# Finding your niche in the complementation system

The distribution of Basque verbal noun complements

Silvie Strauß, Leipzig University

ETT 2025

Max Planck Institute for Evolutionary Anthropology, Leipzig 4 June 2025

#### Outline

- Complementation systems
- · Verbal noun complements and their distribution
  - Morphosyntactic properties
  - Distribution across semantic classes of CEPs
- Explaining the patterns
  - Semantic integration
  - Temporal and aspectual relations
  - Coreference relations
  - Sources of the form-meaning mapping
- Conclusion

### Complementation systems

- Complement clause (CC):
  - clause that is the argument of a predicate
- Clause-embedding predicate (CEP):
  - predicate that takes clauses as one of its arguments
- Complementation construction:
  - construction of CEP and its CC
- Complementation system:
  - "set of clausal complement types that language users have available and the principles that determine how they put them to use" (de Smet 2012: 5)
  - CCs have different functional profiles governing distribution over different syntactic and semantic contexts (cf. Schmidtke-Bode 2014: Chapter 7)

### Complementation systems

- Main factors that are relevant cross-linguistically (universally?): morphosyntactic and semantic complexity and integration (Givón 1990; Cristofaro 2003; Wurmbrandt/Lohninger 2023)
  - → Semantic: independence of truth value, independence of time reference, control of matrix agent over embedded SoA ...
  - → Morphosyntactic: expression of TAM and arguments, degree of nominalization ...
- So far the focus has been more on broad typological tendencies and possibly universal implicational hierarchies (e.g. Givón 1990; Cristofaro 2003; Noonan 2007; Schmidtke-Bode 2014; Wurmbrandt/Lohninger 2023) and less on more language-specific factors

#### The Basque complementation system

finite		neutral declarative	
	indicative	NPI declarative	
		interrogative	
	subjunctive		
non-finite	verbal noun	absolutive	
		ergative	
		dative	
		instrumental	
		purposive	
		ablative	
		allative	
		inessive	
	perfective participle	participial interrogative	
		bare participle	

#### The Basque complementation system

		neutral declarative	
finite	indicative	NPI declarative	
		interrogative	
	subjunctive		
non-finite	verbal noun	absolutive	
		ergative	
		dative	
		instrumental	
		purposive	
		ablative	
		allative	
		inessive	
	perfective participle	participial interrogative	
		bare participle	

#### The Basque complementation system

		neutral declarative	
finite	indicative	NPI declarative	
		interrogative	
	subjunctive		
non-finite	verbal noun	absolutive	
		ergative	
		dative	
		instrumental	
		purposive	
		ablative	
		allative	
		inessive	
	perfective participle	participial interrogative	
		bare participle	

# Morphosyntactic properties of verbal noun complements

- Verbal noun (also *action nominal, masdar*): inflectional action nominalization
- Verb stem + -t(z)e
- Internal morphosyntax (marking of dependents, word order) verbal, but external morphosyntax (case, determiners) nominal

# Morphosyntactic properties of verbal noun complements

Internal morphosyntax <u>verbal</u>, but external morphosyntax **nominal**

```
(1) a. <u>katu-a-k</u> <u>sagu-a-Ø</u> <u>harrapa-tu-ko</u> <u>d-u-Ø</u> <u>itzul-tzen</u> 

<u>cat-SG-ERG</u> <u>mouse-SG-ABS</u> catch-PFV-FUT 3SG.ABS-AUX.TR-3SG.ERG <u>return-IPFV</u>

<u>d-en-ea-n</u>

<u>AUX.ITR.3SG-SUB-SG-INE</u>
```

'The cat will catch the mouse when it comes back.'

b. sagu-a-ren gaur-ko itzulera-a-Ø mouse-SG-GEN today-LK return-SG-ABS

'the return of the mouse today'

c. <u>katu-a-k</u> <u>sagu-a-Ø</u> <u>harrapa-tze-**a-Ø** <u>itzul-tzen</u> <u>d-en-ea-n</u>

<u>cat-SG-ERG</u> <u>mouse-SG-ABS</u> catch-NMLZ-**SG-ABS** <u>return-IPFV</u> <u>AUX.ITR.3SG-SUB-SG-INE</u>

