

If  $M$  is a structure and  $a = \langle a_i : i < |M| \rangle$ ,  $q(x) = \text{tp}(a) = \{\phi(x) \in L : M \models \phi(a)\}$ . Then  $q(x)$  is satisfiable in  $N$  iff there is  $\beta : M \rightarrow N$  elem embedding.

**(3.6) Theorem** If  $M$  is an  $L$ -structure and  $p(x) \subseteq L(M)$  is fin. sat in  $M$ , then  $p(x)$  is realized in some  $N \succcurlyeq M$ .

**Example:**  $\overset{M}{\langle (0, 1), \subset \rangle} \models T_{\text{deq}}$ . Let  $a_n = 1 - \frac{1}{n}$  for  $n \in \omega \setminus \{0\}$ .

Let  $q(x) = \{x > a_n : n \in \omega \setminus \{0\}\}$  is a type that finitely sat in  $M$ , but not satisfiable. However,  $q(x)$  is realized in  $\langle \mathbb{Q}, \subset \rangle$  and we know  $(0, 1) \leq \mathbb{Q}$ .

**Proof:** Enumerate  $M$ , say via  $a = \langle a_i : i < |M| \rangle$ , and let

$$q(z) = \{\phi(z) : M \models \phi(a)\} \subseteq L$$

where  $|z| = |M| = |a|$  and the  $z_i$  are new variables, so in part  $z \cap x = \emptyset$ .

Write  $p(x)$  as  $p'(x, a)$  where  $p'(x, z) \subseteq L$ .

Then  $p'(x, z) \cup q(z)$  is fin sat in  $M$  (because  $p'(x, a)$  is, and  $q(z)$  is realized by  $a$ ). By compactness (Thm 3.2), there are  $N$ , and  $c \in N^{<\lambda^+}$ ,  $d \in N^{<\lambda^+}$  such that  $N \models p'(c, d) \cup q(d)$ .

In part  $N \models q(d)$  so by Lemma 3.4  $\beta : a_i \rightarrow d_i$  is an elem embedding of  $M$  into  $N$ . Thus  $M \preceq N$ .

**(3.7) Theorem** (Upward Löwenheim Skolem)

Let  $M$  be an infinite  $L$ -structure. Then for any  $\lambda \geq |M| + |L|$ , there is  $N \succcurlyeq M$  such that  $|N| = \lambda$ .

**Proof:** Let  $x = \langle x_i : i < \lambda \rangle$  be distinct variables.

Consider  $p(x) = \{x_i \neq x_j \text{ for } i < j < \lambda\}$

(Clearly  $p(x)$  is fin. sat. in  $M$ , so by Thm 3.6  $p(x)$  is realized in some  $N \succcurlyeq M$ , and  $|N| \geq \lambda$ . By DLS we may assume  $|N| = \lambda$ .

## 4 SATURATION

### 4.1 Definition

Let  $\lambda$  be an infinite cardinal,  $M$  an  $L$ -structure. Then  $M$  is  $\lambda$ -saturated if it realizes every type  $p(x) \in L(A)$ , where  $|x| = 1$  and  $A \subseteq M$  such that

- (i)  $p(x)$  is finitely satisfiable in  $M$
- (ii)  $|A| < \lambda$ .

$M$  is saturated if it is  $|M|$ -saturated.

Remark: If  $\lambda > |M|$ ,  $M$  cannot be  $\lambda$ -saturated.

Consider  $p(x) = \{x + a : a \in M\}$ . Then  $p(x)$  is fin sat in  $M$ , but not satisfiable - here the parameter set is  $M$  itself - too large!

### 4.2

Definition Let  $M$  be an  $L$ -str,  $A \subseteq M$ ,  $b \in M^{|\alpha|}$ . The type of  $b$  over  $A$  is  $\text{tp}(b/A) = \{d(x) \in L(A) : M \models d(b)\}$

$M$  is omitted when clear from the context

### 4.3

Remarks (i)  $\text{tp}(b/A)$  is complete, that is, for all  $d(x) \in L(A)$

either  $d(x) \in \text{tp}(b/A)$  or  $\neg d(x) \in \text{tp}(b/A)$

(ii) If  $A \subseteq M \subseteq N$  and  $b \in M^{|\alpha|}$  then

$$\text{tp}_M(b/A) = \text{tp}_N(b/A)$$

### 4.4

Facts (types and elem maps)

Let  $f : \text{dom}(f) \subseteq M \rightarrow N$  be elem map. Then:

(i)  $M \equiv N$  (and if  $M \equiv N$  the empty map  $\emptyset$  is elementary as it preserves sentences)

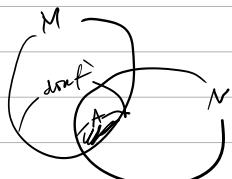
(ii) If  $a$  enumerates  $\text{dom}(f)$ , then

$$\text{tp}(a/\emptyset) = \text{tp}(f(a)/\emptyset)$$

More generally, if  $A \subseteq \text{dom}(f) \cap N$  and

$f|_A = \text{id}$  then for tuples  $b \in \text{dom}(f)$

$$\text{tp}(b/A) = \text{tp}(f(b)/A)$$



(iii) If  $a$  enumerates  $\text{dom}(f)$  and  $p(x, a)$  is finitely sat in  $M$  then  $p(x, f(a))$  is fin. sat in  $N$ .

