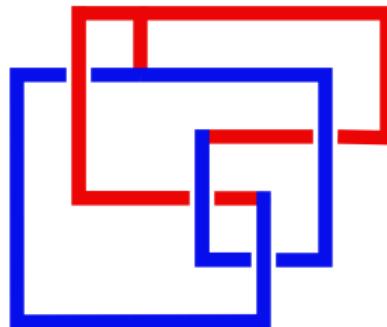
CASE 1. When M represents θ -curve



CASE 1. When M represents θ -curve

i Line-shape

$$\begin{bmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \rightarrow$$



$$\xrightarrow{H\text{-deletion}} \begin{bmatrix} 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 \end{bmatrix}$$

and simplify with

$$\xrightarrow[\text{operations}]{\text{row/column}} \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

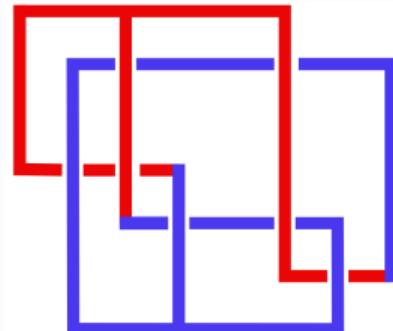
$$\xrightarrow{\text{subtracting}} \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

So $\det(M) = \pm 1$

CASE 1. When M represents θ -curve

ii) T-shape

$$\begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{bmatrix} \rightarrow$$



$$\xrightarrow{H-deletion} \begin{bmatrix} 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \end{bmatrix}$$

and simplify with

$\xrightarrow{\text{row/column operations}}$

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

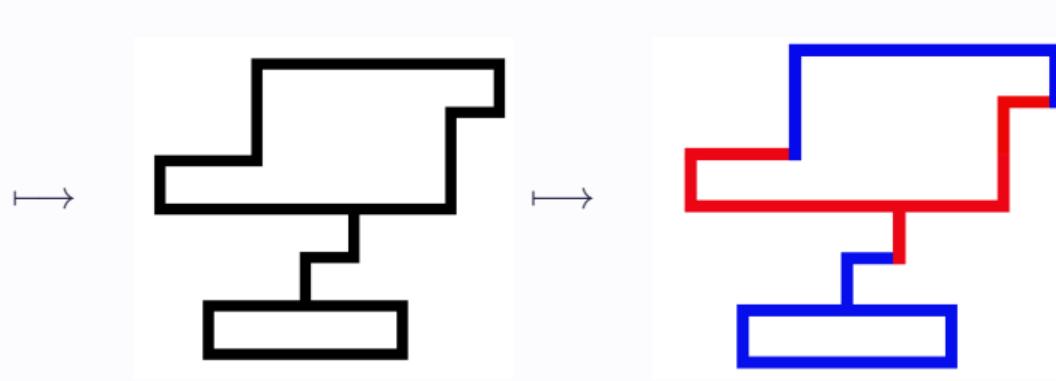
$\xrightarrow{\text{regioning}}$

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

So $\det(M) = \pm 1$

CASE 2. When M represents handcuff graph

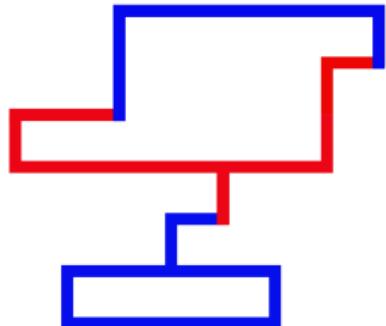
$$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$



CASE 2. When M represents handcuff graph

T-loop

$$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$



H -deletion
only T-loop

$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix}$$

and simplify with

$\xrightarrow{\text{row/column operations}}$

$$\begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

$\xrightarrow{\text{separating}}$

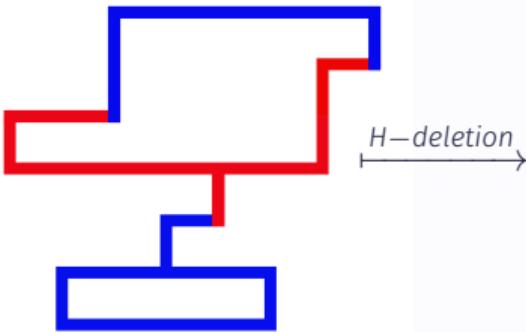
$$\begin{bmatrix} \text{Knot} & * \\ 0 & \text{Line - shape} \end{bmatrix}$$

So $\det(M) = 0$ or ± 2

CASE 2. When M represents handcuff graph

(i) T-loop & Line-shape

$$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$



$$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

and simplify with

$$\xrightarrow{\text{operations}} \begin{bmatrix} T - \text{loop} & * \\ 0 & \text{Line} - \text{shape} \end{bmatrix}$$

$\xrightarrow{\text{seperating}}$

$$\begin{bmatrix} \text{Knot} & * & * \\ 0 & \text{Line} - \text{shape} & \text{Line} - \text{shape} \\ 0 & 0 & \text{Line} - \text{shape} \end{bmatrix}$$

So $\det(M) = 0$ or ± 2

CASE 2. When M represents handcuff graph

(ii) Knot & Line-shape

cromwell matrix $\xrightarrow{H\text{-deletion}}$

$$\text{So } \det(M) = 0 \text{ or } \pm 2$$

H-deletion
matrix

*seperating
operations*

$$\begin{bmatrix} \text{Knot} & * \\ 0 & \text{Line - shape} \end{bmatrix}$$