'the cat catching the mouse when it comes back'</u>

# Morphosyntactic properties of verbal noun complements

- Internal morphosyntax verbal: absolutive VNs distinction between non-past/imperfective and past/perfective
- (2) a. <u>katu-a-k</u> <u>sagu-a-Ø</u> <u>harrapa-tze-**a-Ø** <u>itzul-tzen</u> <u>d-en-ea-n</u> <u>cat-SG-ERG</u> <u>mouse-SG-ABS</u> catch-NMLZ-**SG-ABS** <u>teach-IPFV</u> <u>AUX.ITR.3SG-SUB-SG-INE</u>

  'the cat catching the mouse when it comes back'</u>
  - b.  $\underline{katu-a-k}$   $\underline{sagu-a-\emptyset}$   $\underline{harrapa-tu}$   $\underline{izan-\emptyset-a-\emptyset}$   $\underline{itzul-i}$   $\underline{d-en-ea-n}$   $\underline{cat-SG-ERG}$   $\underline{mouse-SG-ABS}$   $\underline{catch-PFV}$   $\underline{be-PFV-SG-ABS}$   $\underline{teach-PFV}$   $\underline{AUX.ITR.3SG-SUB-SG-INE}$

'the cat having caught the mouse when it came back'

# Distribution across semantic classes

#### Data:

- Exploratory corpus study of complementation patterns of 145 clause-embedding predicates in the Basque Corpus of Contemporary Texts (ETC) (exclusively written text from 2001-2019)
- + descriptions in grammars (Hualde/Ortiz de Urbina 2003; de Rijk 2008; Euskaltzaindia 2021)

# Distribution across semantic classes

#### • ABS:

a) Independent time reference: utterance, attitude and cognition verbs (typically when content of CC is discourse-given)

e.g. *ukatu* 'deny', *eskertu* 'be thankful for', *espero izan* 'hope', *salatu* 'denounce' ...

b) Dependent time reference: directive, commissive, intention, direct causation, implicative

e.g. eskatu 'ask for', bermatu 'guarantee', pentsatu 'intend', saihestu 'avoid', lortu 'manage' ...

# Distribution across semantic classes

 PUR: mostly directive verbs, some commisive or intention verbs

```
e.g. exijitu 'demand', aholkatu 'advise', gogorarazi 'remind', zin egin 'swear' ...
```

• INE: immediate perception, causation, phasal, procedural knowledge, some implicative

```
e.g. ikusi 'see (doing)', lagundu 'help', utzi 'let', hasi 'begin', jakin 'know (how to)', tematu 'insist, insistently keep doing' ...
```

#### Semantic integration?

→ can only partly explain the patterns

less integrated  $\leftarrow$  more integrated (cf. Givón 1990; Cristofaro 2003; Wurmbrandt/Lohninger 2023)

utterance, cognition, attitude	directive	intention	implicative	causative	immediate perception	procedural knowledge	phasal
		ABS					
	PUR						
			INE				

#### Temporal and aspectual relations between matrix and embedded SoA:

- Independent vs. dependent time reference:
  - Only ABS independent
- Temporal ordering:
  - PUR CC after matrix SoA
  - INE CC simultaneously with matrix SoA
- Perfectivity:
  - INE CC imperfective: action seen from within, consisting of subparts

- PUR vs. INE: tematu 'insist, insistently keep doing'
- (3) a. [planeta oso-a-ri eragi-ten d-i-o-te-n afera potolo-eta-n planet whole-SG-DAT affect-IPFV 3SG.ABS-AUX.DITR- issue big-PL-INE 3SG.DAT-3PL.ERG-REL muturr-a-Ø sar-tze-n] tema-tu-ko ez ba-zinete [...] snout-SG-ABS enter-NMLZ-INE insist-PFV-FUT NEG if-AUX.ITR.PROT.2PL

'If you didn't insistently keep meddling with big issues that affect the whole planet [...]' (ETC: *Egunaren hondarrak*, Kazuo Ishiguro (Amaia Apalauza / Iñigo Roque))

```
[>>>>] tematu
----->
[>>>>>>] 'meddle'
```