Suppose  $\{d_1(x, a), \dots, d_m(x, a)\} \subseteq p(x, a)$ . Then

$$M \models \exists x \bigwedge_{i=1}^m d_i(x, a)$$

Therefore  $N \models \exists x \bigwedge_{i=1}^m d_i(x, f(a))$

Warning:  $p(x, a)$  satisfiable in  $M$  does not imply  $p(x, f(a))$  sat. in  $N$ .



$p(x, f(a))$  fin. sat in  $N$ .

(4.5) Theorem Let  $N \models \lambda$  such that  $|N| \leq \lambda \leq |M|$ . Then

(i)  $N$  is  $\lambda$ -saturated

(ii) If  $f: M \rightarrow N$  is an elem map s.t.  $|f| < \lambda$  and  $b \in M$ , then there is  $\hat{f} \supseteq f$  which is elem and such that  $b \in \text{dom}(\hat{f})$

(iii) If  $p(z)$  is a type in  $L(A)$  where  $A \subseteq N$  and  $|A| < \lambda$ ,  $|z| \leq \lambda$  and  $p(z)$  is fin. sat. in  $N$ , then  $p(z)$  is realized in  $N$ .

Proof: (i)  $\Rightarrow$  (ii) Let  $f$  be as in (ii), let  $b \in M$ .

Let  $a = (a_i : i < |\text{dom}(f)|)$  enumerate  $\text{dom}(f)$ , let

$$p(x, a) = \text{tp}_n(b/a). (|x|=1, |a|=|\text{dom}(f)| < \lambda)$$

Since  $p(x, a)$  is satisfiable in  $M$ ,  $p(x, f(a))$  is fin. sat. in  $N$ .

But  $N$  is  $\lambda$ -saturated and  $|f(a)| < \lambda$ . Therefore  $p(x, f(a))$  is realized in  $N$ , say  $N \models p(x, f(a))$ . Then  $\hat{f} = f \cup \{ \langle b, c \rangle \}$  is the required extension of  $f$ . Let  $\varphi(x, a) \in L(a)$

$$M \models \varphi(b, a) \Leftrightarrow \varphi(x, a) \in p(x, a) \Leftrightarrow \varphi(x, f(a)) \in p(x, f(a)) \Leftrightarrow N \models \varphi(c, a).$$

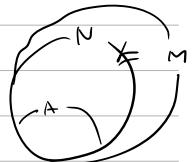
(ii)  $\Rightarrow$  (iii) Let  $p(z)$  be as in (iii). By Theorem 3.6,  $p(z)$  is realized in some  $M \models N$  by a tuple  $a$ , where  $|a| = |z| \leq \lambda$ .

Since  $A \subseteq N \subseteq M$ , the map  $\text{id}_{\Gamma_A}: M \rightarrow N$  is elementary. We extend  $\text{id}_{\Gamma_A}$  to an elem map  $f: M \rightarrow N$  such that  $a \subseteq \text{dom}(f)$ . Let  $f_0 = \text{id}_{\Gamma_A}$

At stage  $i+1$ , we use (ii) to include  $a_i$  in  $\text{dom}(f_{i+1})$ .

At limit stages  $\mu < \lambda$ , let  $f_\mu = \bigcup_{i < \mu} f_i$ .

Then  $\bigcup_{i < \lambda} f_i$  is the required elementary map.



(iii)  $\Rightarrow$  (i) trivial

(4.6) Corollary If  $M, N$  are saturated,  $M \models N$  and  $|M| = |N|$  then any elementary  $f: M \rightarrow N$ ,  $|f| < |M|$ , extends to an isomorphism from  $M$  to  $N$ . In particular, two saturated models of the same complete theory that have the same cardinality are isomorphic.

Proof Use Theorem 4.5(ii) to extend  $f$  to an isom using a back and forth construction. This can be done with  $f = \emptyset$

(4.7) Corollary Models of Tdlo and Trg are  $\omega$ -saturated.  $\checkmark$  Proof By the extension lemmas (Lemmas 2.4 and 2.16) and Prop 2.11 and Corollary 2.17.

So  $(\mathbb{Q}, <)$  is saturated and  $(\mathbb{R}, <)$  is  $\omega$ -saturated but not saturated.

The type  $\{x > q : q \in \mathbb{Q}\}$  is fin. satisfiable in  $\mathbb{R}$ , but it is not realized.

Recall that an isomorphism  $\alpha: N \rightarrow N$  is called an automorphism of  $N$

(4.8) Notation The automs of  $N$  form a grp denoted by  $\text{Aut}(N)$   
If  $A \subseteq N$  then

$$\text{Aut}(N/A) = \{\alpha \in \text{Aut}(N) : \alpha_{\Gamma_A} = \text{id}_A\}$$

$\hookrightarrow \alpha$  fixes  $A$  setwise

4.9 Definitions An  $\mathcal{L}$ -structure  $N$  is

(i)  $\lambda$ -universal if for every  $M \models N$  such that  $|M| \leq \lambda$  there is an  $\beta: M \rightarrow N$  elem embedding

$N$  is universal if it is  $|N|$ -universal

(ii)  $\lambda$ -homogeneous if every  $f: N \rightarrow N$  elementary and such that  $|f| < \lambda$  extends to an automorphism of  $N$

$N$  is homogeneous if it is  $|N|$  homogeneous.

Warning: In some texts (books/papers)

-  $\lambda$ -universality is called  $\lambda^+$ -universality

-  $\lambda$ -homogeneity as defined in (ii) is called strong homogeneity (as opposed to what we'll call "weak homogeneity", which is similar to card (ii) in Thm 4.5)