- PUR vs. INE: tematu 'insist, insistently keep doing'
- (3) b. batzuk [errauste planta kutsakor eta garesti-a-Ø egi-te-ko] some.ABS incineration plant polluting and expensive-SG-ABS make-NMLZ-PUR

tema-tu dir[a]
insist-PFV AUX.ITR.3PL

'Some have insisted on building a polluting and expensive incineration plant.' (ETC: Gipuzkoako Hitza, 2013-12-20)

[>>>>] tematu ------[>>>] 'build'

- ABS vs. INE: *ahaztu* 'forget' (cf. also Artiagoitia 2003: 681)
- (4) a. [ni-re izen-a-Ø esa-te-a-Ø] ahaz-tu zai-t
  1SG-GEN name-DEF.SG-ABS say-NMLZ-DEF.SG-ABS forget-PFV 3SG.ABS.AUX.ITRII-1SG.DAT

  'I forgot to say my name.' (ETC: Egun denak ez dira berdin, Xabier Etxeberria)
  - b.  $eta\ jokalari-ei,$  [futbol-ea-n joka-tze-n] ahaz-tu zai-e-la and player-DEF.PL.DAT football-DEF.SG-INE play-NMLZ-INE forget-PFV 3SG.ABS.AUX.ITRII-3PL.DAT-COMP  $dirudi-\mathcal{O}$  seem-3SG.ERG

'And as for the players, it seems as if they have forgotten how to play football.' (ETC: Egunkaria, 2000-12-23)

Temporal and aspectual relations between matrix and embedded SoA:

	purposive	inessive	absolutive
prospective	X		X
imperfective		X	
perfective			X
independent			X

#### **Coreference relations:**

- ABS vs. PUR: agindu 'order; promise'
- (5) a. [\_i,j Guantanamo-Ø ix-te-a-Ø] agin-du z-u-Ø<sub>i</sub>-en
  Guantanamo-ABS close-NMLZ-SG-ABS order/promise-PFV 3SG.ABS-AUX.TR3SG.ERG-PST

  '(Barack Obama) promised/ordered to close Guantanamo.' (ETC: Berria, 2012-0111)
  - b.  $epaile\ bat-ek_i$  [\_j 45  $militar-\mathcal{O}$  (...) atxilo-tze-ko] agin-du judge INDF-ERG 45 soldier-ABS arrest-NMLZ-PUR order/promise-PFV  $d-u-\mathcal{O}_i$  3SG.ABS-AUX.TR-3SG.ERG
    - 'A judge has ordered to arrest 45 soldiers.' (ETC: Berria, 2003-07-26)
  - → PUR object control, ABS neutral

#### Sources of the form-meaning mapping:

- ABS VN possibly one of the oldest CC types because prototypical complement slot is P → not very specialized
- ABS VN only one allowing basic tense/aspect distinction
   → best suited for independent time reference contexts
- INE VN morphologically identical to imperfective participle in Basque, cross-linguistically affinity between inessive/locative and progressive (Bybee et al. 1994: 129-133)
- Purposive marked with -ko like prospective participle, semantically future-oriented
- Association of purposive VN with object control due to purposive VN replacing subjunctive (disjoint reference)?

#### Conclusion

- The distribution of VN complements can nicely be explained by temporal/aspectual and coreference relations between matrix and embedded SoA
- Temporal ordering also relevant for English *to*-infinitive vs. gerund (Egan 2008) → also other languages?
- → need to look at complementation systems of more individual languages in detail, in order to reveal possible further cross-linguistic organizational principles in addition to semantic integration

#### References I

- Artiagoitia, Xabier. 2003. Complementation. In Hualde, José Ignacio & Ortiz de Urbina, Jon (eds.), A grammar of Basque. Berlin/New York: de Gruyter Mouton. 640–718.
- Cristofaro, Sonia. 2003. Subordination. Oxford: Oxford University Press.
- De Rijk, Rudolf P. G. (2008): Standard Basque. A Progressive Grammar. Cambridge/London: MIT Press.
- De Smet, Hendrik. 2012. Spreading Patterns: Diffusional Change in the English System of Complementation. Oxford: Oxford University Press.
- Egan, Thomas (2008): Non-finite complementation: a usage-based study of infinitive and -ing clauses in English. Amsterdam/New York: Rodopi.
- Egungo Testuen Corpusa (= ETC) [Corpus of contemporary texts] (2021). https://www.ehu.eus/etc/ (accessed 16/04/2024).
- Euskaltzaindia. 2021. *Euskararen gramatika* [Grammar of Basque]. Bilbao: Euskaltzaindia.

#### References II

- Euskaltzaindia. 2021. *Euskararen gramatika* [Grammar of Basque]. Bilbao: Euskaltzaindia.
- · Givón, Talmy (1990). Syntax: A functional-typological introduction. Vol. 2. Amsterdam: John Benjamins.
- Hualde, José Ignacio & Ortiz de Urbina, Jon (eds.), *A grammar of Basque*. Berlin/New York: de Gruyter Mouton.
- Noonan, Michael (2007): "Complementation." In Shopen, Timothy (ed.): Language Typology and Syntactic Description, vol. 2. Cambridge: Cambridge University Press. 52-150.
- Schmidtke-Bode, Karsten (2014): Complement Clauses and Complementation Systems: A Cross-Linguistic Study of Grammatical Organization. University of Jena, doctoral dissertation.
- Wurmbrandt, Susanne / Lohninger, Magdalena (2023): "An implicational universal in complementation theoretical insights and empirical progress." In Hartmann, Jutta M. / Wöllstein, Angelika (eds.): Propositional Arguments in Cross-Linguistic Research: Theoretical and Empirical Issues. Tübingen: Narr Francke Attempto. 183-229.

### Eskerrik asko!

### Appendix

- ABS vs. PUR: *eskatu* 'ask for, request'
- (i) a.  $[\__i \ eskola-ak \ Leioa-ko \ campus-ea-n \ ema-te-a-\emptyset] \ eska-tu$  class-PL.ABS Leioa-LGEN campus-SG-INE give-NMLZ-SG-ABS ask-PFV  $d-i-t_j-\emptyset_i$  3SG.ABS-AUX.DITR-1SG.DAT-3SG.ERG 'S/he requested ('to me') to teach the classes at the Leioa campus.' (Artiagoitia
  - 'S/he requested ('to me') to teach the classes at the Leioa campus.' (Artiagoitia 2003: 674)
  - b.  $[\__j$  eskola-ak Leioa-ko campus-ea-n ema-te-ko] eska-tu class-pl.abs Leioa-lgen campus-sg-ine give-nmlz-pur ask-pfv d-i- $t_j$ - $\emptyset_i$  3SG.abs-aux.ditr-1sg.dat-3sg.erg
    - 'S/he asked me to teach the classes at the Leioa campus.' (ibid.)
  - → PUR object control, ABS rather subject control?

### Appendix

- ABS vs. INE: *irakatsi* 'teach'
- (ii) a. [irakur-tze-n eta idaz-te-n] irakas-ten d-i-e-te read-NMLZ.INE and read-NMLZ-INE teach-IPFV 3SG.ABS-AUX.DITR-3PL.DAT-3PL.ERG 'They teach them how to read and write.' (ETC: Berria, 2017-07-16)
  - b. [peoi-ak sakrifika-tze-a-Ø eta errege-a-Ø gauza guzti-Ø-en gain-etik pawn-Pl.ABS sacrifice-NMLZ-SG-ABS and king-SG-ABS thing all-Pl-GEN above-ABL defenda-tze-a-Ø] irakas-ten d-u-Ø-en joko zoro hori defend-NMLZ-SG-ABS teach-IPFV 3SG.ABS-AUX.TR-3SG.ERG-REL game crazy DEM.MED.ABS 'that crazy game that teaches to sacrifice the pawns and to defend the king above everything' (ETC: Jakin aldizkaria, 226 zbk.)
  - → procedural knowledge vs. deontic

### Appendix

#### Tempus/aspect vs. coreference:

```
(iii) [urtero beste laurehun libera-Ø ordain-tze-ko] hitz-Ø eman-Ø every_year other 400 franc-ABS pay-NMLZ-PUR word-ABS give-PFV
```

*z-i-o-te-n*3SG.ABS-AUX.DITR-3SG.DAT-3SG.ERG-PST

'They promised him/her to pay again 400 franc every year.' (ETC: *Fantasiazko ipuinak*, Guy de Maupassant (Josu Zabaleta))

ABS slot already filled → PUR only remaining option for encoding prospectivity → aspect distinction more important than coreference